

CONVERGENCE TO ENDS FOR RANDOM WALKS ON THE AUTOMORPHISM GROUP OF A TREE

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ABSTRACT. Let μ be a probability on a free group Γ of rank $r \geq 2$. Assume that $\text{Supp}(\mu)$ is not contained in a cyclic subgroup of Γ . We show that if $(X_n)_{n \geq 0}$ is the right random walk on Γ determined by μ , then with probability 1, X_n converges (in the natural sense) to an infinite reduced word. The space Ω of infinite reduced words carries a unique probability ν such that (Ω, ν) is a frontier of (Γ, μ) in the sense of Furstenberg [10]. This result extends to the right random walk (X_n) determined by a probability μ on the group G of automorphisms of an arbitrary infinite locally finite tree T . Assuming that $\text{Supp}(\mu)$ is not contained in any amenable closed subgroup of G , then with probability 1 there is an end ω of T such that $X_n v$ converges to ω for each $v \in T$. Our methods are principally drawn from [9] and [10].

1. INTRODUCTION AND PRELIMINARIES

Let μ be a probability on the free group Γ generated by $\{a_1, \dots, a_r\}$, where $r \geq 2$. Consider the right random walk $(X_n)_{n \geq 0}$ on Γ determined by μ ; i.e., $X_0 = e$, and $X_n = Y_1 Y_2 \cdots Y_n$ for $n \geq 1$, where the Y_j 's are independent Γ -valued random variables on some probability space (Ξ, \mathcal{F}, P) , with $P(Y_j = x) = \mu(x)$ for each $x \in \Gamma$ and $j \geq 1$. Thus $(X_n)_{n \geq 0}$ is a Markov chain with transition probabilities $p(x, y) = \mu(x^{-1}y)$. It is well known that this chain is transient provided that it is irreducible, i.e., provided that for each $x \in \Gamma$ there is an $n \geq 1$ so that $\mu^{*n}(x) = P(X_n = x | X_0 = e) > 0$. In fact (see [1, p. 43] or [7]), it is transient provided that:

(1.1) The support $\text{Supp}(\mu)$ of μ is not contained in a cyclic subgroup of Γ .

Indeed, every noncyclic subgroup of Γ is nonamenable, by Schreier's Theorem [14]. Each $x \in \Gamma$ can be written in a unique way as a reduced word $x = x_1 x_2 \cdots x_m$, where $m \geq 0$, each x_i is an a_j or a_j^{-1} , and $x_{i+1} \neq x_i^{-1}$ for all $i < m$; we write $|x| = m$. Transience of (X_n) just means that $|X_n| \rightarrow \infty$ with

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probability 1. A finer description of this transience is obtained by considering the space Ω of infinite reduced words $\omega = x_1 x_2 \cdots x_m \cdots$, where each x_i is an a_j or a_j^{-1} and $x_{i+1} \neq x_i^{-1}$ for all i . It will follow from our main result (see Corollary 2.3, page 821) that assuming (1.1), with probability 1, X_n converges to an ω , i.e., for each $r \geq 1$ there is an n_r so that once $n \geq n_r$, the first r letters of the reduced word expression of X_n agree with the first r letters of ω (here ω depends on the point ξ of the underlying probability space). This result has been proved under more restrictive conditions on μ in [6] (where $\text{Supp}(\mu)$ is finite), [13] (where $\sum_{x \in \Gamma} \log(|x| + 1)\mu(x) < \infty$), [5] (where $\mu(x)$ depends only on $|x|$), and it is attributed (without proof) in [11] to G. A. Margulis (assuming only irreducibility?).

It follows from our result that Ω , together with a measure ν it carries, uniquely determined by μ , is a frontier for (Γ, μ) in the sense of [10].

Now let T be an infinite locally finite tree (see, e.g., [14]). Let G denote the group of *automorphisms* of T , i.e., mappings of T onto itself which preserve the natural distance d on T . Equipped with the topology of pointwise convergence, G is a totally disconnected locally compact group. The subgroups $G(F) = \{\varphi \in G: \varphi(v) = v \text{ for all } v \in F\}$, where $F \subset T$ is finite, form a base of compact open neighbourhoods of the identity in G (see, e.g., [15]). As in [4], the *ends* of T are equivalence classes ω of infinite *chains*, i.e., sequences (v_0, v_1, \dots) of distinct vertices of T , in which v_i is a neighbour of v_{i+1} for each i . Chains (v_0, v_1, \dots) and (v'_0, v'_1, \dots) are equivalent if there exist r and s so that $v_{r+i} = v'_{s+i}$ for all $i \geq 0$. If we fix a vertex v_0 in T , then each end ω contains exactly one chain starting from v_0 , which we call the *geodesic* from v_0 to ω . Let Ω denote the set of ends. There is a natural topology on $T \cup \Omega$, making it a compact Hausdorff space containing T as a discrete dense subset. We may describe this topology as follows. Fix $v_0 \in T$. If $\omega \neq \omega' \in \Omega$, let (v_0, v_1, \dots) and $(v'_0 = v_0, v'_1, \dots)$ be the geodesics from v_0 to ω and ω' respectively. Let $j(\omega, \omega')$ be the largest $j \geq 0$ such that $v_j = v'_j$, and set $j(\omega, \omega) = \infty$. We may similarly define $j(v, \omega)$ and $j(v, v')$ for $v, v' \in T$ and $\omega \in \Omega$. Then the sets $N_k = \{\omega \in T \cup \Omega: j(\omega, \omega_0) \geq k\}$, $k = 1, 2, \dots$, form a base of neighbourhoods of $\omega_0 \in \Omega$. Notice that $j(\omega, \omega')$ depends on v_0 , but the topology does not. If $\omega \in \Omega$ and $\varphi \in G$, then $\varphi\omega$ is defined to be the end of the chain $(\varphi(v_0), \varphi(v_1), \dots)$, where (v_0, v_1, \dots) is the geodesic from v_0 to ω . This defines a continuous action of G on Ω .

Now let μ denote a probability measure on G and let $Y_1, Y_2, \dots, Y_n, \dots$ denote G -valued i.i.d. random variables with common distribution μ . Thus $X_0 = e$, $X_n = Y_1 Y_2 \cdots Y_n$ is a right random walk on G with transition probabilities $p(x, B) = \mu(x^{-1}B)$ for all $x \in G$ and all Borel sets $B \subseteq G$. Since T is a G -space (in the sense of Furstenberg) we may consider the process $X_n(v_0)$ where v_0 is any fixed element of T .

The main result of this paper consists in proving that if the support of μ is not contained in any amenable subgroup of G then, with probability 1, there exists an end $\omega \in \Omega$ such that $X_n(v_0) \rightarrow \omega$ and $n \rightarrow \infty$ for every $v_0 \in T$.

The free group Γ becomes a homogeneous tree of degree $2r$ if for each $x \in \Gamma$ an edge is drawn between x and the $2r$ elements $xa_j^{\pm 1}$ (the Cayley graph). Left multiplication then provides a natural embedding of Γ as a discrete subgroup of the group of automorphisms of this tree. Taking $v_0 = e$ we may identify ends with infinite reduced words. When $\varphi = g \in \Gamma$, $\varphi\omega$ is then obtained by multiplying the infinite reduced word ω on the left by g and cancelling where possible.

2. STATEMENTS AND PROOFS OF RESULTS

Let v_0 be a fixed vertex of T , and write $|v|$ for $d(v_0, v)$.

Lemma 2.1. *If $\text{Supp}(\mu)$ is not contained in a compact subgroup of G , then with probability 1 the sequence $(|X_n(v_0)|)$ is unbounded.*

Proof. As usual, we may suppose that $(\Xi, \mathcal{F}, P) = (G^{\mathbb{N}}, \mathcal{B}_G^{\mathbb{N}}, \mu^{\mathbb{N}})$ and the Y_j 's are the coordinate functions (use, e.g., [2, Prop. 2.39]). The left shift t on Ξ is measure-preserving and ergodic. Now $\Xi' = \{\xi \in \Xi: (|X_n(v_0)|) \text{ is bounded}\}$ is a t -invariant set, and so $P(\Xi') = 0$ or 1. Suppose that $P(\Xi') = 1$. Let U be a compact neighbourhood of 1 in G . Let S be a countable dense subset of $\text{Supp}(\mu)$. Then for each $m \geq 1$ and each $(g_1, \dots, g_m) \in S^m$, let V be a neighbourhood of 1 such that $g_1Vg_2V \cdots g_mV \subset g_1g_2 \cdots g_mU$. Let

$$A = \{\xi = (y_1, y_2, \dots) \in \Xi: y_j \in g_jV \text{ for } j = 1, \dots, m\}.$$

Then $P(A) = \prod_{j=1}^m \mu(g_jV) > 0$. By the Ergodic Theorem,

$$(2.1) \quad \frac{1}{n} \sum_{k=0}^{n-1} \chi_A(t^k \xi) \rightarrow P(A)$$

with probability 1, say for $\xi \in \Xi_{m, g_1, \dots, g_m}$. Let

$$\Xi'' = \bigcap_{m \geq 1, g_1, \dots, g_m \in S} \Xi_{m, g_1, \dots, g_m}.$$

Then $P(\Xi'') = 1$. Now let $\xi \in \Xi' \cap \Xi''$. Since $\xi \in \Xi'$, there is a compact set $K = K_\xi \subset G$ such that $X_n(\xi) \in K$ for all n . If $m \geq 1$ and $g_1, \dots, g_m \in S$, then (2.1) implies that $t^k \xi \in A$ infinitely often, and so $Y_{k+1}(\xi) \cdots Y_{k+m}(\xi) \in g_1 \cdots g_m U$ for infinitely many k . Hence $Y_{k+1}(\xi) \cdots Y_{k+m}(\xi) \in K^{-1}K \cap g_1 \cdots g_m U$, so that $g_1 \cdots g_m \in K^{-1}KU^{-1}$. This implies that the closed semi-group generated by $\text{Supp}(\mu)$ is compact, and hence is a group [3]. This contradiction to our hypothesis shows that $P(\Xi') = 0$.

Lemma 2.2. *Let (φ_n) be a sequence in G such that $\varphi_n(v_0) \rightarrow \omega_0 \in \Omega$ and $\varphi_n^{-1}(v_0) \rightarrow \omega^* \in \Omega$. Then $\varphi_n \omega \rightarrow \omega_0$ for each $\omega \in (T \cup \Omega) \setminus \{\omega^*\}$.*

Proof. Let us first observe that if $\varphi \in G$, $v \in T$ and $j(v, \varphi^{-1}(v_0)) = k < m = |\varphi(v_0)| = |\varphi^{-1}(v_0)|$, then $j(\varphi(v), \varphi(v_0)) = m - k$. Because if $(v_0, v_1, \dots, v_m = \varphi^{-1}(v_0))$ and $(w_0 = v_0, w_1, \dots, w_r = v)$ are the geodesics from v_0 to $\varphi^{-1}(v_0)$ and v respectively, then $v_j = w_j$ for $j \leq k$, while $v_{k+1} \neq w_{k+1}$. Then

$$(v_0 = \varphi(v_m), \varphi(v_{m-1}), \dots, \varphi(v_k), \varphi(w_{k+1}), \dots, \varphi(w_r) = \varphi(v))$$

is the geodesic from v_0 to $\varphi(v)$, while $(v_0 = \varphi(v_m), \dots, \varphi(v_j), \dots, \varphi(v_0))$ is that from v_0 to $\varphi(v_0)$.

Now let $\omega \in (T \cup \Omega) \setminus \{\omega^*\}$. Let (v_0, v_1, \dots) be the geodesic from v_0 to ω . Then $j = j(\omega, \omega^*) < \infty$. Once $j(\varphi_n^{-1}(v_0), \omega^*) > j$, we have $j(\varphi_n^{-1}(v_0), v_k) \leq j$ for all k . Hence, by the above, $j(\varphi_n(v_k), \varphi_n(v_0)) \geq |\varphi_n(v_0)| - j$. Thus given N , once $j(\varphi_n(v_0), \omega_0) \geq N$, $j(\varphi_n^{-1}(v_0), \omega^*) > j$ and $|\varphi_n(v_0)| \geq N + j$, we have $j(\varphi_n(v_k), \omega_0) \geq N$ for all k , so that $j(\varphi_n \omega, \omega_0) \geq N$.

Theorem. Let μ be a regular Borel probability on the group G of automorphisms of a locally finite infinite tree T . Let $(X_n)_{n \geq 0}$ be a corresponding right random walk on G . Assume that $\text{Supp}(\mu)$ is not contained in any amenable subgroup of G . Then with probability 1 there is an end $\omega \in \Omega$ such that $X_n(v) \rightarrow \omega$ in $T \cup \Omega$ for each fixed $v \in T$.

Proof. Consider the induced action of G on Ω . Since Ω is compact, there is a probability ν on Ω such that $\mu * \nu = \nu$, i.e.,

$$\int_{\Omega} f(\omega) d\nu(\omega) = \int_{\Omega} \int_G f(g\omega) d\mu(g) d\nu(\omega)$$

for all continuous f on Ω . The nonamenability hypothesis implies that ν is a continuous measure. For if $\nu(\{\omega\}) > 0$ for some $\omega \in \Omega$, let $a = \max\{\nu(\{\omega\}) : \omega \in \Omega\}$ and $S = \{\omega \in \Omega : \nu(\{\omega\}) = a\}$. Then S is finite, and $gS = S$ for all $g \in \text{Supp}(\mu)$ because $\mu * \nu = \nu$. But Nebbia [12] showed that if $\omega_0 \in \Omega$, then the closed group $G_{\omega_0} = \{g \in G : g\omega_0 = \omega_0\}$ is amenable. Thus if $\omega_0 \in S$, then $G_S = \{g \in G : g\omega = \omega \text{ for all } \omega \in S\}$ is a closed subgroup of G_{ω_0} , and hence amenable. But $H = \{g \in G : gS = S\}$ contains G_S as a normal subgroup of finite index, and is therefore amenable too. So $\text{Supp}(\mu) \subset H$ is contrary to our hypothesis.

According to [10, Lemma 3.1(Corollary)], with probability 1, $X_n \nu$ converges weak*. That is, there is a set $N \subset \Xi$ with $P(N) = 0$, so that if $\xi \in \Xi \setminus N$, there is a probability λ_{ξ} on Ω so that

$$\int_{\Omega} f(X_n(\xi)\omega) d\nu(\omega) \rightarrow \int_{\Omega} f(\omega) d\lambda_{\xi}(\omega)$$

for each f in the space $\mathcal{C}(\Omega)$ of continuous functions on Ω . We shall show that with probability 1, λ_{ξ} is a Dirac measure $\delta_{\omega_0(\xi)}$.

By Lemma 2.1, there is a null set N_1 so that $(|X_n(\xi)v_0|)$ is unbounded if $\xi \notin N_1$. Fix $\xi \notin N \cup N_1$. Let $\omega_0 \in \Omega$ be a cluster point of $(X_n(\xi)v_0)$. Then

we may choose a subsequence (φ_j) of $(X_n(\xi))$ such that $\varphi_j(v_0) \rightarrow \omega_0$ and $\varphi_j^{-1}(v_0) \rightarrow \omega^*$ for some $\omega^* \in \Omega$. Then $\varphi_j \omega \rightarrow \omega_0$ for all $\omega \in \Omega \setminus \{\omega^*\}$ by Lemma 2.2. But

$$\int_{\Omega} f(\varphi_j \omega) d\nu(\omega) \rightarrow \int_{\Omega} f(\omega) d\lambda_{\xi}(\omega)$$

for all $f \in \mathcal{C}(\Omega)$, and $\nu\{\omega^*\} = 0$. Hence $\lambda_{\xi} = \delta_{\omega_0}$. This shows that ω_0 is the only cluster point of $(X_n(\xi)v_0)$ in Ω . Once we have checked that $|X_n(\xi)v_0| \rightarrow \infty$, it will then follow that $X_n(\xi)v_0 \rightarrow \omega_0$, and hence that $X_n(\xi)v \rightarrow \omega_0$ for all $v \in T$. But if $|X_n(\xi)v_0| \not\rightarrow \infty$, there is a subsequence (φ_j) of $(X_n(\xi))$ and there is a $\varphi \in G$ such that $\varphi_j \rightarrow \varphi$ in G . Then $\varphi_j \omega \rightarrow \varphi \omega$ for all $\omega \in \Omega$. But this implies that $\nu = \delta_{\varphi^{-1}\omega_0}$, which contradicts the continuity of ν .

Corollary 2.3. *Let μ be a probability on the free group Γ satisfying (1.1). Let (X_n) be the corresponding right random walk on Γ . Then with probability 1 there is an end $\omega \in \Omega$ such that $X_n \rightarrow \omega$ in $\Gamma \cup \Omega$.*

Remarks. 1. Corollary 2.3 implies that Ω is a boundary of Γ in the sense of [10]. Furthermore, if T and μ are as in the Theorem, then Ω is a boundary of G in the same sense. For we can put a topology on $G \cup \Omega$ making it compact with G as an open dense subset. Indeed, the sets

$$N_k = \{\varphi \in G: j(\varphi(v_0), \omega) \geq k\} \cup \{\omega' \in \Omega: j(\omega', \omega) \geq k\},$$

for $k = 1, 2, \dots$, form a base of neighbourhoods at $\omega \in \Omega$ for such a topology.

2. Let μ be as in the Theorem or Corollary 2.3. Then there is only one probability ν on Ω such that $\mu * \nu = \nu$. For if $Z = \lim_{n \rightarrow \infty} X_n \in \Omega$, then ν must be the distribution of Z (see [10, p. 18]).

4. APPLICATION TO RANDOM WALKS IN $\text{PGL}(2, F)$, F A LOCAL FIELD

The general reference for this section is [14, Chapter II]. Let F be a commutative field with a discrete valuation v . Let $\pi \in F$ satisfy $v(\pi) = 1$, and let $\mathcal{O} = \{x \in F: v(x) \geq 0\}$. Assume that F is complete for the metric associated with v and that the residue field $\mathcal{O}/\pi\mathcal{O}$ has $q < \infty$ elements, so that F is locally compact. Let $G_0 = \text{GL}(2, F)$, $Z = \{\lambda I: \lambda \in F^\times\}$ and $K = \{g \in G_0: g \text{ and } g^{-1} \text{ have entries in } \mathcal{O}\}$. Then $\tilde{G}_0 = \text{PGL}(2, F) = G_0/Z$ is a subgroup of the group G of automorphisms of a homogeneous tree T of degree $q + 1$. Indeed, T may be realized as the set of equivalence classes of lattices in the two-dimensional vector space F^2 , where two lattices L, L' are equivalent if $L' = \lambda L$ for some $\lambda \in F^\times$. Let $\{e_1, e_2\}$ be the usual basis of F^2 , let $L_0 = \mathcal{O}e_1 \oplus \mathcal{O}e_2$, and let v_0 be the class of L_0 . Then G_0 acts transitively on T , and KZ is the stabilizer of v_0 . Thus $T \cong G_0/KZ$. The space Ω of ends of T is isomorphic as a G_0 -space to the set $\mathbf{P}_1(F)$ of lines in F^2 [14, p. 72]. The

stabilizer of $l_0 = Fe_1 \in \mathbf{P}_1(F)$ is $B = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in G_0 : c = 0 \right\}$. So we may identify Ω with G_0/B . If $x = \begin{pmatrix} a & b \\ c & d \end{pmatrix} Z \in \tilde{G}_0$, then $\begin{pmatrix} \bar{a} \\ \bar{c} \end{pmatrix}$ and $\begin{pmatrix} \bar{b} \\ \bar{d} \end{pmatrix} \in \mathbf{P}_1(F)$ (where $\begin{pmatrix} \bar{a} \\ \bar{c} \end{pmatrix} = F(ae_1 + ce_2)$) are called the *columns* of x . If $\max(|a|, |c|) \geq \max(|b|, |d|)$, where $|t| = q^{-v(t)}$, i.e., if $\min(v(a), v(c)) \leq \min(v(b), v(d))$, we call $\begin{pmatrix} \bar{a} \\ \bar{c} \end{pmatrix}$ the *larger* of the columns of x , otherwise we call $\begin{pmatrix} \bar{b} \\ \bar{d} \end{pmatrix}$ the larger.

Proposition 3.1. *Let μ be a regular Borel probability on \tilde{G}_0 . Suppose that $\text{Supp}(\mu)$ is not contained in an amenable subgroup of \tilde{G}_0 . Let $(X_k)_{k \geq 0}$ be the associated right random walk on \tilde{G}_0 . Then with probability 1, the larger of the two columns of X_k tends to a limit in $\mathbf{P}_1(F)$.*

Proof. This will be an immediate consequence of the Theorem once we interpret what it means that $g_k KZ \rightarrow gB$ in $T \cup \Omega$. Now l_0 corresponds to the end ω_0 of the chain (v_0, v_1, v_2, \dots) in T , where v_n is the class of the lattice $L_n = \mathcal{O}e_1 + \pi^n L_0 = \mathcal{O}e_1 \oplus \pi^n \mathcal{O}e_2 = x_n L_0$ for

$$x_n = \begin{pmatrix} 1 & 0 \\ 0 & \pi^n \end{pmatrix}.$$

For each $g \in G_0$ we have $gKZ = g'KZ$, where

$$(3.1) \quad g' = \begin{pmatrix} 1 & 0 \\ \tau & \pi^\delta \end{pmatrix} \quad \text{or} \quad g' = \begin{pmatrix} \tau & \pi^\delta \\ 1 & 0 \end{pmatrix}.$$

Here $\delta \geq 0$ is unique and $\tau \in \mathcal{O}$ is unique mod π^δ within each of the two types in (3.1), and

$$\begin{pmatrix} 1 & 0 \\ \tau & \pi^\delta \end{pmatrix} KZ = \begin{pmatrix} \tau' & \pi^\delta \\ 1 & 0 \end{pmatrix} KZ$$

can only happen for $\tau = \tau' = \delta = 0$ or when $\delta > 0$, $v(\tau) = v(\tau') = 0$ and $\tau' = \tau^{-1} \text{ mod } \pi^\delta$. Also, $\delta = d(v_0, gv_0)$. Using this to calculate $d(gv_0, v_n) = d(v_0, g^{-1}x_n v_0)$, we find that $j(gv_0, \omega)$ (measured from v_0) equals $j(gv_0, v_n)$ once $n \geq \delta$, and this equals either $v(\tau)$, δ , or 0, according as g' in (3.1) is of the first type with $\tau \notin \pi^\delta \mathcal{O}$, the first type with $\tau \in \pi^\delta \mathcal{O}$, or the second type, respectively.

Thus $g_k KZ \rightarrow gB$ in $T \cup \Omega$ means that for large k ,

$$g^{-1} g_k KZ = \begin{pmatrix} 1 & 0 \\ \tau_k & \pi^{\delta_k} \end{pmatrix} KZ,$$

where $m_k = \min(v(\tau_k), \delta_k) \rightarrow \infty$. So if $g = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$, we can find $\lambda_k \in F^\times$ and

$$\begin{pmatrix} t_k & u_k \\ t'_k & u'_k \end{pmatrix} \in K$$

so that

$$\begin{aligned}\lambda_k^{-1} g_k &= \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} 1 & 0 \\ \tau_k & \pi^{\delta_k} \end{pmatrix} \begin{pmatrix} t_k & u_k \\ t'_k & u'_k \end{pmatrix} \\ &= \begin{pmatrix} at_k & au_k \\ ct_k & cu_k \end{pmatrix} \pmod{\pi^{m_k+\alpha}},\end{aligned}$$

where $\alpha = \min(v(b), v(d))$. Since $\min(v(t_k), v(u_k)) = 0$, this proves the result.

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