

## THE LIFTING OF THE UKK PROPERTY FROM $E$ TO $C_E$

YU-PING HSU

(Communicated by Dale Alspach)

**ABSTRACT.** In this paper we show that  $C_E$ , the unitary matrix space associated with the symmetrically normed sequence space  $E$ , has the *UKK* property for the weak operator topology if  $E$  has the *UKK* property for the pointwise convergence topology. We also prove that the quasi-normed space  $C_p = C_{l_p}$ , for  $0 < p < 1$ , has the *UKK* property for the weak operator topology.

### 1. INTRODUCTION

Let  $\Phi$  be a symmetric norm on  $F$ , the space of infinite sequences with only finitely many nonzero elements, i.e.,  $\Phi$  is invariant under permutations and depends only on the absolute values of coordinates. The maximal symmetric sequence space associated to  $\Phi$ , denoted by  $E_\Phi$ , is defined by

$$E_\Phi = \{x : \lim_{n \rightarrow \infty} \Phi(x_1, x_2, \dots, x_n, 0, 0, \dots) < \infty\},$$

with norm  $\|x\|_{E_\Phi} = \lim_{n \rightarrow \infty} \Phi(x_1, x_2, \dots, x_n, 0, 0, \dots)$ . The minimal symmetric sequence space associated to  $\Phi$ , denoted by  $E_\Phi^{(0)}$ , is defined to be the closure (in  $E_\Phi$ ) of  $F$ .

Let  $E$  be a general symmetric sequence space lying between  $E_\Phi^{(0)}$  and  $E_\Phi$ . The *unitary matrix space*  $C_E$  associated with  $E$  is the Banach space of all compact operators on  $l_2$  for which  $\lambda(A) \in E$ , normed by  $\Phi(A) = \|(\lambda(A))\|_E$ . Here  $\lambda(A) = (\lambda_n(A))$  is the sequence of  $s$ -numbers of  $A$ , i.e., the eigenvalues of  $(A^*A)^{1/2}$  arranged in a nonincreasing ordering counting multiplicity.

Let  $(X, \|\cdot\|)$  be a Banach space, and let  $\tau$  be a topological vector space topology on  $X$  that is weaker than the norm topology.

**Definition 1.1.**  $X$  is said to have the *Kadec-Klee* property with respect to  $\tau$ , denoted by  $KK(\tau)$ , if whenever  $\{x_n\}_{n=1}^\infty$  is a sequence in  $X$  such that  $x_n \rightarrow x \in X$  with respect to  $\tau$  and  $\|x_n\| \rightarrow \|x\|$ , then it follows that  $\|x_n - x\| \rightarrow 0$ .

**Definition 1.2.**  $X$  is said to have the *uniform Kadec-Klee* property with respect to  $\tau$ , denoted by  $UKK(\tau)$ , if for every  $\varepsilon > 0$  there exists  $\delta(\varepsilon) > 0$  so that

---

Received by the editors April 28, 1993 and, in revised form, November 5, 1993.

1991 *Mathematics Subject Classification.* Primary 47D25, 46B20; Secondary 47H10.

*Key words and phrases.* Kadec-Klee, fixed point property, unitary matrix space, symmetric sequence space.

whenever  $\{x_n\}_{n=1}^{\infty}$  is a sequence in the unit ball of  $X$  such that  $x_n \rightarrow x \in X$  with respect to  $\tau$  and  $\inf_{n \neq m} \|x_n - x_m\| \geq \varepsilon$ , then it follows that  $\|x\| \leq 1 - \delta(\varepsilon)$ .

It is easy to show that the *UKK* property implies the *KK* property.

For a symmetric sequence space  $E$  and its associated unitary matrix space  $C_E$  we are interested in the topology of pointwise convergence and the weak operator topology respectively.

Arazy [1] and Simon [14] show that if  $E$  has the *KK* property with respect to the pointwise convergence topology, then  $C_E$  has the *KK* property with respect to the weak operator topology. Our main result (Theorem 3.1) is the analogous result for the *UKK* property. As a consequence of the main result,  $C_E$  has a fixed point property for nonexpansive mappings if  $E$  has the *UKK* property. We must also mention here the recent interesting results in [2] concerning relations between the *UKK* property for a symmetric Banach function space  $E$  and the corresponding space  $E(\mathcal{M})$  of all  $\tau$ -measurable operators affiliated with a von Neumann algebra  $\mathcal{M}$  that supports a faithful, normal, semi-finite trace  $\tau$  whose decreasing rearrangement lies in  $E$ : if  $E$  is  $\alpha$ -convex with constant 1 for some  $0 < \alpha \leq 1$  and if  $E$  satisfies a lower- $q$  estimate with constant 1 for some finite  $q \geq \alpha$ , then  $E(\mathcal{M})$  has the *UKK* property for the topology of local convergence in measure. As a special case of this result it follows that  $C_p$  ( $0 < p < 1$ ) has the *UKK* property for the weak operator topology. In Section 4 below we present a short elementary proof of this fact.

This paper is part of my Ph.D. dissertation. I thank my adviser Stephen Dilworth for his guidance. And I also thank Chris Lennard for sending me the preprint [2] and for his helpful comments.

## 2. PRELIMINARIES

The following definition is useful in studying the *UKK* property.

**Definition 2.1.** The *UKK*( $\tau$ )-modulus of a space  $X$  is defined by  $\delta_X(\varepsilon) = \inf\{1 - \|x\|\}$ , where the infimum is taken over all  $x$  such that  $x$  is the  $\tau$ -limit of a sequence  $\{y_n\}$  in the unit ball of  $X$  with  $\inf_{n \neq m} \|y_n - y_m\| \geq \varepsilon$ .

**Lemma 2.2.** If  $E$  has the *KK* property for the topology of pointwise convergence then  $E$  is minimal (i.e.,  $E = E_{\Phi}^{(0)}$ ).

*Proof.* Let  $x \in E$ . Then  $x^{(n)} = (x_1, x_2, \dots, x_n, 0, 0, \dots) \rightarrow x$  pointwise and  $\|x^{(n)}\|_E \rightarrow \|x\|_E$ . By the *KK* property,  $\|x^{(n)} - x\|_E \rightarrow 0$ ; hence  $x \in E_{\Phi}^{(0)}$ .

**Lemma 2.3.** If  $E$  has the *UKK* property for the topology of pointwise convergence then  $E$  is maximal (i.e.,  $E = E_{\Phi}$ ).

*Proof.* Suppose that  $E$  is not maximal. Then  $(e_n)_{n=1}^{\infty}$  is not a boundedly complete Schauder basis (see [10, Definition 1.b.3]). Therefore by [10, Theorem 1.c.10]  $E$  contains a subspace isomorphic to  $c_0$ . By [7, Lemma 2.2], for any  $\varepsilon > 0$ , there is a sequence  $\{y_n\}$  of elements of the unit ball such that

$$(1 - \varepsilon) \sup |a_i| \leq \left\| \sum a_i y_i \right\|_E \leq \sup |a_i|$$

for all finite sequences  $\{a_n\}$  of real numbers. Let  $z_n = y_1 + y_n$ ; then  $\|z_n\|_E \leq 1$  and  $\|z_n - z_m\|_E \geq 1 - \varepsilon$ . Clearly  $y_n \rightarrow 0$  pointwise in  $E$ , and so  $z_n \rightarrow y_1$

pointwise in  $E$ . Let  $\delta(\cdot)$  denote the  $UKK$ -modulus for the topology of pointwise convergence. Then

$$1 - \delta(1 - \varepsilon) \geq \|y_1\|_E \geq 1 - \varepsilon,$$

which is a contradiction for  $\varepsilon > 0$  sufficiently small.

**Example 2.4.** For every  $x \in c_0$ , define  $\|x\| = \sum 2^{-n} x_n^*$  where  $(x_n^*)$  is the decreasing rearrangement of  $(|x_n|)$ . Then  $(c_0, \|\cdot\|)$  has the  $KK$  property but not the  $UKK$  property for the topology of pointwise convergence. Since  $c_0$  is not maximal, it follows that Lemma 2.3 breaks down if “ $UKK$ ” is replaced by “ $KK$ ”.

*Proof.* Let  $\{x^{(k)}\}$  be a sequence in  $c_0$  converging pointwise to  $x \in c_0$  and with  $\|x^{(k)}\| \rightarrow \|x\|$ . Without loss of generality we may assume that  $x = (x_n^*)$  and that  $\|x^{(k)}\| = \|x\| = 1$ . Given  $\varepsilon > 0$ , there exists  $N$  such that  $x_i < \varepsilon/2$  for all  $i > N$ , and so  $\|(x_1, x_2, \dots, x_N, 0, 0, \dots)\| \geq 1 - 2^{-(N+1)}\varepsilon$ . Since  $x^{(k)} \rightarrow x$  pointwise, there exists  $M$  such that

$$\|(x_1^{(k)}, x_2^{(k)}, \dots, x_N^{(k)}, 0, 0, \dots) - (x_1, x_2, \dots, x_N, 0, 0, \dots)\| < 2^{-(N+1)}\varepsilon$$

for all  $k > M$ . Thus,

$$\|(x_1^{(k)}, x_2^{(k)}, \dots, x_N^{(k)}, 0, 0, \dots)\| > 1 - 2^{-N}\varepsilon$$

for all  $k > M$ . By an obvious rearrangement inequality, for all  $k > M$  we have

$$\begin{aligned} \|(x_1^{(k)}, x_2^{(k)}, \dots, x_N^{(k)}, 0, 0, \dots)\| + 2^{-N}\|(x_{N+1}^{(k)}, x_{N+2}^{(k)}, \dots, x_n^{(k)}, \dots)\| \\ \leq \|x^{(k)}\| \leq 1. \end{aligned}$$

Hence  $\|(x_{N+1}^{(k)}, x_{N+2}^{(k)}, \dots, x_n^{(k)}, \dots)\| \leq \varepsilon$  for all  $k > M$ . Thus,

$$\begin{aligned} \|x^{(k)} - x\| &\leq \|(x_1^{(k)}, x_2^{(k)}, \dots, x_N^{(k)}, 0, 0, \dots) - (x_1, x_2, \dots, x_N, 0, 0, \dots)\| \\ &\quad + \|(x_{N+1}^{(k)}, x_{N+2}^{(k)}, \dots, x_n^{(k)}, \dots)\| + \|(x_{N+1}, x_{N+2}, \dots, x_n, \dots)\| \\ &\leq (2^{-(N+1)} + 1 + 2^{-1})\varepsilon < 2\varepsilon \end{aligned}$$

for all  $k > M$ . So  $x^{(k)} \rightarrow x$  in norm. Therefore,  $c_0$  has the  $KK$  property with respect to the topology of pointwise convergence. It is interesting to note that by [1,14] this symmetric  $KK$  norm on  $c_0$  will lift to a symmetric  $KK$  norm on the ideal of compact operators on  $l_2$ .

In the following  $\delta_E$  denotes the  $UKK$ -modulus for the topology of pointwise convergence in a symmetric sequence space  $E$ . We estimate  $\delta_E$  in terms of a geometrical quantity  $\beta_E$ .

**Proposition 2.5.** Let  $E$  be a symmetric sequence space with the  $KK$  property, and let

$$\beta_E(\varepsilon) = \inf\{1 - \|x\|_E : \|x + y\|_E \leq 1, x, y \text{ disjoint and } \|y\|_E \geq \varepsilon, x, y \in E\}.$$

Then  $\delta_E(\varepsilon/2) \leq \beta_E(\varepsilon) \leq \delta_E(2\varepsilon)$ .

*Proof.* Given  $\eta > 0$ , choose  $x, y \in E$  disjoint with  $\|x + y\|_E \leq 1$ ,  $\|y\|_E \geq \varepsilon$  such that  $1 - \|x\|_E < \beta_E(\varepsilon) + \eta$ . Since  $E$  is minimal, we can choose  $N$  such that

$$\|(x_1, x_2, \dots, x_N, 0, 0, \dots) - x\|_E < \eta$$

and

$$\|(y_1, y_2, \dots, y_N, 0, 0, \dots)\|_E > \varepsilon/2.$$

Define for  $k = 1, 2, 3, \dots$ ,

$$z^{(k)} = (x_1, x_2, \dots, x_N, \underbrace{0, 0, \dots, 0}_{kN \text{ times}}, y_1, y_2, \dots, y_N, 0, 0, \dots).$$

Then

$$\|z^{(k)}\|_E \leq \|x + y\|_E \leq 1, \quad \|z^{(k)} - z^{(j)}\|_E > \varepsilon/2, \quad j \neq k,$$

and  $z^{(k)} \rightarrow (x_1, x_2, \dots, x_N, 0, 0, \dots)$  in the pointwise convergence topology. Therefore by the definition of the modulus we have

$$\begin{aligned} \delta_E(\varepsilon/2) &\leq 1 - \|(x_1, x_2, \dots, x_N, 0, 0, \dots)\|_E \\ &\leq 1 - \|x\|_E + \eta \\ &\leq \beta_E(\varepsilon) + 2\eta. \end{aligned}$$

Since  $\eta$  is arbitrary,  $\delta_E(\varepsilon/2) \leq \beta_E(\varepsilon)$ . Given  $\eta > 0$ , choose  $x, \{y^{(n)}\}$  with  $\|y^{(n)}\|_E \leq 1$ ,  $\inf_{m \neq n} \|y^{(n)} - y^{(m)}\|_E \geq 2\varepsilon$ , and  $y^{(n)} \rightarrow x$  pointwise, such that  $1 - \|x\|_E \leq \delta_E(2\varepsilon) + \eta$ . Since  $y^{(n)} \rightarrow x$  pointwise, there exists  $M > 0$  such that for all  $m > M$ , we have (where  $N$  is chosen as before)

$$\|(y_1^{(m)}, y_2^{(m)}, \dots, y_N^{(m)}, 0, 0, \dots) - (x_1, x_2, \dots, x_N, 0, 0, \dots)\|_E < \eta.$$

Therefore, for all  $m > M$ ,

$$\|(y_1^{(m)}, y_2^{(m)}, \dots, y_N^{(m)}, 0, 0, \dots) - x\|_E < 2\eta.$$

Since  $\inf_{n \neq m} \|y^{(m)} - y^{(n)}\|_E \geq 2\varepsilon$ , there are infinitely many  $y^{(m)}$  such that

$$\|y^{(m)} - x\|_E \geq \varepsilon.$$

Hence  $\|y^{(m)} - (y_1^{(m)}, \dots, y_N^{(m)}, 0, 0, \dots)\|_E \geq \varepsilon - 2\eta$  for such  $m$ . Therefore

$$\begin{aligned} \beta_E(\varepsilon - 2\eta) &\leq 1 - \|(y_1^{(m)}, y_2^{(m)}, \dots, y_N^{(m)}, 0, 0, \dots)\|_E \\ &\leq 1 - \|x\|_E + 2\eta \\ &\leq \delta_E(2\varepsilon) + 3\eta. \end{aligned}$$

Since  $\eta$  is arbitrary, we have  $\beta_E(\varepsilon) \leq \delta_E(2\varepsilon)$ .

The proof of the following lemma may be found in Simon [14].

**Lemma 2.6.** *Let  $\Phi$  be an arbitrary symmetric norm.*

(a) *If  $P$  is an orthogonal projection and  $Q = I - P$ , and if  $A \in C_E$ , then  $PAP + QAQ \in C_E$  and  $\Phi(PAP + QAQ) \leq \Phi(A)$ .*

(b) *If  $A^*A$  and  $B^*B$  lie in  $C_E$ , then  $A^*B$  lies in  $C_E$  and*

$$\Phi(A^*B) \leq \Phi(A^*A)^{1/2} \Phi(B^*B)^{1/2}.$$

(c) *If  $A_n^{(j)} \rightarrow A^{(j)}$ ,  $j = 1, \dots, k$ , weakly with  $A_n^{(j)}, A^{(j)} \in C_E$ , then we can find an increasing sequence of finite rank projections  $P_n$  with  $\lim P_n = I$  in the strong operator topology such that  $\Phi(P_n A_n^{(j)} P_n - A^{(j)}) \rightarrow 0$ ,  $j = 1, \dots, k$ .*

**Lemma 2.7.** *Let  $A \in C_E$ . If  $P, Q$  are orthogonal projections, then*

$$\Phi(PAQ) \leq \Phi(|A|)^{1/2} \Phi(Q|A|Q)^{1/2}.$$

*Proof.*

$$\begin{aligned} \Phi(PAQ) &\leq \Phi(AQ) \\ &= \Phi(W|A|Q) \text{ (for some partial isometry } W) \\ &\leq \Phi(|A|Q) = \Phi(|A|^{1/2}|A|^{1/2}Q) \\ &\leq \Phi(|A|)^{1/2}\Phi(Q|A|Q)^{1/2} \text{ (by lemma 2.6(b)).} \end{aligned}$$

For a proof of the following lemma the reader is referred to [5, Theorem 5.1, Lemma 5.2].

**Lemma 2.8.** *Let  $\Phi$  be a symmetric norm, and let  $E = E_\Phi$ .*

(a)  $C_E$  coincides elementwise with  $C_\infty$  the space of all compact operators if and only if  $\lim_{n \rightarrow \infty} \Phi(\underbrace{1, 1, \dots, 1}_n, 0, 0, \dots) < \infty$ .

(b) Suppose  $C_E$  does not coincide elementwise with  $C_\infty$ . Whenever a bounded operator  $A$  is the weak limit of a sequence of operators  $\{A_m\}_1^\infty$  from  $C_E$  such that  $\sup_m \Phi(A_m) < \infty$ , then  $A$  also belongs to  $C_E$ , and  $\Phi(A) \leq \sup_m \Phi(A_m)$ .

**Proposition 2.9.** *If a symmetric space  $(E, \Phi)$  has the UKK property for the topology of pointwise convergence, then the closed unit ball of  $C_E$  is sequentially compact for the weak operator topology.*

*Proof.* Let  $\langle A_n \rangle$  be a sequence in the closed unit ball of  $C_E$  and let  $\{\varphi_i\}$  be an orthonormal basis for the underlying Hilbert space  $H$ . Then for fixed  $i, j$  each sequence  $\langle (A_n \varphi_i, \varphi_j) \rangle_{n=1}^\infty$  lies in the closed interval  $[-1, 1]$ , since

$$\begin{aligned} |(A_n \varphi_i, \varphi_j)| &\leq \|A_n \varphi_i\| \cdot \|\varphi_j\| \leq \|A_n\| \cdot \|\varphi_i\| \cdot \|\varphi_j\| \\ &\leq \|A_n\| \leq \Phi(A_n) \leq 1. \end{aligned}$$

By a diagonal process there is a subsequence  $\langle A_{n_k} \rangle$  and a bounded operator  $A$  such that  $(A_{n_k} \varphi_i, \varphi_j) \rightarrow (A \varphi_i, \varphi_j)$  for all  $i, j$ ; in particular,  $A_{n_k} \rightarrow A$  in the weak operator topology. Suppose, to derive a contradiction, that  $\sup_n \Phi(\underbrace{1, 1, \dots, 1}_n, 0, 0, \dots) = \infty$ . Since  $\Phi$  has the UKK property,  $E$  is

maximal by Lemma 2.3. Thus  $x = (1, 1, \dots, 1, \dots) \in E$ , and so  $x_k = (\underbrace{1, 1, \dots, 1}_k, 0, 0, \dots)$  converges to  $x$  pointwise and  $\Phi(x_k) \rightarrow \Phi(x)$ , which

implies that  $\Phi(x_k - x) \rightarrow 0$  by the KK property for  $E$ ; but  $\Phi(x_k - x) = \Phi(\underbrace{0, 0, \dots, 0}_k, 1, 1, \dots) \geq 1$  for all  $k$ , which is the desired contradiction.

Hence, by Lemma 2.8(a),  $C_E$  and  $C_\infty$  do not coincide elementwise, and by Lemma 2.8(b),  $A$  is in the closed unit ball of  $C_E$ .

*Remark 2.10.* Example 2.4 shows that Proposition 2.9 breaks down if “UKK” is replaced by “KK”.

### 3. UKK PROPERTY FOR $E$ IMPLIES UKK PROPERTY FOR $C_E$

**Theorem 3.1.** *If a symmetric sequence space  $E$  with norm  $\Phi$  has the UKK property for the pointwise convergence topology, then  $C_E$  has the UKK property*

for the weak operator topology. Moreover, if  $\delta_E$  and  $\delta_{C_E}$  denote the corresponding UKK-moduli for  $E$  and  $C_E$  respectively, then  $\delta_{C_E}(\varepsilon) \geq \frac{1}{2}\delta_E(\varepsilon^2/128)$ .

*Proof.* Suppose  $\langle A_n \rangle$  is a sequence in the unit ball of  $C_E$  and that  $A_n \rightarrow A$  in the weak operator topology and  $\inf_{n \neq m} \Phi(A_m - A_n) \geq \varepsilon > 0$ . Without loss of generality we may assume  $|A_n| \xrightarrow{w} B$ ,  $|A_n^*| \xrightarrow{w} C$  for some  $B$  and  $C$  belong to the closed unit ball of  $C_E$ , since by Proposition 2.9 the unit ball of  $C_E$  is sequentially compact. By Lemma 2.6(c) there is a sequence  $P_n$  of finite rank orthogonal projections such that  $P_n \uparrow I$  strongly,  $\Phi(P_n A_n P_n - A) \rightarrow 0$ ,  $\Phi(P_n |A_n| P_n - B) \rightarrow 0$ , and  $\Phi(P_n |A_n^*| P_n - C) \rightarrow 0$ . Let  $Q_n = I - P_n$ . Since there are infinitely many  $A_n$  with  $\Phi(A_n - A) \geq \varepsilon/2$ , we may assume, by passing to a subsequence, that

$$\begin{aligned} \frac{\varepsilon}{2} \leq \Phi(A_n - A) &\leq \Phi(P_n A_n P_n - A) + \Phi(P_n A_n Q_n) \\ &\quad + \Phi(Q_n A_n Q_n) + \Phi(Q_n A_n P_n) \end{aligned}$$

for all  $n$ . Since  $\Phi(P_n A_n P_n - A) \rightarrow 0$ , one of the following must hold:

- (i)  $\Phi(Q_n A_n Q_n) \geq \varepsilon/8$  for infinitely many  $n$ ;
- (ii)  $\Phi(P_n A_n Q_n) \geq \varepsilon/8$  for infinitely many  $n$ ;
- (iii)  $\Phi(Q_n A_n P_n) \geq \varepsilon/8$  for infinitely many  $n$ .

By passing to a subsequence, we may suppose that one of the three cases holds for all  $n$ .

*Case 1.* Suppose (i) holds for all  $n$ . Define  $x^{(1)}$  to be the sequence obtained by first listing all the singular numbers of  $P_1 A_1 P_1$ , including enough zeros to have  $\dim P_1$  entries, and then after that listing finitely many singular numbers of  $Q_1 A_1 Q_1$  so that  $\Phi(\lambda_1(Q_1 A_1 Q_1), \dots, \lambda_{s_1}(Q_1 A_1 Q_1)) \geq \varepsilon/16$ , and finally zeros after that.

Now suppose that  $x^{(j-1)}$  has been defined. We define  $x^{(j)}$  inductively by first listing all the singular numbers of  $P_{n_j} A_{n_j} P_{n_j}$ , where  $n_j > n_{j-1}$  is chosen such that  $n_j$  is the least number such that  $\dim P_{n_j} >$  the length of  $x^{(j-1)}$  (here the length of  $x^{(j)}$  is defined to equal  $\min\{n : x_i^{(j)} = 0 \forall i > n\}$ ), and then listing finitely many singular number of  $Q_{n_j} A_{n_j} Q_{n_j}$  such that

$$\Phi(\lambda_1(Q_{n_j} A_{n_j} Q_{n_j}), \lambda_2(Q_{n_j} A_{n_j} Q_{n_j}), \dots, \lambda_{s_j}(Q_{n_j} A_{n_j} Q_{n_j})) \geq \varepsilon/16.$$

By Lemma 2.6(a), we have

$$\Phi(x^{(j)}) \leq \Phi(P_{n_j} A_{n_j} P_{n_j} + Q_{n_j} A_{n_j} Q_{n_j}) \leq \Phi(A_{n_j}) \leq 1.$$

Thus we have defined a sequence  $\langle x^{(n)} \rangle$  in the unit ball of  $E$ . Let  $x$  be the sequence of singular numbers of  $A$ . Now  $\|P_n A_n P_n - A\| \rightarrow 0$ , and so  $x_i^{(j)} \rightarrow x_i$ ; also, for  $j > i$ ,

$$\Phi(x^{(i)} - x^{(j)}) \geq \varepsilon/16.$$

Since  $E$  has the UKK property, we have  $\Phi(x) \leq 1 - \delta_E(\varepsilon/16)$ . So  $\Phi(A) = \Phi(x) \leq 1 - \delta_E(\varepsilon/16)$ .

*Case 2.* Suppose (ii) holds for all  $n$ . By Lemma 2.7

$$\Phi(P_n A_n Q_n) \leq \Phi(|A_n|)^{1/2} \Phi(Q_n |A_n| Q_n)^{1/2},$$

i.e.  $\Phi(Q_n |A_n| Q_n) \geq \Phi(P_n A_n Q_n)^2 \geq \varepsilon^2/64$ .

Define  $x^{(j)}$  in the same way as in Case 1, changing  $A_{n_j}$  to  $|A_{n_j}|$ ,  $A$  to  $B$ , and  $\varepsilon/8$  to  $\varepsilon^2/64$ . We obtain  $\Phi(B) = \Phi(x) \leq 1 - \delta_E(\varepsilon^2/128)$ . But by Lemma 2.7,  $\Phi(P_n A_n P_n)^2 \leq \Phi(P_n |A_n| P_n)$ , and we have

$$\Phi(A) = \lim_{j \rightarrow \infty} \Phi(P_{n_j} A_{n_j} P_{n_j}) \leq \lim_{j \rightarrow \infty} \Phi(P_{n_j} |A_{n_j}| P_{n_j})^{1/2} = \Phi(B)^{1/2}.$$

So  $\Phi(A) \leq \Phi(B)^{1/2} \leq \sqrt{1 - \delta_E(\varepsilon^2/128)} \leq 1 - \frac{1}{2} \delta_E(\varepsilon^2/128)$ .

Case 3. Suppose (iii) holds for all  $n$ . Since  $\Phi(Q_n A_n P_n) = \Phi(P_n A_n^* Q_n)$ , Lemma 2.7 again gives

$$\Phi(Q_n |A_n^*| Q_n) \geq \Phi(Q_n A_n P_n)^2 \geq \varepsilon^2/64.$$

Similarly we will get

$$\begin{aligned} \Phi(A) &= \lim_{j \rightarrow \infty} \Phi(P_{n_j} A_{n_j} P_{n_j}) \\ &\leq \lim_{j \rightarrow \infty} \Phi(P_{n_j} |A_{n_j}^*| P_{n_j})^{1/2} \\ &= \Phi(C) \leq 1 - \frac{1}{2} \delta_E(\varepsilon^2/128). \end{aligned}$$

Hence  $C_E$  has the UKK property for the weak operator topology and  $\delta_{C_E}(\varepsilon) \geq \frac{1}{2} \delta_E(\varepsilon^2/128)$ .

Remark. It is clear that  $\beta_{l_1} = \varepsilon$ . By Proposition 2.5,  $\delta_{l_1}(\varepsilon) \geq \varepsilon/2$  (in fact  $\delta_{l_1}(\varepsilon) = \varepsilon/2$ ).

So

$$\delta_{C_1}(\varepsilon) \geq \frac{1}{2} \delta_{l_1}(\varepsilon^2/128) \geq \frac{\varepsilon^2}{512}.$$

In Lennard [8] there is the better estimate  $1 - \delta_{C_1}(\varepsilon) \leq (1 - (\varepsilon/2\sqrt{3})^2)^{1/2}$ , which implies  $\delta_{C_1}(\varepsilon) \geq \varepsilon^2/24$ .

Let  $K$  be a closed bounded convex subset of a Banach space  $(X, \|\cdot\|)$ . A map  $T : K \rightarrow K$  is said to be *nonexpansive* if  $\|Tx - Ty\| \leq \|x - y\|$  for all  $x, y \in K$ .  $K$  is said to have the *fixed point property* if it has a fixed point for every nonexpansive mapping. By van Dulst and Sims [3], we have the following corollary.

**Corollary 3.2.** *If  $E$  has the UKK property for the topology of pointwise convergence, then every convex subset of  $C_E$  which is compact in the weak operator topology has the fixed point property.*

Remark 3.3. It follows from Proposition 2.9 and the above corollary that if  $E$  has the UKK property, then the closed unit ball of  $C_E$  has the fixed point property.

#### 4. THE UKK PROPERTY IN $C_p$ FOR $0 < p < 1$

First we give an example to show that Lemma 2.6(a) breaks down for quasi-norms. Thus the proof of Theorem 3.1 appears to break down completely for quasi-norms.

**Example 4.1.** Let  $p = \frac{1}{2}$ ,

$$A = \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix} \quad \text{and} \quad P = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}.$$

Then  $A = |A|$  and  $P$  is an orthogonal projection. Let  $Q = I - P$ , so that

$$PAP + QAQ = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}.$$

Hence  $\|PAP + QAQ\|_p = (1^{1/2} + 1^{1/2})^2 = 4$ . But  $\|A\|_p = (0^{1/2} + 2^{1/2})^2 = 2$ . So we have  $\|PAP + QAQ\|_p > \|A\|_p$ .

**Proposition 4.2.** *Let  $0 < p \leq 2$ , let  $P$  be an orthogonal projection, and let  $Q = I - P$ . Then  $\|A\|_p^2 \geq \|PA\|_p^2 + \|QA\|_p^2$ , and  $\|A\|_p^2 \geq \|AP\|_p^2 + \|AQ\|_p^2$  for all  $A \in C_p$ .*

*Proof.* By [6, Theorem 4],  $\|A\|_p = \min(\sum \|Ae_n\|^p)^{1/p}$ , where the minimum is taken over all orthonormal bases  $(e_n)$ . Let  $(e_n)$  be an orthonormal basis such that  $\|A\|_p = (\sum \|Ae_n\|^p)^{1/p}$ . Let  $f_n = PAe_n$  and  $g_n = Qe_n$ ; then

$$\begin{aligned} \|A\|_p &= (\sum \|Ae_n\|^p)^{1/p} = (\sum \|(P+Q)Ae_n\|^p)^{1/p} \\ &= (\sum \|PAe_n + Qe_n\|^p)^{1/p} = (\sum (\|f_n\|^2 + \|g_n\|^2)^{p/2})^{1/p} \\ &= [(\sum (\|f_n\|^2 + \|g_n\|^2)^{p/2})^{2/p}]^{1/2} \\ &\geq [(\|f_n\|^2)_{p/2} + (\|g_n\|^2)_{p/2}]^{1/2} \quad (\text{by the reverse Hölder inequality}), \\ &= [(\sum \|f_n\|^p)^{2/p} + (\sum \|g_n\|^p)^{2/p}]^{1/2} \\ &\geq [\|PA\|_p^2 + \|QA\|_p^2]^{1/2}. \end{aligned}$$

Consequently,  $\|A\|_p^2 = \|A^*\|_p^2 \geq \|PA^*\|_p^2 + \|QA^*\|_p^2 = \|AP\|_p^2 + \|AQ\|_p^2$ .

*Remark.* Applying Proposition 4.1 twice we obtain

$$\|A\|_p^2 \geq \|PAP\|_p^2 + \|QAP\|_p^2 + \|PAQ\|_p^2 + \|QAQ\|_p^2.$$

This inequality appears for the case  $p = 1$  in [1] and [8].

**Theorem 4.3.** *The quasi-normed operator ideal  $C_p$  ( $0 < p < 1$ ) has the UKK property with respect to the weak operator topology with  $\delta_{C_p}(\varepsilon) \geq (2^{3-6/p}/3)\varepsilon^2$ .*

*Proof.* Let  $(A_n)$  be a sequence in the unit ball of  $C_p$  such that  $\|A_m - A_n\|_p \geq \varepsilon$  ( $m \neq n$ ), and such that  $A_n \rightarrow A$  in the weak operator topology. Let  $\{P_n\}_{n=1}^\infty$  be finite rank orthogonal projections so that  $P_n \uparrow I$  strongly and  $\|P_n A_n P_n - A\|_p \rightarrow 0$ .

Since  $\|A_n - A_m\|_p \geq \varepsilon$ ,  $m \neq n$ , there is a subsequence  $(A_{n_k})$  with

$$\|A_{n_k} - A\|_p \geq \frac{\varepsilon}{2} \cdot 2^{1-\frac{1}{p}} = 2^{\frac{-1}{p}} \cdot \varepsilon.$$

Hence by the remark following Proposition 4.1,

$$\begin{aligned} 2^{\frac{-1}{p}} \cdot \varepsilon &\leq \|A_{n_k} - A\|_p \\ &\leq 2^{\frac{2}{p}-2} \{ \|P_{n_k} A_{n_k} P_{n_k} - A\|_p + \|P_{n_k} A_{n_k} Q_{n_k}\|_p \\ &\quad + \|Q_{n_k} A_{n_k} P_{n_k}\|_p + \|Q_{n_k} A_{n_k} Q_{n_k}\|_p \} \\ &\leq 2^{\frac{2}{p}-2} \{ \|P_{n_k} A_{n_k} P_{n_k} - A\|_p + \sqrt{3}(\|A_{n_k}\|_p^2 - \|P_{n_k} A_{n_k} P_{n_k}\|_p^2)^{1/2} \}. \end{aligned}$$

Taking the limit, we have

$$2^{\frac{-1}{p}} \cdot \varepsilon \leq \sqrt{3} \cdot 2^{\frac{2}{p}-2} (1 - \|A\|_p^2)^{1/2}.$$

Thus

$$2^{\frac{-2}{p}} \cdot \varepsilon^2 \leq 3 \cdot 2^{\frac{4}{p}-4} (1 - \|A\|_p^2).$$

So

$$\|A\|_p \leq (1 - \frac{2^{4-\frac{6}{p}}}{3} \varepsilon^2)^{1/2} \leq 1 - \frac{2^{3-\frac{6}{p}}}{3} \varepsilon^2.$$

Therefore,  $C_p$  has the *UKK* property for the weak operator topology with

$$\delta_{C_p}(\varepsilon) \geq \frac{2^{3-6/p}}{3} \varepsilon^2.$$

#### REFERENCES

1. J. Arazy, *More on convergence in unitary matrix spaces*, Proc. Amer. Math. Soc. **83** (1981), 44–48.
2. P. G. Dodds, T. K. Dodds, P. N. Dowling, C. J. Lennard, and F. A. Sukochev, *A uniform Kadec-Klee property for symmetric operator space*, preprint, 1992.
3. D. van Dulst and B. Sims, *Fixed points of non-expansive mappings and Chebyshev centers in Banach spaces with norms of type (KK)*, Banach Space Theory and its Applications, Lecture Notes in Math., vol. 991, Springer-Verlag, New York, 1983, pp. 35–43.
4. N. Dunford and J. Schwartz, *Linear operators, Vol. II. Spectral theory*, Interscience, New York, 1963.
5. I. C. Gohberg and M. G. Krein, *Introduction to the theory of linear nonselfadjoint operators*, Transl. Math. Monographs, vol. 18, Amer. Math. Soc., Providence, RI, 1969.
6. I. C. Gohberg and A. S. Markus, *Some relations between eigenvalues and matrix elements of linear operators*, Mat. Sb. **64** (1964), 481–496; English transl., Amer. Math. Soc. Transl. **52** (1966), 201–216.
7. Robert C. James, *Uniformly non-square Banach space*, Ann. Math. **80** (1964), 542–550.
8. C. J. Lennard,  $\mathcal{E}_1$  is uniformly Kadec-Klee, Proc. Amer. Math. Soc. **109** (1990), 71–77.
9. ———, *A new convexity property that implies a fixed point property for  $L_1$* , Studia Math. **100** (1991), 95–108.
10. J. Lindenstrauss and L. Tzafriri, *Classical Banach space I*, Springer-Verlag, Berlin, Heidelberg, and New York, 1977.
11. C. A. McCarthy,  $C_p$ , Israel J. Math. **5** (1967), 249–271.
12. R. Schatten, *Norm ideals of completely continuous operators*, Springer-Verlag, Berlin, 1960.
13. B. Simon, *Trace ideals and their applications*, Cambridge Univ. Press, Cambridge, 1979.
14. ———, *Convergence in trace ideals*, Proc. Amer. Math. Soc. **83** (1981), 39–43.

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF SOUTH CAROLINA, COLUMBIA, SOUTH CAROLINA 29208

*Current address:* 66-2 Ln. 6 Tunghsin St., Keelung, Taiwan, Republic of China

*E-mail address:* B0219@ntou66.ntou.edu.tw