

## KEEPING ADDITIVITY OF THE NULL IDEAL SMALL

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ABSTRACT. We shall show that various statements are consistent with additivity of the null ideal equal to  $\aleph_1$ ; for example, “all branchless trees of size  $\aleph_1$  are special”, (S) conjecture and “there are only five cofinal types of directed posets of size  $\aleph_1$ ”.

### 0. INTRODUCTION

In this paper we provide machinery for proving that a certain large class of forcings has a certain regularity property. The class in question includes posets used for

- (1) specializing branchless trees [S],
- (2) (S) conjecture [T2],
- (3) embedding the poset for adding  $\aleph_1$  Cohen reals into a given poset of uniform density  $\aleph_1$  [SZ],
- (4) classification of directed posets [T1] or transitive relations [T3] of size  $\aleph_1$ ,
- (5) other “side condition” combinatorics on  $\aleph_1$ ; e.g. shooting an uncountable set through a coherent sequence on  $\omega_1$  [T2].

The regularity property we obtain implies preservation of additivity of the ideal of Lebesgue null sets. As a corollary, it is consistent with ZFC set theory that additivity of the null ideal is  $\aleph_1$  and all statements obtainable through (1)–(5) above hold, that is, all branchless trees of size  $\aleph_1$  are special, (S) conjecture holds etc. Thus a definite limitation on a canonical variation of the powerful “side condition” method has been exacted for the first time.

Our notation follows the set-theoretical standard as set forth in [J]. In a forcing notion,  $p \leq q$  means “ $p$  is more informative than  $q$ ”. A tree  $T$  of height  $\omega_1$  is special if there is a function  $f : T \rightarrow \omega$  with  $s < t$  in  $T$  implying  $f(s) \neq f(t)$ . Trees grow upwards. (S) conjecture is the statement “every hereditarily separable Hausdorff space is hereditarily Lindelöf”. The symbol  $\mathcal{N}$  denotes the collection of Borel null sets, often confused with their Borel codes.  $H_\kappa$  is the set of all sets of hereditary cardinality  $< \kappa$ . If  $N$  is an elementary submodel of  $H_\kappa$  and  $P \in N$  is a forcing, a condition  $p \in P$  is called  $N$ -master if for every dense set  $D \subset P$  in  $N$ , the set  $D \cap N$  is predense below  $p$ .

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## 1. LOCALIZATION

**Definition 1.** Let  $\mathfrak{F} \subset {}^\omega\omega$  and let  $e$  be a positive integer. The family  $\mathfrak{F}$  is said to be  $e$ -localized if there exists a function  $h : \omega \rightarrow [\omega]^{<\aleph_0}$  such that

- (1)  $|h(n)| \leq n^e$  for every integer  $n$ ,
- (2) for every  $f \in \mathfrak{F}$  there is an integer  $n \in \omega$  so that for every  $m > n$ ,  $f(m) \in h(m)$  holds.

If  $e = 1$  then the family  $\mathfrak{F}$  is said to be localized.

The relevance of the above definition is revealed in the result of Bartoszyński [B, BJ Section 2.3.A] saying that for a transitive model  $M$  of ZFC the following are equivalent:

- (1)  $M \cap {}^\omega\omega$  is localized;
- (2)  $M \cap {}^\omega\omega$  is  $e$ -localized for some positive integer  $e$ ;
- (3) the union of all Lebesgue measure zero Borel sets coded in  $M$  has measure zero.

There is a natural c.c.c. forcing for making the set of ground model reals localized [Tr] and there are some preservation theorems for “the set of ground model reals is not localized” [JS], [BJ]. We shall prove that a large class of forcings preserves unlocalized families in a strong sense.

**Definition 2.** (1) [JS] Let  $\kappa$  be a large regular cardinal and  $N \prec H_\kappa$ . We say that a function  $f \in {}^\omega\omega$  is  $N$ -big if for every  $h : \omega \rightarrow [\omega]^{<\aleph_0}$  in the model  $N$  with  $|h(n)| \leq n$  the set  $\{m \in \omega : f(m) \notin h(m)\}$  is infinite.

(2) Let  $P$  be a forcing. We say that  $P$  is friendly if for every  $p \in P$ , every large enough regular cardinal  $\kappa$ , every countable elementary submodel  $N \prec H_\kappa$  with  $p, P$  in  $N$  and every  $N$ -big function  $f \in {}^\omega\omega$  there is an  $N$ -master condition  $q \leq p$  such that  $q \Vdash \check{f}$  is  $N[G]$ -big”.

The important point is that it is possible to iterate friendly forcings preserving the statement “the family of ground model reals is not localized” or equivalently, “ $\bigcup(\mathcal{N} \cap V) \notin \mathcal{N}$ ” –Lemma 13. Obviously, a finite iteration of friendly forcings is friendly and friendliness is inherited by regular subposets. In [JS] it is proved that the random algebra as well as every  $\sigma$ -centered forcing is friendly. We considerably extend these results.

## 2. SPECIALIZING TREES

The purpose of this section is to prove that the usual specializing forcing for a branchless tree of height  $\omega_1$  is friendly. The technique will be of great use in the next section. For now, fix a tree  $T$  of height  $\omega_1$  and no branches of length  $\omega_1$ . There is no restriction on the size of levels of  $T$ .

**Definition 3.** (1) If  $a, b$  are disjoint finite subsets of  $T$  then we say that  $a$  and  $b$  fit together if for every  $s \in a$  and  $t \in b$ ,  $s$  and  $t$  are incompatible as elements of  $T$ .

(2) The specialization forcing is  $P = \{p : p \text{ is a finite function from } T \text{ to } \omega \text{ such that } s <_T t \text{ in } \text{dom}(p) \text{ implies } p(s) \neq p(t)\}$  ordered by reverse inclusion.

**Lemma 4.** Let  $\{a_\alpha : \alpha \in \omega_1\}$  be a family of pairwise disjoint finite subsets of  $T$ . Then there is an infinite set  $Y \subset \omega_1$  such that  $a_\alpha : \alpha \in Y$  pairwise fit together.

*Proof.* The usual proof of c.c.c.-ness of  $P$  [S, p. 103] shows that there are  $\alpha \neq \beta$  with  $a_\alpha, a_\beta$  fitting together. The lemma follows from the Erdős-Dushnik-Miller partition relation  $\omega_1 \rightarrow (\omega_1, \omega)$  applied to the function  $f : [\omega_1]^2 \rightarrow 2$  defined by  $f(\alpha, \beta) = 0$  iff  $a_\alpha, a_\beta$  fit together.  $\square$

Now assume that  $\kappa$  is a large regular cardinal,  $N \prec H_\kappa$  is a countable submodel with  $T \in N$  and  $f$  is  $N$ -big. We shall prove that  $P \Vdash \check{f}$  is  $N[G]$ -big". Then, since the forcing  $P$  is c.c.c., any condition in it is  $N$ -master and it witnesses the friendliness of  $P$  as desired.

For contradiction, let  $p \in P, n \in \omega$  and  $\dot{h} \in N$  be such that

- (1)  $p \Vdash$  "for all  $m \in \omega, |\dot{h}(m)| \leq m$ ",
- (2)  $p \Vdash$  "for every  $m > n, \check{f}(m) \in \dot{h}(m)$ ".

Let  $p_0 = p \cap N \in N$ . By c.c.c.-ness of  $P$ , by strengthening the condition  $p$  if necessary one can arrange that  $p_0 \Vdash$  "for all  $m \in \omega, |\dot{h}(m)| \leq m$ ".

Work in  $N$ . Fix an integer  $m > n$  and by a tree induction construct a tree  $X \subset {}^{<\omega}(\omega + 1)$ , a partition  $X = X_0 \cup X_1$  and a function  $F : X \rightarrow P$  so that

- (1) the empty sequence  $0$  is in  $X$  and  $F(0) = p_0$ ,
- (2)  $s \subset t$  in  $X$  implies  $F(s) \geq F(t)$  in  $P$ ,

and for each  $s \in X$  with  $lth(s) = i$  exactly one of the following holds:

- (3) either, there is a sequence  $\langle q_j : j \in \omega \rangle$  such that each  $q_j \leq F(s)$  forces in  $P$  that  $i \in \dot{h}(m)$ , and moreover, the sets  $dom(q_j \setminus F(s)) : j \in \omega$  pairwise fit together. In this case,  $s \in X_0$ , the set of successors of  $s$  in  $X$  is exactly  $\{s \hat{\ } \langle j \rangle : j \in \omega\}$  and  $F(s \hat{\ } \langle j \rangle) = q_j$ ,
- (4) or, no such sequence exists. Then  $s \in X_1$ , the only successor of  $s$  in the tree  $X$  is  $s \hat{\ } \langle \omega \rangle$  and  $F(s \hat{\ } \langle \omega \rangle) = F(s)$ .

A set  $o(X) \subset \omega$  is defined by  $i \in o(X)$  iff there exists a function  $G : X \rightarrow \omega$  such that for every sequence  $s \in X$  of length  $i$ , if  $\forall j \in i, s(j) > G(s \upharpoonright j)$  then  $s \in X_0$ .

*Claim 5.*  $|o(X)| \leq m$ .

*Proof.* Suppose for a contradiction that there are  $m + 1$  elements of  $|o(X)|$ , enumerated in the increasing order as  $i_0$  through  $i_m$ . Pick witnesses  $G_k : k \leq m$  for  $i_k \in o(X)$ . Then for every sequence  $s \in X$  of length  $i_m + 1$  such that  $\forall j \leq i_m, \forall k \leq m, s(j) > G_k(s \upharpoonright j)$  (and there are plenty of these) the value  $F(s)$  as an element of  $P$  forces each one of the  $m + 1$  distinct integers  $i_k : k \leq m$  into the set  $\dot{h}(m)$ . But this is absurd, since  $p \geq F(s)$  and  $p \Vdash |\dot{h}(m)| \leq m$ .  $\square$

*Claim 6.*  $f(m) \in o(X)$ .

*Proof.* The proof of this fact takes place outside of the model  $N$ . To define the witness  $G : X \rightarrow \omega$  for  $f(m) \in o(X)$ , consider two cases:

- (1)  $s \in X_1$ . Then let  $G(s) = 0$ .
- (2)  $s \in X_0$ . By (3) above,  $\{a_j = dom(F(s \hat{\ } \langle j \rangle) \setminus F(s)) : j \in \omega\}$  is a family of pairwise disjoint fitting finite subsets of the tree  $T$ . There is an integer  $j_0$  such that for every  $j > j_0$ , the sets  $a_j$  and  $dom(p \setminus p_0)$  fit together; set  $G(s) = j_0$ .

The existence of an integer  $j_0$  as in (2) above can be demonstrated as follows. By elementarity of the model  $N$ , if  $u \in N \cap T$  and  $t \in dom(p \setminus p_0)$  are compatible as elements of the tree  $T$ , then necessarily  $u <_T t$ , for if  $t <_T u$  then  $t \in N$ , contradicting the definition of the condition  $p_0$ . By the mutual fitting of the  $a_j$ 's, only finitely many of the sets  $a_j \subset N \cap T$  can have nonempty intersection with the

finitely many linearly ordered subsets  $\{u \in N \cap T : u <_T t\} : t \in \text{dom}(p \setminus p_0)$  of the tree  $T$ . Any  $j_0$  larger than the indexes of these sets will do.

Why does the function  $G$  have the desired properties? Well, choose an arbitrary sequence  $s \in X$  of length  $f(m)$  with  $\forall j \in f(m) s(j) > G(s \upharpoonright j)$ . It is necessary to verify that  $s \in X_0$ . By the definition of  $G$  and  $X$ , the condition  $F(s)$  is compatible with  $p$ . By induction on  $\alpha \in \omega_1$  construct a sequence  $\langle a_\alpha : \alpha \in \omega_1 \rangle$  so that

- (1)  $a_\alpha$  are finite pairwise disjoint subsets of the tree  $T$ ,
- (2) for every  $\alpha \in \omega_1$  there is  $q \leq F(s)$  such that  $q \Vdash "f(m) \in \dot{h}(m)"$  and  $a_\alpha = \text{dom}(q \setminus F(s))$ .

Note that any sequence  $\langle a_\alpha : \alpha \in \beta \rangle$  of countable length  $\beta$  satisfying (1,2) above for  $\alpha \in \beta$  can be prolonged further to some  $\langle a_\alpha : \alpha \in \beta + 1 \rangle$  such that (1,2) continue to hold. For if this were not the case, by elementarity there would be a witness  $\langle a_\alpha : \alpha \in \beta \rangle \in N$  which cannot be prolonged. However, such a sequence from the model  $N$  can be prolonged using  $a_\beta = \text{dom}(p \setminus p_0)$ , with the condition  $q = F(s) \cup p$  witnessing the property (2) at  $\beta$ .

By elementarity of the model  $N$ , there is a sequence  $\langle a_\alpha : \alpha \in \omega_1 \rangle$  satisfying (1,2) above in  $N$ . It follows from Lemma 4 that the case (3) of definition of the tree  $X$  is valid at  $s$  and  $s \in X_0$ .  $\square$

Now within the model  $N$ , for each integer  $m > n$  construct a tree  $X_m$  and a set  $o(X_m)$  as above. The function  $g : \omega \setminus n \rightarrow [\omega]^{<\aleph_0}$  defined by  $g(m) = o(X_m)$  is in the model  $N$  and contradicts the assumption of  $f$  being  $N$ -big. Therefore, we have proved

**Theorem 6.** *Let  $T$  be a tree of height  $\omega_1$  without branches of height  $\omega_1$ . Then the standard  $T$ -specialization forcing is friendly.*

*Remark.* The result becomes rather trivial if the tree  $T$  is supposed to have countable levels. In such a case, it is easy to prove that every real added by the specialization forcing comes from a Cohen-generic extension. Thus the specialization forcing for  $T$  must necessarily be friendly by results of [JS].

*Remark.* The same technology can be used to demonstrate friendliness of a number of finite condition forcings, whose c.c.c. is proved in a certain canonical manner. For example, let  $\langle f_\alpha : \alpha \in \omega_1 \rangle \subset {}^\omega\omega$  be a modulo finite increasing unbounded sequence of increasing functions. Let the partition  $H : [\omega_1]^2 \rightarrow 2$  be defined as  $H(\alpha, \beta) = 0$  if  $\alpha < \beta$  and there is an integer  $n \in \omega$  with  $f_\alpha(n) > f_\beta(n)$ . It is known [T2] that the poset  $P$  of finite 0-homogeneous sets ordered by reverse inclusion is c.c.c. and destroys the unboundedness of the sequence. Using the same method as above, it is possible to show that  $P$  is friendly. The following is open:

**Question 7.** Is OCA [T2] consistent with additivity of the null ideal equal to  $\aleph_1$ ?

### 3. SIDE CONDITION FORCINGS

The purpose of this section is to define the class of ideal-based forcings and to prove friendliness of elements of this class. Our scheme is designed to comprehend many side condition forcings as used in the work of S. Todorcevic [T1], [T2], [T3] and others. Let  $A$  be a set of finite subsets of  $\omega_1$  ordered by  $\sqsubseteq$  and let  $\mathcal{J}$  be an ideal on  $\omega_1$  such that the following axioms are satisfied:

- (A)  $\sqsubseteq$  refines the inclusion, for each  $a \in A$  and  $\beta \in \omega_1$   $a \cap \beta \sqsubseteq a$  holds and if  $a, b$  are both in  $A$  and  $\sqsubseteq$ -compatible then  $a \cup b \in A$  is their  $\sqsubseteq$ -upper bound.

(B)  $\mathfrak{I}$  contains singletons, every  $\mathfrak{I}$ -positive set has a countable  $\mathfrak{I}$ -positive subset and the  $\sigma$ -ideal  $\sigma\mathfrak{I}$  generated by  $\mathfrak{I}$  is proper.

Moreover, for each  $a \in A$  there are

- (C) a  $\sigma\mathfrak{I}$  positive set  $Z \subset \omega_1$  such that  $a \sqsubseteq a \cup \{\beta\} \in A$  holds for every  $\beta \in Z$ ,  
 (D) an  $\mathfrak{I}$ -large set  $Y \subset \omega_1$  such that for every  $\beta \in Y$  the implication  $a \cap \beta \sqsubseteq (a \cap \beta) \cup \{\beta\} \in A \rightarrow a \sqsubseteq a \cup \{\beta\} \in A$  holds.

The pair  $\langle A, \sqsubseteq \rangle$  is to be understood as a problematic finite-condition forcing construction for which c.c.c. cannot be proved, or which collapses  $\aleph_1$  outright. The existence of the ideal ensures that there is a way to add a  $\sqsubseteq$ -filter which meets many dense subsets of  $\langle A, \sqsubseteq \rangle$ . Fix a large regular cardinal  $\kappa$ . The ideal-based forcing  $P$  derived from  $A, \sqsubseteq, \mathfrak{I}$  has the following form:

$P = \{f : f \text{ is a finite function from } \omega_1 \text{ to } H_\kappa, \text{ for } \alpha \in \text{dom}(f) \ f(\alpha) = \langle M_\alpha, \xi_\alpha \rangle\}$   
 and

- (E) the set  $\text{body}(f) = \{\xi_\alpha : \alpha \in \text{dom}(f)\}$  is in  $A$ ,  
 (F) every  $M_\alpha$  is a countable elementary submodel of  $H_\kappa$  containing  $A, \sqsubseteq, \mathfrak{I}, f \upharpoonright \alpha$ ,  
 (G)  $\xi_\alpha \notin \bigcup(M_\alpha \cap \mathfrak{I})$ .

The order on  $P$  is defined by  $f \leq g$  if  $g \subset f$  and  $\text{body}(g) \sqsubseteq \text{body}(f)$ .

The forcing  $P$  adds a  $\sqsubseteq$ -filter  $\{a \in A : a = \text{body}(p) \text{ for some } p \in G\}$  which meets all dense subsets of  $\langle A, \sqsubseteq \rangle$  which are in some sense large as measured by  $\mathfrak{I}$ .

Frequently, for the sake of preservation of  $\aleph_2$  one needs to consider an amended variation of  $P$  which has matrices of models as side conditions instead of just an  $\in$ -chain of models as above [T2]. We call such forcings *amended ideal-based*; since our proofs carry over to the class of amended ideal-based forcings with only more complicated notation, we concentrate on the class of ideal-based forcings proper. The point of course is that this class is reasonably wide; indeed, our scheme includes many of the side condition posets used in the literature. The following fact provides a by no means complete list.

*Fact 8.* The forcings for the following problems are (amended) ideal-based:

- (1) (S) conjecture [T2],
- (2) making a poset of uniform density  $\aleph_1$  add  $\aleph_1$  Cohen reals [SZ],
- (3) classification of transitive relations on  $\aleph_1$  [T1], [T3],
- (4) shooting an uncountable set through a coherent sequence on  $\omega_1$  [T2].

*Proof.* We consider the case of (S) conjecture. As in [T2], it is only necessary to cope with the following problem. Let  $\omega_1$  be equipped with topology  $\mathfrak{T}$  so that the space  $(\omega_1, \mathfrak{T})$

- (1) is hereditarily separable, that is, for every  $X \subset \omega_1$  there is a countable subset  $Y \subset X$  with the same closure,
- (2) is not hereditarily Lindelöf, and it is even right separated, that is, for each  $\alpha \in \omega_1$  there is an open set  $O_\alpha$  such that  $\alpha \in O_\alpha$  and the closure of  $O_\alpha$  is a subset of  $\alpha + 1$ .

We wish to violate the hereditary separability of the space  $(\omega_1, \mathfrak{T})$  by introducing an uncountable discrete subset to it. The forcing for doing that [T2] can be cast as an ideal-based forcing derived from  $A = [\omega_1]^{<\aleph_0}$ ,  $a \sqsubseteq b$  just in case  $a \subset b$  and for every  $\xi \in (b \setminus a)$  and every  $\zeta \in a$   $\xi \notin O_\zeta$ ; furthermore,  $\mathfrak{I} = \{X \subset \omega_1 : \text{the closure of } X \text{ is countable}\}$ . It is not difficult to check the axioms (A) through (D) in the definition of ideal-based. (B) follows from hereditary separability and (D) from  $\mathfrak{I}$ -smallness of every  $O_\alpha$ .

The intended uncountable discrete set will be  $\bigcup\{body(f) : f \in G\}$ , where  $G \subset P$  is a generic filter.  $\square$

**Theorem 9.** *Any ideal-based forcing  $P$  is proper and friendly.*

*Proof.* Let  $A, \sqsubseteq, \mathfrak{J}, \kappa$  be the parameters from which  $P$  is defined. To prove the properness, let  $p_0 \in P, \lambda$  be a large regular cardinal, let  $N \prec H_\lambda$  be a countable elementary submodel with  $p_0, A, \sqsubseteq, \mathfrak{J}, \kappa \in N$ , and let  $\delta = N \cap \omega_1$ . By (C) there is a countable ordinal  $\xi$  such that  $\xi \notin \bigcup(\mathfrak{J} \cap N)$  and  $body(p_0) \sqsubseteq body(p_0) \cup \{\xi\} \in A$ . Let  $p_1 = p_0 \cup \{\langle \delta, \langle N \cap H_\kappa, \xi \rangle \rangle\}$ . Obviously,  $p_1 \leq p_0$  and we shall show that  $p_1$  is a master condition for the model  $N$ . Thus, for any dense set  $D$  of  $P$  which happens to be in  $N$ , the set  $D \cap N$  must be proved predense below  $p_1$ . Fix  $p_2 \leq p_1$  and a dense set  $D \in N$ ; we shall produce conditions  $p_5 \leq p_2$  and  $q \in D \cap N$  with  $p_5 \leq q$ , completing the proof of properness. By strengthening  $p_2$  if necessary, it can be assumed that there is an element of  $D$  above  $p_2$ .

Let  $p_3 = p_2 \cap N$ . Obviously  $p_3 \in P \cap N$  and  $p_2 \leq p_3$ , by (A). The whole point of the proof is to find a way of carefully extending  $p_3$  within  $N$  while preserving compatibility with  $p_2$ . Let  $k = |p_2 \setminus p_3|$  and  $\xi_0 \dots \xi_{k-1}$  enumerate  $body(p_2) \setminus body(p_3)$  in the increasing order. By induction on  $l < k$  define sets  $S(t)(l) \subset \omega_1$  for all  $t \in {}^{<\omega}\omega_1$  simultaneously by

- (1)  $S(t)(0) = \{\zeta \in \omega_1 \setminus max(body(p_3) \cup rng(t)) : \exists p_4 \leq p_3$  such that  $p_4$  has an element of  $D$  above it and  $body(p_3) \cup rng(t) \sqsubseteq body(p_4) = body(p_3) \cup rng(t) \cup \{\zeta\} \in A\}$ .
- (2)  $S(t)(l+1) = \{\zeta \in \omega_1 \setminus max(body(p_3) \cup rng(t)) : body(p_3) \cup rng(t) \sqsubseteq body(p_3) \cup rng(t) \cup \{\zeta\} \in A$  and the set  $S(t \frown \langle \zeta \rangle)(l)$  is  $\mathfrak{J}$ -positive\}.

*Claim 10.* The set  $S(\langle \rangle)(k-1)$  is  $\mathfrak{J}$ -positive.

*Proof.* Note that the system  $\{S(t)(l) : t \in {}^{<\omega}\omega_1, l < k\}$  belongs to all the models mentioned in  $p_2$  above  $\delta$  since it is in  $N \cap H_{\aleph_2}$ . The claim will be proved by contradiction. If  $S(\langle \rangle)(k-1)$  were an element of  $\mathfrak{J}$ , by induction on  $l < k$  one could show that  $\xi_l \notin S(\langle \xi_{l'} : l' < l \rangle)(k-1-l)$ . But this is a contradiction to the case (1) of the definition of the system  $\{S(t)(l) : t \in {}^{<\omega}\omega_1, l < k\}$ , since  $\xi_{k-1} \in S(\langle \xi_l : l < k-1 \rangle)(0)$  as witnessed by the condition  $p_2$ .  $\square$

Now by induction on  $l < k$  build  $\zeta_l, T_l, X_l$  so that

- (1) for  $l \leq k$ ,  $a_l = \langle \zeta_{l'} : l' < l \rangle$  is an increasing sequence of countable ordinals larger than  $max(body(p_3))$  in  $N$ ,
- (2)  $T_l \in N$  is an  $\mathfrak{J}$ -positive countable subset of the  $\mathfrak{J}$ -positive set  $S(a_l)(k-l-1)$ , by (B),
- (3)  $body(p_2)$  and  $body(p_3) \cup rng(a_l)$  are  $\sqsubseteq$ -compatible and an  $\mathfrak{J}$ -large set  $X_l \subset \omega_1$  is a witness to (D) for  $body(p_2) \cup rng(a_l)$ ,
- (4)  $\zeta_l \in T_l \cap X_l$ .

By the construction,  $a_k \in N$ ,  $body(p_2)$  and  $body(p_3) \cup rng(a_k)$  are  $\sqsubseteq$ -compatible and moreover, there is a condition  $p_4 \leq p_3$  such that there is an element of  $D$  above it and  $body(p_4) = body(p_3) \cup rng(a_k)$ . By the elementarity of the model  $N$ , there are such  $p_4$  and  $q \in D$  above it already in  $N$ . By the definition of the forcing  $P$ ,  $p_5 = p_4 \cup p_2$  is a lower bound of  $p_4$  and  $p_2$  and has  $q \in D \cap N$  above it as desired.

The friendliness of  $P$  is proved by a trick similar to the one in Section 2. Let us adopt the framework from the proof of properness of  $P$ , in particular, choose

$p_0, N \dots$  and the master condition  $p_1 \leq p_0$  for the model  $N$  as constructed above. Let  $f \in {}^\omega\omega$  be an  $N$ -big function. We shall show that  $p_1 \Vdash \check{f}$  is  $N[G]$ -big".

For contradiction, let  $p_2 \leq p_1, \dot{h} \in N$  and  $n \in \omega$  be such that

- (1)  $p_2 \Vdash$  "for all integers  $m, |\dot{h}(m)| \leq m$ ",
- (2)  $p_2 \Vdash$  "for all integers  $m > n, \check{f}(m) \in \dot{h}(m)$ ".

Let  $p_3 = p_2 \cap N$ . Then  $p_3 \geq p_2$  is in  $N$  and by strengthening the condition  $p_2$  if necessary we may assume that  $p_3 \Vdash$  "for all integers  $m, |\dot{h}(m)| \leq m$ ". Let  $k = |p_2 \setminus p_3| \geq 1$ .

Work in  $N$ . Fix an integer  $m > n$ . By a tree induction construct a tree  $X \subset {}^{<\omega}\omega$ , its subset  $X_0$  and functions  $F : X \rightarrow P, T : X_0 \rightarrow V$  so that:

- (1) the empty sequence  $0$  is in  $X$  and  $F(0) = p_3$ ,
- (2) for every  $s \subset t$  both in  $X$ ,  $F(t) \leq F(s)$  holds in  $P$ .

Moreover, at each  $s \in X$ , exactly one of the two following cases will hold.

*Case 1.* There is a tree  $T(s)$  on  ${}^{\leq k}\omega_1$  such that

- (a) the empty sequence is in  $T(s)$  and  $T$  consists of increasing sequences of ordinals above  $\max(\text{body}(F(s)))$ ,
- (b) for every  $t \in T(s)$  of length  $< k$  the set  $\{\zeta \in \omega_1 : t \smallfrown \langle \zeta \rangle \in T(s)\}$  is countable and  $\mathfrak{J}$ -positive; moreover for each  $\zeta$  in this set,  $\text{body}(F(s)) \cup \text{rng}(t) \sqsubseteq \text{body}(F(s)) \cup \text{rng}(t) \cup \{\zeta\} \in A$ ,
- (c) for every  $t \in T(s)$  of length  $k$  (i.e. a terminal node) there is a condition  $q_t \leq F(s)$  in  $P$  such that  $\text{body}(q_t) = \text{body}(F(s)) \cup \text{rng}(t)$  and  $q_t \Vdash$  "length( $s$ )  $\in \dot{h}(m)$ ".

In this case, let  $s \in X_0$ ,  $T(s)$  will be the value of  $T$  at  $s$  and  $s \smallfrown \langle l \rangle \in X$  for all  $l \in \omega$ . Also,  $F(s \smallfrown \langle l \rangle) : n \in \omega$  enumerates the set  $\{q_t : t \in T(s) \text{ is a terminal node}\}$ .

*Case 2.* No such tree exists. Then  $s \notin X_0$ , the only successor of the sequence  $s$  in  $X$  is  $s \smallfrown \langle 0 \rangle$  and  $F(s) = F(s \smallfrown \langle 0 \rangle)$ .

This completes the inductive definition of the tree  $X$  in  $N$ . Define a set  $o(X) \subset \omega$  by  $i \in o(X)$  if there exists a collection  $\{A(s, t) : s \in X_0, t \in T(s) \text{ is not a terminal node}\}$  of  $\mathfrak{J}$ -large sets so that for each sequence  $u \in X$  of length  $i$  if (\*) below holds for all  $j < i$  then  $u \in X_0$ .

- (\*) If  $u \upharpoonright j \in X_0$  then  $F(u \upharpoonright (j+1)) = q_t$  for some terminal node  $t \in T(u \upharpoonright j)$  such that  $\forall l < k \ t(l+1) \in A(u \upharpoonright j, t \upharpoonright l)$ .

*Claim 11.*  $|o(X)| \leq m$ .

*Proof.* For contradiction, suppose that there are  $m+1$  elements of  $o(X)$  enumerated in the increasing order as  $i_0$  through  $i_m$ . Pick witnesses  $\{A_l(s, t) : s \in X_0, t \in T(s) \text{ is not a terminal node}\}$  for  $i_l \in o(X)$  and define  $\{A(s, t) : s \in X_0, t \in T(s) \text{ is not a terminal node}\}$  by  $A(s, t) = \bigcap_{l \leq m} A_l(s, t)$ . Now each  $A(s, t)$  is still an  $\mathfrak{J}$ -large set and so it is possible to find a sequence  $u \in X$  of length  $i_m + 1$  such that (\*) holds for each  $j < \text{length}(u)$ . But then, from the construction of  $X$  and  $F$  it follows that  $F(u) \Vdash \check{f} \in \dot{h}(m)$ , which is absurd since  $F(u) \leq p_3$  and  $p_3 \Vdash |\dot{h}(m)| \leq m$ .  $\square$

*Claim 12.*  $f(m) \in o(X)$ .

*Proof.* It is necessary to define the witness collection; our candidate lies outside of  $N$ . For  $s \in X_0$  and  $t \in T(s)$  there will be two cases:

- (1) Either  $body(p_2)$  and  $body(F(s)) \cup rng(t)$  are  $\sqsubseteq$ -compatible. In such a case let  $A(s, t)$  be an  $\mathfrak{I}$ -large witness to (D) for  $body(p_2) \cup body(F(s)) \cup rng(t)$ .
- (2) Otherwise let  $A(s, t) = \omega_1$ .

Now suppose that a sequence  $u \in X$  of length  $f(m)$  satisfies  $(*)$  for all  $j < f(m)$ . By the definition of  $A(s, t)$  and the tree  $X$ , necessarily  $body(F(u))$  and  $body(p_2)$  are  $\sqsubseteq$ -compatible and therefore  $p_2$  and  $F(u)$  are compatible conditions in  $P$ . The proof of  $u \in X_0$  is essentially a repetition of the proof of the properness of  $P$  with the condition  $p_2$  replaced with  $F(u) \cup p_2$  and the phrase “element of  $D$  above it” replaced with “forces  $f(m)$  into  $\dot{h}(m)$ ”.  $\square$

Now the definition of  $X, o(X)$  was uniform for integers  $m > n$ . Thus within the model  $N$  there is a sequence  $X_m, o(X_m) : m > n$  such that  $|o(X_m)| \leq m$  and  $f(m) \in o(X_m)$  for every  $m > n$ . But then the sequence  $o(X_m) : m > n$  in the model  $N$ , understood as a function of  $m$ , contradicts  $N$ -bigness of the function  $f$ .  $\square$

It should be remarked that while ideal-based forcings preserve  $add(\mathcal{N})$ , they can add dominating functions. If  $\langle f_\alpha : \alpha \in \omega_1 \rangle \subset {}^\omega\omega$  is a modulo finite increasing unbounded sequence of increasing functions then it is possible to derive an S-space from it [T2]. Then the ideal-based forcing killing that space adds a function which modulo finite dominates all  $f_\alpha : \alpha \in \omega_1$ .

4. CONCLUSION

At last, we are in a position to construct some interesting models of set theory with the additivity of the null ideal equal to  $\aleph_1$ . The classical iteration vehicle gives

**Lemma 13.** *Let  $\langle P_\alpha : \alpha \leq \theta, \dot{Q}_\alpha : \alpha < \theta \rangle$  be a countable support iteration of forcings such that  $P_\alpha \Vdash \dot{Q}_\alpha$  is friendly” for each  $\alpha < \theta$ . Then  $P_\theta \Vdash$  “the union of the null sets coded in the ground model is not null”.*

It seems likely that in fact  $P_\theta$  is a friendly forcing, but we have no argument for that.

*Proof.* By induction on  $\beta \leq \theta$  we shall demonstrate that  $P_\beta \Vdash$  “the union of the null sets coded in the ground model is not null”. The limit step is handled by [BJ, Theorem 6.3.41]. For the successor step, assume that  $P_\beta \Vdash$  “the union of the null sets coded in the ground model is not null”; we shall prove the same statement for  $P_{\beta+1}$ . Choose a generic filter  $G \subset P_\beta$  and work in  $V[G]$ . It is enough to show that  $Q_\beta \Vdash$  “ $V \cap {}^\omega\omega$  is not localized”. For contradiction, suppose  $q \in Q_\beta, \dot{h}$  are such that  $q \Vdash_{Q_\beta}$  “for all  $n \in \omega, |\dot{h}(n)| \leq n$  and  $\dot{h}$  localizes  $V \cap {}^\omega\omega$ ”. Choose a large regular cardinal  $\kappa$  and a countable elementary submodel  $N \prec H_\kappa$  with  $q, Q_\beta, \dot{h} \in N$ .

*Claim 14.* There is an  $N$ -big function  $f \in V \cap {}^\omega\omega$ .

*Proof.* By an easy bookkeeping argument, there is a function  $k : \omega \rightarrow [\omega]^{<\aleph_0}$  such that  $\forall n \in \omega |k(n)| \leq n^2$  and for every function  $l \in N$  with  $l : \omega \rightarrow [\omega]^{<\aleph_0}, \forall n \in \omega |l(n)| \leq n$  there is an integer  $m_0$  such that for every  $m > m_0, l(m) \subset k(m)$ . By the induction hypothesis,  $V \cap {}^\omega\omega$  is not 2-localized and therefore there is a function  $f \in V \cap {}^\omega\omega$  such that the set  $\{m \in \omega : f(m) \notin k(m)\}$  is infinite. Obviously, the function  $f$  is  $N$ -big.  $\square$

The postulated friendly master condition  $r \leq q$  for  $N, f$  contradicts the assumption  $q \Vdash$  “ $\exists n \forall m > n f(m) \in \dot{h}(m)$ ”.  $\square$

Therefore, starting from a model of the Continuum Hypothesis, any sufficiently generic iteration of length  $\omega_2$  of proper,  $\aleph_2$ -p.i.c. [S, pg. 262] and friendly forcings will provide for  $\Vdash$  “ $\text{add}(\mathcal{N}) = \aleph_1, \mathfrak{c} = \aleph_2$ , all branchless trees of size  $\aleph_1$  are special, (S) conjecture holds, every poset of uniform density  $\aleph_1$  adds  $\aleph_1$  Cohen reals etc.” In the construction, it is necessary to use amended ideal-based forcings in order to ensure  $\aleph_2$ -c.c. of the resulting iteration. The standard bookkeeping arguments are left to the reader.

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