

THE SCHWARZ-PICK LEMMA FOR DERIVATIVES

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ABSTRACT. The Schwarz-Pick Lemma states that any analytic function of the unit disc into itself is a contraction with respect to the hyperbolic metric. In this note a related result is proved for the derivative of an analytic function.

1. INTRODUCTION

In [2] Dieudonne showed that if $f : \mathbb{D} \rightarrow \mathbb{D}$ is analytic, where \mathbb{D} is the open unit disc in the complex plane, and if $f(0) = 0$, then

$$(1) \quad |f'(z)| \leq \begin{cases} 1 & \text{if } |z| \leq \sqrt{2} - 1, \\ \frac{(1+|z|^2)^2}{4|z|(1-|z|^2)} & \text{if } |z| \geq \sqrt{2} - 1. \end{cases}$$

He then suggested (in the footnote on page 352) that this inequality, which is best possible for each value of $|z|$, should be considered as a Schwarz Lemma for the derivative f' . In this note we derive a more elegant version of this that is closer to the classical Schwarz-Pick Lemma.

As f maps \mathbb{D} into itself one can argue that any discussion of f should be in terms of the hyperbolic metric rather than the Euclidean metric. The disk \mathbb{D} is endowed with the hyperbolic metric ρ derived from $2|dz|/(1 - |z|^2)$, and the hyperbolic derivative $f^*(z)$ of f at z is given by

$$f^*(z) = \left(\frac{1 - |z|^2}{1 - |f(z)|^2} \right) f'(z).$$

Pick's version of Schwarz's Lemma, namely that $\rho(fz, fw) \leq \rho(z, w)$, guarantees that $|f^*(z)| < 1$ in \mathbb{D} (unless f is a Möbius map of \mathbb{D} onto itself) and this means that we can measure the hyperbolic distance between two hyperbolic derivatives. This leads to the following Schwarz-Pick Lemma for derivatives.

Theorem. *If $f : \mathbb{D} \rightarrow \mathbb{D}$ is analytic but not a conformal automorphism of \mathbb{D} , and if $f(0) = 0$, then*

$$(2) \quad \rho(f^*(0), f^*(z)) \leq 2\rho(0, z).$$

Further, equality holds in (2) for each z when $f(z) = z^2$.

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If $f(z) = z^2$, then $f^*(0) = 0$ and $f^*(z) = 2z/(1 + |z|^2)$, and a simple calculation using the fact that

$$\rho(0, z) = \log \frac{1 + |z|}{1 - |z|}$$

shows that equality holds in (2).

2. THE PROOF OF THE THEOREM

We begin with a preliminary result.

Lemma 1. *Let z_0 and w_0 be points of D with $|w_0| < |z_0|$. If $f : \mathbb{D} \rightarrow \mathbb{D}$ is analytic, and if $f(0) = 0$ and $f(z_0) = w_0$, then both $f^*(0)$ and $f^*(z_0)$ lie in the closed hyperbolic disc*

$$D = \{z : \rho(z, w_0/z_0) \leq \rho(0, z_0)\}.$$

Proof. Given z_0 and w_0 , we define maps $h : \mathbb{D} \rightarrow \mathbb{D}$ and $g : \mathbb{D} \rightarrow \mathbb{D}$ by

$$(3) \quad h(z) = \frac{f(z)}{z}, \quad \frac{f(z) - f(z_0)}{1 - f(z)f(z_0)} = g(z) \left(\frac{z - z_0}{1 - z\bar{z}_0} \right).$$

It is immediate that

$$h(0) = f'(0) = f^*(0), \quad h(z_0) = \frac{w_0}{z_0}, \quad g(0) = \frac{w_0}{z_0}, \quad g(z_0) = f^*(z_0),$$

so that, by applying Pick's Lemma to h and g ,

$$(4) \quad \rho(f^*(0), w_0/z_0) \leq \rho(0, z_0), \quad \rho(f^*(z_0), w_0/z_0) \leq \rho(0, z_0).$$

This shows that $f^*(0)$ and $f^*(z)$ lie in the closed disc D .

The inequality (2) (with z replaced by z_0) is an immediate consequence of (4) and the Triangle inequality, and this completes the proof of the Theorem. For a Euclidean version of Lemma 1, see [1], p. 19 and [3], p. 198.

We end with the remark that if equality holds in (2), then $f^*(0)$ and $f^*(z)$ must lie at diametrically opposite points on the boundary of D , so that equality holds in both of the inequalities in (4). We deduce that h is a Möbius map of \mathbb{D} onto itself and hence $f(z) = zh(z)$, a Blaschke product of degree two.

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