

## THE ORDER OF A MERIDIAN OF A KNOTTED KLEIN BOTTLE

KATSUYUKI YOSHIKAWA

(Communicated by Dale Alspach)

**ABSTRACT.** We consider the order of a meridian (of the group) of a Klein bottle smoothly embedded in the 4-sphere  $S^4$ . The order of a meridian of a Klein bottle in  $S^4$  is a non-negative even integer. Conversely, we prove that, for every non-negative even integer  $n$ , there exists a Klein bottle in  $S^4$  whose meridian has order  $n$ .

### 1. INTRODUCTION

Let  $F$  be a Klein bottle smoothly embedded in the 4-sphere  $S^4$ . Let  $N(F)$  be the tubular neighborhood of  $F$  in  $S^4$ . Then  $N(F)$  is a  $D^2$ -bundle over  $F$  and the boundary  $\partial N(F)$  of  $N(F)$  is an  $S^1$ -bundle over  $F$ . A fiber  $S^1 \times \{*\}$ , where  $*$   $\in F$ , is called a *meridian* of  $F$ . Let  $G$  be the group of  $F$ , i.e.,  $\pi_1(S^4 - F)$ . An element of  $G$  represented by a meridian of  $F$  is also called a *meridian* of  $G$  (or  $F$ ). We consider the order of a meridian (of the group) of a Klein bottle  $F$  in  $S^4$ . Since  $H_1(G) \cong Z_2$ , the order of a meridian of a Klein bottle  $F$  in  $S^4$  is a non-negative even integer. For example, the connected sum of any 2-knot and a standard Klein bottle in  $S^4$  has a meridian of order 2. In this paper, more generally, we prove that

**Theorem.** *For every non-negative even integer  $n$ , there exists a Klein bottle in  $S^4$  whose meridian has order  $n$ .*

### 2. PRELIMINARIES

Let  $K + h$  denote the Klein bottle in  $S^4$  obtained by attaching a non-orientable 1-handle  $h$  to a 2-knot  $K$  in  $S^4$ . We may assume that the non-orientable 1-handle  $h$  on  $K$  is attached very near the base point of the group  $G (= \pi_1(S^4 - K))$  of  $K$ . Then we can consider that the core of  $h$  represents an element  $g(h)$  of  $G$ . Conversely, given an element  $g$  of  $G$ , there exists a non-orientable 1-handle  $h$  on  $K$  such that  $g = g(h)$ . For a subset  $S$  of a group  $H$ , we denote by  $\langle\langle S \rangle\rangle$  the normal closure of  $S$  in  $H$ .

**Proposition 1.** *Let  $K$  be a 2-knot in  $S^4$  and  $h$  a non-orientable 1-handle on  $K$ . Then the group of the Klein bottle  $K + h$  in  $S^4$  is given by  $\pi_1(S^4 - K) / \langle\langle xg(h)xg(h)^{-1} \rangle\rangle$ , where  $x$  is a meridian of  $K$ .*

*Proof.* In the same way as in Lemma 9 of [1], we can prove the proposition.  $\square$

---

Received by the editors April 9, 1997.

1991 *Mathematics Subject Classification.* Primary 57Q45.

*Key words and phrases.* Klein bottle, meridian.

The following is immediately obtained from Proposition 1.

**Lemma 2.** *Let  $G_0$  be a 2-knot group and  $x$  a meridian of  $G_0$ . Then, for any element  $g$  of  $G_0$ , the quotient group  $G_0/\langle\langle xgxg^{-1} \rangle\rangle$  is the group of some Klein bottle in  $S^4$ .*

**Lemma 3.** *Let  $n$  be a non-negative even integer. Let  $H$  be a finitely generated group satisfying the following:*

- (1) *There exists an element  $h$  of  $H$  such that  $\langle\langle h \rangle\rangle = H$ .*
- (2) *There exists an element  $u$  of  $H$  such that  $uhu^{-1} = h^{-1}$ .*
- (3)  *$h^{\frac{n}{2}} \in C(H)$ , where  $C(H)$  is the center of  $H$ .*
- (4)  *$|h| = n$ , where  $|h|$  denotes the order of  $h$ .*

*Then there exists a Klein bottle in  $S^4$  whose meridian has order  $n$ .*

*Proof.* Let  $m = \frac{n}{2}$ . By (1), there exist some 1-knot  $k$  and an epimorphism  $\phi$  of  $\pi_1(S^3 - k)$  onto  $H$  such that  $\phi(x) = h$ , where  $x$  is a meridian of  $k$  [2]. Let  $K$  be the  $m$ -twist-spun 2-knot of the 1-knot  $k$ . Then the group  $\pi_1(S^4 - K)$  of  $K$  is given by  $\pi_1(S^3 - k)/[x^m, \pi_1(S^3 - k)]$ . Therefore, by (3),  $\phi$  induces the epimorphism  $\phi_*$  of  $\pi_1(S^4 - K)$  onto  $H$  such that  $\phi_*\alpha = \phi$ , where  $\alpha : \pi_1(S^3 - k) \rightarrow \pi_1(S^4 - K)$  is the natural homomorphism. Then  $\alpha(x)$  is a meridian of  $K$ . Let  $G = \pi_1(S^4 - K)/\langle\langle \alpha(x)\tilde{u}\alpha(x)\tilde{u}^{-1} \rangle\rangle$ , where  $\tilde{u} \in \phi_*^{-1}(u)$ , and let  $\beta : \pi_1(S^4 - K) \rightarrow G$  be the natural homomorphism. Then, by Lemma 2,  $G$  is the group of some Klein bottle in  $S^4$  and  $\beta\alpha(x)$  is a meridian of  $G$ . We show that  $\beta\alpha(x)$  has order  $n$  in  $G$ . Since  $\beta\alpha(x)^m \in C(G)$ , we have

$$\beta\alpha(x)^m = \beta(\tilde{u})\beta\alpha(x)^m\beta(\tilde{u})^{-1} = \beta\alpha(x)^{-m}.$$

Therefore we see that  $\beta\alpha(x)^{2m} = 1$ . On the other hand, by (2),  $\phi_*$  induces the epimorphism  $\psi$  of  $G$  onto  $H$  such that  $\psi\beta\alpha = \phi_*\alpha = \phi$ . Thus, since  $\psi\beta\alpha(x) = \phi(x) = h$ , it follows from (4) that  $|\beta\alpha(x)| = |h| = 2m = n$ . The proof is completed.  $\square$

**Lemma 4.** *Let  $n$  be a positive even integer. Let  $H_*$  be a finitely generated group satisfying the following:*

- (a) *There exists an element  $h_*$  of  $H_*$  such that  $\langle\langle h_* \rangle\rangle = H_*$ .*
- (b) *There exists an element  $u_*$  of  $H_*$  such that  $u_*h_*u_*^{-1} = h_*^{-1}$ .*
- (c)  *$h_*^{\frac{n}{2}} \in C(H_*)$ .*
- (d)  *$|h_*| = n$ .*

*Then, for any positive integer  $m$  such that  $(m, n) = 1$ , there exists a Klein bottle in  $S^4$  whose meridian has order  $mn$ .*

*Proof.* Let  $H = H_* \times A_{2m}$ , where  $A_{2m}$  is the alternating group of degree  $2m$ . (Since  $m$  is odd, we have  $2m = 2$  or  $> 5$ . Therefore  $A_{2m}$  is simple.) We will show that  $H$  satisfies the conditions (1)-(4) of Lemma 3.

(1) Let  $h = h_*b$ , where  $b = (1, 2, \dots, m)(m+1, m+2, \dots, 2m) \in A_{2m}$ . Then we have  $|b| = m$  and  $(|h_*|, |b|) = 1$ . Therefore, by (a), we see that

$$H/\langle\langle h \rangle\rangle = H_* \times A_{2m}/\langle\langle h_*, b \rangle\rangle = A_{2m}/\langle\langle b \rangle\rangle \cong 1.$$

(2) Let  $c = \{(1, m)(2, m-1) \cdots (k, k+2)\} \cdot \{(m+1, 2m)(m+2, 2m-1) \cdots (3k+1, 3k+3)\} \in A_{2m}$ , where  $k = (m-1)/2$ . Then we have  $cbc^{-1} = b^{-1}$ . Let  $u = u_*c$ . Then, by (b), we get

$$uhu^{-1} = (u_*c)(h_*b)(c^{-1}u_*^{-1}) = (u_*h_*u_*^{-1})(cbc^{-1}) = h_*^{-1}b^{-1} = b^{-1}h_*^{-1} = h^{-1}.$$

(3) By (c), we have

$$h^{mn/2} = (h_*b)^{mn/2} = (h_*^{n/2})^m (b^{n/2})^m = (h_*^{n/2})^m \in C(H_*) \subset C(H).$$

(4) By (d), we get  $|h| = |h_*| \cdot |b| = mn$ .

Therefore, by Lemma 3, we complete the proof.  $\square$

The following corollary immediately follows from Lemma 4.

**Corollary 5.** *Let  $n$  be a positive even integer. If there exists a Klein bottle  $F$  in  $S^4$  such that  $x^{\frac{n}{2}} \in C(G)$  and  $|x| = n$ , where  $x$  is a meridian of the group  $G$  of  $F$ , then, for any positive integer  $m$  such that  $(m, n) = 1$ , there exists a Klein bottle in  $S^4$  whose meridian has order  $mn$ .*

### 3. PROOF OF THE THEOREM

Case (1):  $n = 0$ .

Let

$$G_1 = \langle a, b, z : a = b^{-1}a^{-1}bab, zaz^{-1} = b^{-1}, zbz^{-1} = ab^{-1}a^{-1} \rangle$$

and  $A = \langle a, b : a = b^{-1}a^{-1}bab \rangle$ . The mapping of  $A$  to itself given by  $a \rightarrow b^{-1}$  and  $b \rightarrow ab^{-1}a^{-1}$  induces the automorphism of  $A$ . Therefore  $G_1$  is the extension of  $A$  by the infinite cyclic group  $\langle z : \cdot \rangle$ . Since  $A$  is the group of the trefoil 1-knot,  $A$  is torsion-free and so is  $G_1$ . Let  $y = aba^{-1}b^{-1}$ . Then  $y$  commutes with  $z$ . Therefore the subgroup  $B_1$  of  $G_1$  generated by  $y$  and  $z$  is free abelian of rank 2. Let  $G_2$  be the group of a non-trivial 1-knot and  $B_2$  the peripheral subgroup of  $G_2$ . Let  $H$  be the free product of  $G_1$  and  $G_2$  with the subgroups  $B_1$  and  $B_2$  amalgamated under the isomorphism of  $B_1$  on  $B_2$  given by  $y \rightarrow c$  and  $z \rightarrow l$ , where  $c$  and  $l$  are a meridian and a longitude of  $G_2$ . Then, if  $h = b$  and  $u = za$  in Lemma 3, we can see that  $H$  satisfies the conditions (1)-(4) of Lemma 3. Therefore there exists a Klein bottle in  $S^4$  whose meridian has order 0.

Case (2):  $n = 2(2k + 1)$  or  $4(2k + 1), k \geq 0$ .

First, when  $n = 2(2k+1)$ , let  $H_* = Z_2$ . Then, since the group of a standard Klein bottle in  $S^4$  is  $Z_2$ , the assertion follows from Corollary 5. Next, when  $n = 4(2k+1)$ , let

$$(*) \quad H_* = \langle a, b, x_* : a^2 = b^3 = (b^{-1}a)^3, x_*ax_*^{-1} = a^{-1}, x_*bx_*^{-1} = b^{-1}, x_*^2 = a^2 \rangle.$$

Then  $H_*$  is the extension of  $T_*$  by the cyclic group  $Z_2$  of order 2, where  $T_*$  is the binary tetrahedral group presented by  $\langle a, b : a^2 = b^3 = (b^{-1}a)^3 \rangle$ . Then we can show that  $\langle\langle x_* \rangle\rangle = H_*$ ,  $ax_*a^{-1} = x_*^{-1}$ ,  $x_*^2 \in C(H_*)$  and  $|x_*| = |a| = 4$ . Let  $h_* = x_*$  and  $u_* = a$  in Lemma 4. Then we can see that  $H_*$  satisfies the conditions (a)-(d) of Lemma 4. Therefore we complete the proof of case (2).

Case (3):  $n = 8m, m > 0$ .

Let

$$G = \langle x, y : y = x^m y^m x y^{-m} x^{-m}, x^{2m} y x^{-2m} = y^{-1} \rangle.$$

Then, by the second relation, we see that

$$x^{4m} y x^{-4m} = x^{2m} y^{-1} x^{-2m} = y.$$

Hence  $y^{4m} = x^m y^m x^{4m} y^{-m} x^{-m} = x^{4m}$ . Therefore we have

$$y^{-4m} = x^{2m} y^{4m} x^{-2m} = x^{2m} x^{4m} x^{-2m} = x^{4m} = y^{4m}.$$

It follows that  $y^{8m} = 1$ . Let  $\phi : G \rightarrow SL(2, C)$  be the homomorphism defined by  $\phi(x) = a$  and  $\phi(y) = waw^{-1}$ , where

$$a = \begin{pmatrix} e^{\pi i/4m} & 0 \\ 0 & e^{-\pi i/4m} \end{pmatrix} \quad \text{and} \quad w = \begin{pmatrix} \frac{1}{2}(i+1) & \frac{1}{2}(1-i) \\ -\frac{1}{2}(i+1) & \frac{1}{2}(1-i) \end{pmatrix}.$$

Since  $|a| = 8m$ , we see that  $|x| = |y| = 8m$ .

Let  $G_0 = \langle x, y : y = x^m y^m x y^{-m} x^{-m} \rangle$ . Then  $G_0$  is the group of a ribbon 2-knot. Therefore, by Lemma 2,  $G$  is the group of some Klein bottle in  $S^4$ .

Thus we complete the proof of the theorem.

#### 4. REMARKS

(1) The group  $G_0$  of the 2-twist-spun 2-knot of the  $(-2,3,3)$ -pretzel 1-knot is presented by

$$\langle a, b, x_* : a^2 = b^3 = (b^{-1}a)^3, x_* a x_*^{-1} = a^{-1}, x_* b x_*^{-1} = b^{-1} \rangle.$$

Since  $G_0 / \langle\langle x_* a x_*^{-1} \rangle\rangle$  is isomorphic to the group  $H_*$  given by (\*), it follows from Lemma 2 that  $H_*$  is the group of some Klein bottle in  $S^4$ .

(2) The group  $G$  of a Klein bottle in  $S^4$  has deficiency less than one because  $H_1(G) \cong Z_2$ . For each non-negative even integer  $n$ , the problem arises whether there exists a Klein bottle in  $S^4$  whose group has a meridian of order  $n$  and has deficiency zero. From the proofs of cases (1) and (3) in Section 3, we can see that, if  $n = 8m$  ( $m \geq 0$ ), then there exists such a Klein bottle in  $S^4$ . (In case of  $n = 0$ , for instance, the group presented by

$$\langle a, b, c, d, z : a = b^{-1} a^{-1} b a b, z b z^{-1} = a b^{-1} a^{-1}, \\ d = c^{-1} d^{-1} c d c, a b a^{-1} b^{-1} = c, z = c^4 d^{-1} c^{-2} d^{-1} \rangle$$

is such an example because  $\langle a, b, c, d, z : a = b^{-1} a^{-1} b a b, d = c^{-1} d^{-1} c d c, a b a^{-1} b^{-1} = c, z = c^4 d^{-1} c^{-2} d^{-1} \rangle$  is the group of a ribbon 2-knot.) The case  $n \neq 8m$  ( $m \geq 0$ ) is still open.

(3) It is known that a meridian of a projective plane smoothly embedded in  $S^4$  has order 2 or 4 (cf. [4, §VI]). In case of order 2, there exists such a projective plane in  $S^4$  (e.g., the connected sum of any 2-knot and a standard projective plane in  $S^4$  ([3], [4])). It remains open whether or not there exists a projective plane in  $S^4$  whose meridian has order 4.

(4) Using the Theorem, we can easily prove that, for every non-negative even integer  $n$ , there exists a non-orientable surface of (non-orientable) genus  $2m$  ( $m > 0$ ) in  $S^4$  whose meridian has order  $n$ .

#### REFERENCES

- [1] J. Boyle, *Classifying 1-handles attached to knotted surfaces*, Trans. Amer. Math. Soc. **306** (1988), 475–487. MR **89f**:57032
- [2] F. González-Acuña, *Homomorphisms of knot groups*, Ann. of Math. **102** (1975), 373–377. MR **52**:576

- [3] S. Kinoshita, *On the Alexander polynomial of 2-spheres in a 4-sphere*, Ann. of Math. **74** (1961), 518–531. MR **24**:A2960
- [4] T. M. Price and D. M. Roseman, *Embeddings of the projective plane in four space*, preprint.

FACULTY OF SCIENCE, KWANSEI GAKUIN UNIVERSITY, UEGAHARA NISHINOMIYA, HYOGO 662-8501, JAPAN

*E-mail address:* `yoshikawa@kgupyr.kwansei.ac.jp`