

INVERSE LIMITS ON $[0, 1]$ USING PIECEWISE LINEAR UNIMODAL BONDING MAPS

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ABSTRACT. In this paper we investigate inverse limits on $[0, 1]$ using a single bonding map chosen from a two-parameter family of piecewise linear unimodal bonding maps. This investigation focuses on the parameter values at the boundary between an hereditarily decomposable inverse limit and an inverse limit containing an indecomposable continuum.

0. INTRODUCTION

In a paper [2] in the volume *Continua with the Houston Problem Book* the author investigated inverse limits on $[0, 1]$ using a single bonding map chosen from the family of tent maps. The version of the family of tent maps considered there is given by

$$f_m(x) = \begin{cases} mx & \text{if } 0 \leq x \leq \frac{1}{m}, \\ -mx & \text{if } \frac{1}{m} \leq x \leq 1, \end{cases}$$

where $1 \leq m \leq 2$. In particular, it was shown that inverse limits on $[0, 1]$ using a single bonding map chosen from the family of tent maps contain indecomposable subcontinua for $1 < m \leq 2$. In that same paper, we also showed that inverse limits on $[0, 1]$ using a single bonding map chosen from another family of piecewise linear bonding maps contain an indecomposable continuum beyond a certain parameter value. That family, \mathbf{F} , of maps is given by

$$f_t(x) = \begin{cases} 2x & \text{if } 0 \leq x \leq \frac{1}{2}, \\ 2(1-t)(1-x) + t & \text{if } \frac{1}{2} \leq x \leq 1, \end{cases}$$

where $0 \leq t \leq 1$. For this family it was shown that for $t < \frac{1}{2}$ the inverse limit contains an indecomposable continuum. In both families, the inverse limit is the union of a topological ray R and a continuum K such that $K = \overline{R} - R$. The continuum K is the *core* of the inverse limit and results from the inverse limit on the interval $[f_m(1), 1]$ in the case of the tent family and $[f_t(1), 1]$ in the case of the family \mathbf{F} using the appropriate restriction of the bonding map. In both the case of the tent family and the core of the family \mathbf{F} , in some sense, an arc turns out to be a “harbinger” of the onset of indecomposability in the family of inverse limits. Results in this paper indicate that this is unusual. More generally, in piecewise

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linear families of maps which consist of two straight line segments, the more likely “signal” of the onset of indecomposability in inverse limits using single maps chosen from the family is a pair of $\sin \frac{1}{x}$ -curves. In the last section of this paper, we include a picture (Figure 2) depicting why the arc is the indicator in the two families studied in [2] while, in general, the more likely indicator is a pair of $\sin \frac{1}{x}$ -curves.

Throughout this paper, by a *continuum* we mean a compact, connected subset of a metric space and by a *mapping* we mean a continuous function. A continuum is *decomposable* if it is the union of two of its proper subcontinua and it is *indecomposable*, otherwise. If X_1, X_2, X_3, \dots is a sequence of topological spaces and f_1, f_2, f_3, \dots is a sequence of mappings such that, for each i , $f_i : X_{i+1} \rightarrow X_i$, by the *inverse limit* of the inverse sequence $\{X_i, f_i\}$, denoted $\varprojlim \{X_i, f_i\}$, is meant the subset of $\prod_{i>0} X_i$ to which the point x belongs if and only if $f_i(x_{i+1}) = x_i$ for $i = 1, 2, 3, \dots$. It is well known that when the spaces X_i are continua, the inverse limit is a continuum.

A mapping is *monotone* provided point inverses are connected. A mapping $f : [0, 1] \rightarrow [0, 1]$ is called *unimodal* provided there is a point c , $0 < c < 1$, such that $f(c)$ is in $\{0, 1\}$ and the maps $f|[0, c]$ and $f|[c, 1]$ are monotone. If one considers all possible unimodal surjective maps of $[0, 1]$, there are four possibilities. Such a map throws 1 to 0, fixes 0, throws 0 to 1 or fixes 1. These four types were identified in [1] as Type (i) , $i = 1, 2, 3, 4$. For the purposes of this paper we concentrate on Types (1) and (2) (i.e., those which throw 1 to 0 and those which fix 0) since we are interested in inverse limits and topologically conjugate maps produce homeomorphic inverse limits. Further, when considering a map f of Type (2), the inverse limit is a topological ray limiting on the inverse limit $K = \varprojlim \{[f(1), 1], f|[f(1), 1]\}$. It is easy to see that $f|[f(1), 1]$ is conjugate to a map of Type (1) on $[0, 1]$. Thus, we will, for the most part, limit our discussion to maps of Type (1) on $[0, 1]$. It is clear that a unimodal, piecewise linear Type (1) map g of $[0, 1]$ which consists of only two straight line pieces is completely determined by the choice of the critical point c ($g(c) = 1$) and $g(0)$ since $g(1) = 0$. Thus, in this paper we will consider Type (1) maps determined by two parameters, b and c , where $0 \leq b \leq 1$ and $0 < c < 1$. Specifically, we consider the unimodal maps¹,

$$g_{bc}(x) = \begin{cases} \frac{1-b}{c}x + b & \text{if } 0 \leq x \leq c, \\ \frac{x-1}{c-1} & \text{if } c \leq x \leq 1. \end{cases}$$

For convenience, we let $g_{bc}(x) = 1 - x$ for $b = 1$ and $c = 0$.

1. ARCS AND $\sin \frac{1}{x}$ -CURVES

In this section we consider inverse limits on $[0, 1]$ using a single bonding map g_{bc} where $b \geq c^2 - c + 1$. In case $b > c^2 - c + 1$, we show that the inverse limit is an arc while if $b = c^2 - c + 1$, the inverse limit is a pair of $\sin \frac{1}{x}$ -curves intersecting at the endpoints of the two rays. The parabola $b = c^2 - c + 1$ arises where the product of the slopes of the two pieces of g_{bc} is -1 .

Theorem 1. *If $b > c^2 - c + 1$, then $\varprojlim \{[0, 1], g_{bc}\}$ is an arc.*

¹*Added in proof:* For a discussion of the dynamics of this family, see *The dynamics of a family of one-dimensional maps* by Susan Bassett in the Amer. Math. Monthly, **105** (1998), 118-130

Proof. Consider g_{bc}^2 (i.e., $g_{bc} \circ g_{bc}$). Note that its graph consists of three pieces and it throws each of the intervals $[0, p]$ and $[p, 1]$ onto itself where p is the fixed point for g_{bc} . Further, g_{bc} swaps these two intervals, so g_{bc} induces a homeomorphism of the inverse limit, $\varprojlim\{[0, 1], g_{bc}^2\}$, onto itself which swaps the subcontinua produced on $[0, p]$ and $[p, 1]$. If r denotes the solution to $g_{bc}(x) = 1$ on $[p, 1]$, then absolute value of the slope of g_{bc}^2 on $[r, 1]$ is less than 1 and g_{bc}^2 throws $[r, 1]$ into itself. Thus, $\bigcap_{n>0} g_{bc}^{2n}[r, 1]$ is a single point and, consequently, $\varprojlim\{[r, 1], g_{bc}^2\}$ is a single point. By Bennett's Theorem [1, Theorem 1, p. 1909], $\varprojlim\{[p, 1], g_{bc}^2\}$ is a ray limiting on that single point, so this inverse limit is an arc. Thus, $\varprojlim\{[0, 1], g_{bc}^2\}$ is the union of two arcs intersecting only at a common endpoint and is, therefore, an arc. \square

Theorem 2. *If $b = c^2 - c + 1$ and $c \neq 0$, then $\varprojlim\{[0, 1], g_{bc}\}$ is the union of two $\sin \frac{1}{x}$ -curves intersecting only at the endpoint of their rays.*

Proof. Proceed as in the proof of Theorem 1 considering g_{bc}^2 . However, on the interval $[r, 1]$, the slope of g_{bc}^2 is -1 under the hypothesis that $b = c^2 - c + 1$. Therefore, in this case, $\varprojlim\{[r, 1], g_{bc}^2\}$ is an arc. Now, by Bennett's Theorem [1], $\varprojlim\{[p, 1], g_{bc}^2\}$ is a ray limiting on that arc. It can be shown that this is homeomorphic to a $\sin \frac{1}{x}$ -curve. Thus, $\varprojlim\{[0, 1], g_{bc}^2\}$ is the union of two $\sin \frac{1}{x}$ -curves intersecting only at a common endpoint of their rays. \square

2. MAIN THEOREM

A quite useful theorem for determining the presence of indecomposable subcontinua in an inverse limit on intervals using a unimodal map of Type (1) is Theorem 7 of [1]. This is the principal tool we use to establish the main theorem of this paper. In using this theorem, it is helpful to note that if g is a piecewise linear unimodal map of Type (1), the first fixed point for g^2 between the critical point and the end of the interval is the fixed point for g . We first establish a lemma which proves useful in the general analysis of the behavior of unimodal maps of Type (1). At first glance, it may seem strange that Lemma 1 is a theorem about unimodal maps of Type (3). However, if g is a unimodal map of Type (1) and p is the fixed point for g , if $g(0) > p$, then $g^2|[0, g^2(0)]$ is a unimodal map of Type (3).

Lemma 1. *Suppose $m > 1$, $m' > 0$ and $B > 0$. If $g : [0, B] \rightarrow [0, B]$ given by $g(x) = -mx + B$ if $0 \leq x \leq \frac{B}{m}$ and $g(x) = m'(x - \frac{B}{m})$ if $\frac{B}{m} \leq x \leq B$, then $g^2(0)$ is not less than the fixed point for g if and only if $m^2 \geq 1 + \frac{m}{m'}$.*

Proof. The fixed point for g is $\frac{B}{m+1}$ and $g^2(0) = Bm'(\frac{m-1}{m})$. It is easy to check that $\frac{B}{m+1} \geq Bm'(\frac{m-1}{m})$ if and only if $m^2 \geq 1 + \frac{m}{m'}$. \square

Lemma 2. *Under the hypothesis of Lemma 1, if $g^2(0)$ is less than the fixed point for g , then the graph of g^2 consists of three line segments of slopes $-mm'$, m^2 and $-mm'$, respectively, and g^2 throws $[0, g^2(0)]$ onto itself.*

Proof. The proof is left to the reader. \square

Remark. Under the hypothesis of Lemma 1, the graph of g^2 consists of three line segments whenever $g(B) \leq \frac{B}{m}$ and g^2 consists of four line segments if $g(B) > \frac{B}{m}$.

Definition. Let ϕ be the integer valued function defined on the non-negative integers by $\phi(0) = 0$, $\phi(1) = 1$ and $\phi(n) = \phi(n - 2) + 2^{n-2}$ for $n > 1$.

Lemma 3. For each positive integer i , $\phi(i+1) = \phi(i) + 2\phi(i-1)$.

Proof. We proceed by induction. It is easy to verify the lemma for $i = 1$. Assume the lemma is true for $i = j$. By definition, $\phi(j+2) = \phi(j) + 2^j$ and from the inductive hypothesis it follows that $2^j = 2\phi(j+1) - 2\phi(j-1)$. Thus, $\phi(j+2) = \phi(j) + 2\phi(j+1) - 2\phi(j-1) = \phi(j) + \phi(j+1) + [\phi(j) + 2\phi(j-1)] - 2\phi(j-1) = \phi(j+1) + 2\phi(j)$. \square

Lemma 4. If i is an odd positive integer, $\phi(i) - 2\phi(i-1) = 1$ while $\phi(i) - 2\phi(i-1) = -1$ if i is even.

Proof. We proceed by induction. It is easy to verify that the lemma is true for $i = 1$. Suppose it is true for $i = j$. Note that, by Lemma 3, $\phi(j+1) - 2\phi(j) = \phi(j) + 2\phi(j-1) - 2\phi(j) = -(\phi(j) - 2\phi(j-1))$. The lemma is an immediate consequence of this observation. \square

We now turn our attention back to the map g_{bc} . Denote the slopes of the two pieces of g_{bc} by m_1 and m_2 , respectively. I.e., $m_1 = \frac{1-b}{c}$ and $m_2 = \frac{1}{c-1}$.

Lemma 5. Suppose $b \geq c$ and n is a positive integer. Suppose further, that if $n \geq 2$, then $g_{bc}^{2^n}(0)$ is less than the first fixed point of $g_{bc}^{2^{n-1}}$. Then the slopes of the first two pieces of $g_{bc}^{2^n}$ are given by $m_1^{\phi(n)} \cdot m_2^{\phi(n+1)}$ and $m_1^{2 \cdot \phi(n-1)} \cdot m_2^{2 \cdot \phi(n)}$, respectively.

Proof. We proceed by induction. The statement is easily verified for $n = 1$. Assume it holds for $n = k$ and $g_{bc}^{2^k}(0)$ is less than the first fixed point for $g_{bc}^{2^k}$. Since the slopes of the first two pieces of $g_{bc}^{2^k}$ are given by $m_1^{\phi(k)} \cdot m_2^{\phi(k+1)}$ and $m_1^{2 \cdot \phi(k-1)} \cdot m_2^{2 \cdot \phi(k)}$, respectively, by Lemma 2, the slopes of the first two pieces of $g_{bc}^{2^{k+1}}$ are given by $m_1^{\phi(k)+2\phi(k-1)} \cdot m_2^{\phi(k+1)+2\phi(k)}$ and $m_1^{2 \cdot \phi(k)} \cdot m_2^{2 \cdot \phi(k+1)}$, respectively. The proof is now an immediate consequence of Lemma 3. \square

Theorem 3. If $b < c^2 - c + 1$, then $\varprojlim \{[0, 1], g_{bc}\}$ contains an indecomposable continuum.

Proof. As stated in the first paragraph of this section, our objective in this argument is to use [1, Theorem 7, p. 1912]. Noting that the fixed point for g_{bc} is $\frac{1}{2-c}$, in the case that $b < \frac{1}{2-c}$, by that theorem the inverse limit is indecomposable. If $b = \frac{1}{2-c}$, the inverse limit is the union of two indecomposable continua.

We turn to the case that $\frac{1}{2-c} < b < c^2 - c + 1$. We will show that we can use Theorem 7 of [1] on $g_{bc}^{2^n}$ on a small interval containing 0 for a proper choice of n . Let $m_1 = \frac{1-b}{c}$ and $m_2 = \frac{1}{c-1}$. Notice that $m_1 \cdot m_2 = -1$ if and only if $b = c^2 - c + 1$ and so our hypothesis implies that $|m_1 \cdot m_2| > 1$. Also, note that $|m_2| > 1$.

Assume that, for each positive integer n , $g_{bc}^{2^{n+1}}(0)$ is less than the first fixed point for $g_{bc}^{2^n}$. Then by Lemma 5, for each n , the slopes of the first two pieces of $g_{bc}^{2^n}$ are given by $m_1^{\phi(n)} \cdot m_2^{\phi(n+1)}$ and $m_1^{2 \cdot \phi(n-1)} \cdot m_2^{2 \cdot \phi(n)}$, respectively. Thus by Lemma 1, for odd values of n , $(m_1^{\phi(n)} \cdot m_2^{\phi(n+1)})^2 < 1 + |\frac{m_1}{m_2}|$ and for even values of n , $(m_1^{\phi(n)} \cdot m_2^{\phi(n+1)})^2 < 1 + |\frac{m_2}{m_1}|$. However, $(m_1^{\phi(n)} \cdot m_2^{\phi(n+1)})^2 = (m_1 \cdot m_2)^{2\phi(n)} \cdot m_2^{2(\phi(n+1) - \phi(n))}$. Since $|m_1 \cdot m_2| > 1$ and $|m_2| > 1$ and both $\phi(n)$ and $\phi(n+1) - \phi(n)$ increase without bound, this involves a contradiction. \square

3. THE TENT FAMILY

As stated in the introduction, in [2], we showed that for the tent family, $\{f_m \mid 1 \leq m \leq 2\}$, the inverse limit contains an indecomposable continuum if $m > 1$. In particular, the *core* (i.e., the inverse limit on $[f_m(1), 1]$ using the restriction of the bonding map f_m to that interval) of the inverse limit contains an indecomposable continuum. In the family \mathbf{F} , we showed the core of the inverse limit contains an indecomposable continuum for $t < \frac{1}{2}$. In this section, we show that these results follow directly from Theorem 3.

Theorem 4. *The core of the inverse limit using a single bonding map chosen from the tent family contains an indecomposable continuum for $1 < m \leq 2$.*

Proof. The restriction of f_m to the interval $[f_m(1), 1]$ is topologically conjugate to the map g_{bc} for $b = \frac{2c-1}{c-1}$ where $c = \frac{1}{m}$. The set of pairs (c, b) such that $b = \frac{2c-1}{c-1}$ lies below the parabola $b = c^2 - c + 1$, thus Theorem 4 follows immediately from Theorem 3. □

Theorem 5. *The core of the inverse limit using a single bonding map chosen from the family \mathbf{F} contains an indecomposable continuum for $0 \leq t < \frac{1}{2}$.*

Proof. The restriction of f_t to the interval $[f_t(1), 1]$ is topologically conjugate to g_{bc} for $b = 1 - 2c$ where $b = \frac{t}{1-t}$. The set of pairs (c, b) such that $b = 1 - 2c$ lies below the parabola $b = c^2 - c + 1$, thus Theorem 5 follows immediately from Theorem 3. □

4. FINAL REMARKS

Figure 1 indicates the space of parameter pairs (c, b) for which g_{bc} is a map of $[0, 1]$ onto itself. The space of pairs is the Cartesian product of the open interval $(0, 1)$ with the closed interval $[0, 1]$ together with the point $(0, 1)$. On the space of parameter pairs the curves $b = c^2 - c + 1$ and $b = \frac{1}{2-c}$ have been drawn in. By Theorem 2, the parabola $b = c^2 - c + 1$ in parameter space is the set of parameter values where the inverse limit is a pair of $\sin \frac{1}{x}$ -curves and below which the inverse limit contains an indecomposable continuum. Pairs above this parabola represent maps which produce arcs in the inverse limit. Along the curve $b = \frac{1}{2-c}$ the inverse limit is a pair of B-J-K continua (see [3, 2.9, page 22]) while below this curve the inverse limit is indecomposable.

Remark. The curves $b = \frac{2c-1}{c-1}$ and $b = 1 - 2c$ do not intersect the parabola $b = c^2 - c + 1$ for $0 < c < 1$ and consequently the pair of $\sin \frac{1}{x}$ -curves do not appear as a signal of the onset of indecomposability in the cores of the tent family or the family \mathbf{F} . However, in one similar family, $\mathbf{G} = \{g_t \mid g_t(x) = f_t(1 - x) \text{ for } x \text{ in } [0, 1] \text{ and } 0 \leq t \leq 1\}$, investigated by the author the inverse limit is an arc for $\frac{3}{4} < t \leq 1$, a pair of $\sin \frac{1}{x}$ -curves if $t = \frac{3}{4}$ and contains an indecomposable continuum if $t < \frac{3}{4}$. If, in this parameter space, one considers a curve representing a family of maps which gives inverse limits ranging from an arc to the B-J-K continuum, then from the picture it appears “more likely” that one would find a pair of $\sin \frac{1}{x}$ -curves showing up in the inverse limit and, after they show up, indecomposable subcontinua would be present in the inverse limit.

Figure 2 shows Figure 1 with the curves representing the parameter values for which the maps g_{bc} produce the cores of the tent family ($b = \frac{2c-1}{c-1}$), the cores of

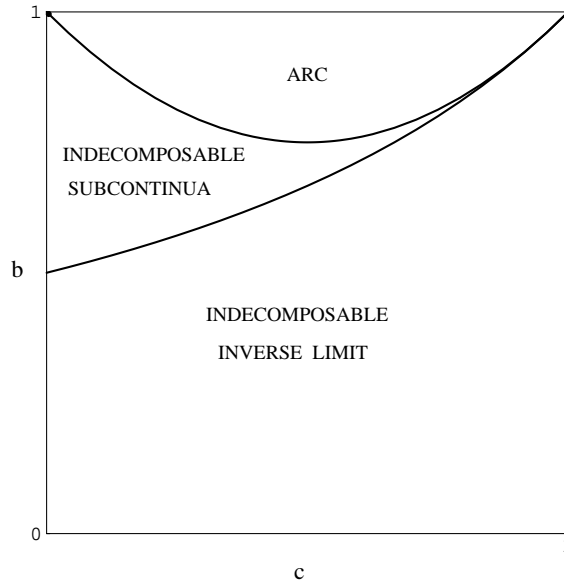


FIGURE 1

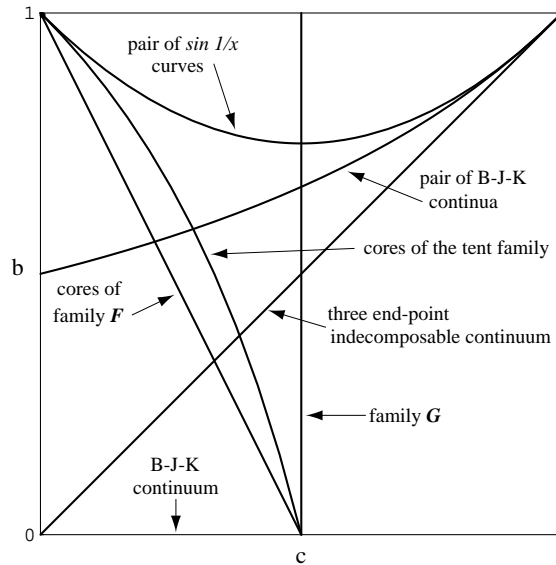


FIGURE 2

the family F ($b = 1 - 2c$) along with the family G ($c = \frac{1}{2}$) included. In addition, Figure 2 includes the line $b = c$ which is the set of parameter values for which map g_{bc} has a periodic point of period three with 0 and 1 in the orbit. This inverse limit is the classical three endpoint, indecomposable chainable continuum. See, e.g., [3, pp.7 - 9].

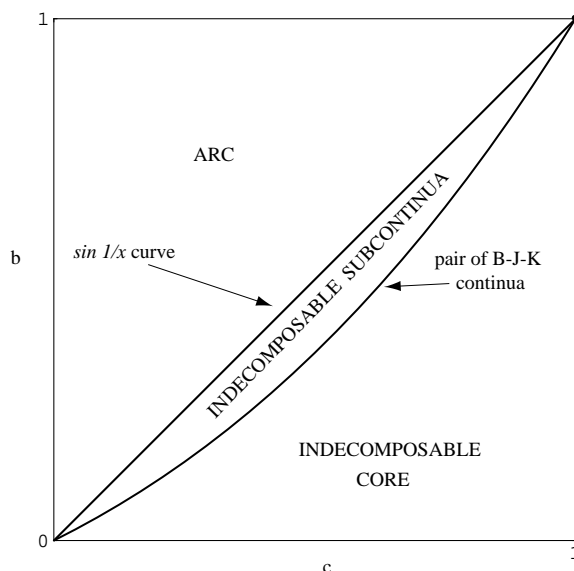


FIGURE 3

As Figure 1 does for the family g_{bc} , Figure 3 illustrates in the space of parameter pairs $\{(c, b) \mid 0 < c < 1, 0 \leq b \leq 1\} \cup \{(1, 1)\}$ the behavior for inverse limits using a single bonding map chosen from a two-parameter family of piecewise linear unimodal maps of Type (2) given by

$$f_{bc}(x) = \begin{cases} \frac{x}{c} & \text{if } 0 \leq x \leq c, \\ \frac{b-1}{1-c}(x-1) + b & \text{if } c \leq x \leq 1. \end{cases}$$

For convenience, we let $f_{bc}(x) = x$ for $b = 1$ and $c = 1$. Here, if $b > c$, the inverse limit is an arc while for $b = c$ the inverse limit is a $\sin \frac{1}{x}$ -curve. If $b < c$, the inverse limit contains an indecomposable continuum in its core. If $b < \frac{c^2 - c + 2 + (c-1)\sqrt{c^2 + 4}}{2}$, the core is indecomposable.

Question. Suppose (c, b) is a parameter pair with $0 \leq b \leq 1$ and $0 < c < 1$ and let

$$C_{bc} = \{(x, y) \mid 0 < x < 1 \text{ and } 0 \leq y \leq 1 \text{ and } \varprojlim \{[0, 1], g_{bc}\}$$

be homeomorphic to $\varprojlim \{[0, 1], g_{yx}\}$. Is C_{bc} connected?

Question. Suppose $\varprojlim \{[0, 1], g_{bc}\}$ is homeomorphic to $\varprojlim \{[0, 1], g_{yx}\}$. Are g_{bc} and g_{yx} topologically conjugate?

One final remark. In considering inverse limits on intervals using a single piecewise linear unimodal map of Type (1) whose graph consists of two straight line intervals, the inverse limit contains an indecomposable continuum if and only if the angle between the pieces of the graph is acute. The inverse limit is an arc if and only if the angle is obtuse. The inverse limit is a pair of $\sin \frac{1}{x}$ -curves if and only if the angle is a right angle.

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