

## ON A POLYNOMIAL INEQUALITY OF E. J. REMEZ

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ABSTRACT. We prove a result which extends a well-known polynomial inequality of E. J. Remez and another one due to W. A. Markov.

### 1. INTRODUCTION

Let  $\mathcal{P}_n$  denote the class of all polynomials of degree at most  $n$  with real or complex coefficients. Polynomials in  $\mathcal{P}_n$  whose coefficients are all real will form the sub-class  $\mathcal{P}_{n,\mathbb{R}}$ . As usual we shall denote by  $T_n$  the  $n$ th Chebyshev polynomial of the first kind, which is given by  $\cos n \arccos x$  for  $-1 \leq x \leq 1$ . In particular,  $|T_n(x)| \leq 1$  for  $-1 \leq x \leq 1$  and  $T_n(\cos((n-j)\pi/n)) = (-1)^{n-j}$  for  $j = 0, 1, \dots, n$ . All its zeros are real and lie in the open interval  $(-1, 1)$ . It was observed by P.L. Chebyshev (see [7] or [9]) that if  $f \in \mathcal{P}_n$  and  $|f(x)| \leq 1$  for  $-1 \leq x \leq 1$ , then

$$(1) \quad |f(x)| \leq |T_n(x)| \quad \text{for all } x \in \mathbb{R} \setminus [-1, 1].$$

Subsequently, it was shown by W.A. Markov [5] that under the same condition on  $f$ , we have

$$(2) \quad \left| f^{(k)}(x) \right| \leq \left| T_n^{(k)}(x) \right| \quad \text{for all } x \in \mathbb{R} \setminus [-1, 1] \quad \text{and } 1 \leq k \leq n.$$

Now we must introduce a couple of additional notations. We shall write  $\mu(\mathfrak{S})$  for the measure of a Lebesgue measurable subset  $\mathfrak{S}$  of  $\mathbb{R}$ . For *any* polynomial  $f$  and any subinterval  $\mathbb{I}$  of  $\mathbb{R}$  we denote the set  $\{x \in \mathbb{I} : |f(x)| \leq 1\}$  by  $\mathfrak{E}(f; \mathbb{I})$ .

The following generalization of Chebyshev's inequality (1) is due to E.J. Remez (see [1], [2], [3, Lemma 7.3], [8]). The proof in [1] is the simplest.

**Theorem A.** *For all  $g \in \mathcal{P}_n$ , the following inequality holds:*

$$(3) \quad \max_{-1 \leq x \leq 1} |g(x)| \leq T_n \left( \frac{4}{\mu(\mathfrak{E}(g; [-1, 1]))} - 1 \right).$$

An equivalent formulation of this result stated below as Theorem A' shows clearly why it contains (1).

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For each  $R \geq 1$ , let

$$\pi_n(R) := \{f \in \mathcal{P}_n : \mu(\mathfrak{E}(f; [-1, R])) \geq 2\},$$

$$\pi_{n,\mathbb{R}}(R) := \{f \in \mathcal{P}_{n,\mathbb{R}} : \mu(\mathfrak{E}(f; [-1, R])) \geq 2\}.$$

If  $f \in \pi_n(R)$  for some  $R \geq 1$  and  $g(x) := f((R + 1)x/2 + (R - 1)/2)$ , then

$$\mu(\mathfrak{E}(g; [-1, 1])) = \frac{2}{R + 1} \mu(\mathfrak{E}(f; [-1, R])) \geq \frac{4}{R + 1}.$$

Hence Theorem A implies that if  $f \in \pi_n(R)$ , then

$$(4) \quad \max_{-1 \leq x \leq R} |f(x)| = \max_{-1 \leq x \leq 1} |g(x)| \leq T_n(R).$$

Conversely, let (4) hold for all  $f \in \pi_n(R)$ . If  $g$  is any polynomial of degree at most  $n$  and  $f(x) := g((2x - R + 1)/(R + 1))$ , then

$$\mu(\mathfrak{E}(f; [-1, R])) = \frac{R + 1}{2} \mu(\mathfrak{E}(g; [-1, 1])) \geq 2$$

if  $R \geq 4/\mu(\mathfrak{E}(g; [-1, 1])) - 1$ . Hence,  $f \in \pi_n(R)$  for all such values of  $R$  and

$$\max_{-1 \leq x \leq 1} |g(x)| = \max_{-1 \leq x \leq R} |f(x)| \leq T_n(R),$$

that is, (3) holds. Thus, Theorem A may be reformulated as follows.

**Theorem A'.** *If  $f \in \pi_n(R)$  for some  $R \geq 1$ , then*

$$(5) \quad \max_{-1 \leq x \leq R} |f(x)| \leq T_n(R).$$

It was noted by B.D. Bojanov that if  $m(t) := \sup\{|f(t)| : f \in \pi_n(R)\}$ , then

$$m(t) \leq m(R)$$

for all  $t \in (-1, R)$ . His argument goes roughly as follows.

Take an arbitrary  $t \in (-1, R)$  and any  $f \in \pi_n(R)$ . If

$$q_1(t) := \frac{\mu(\mathfrak{E}(f; [-1, t]))}{1 + t} \quad \text{and} \quad q_2(t) := \frac{\mu(\mathfrak{E}(f; [t, R]))}{R - t},$$

then

$$\max\{q_1(t), q_2(t)\} \geq \frac{2}{1 + R},$$

since otherwise, we would have

$$\mu(\mathfrak{E}(f; [-1, R])) = \mu(\mathfrak{E}(f; [-1, t])) + \mu(\mathfrak{E}(f; [t, R])) < 2.$$

Now consider the linear transformations

$$\alpha_1(x) := \frac{(1 + t)x - R + t}{1 + R} \quad \text{and} \quad \alpha_2(x) := \frac{(t - R)x + R^2 + t}{1 + R}.$$

It is to be noted that as  $x$  increases from  $-1$  to  $R$ , the number  $\alpha_1(x)$  increases from  $-1$  to  $t$  whereas  $\alpha_2(x)$  decreases from  $R$  to  $t$ . Under the first transformation every subinterval of  $[-1, R]$  shrinks by the factor  $(1 + t)/(1 + R)$ ; under the second, they all shrink by the factor  $(R - t)/(1 + R)$ . This means that if  $\mathbb{I}$  is an interval contained either in  $[-1, t]$  or in  $[t, R]$ , then  $\mu(\{x \in [-1, R] : \alpha_1(x) \in \mathbb{I}\})$  is equal to  $((1 + R)/(1 + t))\mu(\mathbb{I})$  in the first case and  $\mu(\{x \in [-1, R] : \alpha_2(x) \in \mathbb{I}\})$  is equal

to  $((1 + R)/(R - t))\mu(\mathbb{I})$  in the second. Hence, choosing  $\kappa \in \{1, 2\}$  such that  $q_\kappa(t) \geq 2/(1 + R)$  we obtain

$$\mu(\mathfrak{E}(f(\alpha_\kappa(\cdot)); [-1, R])) \geq 2.$$

In other words,  $f(\alpha_\kappa(\cdot)) \in \pi_n(R)$ . Since  $t = \alpha_\kappa(R)$ , we conclude that

$$|f(t)| = |f(\alpha_\kappa(R))| \leq m(R).$$

In view of this fact, Theorem A' may be stated as follows.

**Theorem A''.** *Let  $R \geq 1$ . If  $f \in \pi_n(R)$ , then for all  $x \geq R$ ,*

$$(6) \quad |f(x)| \leq T_n(x).$$

We prove

**Theorem 1.** *Let  $R \geq 1$ . If  $f \in \pi_{n,\mathbb{R}}(R)$ , then for all  $z \in \mathcal{H}_R := \{z \in \mathbb{C} : \operatorname{Re}(z) \geq R\}$  we have*

$$(7) \quad \left|f^{(k)}(z)\right| \leq \left|T_n^{(k)}(z)\right| \quad (k = 0, 1, \dots, n).$$

*If  $k$  belongs to  $\{1, \dots, n\}$ , then equality holds in (7) for any  $z \in \mathcal{H}_R$  if and only if  $f(z) = \pm T_n(z)$ . The same can be said when  $k = 0$  if  $z \in \mathcal{H}_R \setminus \{1\}$ .*

Theorem 1 is not only an extension of Theorem A' but also of (2).

Note that if  $f$  is of degree  $n$ , then  $\mathfrak{E}(f; (-\infty, \infty))$  consists of at most  $n$  disjoint closed though possibly degenerate intervals. To see this consider the polynomial  $F(z) := f(z)\overline{f(\bar{z})}$ . It is non-negative on the real axis and  $\mathfrak{E}(F; (-\infty, \infty)) = \mathfrak{E}(f; (-\infty, \infty))$ . Suppose that  $\mathfrak{E}(f; (-\infty, \infty))$  consists of  $N$  disjoint closed intervals  $[a_1, b_1], \dots, [a_N, b_N]$ , where  $N \geq n + 1$ . It is geometrically evident that the derivative  $F'$  must vanish at least once in each of the  $N - 1$  open intervals  $(b_1, a_2), \dots, (b_{N-1}, a_N)$  and also in each of the intervals  $[a_1, b_1], \dots, [a_N, b_N]$ , even in the degenerate ones. Thus  $F'$  has at least  $2N - 1 (\geq 2n + 1)$  zeros, which is a contradiction since  $F'$  is of degree  $2n - 1$ . It follows that  $\mathfrak{E}(f; [-1, \xi])$  consists of at most  $n$  disjoint, closed, possibly degenerate intervals for all  $\xi \geq -1$ .

Now let  $x_j := \cos((n - j)\pi/n)$  for  $j = 0, 1, \dots, n$  and let  $f$  be an arbitrary polynomial in  $\pi_n(R)$ , where  $R \geq 1$ . For  $j = 0, 1, \dots, n$  let  $\xi_j$  be the infimum of all  $\xi$  such that  $\mu(\mathfrak{E}(f; [-1, \xi])) = 1 + x_j$ . The numbers  $\xi_0, \xi_1, \dots, \xi_n$  are well defined and form an increasing sequence such that  $\xi_{j+1} - \xi_j \geq x_{j+1} - x_j$  for  $j = 0, \dots, n - 1$ ; in particular,  $\xi_j \geq x_j$  for  $j = 0, 1, \dots, n$ . So, Theorem 1 is contained in the following result. This is what we shall really prove.

**Theorem 1\*.** *Let*

$$x_j := \cos \frac{n - j}{n} \pi \quad \text{for } j = 0, 1, \dots, n.$$

*Further, let  $\xi_0, \xi_1, \dots, \xi_n$  be another sequence of  $n + 1$  numbers in  $[-1, \infty)$  such that*

$$(8) \quad \xi_{j+1} - \xi_j \geq x_{j+1} - x_j \quad (j = 0, 1, \dots, n - 1),$$

*and  $\mathcal{H}_R$  as in Theorem 1. If  $f$  is a real polynomial of degree at most  $n$  such that*

$$(9) \quad |f(\xi_j)| \leq 1 \quad (j = 0, 1, \dots, n),$$

*then for all  $z \in \mathcal{H}_R$  with  $R \geq \xi_n$  we have*

$$(10) \quad \left|f^{(k)}(z)\right| \leq \left|T_n^{(k)}(z)\right| \quad (k = 0, 1, \dots, n),$$

where  $f^{(0)}(z) \equiv f(z)$ . If  $k$  belongs to  $\{1, \dots, n\}$ , then equality holds in (10) for any  $z \in \mathcal{H}_R$  if and only if  $\xi_j = x_j$  for all  $j$  and  $f(z) = \pm T_n(z)$ . The same can be said when  $k = 0$  if  $z \in \mathcal{H}_R \setminus \{1\}$ .

*Remark 1.* Let  $f$  be any polynomial (real or not) of degree at most  $n$  satisfying (9). For any  $x_0 \geq \xi_n$  and any  $k \in \{0, 1, \dots, n\}$ , let  $f^{(k)}(x_0) = |f^{(k)}(x_0)| e^{i\gamma}$ . Then  $g(x) := Re(e^{-i\gamma} f(x))$  is a real polynomial of degree at most  $n$  satisfying (9) and so

$$|f^{(k)}(x_0)| = Re(e^{-i\gamma} f^{(k)}(x_0)) = |g^{(k)}(x_0)| \leq T_n^{(k)}(x_0)$$

by (10). In other words, (10) holds for any polynomial  $f$  of degree at most  $n$  satisfying (9) if  $z \in \mathcal{H}_R \cap \mathbb{R}$ .

### 2. PREPARATORY LEMMAS

The following auxiliary result is a simple principle of mechanics expressed in terms of complex numbers rather than vectors.

**Lemma 1.** *Let  $\varphi_1, \dots, \varphi_n$  and  $\psi_1, \dots, \psi_n$  be non-negative numbers with  $\varphi_k \leq \psi_k$  for  $k = 1, \dots, n$  and  $\sum_{k=1}^n \psi_k < \pi/2$ . Besides, let  $\rho_0, \dots, \rho_n$  and  $R_0, \dots, R_n$  be two other sets of positive numbers such that  $\rho_k \leq R_k$  for  $k = 0, 1, \dots, n$ . Then*

$$(11) \quad \left| \rho_0 + \sum_{k=1}^n \rho_k \exp(-i \sum_{j=1}^k \psi_j) \right| \leq \left| R_0 + \sum_{k=1}^n R_k \exp(-i \sum_{j=1}^k \varphi_j) \right|,$$

where equality holds only if  $\rho_k = R_k$  for  $0 \leq k \leq n$  and  $\psi_k = \varphi_k$  for  $1 \leq k \leq n$ .

*Proof.* Clearly,  $|\rho_0 + \sum_{k=1}^n \rho_k \exp(-i \sum_{j=1}^k \psi_j)|^2$  is equal to

$$\begin{aligned} \sum_{k=0}^n \rho_k^2 + 2\rho_0 \sum_{l=1}^n \rho_l \cos(\sum_{j=1}^l \psi_j) + \sum_{k=1}^{n-1} 2\rho_k \cos(\sum_{j=1}^k \psi_j) (\sum_{l=k+1}^n \rho_l \cos(\sum_{j=1}^l \psi_j)) \\ + \sum_{k=1}^{n-1} 2\rho_k \sin(\sum_{j=1}^k \psi_j) (\sum_{l=k+1}^n \rho_l \sin(\sum_{j=1}^l \psi_j)), \end{aligned}$$

which is in turn equal to

$$\begin{aligned} \sum_{k=0}^n \rho_k^2 + 2\rho_0 \sum_{l=1}^n \rho_l \cos(\sum_{j=1}^l \psi_j) + \sum_{k=1}^{n-1} 2\rho_k \sum_{l=k+1}^n \rho_l \\ \times \left\{ \cos(\sum_{j=1}^l \psi_j) \cos(\sum_{j=1}^k \psi_j) + \sin(\sum_{j=1}^l \psi_j) \sin(\sum_{j=1}^k \psi_j) \right\}. \end{aligned}$$

Thus,  $|\rho_0 + \sum_{k=1}^n \rho_k \exp(-i \sum_{j=1}^k \psi_j)|^2$  can be written in the form

$$\sum_{k=0}^n \rho_k^2 + \sum_{k=0}^{n-1} 2\rho_k \sum_{l=k+1}^n \rho_l \cos(\sum_{j=k+1}^l \psi_j),$$

which, obviously, increases as any of the numbers  $\rho_0, \rho_1, \dots, \rho_n$  increases or as any of the numbers  $\psi_1, \dots, \psi_n$  decreases. Hence (11) holds, wherein equality holds only if  $\rho_k = R_k$  for  $0 \leq k \leq n$  and  $\psi_k = \varphi_k$  for  $1 \leq k \leq n$ .  $\square$

**Lemma 2.** Let  $x_j, \xi_j$  be as in Theorem 1\* and  $z = x + iy$  where  $x \geq \xi_n, y \geq 0$ . Denote by  $A_j, B_j$  and  $P$  the points of the complex plane which correspond to  $x_j, \xi_j$  and  $z$ , respectively. If  $\varphi_j, \psi_j$  stand for the angles  $\widehat{A_{j-1}PA_j}, \widehat{B_{j-1}PB_j}$ , respectively, then

$$(12) \quad \psi_j \geq \varphi_j \text{ for } j = 1, \dots, n,$$

where, in the case  $y > 0$ , equality holds for some  $j$  if and only if  $\xi_j = x_j$  for all  $j$ .

*Proof.* There is nothing to prove when  $y = 0$  since in that case  $\psi_j$  and  $\varphi_j$  are all zero. So we assume  $y > 0$ . For  $j = 1, \dots, n$  let  $\delta_j, \Delta_j$  denote the areas of the triangles  $A_{j-1}PA_j, B_{j-1}PB_j$ , respectively; then

$$\delta_j = \frac{1}{2}(x_j - x_{j-1})y, \quad \Delta_j = \frac{1}{2}(\xi_j - \xi_{j-1})y.$$

By assumption,  $\xi_j - \xi_{j-1} \geq x_j - x_{j-1}$  and so

$$(13) \quad \Delta_j \geq \delta_j \text{ for } 1 \leq j \leq n.$$

Using another well-known formula for the area of a triangle we write

$$\delta_j = \frac{1}{2}|z - x_j||z - x_{j-1}|\sin \varphi_j, \quad \Delta_j = \frac{1}{2}|z - \xi_j||z - \xi_{j-1}|\sin \psi_j,$$

from which, for  $j = 1, \dots, n$ , we obtain

$$(14) \quad \sin \varphi_j = \frac{2\delta_j}{|z - x_j||z - x_{j-1}|}, \quad \sin \psi_j = \frac{2\Delta_j}{|z - \xi_j||z - \xi_{j-1}|}.$$

It is geometrically evident that  $|z - \xi_j| \leq |z - x_j|$  for  $j = 0, 1, \dots, n$ . Hence, (14) combined with (13) implies that

$$\sin \varphi_j \leq \sin \psi_j \quad (1 \leq j \leq n).$$

This is equivalent to the desired result since  $0 < \varphi_j, \psi_j < \pi/2$ . □

**Lemma 3.** Let  $x_j, z$  and  $\varphi_j$  be as in Lemma 2. If

$$G(z) := \prod_{j=0}^n (z - x_j), \quad G_k(z) := \frac{G(z)}{z - x_k} \text{ for } k = 0, 1, \dots, n,$$

then with  $\varphi_0 = 0$ , we have

$$(15) \quad |T_n(z)| = \left| \sum_{k=0}^n \frac{1}{|G'(x_k)|} |G_k(z)| \exp(-i \sum_{j=0}^k \varphi_j) \right|.$$

*Proof.* Note that

$$G'(x_k) = \prod_{j=0, j \neq k}^n (x_k - x_j) = (-1)^{n-k} \prod_{j=0, j \neq k}^n |x_k - x_j| = (-1)^{n-k} |G'(x_k)|$$

and

$$T_n(x_k) = (-1)^{n-k}.$$

Hence, by Lagrange interpolation in the points  $x_0, x_1, \dots, x_n$  we obtain

$$(16) \quad |T_n(z)| = \left| \sum_{k=0}^n T_n(x_k) \frac{1}{G'(x_k)} G_k(z) \right| = \left| \sum_{k=0}^n \frac{1}{|G'(x_k)|} G_k(z) \right|.$$

If  $\alpha := \text{Arg } G_0(z)$  and  $k \in \{0, 1, \dots, n\}$ , then

$$\begin{aligned}
 (17) \quad G_k(z) &= G_0(z) \frac{z - x_0}{z - x_k} \\
 &= e^{i\alpha} |G_0(z)| \left| \frac{z - x_0}{z - x_k} \right| \exp \left( -i \sum_{j=0}^k \varphi_j \right) \\
 &= e^{i\alpha} |G_k(z)| \exp \left( -i \sum_{j=0}^k \varphi_j \right).
 \end{aligned}$$

Substituting this expression for  $G_k(z)$  in (16) we obtain (15).  $\square$

*Remark 2.* Let  $\xi_j$ ,  $z$  and  $\psi_j$  be as in Lemma 2. Further, let

$$H(z) := \prod_{j=0}^n (z - \xi_j), \quad H_k(z) := \frac{H(z)}{z - \xi_k} \quad \text{for } k = 0, 1, \dots, n.$$

Arguing as for (17) we can show that if  $\beta := \text{Arg } H_0(z)$ , then with  $\psi_0 = 0$ , we have

$$(18) \quad H_k(z) = e^{i\beta} |H_k(z)| \exp \left( -i \sum_{j=0}^k \psi_j \right) \quad \text{for } k = 0, 1, \dots, n.$$

**Lemma 4.** For  $k = 0, 1, \dots, n$  let  $w_k = u_k + iv_k$ , where  $u_k > 0$ ,  $v_k \leq 0$ . If  $-1 \leq t_k \leq 1$  for  $k = 0, 1, \dots, n$ , then

$$(19) \quad \left| \sum_{k=0}^n t_k w_k \right| \leq \left| \sum_{k=0}^n w_k \right|,$$

where equality holds if and only if the numbers  $t_k$  are all of the same sign and of modulus 1.

*Proof.* Since the numbers  $u_k$  are all of the same sign and so are the numbers  $v_k$ , we clearly have

$$\begin{aligned}
 \left| \sum_{k=0}^n t_k w_k \right|^2 &= \left( \sum_{k=0}^n t_k u_k \right)^2 + \left( \sum_{k=0}^n t_k v_k \right)^2 \\
 &\leq \left( \sum_{k=0}^n u_k \right)^2 + \left( \sum_{k=0}^n v_k \right)^2 \\
 &= \left| \sum_{k=0}^n u_k + i \sum_{k=0}^n v_k \right|^2 \\
 &= \left| \sum_{k=0}^n w_k \right|^2.
 \end{aligned}$$

$\square$

3. PROOF OF THEOREM 1\*

First let  $k = 0$ . For reasons of symmetry it is enough to prove that

$$(20) \quad |f(z)| \leq |T_n(z)| \text{ for } z = x + iy, x \geq \xi_n, y \geq 0.$$

By Lagrange interpolation in the points  $\xi_0, \xi_1, \dots, \xi_n$  we have

$$f(z) = \sum_{k=0}^n f(\xi_k) \frac{1}{H'(\xi_k)} H_k(z).$$

Noting that

$$H'(\xi_k) = \prod_{j=0, j \neq k}^n (\xi_k - \xi_j) = (-1)^{n-k} \prod_{j=0, j \neq k}^n |\xi_k - \xi_j| = (-1)^{n-k} |H'(\xi_k)|$$

and taking (18) into account we obtain

$$|f(z)| = \left| e^{i\beta} \sum_{k=0}^n (-1)^{n-k} f(\xi_k) \frac{|H_k(z)|}{|H'(\xi_k)|} \exp\left(-i \sum_{j=0}^k \psi_j\right) \right|.$$

So by Lemma 4,

$$|f(z)| \leq \left| \sum_{k=0}^n \frac{|H_k(z)|}{|H'(\xi_k)|} \exp\left(-i \sum_{j=0}^k \psi_j\right) \right|.$$

From (8) it follows that  $|\xi_k - \xi_j| \geq |x_k - x_j|$  and so

$$|H'(\xi_k)| = \prod_{j=0, j \neq k}^n |\xi_k - \xi_j| \geq \prod_{j=0, j \neq k}^n |x_k - x_j| = |G'(x_k)|.$$

Besides, it is geometrically evident that  $|H_k(z)| \leq |G_k(z)|$ . Since  $\psi_j \geq \varphi_j$  by Lemma 2, we may apply Lemma 1 to conclude that for  $z = x + iy$  with  $x \geq \xi_n, y > 0$  we have

$$|f(z)| \leq \left| \sum_{k=0}^n \frac{|G_k(z)|}{|G'(x_k)|} \exp\left(-i \sum_{j=0}^k \varphi_j\right) \right| = |T_n(z)|$$

by Lemma 3. Since, in (11) equality holds if and only if  $\rho_k = R_k, \psi_k = \varphi_k$  for  $k = 0, \dots, n$ , it is easily seen from the above proof that  $|f(z)| < |T_n(z)|$  for all  $z \in \mathcal{H}_R$  with  $R \geq \xi_n$  unless  $f(z) \equiv \pm T_n(z)$ .

Now let  $1 \leq k \leq n$ . Assume that  $\xi_j \neq x_j$  for some  $j$ . Then  $\xi_n \neq x_n$  and  $f(z)$  is not identically equal to  $\pm T_n(z)$ . Consequently,  $|f(z)| < |\lambda T_n(z)|$  for all  $z \in \mathcal{H}_R$  and all  $\lambda \in \mathbb{C}$  such that  $|\lambda| \geq 1$ . This means that the polynomial  $p(z) := f(z) - \lambda T_n(z) \neq 0$  in  $\mathcal{H}_R$ . Hence, there exists a positive number  $\delta$  such that all the zeros of  $p$  lie in the half-plane  $Re(z) \leq \xi_n - \delta$ . By the Gauss-Lucas theorem [4, p. 84] all the zeros of  $p^{(k)}$ , if any, also lie in the same half-plane. It follows that  $f^{(k)}(z) - \lambda T_n^{(k)}(z) \neq 0$  in  $\mathcal{H}_R$  for all  $\lambda \in \mathbb{C}$  such that  $|\lambda| \geq 1$ . This is possible only if  $|f^{(k)}(z)| < |T_n^{(k)}(z)|$  for all  $z \in \mathcal{H}_R$ . Indeed, if we had  $|f^{(k)}(z_0)| \geq |T_n^{(k)}(z_0)|$  for some  $z_0 \in \mathcal{H}_R$ , then with  $\lambda_0 = f^{(k)}(z_0)/T_n^{(k)}(z_0)$ , which is of modulus  $\geq 1$ , we

would have

$$f^{(k)}(z_0) - \lambda_0 T_n^{(k)}(z_0) = 0$$

contradicting the fact that  $f^{(k)}(z) - \lambda T_n^{(k)}(z) \neq 0$  in  $\mathcal{H}_R$  if  $|\lambda| \geq 1$ .

*Remark 3.* Let  $x_j$ ,  $\xi_j$  and  $\mathcal{H}_R$  be as above. The argument used to prove Theorem 1\* shows that if  $f$  is any polynomial of degree at most  $n$ , with real or complex coefficients, such that  $|f(\xi_j)| \leq 1$  for  $j = 0, 1, \dots, n$  and  $z_0 \in \mathcal{H}_R$ , then

$$|f(z_0)| \leq |T_{n,z_0}(z_0)|,$$

where  $T_{n,z_0}$  is the unique polynomial of degree  $n$  satisfying the interpolation condition

$$T_{n,z_0}(x_j) = (-1)^{n-j} \exp(i \arg(z_0 - x_j)) \quad (j = 0, 1, \dots, n).$$

Note that the extremal polynomial  $T_{n,z_0}(z)$  may change with  $z_0$ . But clearly,  $T_{n,z_0}(z) \equiv T_n(z)$ , when  $z_0 \in \mathcal{H}_R \cap \mathbb{R}$ .

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