

## THE RESIDUES OF THE RESOLVENT ON DAMEK-RICCI SPACES

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ABSTRACT. We determine the poles and residues of the resolvent kernel of the Laplacian on a Damek-Ricci space  $S$ . We show that all poles are simple and the residues define convolution operators of finite rank. This generalizes a result of Guillopé-Zworski for the real hyperbolic  $n$ -space. If  $S$  corresponds to a symmetric space of negative curvature  $G/K$ , the image of each residue is a  $\mathfrak{g}_c$ -module with a specific highest weight. We compute the dimension by the Weyl dimension formula.

### 1. PRELIMINARIES

In this section we will recall some basic notions on  $H$ -type groups and their canonical solvable extensions, following mainly [2] (see also [1]).

Let  $\mathfrak{n}$  be a two-step real nilpotent Lie algebra endowed with an inner product  $\langle \cdot, \cdot \rangle$  such that  $\mathfrak{n}$  has an orthogonal decomposition  $\mathfrak{n} = \mathfrak{z} \oplus \mathfrak{v}$ , where  $\mathfrak{z}$  is the center of  $\mathfrak{n}$  and  $[\mathfrak{v}, \mathfrak{v}] = \mathfrak{z}$ . If  $\mathfrak{n}$  is abelian, we shall use the convention that  $\mathfrak{v} = 0$  and  $\mathfrak{n} = \mathfrak{z}$ .

Define a linear mapping  $J : \mathfrak{z} \rightarrow \text{End}(\mathfrak{v})$  by

$$(1) \quad \langle J_Z X, Y \rangle = \langle Z, [X, Y] \rangle$$

(note that  $J_Z$  is skew-symmetric). Now  $\mathfrak{n}$  is said to be an  $H$ -type algebra if for any  $Z_1, Z_2 \in \mathfrak{z}$ ,

$$(2) \quad J_{Z_1} J_{Z_2} + J_{Z_2} J_{Z_1} = -2\langle Z_1, Z_2 \rangle.$$

The corresponding  $H$ -type group is the simply connected Lie group  $N$  with Lie algebra  $\mathfrak{n}$ , endowed with the left-invariant metric induced by the inner product  $\langle \cdot, \cdot \rangle$  on  $\mathfrak{n}$ .

Consider the solvable extension,  $S = AN$ , the semidirect product of  $A = \mathbf{R}^+$  and  $N$ , where each  $t \in A$  acts on  $N$  by  $(x, z) \rightarrow (t^{\frac{1}{2}}x, tz)$ .

Let  $\mathfrak{s}, \mathfrak{a}$ , denote respectively the Lie algebras of  $S, A$ . Then  $\mathfrak{s} = \mathfrak{a} \oplus \mathfrak{n}$  and  $\mathfrak{a} = \mathbf{R}H$ , where  $ad H$  is the derivation of  $\mathfrak{n}$  such that  $ad H|_{\mathfrak{v}} = \frac{1}{2}I$  and  $ad H|_{\mathfrak{z}} = I$ . Also,  $\mathfrak{s}$  carries the inner product extending the one on  $\mathfrak{n}$  such that  $\|H\| = 1$ ,  $\langle H, \mathfrak{n} \rangle = 0$ ;  $S$  carries the induced left-invariant riemannian structure. Furthermore, let  $q = \dim \mathfrak{z}$ ,  $p = \dim \mathfrak{v}$ ,  $n = \dim \mathfrak{s} = p + q + 1$  and  $Q = \frac{1}{2}(p + 2q)$ .

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Using coordinates from  $\mathfrak{v} \oplus \mathfrak{z} \oplus \mathbf{R}^+$ , the product on  $S$  is expressed as

$$(X, Z, a)(X', Z', a') = (X + a^{\frac{1}{2}}X', Z + aZ' + \frac{1}{2}a^{\frac{1}{2}}[X, X'], aa').$$

The volume element of the induced left-invariant riemannian metric on  $S$  is the left Haar measure

$$dm = a^{-Q-1}dXdZda.$$

We will use the fact that  $S$  can be realized as the unit ball in  $\mathfrak{s}$ :

$$B(\mathfrak{s}) = \{(X, Z, u) : |X|^2 + |Z|^2 + u^2 = 1\}$$

via a Cayley type transform  $\tilde{C} : S \rightarrow B(\mathfrak{s})$  (see [2], Section 4).

In  $B(\mathfrak{s})$  the geodesics through the origin are the diameters and the geodesic distance to the origin  $r = d(\tilde{p}, 0) = \log \frac{1+|\tilde{p}|}{1-|\tilde{p}|}$ ; thus  $|\tilde{p}| = \tanh(r/2)$ , with  $\tilde{p} = \tilde{C}(p)$ , if  $p \in S$ . Furthermore  $\cosh(\frac{r}{2})^{-2} = \frac{4a}{(1+a+\frac{1}{4}|X|^2+|Z|^2)^2}$  and the image of the left Haar measure on  $S$  via  $\tilde{C}^{-1}$  is  $d\mu = J(r) d\sigma dr$ , where  $r, \sigma$  are the radial coordinates on  $B$ ,  $r^2 = |X|^2 + |Z|^2 + u^2$  and  $J(r) = 2^p \sinh(r/2)^p \sinh(r)^q$  (see [2], Section 4).

The symmetric spaces of negative curvature are a main subclass of the Damek-Ricci spaces. Let  $G$  be a connected, noncompact, semisimple Lie group of real rank one. Let  $K$  be a maximal compact subgroup of  $G$  and let  $\mathfrak{g}$  and  $\mathfrak{k}$  be the corresponding Lie algebras. If  $G = NAK$  is an Iwasawa decomposition of  $G$ , then  $N$  is an  $H$ -type group and  $S = NA \approx G/K$  is a solvable Lie group in the class introduced above. Indeed, if  $\mathfrak{a}$  and  $\mathfrak{n}$  denote the Lie algebras of  $A$  and  $N$  respectively,  $\mathfrak{n}$  splits  $\mathfrak{n} = \mathfrak{g}_{\alpha/2} \oplus \mathfrak{g}_{\alpha}$ , where  $\mathfrak{g}_{j\alpha}$ ,  $j = 1/2, 1$ , denote the  $j\alpha$ -root spaces of  $\mathfrak{a}$ . In the notation above we have  $\mathfrak{n}_{\alpha} = \mathfrak{z}$ ,  $\mathfrak{n}_{\alpha/2} = \mathfrak{v}$ ,  $\mathfrak{a} = \mathbf{R}H_o$ , with  $H_o \in \mathfrak{a}$  such that  $\alpha(H_o) = 1$ . If on  $S = NA$  we use the  $G$ -invariant metric induced by  $2(p+4q)^{-1}B$  ( $B$  the Killing form of  $\mathfrak{g}$ ), then  $S$  is isometric to a Damek-Ricci space. We note that, because of our convention, if  $\mathfrak{n}$  is abelian, then  $p = 0$ ,  $q = \dim \mathfrak{n}$ .

## 2. THE RESOLVENT OF THE LAPLACIAN ON $S$

Damek-Ricci spaces have strong similarities with symmetric spaces of negative curvature, in particular they are harmonic spaces. On  $S$  there is a radialization operator  $\pi$  which corresponds to the standard operator in the case of the ball model of  $S$  (see [2], p. 230). If  $f \in C_c^\infty(S)$ ,  $p \in S$  and  $\tilde{p} = \tilde{C}(p)$  then

$$\pi f(p) := \int_{S^{p+q}} \tilde{f}(\|\tilde{p}\|\sigma) d\sigma,$$

where  $\tilde{f} := f \circ \tilde{C}^{-1}$ . In the symmetric case, if  $f \in C^\infty(NA)$ , then  $\pi f(x) = \int_K \tilde{f}(kx) dk$ , where  $\tilde{f}$  denotes the right  $K$ -invariant extension of  $f$ .

If  $\{Z_i\}, \{V_j\}$  are orthonormal bases of  $\mathfrak{z}$  and  $\mathfrak{v}$  respectively, the Laplace-Beltrami operator is given by  $L = \sum_i Z_i^2 + \sum_j V_j^2 + H^2 - QH$ ;  $L$  generates the algebra of left-invariant differential operators on  $S$  which commute with  $\pi$  (see [2], Theorem 5.2).

If  $f$  is a smooth radial function on  $S - \{e\}$ , we will often abuse notation by writing  $f(r) = f(x)$ , where  $r = d(x, e)$ . The action of  $L$  on radial functions is given by

$$(3) \quad Lf(r) = \frac{d^2}{dr^2} f(r) + \frac{1}{2}(p \coth(r/2) + 2q \coth(r)) \frac{d}{dr} f(r).$$

In the symmetric case, if  $\mathfrak{n}$  is not abelian and we set  $r = 2t$ , then  $Lf(t)$  corresponds to  $\frac{1}{4}Cf(a_t)$ ,  $C$  the Casimir element; [6], Section 1 (1). If  $\mathfrak{n}$  is abelian, then  $L$  corresponds to  $C$ .

A spherical function  $\psi$  on  $S$  is a radial eigenfunction of  $L$  such that  $\psi(e) = 1$ . This generalizes the corresponding notion in the symmetric case and one has the following characterization ([2]).

**Proposition 2.1.** *Let  $\nu \in \mathbf{C}$ . The function  $\phi_\nu = \pi(a^{\nu+Q/2})$  is a spherical function with eigenvalue  $\lambda(\nu) = \nu^2 - Q^2/4$ . Any spherical function on  $S$  is of this form.*

As in the symmetric case, we can express  $\phi_\nu$  by a hypergeometric function as follows. By letting  $z = -\sinh(r/2)$ , the equation

$$(4) \quad \left\{ \frac{d^2}{dr^2} + \frac{1}{2}(p \coth(r/2) + 2q \coth(r)) \frac{d}{dr} - \lambda(\nu) \right\} f_\nu(r) = 0$$

transforms into the hypergeometric equation with parameters  $a = Q/2 - \nu$ ,  $b = Q/2 + \nu$ , and  $c = n/2$ . Since  $\phi_\nu(e) = 1$ , it follows that

$$(5) \quad \phi_\nu(r) = F\left(-\nu + Q/2, \nu + Q/2, \frac{n}{2}, -\sinh(r/2)^2\right).$$

Furthermore, if  $\text{Re } \nu > 0$ , the asymptotic behavior of  $\phi_\nu(r)$ , as  $r \rightarrow \infty$ , is given by (see [2], p. 239)

$$(6) \quad \phi_\nu(r) \sim c(\nu) e^{r(\nu+Q/2)}, \quad \text{where } c(\nu) = \frac{2^{-2\nu+Q} \Gamma(n/2) \Gamma(2\nu)}{\Gamma(\nu+Q/2) \Gamma(\nu+\frac{p+2}{4})}.$$

Here  $c(\nu)$  coincides with Harish Chandra's  $c$ -function in the symmetric case. The Plancherel measure,  $\mu(\nu) = (c(\nu)c(-\nu))^{-1}$ , can be written  $\mu(\nu) = c_0 p(\nu) D(\nu)$ ,  $c_0$  a constant and  $p(\nu)$  the polynomial given by

$$\begin{aligned} & \prod_{j=0}^{\frac{p}{4}-1} (-\nu^2 + ((2j+1)^2/4)) \prod_{j=0}^{\frac{Q}{2}-1} (-\nu^2 + (j^2/4)), & q, \frac{p}{2} \text{ even,} \\ & - \prod_{j=1}^{p/4} (-\nu^2 + j^2)^2 \nu^3, & q = 1, \frac{p}{2} \text{ odd,} \\ & - \prod_{j=0}^{\frac{p}{4}-1} (-\nu^2 + ((2j+1)^2/4)) \prod_{j=0}^{\frac{Q}{2}-1} (-\nu^2 + ((2j+1)^2/4)) \nu, & q \text{ odd, } \frac{p}{2} \text{ even,} \end{aligned}$$

and  $D(\nu)$  equals respectively 1,  $\cot(\pi\nu)$ , and  $\tan(\pi\nu)$  ([1]).

*Remark.* We note that  $p$  is always even, since  $\mathfrak{v}$  is a module over the Clifford algebra of  $\mathfrak{z}$ . If  $p = 0$ , then  $X \approx H^{q+1}$ ,  $G \simeq \mathbf{SO}(q+1, 1)$  and in this case  $D(\nu)$  equals 1 or  $\tan(\pi\nu)$  depending on whether  $q$  is even or odd.

In [6], the resolvent of the Laplacian  $R(\lambda(\nu))$  was studied on symmetric (and locally symmetric spaces) of negative curvature. In the symmetric case, it is given for  $\text{Re } \nu > \rho$  by convolution with a smooth radial function  $Q_\nu$  on  $S - \{e\}$  which is an eigenfunction of  $L$  with eigenvalue  $\lambda(\nu)$ , and which has a meromorphic continuation to  $\mathbf{C}$ . As we shall now see, these properties remain valid for any  $S$  as above. Many arguments in [6] can be adapted, so we shall omit several proofs. On the other hand, we shall show how to obtain  $Q_\nu$  by using a series solution. We thank N. Wallach for useful discussions on this point, which helped us to simplify the original argument.

If  $b \in \mathbf{R}$  and  $\delta > 0$ , let  $\mathcal{S}_{b,\delta} = \{\nu : \operatorname{Re} \nu > b, |\nu + j| > \delta \ \forall j \in -\mathbf{N} : b \leq j\}$ . That is,  $\mathcal{S}_{b,\delta} = \{\nu : \operatorname{Re} \nu > b\}$ , if  $b \geq 0$ , and  $\mathcal{S}_{b,\delta}$  is a half plane with finitely many discs removed, centered at  $-1, -2, \dots, -k$ , with  $-k \geq b$ , if  $b < 0$ .

**Theorem 2.2.** *If  $\nu \in \mathbf{C}$ ,  $2\nu \notin -\mathbf{N}$ , then there exists a radial function  $Q_\nu \in C^\infty(S - \{e\})$  with the following properties:*

- (a)  $(L - \lambda(\nu))Q_\nu = 0$ . For each  $x \in S$ ,  $Q_\nu(x)$  is holomorphic for  $\nu \notin -\frac{1}{2}\mathbf{N}$  and in  $\nu \in \frac{1}{2}\mathbf{N}$ ,  $Q_\nu(s)$  has at most a simple pole. Furthermore, for any  $b \in \mathbf{R}$ ,  $\delta, r_o > 0$ , there exists  $K = K(b, \delta, r_o)$  such that  $|Q_\nu(r)| \leq K$  for any  $r \geq r_o, \nu \in \mathcal{S}_{b,\delta}$ .
- (b) Where defined,  $\phi_\nu = c(-\nu)Q_\nu + c(\nu)Q_{-\nu}$ .
- (c) As  $r \mapsto 0$ ,  $Q_\nu(r) \sim d(\nu)r^{-p-q+1}|\log r|^{\delta_{p+q,1}}$ , for some meromorphic function  $d(\nu)$  on  $\mathbf{C}$ , holomorphic if  $2\nu \notin -\mathbf{N}$ .
- (d)  $\lim_{r \rightarrow 0^+} J(r) \frac{d}{dt} Q_\nu(r) = -2\nu c(\nu)$ .
- (e) If  $f \in C_c^\infty(S)$  and  $2\nu \notin -\mathbf{N}$ , then

$$(7) \quad \int_S Q_\nu(x^{-1}y)(L - \lambda(\nu)I)f(y)dy = -2\nu c(\nu)f(x).$$

*Proof.* We look for a solution of (4) of the form  $q_\nu(r) = \sum_{j=0}^\infty a_j(\nu)e^{-(\nu+Q/2+j)r}$ .

Substituting in (4) and using  $\coth(r) = \frac{1+e^{-2r}}{1-e^{-2r}}$ , we get that

$$\sum_{j \geq 0} (Q + j)(2\nu + Q + j)a_j(\nu)e^{-jr} + p \sum_{j \geq 1} (\nu + Q/2 + j + 1)a_{j+1}(\nu)e^{-jr} + \sum_{j \geq 2} (j + 2)(2\nu + j + 2)a_{j+2}(\nu)e^{-jr} = 0.$$

Thus, the coefficients  $a_j(\nu)$  must satisfy the recurrence relations

$$(8) \quad a_1(\nu) = a_0(\nu)f_{-1}(\nu), \quad a_{j+2}(\nu) = a_{j+1}(\nu)f_j(\nu) + a_j(\nu)g_j(\nu),$$

where  $f_j(\nu) = p \frac{\nu+Q/2+j+1}{(j+2)(2\nu+j+2)}$  and  $g_j(\nu) = \frac{(Q+j)(2\nu+Q+j)}{(j+2)(2\nu+j+2)}$ , for  $j \geq 0$ .

We thus set  $q_\nu(r) = e^{-(\nu+Q/2)r} \sum_{j=0}^\infty a_j(\nu)e^{-jr}$ , where  $a_0 = 1$ , and if  $2\nu \notin -\mathbf{N}$ , then the  $a_j(\nu)$  are given by (8).

If  $b \in \mathbf{R}$ ,  $\delta > 0$  and  $\nu \in \mathcal{S}_{b,\delta}$ , we have

$$|f_j(\nu)| \leq \frac{p}{2j+4} \left( 1 + \frac{Q+j}{|2\nu+j+2|} \right) \leq \frac{p}{2j+4} \left( 1 + \frac{Q+j}{(j+2-2k)} \right),$$

$$|g_j(\nu)| \leq \frac{Q+j}{2+j} \left( 1 + \frac{|Q-2|}{|2\nu+j+2|} \right) \leq \frac{Q+j}{j+2} \left( 1 + \frac{|Q-2|}{(j+2-2k)} \right)$$

for  $j+2 > 2|k|$ , where  $k$  is the first integer such that  $k \leq b$ . These estimates clearly imply that given  $\varepsilon > 0$  there exist  $j_0$  and  $M = M(\varepsilon)$  such that  $|f_j(\nu)| \leq \varepsilon, |g_j(\nu)| \leq 1 + \varepsilon$ , if  $j \geq j_0, |f_j(\nu)| \leq M, |g_j(\nu)| \leq M$ , if  $j < j_0$ , uniformly for  $\nu \in \mathcal{S}_{b,\delta}$ . Using these estimates we see that if  $\nu \in \mathcal{S}_{b,\delta}$ , if  $M' = M'(\varepsilon) = j_0 M^{j_0}$ , then

$$(9) \quad |a_j(\nu)| \leq \begin{cases} jM^j & j \leq j_0, \\ M'(1+2\varepsilon)^{j-j_0+1} & j \geq j_0. \end{cases}$$

Now, by (9)  $|q_\nu(r)| \leq e^{-(\operatorname{Re} \nu + \frac{Q}{2})r} M' \left( j_0 + \sum_{l \geq 0} (1+2\varepsilon)^{l+1} e^{-(l+j_0)r} \right)$ ; hence the series defining  $q_\nu$  converges absolutely and uniformly for  $\nu \in \mathcal{S}_{b,\delta}$  and  $r > r_o$ , for

each  $r_o > 0$ . Since  $r_o$  is arbitrary,  $q_\nu$  defines a uniformly bounded function for  $\nu, r$  in this region. With a similar argument one proves the uniform convergence of the series of the derivatives, in each region  $\mathcal{S}_{b,\delta}, r > r_o$ ; hence  $q_\nu$  is smooth. If we now define  $Q_\nu(x) = q_\nu(r)$  with  $r = d(x, e)$ , for  $x \in S$ , then  $Q_\nu \in C^\infty(S - \{e\})$  is a radial eigenfunction of  $L$  of eigenvalue  $\lambda(\nu)$  and has the properties stated in (a).

From now on we shall write  $Q_\nu(r) = q_\nu(r)$ , for simplicity. By the asymptotic behavior as  $r \mapsto +\infty$ , it follows that if  $2\nu \notin \mathbf{Z}$ ,  $Q_\nu(r), Q_{-\nu}(r)$  form a fundamental system of solutions of (4). Writing  $\phi_\nu$  in terms of  $Q_\nu$  and  $Q_{-\nu}$ , the functional equation in (b) follows as in the symmetric case (see [6], p. 671).

We now prove (c). Equation (4) has a regular singular point at  $r = 0$  and the corresponding indicial equation is  $s(s - 1) + (p + q)s = 0$ , with roots  $s = 0, s = 1 - p - q$ . The solution  $\phi_\nu(r)$  is associated to the root  $s = 0$  and is continuous at  $r = 0$ . If  $2\nu \notin -\mathbf{N}$ , and if  $p + q > 1$ ,  $Q_\nu$  is a second linearly independent solution; hence  $\lim_{r \rightarrow 0^+} Q_\nu(r)r^{p+q-1} := d(\nu)$  exists and the meromorphy of  $Q_\nu$  implies that of  $d(\nu)$ . Similarly, if  $p + q = 1$ ,  $Q_\nu(r) \sim d(\nu) \log r$  as  $r \mapsto 0^+$ . Thus (c) follows. The proof of (d) is similar to that of [6], Lemma 1.3, and will be omitted.

To see (e) we may assume that  $x = e$ . We have, for any  $f \in C_c^\infty(S)$ ,

$$\begin{aligned} \int_S Q_\nu(y)(L - \lambda(\nu)I)f(y) d\mu(y) &= \int_{\partial B} \int_0^\infty \tilde{Q}_\nu(r\sigma)(L - \lambda(\nu)I)\tilde{f}(r\sigma)J(r)drd\sigma \\ &= \int_0^\infty Q_\nu(r)J(r)(L - \lambda(\nu))\pi f(r) dr. \end{aligned}$$

Now we observe that for a radial function  $h$  on  $S$ ,  $J(r)^{1/2}Lh(r) = \frac{d^2}{dr^2}J^{1/2}(r)h(r) + J(r)^{1/2}\eta(r)h(r)$ , where  $\eta = \frac{(J')^2 - 2J''J}{4J^2}$ . Hence we see that the above equals

$$\begin{aligned} &\int_0^\infty \frac{d^2}{dr^2} \left( J(r)^{1/2}\pi f(r) \right) J(r)^{1/2}Q_\nu(r) - J(r)^{1/2}\pi f(r) \frac{d^2}{dr^2} \left( J(r)^{1/2}Q_\nu(r) \right) dr \\ &= \int_0^\infty \frac{d}{dr} \left[ \frac{d}{dr} (J(r)^{1/2}\pi f(r))J(r)^{1/2}Q_\nu(r) - J(r)^{1/2}\pi f(r) \frac{d}{dr} (J(r)^{1/2}Q_\nu(r)) \right] dr \\ &= -2\nu c(\nu)f(e) \end{aligned}$$

using (c) and (d). This gives (e); hence the theorem follows.

### 3. THE RESIDUES OF THE RESOLVENT

Let  $\tilde{R}(\lambda(\nu))$  denote the kernel operator with kernel  $K_\nu(x, y) = -\frac{Q_\nu(x^{-1}y)}{2\nu c(\nu)}$ . If  $\text{Re } \nu > \rho$ , then  $\tilde{R}(\lambda(\nu)) = R(\lambda(\nu))$ .

**Theorem 3.1.** *If  $p, q$  are both even, then  $\tilde{R}(\lambda(\nu))$  is everywhere holomorphic. Otherwise, it has simple poles lying at  $\nu_k = -Q/2 - k$  with  $k \in \mathbf{N} \cup \{0\}$ . If  $\nu = \nu_k$ , set  $T_{\nu_k}(f) := \text{Res}_{\nu=\nu_k} \tilde{R}(\lambda(\nu))(f)$ . Then  $T_{\nu_k}(f) = (2\pi\nu_k)^{-1} p(\nu_k) f * \phi_\nu$  and  $T_{\nu_k}$  is a finite rank operator, for each value of  $k$ .*

*Proof.* The possible poles of  $K_\nu(x, y)$  lie at  $-\frac{1}{2}\mathbf{N}$  or at the zeros of  $c(\nu)$ . By using formula (6) one sees that  $c(\nu)$  has no zeros in  $\mathbf{C}$ , if  $p$  and  $q$  are both even. Otherwise,  $q$  is odd and  $c(\nu)$  has simple zeros at  $\nu_k = -Q/2 - k$ , for any  $k \in \mathbf{N} \cup \{0\}$ , and possibly simple poles at  $\nu \in -\frac{1}{2}\mathbf{N}$ .

Since  $\nu = 0$  is a simple pole of  $c(\nu)$ , and  $Q_\nu$  is holomorphic at 0,  $\frac{Q_\nu}{2\nu c(\nu)}$  is holomorphic at  $\nu = 0$ .

On the other hand  $c(-\nu)$  and  $Q_{-\nu}$  are holomorphic and nonvanishing on  $\mathbf{R}^{<0}$ ,  $\phi_\nu$  is everywhere holomorphic and  $\phi_\nu(1) = 1$ . So Theorem 2.2 (b) implies that a pole of  $Q_\nu$  must be compensated by a pole of  $c(\nu)$  and a zero of  $c(\nu)$  cannot be a zero of  $Q_\nu$ .

Therefore,  $\frac{Q_\nu}{2\nu c(\nu)}$  has a pole at  $\nu$  if and only if  $\nu$  is a zero of  $c(\nu)$ , that is,  $\nu = \nu_k = -Q/2 - k$ ,  $k \in \mathbf{N} \cup \{0\}$ . On the other hand,  $\frac{Q_{-\nu}}{2\nu c(-\nu)}$  is analytic at  $\nu = \nu_k$ . Thus, if  $f \in C_c^\infty(S)$  and using that  $-\frac{Q_\nu}{2\nu c(\nu)} = \frac{Q_{-\nu}}{2\nu c(-\nu)} - \frac{\mu(\nu)\phi_\nu}{2\nu}$ , we have

$$(10) \quad T_{\nu_k}(f) = \text{Res}_{\nu=\nu_k} \tilde{R}(\lambda(\nu))(f) = \frac{p(\nu_k)}{2\pi\nu_k} f * \check{\phi}_{\nu_k}.$$

From (5) and the expression for  $\cosh(\frac{x}{2})$  in the Preliminaries, we have that

$$(11) \quad \phi_\nu(X, Z, a) = \sum_{i \geq 0} \frac{(Q/2 - \nu)_i (Q/2 + \nu)_i}{i! (n/2)_i} \left[ \frac{(a + \frac{1}{4}|X|^2)^2 + |Z|^2}{4a} \right]^i,$$

where  $(u)_i = \prod_{l=0}^{i-1} u + l$  for  $u \in \mathbf{C}$ . Hence we see that the coefficients in the expansion (11) are zero for  $i \geq k + 1$ , for the special values  $\nu_k = -Q/2 - k$ . Fix  $\{V_i\}$  and  $\{W_j\}$ ,

orthonormal bases of  $\mathfrak{v}$  and  $\mathfrak{z}$  respectively, and write  $X = \sum_{i=1}^p x_i V_i$  and  $Z = \sum_{j=1}^q z_j W_j$ .

If  $I = (i_1, \dots, i_p)$ ,  $J = (j_1, \dots, j_q)$ , set  $X^I = \prod x_j^{i_j}$ ,  $Z^J = \prod z_l^{j_l}$ ,  $|I| = \sum_{i=1}^p i_i$  and similarly for  $|J|$ . Let  $\mathcal{F}_k$  be the linear span of the functions  $a^i X^{2I} Z^J$  :  $i \in \mathbf{Z}$ ,  $|i| \leq k$ ,  $|I|, |J| \leq 2k$ . Clearly  $\phi_{\nu_k} \in \mathcal{F}_k$ . If  $t = (Y, U, b)$  with  $Y = \sum_{i=1}^p y_i V_i \in \mathfrak{v}$ ,

$U = \sum_{i=1}^q u_i W_i \in \mathfrak{z}$ ,  $b \in A$  and  $s = (X, Z, a) \in S$ , then

$$\begin{aligned} t^{-1}s &= \left( b^{-\frac{1}{2}}(X - Y), b^{-1}(Z - U + \frac{1}{2}[X, Y]), b^{-1}a \right) \\ &= \left( b^{-\frac{1}{2}} \sum (x_i - y_i) V_i, b^{-1} \sum (z_j - u_j) W_j + \frac{1}{2} \sum_l \sum_{i,j} x_i y_j a_{i,j}^l W_l, b^{-1}a \right), \end{aligned}$$

where  $[X, Y] = \sum_l \sum_{i,j} x_i y_j a_{i,j}^l W_l$ . Hence, by (11)  $\phi_{\nu_k}(t^{-1}s)$  is a linear combination of functions of the form  $a^{j_1} b^{j_2} X^{2I_1} Y^{2I_2} Z^{J_1} U^{J_2}$  with  $j_i \in \mathbf{Z}$ ,  $|j_i| \leq k$ ,  $i = 1, 2$ , and  $|I_i|, |J_i| \leq 2k$  for  $i = 1, 2$ .

Therefore, if  $f \in C_c^\infty(S)$ , it follows that  $f * \check{\phi}_{\nu_k}(t) = \int_S f(s) \phi_{\nu_k}(t^{-1}s) ds$  is a linear combination of expressions of the form

$$t \mapsto b^{j_2} Y^{2I_2} U^{J_2} \int_{\mathfrak{z}} \int_{\mathfrak{v}} \int_A f(X, Z, a) a^{j_1} X^{2I_1} Z^{J_1} a^{-Q-1} da dX dZ.$$

Therefore,  $f * \check{\phi}_{\nu_k}$  belongs to  $\mathcal{F}_k$ , a finite dimensional space, as asserted.

4. THE SYMMETRIC CASE

In the case when  $S$  is of symmetric type one can get more precise information on the operators  $T_{\nu_k}$  by using representation theory.

The group of isometries  $G$  of  $S$  is a noncompact semisimple Lie group of real rank one. Let  $\mathfrak{g}$ ,  $\mathfrak{k}$ ,  $N$ , and  $A$  be as in Section 1, let  $M$  be the centralizer of  $A$  in  $K$ , let  $P = MAN$  and let  $\mathfrak{p}$  be the Lie algebra of  $P$ . Extend  $\mathfrak{a}$  in the usual way to a Cartan subalgebra  $\mathfrak{h}_c = \mathfrak{a}_c + \mathfrak{h}_c^-$  of  $\mathfrak{g}$ , where  $\mathfrak{h}_c^-$  is a maximal abelian subalgebra of  $\mathfrak{m}$ , and introduce compatible orderings in the dual spaces of  $\mathfrak{a}$  and  $\mathfrak{a} + \sqrt{-1}\mathfrak{h}_c^-$ . Let  $\Sigma^+(\Delta^+)$  denote the corresponding set of positive roots of the pair  $(\mathfrak{g}, \mathfrak{a})$  (respectively  $(\mathfrak{g}_c, \mathfrak{h}_c)$ ). Since  $\mathfrak{g}$  has real rank one, there is only one real root  $\tilde{\alpha} \in \Delta^+$ . It satisfies  $\tilde{\alpha}|_{\mathfrak{h}_c^-} = 0$  and  $\tilde{\alpha}|_{\mathfrak{a}} = \alpha$ .

For  $\nu \in \mathbf{C}$ , let  $(\pi_\nu, H^\nu)$  be the spherical principal series representation of  $G$  (see [7], Section 3.6). The zonal spherical function  $\phi_\nu$  is given by  $\phi_\nu(g) = \langle \pi_\nu(g)1_\nu, 1_\nu \rangle$ , where  $1_\nu \in H^\nu$  is such that  $1_\nu(nak) = a^{(\nu+\rho)\alpha}$ ,  $n \in N, a \in A, k \in K$ , and  $\langle, \rangle$  is the standard inner product on  $H^\nu$ .

**Theorem 4.1.** *Let  $S = G/K$  be a noncompact symmetric space of real rank one and let  $\nu_k = -\rho - k$  with  $k \in \mathbf{N} \cup \{0\}$ . Then  $Im(T_{\nu_k})$  is an irreducible  $\mathfrak{g}_c$ -module of highest weight  $k\tilde{\alpha}$ .*

*Proof.* By a result of Helgason (see [4], Ch. V, Theorem 4.1), the  $K$ -spherical finite dimensional representations of  $G$  can be characterized as the representations of  $\mathfrak{g}_c$  of highest weight  $\Lambda \in \mathfrak{h}_c^*$  such that:  $\Lambda|_{\mathfrak{h}_c^-} = 0$  and  $\langle \Lambda, \lambda \rangle / \langle \lambda, \lambda \rangle \in \mathbf{Z}^{\geq 0}$ , for any  $\lambda \in \Sigma^+$ . Since in our case  $\Sigma^+ = \{\alpha, \alpha/2\}$  or  $\{\alpha\}$ , this is equivalent to  $\Lambda|_{\mathfrak{a}} = k\tilde{\alpha}$ , with  $k \in \mathbf{Z}^{\geq 0}$ , and  $\tilde{\alpha}$  the real root. We shall denote by  $V_{k\tilde{\alpha}}$  the  $\mathfrak{g}_c$ -module with highest weight  $k\tilde{\alpha}$ .

Our claim is that  $1_{\nu_k}$  generates a finite dimensional  $(\mathfrak{g}, K)$ -submodule  $V_{\nu_k}$  of  $H^{\nu_k}$ , isomorphic to  $V_{k\tilde{\alpha}}$ .

In the notation of Lemma 3.8.2 in [7], we have that

$$(12) \quad \text{Hom}_{\mathfrak{g}, K}(V_{k\tilde{\alpha}}, H^{\nu_k}) \simeq \text{Hom}_{\mathfrak{p}, M}(V_{k\tilde{\alpha}}/\mathfrak{n}V_{k\tilde{\alpha}}, \mathbf{C}_{\nu_k}),$$

where  $\mathbf{C}_{\nu_k}$  denotes the  $MAN$ -module  $\mathbf{C}$ , with  $MN$  acting trivially and  $a \in A$  acting by multiplication by  $a^{(\nu_k+\rho)\alpha}$ . To prove our claim it will thus be sufficient to show that there exists a nontrivial  $(\mathfrak{p}, M)$ -morphism  $f : V_{k\tilde{\alpha}}/\mathfrak{n}V_{k\tilde{\alpha}} \rightarrow \mathbf{C}_{\nu_k}$ . We denote by  $\Lambda_o$  the lowest weight of  $V_{k\tilde{\alpha}}$  and by  $v_o$  the corresponding lowest weight vector. Then  $\Lambda_o = s_o\Lambda$ ,  $s_o$  the long element of the Weyl group of  $(\mathfrak{g}_c, \mathfrak{h}_c)$ . Since  $\Lambda' = -s_o\Lambda$  is the highest weight of the dual representation of  $V_{k\tilde{\alpha}}$ , which is also  $K$ -spherical,  $\Lambda'$  satisfies Helgason's conditions. This implies that  $s_o\Lambda|_{\mathfrak{h}_c^-} = 0$  and  $s_o\Lambda|_{\mathfrak{a}} = -k\alpha$ . Arguing as in the proof of Theorem 4.1, Ch. V in [4], one shows that  $\pi_\Lambda(M)v_o = v_o$ .

Since  $s_o\Lambda|_{\mathfrak{h}_c^-} = 0$ , it follows that  $V = \mathbf{C}v_o \oplus (\mathfrak{n} \oplus \mathfrak{m})V$ . Now we can define a  $(\mathfrak{p}, M)$ -morphism  $f : V/\mathfrak{n}V \rightarrow \mathbf{C}_\nu$  such that  $f : [v_o] \mapsto 1$ , where  $[v_o]$  is the class of  $v_o$  and  $f = 0$  on  $\mathfrak{m}V$ . Hence, by (12), there is a nonzero  $G$ -map of  $V_{k\tilde{\alpha}}$  onto a subspace  $V_{\nu_k}$  of  $H^{\nu_k}$ , which must contain  $1_{\nu_k}$ .

Now we prove the statement in the theorem. If  $f \in C_c^\infty(G/K)$ , and  $x \in G$ , we have by (3.1)

$$T_{\nu_k}(f) = p_k f * \check{\phi}_{\nu_k}(x) = p_k \langle \pi(x^{-1})\pi(f)1_{\nu_k}, 1_{\nu_k} \rangle,$$

where  $p_k = -\frac{p(\nu_k)}{\pi\nu_k} \neq 0$ , for all  $k$  (see the formula of  $p(\nu)$  in Section 2).

By irreducibility, as  $f$  varies,  $\pi(f)1_{\nu_k}$  fills  $V_{\nu_k} \simeq V_{k\alpha}$ . Hence, the image of  $T_{\nu_k}$  coincides with the image of the  $G$ -morphism  $T_k : V_{\nu_k} \mapsto C^\infty(G/K)$  given by  $T_k(v)(x) = \langle \pi_{\nu_k}(x^{-1})v, 1_\nu \rangle$ , for  $v \in V_{\nu_k}$ . This proves the theorem.

*Remark 4.2.* We will now use the Weyl dimension formula to calculate the dimension of the  $\mathfrak{g}_c$ -module  $V_{k\tilde{\alpha}}$  in each case. The real roots  $\tilde{\alpha}$  can be read from the Satake diagram of  $\mathfrak{g}$ . They are listed, for each rank one group, in [5] (for instance). We shall thus use the notation in [5].

(i)  $\mathfrak{g} = \mathfrak{so}(\mathbf{n}, \mathbf{1})$  ( $n$  even). In this case, the real root is  $\tilde{\alpha} = \epsilon_1$ , the first fundamental weight. The corresponding  $\mathfrak{g}_c$ -module  $V_{k\tilde{\alpha}}$  is isomorphic to the representation of  $G$  on  $\mathcal{H}_k$ , the space of homogeneous harmonic polynomials of degree  $k$  in  $n + 1$  variables, which has dimension  $\frac{(k+n-2)!(2k+n-1)}{k!(n-1)!}$ . This can easily be computed by the Weyl dimension formula.

(ii)  $\mathfrak{g} = \mathfrak{su}(\mathbf{n}, \mathbf{1})$ . Here, the real root is  $\tilde{\alpha} = \epsilon_1 - \epsilon_{n+1}$ , and the positive roots are  $\epsilon_i - \epsilon_j$ ,  $i < j$  and  $2\rho = \sum_{j=1}^{n+1} (n - 2j + 2)\epsilon_j$ . Thus

$$\begin{aligned} \dim(V_{k\tilde{\alpha}}) &= \prod_{1 \leq i < j \leq n+1} \frac{\langle k(\epsilon_1 - \epsilon_{n+1}) + \rho, \epsilon_i - \epsilon_j \rangle}{\langle \rho, \epsilon_i - \epsilon_j \rangle} \\ &= \prod_{2 \leq j \leq n} \frac{k+j-1}{j-1} \prod_{2 \leq i \leq n} \frac{k+n+1-i}{n+1-i} \frac{2k+n}{n} = \binom{k+n-1}{k} \frac{2k+n}{n}. \end{aligned}$$

(iii)  $\mathfrak{g} = \mathfrak{sp}(\mathbf{n}, \mathbf{1})$ . In this case,  $\tilde{\alpha} = \epsilon_1 + \epsilon_2$ , and the positive roots are  $\epsilon_i \pm \epsilon_j$ ,  $1 \leq i < j \leq n + 1$ , and  $2\epsilon_i$ ,  $1 \leq i \leq n + 1$ . Also,  $\rho = \sum_{j=1}^{n+1} (n + 2 - j)\epsilon_j$ . Hence

$$\begin{aligned} \dim(V_{k\tilde{\alpha}}) &= \left( \prod_{i=1}^2 \prod_{j=3}^{n+1} \frac{2n+4-j-i+k}{2n+4-j-i} \cdot \frac{j-i+k}{j-i} \right) \frac{2n+1+2k}{2n+1} \cdot \frac{n+k}{n} \cdot \frac{n+k+1}{n+1} \\ &= \binom{2n+k-1}{k}^2 \frac{2n+k}{(2n+1)(2n)} \frac{2n+2k+1}{k+1}. \end{aligned}$$

(iv)  $\mathfrak{g} = \mathfrak{f}_4$ . The real root is  $\tilde{\alpha} = \lambda_4 (= \epsilon_1)$ , the fourth fundamental weight. The positive roots are  $\epsilon_i$ ,  $\epsilon_i \pm \epsilon_j$ ,  $1 \leq i < j \leq 4$ ,  $\frac{1}{2}(\epsilon_1 \pm \epsilon_2 \pm \epsilon_3 \pm \epsilon_4)$  and  $2\rho = 11\epsilon_1 + 5\epsilon_2 + 3\epsilon_3 + \epsilon_4$ . Using the Weyl dimension formula we obtain in this case

$$\dim(V_{k\tilde{\alpha}}) = \frac{2k+11}{11} \prod_{j=1}^{j=10} \frac{k+j}{j} \cdot \prod_{j=4}^{j=7} \frac{k+j}{j}.$$

**4.1. The real hyperbolic  $n$ -space.** If  $S \approx H^n$ , one can make the results in Theorem 2.2 more precise. In this case one can solve the recurrence in (8), obtaining an explicit series expression for  $Q_\nu$ . Indeed, since  $p = 0$ , by (8) we see that  $a_{2j+1} = 0$  for  $j \geq 0$ ; hence  $a_{2j} = a_{2j-2} \frac{(j-1+\rho)(j-1+\rho+\nu)}{j(\nu+j)}$ ,  $j \geq 0$ . Thus, if we set  $c_j := a_{2j}$ , for  $j \geq 0$ , and if  $c_0 := 1$ , we obtain for  $j \geq 1$

$$(13) \quad c_j(\nu) = \frac{(\rho)_j}{j!} \frac{(\nu + \rho)_j}{(\nu + 1)_j}.$$

Furthermore,  $c(\nu) = \frac{2^{2\rho-1}\Gamma(n/2)}{\pi^{1/2}} \frac{\Gamma(\nu)}{\Gamma(\nu+\rho)}$  hence, using (13) and the duplication formula for the Gamma function, we obtain

$$(14) \quad \frac{Q_\nu(r)}{2\nu c(\nu)} = \frac{2^{-2n+3}}{(n-2)!} e^{-(\nu+\rho)r} \sum_{j=0}^{\infty} \frac{\Gamma(\rho+j)}{j!} \frac{\Gamma(\nu+\rho+j)}{\Gamma(\nu+j+1)} e^{-2jr}.$$

Now, if  $S_{b,\delta}$  is as in Theorem 2.2, one sees, by using Stirling's estimates, that there exists a constant  $K = K(b, \delta)$  such that the coefficients in (14) are bounded by  $Kj^{\rho-1} |\nu+j|^{\rho-1}$ , uniformly for  $\nu$  in  $S_{b,\delta}$ . This gives an alternative proof of the convergence, as stated in Theorem 2.2 (a).

Regarding the poles, we see that if  $n$  is odd, since  $\rho = \frac{n-1}{2} \in \mathbf{N}$ , the coefficients in (14) are polynomial functions in  $\nu$ ; hence  $\tilde{R}(\lambda(\nu))$  is everywhere holomorphic in this case.

If  $n$  is even, (14) implies that the kernel is meromorphic with poles at  $\nu_k = -\rho - k$ ,  $k \in \mathbf{N} \cup \{0\}$ . Since  $\Gamma(\nu + \rho + j)$  is holomorphic at  $\nu = \nu_k$  for  $j > k$ , we get

$$\begin{aligned} \operatorname{Res}_{\nu=\nu_k} \frac{Q_\nu(r)}{2\nu c(\nu)} &= \frac{2^{-2n+3}}{(n-2)!} \sum_{j=0}^k \frac{\Gamma(\rho+j)(-1)^{k-j}}{j!(k-j)!\Gamma(-k-\rho+j+1)} e^{-(2j-k)r} \\ &= \frac{2^{-2n+4}}{(n-2)!} \sum_{j=0}^{\lfloor k/2 \rfloor} \frac{\Gamma(\rho+j)(-1)^{k-j}}{j!(k-j)!\Gamma(-k-\rho+j+1)} \cosh(2j-k)r, \end{aligned}$$

since  $\frac{\Gamma(\rho+j)(-1)^k}{\Gamma(-k-\rho+j+1)} = \frac{\Gamma(\rho+k-j)}{\Gamma(-\rho-j+1)}$ , for  $0 \leq j \leq k$ .

*Remark 4.3.* We note that in [3], Section 2, Guillopé-Zworski consider the resolvent kernel for the real hyperbolic  $n$ -space, giving the location of the poles and showing that the residues define operators of finite rank.

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