

A CHARACTERIZATION OF ALGEBRAS WITH POLYNOMIAL GROWTH OF THE CODIMENSIONS

A. GIAMBRUNO AND M. ZAICEV

(Communicated by Ken Goodearl)

ABSTRACT. Let A be an associative algebras over a field of characteristic zero. We prove that the codimensions of A are polynomially bounded if and only if any finite dimensional algebra B with $Id(A) = Id(B)$ has an explicit decomposition into suitable subalgebras; we also give a decomposition of the n -th cocharacter of A into suitable S_n -characters.

We give similar characterizations of finite dimensional algebras with involution whose $*$ -codimension sequence is polynomially bounded. In this case we exploit the representation theory of the hyperoctahedral group.

§1. INTRODUCTION

Let F be a field of characteristic zero and $F\langle X \rangle = F\langle x_1, x_2, \dots \rangle$ the free algebra of countable rank over F . If A is a PI-algebra over F , that is, an algebra satisfying a polynomial identity, we let $Id(A)$ be the T-ideal of $F\langle X \rangle$ of identities of A . It is well known that $Id(A)$ is completely determined by the multilinear polynomials it contains; if $V_n = \text{Span}\{x_{\sigma(1)} \cdots x_{\sigma(n)} \mid \sigma \in S_n\}$ is the space of multilinear polynomials in x_1, \dots, x_n , then the sequence $c_n(A) = \dim_F \frac{V_n}{V_n \cap Id(A)}$, $n = 1, 2, \dots$, is called the sequence of codimensions of A and it is an important numerical invariant of $Id(A)$.

It was proved by Regev in [R] that for any PI-algebra A , $c_n(A)$ is exponentially bounded, i.e., there exist constants $a, \alpha > 0$ such that $c_n(A) \leq a\alpha^n$ for all n .

In this paper we study algebras A whose codimension sequence is polynomially bounded i.e., such that for all n , $c_n(A) \leq an^t$ for some constants a, t . Kemer in [K1] gave a characterization of such T-ideals in the language of the representation theory of S_n . It also follows from [K2] that if $c_n(A)$ is polynomially bounded, then $Id(A) = Id(B)$ for a suitable finite dimensional algebra B .

For any finite dimensional algebra A over an algebraically closed field we shall prove that A has polynomial growth of the codimensions if and only if $A = A_0 \oplus A_1 \oplus \cdots \oplus A_m$ where A_0, A_1, \dots, A_m are F -algebras such that 1) for $i = 1, \dots, m$, $A_i = B_i + J_i$, where $B_i \cong F$ and J_i is a nilpotent ideal of A_i , 2) A_0, J_1, \dots, J_m are nilpotent right ideals of A and 3) $A_i A_k = 0$ for all $i, k \in \{1, \dots, m\}, i \neq k$ and $B_i A_0 = 0$.

Received by the editors December 1, 1998 and, in revised form, March 26, 1999.

1991 *Mathematics Subject Classification*. Primary 16R10, 16R50; Secondary 16P99.

The first author was partially supported by the CNR and MURST of Italy; the second author was partially supported by RFFI, grants 96-01-00146 and 96-15-96050.

Another description of such algebras is given, as in Kemer's paper [K1], in the language of the cocharacters as follows. The symmetric group S_n acts on the left on V_n by $\sigma f(x_1, \dots, x_n) = f(x_{\sigma(1)}, \dots, x_{\sigma(n)})$, $\sigma \in S_n$, $f(x_1, \dots, x_n) \in V_n$. This action induces a structure of left S_n -module on $\frac{V_n}{V_n \cap Id(A)}$ and we write $\chi_n(A)$ for its S_n -character; $\chi_n(A)$ is called the n -th cocharacter of A . Let χ_λ denote the irreducible S_n -character associated to the partition $\lambda = (\lambda_1, \dots, \lambda_t) \vdash n$ and write $\chi_n(A) = \sum_{\lambda \vdash n} m_\lambda \chi_\lambda$ where $m_\lambda \geq 0$ are the corresponding multiplicities. We shall prove that if $\dim_F A < \infty$, A has polynomial growth of the codimensions if and only if

$$\chi_n(A) = \sum_{\substack{\lambda \vdash n \\ |\lambda| - \lambda_1 < q}} m_\lambda \chi_\lambda$$

where J is the Jacobson radical of A and $J^q = 0$.

In the second part of the paper we address ourself to similar questions in the setting of algebras with involution. Let $F\langle X, * \rangle = F\langle x_1, x_1^*, x_2, x_2^*, \dots \rangle$ be the free algebra with involution $*$ and $V_n(*)$ the space of multilinear $*$ -polynomials in $x_1, x_1^*, \dots, x_n, x_n^*$. For an algebra with involution A we let $Id(A, *)$ be the ideal of $*$ -identities of A ; then the sequence of $*$ -codimensions is

$$c_n(A, *) = \dim_F \frac{V_n(*)}{V_n(*) \cap Id(A, *)}, \quad n = 1, 2, \dots$$

In the last section we characterize finite dimensional algebras A such that $c_n(A, *)$ is polynomially bounded. Moreover by using the representation theory of the hyperoctahedral group $\mathbb{Z}_2 \sim S_n$, we shall obtain a characterization of A in terms of the $*$ -cocharacter sequence of A .

§2. ALGEBRAS WITH POLYNOMIAL GROWTH OF THE CODIMENSIONS

In this section we shall characterize algebras with polynomial growth of the codimensions. The following reduction is due to Kemer.

Theorem 1. *Let A be a PI-algebra. If for all n , $c_n(A) \leq an^t$ for some constants a, t , then there exists a finite dimensional algebra B such that $Id(A) = Id(B)$.*

Proof. Let G be the Grassmann algebra of countable dimension over F . By [KR], $c_n(G) = 2^{n-1}$, hence, for n large, $c_n(A) < c_n(G)$. This implies that $Id(A) \not\subseteq Id(G)$ and, by a theorem of Kemer [K2, Theorem 2.3] there exists a finite dimensional algebra B such that $Id(A) = Id(B)$. \square

We remark that $c_n(A)$ does not change upon extension of the ground field F . In fact, if K is an extension field of F , then $Id(A) \otimes_F K = Id(A \otimes_F K)$. Therefore in studying properties of $c_n(A)$ we may as well assume that F is an algebraically closed field.

Theorem 2. *Let A be a finite dimensional algebra over an algebraically closed field F . Then the sequence of codimensions $\{c_n(A)\}_{n \geq 1}$ is polynomially bounded if and only if*

- 1) $A = A_0 \oplus A_1 \oplus \dots \oplus A_m$ a vector space direct sum of F -algebras where for $i = 1, \dots, m$, $A_i = B_i + J_i$, $B_i \cong F$, J_i a nilpotent ideal of A_i and A_0, J_1, \dots, J_m are nilpotent right ideals of A ;
- 2) for all $i, k \in \{1, \dots, m\}$, $i \neq k$, $A_i A_k = 0$ and $B_i A_0 = 0$.

Proof. Let $A = B + J$ be the Wedderburn-Malcev decomposition of A ([CR, Theorem 72.19]) where B is a semisimple subalgebra of A and $J = J(A)$ its Jacobson radical. Write $B = B_1 \oplus \cdots \oplus B_m$ with B_1, \dots, B_m simple F -algebras. Since $c_n(A)$ is polynomially bounded, by [GZ], $B_i J B_k = 0$ for all $i \neq k$ and $\dim_F B_i = 1$, $i, k = 1, \dots, m$.

Let $e = e_1 + \cdots + e_m$ be the decomposition of the unit element of B into orthogonal central (in B) idempotents; thus $e_i B = B_i \cong F$. Define for all $i = 1, \dots, m$, $J_i = e_i J$ and $J_0 = \{x \in J \mid Bx = 0\}$. It is easy to show that $A = B + J = (B_1 + J_1) \oplus \cdots \oplus (B_m + J_m) \oplus J_0$; let $A_i = B_i + J_i$ and $A_0 = J_0$.

For $i \neq k \in \{1, \dots, m\}$, $A_i A_k = (B_i + J_i)(B_k + J_k) = 0$ since $e_i e_k = 0$ and $B_i J B_k = 0$. Also, for $i \neq 0$, $B_i A_0 = 0$.

Viceversa, let A satisfy 1) and 2). From the relations $A_i A_k = 0$ and $B_i A_0 = 0$ it follows that $J = A_0 + J_1 + \cdots + J_m$ is a nilpotent two-sided ideal of A and $A = B_1 \oplus \cdots \oplus B_m \oplus J$ where $B_i \cong F$ for all i . Since from the defining relations $A_i A_k = 0$ and $B_i A_0 = 0$ it follows that $B_i J B_k = 0$ for all $i \neq k$, then $c_n(A)$ is polynomially bounded by [GZ]. \square

As an immediate consequence of the above result we get

Corollary 1. *Let A be the algebra described in the previous theorem. Let J be the Jacobson radical of A and, for $i = 1, \dots, m$, let $C_i = A_i \oplus A_0$. Then*

$$Id(A) = Id(C_1) \cap \cdots \cap Id(C_m) \cap Id(J).$$

Proof. Let $f \in Id(C_1) \cap \cdots \cap Id(C_m) \cap Id(J)$ and suppose that $f \notin Id(A)$. We may clearly assume that f is multilinear and let $r_1, \dots, r_s \in A$ be such that $f(r_1, \dots, r_s) \neq 0$.

If $r_1, \dots, r_s \in J$, then $f \notin Id(J)$, a contradiction. Hence there exists $r_i \notin J$; by linearity we may assume that $r_i \in B_k$ for some k . Recall that, for all l , $B_l A_0 = 0$, J_l is a right ideal of A and, in case $l \neq k$, $A_k A_l = A_l A_k = 0$. From an easy calculation it follows that $r_1, \dots, r_{i-1}, r_{i+1}, \dots, r_s \in A_k \cup A_0$. But then $f \notin Id(C_k)$, a contradiction. \square

What can be said if F is not algebraically closed?

Let A be a finite dimensional algebra over a field F and write $A = B + J$, $B = B_1 \oplus \cdots \oplus B_m$ with the B_i 's simple algebras. If \overline{F} is the algebraic closure of F , we write $\overline{A} = A \otimes_F \overline{F}$; moreover, since $J(\overline{A}) = J(A) \otimes_F \overline{F}$ (see [Ro, Theorem 2.5.36]), we get that

$$\overline{A} \cong \overline{B_1} \oplus \cdots \oplus \overline{B_m} + J(\overline{A})$$

where $\overline{B_i} = B_i \otimes_F \overline{F}$ are semisimple algebras.

Let $Z(B_i)$ be the center of B_i and $t_i = \dim_F Z(B_i)$. Then $\overline{B_i} \cong C_{i1} \oplus \cdots \oplus C_{it_i}$ where $C_{i1} \cong \cdots \cong C_{it_i}$ are central simple algebras over \overline{F} .

In case $c_n(A) = c_n(\overline{A})$ is polynomially bounded, by [GZ], $C_{ik} \cong \overline{F}$ for all i, k and $C_{ik} J(\overline{A}) C_{uv} = 0$ if $(i, k) \neq (u, v)$. It follows that for all $i = 1, \dots, m$, B_i is a field extension of F of degree t_i . Since $\text{char} F = 0$, we write $B_i = F(a_i)$, a simple algebraic extension of F of degree t_i . We have shown that if F is any field and A is an F -algebra with polynomial growth of the codimensions, then

$$A \cong F(a_1) \oplus \cdots \oplus F(a_m) + J(A)$$

and for all $i \neq k$, $F(a_i) J(A) F(a_k) = 0$.

We next give a characterization of polynomial growth in terms of the cocharacter sequence of the algebra.

In the sequel for $\lambda \vdash n$ we also write $|\lambda| = n$. We write $\chi_\lambda(1) = d_\lambda$ for the degree of the irreducible S_n -character χ_λ and, if T_λ is a tableau of shape λ , we let e_{T_λ} be the corresponding essential idempotent of FS_n . Notice that if $\lambda = (\lambda_1, \lambda_2, \dots) \vdash n$, then $|\lambda| - \lambda_1$ denotes the number of boxes below the first row of the diagram of λ .

Theorem 3. *Let A be a finite dimensional algebra over a field F . Then $\{c_n(A)\}_{n \geq 1}$ is polynomially bounded if and only if*

$$\chi_n(A) = \sum_{\substack{\lambda \vdash n \\ |\lambda| - \lambda_1 < q}} m_\lambda \chi_\lambda$$

where $J(A)^q = 0$.

Proof. Note that the decomposition of $\chi_n(A)$ into irreducible components does not change when extending the base field. Therefore, since $J(A \otimes_F \overline{F})^q = 0$, we may assume, without loss of generality, that F is algebraically closed.

Suppose first that the codimensions of A are polynomially bounded. Let λ be a partition of n such that $|\lambda| - \lambda_1 \geq q$ and suppose by contradiction that $m_\lambda \neq 0$. Then there exists a tableau T_λ such that $e_{T_\lambda}(x_1, \dots, x_n) \notin \text{Id}(A)$. Let $\lambda' = (\lambda'_1, \dots, \lambda'_t)$ be the conjugate partition of λ . Then $e_{T_\lambda}(x_1, \dots, x_n)$ is a linear combination of polynomials each alternating on t disjoint sets of $\lambda'_1, \dots, \lambda'_t$ variables, respectively. We shall reach a contradiction by proving that each such polynomial f vanishes in A .

Fix a basis of A which is the union of bases of B_1, \dots, B_m and J respectively. Since $B_i B_k = B_i J B_k = 0$ for all $i \neq k$, in order to get a non-zero value of f we must replace all the variables with elements of J and of one simple component, say, B_i . Also, since $\dim B_i = 1$, we can substitute at most one element of B_i in each alternating set. Hence we can substitute in all at most $t = \lambda_1$ elements from B_i . It follows that in order to get a non-zero value, we must substitute at least $|\lambda| - \lambda_1 \geq q$ elements from J . Since $J^q = 0$, we get that $f \equiv 0$ and with this contradiction the proof of the first part of the theorem is complete.

Suppose now that $\chi_n(A) = \sum_{\lambda \vdash n} m_\lambda \chi_\lambda$ and $m_\lambda = 0$ whenever $|\lambda| - \lambda_1 \geq q$. By [BR] the multiplicities m_λ are polynomially bounded; hence

$$c_n(A) = \sum_{\substack{\lambda \vdash n \\ |\lambda| - \lambda_1 < q}} m_\lambda d_\lambda \leq C n^t \sum_{\substack{\lambda \vdash n \\ |\lambda| - \lambda_1 < q}} d_\lambda$$

and the proof now follows from the hook formula for the degrees d_λ . \square

The previous theorem says that A has polynomial growth of the codimensions if and only if all the irreducible characters appearing with non-zero multiplicity in $\chi_n(A)$ have associated diagram with at most $q - 1$ boxes below the first row where $J^q = 0$.

§3. FINITE DIMENSIONAL ALGEBRAS WITH INVOLUTION

In this section we shall prove that if A is a finite dimensional algebra with involution $*$, then in the decomposition $A = B + J$ we can choose B to be invariant under $*$. Beside its own interest, this result will be used in the next section. Throughout we shall assume that $\text{char} F \neq 2$.

Theorem 4. *Let A be a finite dimensional algebra with involution $*$ over F and J its Jacobson radical. Then $J^* = J$ and there exists a maximal semisimple subalgebra B such that $B = B^*$ and $A = B + J$.*

Proof. It is obvious that $J^* = J$. Let $A = B + J$ with B a semisimple subalgebra of A and suppose first that $J^2 = 0$.

Since B^* is also a maximal semisimple subalgebra of A , by the Wedderburn-Malcev theorem there exists $y \in J$ such that $B^* = (1 - y)B(1 + y)$. For $b \in B$ let $\bar{b} \in B$ be such that $b^* = (1 - y)\bar{b}(1 + y)$. Then $b = b^{**} = (1 + y^*)\bar{b}^*(1 - y^*) = (1 + y^*)(1 - y)\bar{b}(1 + y)(1 - y^*)$, for a suitable $\bar{b} \in B$. It follows that we can write $b = \bar{b} + j$ for a suitable $j \in J$; hence $b - \bar{b} \in B \cap J = 0$ and $b = \bar{b}$ follows. But then, from the above, $b = (1 + y^*)\bar{b}^*(1 - y^*) = (1 + y^*)(1 - y)b(1 + y)(1 - y^*) = (1 - y + y^*)b(1 + y - y^*)$ since $J^2 = 0$. This says that $y - y^*$ commutes with b . Therefore by writing $y = \frac{y+y^*}{2} + \frac{y-y^*}{2}$, we get $b^* = (1 - y)\bar{b}(1 + y) = (1 - \frac{y+y^*}{2})\bar{b}(1 + \frac{y+y^*}{2})$.

We have proved that $B^* = (1 - s)B(1 + s)$ for a suitable symmetric element $s = s^* \in J$. At this stage it is easy to check that $B' = (1 - \frac{s}{2})B(1 + \frac{s}{2})$ is the desired invariant subalgebra of A .

Suppose now that $J^n = 0, J^{n-1} \neq 0, n > 2$. Set $J^{n-1} = I$. Since $I^* = I$, A/I has an induced involution; also $J(A/I) = J/I$ and $J(A/I)^{n-1} = 0$. Therefore, by induction on n , $A/I = B/I + J/I$ for a suitable semisimple subalgebra $B/I = (B/I)^*$. It follows that we can write $B = C + I$ where C is a semisimple subalgebra of B and, since $B^* = B$, by the first part we may assume that $C^* = C$. By counting dimensions we get that C is a maximal semisimple subalgebra of A and $A = C + J$ is the desired decomposition. \square

Recall that an algebra with involution A is $*$ -simple if A has no proper $*$ -invariant ideals (i.e., ideals I such that $I^* = I$). It is well known and easy to prove that if A is $*$ -simple, then either A is simple or $A \cong A_1 \oplus A_1^{\text{op}}$ where A_1 is a simple homomorphic image of A and $*$ on $A_1 \oplus A_1^{\text{op}}$ is the exchange involution $(a, b)^* = (b, a)$ (see [Ro, Proposition 2.13.24]).

Remark 1. If B is a semisimple algebra with involution and $\dim_F B < \infty$, then $B = B_1 \oplus \cdots \oplus B_t$ where B_1, \dots, B_t are $*$ -simple algebras.

Proof. Let $B = C_1 \oplus \cdots \oplus C_m$ be the decomposition of B into simple components. Let e_1, \dots, e_m be the corresponding orthogonal central idempotents. Let $i \in \{1, \dots, m\}$; if $e_i^* = e_i$, then $C_i = C_i^* = e_i B$ is $*$ -simple. If $e_i^* \neq e_i$, then $e_i^* B$ is still a minimal ideal of B which implies that $e_i^* = e_j$ for some $j \in \{1, \dots, m\}$. Hence $C_i \oplus C_j$ is $*$ -simple. \square

§4. $*$ -CODIMENSIONS WITH POLYNOMIAL GROWTH

Throughout this section F will be a field of characteristic zero, and A an F -algebra with involution $*$. Let $A^+ = \{a \in A \mid a = a^*\}$ and $A^- = \{a \in A \mid a = -a^*\}$ be the sets of symmetric and skew elements of A respectively.

We consider $F\langle X, * \rangle = F\langle x_1, x_1^*, x_2, x_2^*, \dots \rangle$ the free algebra with involution $*$ of countable rank. Recall that $f(x_1, x_1^*, \dots, x_n, x_n^*) \in F\langle X, * \rangle$ is a $*$ -polynomial identity for A if $f(a_1, a_1^*, \dots, a_n, a_n^*) = 0$ for all $a_1, \dots, a_n \in A$. The set $\text{Id}(A, *)$ of all $*$ -polynomial identities of A is a T-ideal of $F\langle X, * \rangle$, i.e., an ideal invariant

under all endomorphisms of $F\langle X, * \rangle$ commuting with the involution. Let

$$V_n(*) = \text{Span}_F\{x_{\sigma(1)}^{a_1} \cdots x_{\sigma(n)}^{a_n} \mid \sigma \in S_n, a_i \in \{1, *\}\}$$

be the space of multilinear $*$ -polynomials in $x_1, x_1^*, \dots, x_n, x_n^*$.

If we set $s_i = x_i + x_i^*$ and $k_i = x_i - x_i^*$, $i = 1, 2, \dots$, then, since $\text{char}F \neq 2$, we can also write

$$V_n(*) = \text{Span}_F\{w_{\sigma(1)} \cdots w_{\sigma(n)} \mid \sigma \in S_n, w_i = s_i \text{ or } w_i = k_i, i = 1, \dots, n\}.$$

Let H_n be the hyperoctahedral group. Recall that $H_n = \mathbb{Z}_2 \sim S_n$ is the wreath product of $\mathbb{Z}_2 = \{1, *\}$, the multiplicative group of order 2, and S_n . We write the elements of H_n as $(a_1, \dots, a_n; \sigma)$ where $a_i \in \mathbb{Z}_2$, $\sigma \in S_n$. The action of H_n on $V_n(*)$ defined in [GR] can be rewritten (see [DG]) as follows: for $h = (a_1, \dots, a_n; \sigma) \in H_n$ define $hs_i = s_{\sigma(i)}$, $hk_i = k_{\sigma(i)}^{a_{\sigma(i)}} = \pm k_{\sigma(i)}$ and then extend this action diagonally to $V_n(*)$. Hence $V_n(*)$ becomes a left H_n -module and, since $V_n(*) \cap \text{Id}(A, *)$ is a subspace invariant under this action, we can view $V_n(*)/(V_n(*) \cap \text{Id}(A, *))$ as an H_n -module. Let $\chi_n(A, *)$ be its character.

The sequence $c_n(A, *) = \chi_n(A, *)(1) = \dim_F \frac{V_n(*)}{V_n(*) \cap \text{Id}(A, *)}$, $n = 1, 2, \dots$, is called the sequence of $*$ -codimensions of A .

Recall that there is a one-to-one correspondence between irreducible H_n -characters and pairs of partitions (λ, μ) , where $\lambda \vdash r$, $\mu \vdash n - r$, for all $r = 0, 1, \dots, n$. If $\chi_{\lambda, \mu}$ denotes the irreducible H_n -character corresponding to (λ, μ) , then we can write

$$\chi_n(A, *) = \sum_{r=0}^n \sum_{\substack{\lambda \vdash r \\ \mu \vdash n-r}} m_{\lambda, \mu} \chi_{\lambda, \mu}$$

where $m_{\lambda, \mu} \geq 0$ are the corresponding multiplicities.

Now, for $r = 0, \dots, n$, we let

$$V_{r, n-r} = \text{Span}\{w_{\sigma(1)} \cdots w_{\sigma(n)} \mid \sigma \in S_n, w_i = s_i \text{ for } i = 1, \dots, r \text{ and} \\ w_i = k_i \text{ for } i = r+1, \dots, n\}.$$

Thus $V_{r, n-r}$ is the space of multilinear polynomials in $s_1, \dots, s_r, k_{r+1}, \dots, k_n$. It is clear that in order to study $V_n(*) \cap \text{Id}(A, *)$ it is enough to study $V_{r, n-r} \cap \text{Id}(A, *)$ for all r .

If we let S_r act on the symmetric variables s_1, \dots, s_r and S_{n-r} on the skew variables k_{r+1}, \dots, k_n , we obtain an action of $S_r \times S_{n-r}$ on $V_{r, n-r}$ and

$$V_{r, n-r}(A, *) = \frac{V_{r, n-r}}{V_{r, n-r} \cap \text{Id}(A, *)}$$

becomes a left $S_r \times S_{n-r}$ -module. Let $\psi_{r, n-r}(A, *)$ be its character and

$$c_{r, n-r}(A, *) = \psi_{r, n-r}(A, *)(1) = \dim_F V_{r, n-r}(A, *).$$

We write $\psi_{\lambda, \mu}$ for the irreducible $S_r \times S_{n-r}$ -character associated to the pair (λ, μ) with $\lambda \vdash r, \mu \vdash n - r$. The following result holds.

Theorem 5 ([DG, Theorem 1.3]). *Let A be an algebra with involution; then, for all n ,*

$$\chi_n(A, *) = \sum_{r=0}^n \sum_{\substack{\lambda \vdash r \\ \mu \vdash n-r}} m_{\lambda, \mu} \chi_{\lambda, \mu}, \quad \text{and} \quad \psi_{r, n-r}(A, *) = \sum_{\substack{\lambda \vdash r \\ \mu \vdash n-r}} m_{\lambda, \mu} \psi_{\lambda, \mu}.$$

Moreover

$$c_n(A, *) = \sum_{r=0}^n \binom{n}{r} c_{r, n-r}(A, *).$$

We next characterize finite dimensional algebras A with polynomial growth of the $*$ -codimensions.

Theorem 6. *Let A be a finite dimensional algebra with involution over an algebraically closed field F . Then the sequence of $*$ -codimensions $\{c_n(A, *)\}_{n \geq 1}$ is polynomially bounded if and only if*

- 1) *the sequence of codimensions $\{c_n(A)\}_{n \geq 1}$ is polynomially bounded;*
- 2) *$A = B + J$, where B is a maximal semisimple subalgebra of A and $b = b^*$ for all $b \in B$.*

Proof. By [GR, Lemma 4.4], for all n , $c_n(A) \leq c_n(A, *) \leq \alpha n^t$ for some constants α, t , and the sequence of codimensions is polynomially bounded. From [GZ] it follows that $A = B + J$, where $B = B_1 \oplus \cdots \oplus B_m$ and $B_i \cong F$, $B_i J B_k = 0$ for all $i \neq k$. By Theorem 4 we may also assume that $B^* = B$.

Suppose by contradiction that $*$ is not the identity map on B ; then, by Remark 1, there exist B_i, B_k such that $C = B_i \oplus B_k \cong F \oplus F$ is $*$ -simple with involution $(a, b)^* = (b, a)$. Notice that, for all $\sigma \in S_n$ and $a_1, \dots, a_n \in \{1, *\}$,

$$x_{\sigma(1)}^{a_{\sigma(1)}} \cdots x_{\sigma(n)}^{a_{\sigma(n)}} \equiv x_1^{a_1} \cdots x_n^{a_n} \pmod{Id(C, *)}.$$

Moreover the set $\{x_1^{a_1} \cdots x_n^{a_n} \mid a_i \in \{1, *\}\}$ is linearly independent modulo $Id(C, *)$. It follows that $c_n(C, *) = 2^n$. Since $c_n(C, *) \leq c_n(A, *)$ we get a contradiction.

Suppose now that $A = B + J$, $c_n(A)$ is polynomially bounded and $*$ is the identity on B . In this case, if $a \in A$, write $a = b + j$, $b \in B, j \in J$. Then $a - a^* = j - j^* \in J$ and $A^- \subseteq J$.

Notice that if $f(x_1, \dots, x_n) \in V_n \cap Id(A)$, then, for every $r = 0, \dots, n$,

$$f(s_1, \dots, s_r, k_{r+1}, \dots, k_n) \in V_{r, n-r} \cap Id(A, *).$$

Hence $c_{r, n-r}(A, *) \leq c_n(A) \leq \alpha n^t$, for some α, t , for all r . Let $J^q = 0$. Since $A^- \subseteq J$, then, for all $r \leq n - q$, $V_{r, n-r} \cap Id(A, *) = V_{r, n-r}$ and $c_{r, n-r}(A, *) = 0$ follows. By Theorem 5 for all n we obtain

$$\begin{aligned} c_n(A, *) &= \sum_{r=0}^n \binom{n}{r} c_{r, n-r}(A, *) \leq \alpha n^t \sum_{r=n-q+1}^n \binom{n}{r} \\ &= \alpha n^t \sum_{r=0}^{q-1} \binom{n}{r} \leq \alpha n^{t+q} \end{aligned}$$

and $c_n(A, *)$ is polynomially bounded. \square

Next we want to get an analogue of Theorem 3 above by using the representation theory of the hyperoctahedral group H_n . We write $\chi_{\lambda, \mu}(1) = d_{\lambda, \mu}$ for the degree of the irreducible H_n -character $\chi_{\lambda, \mu}$. Recall that if $\lambda \vdash n, \mu \vdash n - r$, then $d_{\lambda, \mu} = \binom{n}{r} d_{\lambda} d_{\mu}$ (see [DG]).

Theorem 7. *Let A be a finite dimensional algebra with involution over a field F . Then the sequence of $*$ -codimensions $\{c_n(A, *)\}_{n \geq 1}$ is polynomially bounded if and only if*

$$\chi_n(A, *) = \sum_{\substack{|\lambda|+|\mu|=n \\ n-\lambda_1 < q}} m_{\lambda, \mu} \chi_{\lambda, \mu}$$

where $J(A)^q = 0$.

Proof. Since the decomposition of $V_n(*)$ into irreducible H_n -modules does not change by extending the scalars, as in the proof of Theorem 3 we may assume that F is algebraically closed.

Suppose that the $*$ -codimensions of A are polynomially bounded and let $\lambda = (\lambda_1, \dots, \lambda_t) \vdash r, \mu \vdash n - r$ be such that $n - \lambda_1 \geq q$. Suppose by contradiction that $m_{\lambda, \mu} \neq 0$; then there exist tableaux T_λ, T_μ such that $e_{T_\lambda} e_{T_\mu}$ has a non-trivial action on $V_{r, n-r}(A, *)$. This says that there exists a non trivial polynomial $f \in e_{T_\lambda} e_{T_\mu} V_{r, n-r}$ such that $f = f(s_1, \dots, s_r, k_{r+1}, \dots, k_n)$ is not a $*$ -identity of A .

We have that f is a linear combination of polynomials each alternating on t disjoint sets of $\lambda'_1, \dots, \lambda'_t$ symmetric variables respectively. Let g be one such polynomial; it is clear that, in order to finish the proof, it is enough to show that $g \equiv 0$ in A .

Since $B_i B_k = B_i J B_k = 0$ for all $i \neq k$, we get $g \equiv 0$ on A unless we substitute for the symmetric variables elements from one simple component, say B_i , and from J . Also, since $\dim B_i = 1$, only one element of B_i can be replaced for a variable in each alternating set. Thus, since $A^- \subseteq J$, in all we substitute at least $|\lambda| - \lambda_1 + |\mu| = n - \lambda_1 \geq q$ elements from J . Since $J^q = 0$ we get that $g \equiv 0$ also in this case and the proof of the first part is complete.

Suppose now that $\chi_n(A, *) = \sum_{|\lambda|+|\mu|=n, n-\lambda_1 < q} m_{\lambda, \mu} \chi_{\lambda, \mu}$. By a result of Berele ([B, Theorem 15]) the multiplicities $m_{\lambda, \mu}$ are polynomially bounded. By recalling that if $|\lambda| - \lambda_1$ is bounded by a constant, then d_λ is polynomially bounded, we get

$$\begin{aligned} c_n(A, *) &= \sum_{\substack{|\lambda|+|\mu|=n \\ n-\lambda_1 < q}} m_{\lambda, \mu} d_{\lambda, \mu} \leq \alpha n^t \sum_{r=n-q}^n \sum_{\substack{\lambda \vdash r, \mu \vdash n-r \\ n-\lambda_1 < q}} \binom{n}{r} d_\lambda d_\mu \\ &\leq \alpha_1 n^{t_1} \sum_{r=0}^q \binom{n}{r} \leq \alpha_1 n^{t_1} n^{q+1}. \end{aligned}$$

□

The previous theorem says that a finite dimensional algebra with involution A has polynomial growth of the $*$ -codimensions if and only if all the irreducible H_n -characters $\chi_{\lambda, \mu}$ appearing with non-zero multiplicity in $\chi_n(A, *)$ are such that the diagram of λ , without the first row, and the diagram of μ contain in all at most q boxes.

REFERENCES

- [BR] A. Berele and A. Regev, *Applications of hook diagrams to P.I. algebras*, J. Algebra **82** (1983), 559–567. MR **84g**:16012
[B] A. Berele, *Cocharacter sequences for algebras with Hopf algebra actions*, J. Algebra **185** (1996), 869–885. MR **97h**:16032

- [CR] C. W. Curtis and I. Reiner, *Representation Theory of Finite Groups and Associative Algebras*, John Wiley and Sons, New York, 1962. MR **90g**:16001
- [DG] V. Drensky and A. Giambruno, *Cocharacters, codimensions and Hilbert series of the polynomial identities for 2×2 matrices with involution*, Canadian J. Math. **46** (1994), 718–733.
- [GR] A. Giambruno and A. Regev, *Wreath products and P.I. algebras*, J. Pure Applied Algebra **35** (1985), 133–149. MR **86e**:16027
- [GZ] A. Giambruno and M. Zaicev, *On codimension growth of finitely generated associative algebras*, Adv. Math. **140** (1998), 145–155. CMP 99:05
- [K1] A. Kemer, *T-ideals with power growth of the codimensions are Specht*, Sibirskii Matematicheskii Zhurnal **19** (1978), 37–48 (Russian), English transl Siberian Math. J.
- [K2] A. Kemer, *Ideals of identities of associative algebras*, Transl. Math. Monogr., vol. 87, Amer. Math. Soc., Providence RI, 1988. MR **92f**:16031
- [KR] A. Krakowsky and A. Regev, *The polynomial identities of the Grassmann algebra*, Trans. AMS **181** (1973), 429–438. MR **48**:4005
- [R] A. Regev, *Existence of identities in $A \otimes B$* , Israel J. Math. **11** (1972), 131–152. MR **47**:3442
- [Ro] L. H. Rowen, *Ring Theory*, Academic Press, New York, 1988. MR **89h**:16001

DIPARTIMENTO DI MATEMATICA E APPLICAZIONI, UNIVERSITÀ DI PALERMO, VIA ARCHIRAFI 34,
90123 PALERMO, ITALY

E-mail address: a.giambruno@unipa.it

DEPARTMENT OF ALGEBRA, FACULTY OF MATHEMATICS AND MECHANICS, MOSCOW STATE UNIVERSITY, MOSCOW, 119899 RUSSIA

E-mail address: zaicev@nw.math.msu.su