

A NICELY BEHAVED SINGULAR INTEGRAL ON A PURELY UNRECTIFIABLE SET

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ABSTRACT. We construct an example of a purely 1-unrectifiable AD-regular set E in the plane such that the limit

$$\lim_{r \downarrow 0} \int_{E \setminus B(x,r)} K(x-y) d\mathcal{H}^1(y)$$

exists and is finite for \mathcal{H}^1 almost every $x \in E$ for some class of antisymmetric Calderón-Zygmund kernels. Moreover, the singular integral operators associated with these kernels are bounded in $L^2(F)$, where $F \subset E$ has a positive \mathcal{H}^1 measure.

1. INTRODUCTION

In this note “a nice behaviour of singular integral operator” in the complex plane is considered in two ways: in the sense of “ L^2 -boundedness” and in the sense of “existence of principal values”. A singular integral operator T associated with a kernel K is bounded in $L^2(E)$ if there exists $M < \infty$ such that

$$(1.1) \quad \int_E \left| \int_{E \setminus B(z,\varepsilon)} g(y)K(x-y) d\mathcal{H}^1(y) \right|^2 d\mathcal{H}^1(x) \leq M \int_E |g|^2 d\mathcal{H}^1$$

for all $g \in L^2(E)$ and $\varepsilon > 0$, where $K : \mathbb{C} \setminus \{0\} \rightarrow \mathbb{C}$ is a standard kernel, as in [2]. We say that the principal values for the singular integral associated with the kernel K and a set E exist \mathcal{H}^1 almost everywhere if

$$(1.2) \quad \lim_{\varepsilon \downarrow 0} \int_{E \cap \{y:|x-y| \geq \varepsilon\}} K(x-y) d\mathcal{H}^1(y)$$

exists and is finite for \mathcal{H}^1 almost every $x \in E$.

We will also use the following concepts to describe geometric properties of sets. A set E is Ahlfors-David regular (AD-regular) if there is $0 < M_0 < \infty$ such that for all $z \in E$ and $0 < r < \text{diam}(E)$,

$$r/M_0 \leq \mathcal{H}^1(E \cap \{x \in \mathbb{C} : |z-x| < r\}) \leq M_0 r.$$

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A set E with $\mathcal{H}^1(E) < \infty$ is called purely 1-unrectifiable if it intersects any Lipschitz curve in a set of \mathcal{H}^1 measure zero.

The question as to whether singular integrals behave nicely on a set that is in some sense rectifiable has been an object of investigation for over twenty years. Calderón proved in [1] the L^2 -boundedness of the Cauchy singular integral operator on a Lipschitz graph with a small Lipschitz constant. Later this result was extended to other kernels and a wider class of rectifiable sets. For a survey of the results of this type see [6] or [7, Chapter 20].

The converse question of whether the nice behaviour of singular integrals implies some kind of rectifiability has also long been a topic of investigations. However, most of the work has been done for the Cauchy singular integral. This is mainly because of the importance of the Cauchy integral itself and its application to the problem of removability of bounded analytic functions (see *e.g.* [8], [5] and [4]), but also because extending the theory seems to be difficult.

In this paper we give examples of singular integral operators in the plane which behave nicely both on 1-rectifiable sets (see *e.g.* [7, Chapter 20] for results of this type) and also on some purely 1-unrectifiable sets. In fact, we construct a purely 1-unrectifiable AD-regular set $E \subset \mathbb{C}$ for which principal values exist \mathcal{H}^1 almost everywhere. Moreover, we prove L^2 -boundedness in a subset of the original set. To prove the last fact we use [10, Theorem 2.2], although in this case it would be easy to show L^2 -boundedness directly or using a dyadic version of $T(b)$ -theorem (see [2]).

2. PRELIMINARIES

First we introduce some notation. For $a \in \mathbb{C}$, $0 < r < R < \infty$ and $A \subset \mathbb{C}$, we let

$$\begin{aligned} B(a, r) &= \{z \in \mathbb{C} : |z - a| \leq r\}, \\ A(x, r, R) &= \{z \in \mathbb{C} : r < |z - a| \leq R\}, \\ \text{dist}(a, A) &= \inf\{|z - a| : z \in A\}. \end{aligned}$$

Also, let ∂A denote the boundary of A , and define $\text{diam}(A)$ to be the diameter of A . By a Borel measure we mean a non-negative measure on the Borel σ -algebra and \mathcal{H}^1 stands for the 1-dimensional Hausdorff measure. If μ is a Borel measure, then the restriction of μ to a Borel set A , $\mu \llcorner A$, is defined by $(\mu \llcorner A)(B) = \mu(A \cap B)$.

A kernel $K : \mathbb{C} \setminus \{0\} \rightarrow \mathbb{C}$, $K \neq 0$, is an antisymmetric function which satisfies the following four conditions for some $0 < C < \infty$ and for all $x \in \mathbb{C} \setminus \{0\}$, $y \neq x$, $z \neq x$ and $r > 0$:

$$(2.1) \quad |K(x - y) - K(x - z)| \leq C \frac{|y - z|}{|x - y||x - z|},$$

$$(2.2) \quad |K(x)| \leq C \frac{1}{|x|},$$

$$(2.3) \quad K(r) = K(-r) = 0,$$

$$(2.4) \quad K(x) = -K(-\bar{x}).$$

We note that such a class of kernels is non-empty because it contains, for instance, the kernel $K(z) = \text{Re}(z)/|z|^2 - \text{Re}(z)^3/|z|^4$. The conditions (2.1) and (2.2) are commonly used for standard kernels and, for example, the Cauchy kernel satisfies them. However, the requirements (2.3) and (2.4) are special and will guarantee the

good behaviour of singular integral operators associated with the kernels that are needed to prove the result.

To estimate the integrals of the kernel, we will use the norms

$$\|f\|_A = \sup_{x \in A} |f(x)| \text{ and } \|f\| = \|f\|_C$$

of functions $f: \mathbb{C} \rightarrow \mathbb{C}$ and the distance

$$d(\mu, \nu) = \sup \left\{ \left| \int f d\mu - \int f d\nu \right| : \text{Lip}(f) \leq 1 \right\}$$

of Borel probability measures μ, ν in \mathbb{C} with compact support. We note that d restricted to the set of measures with support contained in a fixed compact set $K \subset \mathbb{C}$ is equivalent to the distances $F_D(\mu, \nu)$ from [7, Chapter 14] if D is sufficiently large; in particular, on this set d is a complete metric metrizing the weak topology.

Lemma 2.1. *If μ, ν are Borel probability measures in \mathbb{C} , $A \subset \mathbb{C}$ is a compact set and $t > 0$, then*

$$\mu(A) \leq \nu\{x : \text{dist}(x, A) < t\} + d(\mu, \nu)/t$$

and, if $h: A \rightarrow \mathbb{C}$ is a Lipschitz function, then

$$\left| \int_A h d\mu - \int_A h d\nu \right| \leq (\text{Lip}(h) + 4\|h\|_A/t)d(\mu, \nu) + 2\|h\|_A \nu\{x : \text{dist}(x, \partial A) < t\}.$$

Proof. For the first statement define $\psi(x) = \max(0, 1 - \text{dist}(x, A)/t)$ and estimate $\mu(A) \leq \int \psi d\mu \leq \int \psi d\nu + d(\mu, \nu) \text{Lip}(\psi) \leq \nu\{x : \text{dist}(x, A) < t\} + d(\mu, \nu)/t$.

For the second statement define $\varphi(x) = h(x)$ if $x \in A$, $\varphi(x) = 0$ if $\text{dist}(x, A) > t/2$, and extend φ to the whole complex plane using Kirszbraun's theorem so that $\|\varphi\| \leq \|h\|_A$ and $\text{Lip}(\varphi) \leq \max(\text{Lip}(h), 2\|h\|_A/t)$. Using also the first statement, we get

$$\begin{aligned} \left| \int_A h d\mu - \int_A h d\nu \right| &\leq \left| \int \varphi d\mu - \int \varphi d\nu \right| + \int_{C \setminus A} |\varphi| d\mu + \int_{C \setminus A} |\varphi| d\nu \\ &\leq \text{Lip}(\varphi)d(\mu, \nu) + \|h\|_A \mu\{x : 0 < \text{dist}(x, A) < t/2\} \\ &\quad + \|h\|_A \nu\{x : 0 < \text{dist}(x, A) < t/2\} \\ &\leq (\text{Lip}(h) + 4\|h\|_A/t)d(\mu, \nu) \\ &\quad + 2\|h\|_A \nu\{x : \text{dist}(x, \partial A) < t\}. \end{aligned}$$

□

3. THE CONSTRUCTION OF THE EXAMPLE

In this section we give the promised example of an AD-regular set E which is purely unrectifiable and for which principal values exist \mathcal{H}^1 almost everywhere for all kernels satisfying our conditions.

Theorem 3.1. *There exists a purely unrectifiable compact AD-regular set $E \subset \mathbb{C}$ such that $\mathcal{H}^1(E) > 0$ and for \mathcal{H}^1 almost every $x \in E$, the limit of the integral in (1.2) exists and is finite with any kernel K satisfying conditions (2.1)–(2.4).*

Proof. The set E will be obtained as the limit of a sequence of sets E_n with each E_n being the union of a set \mathcal{I}_n of line segments parallel to the real axis. We first describe a recursive construction of the families \mathcal{I}_n . Let (m_k) be a sufficiently quickly increasing sequence of positive integers starting with $m_0 = 1$; for example,

the condition $m_{k+1} > (16^{k+4}m_0m_1 \cdots m_k)^2$ will be sufficient for all our purposes. We let $\mathcal{I}_0 = \{[0, 1]\}$. Whenever \mathcal{I}_{n-1} has been defined and n is odd, we let

$$\mathcal{I}_n = \mathcal{I}_{n-1} \cup \{i \operatorname{diam}(J)/m_n + J : J \in \mathcal{I}_{n-1}\}, \text{ where } i = \sqrt{-1},$$

and, if n is even, we denote for each $J = [x, y] \in \mathcal{I}_{n-1}$ and $l = 1, 2, \dots, m_n$,

$$J_l = \left[x + \frac{2l-1}{2m_n}(y-x), x + \frac{2l}{2m_n}(y-x) \right]$$

and define

$$\mathcal{I}_n = \{J_l : J \in \mathcal{I}_{n-1}, l = 1, \dots, m_n\}.$$

Now set $E_n := \bigcup_{J \in \mathcal{I}_n} J$. Denote by λ_n the length of the intervals in \mathcal{I}_n ; so $\lambda_{2n} = \lambda_{2n+1} = 2^{-n}(m_0m_2 \cdots m_{2n})^{-1}$. We also note that the minimal distance of two different intervals from \mathcal{I}_n is $d_n = \lambda_n$ if n is even and $d_n = \lambda_n/m_n$ if n is odd, and observe that $\operatorname{dist}(x, E_n) \leq d_n$ for each $x \in E_{n-1}$ and $\operatorname{dist}(x, E_{n-1}) \leq d_n$ for each $x \in E_n$. By definition, the Hausdorff distance of E_n and E_{n-1} is

$$\operatorname{dist}(E_n, E_{n-1}) = \max\left(\max_{x \in E_n} \operatorname{dist}(x, E_{n-1}), \max_{x \in E_{n-1}} \operatorname{dist}(x, E_n)\right) \leq d_n.$$

Since $\sum_k d_k < \infty$ and the space of non-empty compact subsets of \mathbb{C} equipped with the Hausdorff metric is complete, the sequence E_n converges in the Hausdorff metric to a compact set E and $\operatorname{dist}(E, E_n) \leq \sum_{k=n+1}^\infty d_k \leq 2d_{n+1}$. To obtain the last inequality, we used that $d_{k+1} \leq d_k/2$; in fact, the sequence m_k increases so quickly that

$$(3.1) \quad d_{k+1} \leq 4^{-k}d_k^2.$$

We define

$$\mu_n = \begin{cases} \frac{1}{2}\mathcal{H}^1 \llcorner E_n & \text{for odd } n, \\ \mathcal{H}^1 \llcorner E_n & \text{for even } n. \end{cases}$$

Then μ_n are Borel probability measures in \mathbb{C} and, for any Lipschitz function f ,

$$\begin{aligned} \left| \int f d\mu_n - \int f d\mu_{n-1} \right| &\leq \sum_{J \in \mathcal{I}_{n-1}} \sum_{l=1}^{m_n} \int_{J_l} |f(x-d_n) - f(x)| d\mathcal{H}^1(x) \\ &\leq \operatorname{Lip}(f)d_n \sum_{J \in \mathcal{I}_{n-1}} \sum_{l=1}^{m_n} \mathcal{H}^1(J_l) = \operatorname{Lip}(f)d_n/2 \end{aligned}$$

if n is even, and

$$\begin{aligned} \left| \int f d\mu_n - \int f d\mu_{n-1} \right| &\leq \sum_{J \in \mathcal{I}_{n-1}} \int_J |f(x+id_n) - f(x)| d\mathcal{H}^1(x)/2 \\ &\leq \operatorname{Lip}(f)d_n \sum_{J \in \mathcal{I}_{n-1}} \mathcal{H}^1(J)/2 = \operatorname{Lip}(f)d_n/2 \end{aligned}$$

if n is odd. Hence $d(\mu_n, \mu_{n-1}) \leq d_n/2$. As $\sum_k d_k < \infty$ and the union of the supports of μ_n is bounded (since a sequence converging in the Hausdorff metric is bounded), we infer that μ_n converge weakly to a Borel probability measure μ whose support is contained in E and $d(\mu, \mu_n) \leq \sum_{k=n+1}^\infty d_k/2 \leq d_{n+1}$.

We show that $\mu = \mathcal{H}^1 \llcorner E$ and that E is AD-regular. For this we estimate the μ measure of arbitrary sets from above and of disks centered in E from below.

Suppose that A is a bounded set meeting E and $d_n/2 \leq \text{diam}(A) < d_{n-1}/2$. Letting $t := 2^{-n}d_n$, we observe that in the case when n is even, $E_n \cap \{x : \text{dist}(x, A) < t\}$ is contained in at most one of the intervals from \mathcal{I}_{n-1} and in the case when n is odd, it is contained in at most two of the intervals from \mathcal{I}_n . Thus we conclude from the first statement of Lemma 2.1, (3.1) and from the definition of μ_n that

$$(3.2) \quad \begin{aligned} \mu(A) &\leq \mu_n\{x : \text{dist}(x, A) < t\} + d(\mu, \mu_n)/t \\ &\leq \text{diam}(A) + 2t + d_{n+1}/t \leq (1 + 2^{-n+3}) \text{diam}(A). \end{aligned}$$

By Besicovitch’s density estimate (see [7, Remark 6.3(3)]) this implies that $\mu \leq \mathcal{H}^1 \llcorner E$.

Let $r_n := 2^{-n-9}d_n$ and, for each $J = [x, y] \in \mathcal{I}_n$, let

$$J^* = [x + 2^{-n-9}(y - x), y - 2^{-n-9}(y - x)].$$

Also let $E_n^* = \bigcup_{J \in \mathcal{I}_n} J^*$, $F_k = \bigcap_{n=k}^\infty \{z \in E : \text{dist}(z, E_n^*) \leq 2d_{n+1}\}$ and $F = \bigcup_{k=1}^\infty F_k$. Since the set $\{z \in E : \text{dist}(z, E_n^*) > 2d_{n+1}\}$ is covered by the disks $B(x, r_n + 2d_{n+1})$ and $B(y, r_n + 2d_{n+1})$ where $J = [x, y] \in \mathcal{I}_n$, we have by (3.2)

$$\mu\{z \in E, \text{dist}(z, E_n^*) > d_n\} \leq \sum_{I \in \mathcal{I}_n} 20(r_n + 2d_{n+1}).$$

Since $\sum_n \sum_{I \in \mathcal{I}_n} 20(r_n + 2d_{n+1}) < \infty$, we infer from the Borel-Cantelli Lemma that $\mu(E \setminus F) = 0$.

If $x \in E$ and $d_n \leq r < d_{n-1}$, we find a point $y \in E_n$ such that $|x - y| \leq 2d_{n+1}$ and use the first statement of Lemma 2.1 with $t = 2^{-n}d_n$ to estimate $\mu(B(x, r)) \geq \mu(B(y, r - 2d_{n+1})) \geq \mu_n(B(y, r - 2d_{n+1} - t)) - d(\mu, \mu_n)/t \geq r/5$. In the case when n is even, $x \in F_n$ and $r = r_n$, we choose $y \in E_n^*$ and observe that the intersection $B(y, r - 2d_{n+1} - t) \cap E_n$ is a segment of length at least $2(r - 2d_{n+1} - t)$, and so $\mu(B(x, r)) \geq 2(1 - 2^{-n-1})r$. It follows that $\limsup_{r \rightarrow 0} \mu(B(x, r))/2r \geq 1$ for \mathcal{H}^1 almost every x , which by Besicovitch’s density estimate (see [7, Theorem 6.9(2)]) implies that $\mu \geq \mathcal{H}^1 \llcorner E$.

To recapitulate, we now know that $\mu = \mathcal{H}^1 \llcorner E$ and that E is AD-regular: one inequality follows from (3.2) and the other from the previous paragraph.

It is easy to see that the orthogonal projection of E to the real axis has measure zero. The same holds for the orthogonal projection of E to the imaginary axis: since $\text{dist}(E, E_n) \leq 2d_{n+1}$, the projection is covered by intervals of length $4d_{n+1}$ centered in the (at most 2^n) points of the projection of E_n , so the measure in question is bounded by $2^{n+2}d_{n+1}$, which tends to zero by (3.1). This means that E is purely unrectifiable.

Suppose now that a kernel K satisfies (2.1)–(2.4). Denote $\mu_\infty := \mu$ and

$$f_n(u, s, S) := \int_{A(u, s, S)} K(u - z) d\mu_n(z)$$

for $u \in \mathbb{C}$, $0 < s \leq S < \infty$ and $n = 1, 2, \dots, \infty$.

We show that

$$(3.3) \quad f_n(y, s, S) = 0 \text{ provided that } y \in E_n^* \text{ and } 0 < s < S \leq r_{n-1}.$$

Indeed, if n is even, this is obvious since (2.3) implies that the integrand is zero. If n is odd, the set $A(y, s, S) \cap E_n$ consists of (one or two) line segments parallel to the real axis and symmetric about the line $\text{Re}(z) = \text{Re}(y)$, so (2.4) gives that $f_n(y, s, S) = -f_n(y, s, S)$, and we have again that $f_n(y, s, S) = 0$.

We let $t_n := 2^{-n-9}r_n^2$ and observe that the fast increase of m_k guarantees that

$$(3.4) \quad d_{n+1} \leq 2^{-n-4}t_n r_n.$$

We also note that for every x ,

$$(3.5) \quad \mu_n(A(x, s - t_n, s + t_n)) \leq 2^{-n-5}r_n \text{ provided that } r_n \leq s \leq r_{n-1}.$$

This follows immediately by observing that $A(x, s - t_n, s + t_n) \cap E_n$ is contained in at most two line segments of total length not exceeding $8\sqrt{st_n} \leq 2^{-n-5}r_n$.

If $y \in E_n^*$, $x \in \mathbb{C}$, $|x - y| \leq 2d_{n+1}$ and $r_n \leq s < S \leq r_{n-1}$, then, by (2.1) and (2.2), $|K(x - z) - K(y - z)| \leq Cs^{-2}|x - y|$ for $z \in A(x, s, S) \cap A(y, s, S)$, $|K(x - z)| \leq Cs^{-1}$ for $z \in A(x, s, S) \setminus A(y, s, S)$ and $|K(y - z)| \leq Cs^{-1}$ for $z \in A(y, s, S) \setminus A(x, s, S)$. Hence (3.4) and (3.5) imply that

$$\begin{aligned} |f_n(x, s, S) - f_n(y, s, S)| &\leq Cs^{-2}|x - y|\mu_n(A(x, s, S) \cap A(y, s, S)) \\ &\quad + Cs^{-1}\mu_n(A(x, s, S) \setminus A(y, s, S)) \\ &\quad + Cs^{-1}\mu_n(A(y, s, S) \setminus A(x, s, S)) \\ &\leq 2^{-n-2}C. \end{aligned}$$

Using also (3.3), we infer that

$$(3.6) \quad |f_n(x, s, S)| \leq 2^{-n-2}C \text{ if } \text{dist}(x, E_n^*) \leq 2d_{n+1} \text{ and } r_n \leq s < S \leq r_{n-1}.$$

We now estimate $f_\infty(x, s, S)$ for $r_n \leq s < S \leq r_{n-1}$ and $\text{dist}(x, E_n^*) \leq 2d_{n+1}$. For this, note that by (2.1) and (2.2) the function $h: A(x, s, S) \rightarrow \mathbb{C}$ defined by $h(z) = K(x - z)$ satisfies $\text{Lip}(h) \leq C/s^2$ and $\|h\|_{A(x, s, S)} \leq C/s$. Hence by the second statement of Lemma 2.1 with $t = t_n$, (3.6), (3.5) and (3.4)

$$(3.7) \quad \begin{aligned} |f_\infty(x, s, S)| &\leq |f_n(x, s, S)| + (C/s^2 + 4C/st_n)d(\mu, \mu_n) + 8C2^{-n-5}r_n/s \\ &\leq 2^{-n}C. \end{aligned}$$

We are now ready for the final part of the proof: suppose that $\varepsilon > 0$ and $x \in F$. Find an index q such that $x \in F_q$ and $2^{-q} < \varepsilon/2C$. Whenever $0 < r < R < r_q$, we choose the largest integer m such that $R \leq r_m$ and the smallest integer k such that $r \geq r_k$, denote $s_n = r_n$ for $m < n \leq k$, $s_m = R$ and $s_{k+1} = r$, and use (3.7) to estimate

$$|f_\infty(x, r, R)| \leq \sum_{n=m}^{k+1} |f_\infty(x, s_{n+1}, s_n)| \leq \sum_{n=m}^{\infty} 2^{-n}C < \varepsilon.$$

Since $\mu = \mathcal{H}^1 \llcorner E$, this shows that with our choice of m_k the principal values associated with any kernel K satisfying (2.1)–(2.4) exist for every $x \in F$, hence \mathcal{H}^1 almost everywhere on E , which concludes the proof of Theorem 3.1. \square

As a consequence of [10, Theorem 2.2], we get immediately the following L^2 boundedness result. The result in [10] is based on the $T(b)$ -theorem in [9]. (In fact, the result in [10] is stated only for the Cauchy kernel, but the proof given there works for our kernels as well.)

Corollary 3.8. *There exists a set $F \subset E$ with a positive \mathcal{H}^1 measure such that the condition (1.1) holds for F .*

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