

## NORMAL CHARACTERISTIC NUMBERS

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ABSTRACT. This paper computes the greatest common divisor of certain normal Pontrjagin numbers and Chern numbers. These calculations are of interest in studying singularities.

### 1. INTRODUCTION

Let  $M^{4n}$  be a closed smooth manifold of dimension  $4n$ , and let  $\bar{\varphi}$  denote the Pontrjagin class of the stable normal bundle of  $M$ . One then obtains an integer  $\bar{\varphi}_n[M^{4n}]$  by evaluation of the top dimensional Pontrjagin class on the fundamental homology class of  $M$ . The goal of this paper is to prove the following result.

**Proposition.** *The greatest common divisor of the integers  $\bar{\varphi}_n[M^{4n}]$  is  $3^k$  where  $k$  is the smallest integer  $j$  for which  $\alpha_3(2n + j) \leq 3j$ .*

For a prime  $p$ ,  $\alpha_p(x)$  is the sum of the digits in the  $p$ -adic expansion of  $x$ , or equivalently the minimum number of powers of  $p$  needed to express  $x$ .

That  $\sigma_n = \gcd\{\bar{\varphi}_n[M^{4n}]\}$  is of interest follows from the work of András Szücs [2]. He shows that the number of cusps of any stable smooth map of  $M^{4n}$  in  $R^{6n-1}$  is  $\bar{\varphi}_n[M^{4n}]$ , so the possible numbers of cusps is the group  $\sigma_n Z$ . He also describes ([2], Remark 5) the method for calculating these numbers.

In an analogous way, one has

**Proposition.** *The greatest common divisor of the normal Chern numbers  $\bar{c}_n[M^{2n}]$  of stably almost complex manifolds of dimension  $2n$  is  $2^k$  where  $k$  is the smallest integer  $j$  for which  $\alpha_2(n + j) \leq 2j$ .*

Szücs has indicated that these numbers give the number of singular points for appropriate complex maps.

The general background information needed about characteristic classes may be found in [1].

### 2. THE METHOD

The function assigning to  $M^{4n}$  the value  $\bar{\varphi}_n[M^{4n}]$  defines a ring homomorphism  $\phi : \Omega_*^{SO} \rightarrow Z$  from the oriented cobordism ring to the integers. The torsion subgroup must go to zero under the homomorphism, so this gives  $\phi : \Omega_*^{SO}/\text{Tor} \rightarrow Z$ . The ring  $\Omega_*^{SO}/\text{Tor}$  is a polynomial ring  $Z[x_{4n}]$  on generators of dimension  $4n$ .

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(Similarly, sending  $M^{2n}$  to  $\bar{c}_n[M^{2n}]$  gives a ring homomorphism  $\phi : \Omega_*^U \rightarrow Z$  from complex cobordism to the integers, and  $\Omega_*^U$  is a polynomial ring  $Z[x_{2n}]$ .)

The generator  $x_4$  may be taken to be the class of the complex projective plane  $\mathbb{C}P^2$ , for which  $\bar{\varphi}_1(\mathbb{C}P^2) = -3$ . Then  $\phi(\underbrace{\mathbb{C}P^2 \times \cdots \times \mathbb{C}P^2}_n) = (-1)^n 3^n$ , so  $\sigma_n$

must be a power of 3. (Similarly  $x_2$  may be taken to be the class of  $\mathbb{C}P^1$ , with  $\bar{c}_1[\mathbb{C}P^1] = -2$ , so the greatest common divisor must be a power of 2.)

Because the problem is 3-primary, it suffices to consider 3-primary generators for  $\Omega_*^{SO}/\text{Tor}$  and these generators are characterized by the fact that  $s_n(\varphi)[x_{4n}]$  is nonzero mod 3 if  $2n + 1$  is not a power of 3 and is divisible by 3 but not by 9 if  $2n + 1$  is a power of 3. For such 3-primary indecomposables one computes the values of  $\bar{\varphi}_n[x_{4n}]$ , and  $\sigma_n$  will be the greatest common divisor of the values  $\bar{\varphi}_n[x_{4i_1} \cdots x_{4i_r}] = \bar{\varphi}_{i_1}[x_{4i_1}] \cdots \bar{\varphi}_{i_r}[x_{4i_r}]$  for  $i_1 + \cdots + i_r = n$ . Here  $s_n(\varphi)$  is the primitive class with  $s_n(\varphi) = \sum y_i^{2n}$  if the Pontrjagin class has the form  $\varphi = \pi(1 + y_i^2)$  with  $\dim y_i = 2$ . (Similarly, 2-primary generators for  $\Omega_*^U$  are characterized by having  $s_n(c)[x_{2n}]$  nonzero mod 2 if  $n + 1$  is not a power of 2 and being 2 mod 4 if  $n + 1$  is a power of 2. Here  $s_n(c) = \sum y_i^n$  if  $c = \pi(1 + y_i)$  with  $\dim y_i = 2$ .)

The remainder of the paper is devoted to finding appropriate generators and then finding the greatest common divisor for monomials in these generators.

### 3. GENERATORS

This section will establish the following two results.

**Lemma.** *There are 3-primary generators  $x_{4n}$  for  $\Omega_*^{SO}/\text{Tor}$  for which the power of 3 dividing  $\bar{\varphi}_n[x_{4n}]$  is*

$$\begin{cases} 1 & \text{if } 2n + 1 \text{ is a power of } 3, \\ \frac{1}{2} \{ \alpha_3(2n + 1) - 1 \} & \text{if } 2n + 1 \text{ is not a power of } 3. \end{cases}$$

**Lemma.** *There are 2-primary generators  $x_{2n}$  for  $\Omega_*^U$  for which the power of 2 dividing  $\bar{c}_n[x_{2n}]$  is*

$$\begin{cases} 1 & \text{if } n + 1 \text{ is a power of } 2, \\ \alpha_2(n + 1) - 1 & \text{if } n + 1 \text{ is not a power of } 2. \end{cases}$$

Notice that for  $x_4$ ,  $2n + 1 = 3$  and  $\bar{\varphi}_1[\mathbb{C}P^2] = -3$ , and for  $x_2$ ,  $n + 1 = 2$  and  $\bar{c}_1[x_2] = -2$ . Thus, one has the result for  $n = 1$ , and more importantly, for  $2n + 1 = 3^1$ ,  $n + 1 = 2^1$ .

The easiest case is for  $n + 1$  not divisible by 2 and  $2n + 1$  not divisible by 3. For this consider the manifold  $\mathbb{C}P^n$ . The cohomology of  $\mathbb{C}P^n$  is  $Z[\alpha]/(\alpha^{n+1} = 0)$  and the Chern class is  $c = (1 + \alpha)^{n+1}$ . Then  $s_n(c) = (n + 1)\alpha^n$ , so  $s_n(c)[\mathbb{C}P^n] = n + 1$  which is not divisible by 2 if  $n + 1$  is not divisible by 2. Then  $\bar{c} = \frac{1}{(1 + \alpha)^{n+1}}$  and  $\bar{c}_n[\mathbb{C}P^n]$  is the coefficient of  $\alpha^n$  in

$$\frac{1}{(1 + \alpha)^{n+1}} = \sum_{i=0}^{\infty} \binom{n + i}{i} (-\alpha)^i,$$

which is  $(-1)^n \binom{2n}{n}$ .

Now, it is well known that the power of  $p$  dividing  $x!$  is  $(x - \alpha_p(x))/(p - 1)$ , so the power of  $p$  dividing the binomial coefficient  $\binom{x}{y} = \frac{x!}{y!(x-y)!}$  is

$$\begin{aligned} & \frac{1}{(p-1)}\{x - \alpha_p(x) - (y - \alpha_p(y)) - (x - y - \alpha_p(x - y))\} \\ &= \frac{1}{(p-1)}\{\alpha_p(y) + \alpha_p(x - y) - \alpha_p(x)\}. \end{aligned}$$

The power of 2 dividing  $\bar{c}_n[\mathbb{C}P^n] = (-1)^n \binom{2n}{n}$  is then  $2\alpha_2(n) - \alpha_2(2n) = \alpha_2(n)$  since  $\alpha_2(2n) = \alpha_2(n)$ . Since  $n + 1$  is odd,  $n$  is even and  $\alpha_2(n + 1) = \alpha_2(n) + 1$  or  $\alpha_2(n) = \alpha_2(n + 1) - 1$ , as in the lemma.

For  $\mathbb{C}P^{2n}$ , the Chern class is  $c = (1 + \alpha)^{2n+1}$  and the Pontrjagin class is  $\varphi = (1 + \alpha^2)^{2n+1}$ . Then  $s_n(\varphi) = s_{2n}(c) = (2n + 1)\alpha^{2n}$  so  $s_n(\varphi)[\mathbb{C}P^{2n}] = 2n + 1$  which is not divisible by 3 if  $2n + 1$  is not divisible by 3. Then  $\bar{\varphi}_n[\mathbb{C}P^{2n}]$  is the coefficient of  $\alpha^{2n}$  in

$$\bar{\varphi} = \frac{1}{(1 + \alpha^2)^{2n+1}} = \sum_{i=0}^{\infty} \binom{2n+i}{i} (-\alpha^2)^i,$$

which is  $(-1)^n \binom{2n+n}{n} = (-1)^n \binom{3n}{n}$ . The power of 3 dividing  $\binom{3n}{n}$  is

$$\frac{1}{2}\{\alpha_3(n) + \alpha_3(2n) - \alpha_3(3n)\} = \frac{1}{2}\alpha_3(2n)$$

since  $\alpha_3(3n) = \alpha_3(n)$ . Since  $2n + 1$  is not divisible by 3,  $\alpha_3(2n + 1) = \alpha_3(2n) + 1$ , so  $\frac{1}{2}\alpha_3(2n) = \frac{1}{2}\{\alpha_3(2n + 1) - 1\}$ , as in the lemma.

If  $2n + 1$  is divisible by 3, but is not a power of 3, then  $2n + 1 = a_1 \cdot 3 + a_2 \cdot 3^2 + \dots + a_r \cdot 3^r$  is not just a single power of 3. Because  $2n + 1$  is odd and 3 is odd, at least one of the coefficients  $a_i$  must be odd, hence is 1, so fix  $i$  with  $a_i = 1$ , and let  $m = 2n + 1 - 3^i$  (nonzero since  $2n + 1 \neq 3^i$ ).

Let  $M^{4n} \subset \mathbb{C}P^{3^i} \times \mathbb{C}P^m$  be the submanifold dual to  $\alpha + \beta$  where  $H^*(\mathbb{C}P^{3^i}) = Z[\alpha]/(\alpha^{3^i+1} = 0)$  and  $H^*(\mathbb{C}P^m) = Z[\beta]/(\beta^{m+1} = 0)$ . The Chern class of  $M$  is  $\frac{(1+\alpha)^{3^i+1}(1+\beta)^{m+1}}{1+\alpha+\beta}$  and the  $s$ -class is

$$s_n(\varphi) = s_{2n}(c) = (3^i + 1)\alpha^{2n} + (m + 1)\beta^{2n} - (\alpha + \beta)^{2n} = -(\alpha + \beta)^{2n},$$

with  $s_n(\varphi)[M] = -(\alpha + \beta)^{2n}[M] = -(\alpha + \beta)^{2n+1}[\mathbb{C}P^{3^i} \times \mathbb{C}P^m]$ , which is the coefficient of  $\alpha^{3^i} \beta^m$  in  $-(\alpha + \beta)^{2n+1}$ . This is  $-\binom{2n+1}{3^i}$  and is nonzero mod 3. Thus  $M^{4n}$  is a 3-primary generator for  $\Omega_*^{SO}/\text{Tor}$ .

The Pontrjagin class of  $M$  is  $\varphi = \frac{(1+\alpha^2)^{3^i+1}(1+\beta^2)^{m+1}}{1+(\alpha+\beta)^2}$ , so

$$\begin{aligned} \bar{\varphi}[M] &= \bar{\varphi}[M] = \frac{1 + (\alpha + \beta)^2}{(1 + \alpha^2)^{3^i+1}(1 + \beta^2)^{m+1}}[M] \\ &= \frac{(1 + (\alpha + \beta)^2)(\alpha + \beta)}{(1 + \alpha^2)^{3^i+1}(1 + \beta^2)^{m+1}} [\mathbb{C}P^{3^i} \times \mathbb{C}P^m], \end{aligned}$$

which is the coefficient of  $\alpha^{3^i} \beta^m$  in

$$\frac{\{\alpha + \beta + \alpha^3 + 3\alpha^2\beta + 3\alpha\beta^2 + \beta^3\}}{(1 + \alpha^2)^{3^i+1}(1 + \beta^2)^{m+1}}.$$

Since  $3^i$  is odd and  $m$  is even, only terms  $\alpha^{\text{odd}}\beta^{\text{even}}$  in the numerator can contribute to  $\alpha^{3^i}\beta^m$  and this is the coefficient of  $\alpha^{3^i}\beta^m$  in

$$\begin{aligned} & \{\alpha + \alpha^3 + 3\alpha\beta^2\} \left( \sum_{j=0}^{\infty} \binom{3^i + j}{j} (-\alpha^2)^j \right) \left( \sum_{\ell=0}^{\infty} \binom{m + \ell}{\ell} (-\beta^2)^\ell \right) \\ &= (-1)^n \binom{3^i + \frac{3^i-1}{2}}{\frac{3^i-1}{2}} \binom{m + \frac{m}{2}}{\frac{m}{2}} + (-1)^{n-1} \binom{3^i + \frac{3^i-1}{2} - 1}{\frac{3^i-1}{2} - 1} \binom{m + \frac{m}{2}}{\frac{m}{2}} \\ & \quad + (-1)^{n-1} 3 \binom{3^i + \frac{3^i-1}{2}}{\frac{3^i-1}{2}} \binom{m + \frac{m}{2} - 1}{\frac{m}{2} - 1}. \end{aligned}$$

Now

$$\binom{m + \frac{m}{2}}{\frac{m}{2}} = \binom{3 \binom{\frac{m}{2}}{\frac{m}{2}}}{\binom{\frac{m}{2}}{\frac{m}{2}}} = \frac{3 \binom{\frac{m}{2}}{\frac{m}{2}}}{\binom{\frac{m}{2}}{\frac{m}{2}}} \binom{3 \binom{\frac{m}{2}}{\frac{m}{2}} - 1}{\binom{\frac{m}{2}}{\frac{m}{2}} - 1} = 3 \binom{m + \frac{m}{2} - 1}{\frac{m}{2} - 1},$$

so the first and last terms cancel, and

$$\binom{3^i + a}{a} \not\equiv 0 \pmod{3} \text{ if } a < 3^i.$$

Thus the power of 3 dividing  $\bar{\varphi}_n[M]$  is the power of 3 dividing  $\binom{m + \frac{m}{2}}{\frac{m}{2}} = \binom{3 \binom{\frac{m}{2}}{\frac{m}{2}}}{\binom{\frac{m}{2}}{\frac{m}{2}}}$  which is  $\frac{1}{2} \{ \alpha_3 \binom{\frac{m}{2}}{\frac{m}{2}} + \alpha_3(m) - \alpha_3 \binom{3m}{2} \} = \frac{1}{2} \alpha_3(m)$ , since  $\alpha_3 \binom{\frac{m}{2}}{\frac{m}{2}} = \alpha_3 \binom{3m}{2}$ . Now  $2n + 1 = m + 3^i$ , with  $3^i$  not appearing in the 3-adic expansion of  $m$ , so  $\alpha_3(2n + 1) = \alpha_3(m) + 1$  and  $\frac{1}{2} \alpha_3(m) = \frac{1}{2} \{ \alpha_3(2n + 1) - 1 \}$ , as in the lemma.

If  $n + 1$  is divisible by 2, but is not a power of 2, one may choose  $i$  for which  $2^i$  appears with coefficient 1 in the 2-adic expansion of  $n + 1$  and let  $m = n + 1 - 2^i$ . (For example,  $n + 1 = 2^i(2v + 1)$  and one may let  $m = 2^{i+1}v$ .)

Let  $M^{2n} \subset \mathbb{C}P^{2^i} \times \mathbb{C}P^m$  be the submanifold dual to  $\alpha + \beta$ . The Chern class of  $M$  is  $\frac{(1+\alpha)^{2^i+1}(1+\beta)^{m+1}}{1+\alpha+\beta}$  and the  $s$ -class is  $s_n(c) = (2^i + 1)\alpha^n + (m + 1)\beta^n - (\alpha + \beta)^n = -(\alpha + \beta)^n$ , so  $s_n(c)[M] = -(\alpha + \beta)^n[M] = -(\alpha + \beta)^{n+1}[\mathbb{C}P^{2^i} \times \mathbb{C}P^m] = -\binom{n+1}{2^i}$ , which is odd. Also

$$\begin{aligned} \bar{c}_n[M] &= \bar{c}[M] = \frac{(1 + \alpha + \beta)}{(1 + \alpha)^{2^i+1}(1 + \beta)^{m+1}}[M] \\ &= \frac{(1 + \alpha + \beta)(\alpha + \beta)}{(1 + \alpha)^{2^i+1}(1 + \beta)^{m+1}} [\mathbb{C}P^{2^i} \times \mathbb{C}P^m], \end{aligned}$$

which is the coefficient of  $\alpha^{2^i}\beta^m$  in

$$(\alpha + \beta + \alpha^2 + 2\alpha\beta + \beta^2) \left( \sum_{j=0}^{\infty} \binom{2^i + j}{j} (-\alpha)^j \right) \left( \sum_{\ell=0}^{\infty} \binom{m + \ell}{\ell} (-\beta)^\ell \right),$$

which is

$$\begin{aligned} & (-1)^n \binom{2^i + 2^i - 1}{2^i - 1} \binom{2m}{m} \\ & + (-1)^n \binom{2^i + 1}{2^i} \binom{2m - 1}{m - 1} + (-1)^{n-1} \binom{2^i + 2^i - 2}{2^i - 2} \binom{2m}{m} \\ & + (-1)^{n-1} 2 \binom{2^i + 2^i - 1}{2^i - 1} \binom{2m - 1}{m - 1} + (-1)^{n-1} \binom{2^{i+1}}{2^i} \binom{2m - 2}{m - 2} \\ & = (-1)^n \binom{2^{i+1} - 1}{2^i - 1} \binom{2m - 1}{m - 1} \\ & \quad \times \left[ \frac{2m}{m} + \frac{2^{i+1}}{2^i} - \frac{2^i - 1}{2^{i+1} - 1} \cdot \frac{2m}{m} - 2 - \frac{2^{i+1}}{2^i} \cdot \frac{m - 1}{2m - 1} \right]. \end{aligned}$$

The sum is

$$\begin{aligned} 2 + 2 - \frac{2^{i+1} - 2}{2^{i+1} - 1} - 2 - \frac{2m - 2}{2m - 1} & = 2 - \left( 1 - \frac{1}{2^{i+1} - 1} \right) - \left( 1 - \frac{1}{2m - 1} \right) \\ & = \frac{1}{2^{i+1} - 1} + \frac{1}{2m - 1} = \frac{2m + 2^{i+1} - 2}{(2^{i+1} - 1)(2m - 1)} \\ & = \frac{2(n + 1) - 2}{(2^{i+1} - 1)(2m - 1)}, \end{aligned}$$

so

$$\begin{aligned} \bar{c}_n[M] & = (-1)^n \binom{2^{i+1} - 1}{2^i - 1} \binom{2m - 1}{m - 1} 2 \frac{n}{(2^{i+1} - 1)(2m - 1)} \\ & = (-1)^n \binom{2^{i+1} - 1}{2^i - 1} \binom{2m}{m} \frac{n}{(2^{i+1} - 1)(2m - 1)}. \end{aligned}$$

Since  $\binom{2^{i+1}-1}{2^i-1}$ ,  $n$ ,  $2^{i+1} - 1$ , and  $2m - 1$  are all odd, the power of 2 dividing  $\bar{c}_n[M]$  is the power of 2 dividing  $\binom{2m}{m}$  which is  $\alpha_2(m)$ . Since  $n + 1 = m + 2^i$ ,  $\alpha_2(m) = \alpha_2(n + 1) - 1$ , as in the lemma.

For  $2n + 1 = 3^r$  with  $r > 1$ , let  $M^{4n} \subset \mathbb{C}P^{3^r}$  be the degree 3 hypersurface (submanifold dual to  $3\alpha$ ). The Chern class of  $M$  is  $\frac{(1+\alpha)^{3^r+1}}{1+3\alpha}$  so  $s_n(\wp)[M] = s_{2n}(c)[M] = \{(3^r + 1)\alpha^{2n} - (3\alpha)^{2n}\}[M] = \{(3^r + 1)\alpha^{2n} - (3\alpha)^{2n}\}3\alpha[\mathbb{C}P^{2n+1}] \equiv -3\alpha^{2n+1}[\mathbb{C}P^{2n+1}] \equiv -3 \pmod 9$ . Thus  $M$  is a 3-primary generator of  $\Omega_*^{SO}/\text{Tor}$ . The Pontrjagin class of  $M$  is  $\frac{(1+\alpha^2)^{3^r+1}}{1+(e\alpha)^2}$ , so  $\bar{\wp}_n[M]$  is the coefficient of  $\alpha^{3^r}$  in

$$\frac{1 + (3\alpha)^2}{(1 + \alpha^2)^{3^r+1}} (3\alpha) = (3\alpha + 27\alpha^3) \left( \sum_{k=0}^{\infty} \binom{3^r + k}{k} (-\alpha^2)^k \right),$$

which is

$$3 \left( 3^r + \frac{3^r-1}{2} \right) (-1)^{\frac{3^r-1}{2}} + 27 \left( 3^r + \frac{3^r-3}{2} \right) (-1)^{\frac{3^r-3}{2}} \text{ and } \left( 3^r + \frac{3^r-1}{2} \right) \not\equiv 0,$$

modulo 3, so this is divisible by 3, but not by 9. Thus the power of 3 dividing  $\bar{\varphi}_n[M]$  is 1, as in the lemma.

For  $n + 1 = 2^r$  with  $r > 1$ , let  $M^{2n} \subset \mathbb{C}P^{2^r}$  be the degree 2 hypersurface (submanifold dual to  $2\alpha$ ). The Chern class of  $M$  is  $\frac{(1+\alpha)^{2^r+1}}{1+2\alpha}$  so

$$s_n(c)[M] = \{(2^r + 1)\alpha^n - (2\alpha)^n\}[M] = \{(2^r + 1)\alpha^n - (2\alpha)^n\}(2\alpha)[\mathbb{C}P^{2n+1}],$$

and this is 2 mod 4. Thus  $M$  is a 2-primary generator of  $\Omega_*^U$ . Then  $\bar{c}_n[M]$  is the coefficient of  $\alpha^{n+1}$  in

$$\frac{1 + 2\alpha}{(1 + \alpha)^{2^r+1}} \cdot (2\alpha) = (2\alpha + 4\alpha^2) \left( \sum_{j=0}^{\infty} \binom{2^r + j}{j} (-\alpha)^j \right),$$

which is  $-2\binom{2^r+1-1}{2^r-1} + 4\binom{2^r+1-2}{2^r-2} \equiv 2 \pmod 4$  since both binomial coefficients are odd. Thus the power of 2 dividing  $\bar{c}_n[M]$  is 1, as in the lemma.

#### 4. THE GREATEST COMMON DIVISOR

The argument for finding the greatest common divisor is essentially the same in the two cases, so the proof will be given for Pontrjagin classes.

Let  $k_n = \min\{j \mid \alpha_3(2n + j) \leq 3j\}$  and

$$3^{g_n} = \gcd\{\bar{\varphi}_{n_1}[x_{4n_1}] \cdots \bar{\varphi}_{n_r}[x_{4n_r}] \mid n_1 + \cdots + n_r = n\}.$$

One has certain easy facts.

**Fact.** *The power of 3 dividing  $\bar{\varphi}_n[x_{4n}]$  is 1 if and only if  $2n + 1$  can be written as the sum of three powers of 3.*

If  $2n + 1 = 3^r$ , then  $2n + 1 = 3^{r-1} + 3^{r-1} + 3^{r-1}$ , and if  $\frac{1}{2}\{\alpha_3(2n + 1) - 1\} = 1$ , then  $\alpha_3(2n + 1) = 3$ , so  $2n + 1$  is a sum of 3 powers of 3.

**Fact.**  $\alpha_3(x) \equiv x \pmod 2$ .

**Fact.**  $\alpha_3(2n + n) \leq 3n$ , so  $k_n \leq n$ .

Obviously,  $3n = 2n + n$  is the sum of  $3n$  ones.

**Fact.** *If  $\alpha_3(2n + j) \leq 3j$ , then  $\alpha_3(2n + (j + 1)) \leq 3(j + 1)$ .*

Since  $\alpha_3(2n + (j + 1)) \leq \alpha_3(2n + j) + 1$ , this is clear.

**Fact.** *If  $\alpha_3(2n + j) \leq 3j$  and  $j \leq n$ , then  $2n + j$  can be written as the sum of  $3j$  powers of 3.*

If  $\alpha_3(2n + j) = r \leq 3j$ , then  $2n + j$  is the sum of  $r$  powers of 3. Replacing  $3^x$  by  $3^{x-1} + 3^{x-1} + 3^{x-1}$  increases the number of powers of 3 by 2, and one may write  $2n + j$  as the sum of any number of powers of 3 congruent to  $r \pmod 2$  up to a maximum of  $2n + j$  powers of 3 (all 1's). Now  $\alpha_3(2n + j) \equiv 2n + j \equiv r \equiv j \equiv 3j \pmod 2$  and  $3j \leq 2n + j$ , so  $2n + j$  can be written as a sum of  $3j$  powers of 3.

**Corollary.** *If  $\alpha_3(2n + j) \leq 3j$  with  $j \leq n$ , then  $g_n \leq j$ . Thus  $g_n \leq k_n$ .*

*Proof.* If  $\alpha_3(2n + j) \leq 3j$  and  $j \leq n$ , then  $2n + j$  is the sum of  $3j$  powers of 3. One can then write  $2n + j = (2n_1 + 1) + \dots + (2n_j + 1)$  where each  $2n_i + 1 = 3^{a_i} + 3^{b_i} + 3^{c_i}$  uses three of the powers of 3. Then  $2n = 2n_1 + \dots + 2n_j$ , and the power of 3 dividing  $\bar{\varphi}_{n_1}[x_{4n_1}] \cdots \bar{\varphi}_{n_j}[x_{4n_j}]$  is  $j$ . Thus  $g_n \leq j$ .

Now suppose that  $g_m = k_m$  for  $m < n$ , and that  $g_n$  is the power of 3 dividing  $\bar{\varphi}_{n_1}[x_{4n_1}] \cdots \bar{\varphi}_{n_r}[x_{4n_r}]$  with  $2n = 2n_1 + \dots + 2n_r$ .

If  $r = 1$ , then the power of 3 dividing  $\bar{\varphi}_n[x_{4n}]$  is  $g_n$ . Then either  $g_n = 1$  ( $2n + 1 = 3^j$ ) so  $\alpha_3(2n + 1) = 1 \leq 3 \cdot 1$  or  $g_n = \frac{1}{2}\{\alpha_3(2n + 1) - 1\}$  giving  $\alpha_3(2n + 1) = 1 + 2g_n$  so  $\alpha_3(2n + g_n) = \alpha_3(2n + 1 + (g_n - 1)) \leq \alpha_3(2n + 1) + (g_n - 1) = 3g_n$ . Thus  $\alpha_3(2n + g_n) \leq 3g_n$  and  $k_n \leq g_n$ .

If  $r > 1$  and  $g_n$  is the power of 3 dividing  $\bar{\varphi}_{n_1}[x_{4n_1}] \cdots \bar{\varphi}_{n_r}[x_{4n_r}]$ , then the power of 3 dividing each  $\bar{\varphi}_{n_i}[x_{4n_i}]$  must be  $g_{n_i}$  (otherwise one can replace  $x_{4n_i}$  by a decomposable class to decrease the power of 3), and so  $g_{n_i} = k_{n_i}$  inductively and  $g_n = g_{n_1} + \dots + g_{n_r}$ . Thus  $\alpha_3(2n_i + g_{n_i}) \leq 3g_{n_i}$  and  $2n + g_n = (2n_1 + g_{n_1}) + \dots + (2n_r + g_{n_r})$ , so

$$\alpha_3(2n + g_n) \leq \alpha_3(2n_1 + g_{n_1}) + \dots + \alpha_3(2n_r + g_{n_r}) \leq 3g_{n_1} + \dots + 3g_{n_r} = 3g_n.$$

Thus  $k_n \leq g_n$ .

Since  $k_n \leq g_n$  and  $g_n \leq k_n$ , one obtains  $g_n = k_n$  completing the induction and establishing the proposition.

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