

## DIVISION ALGEBRAS OVER $C_2$ - AND $C_3$ -FIELDS

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ABSTRACT. Using elementary methods we prove a theorem of Rost, Serre, and Tignol that any division algebra of degree 4 over a  $C_3$ -field containing  $\sqrt{-1}$  is cyclic. Our methods also show any division algebra of degree 8 over a  $C_2$ -field containing  $\sqrt[4]{-1}$  is cyclic.

### INTRODUCTION

Throughout the discussion  $A$  will always denote a central simple algebra over a field  $F$  which is fixed. We write  $D$  for the underlying division algebra. We want to study the structure of  $A$  in terms of the assumption that  $F$  is a  $C_m$ -field for some  $m$ ; we recall that this means every form of degree  $d$  in  $n > d^m$  variables has a nontrivial zero.  $C_m$ -fields were discovered by Tsen [9] and rediscovered by Lang [6]. Greenberg [5] contains an excellent treatment. The Tsen-Lang theorem shows the Brauer group over a  $C_1$ -field is trivial. Examples of  $C_m$ -fields include all fields of transcendence degree  $m$  over an algebraically closed field. More generally, by [5, Theorem 3.6], if  $F$  is a  $C_m$ -field and  $K/F$  is a field extension of transcendence degree  $t$ , then  $K$  is a  $C_{m+t}$ -field.

Since the general structure theory [2] shows  $A$  is a tensor product of algebras of prime power index, one may assume  $\text{index}(A)$  is a power of a prime number  $p$ . The motivation of this research was the following question which I heard from Zinovy Reichstein:

**Question A.** Over the purely transcendental field  $F = \mathbb{C}(x, y)$  or  $F = \mathbb{C}(x, y, z)$ , is the tensor product of two cyclic algebras of index  $p$  necessarily cyclic? As Reichstein pointed out, this is true for  $p = 2$  over arbitrary  $C_2$ -fields containing  $\sqrt{-1}$ , seen by solving the equations

$$\text{tr}(a) = \text{tr}(a^2) = \text{tr}(a^3) = 0.$$

This is the first question one would ask in trying to find a noncyclic algebra over a field of low transcendence degree over an algebraically closed field. Note that over the transcendental field extension  $\mathbb{C}(\lambda_1, \lambda_2, \lambda_3, \lambda_4)$  of  $\mathbb{C}$  one has the noncyclic

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algebra which is the tensor product of two symbol algebras  $(\lambda_1, \lambda_2) \otimes (\lambda_3, \lambda_4)$ , so if we want a cyclicity theorem we must study  $C_m$ -fields for  $m \leq 3$ .

Note that Albert [1] has produced a noncyclic division algebra of degree 4 and exponent 2 over  $F = \mathbb{R}(x, y)$ ; any such algebra must be the tensor product of two quaternion algebras.

We prove cyclicity of all division algebras of degree 4 over  $C_3$ -fields containing  $\sqrt{-1}$ , and of division algebras of degree 8 over  $C_2$ -fields containing  $\sqrt[4]{-1}$ . The former result follows as an immediate consequence of a lovely new theorem of Rost-Serre-Tignol [7, Theorem 1], but our proof is elementary and direct, yielding an explicit element whose fourth power is central, even without the presence of  $\sqrt{-1}$ . For the reader's convenience, the statement of the Rost-Serre-Tignol Theorem is:

Let  $E$  be a central simple  $F$ -algebra of degree 4, with  $-1 \in F^{\times 2}$ . Let  $n_Q$  be the norm form of the quaternion algebra  $Q$  Brauer-equivalent to  $E \otimes_F E$ . The trace form  $q_E : E \rightarrow F$ , defined by  $q_E(x) = \text{Tr}_E(x^2)$ , satisfies the following equation in the Witt ring  $W F$ :

$$q_E = n_Q + q_4$$

for some (uniquely determined) 4-fold Pfister form  $q_4$ . The form  $q_4$  is hyperbolic if and only if  $E$  is cyclic, i.e.  $E$  is split by some Galois  $(\mathbb{Z}/4\mathbb{Z})$ -algebra over  $F$ .

#### §1. DIVISION ALGEBRAS OF DEGREE 4 OVER $C_3$ -FIELDS

**Theorem 1.1.** *Over a  $C_3$ -field of characteristic  $\neq 2$ , if  $\deg(D) = 4$ , then there is an element  $c$  such that  $c^4 \in F$  but  $c^2 \notin F$ . In particular if  $\sqrt{-1} \in F$ , then  $D$  is cyclic.*

*Proof.* Take an element  $a$  such that  $a^2 \in F$  but  $a \notin F$ . (We know that  $D$  contains such elements by [2, Theorem 11.9].) Now let  $V = \{b : ab = -ba\}$ , easily seen to be a subspace of dimension  $\frac{4^2}{2} = 8$ . (One way of seeing this is to note that  $V \supseteq \{ad - da : d \in D\}$ .) Let  $V' = V + Fa$ , which has dimension 9. For any  $c = b + \gamma a \in V'$ , we have

$$c^2 = b^2 + \gamma^2 a^2 + b\gamma a + \gamma ab = b^2 + \gamma^2 a^2.$$

Recalling  $a^2 \in F$ , we see that  $c^2 \in F[b^2]$ . But  $F[b^2] \subset F[b]$  for  $b \neq 0$ , since  $b \notin F[b^2]$  (because  $b^2$  commutes with  $a$ ), so  $c^2$  is quadratic over  $F$ . The quadratic form given by  $\text{tr}(c^2) = 0$  has a nontrivial solution over a  $C_3$ -field since  $\dim V' = 9 > 2^3 = 8$ . But such  $c$  satisfies

$$c^4 = (c^2)^2 \in F$$

although  $c^2 \notin F$ . □

*Remark.* If  $\sqrt{-1} \in F$ , then any such  $c$  of Theorem 1.1 can be recovered via the proof. Indeed, take  $c'$  such that  $c'c = \sqrt{-1}cc'$ , and let  $a = (c')^2$ . Then  $ac = -ca$ , so we could take  $b = c$  and  $\gamma = 0$ .

*Remark 1.2.* One can refine this result by means of the Amitsur-Saltman construction [3] of an arbitrary central simple algebra of degree 4 in terms of a maximal subfield  $K$  Galois over the center  $F$ . We can write  $K = F(a_1, a_2)$  where  $a_i^2 \in F$ , and one has  $z_i \in K$  such that  $z_i a_i z_i^{-1} = -a_i$  and  $z_i a_{2-i} = a_{2-i} z_i$ , for  $i = 1, 2$ .

Suppose  $F$  has a  $C_2$ -subfield  $F_0$  such that  $a_i^2 \in F_0$  and  $z_1^2 \in F_0(a_1, a_2)$ . Then letting  $K_0 = F_0(a_1, a_2)$  and

$$V'' = F_0a_1 + K_0z_1,$$

which has dimension 5 over  $F_0$ , one sees  $V''$  has an element  $v \neq 0$  such that  $\text{tr } v^2 = 0$ . But  $v^2 \in F_0(a_2)$ . (Indeed, for any  $k \in K_0$  one has  $(kz_1)^2 \in K_0$  is fixed under conjugation by  $z_1$ , so is in  $F_0(a_2)$ .) Consequently

$$v^2 \in F_0a_2$$

and  $v^4 \in F_0$ . The more precise form for this  $v$  will be used in later work.

§2. DIVISION ALGEBRAS OF DEGREE 4 OVER  $C_3$ -FIELDS

Along the same lines, we can study division algebras of degree 8 over  $C_2$ -fields. Artin-Harris and Artin-Tate [4, Theorem 6.2 and Appendix] proved over a  $C_2$ -field that  $\text{index}(A) = \text{exp}(A)$  for any central simple algebra  $A$  such that  $\text{index}(A)$  is a power of 2 or 3. Since their theorem was proved before the major structure theorems of Merkurjev and Suslin, their statement was somewhat weaker, but examining [4, Theorem 6.2, Step I, and Appendix] one can piece together the components of their arguments for a straightforward proof over an arbitrary  $C_2$ -field  $F$ . Let us sketch this proof for  $\text{index}(A)$  a power of 2:

(1) The tensor product of  $m$  quaternion algebras over  $F$  has index 2. Indeed, this is true for  $m = 2$  by a degree counting argument which shows that any two quaternion algebras over a  $C_2$ -field have a common (quadratic) subfield; the assertion for arbitrary  $m$  follows by induction.

(2) The case  $\text{exp}(A) = 2$  follows by (1) by Merkurjev's theorem that every algebra of exponent 2 is similar to a tensor product of quaternion algebras. Note that for  $\text{index}(A) \leq 8$ , one could substitute the much more basic theorems of Albert and Tignol [8].

(3) The case of arbitrary exponent  $2^t$  is achieved by applying induction to  $A \otimes A$ , which has exponent  $2^{t-1}$  and therefore has a splitting field  $L$  of dimension  $2^{t-1}$ . Then  $A \otimes L$  has exponent 2, so one concludes with (2) and Albert's index reduction formula [2, Theorem 4.20].

**Theorem 2.1.** *Suppose  $F$  is a  $C_2$ -field of characteristic  $\neq 2$  containing  $\sqrt{-1}$ . If  $\text{deg}(D) = 8$ , then  $D$  contains an element  $c$  such that  $c^8 \in F$  but  $c^4 \notin F$ . In particular if  $\sqrt[4]{-1} \in F$ , then  $D$  is cyclic.*

*Proof.* Since  $\text{exp}(D) = \text{index}(D) = 8$ , we have  $\text{index } D^{\otimes 2} = 4$ . Hence, by Theorem 1.1 there is an element  $a \in D^{\otimes 2}$  such that  $a^4 \in F$  but  $a^2 \notin F$ . Hence  $L = F[a]$  splits  $D^{\otimes 2}$ , so  $D \otimes_F L$  has exponent 2, and thus is a quaternion algebra. By Albert's index reduction formula [2, Theorem 4.20],  $L$  is isomorphic to a subfield of  $D$ . Thus we may assume  $a \in D$ . Now, writing  $i = \sqrt{-1}$ , let  $V = \{b : ab = iba\}$ , easily seen to be a subspace of dimension  $\frac{8^2}{4} = 16$ . Let  $V' = V + Fa$ , which has dimension 17. For any  $c = b + \gamma a \in V'$ , we have

$$c^2 = b^2 + \gamma^2 a^2 + b\gamma a + \gamma ab = b^2 + \gamma^2 a^2 + (1 + i)\gamma ba,$$

so

$$c^4 = (c^2)^2 = b^4 + \gamma^4 a^4 + (1 + i)^2 \gamma^2 (ba)^2 + 2\gamma^2 a^2 b^2 = b^4 + \gamma^4 a^4.$$

Since  $a^4 \in F$  we see that  $c^4 \in F[b^4]$ . But  $F[b^4] \subset F[b^2] \subset F[b]$  when  $b \neq 0$ , so  $c^4$  is quadratic over  $F$ . The quartic form given by  $\text{tr}(c^4) = 0$  has a nontrivial solution over a  $C_2$ -field since  $\dim V' = 17 > 2^4 = 16$ . But such  $c$  satisfies

$$c^8 = (c^4)^2 \in F$$

although  $c^4 \notin F$ . □

*Remark 2.2.* If  $\sqrt[4]{-1} \in F$ , then any such  $c$  of Theorem 2.1 can be recovered via the proof. Indeed, take  $c'$  such that  $c'c = \sqrt[4]{-1}cc'$ , and let  $a = (c')^2$ . Then  $ac = ica$ , so we could take  $b = c$  and  $\gamma = 0$ .

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