

BASIC CHARACTERS OF THE UNITRIANGULAR GROUP (FOR ARBITRARY PRIMES)

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(Communicated by Stephen D. Smith)

ABSTRACT. Let $U_n(q)$ denote the (upper) unitriangular group of degree n over the finite field \mathbb{F}_q with q elements. In this paper we consider the basic (complex) characters of $U_n(q)$ and we prove that every irreducible (complex) character of $U_n(q)$ is a constituent of a unique basic character. This result extends a previous result which was proved by the author under the assumption $p \geq n$, where p is the characteristic of the field \mathbb{F}_q .

Let p be a prime number, let $q = p^e$ ($e \geq 1$) be a power of p and let \mathbb{F}_q denote the finite field with q elements. Throughout this paper, $U_n(q)$ will denote the unitriangular group of degree n over \mathbb{F}_q . This group consists of all unipotent uppertriangular $n \times n$ matrices with coefficients in \mathbb{F}_q . We clearly have

$$U_n(q) = 1 + \mathfrak{u}_n(q) = \{1 + a : a \in \mathfrak{u}_n(q)\}$$

where $\mathfrak{u}_n(q)$ is the \mathbb{F}_q -space consisting of all nilpotent uppertriangular $n \times n$ matrices over \mathbb{F}_q . Since $\mathfrak{u}_n(q)$ is the Jacobson radical of the finite dimensional \mathbb{F}_q -algebra $\mathbb{F}_q \cdot 1 + \mathfrak{u}_n(q)$, the p -group $U_n(q)$ is an \mathbb{F}_q -algebra group (in the sense of [10]; see also [8]). Moreover, let $\mathfrak{u}_n(q)^*$ denote the dual \mathbb{F}_q -space of $\mathfrak{u}_n(q)$.

For simplicity, we write $\Phi(n) = \{(i, j) : 1 \leq i < j \leq n\}$ and we refer to an element of $\Phi(n)$ as a *root* (this abbreviates the standard expression “positive root”). For any root $(i, j) \in \Phi(n)$, let e_{ij} be the (i, j) -th root vector of $\mathfrak{u}_n(q)$; by definition, $e_{ij} \in \mathfrak{u}_n(q)$ is the $n \times n$ matrix $e_{ij} = (\delta_{ai}\delta_{bj})_{1 \leq a, b \leq n}$ where δ denotes the usual Kronecker symbol. Then $(e_{ij} : (i, j) \in \Phi(n))$ is an \mathbb{F}_q -basis of $\mathfrak{u}_n(q)$. On the other hand, for each root $(i, j) \in \Phi(n)$, let $e_{ij}^* \in \mathfrak{u}_n(q)^*$ be defined by $e_{ij}^*(a) = a_{ij}$ for all $a \in \mathfrak{u}_n(q)$ (for an arbitrary matrix x , we will denote by x_{ij} the (i, j) -th coefficient of x). Then $(e_{ij}^* : (i, j) \in \Phi(n))$ is an \mathbb{F}_q -basis of $\mathfrak{u}_n(q)^*$, dual to the basis $(e_{ij} : (i, j) \in \Phi(n))$ of $\mathfrak{u}_n(q)$.

Let $\psi : \mathbb{F}_q^+ \rightarrow \mathbb{C}$ be an arbitrary non-trivial (complex) character of the additive group \mathbb{F}_q^+ of the field \mathbb{F}_q (this character will be kept fixed throughout the paper). For any element $f \in \mathfrak{u}_n(q)^*$, let $\psi_f : \mathfrak{u}_n(q) \rightarrow \mathbb{C}$ be the function defined by $\psi_f(a) = \psi(f(a))$ for all $a \in \mathfrak{u}_n(q)$; it is clear that this function is a (linear) character of the additive group $\mathfrak{u}_n(q)^+$ of $\mathfrak{u}_n(q)$ and that the mapping $f \mapsto \psi_f$ defines a one-to-one

Received by the editors July 18, 2000 and, in revised form, February 5, 2001.

2000 *Mathematics Subject Classification*. Primary 20C15; Secondary 20G40.

Key words and phrases. Unitriangular group, irreducible character, basic character, coadjoint orbit, basic subvariety.

correspondence between $\mathfrak{u}_n(q)^*$ and the set of all irreducible characters of $\mathfrak{u}_n(q)^+$. (Throughout the article, all characters are taken over the complex field.)

The group $U_n(q)$ acts on $\mathfrak{u}_n(q)^*$ via the *coadjoint representation*; by definition, for any $x \in U_n(q)$ and any $f \in \mathfrak{u}_n(q)^*$, the (linear) map $x \cdot f \in \mathfrak{u}_n(q)^*$ is defined by $(x \cdot f)(a) = f(x^{-1}ax)$ for all $a \in \mathfrak{u}_n(q)$. Let $\Omega_n(q)$ denote the set of all $U_n(q)$ -orbits of $\mathfrak{u}_n(q)^*$ and let $\mathcal{O} \in \Omega_n(q)$ be arbitrary. We claim that the cardinality $|\mathcal{O}|$ of \mathcal{O} is a power of q^2 . To see this, we consider an arbitrary finite dimensional \mathbb{F}_q -algebra A (with an identity element), we let $J = J(A)$ be the Jacobson radical of A and we consider the \mathbb{F}_q -algebra group $G = 1 + J$ which is associated with J (see [10]; see also [8]). Moreover, let $J^* = \text{hom}_{\mathbb{F}_q}(J, \mathbb{F}_q)$ be the dual \mathbb{F}_q -space of J and, for any $f \in J^*$, let $\psi_f: J \rightarrow \mathbb{C}$ be the map defined by $\psi_f(a) = \psi(f(a))$ for all $a \in J$. As in the case where $G = U_n(q)$, the \mathbb{F}_q -algebra group $G = 1 + J$ acts on J^* via the *coadjoint representation*: $(x \cdot f)(a) = f(x^{-1}ax)$ for all $x \in G$, all $f \in J^*$ and all $a \in J$. Let $f \in J^*$ be arbitrary and define $B_f: J \times J \rightarrow \mathbb{F}_q$ by $B_f(a, b) = f([a, b])$ for all $a, b \in J$ (here $[\cdot, \cdot]$ denotes the standard Lie bracket operation). Then B_f is a skew-symmetric \mathbb{F}_q -bilinear form. Let

$$R_f = \{a \in J: f([a, b]) = 0 \text{ for all } b \in J\}$$

be the radical of B_f . Then $|J : R_f| = q^m$ where $m = \dim J - \dim R_f$ is even. We have the following result (see [5, Proposition 2.1]).

Lemma 1. *Let $f \in J^*$ be arbitrary and let $C_G(f)$ be the centralizer of f in G . Then $C_G(f) = 1 + R_f$ (hence, R_f is a multiplicatively closed \mathbb{F}_q -subspace of J). In particular, if $\mathcal{O} \subseteq J^*$ is the G -orbit which contains f , then $|\mathcal{O}| = |J : R_f|$ is a power of q^2 .*

Proof. Let $x \in G$ be arbitrary. Then $x \in C_G(f)$ if and only if $f(x^{-1}bx) = f(b)$ for all $b \in J$. Hence $x \in C_G(f)$ if and only if $f(bx) = f(xb)$ for all $b \in J$. Let $a = x - 1 \in J$. Then it is clear that $f(xb) - f(bx) = f([a, b])$ for all $b \in J$, hence $x \in C_G(f)$ if and only if $a \in R_f$. Thus, $C_G(f) = 1 + R_f$ and so $|\mathcal{O}| = |G : C_G(f)| = |J : R_f|$ is a power of q^2 . \square

With the notation as above, let $\mathcal{O} \subseteq J^*$ be an arbitrary G -orbit and let $\phi_{\mathcal{O}}: G \rightarrow \mathbb{C}$ be the function defined by

$$(1) \quad \phi_{\mathcal{O}}(1 + a) = \frac{1}{\sqrt{|\mathcal{O}|}} \sum_{f \in \mathcal{O}} \psi_f(a)$$

for all $a \in J$. It is clear that $\phi_{\mathcal{O}}$ is a class function of G and that $\phi_{\mathcal{O}}(1) = \sqrt{|\mathcal{O}|}$. We have the following result (see [5, Proposition 2.2]).

Proposition 1. *Let $\Omega(G)$ be the set of all G -orbits on J^* . Then $\{\phi_{\mathcal{O}}: \mathcal{O} \in \Omega(G)\}$ is an orthonormal basis for the \mathbb{C} -space $\text{cf}(G)$ consisting of all class functions on G . In particular, we have $\langle \phi_{\mathcal{O}}, \phi_{\mathcal{O}'} \rangle_G = \delta_{\mathcal{O}, \mathcal{O}'}$ for all $\mathcal{O}, \mathcal{O}' \in \Omega_n(q)$. (For any finite group G , we will denote by $\langle \cdot, \cdot \rangle_G$ the Frobenius scalar product between class functions defined on G .)*

Proof. Let $\mathcal{O}, \mathcal{O}' \in \Omega(G)$ be arbitrary. Then, since $|G| = |J|$, we easily deduce that

$$\langle \phi_{\mathcal{O}}, \phi_{\mathcal{O}'} \rangle_G = \frac{1}{\sqrt{|\mathcal{O}|}\sqrt{|\mathcal{O}'|}} \sum_{f \in \mathcal{O}} \sum_{f' \in \mathcal{O}'} \langle \psi_f, \psi_{f'} \rangle_{J^+}$$

where J^+ denotes the (abelian) additive group of J . Now, the mapping $f \mapsto \psi_f$ defines a one-to-one correspondence between J^* and the set of all irreducible

characters of J^+ . Therefore, we obtain $\langle \phi_{\mathcal{O}}, \phi_{\mathcal{O}'} \rangle_G = \delta_{\mathcal{O}, \mathcal{O}'}$ as required. To conclude the proof, we claim that $|\Omega(G)|$ equals the class number k_G of G (we recall that $k_G = \dim_{\mathbb{C}} \text{cf}(G)$; see, for example, [9, Corollary 2.7 and Theorem 2.8]). First, we observe that k_G is the number of G -orbits on J for the *adjoint action*: $x \cdot a = xax^{-1}$ for all $x \in G$ and all $a \in J$. Let θ be the permutation character of G on J (see [9] for the definition). Then, by [9, Corollary 5.15], $k_G = \langle \theta, 1_G \rangle_G$. Moreover, by definition, we have $\theta(x) = |\{a \in J : x \cdot a = a\}|$ for all $x \in G$. On the other hand, let $\text{Irr}(J^+)$ denote the set consisting of all irreducible characters of J^+ and consider the action of G on $\text{Irr}(J^+)$ given by $x \cdot \psi_f = \psi_{x \cdot f}$ for all $x \in G$ and all $f \in J^*$. We clearly have $(x \cdot \psi_f)(x \cdot a) = \psi_f(a)$ for all $x \in G$, all $f \in J^*$ and all $a \in J$. It follows from Brauer's Theorem (see [9, Theorem 6.32]) that $\theta(x) = |\{f \in J^* : x \cdot \psi_f = \psi_f\}|$ for all $x \in G$. Therefore, θ is also the permutation character of G on $\text{Irr}(J^+)$ and so $\langle \theta, 1_G \rangle_G = |\Omega(G)|$. The claim follows and the proof is complete. \square

In general, the class functions $\phi_{\mathcal{O}}$, for $\mathcal{O} \in \Omega(G)$, are not characters (see [11]). However, in the case where $G = U_n(q)$, there are some (important) examples where they are, in fact, (irreducible) characters of $U_n(q)$. A particular (and very special) family consists of the *elementary characters* of $U_n(q)$ which are defined as follows (see [1] for an equivalent definition in the case where $p \geq n$). Let $(i, j) \in \Phi(n)$ be any root and let $\alpha \in \mathbb{F}_q$ be any non-zero element. (Throughout the paper, we will denote by $\mathbb{F}_q^\#$ the subset of \mathbb{F}_q consisting of all non-zero elements.) Let $\mathcal{O}_{ij}(\alpha) \in \Omega_n(q)$ be the $U_n(q)$ -orbit which contains the element $\alpha e_{ij}^* \in \mathfrak{u}_n(q)^*$ and let $\xi_{ij}(\alpha)$ denote the class function $\phi_{\mathcal{O}_{ij}(\alpha)}$ which corresponds to $\mathcal{O}_{ij}(\alpha)$. We shall see that this class function is, in fact, a character (hence, an irreducible character) of $U_n(q)$ and this will follow once we prove that $\xi_{ij}(\alpha)$ is induced from a character (in fact, from a linear character) of a certain subgroup of $U_n(q)$. We start by proving an auxiliary general result (see Proposition 2 below).

Let $A, J = J(A)$ and $G = 1 + J$ be as before. Let H be a subgroup of G and suppose that there exists an \mathbb{F}_q -subspace U of J such that $H = 1 + U$; following [10], we refer to such a subgroup as an *algebra subgroup* of G . Then U is multiplicatively closed (because H is a subgroup) and, in fact, U is the Jacobson radical of the \mathbb{F}_q -algebra $\mathbb{F}_q \cdot 1 + U$. Thus, H is an \mathbb{F}_q -algebra group and so the set $\Omega(H)$ of coadjoint H -orbits and the class functions $\phi_{\mathcal{O}_0}$, for $\mathcal{O}_0 \in \Omega(H)$, are defined as in the case of G . Let $\pi: J^* \rightarrow U^*$ be the natural projection; by definition, for any $f \in J^*$, $\pi(f) \in U^*$ is the restriction of f to U . Then, for each $\mathcal{O} \in \Omega(G)$, the image $\pi(\mathcal{O}) \subseteq U^*$ is clearly H -invariant, hence it is a disjoint union of H -orbits; we will denote by $\Omega_{\mathcal{O}}(H)$ the set of all $\mathcal{O}_0 \in \Omega(H)$ such that $\mathcal{O}_0 \subseteq \pi(\mathcal{O})$. We have the following result (a more detailed discussion can be found in the expository paper [5]).

Proposition 2. *Let G be an arbitrary (finite) \mathbb{F}_q -algebra group and let H be an algebra subgroup of G . Let $\mathcal{O} \in \Omega(G)$ and let ϕ denote the class function $\phi_{\mathcal{O}} \in \text{cf}(G)$. Then*

$$(2) \quad \phi_H = \sum_{\mathcal{O}_0 \in \Omega_{\mathcal{O}}(H)} n_{\mathcal{O}_0} \phi_{\mathcal{O}_0}$$

where, for each $\mathcal{O}_0 \in \Omega_{\mathcal{O}}(H)$, the multiplicity $n_{\mathcal{O}_0} = \langle \phi_H, \phi_{\mathcal{O}_0} \rangle_H$ is a positive integer.

Proof. By Proposition 1, we know that ϕ_H is a \mathbb{C} -linear combination of the class functions $\phi_{\mathcal{O}_0}$ for $\mathcal{O}_0 \in \Omega(H)$. Let $\mathcal{O}_0 \in \Omega(H)$ be arbitrary and let $\phi_0 = \phi_{\mathcal{O}_0}$. Then

from the definitions it is easy to deduce that

$$\begin{aligned} \langle \phi_H, \phi_0 \rangle_H &= \frac{1}{\sqrt{|\mathcal{O}|}\sqrt{|\mathcal{O}_0|}} \sum_{f \in \mathcal{O}} \sum_{f_0 \in \mathcal{O}_0} \langle \psi_{\pi(f)}, \psi_{f_0} \rangle_{U^+} \\ &= \frac{1}{\sqrt{|\mathcal{O}|}\sqrt{|\mathcal{O}_0|}} \sum_{f_0 \in \mathcal{O}_0} |\mathcal{O} \cap \pi^{-1}(f_0)| \end{aligned}$$

where U, J and $\pi: J^* \rightarrow U^*$ are as above. Let $f_0 \in \mathcal{O}_0$ be arbitrary. Then, since $\pi^{-1}(x \cdot f_0) = x \cdot \pi^{-1}(f_0)$ for all $x \in H$, we conclude that

$$(3) \quad \langle \phi_H, \phi_0 \rangle_H = \frac{\sqrt{|\mathcal{O}_0|} |\mathcal{O} \cap \pi^{-1}(f_0)|}{\sqrt{|\mathcal{O}|}}.$$

It follows that $\langle \phi_H, \phi_0 \rangle_H \neq 0$ if and only if $f_0 \in \pi(\mathcal{O})$ and, since $\pi(\mathcal{O}) \subseteq U^*$ is H -invariant, this is equivalent to saying that $\mathcal{O}_0 \subseteq \pi(\mathcal{O})$. Therefore, we obtain the linear combination (2) with non-zero rational coefficients. In order to prove that these coefficients are integers, we proceed by induction on $|G : H|$.

First, let us assume that $|G : H| = q$ (we note that $|G : H| = |J : U|$ is always a power of q). In this case, U is a maximal \mathbb{F}_q -subspace of J (in fact, $\dim U = \dim J - 1$) and we have $J^2 \subseteq U$ (otherwise, $U + J^2 = J$ and this implies that $U = J$; see [10, Lemma 3.1]). It follows that U is an ideal of J and so $H = 1 + U$ is a normal subgroup of G . Therefore, all the H -orbits in $\Omega_{\mathcal{O}}(H)$ have equal cardinality and, since $|G : H| = q$, we must have $|\Omega_{\mathcal{O}}(H)| \leq q$. Moreover, G acts transitively on $\pi(\mathcal{O})$ and so, given any $f_0 \in \pi(\mathcal{O})$, we conclude that

$$|\mathcal{O} \cap \pi^{-1}(x \cdot f_0)| = |\mathcal{O} \cap \pi^{-1}(f_0)|$$

for all $x \in G$ (because $\pi^{-1}(x \cdot f_0) = x \cdot \pi^{-1}(f_0)$ for all $x \in G$). Let $\mathcal{O}_0 \in \Omega_{\mathcal{O}}(H)$ and $f_0 \in \mathcal{O}_0$ be arbitrary. Then, by (2) and (3), we deduce that $\sqrt{|\mathcal{O}|}\phi(1) = |\Omega_{\mathcal{O}}(H)| |\mathcal{O} \cap \pi^{-1}(f_0)| \sqrt{|\mathcal{O}_0|} \phi_{\mathcal{O}_0}(1)$ and so

$$|\mathcal{O}| = |\Omega_{\mathcal{O}}(H)| |\mathcal{O} \cap \pi^{-1}(f_0)| |\mathcal{O}_0|$$

(because $\phi(1) = \sqrt{|\mathcal{O}|}$ and $\phi_{\mathcal{O}_0}(1) = \sqrt{|\mathcal{O}_0|}$). Since $|\mathcal{O}|$ and $|\mathcal{O}_0|$ are powers of q^2 and since $|\mathcal{O} \cap \pi^{-1}(f_0)| \leq q$, we conclude that either $|\Omega_{\mathcal{O}}(H)| = |\mathcal{O} \cap \pi^{-1}(f_0)| = 1$ (hence, $|\mathcal{O}| = |\mathcal{O}_0|$) or $|\Omega_{\mathcal{O}}(H)| = |\mathcal{O} \cap \pi^{-1}(f_0)| = q$ (hence, $|\mathcal{O}| = q^2 |\mathcal{O}_0|$). In both cases, (3) implies that $\langle \phi_H, \phi_{\mathcal{O}_0} \rangle_H = 1$ and this completes the proof in the case where $|G : H| = q$.

Now, assume that $|G : H| > q$ and let V be an \mathbb{F}_q -subspace of J containing U and such that $\dim J = \dim V + 1$. Then we have $J^2 \subseteq V$ (by [10, Lemma 3.1]) and so V is multiplicatively closed. Therefore, $K = 1 + V$ is an algebra subgroup of G and $|G : K| = |J : V| = q$. By the first step of the induction, we know that $\phi_K = \phi_{\mathcal{O}_1} + \dots + \phi_{\mathcal{O}_k}$ where either $k = 1$ or $k = q$, and where $\mathcal{O}_1, \dots, \mathcal{O}_k$ are all the distinct K -orbits in $\Omega_{\mathcal{O}}(K)$. Let $\mathcal{O}_0 \in \Omega_{\mathcal{O}}(H)$ be arbitrary and let $\phi_0 = \phi_{\mathcal{O}_0}$. Then $\langle \phi_H, \phi_0 \rangle_H = \langle (\phi_{\mathcal{O}_1})_H, \phi_0 \rangle_H + \dots + \langle (\phi_{\mathcal{O}_k})_H, \phi_0 \rangle_H$ and the result follows immediately (by induction, because $|K : H| = q^{-1}|G : H| < |G : H|$). \square

Now, we can prove the following result. (A different approach, using Clifford's theory (see, for example, [7, Theorems 11.5 and 11.8]), can be found in the paper [12]).

Lemma 2. *Let $(i, j) \in \Phi(n)$ and let $\alpha \in \mathbb{F}_q^\#$. Then the class function $\xi_{ij}(\alpha)$ is an irreducible character of $U_n(q)$. Moreover, let $U_{ij}(q)$ be the subgroup of $U_n(q)$*

consisting of all matrices $x \in U_n(q)$ which satisfy $x_{ik} = 0$ for all $i < k < j$, and let $\lambda_{ij}(\alpha) : U_{ij}(q) \rightarrow \mathbb{C}$ be the function defined by $\lambda_{ij}(\alpha)(x) = \psi(\alpha x_{ij})$ for all $x \in U_{ij}(q)$. Then $\lambda_{ij}(\alpha)$ is a linear character of $U_{ij}(q)$ and $\xi_{ij}(\alpha) = \lambda_{ij}(\alpha)^{U_n(q)}$ is induced by this linear character.

Proof. By Proposition 1, we know that $\langle \xi_{ij}(\alpha), \xi_{ij}(\alpha) \rangle_{U_n(q)} = 1$. Therefore, to prove that $\xi_{ij}(\alpha)$ is an irreducible character of $U_n(q)$ it is enough to show that it is, in fact, a character (we note that $\xi_{ij}(\alpha)(1) = \sqrt{|\mathcal{O}_{ij}(\alpha)|}$ is a positive integer) and this will follow by the second part of the lemma. The first assertion is clear: since ψ is a linear character of \mathbb{F}_q^+ , the function $\lambda_{ij}(\alpha) : U_{ij}(q) \rightarrow \mathbb{C}^\#$ is a homomorphism of (multiplicative) groups. For the second assertion, by Proposition 1, we know that $\lambda_{ij}(\alpha)^{U_n(q)}$ is a \mathbb{C} -linear combination of the class functions $\phi_{\mathcal{O}}$ for $\mathcal{O} \in \Omega_n(q)$; and, by Proposition 2 and Frobenius reciprocity, we know that the coefficients of this linear combination are non-negative integers. Now, let $\mathfrak{u}_{ij}(q)$ be the \mathbb{F}_q -subspace of $\mathfrak{u}_n(q)$ consisting of all matrices $x - 1$ with $x \in U_{ij}(q)$ (hence, $U_{ij}(q) = 1 + \mathfrak{u}_{ij}(q)$), let $f = \alpha e_{ij}^* \in \mathfrak{u}_n(q)^*$ and let $f_0 \in \mathfrak{u}_{ij}(q)^*$ be the restriction of f to $\mathfrak{u}_{ij}(q)$. Then, since $f(ab) = 0$ for all $a, b \in \mathfrak{u}_{ij}(q)$, $\{f_0\}$ is a single $U_{ij}(q)$ -orbit on $\mathfrak{u}_{ij}(q)^*$. Moreover, by definition, $\lambda_{ij}(\alpha)$ is the class function which corresponds to this $U_{ij}(q)$ -orbit (in the sense of (1)). Since $f \in \mathcal{O}_{ij}(q)$ and since $\xi_{ij}(\alpha) = \phi_{\mathcal{O}_{ij}(\alpha)}$, we conclude that

$$\langle \lambda_{ij}(\alpha)^{U_n(q)}, \xi_{ij}(\alpha) \rangle_{U_n(q)} = \langle \lambda_{ij}(\alpha), \xi_{ij}(\alpha) \rangle_{U_{ij}(q)} \neq 0$$

(by Frobenius reciprocity and Proposition 2). Finally, in order to conclude the proof, it is enough to show that $|\mathcal{O}_{ij}(\alpha)| = q^{2(j-i-1)}$ (because $\lambda_{ij}(\alpha)^{U_n(q)}(1) = |U_n(q) : U_{ij}(q)| = q^{j-i-1}$, because $\xi_{ij}(\alpha)(1) = \sqrt{|\mathcal{O}_{ij}(\alpha)|}$ and because $\lambda_{ij}(\alpha)^{U_n(q)}$ is a \mathbb{Z} -linear combination with non-negative coefficients of the class functions $\phi_{\mathcal{O}}$, for $\mathcal{O} \in \Omega_n(q)$). However, it is easy to see that the centralizer $C_{U_n(q)}(f)$ of f in $U_n(q)$ consists of all matrices $x \in U_n(q)$ which satisfy $x_{ik} = x_{kj} = 0$ for all $i < k < j$. Therefore, $|\mathcal{O}_{ij}(\alpha)| = |U_n(q) : C_{U_n(q)}(f)| = q^{2(j-i-1)}$, as required. \square

In the notation of the previous lemma, we will refer to the irreducible character $\xi_{ij}(\alpha)$ of $U_n(q)$ as the (i, j) -th elementary character of $U_n(q)$ associated with α .

We are now able to define the basic characters of $U_n(q)$. To start with, a subset $D \subseteq \Phi(n)$ is called a basic subset if $|D \cap \{(i, j) : i < j \leq n\}| \leq 1$ for all $1 \leq i < n$, and if $|D \cap \{(i, j) : 1 \leq i < j\}| \leq 1$ for all $1 < j \leq n$. In particular, the empty set is a basic subset of $\Phi(n)$. Given an arbitrary non-empty basic subset D of $\Phi(n)$ and an arbitrary map $\varphi : D \rightarrow \mathbb{F}_q^\#$, we define the basic character $\xi_D(\varphi)$ of $U_n(q)$ to be the product (of elementary characters)

$$(4) \quad \xi_D(\varphi) = \prod_{(i,j) \in D} \xi_{ij}(\alpha_{ij})$$

where $\alpha_{ij} = \varphi(i, j)$ for $(i, j) \in D$. For our purposes, it is convenient to consider the trivial character $1_{U_n(q)}$ of $U_n(q)$ as the basic character $\xi_D(\varphi)$ corresponding to the empty subset of $\Phi(n)$ and to the empty function $\varphi : D \rightarrow \mathbb{F}_q^\#$.

The main goal of this paper is to extend [1, Theorem 1] to all prime numbers p . In fact, we will prove the following result.

Theorem 1. *Let χ be an irreducible character of $U_n(q)$. Then χ is a constituent of a unique basic character of $U_n(q)$; in other words, there exists a unique basic subset D of $\Phi(n)$ and a unique map $\varphi : D \rightarrow \mathbb{F}_q^\#$ such that χ is a constituent of $\xi_D(\varphi)$.*

The proof of this theorem splits into two parts. First, we prove that the basic characters of $U_n(q)$ are pairwise orthogonal (see [1, Proposition 2] for the case where $p \geq n$) and, secondly, we obtain a decomposition of the regular character ρ of $U_n(q)$ as a sum of basic characters (see [3, Theorem 1] and [4, Corollary 7.1] for the case where $p \geq n$). For the first part of the proof, we start by proving the following general result.

Proposition 3. *Let G be an \mathbb{F}_q -algebra group and let $\mathcal{O}_1, \dots, \mathcal{O}_t \in \Omega(G)$. For each $i \leq i \leq t$, let ϕ_i denote the class function $\phi_{\mathcal{O}_i} \in \text{cf}(G)$. Then, for any $\mathcal{O} \in \Omega(G)$, the class function $\phi_{\mathcal{O}}$ is a constituent of the product $\phi_1 \cdots \phi_t$ if and only if $\mathcal{O} \subseteq \mathcal{O}_1 + \cdots + \mathcal{O}_t$; moreover, the scalar product $\langle \phi_{\mathcal{O}}, \phi_1 \cdots \phi_t \rangle_G$ is a non-negative integer.*

Proof. Let $G^t = G \times \cdots \times G$ be the direct product of t copies of G . Let A be a finite dimensional \mathbb{F}_q -algebra such that $G = 1 + J$ where $J = J(A)$ is the Jacobson radical of A . Then G^t is the \mathbb{F}_q -algebra group associated with the Jacobson radical $J^t = J \times \cdots \times J$ (t copies) of the \mathbb{F}_q -algebra $\mathbb{F}_q \cdot 1 + J^t$. Moreover, G can be naturally identified with the diagonal subgroup $G_d = \{(x, \dots, x) : x \in G\}$ of G^t . Then the class function $\phi_1 \cdots \phi_t$ of G is naturally identified with the restriction $(\phi_1 \times \cdots \times \phi_t)_{G_d}$ to G_d of the class function $\phi_1 \times \cdots \times \phi_t$ of G^t . It is clear that this class function is associated (by the rule (1)) with the G^t -orbit $\mathcal{O}_1 \times \cdots \times \mathcal{O}_t \in \Omega(G^t)$; we note that the dual space $(J^t)^*$ of J^t is naturally isomorphic to $(J^*)^t = J^* \times \cdots \times J^*$ (t copies). Let J_d be the diagonal \mathbb{F}_q -subspace of J^t and let $\pi: (J^*)^t \rightarrow (J_d)^*$ be the natural projection. Since G_d is an algebra subgroup of G^t (because J_d is multiplicatively closed), we may apply Proposition 2: given $\mathcal{O} \in \Omega(G)$, we have $\langle \phi_{\mathcal{O}}, \phi_1 \cdots \phi_t \rangle_G \neq 0$ if and only if $\mathcal{O} \subseteq \pi(\mathcal{O}_1 \times \cdots \times \mathcal{O}_t)$; moreover, that scalar product is a non-negative integer. The result follows because $\pi(\mathcal{O}_1 \times \cdots \times \mathcal{O}_t) = \mathcal{O}_1 + \cdots + \mathcal{O}_t$. □

We now apply this result to an arbitrary basic character of $U_n(q)$. Let D be a non-empty basic subset of $\Phi(n)$ and let $\varphi: D \rightarrow \mathbb{F}_q^\#$ be a map. Following [2], we denote by $\mathcal{O}_D(\varphi)$ the *basic subvariety* of $u_n(q)^*$ associated with the pair (D, φ) :

$$(5) \quad \mathcal{O}_D(\varphi) = \sum_{(i,j) \in D} \mathcal{O}_{ij}(\alpha_{ij})$$

where $\alpha_{ij} = \varphi(i, j)$ for all $(i, j) \in D$. For convenience, we extend this definition to the case where D is the empty subset of $\Phi(n)$: we consider φ to be the empty function and we define $\mathcal{O}_D(\varphi) = \{0\}$. Then the following result is an obvious consequence of the previous proposition.

Corollary 1. *Let D be a basic subset of $\Phi(n)$ and let $\varphi: D \rightarrow \mathbb{F}_q^\#$ be a map. Let $\mathcal{O} \in \Omega_n(q)$ be arbitrary. Then the class function $\phi_{\mathcal{O}}$ of $U_n(q)$ is a constituent of the basic character $\xi_D(\varphi)$ if and only if $\mathcal{O} \subseteq \mathcal{O}_D(\varphi)$. Moreover, the scalar product $\langle \phi_{\mathcal{O}}, \xi_D(\varphi) \rangle_{U_n(q)}$ is a non-negative integer.*

By [2, Theorem 1 and Eq. (12)], the dual space $u_n(q)^*$ decomposes as the disjoint union

$$(6) \quad u_n(q)^* = \bigcup_{D, \varphi} \mathcal{O}_D(\varphi)$$

where the union is over all basic subsets D of $\Phi(n)$ and all maps $\varphi: D \rightarrow \mathbb{F}_q^\#$. This decomposition allows us to establish the orthogonality relations for the basic

characters (see [1, Proposition 2] for the case where $p \geq n$). For simplicity, given an arbitrary basic subset D of $\Phi(n)$ and an arbitrary map $\varphi: D \rightarrow \mathbb{F}_q^\#$, we will denote by $\Omega_D(\varphi)$ the set of all $U_n(q)$ -orbits $\mathcal{O} \in \Omega_n(q)$ such that $\mathcal{O} \subseteq \mathcal{O}_D(\varphi)$.

Proposition 4. *Let D and D' be basic subsets of $\Phi(n)$ and let $\varphi: D \rightarrow \mathbb{F}_q^\#$ and $\varphi': D' \rightarrow \mathbb{F}_q^\#$ be maps. Then $\langle \xi_D(\varphi), \xi_{D'}(\varphi') \rangle_{U_n(q)} \neq 0$ if and only if $D = D'$ and $\varphi = \varphi'$.*

Proof. By Corollary 1 (and also by Proposition 2), we have

$$\xi_D(\varphi) = \sum_{\mathcal{O} \in \Omega_D(\varphi)} n_{\mathcal{O}} \phi_{\mathcal{O}}$$

where $n_{\mathcal{O}}$, for $\mathcal{O} \in \Omega_D(\varphi)$, is a positive integer. It follows that

$$\langle \xi_D(\varphi), \xi_{D'}(\varphi') \rangle_{U_n(q)} = \sum_{\mathcal{O} \in \Omega_D(\varphi)} n_{\mathcal{O}} \langle \phi_{\mathcal{O}}, \xi_{D'}(\varphi') \rangle_{U_n(q)}$$

and so $\langle \xi_D(\varphi), \xi_{D'}(\varphi') \rangle_{U_n(q)} \neq 0$ if and only if $\langle \phi_{\mathcal{O}}, \xi_{D'}(\varphi') \rangle_{U_n(q)} \neq 0$ for some $\mathcal{O} \in \Omega_D(\varphi)$ (because, for any $\mathcal{O} \in \Omega_D(\varphi)$, $n_{\mathcal{O}}$ is a positive integer and $\langle \phi_{\mathcal{O}}, \xi_{D'}(\varphi') \rangle_{U_n(q)}$ is a non-negative integer). By Corollary 1, we conclude that $\langle \xi_D(\varphi), \xi_{D'}(\varphi') \rangle_{U_n(q)} \neq 0$ if and only if $\Omega_D(\varphi) \cap \Omega_{D'}(\varphi') \neq \emptyset$. The result follows by (6). \square

The following result will be useful to decompose the regular character of $U_n(q)$ as a sum of basic characters (which will, of course, imply (together with the previous proposition) our main result).

Proposition 5. *Let $\mathcal{O} \in \Omega_n(q)$ be arbitrary. Then there exists a unique basic subset D of $\Phi(n)$ and a unique map $\varphi: D \rightarrow \mathbb{F}_q^\#$ such that the class function $\phi_{\mathcal{O}}$ of $U_n(q)$ is a constituent (with non-zero integer multiplicity) of $\xi_D(\varphi)$.*

Proof. By (6), there exists a unique basic subset D of $\Phi(n)$ and a unique map $\varphi: D \rightarrow \mathbb{F}_q^\#$ such that $\mathcal{O} \subseteq \mathcal{O}_D(\varphi)$. The result follows easily using Corollary 1. \square

Next, we consider the decomposition of the regular character of $U_n(q)$ as a sum of basic characters. In fact, we will prove the following result (for the case where $p \geq n$, see [3, Theorem 1] and [4, Corollary 7.2]).

Theorem 2. *Let ρ denote the regular character of $U_n(q)$. Then*

$$\rho = \sum_{D, \varphi} \frac{q^{s(D)}}{\xi_D(\varphi)(1)} \xi_D(\varphi)$$

where the sum is over all basic subsets D of $\Phi(n)$ and all maps $\varphi: D \rightarrow \mathbb{F}_q^\#$ and where, for any basic subset D of $\Phi(n)$, $s(D)$ denotes the cardinality of the subset $S(D) = \bigcup_{(i,j) \in D} \{(i,k), (k,j) : i < k < j\}$ of $\Phi(n)$.

For some steps of the proof of this result, we will refer to [4]. We start by introducing some notation. Let D be an arbitrary non-empty basic subset of $\Phi(n)$, let $\varphi: D \rightarrow \mathbb{F}_q^\#$ be an arbitrary map and, for any $(i,j) \in D$, let $\alpha_{ij} = \varphi(i,j)$. Let $e_D(\varphi)$ denote the element

$$e_D(\varphi) = \sum_{(i,j) \in D} \alpha_{ij} e_{ij} \in \mathfrak{u}_n(q)$$

and let

$$\mathfrak{o}_D(\varphi) = \{xe_D(\varphi)y^{-1} : x, y \in U_n(q)\} \subseteq \mathfrak{u}_n(q)$$

be the $(U_n(q) \times U_n(q))$ -orbit on $\mathfrak{u}_n(q)$ for the action defined by $(x, y) \cdot a = xay^{-1}$ for all $x, y \in U_n(q)$ and all $a \in \mathfrak{u}_n(q)$. Moreover, let

$$\mathcal{K}_D(\varphi) = 1 + \mathfrak{o}_D(\varphi) \subseteq U_n(q).$$

On the other hand, for each root $(i, j) \in \Phi(n)$, let $S'(i, j)$ be the subset of $\Phi(n)$ which consists of all roots (i, k) , for $j < k \leq n$, and (k, j) , for $1 \leq k < i$ of $\Phi(n)$. Then, for any basic subset D of $\Phi(n)$, we define the subsets $S'(D) = \bigcup_{(i,j) \in D} S'(i, j)$ and $R'(D) = \Phi(n) - S'(D)$ of $\Phi(n)$. Moreover, for each root $(i, j) \in \Phi(n)$, we denote by $D(i, j)$ the subset of $\Phi(n)$ which consists of all roots $(k, l) \in D$ with $i < k < l < j$.

The following result is precisely [4, Proposition 5.1] (see also [4, Proposition 5.2]).

Lemma 3. *Let D be a basic subset of $\Phi(n)$, let $\varphi: D \rightarrow K^\#$ be a map and let $x \in \mathcal{K}_D(\varphi)$. Then, for any $(i, j) \in \Phi(n)$ and any $\alpha \in \mathbb{F}_q^\#$, we have*

$$\xi_{ij}(\alpha)(x) = \begin{cases} q^{d(i,j)}, & \text{if } (i, j) \in R'(D) - D, \\ q^{d(i,j)}\psi(\alpha\beta), & \text{if } (i, j) \in D, \\ 0, & \text{otherwise,} \end{cases}$$

where $d(i, j) = (j - i - 1) - |D(i, j)|$ and where $\beta = \varphi(i, j)$ whenever $(i, j) \in D$. In particular, if $x_D(\varphi)$ denotes the element $x_D(\varphi) = 1 + e_D(\varphi) \in \mathcal{K}_D(\varphi)$, we have

$$\xi_{ij}(\alpha)(x) = \xi_{ij}(\alpha)(x_{D,\varphi})$$

for all $x \in \mathcal{K}_D(\varphi)$.

Using this lemma (and the definition of the basic characters), we easily deduce the following result (which is precisely the statement of [4, Theorem 5.1]).

Theorem 3. *Let D and D' be basic subsets of $\Phi(n)$, let $\varphi: D \rightarrow \mathbb{F}_q^\#$ and $\varphi': D' \rightarrow \mathbb{F}_q^\#$ be maps and let $x \in \mathcal{K}_{D'}(\varphi')$. Then*

$$\xi_D(\varphi)(x) = \begin{cases} q^{e(D,D')} \psi_D(\varphi)(e_{D'}(\varphi')), & \text{if } D \subseteq R'(D'), \\ 0, & \text{otherwise,} \end{cases}$$

where $e(D, D') = |S_c(D) - S'(D')| = |S_c(D) \cap R'(D')|$, where $S_c(D)$ is the union of all the subsets $\{(k, j) \in \Phi(n) : i < k < j\} \subseteq \Phi(n)$ for $(i, j) \in D$, and where $\psi_D(\varphi): \mathfrak{u}_n(q)^+ \rightarrow \mathbb{C}$ is the (linear) character of the additive group $\mathfrak{u}_n(q)^+$ of $\mathfrak{u}_n(q)$ defined by

$$\psi_D(\varphi)(a) = \prod_{(i,j) \in D} \psi(\varphi(i, j)a_{ij})$$

for all $a \in \mathfrak{u}_n(q)$.

We now prove the following result (see [1, Corollary 5] for the case where $p \geq n$).

Theorem 4. *Let D and D' be basic subsets of $\Phi(n)$ and let $\varphi: D \rightarrow \mathbb{F}_q^\#$ and $\varphi': D' \rightarrow \mathbb{F}_q^\#$ be maps. Then*

$$\langle \xi_D(\varphi), \xi_{D'}(\varphi') \rangle_{U_n(q)} = \begin{cases} 0, & \text{if } (D, \varphi) \neq (D', \varphi'), \\ q^{-s(D)} \xi_D(\varphi)(1)^2, & \text{if } (D, \varphi) = (D', \varphi'). \end{cases}$$

Proof. By Proposition 4, it remains to prove that

$$\langle \xi_D(\varphi), \xi_D(\varphi) \rangle_{U_n(q)} = q^{-s(D)} \xi_D(\varphi)(1)^2.$$

Let \mathcal{F} be the set of all pairs (D', φ') where D' is a basic subset of $\Phi(n)$ satisfying $D \subseteq R'(D')$ and where $\varphi' : D' \rightarrow \mathbb{F}_q^\#$ is a map. Then, using Theorem 3, we deduce that (as usual, we denote by \bar{z} the conjugate of a given complex number $z \in \mathbb{C}$)

$$\begin{aligned} \langle \xi_D(\varphi), \xi_D(\varphi) \rangle_{U_n(q)} &= \frac{1}{|U_n(q)|} \sum_{x \in U_n(q)} \xi_D(\varphi)(x) \overline{\xi_D(\varphi)(x)} \\ &= \frac{1}{|U_n(q)|} \sum_{(D', \varphi') \in \mathcal{F}} \sum_{x \in \mathcal{K}_{D'}(\varphi')} \xi_D(\varphi)(x) \overline{\xi_D(\varphi)(x)} \\ &= \frac{1}{|U_n(q)|} \sum_{(D', \varphi') \in \mathcal{F}} |\mathcal{K}_{D'}(\varphi')| q^{2e(D, D')} \psi_D(\varphi)(e_{D'}(\varphi')) \overline{\psi_D(\varphi)(e_{D'}(\varphi'))} \\ &= \frac{1}{|U_n(q)|} \sum_{(D', \varphi') \in \mathcal{F}} |\mathcal{K}_{D'}(\varphi')| q^{2e(D, D')} \psi_D(\varphi)(e_{D'}(\varphi')) \psi_D(\varphi)(-e_{D'}(\varphi')) \\ &= \frac{1}{|U_n(q)|} \sum_{(D', \varphi') \in \mathcal{F}} |\mathcal{K}_{D'}(\varphi')| q^{2e(D, D')}. \end{aligned}$$

Now, by [4, Proposition 4.1], we have $|\mathcal{K}_{D'}(\varphi')| = q^{|S'(D')|}$ and so

$$\begin{aligned} (7) \quad \langle \xi_D(\varphi), \xi_D(\varphi) \rangle_{U_n(q)} &= \sum_{(D', \varphi') \in \mathcal{F}} q^{-|R'(D')|} q^{2e(D, D')} \\ &= \sum_{D' \in \mathcal{B}} (q-1)^{|D'|} q^{2e(D, D') - |R'(D')|} \end{aligned}$$

where \mathcal{B} is the set of all basic subsets D' of $\Phi(n)$ which satisfy $D \subseteq R'(D')$. Finally, by Proposition 6 (see below), we have

$$\sum_{D' \in \mathcal{B}} (q-1)^{|D'|} q^{2e(D, D') - |R'(D')|} = q^{2\ell(D) - s(D)}$$

where $\ell(D) = \sum_{(i,j) \in D} (j-i-1)$. The proof is complete because $\xi_D(\varphi)(1) = q^{\ell(D)}$. □

The following result was used at the end of the previous proof.

Proposition 6. *Let D be a basic subset of $\Phi(n)$, let \mathcal{B} be the set of all basic subsets D' of $\Phi(n)$ which satisfy $D \subseteq R'(D')$ and let $\ell(D) = \sum_{(i,j) \in D} (j-i-1)$. Then the identity*

$$\sum_{D' \in \mathcal{B}} (t-1)^{|D'|} t^{e(D, D') - |R'(D')|} = t^{2\ell(D) - s(D)}$$

holds in the polynomial ring $\mathbb{Z}[t]$ in one indeterminate t over \mathbb{Z} .

Proof. Let $p \geq n$ be a prime and consider the group $U_n(q)$ for an arbitrary power q of p . Then, by [1, Corollary 5], we have

$$\langle \xi_D(\varphi), \xi_D(\varphi) \rangle_{U_n(q)} = q^{-s(D)} \xi_D(\varphi)(1)^2 = q^{2\ell(D) - s(D)}.$$

As we have deduced in the previous proof, we have

$$\langle \xi_D(\varphi), \xi_D(\varphi) \rangle_{U_n(q)} = \sum_{D' \in \mathcal{B}} (q-1)^{|D'|} q^{e(D,D') - |R'(D')|}$$

and so

$$\sum_{D' \in \mathcal{B}} (q-1)^{|D'|} q^{e(D,D') - |R'(D')|} = q^{2\ell(D) - s(D)}.$$

It follows that the polynomial

$$t^{2\ell(D) - s(D)} - \sum_{D' \in \mathcal{B}} (t-1)^{|D'|} t^{e(D,D') - |R'(D')|} \in \mathbb{Z}[t]$$

has an infinite number of roots, hence it must be the zero polynomial. The result follows. \square

Using Theorem 4, we can prove the following orthogonality relations where, for arbitrary basic subsets D and D' of $\Phi(n)$ and for arbitrary maps $\varphi: D \rightarrow \mathbb{F}_q^\#$ and $\varphi': D' \rightarrow \mathbb{F}_q^\#$, we denote by $\xi_{D,\varphi}^{D',\varphi'} \in \mathbb{C}$ the constant value of the basic character $\xi_D(\varphi)$ on the subset $\mathcal{K}_{D'}(\varphi')$; hence, by Theorem 3, we have $\xi_{D,\varphi}^{D',\varphi'} = \xi_D(\varphi)(x_{D'}(\varphi'))$. (The proof of this theorem is the same as that of [4, Theorem 7.1] and so we omit it.)

Theorem 5. *Let D' and D'' be basic subsets of $\Phi(n)$ and let $\varphi': D' \rightarrow \mathbb{F}_q^\#$ and $\varphi'': D'' \rightarrow \mathbb{F}_q^\#$ be maps. Then*

$$\sum_{D,\varphi} \frac{q^{s(D)}}{\xi_D(\varphi)(1)^2} \xi_{D,\varphi}^{D',\varphi'} \overline{\xi_{D,\varphi}^{D'',\varphi''}} = \begin{cases} 0, & \text{if } (D', \varphi') \neq (D'', \varphi''), \\ q^{n(n-1)/2 - |S'(D')|}, & \text{if } (D', \varphi') = (D'', \varphi''), \end{cases}$$

where the sum is over all basic subsets D of $\Phi(n)$ and all maps $\varphi: D \rightarrow \mathbb{F}_q^\#$.

We are now able to prove Theorem 2.

Proof of Theorem 2. Let $x \in U_n(q)$ be arbitrary. Then $x \in \mathcal{K}_{D'}(\varphi')$ for a unique basic subset D' of $\Phi(n)$ and for a unique map $\varphi': D' \rightarrow \mathbb{F}_q^\#$. Using the previous theorem, we deduce that

$$\sum_{D,\varphi} \frac{q^{s(D)}}{\xi_D(\varphi)(1)} \xi_D(\varphi)(x) = \delta_{x,1} q^{n(n-1)/2}$$

where the sum is over all basic subsets D of $\Phi(n)$ and all maps $\varphi: D \rightarrow \mathbb{F}_q^\#$. Therefore, the sum $\sum_{D,\varphi} \frac{q^{s(D)}}{\xi_D(\varphi)(1)} \xi_D(\varphi)$ is the regular character of $U_n(q)$, as required. \square

Remark 1. The proof of Theorem 2 can be achieved using the corresponding result for the case where $p \geq n$. In fact, suppose that $p \geq n$. Let D' be an arbitrary basic subset of $\Phi(n)$ and let $\varphi': D' \rightarrow \mathbb{F}_q^\#$ be an arbitrary map. For simplicity, let us write $x = x_{D'}(\varphi')$ and, for each root $(i, j) \in \Phi(n)$, let $\beta_{ij} \in \mathbb{F}_q$ be the (i, j) -th

coefficient of x . Then, using Theorem 3 (or [4, Theorem 5.1]), we deduce that

$$\begin{aligned} \sum_{D,\varphi} \frac{q^{s(D)}}{\xi_D(\varphi)(1)} \xi_D(\varphi)(x) &= \sum_{\substack{D,\varphi \\ D \subseteq R'(D')}} \frac{q^{s(D)+e(D,D')}}{\xi_D(\varphi)(1)} \prod_{(i,j) \in D} \psi(\varphi(i,j)\beta_{ij}) \\ &= \sum_{D \subseteq R'(D')} \frac{q^{s(D)+e(D,D')}}{q^{\ell(D)}} \sum_{\varphi} \prod_{(i,j) \in D} \psi(\varphi(i,j)\beta_{ij}) \\ &= \sum_{D \subseteq R'(D')} q^{s(D)+e(D,D')-\ell(D)} \prod_{(i,j) \in D} \sum_{\alpha \in \mathbb{F}_q^\#} \psi(\alpha\beta_{ij}) \end{aligned}$$

where the sums are over all basic subsets D of $\Phi(n)$ and over all maps $\varphi: D \rightarrow \mathbb{F}_q^\#$ and where, for each basic subset D of $\Phi(n)$, $\ell(D) = \xi_D(\varphi)(1) = \sum_{(i,j) \in D} (j-i-1)$. Now, for each $\alpha \in \mathbb{F}_q$, the map $\psi_\alpha: \mathbb{F}_q^\# \rightarrow \mathbb{C}$, defined by $\psi_\alpha(\beta) = \psi(\alpha\beta)$ for all $\beta \in \mathbb{F}_q$, is a linear character of the additive group \mathbb{F}_q^+ of \mathbb{F}_q . Moreover, the characters ψ_α , for $\alpha \in \mathbb{F}_q$, are all distinct, hence they are all the irreducible characters of \mathbb{F}_q^+ . It follows that the sum $\sum_{\alpha \in \mathbb{F}_q} \psi_\alpha$ is the regular character of \mathbb{F}_q^+ and so, for an arbitrary $\beta \in \mathbb{F}_q$, we have

$$\sum_{\alpha \in \mathbb{F}_q} \psi_\alpha(\beta) = q\delta_{\beta,0}.$$

Therefore, given an arbitrary root $(i, j) \in \Phi(n)$, we conclude that

$$\sum_{\alpha \in \mathbb{F}_q^\#} \psi(\alpha\beta_{ij}) = \begin{cases} q-1, & \text{if } (i, j) \in D', \\ -1, & \text{if } (i, j) \notin D', \end{cases}$$

because (by definition of $x_{D'}(\varphi')$) $\beta_{ij} \neq 0$ if and only if $(i, j) \in D'$. In conclusion, we obtain

$$\sum_{D,\varphi} \frac{q^{s(D)}}{\xi_D(\varphi)(1)} \xi_D(\varphi)(x) = \sum_{D \in \mathcal{B}(D')} q^{s(D)+e(D,D')-\ell(D)} (-1)^{|D-D'|} (q-1)^{|D \cap D'|}$$

where the sum on the left-hand side of this equation is over all basic subsets D of $\Phi(n)$ and over all maps $\varphi: D \rightarrow \mathbb{F}_q^\#$ and where $\mathcal{B}(D')$ denotes the set of all basic subsets D of $\Phi(n)$ which satisfy $D \subseteq R'(D')$. By [4, Corollary 7.2], we conclude that the equality

$$(8) \quad \sum_{D \in \mathcal{B}(D')} (-1)^{|D-D'|} q^{s(D)+e(D,D')-\ell(D)} (q-1)^{|D \cap D'|} = q^{n(n-1)/2} \delta_{D',\emptyset}$$

holds whenever q is a power of a prime $p \geq n$. It follows that the identity

$$(9) \quad \sum_{D \in \mathcal{B}(D')} (-1)^{|D-D'|} t^{s(D)+e(D,D')-\ell(D)} (t-1)^{|D \cap D'|} = t^{n(n-1)/2} \delta_{D',\emptyset}$$

holds in the polynomial ring $\mathbb{Z}[t]$, hence the identity (8) holds whenever q is a power of an arbitrary prime p . Since this equality implies (as above) that

$$\sum_{D,\varphi} \frac{q^{s(D)}}{\xi_D(\varphi)(1)} \xi_D(\varphi)(x) = q^{n(n-1)/2} \delta_{x,1},$$

Theorem 2 follows at once.

Finally, we prove Theorem 1.

Proof of Theorem 1. Let χ be an arbitrary irreducible character of $U_n(q)$. Then χ is a constituent (with multiplicity $\chi(1)$) of the regular character ρ of $U_n(q)$. By Theorem 2, we conclude that χ is a constituent of at least one basic character of $U_n(q)$ and, by Theorem 4, this basic character must be unique. \square

Remark 2. Let D be a basic subset of $\Phi(n)$ and let $\varphi: D \rightarrow \mathbb{F}_q^\#$ be a map. Then, by Theorem 2 and by Theorem 1, we also conclude that

$$\chi(1) = q^{s(D)-\ell(D)} \langle \chi, \xi_D(\varphi) \rangle_{U_n(q)}$$

for any irreducible constituent χ of $\xi_D(\varphi)$.

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