

A HYPERSURFACE IN \mathbb{C}^2 WHOSE STABILITY GROUP IS NOT DETERMINED BY 2-JETS

R. TRAVIS KOWALSKI

(Communicated by Mei-Chi Shaw)

ABSTRACT. We give an example of a hypersurface in \mathbb{C}^2 through 0 whose stability group at 0 is determined by 3-jets, but not by jets of any lesser order. We also examine some of the properties which the stability group of this infinite type hypersurface shares with the 3-sphere in \mathbb{C}^2 .

1. STATEMENT OF RESULT

Suppose $M \subset \mathbb{C}^N$ is a real-analytic hypersurface passing through the point p . The *stability group* of M at p , denoted $\text{Aut}(M, p)$, is the group (under composition) of local automorphisms of the germ (M, p) . That is, it is the set of all invertible biholomorphic mappings $H : \mathbb{C}^N \rightarrow \mathbb{C}^N$, defined in a neighborhood of p , which fix the point p and map M into itself. The stability group of M at p is said to be *determined by ℓ -jets* if for every pair $H_1, H_2 \in \text{Aut}(M, p)$, we have $H_1 = H_2$ (as germs of biholomorphisms at p) whenever

$$\frac{\partial^{|\alpha|} H_1}{\partial Z^\alpha}(p) = \frac{\partial^{|\alpha|} H_2}{\partial Z^\alpha}(p) \quad \forall \alpha \in \mathbb{N}^N, 0 \leq |\alpha| \leq \ell.$$

Recall that a hypersurface $M \subset \mathbb{C}^N$ is said to be *minimal* at $p \in M$ if there exists no complex hypersurface contained in M passing through p . If M is real-analytic, then it is well known that this is equivalent to being of *finite type* at p (in the sense of Kohn [Koh72] and Bloom and Graham [BG77]).

In general, if $M \subset \mathbb{C}^N$ is a hypersurface of infinite type at p , then its stability group at p need not be determined by jets of any finite order. For example, the “flat hypersurface” given by

$$M = \{(Z_1, \dots, Z_N) \in \mathbb{C}^N \mid \text{Im } Z_N = 0\}$$

is of infinite type at the origin. Moreover, any invertible holomorphic mapping of the form

$$H(Z) = (F_1(Z), \dots, F_{N-1}(Z), Z_N)$$

is a local automorphism of M . This shows that its stability group at 0 is not determined by ℓ -jets for any choice of $\ell \geq 1$.

In some sense, however, this is the most trivial example, and for \mathbb{C}^2 in particular, it is the *only* such example. On the other hand, there exists a large body of work

Received by the editors July 31, 2001.

2000 *Mathematics Subject Classification*. Primary 32H12, 32V20.

concerning the jet-determinacy of stability groups of hypersurfaces in \mathbb{C}^2 at points of finite type. Poincaré [Poi07] proved that the stability group at any point of the 3-sphere $S^3 \subset \mathbb{C}^2$ is determined by 2-jets. This was extended by Chern and Moser [CM74], who proved that the stability group of a Levi-nondegenerate hypersurface in \mathbb{C}^N is also determined by 2-jets. (For more information, see the survey articles [BER00] and [Vit90].) More recently, Ebenfelt, Lamel, and Zaitsev [ELZ00] have shown that the stability group of any hypersurface of finite type in \mathbb{C}^2 is determined by 2-jets.

The purpose of this paper is to present an example which shows that this result cannot be extended to nonflat hypersurfaces in \mathbb{C}^2 of infinite type at 0 by presenting a nonflat hypersurface $M \subset \mathbb{C}^2$ of infinite type whose local automorphisms at the origin are determined by their 3-jets, but *not* by their 2-jets.

To state this result more precisely, we make one last definition. Let $M \subset \mathbb{C}^2$ be a hypersurface passing through the origin. A *formal automorphism* of M at 0 is a \mathbb{C}^2 -valued invertible formal power series H in two indeterminates which vanishes at 0 and formally maps M into itself. That is, for any real-analytic local defining function $\rho(Z, \bar{Z})$ for M , there exists a formal power series a in 4 indeterminates such that the following power series identity holds:

$$\rho(H(Z), \overline{H(\bar{Z})}) \equiv a(Z, \bar{Z}) \rho(Z, \bar{Z}).$$

The set of all such formal power series (which forms a group under power series composition) is called the *formal stability group* of M at 0, and is denoted $\widehat{\text{Aut}}(M, 0)$. It is easy to see that if a formal automorphism of M converges, then it is a local automorphism of M at 0 as described above, whence it follows that $\text{Aut}(M, 0) \subset \widehat{\text{Aut}}(M, 0)$. We now state our main result.

Theorem 1.1. *For the hypersurface*

$$(1.1) \quad M := \left\{ (z, w) \in \mathbb{C}^2 \mid |z| < 1, \text{Im } w = (\text{Re } w) \frac{|z|^2}{1 + \sqrt{1 - |z|^4}} \right\},$$

every formal automorphism of the germ $(M, 0)$ converges. For $\alpha \in \mathbb{C}$ and $s \in \mathbb{R}$, let $\theta_{\alpha, s}$ be the holomorphic function defined in a neighborhood of $0 \in \mathbb{C}^2$ by

$$\theta_{\alpha, s}(z, w) := (1 - 2i\bar{\alpha}zw - (s + i|\alpha|^2)w^2)^{1/2},$$

where $\mathbb{C} \ni \zeta \mapsto (\zeta)^{1/2} \in \mathbb{C}$ is the principal branch of the square root function. Then the formal stability group of M at 0 is given explicitly by the following:

$$(1.2) \quad \widehat{\text{Aut}}(M, 0) = \text{Aut}(M, 0) = \left\{ H_{\alpha, s}^{\varepsilon, r}(z, w) := \left(\frac{\varepsilon(z + \alpha w)}{\theta_{\alpha, s}(z, w)}, \frac{r w}{\theta_{\alpha, s}(z, w)} \right) \mid \begin{array}{l} \varepsilon \in \mathbb{C}, |\varepsilon| = 1 \\ r \in \mathbb{R} \setminus \{0\} \\ \alpha \in \mathbb{C} \\ s \in \mathbb{R} \end{array} \right\}.$$

The proof will be given in the next section. We conclude this section with some remarks.

Remark 1.2. To the author’s knowledge, this is the first example of a nonflat hypersurface in \mathbb{C}^2 whose stability group (at a point) is not determined by 2-jets, or of

any hypersurface in \mathbb{C}^2 whose stability group is determined by jets of finite order, but *not* by 2-jets. In fact, it follows from the explicit formula above that if

$$\frac{\partial^{j+k} H_{\alpha,s}^{\varepsilon,r}}{\partial z^j \partial w^k}(0,0) = \frac{\partial^{j+k} H_{\alpha',s'}^{\varepsilon',r'}}{\partial z^j \partial w^k}(0,0) \quad \forall j+k \leq 2,$$

then $\varepsilon = \varepsilon'$, $r = r'$, and $\alpha = \alpha'$, but s and s' are arbitrary. Indeed, the mappings

$$(1.3) \quad H_{0,s}^{1,1}(z,w) = \left(\frac{z}{(1-sw^2)^{1/2}}, \frac{w}{(1-sw^2)^{1/2}} \right), \quad \sigma \in \mathbb{R},$$

form a 1-parameter family of local automorphisms of $(M,0)$ which agree with the identity mapping up to order two, but are distinct for each different value of s .

Remark 1.3. Observe that the hypersurface M given by equation (1.1) is of infinite type at 0, since it contains the nontrivial complex hyperplane $\Sigma = \{w = 0\}$. Hence, the stability groups of hypersurfaces of infinite type need not be determined by 2-jets. However, in [ELZ00] it is shown that the stability group of any nonflat real-analytic hypersurface is determined by jets of *some* predetermined finite order. For the special case of so-called *1-infinite type* hypersurfaces, of which M is an example, the author has shown [Kow01] that the stability group is in fact formally parametrized by such a finite jet.

Remark 1.4. Since the hypersurface M above is of infinite type, it is *not* biholomorphically equivalent to the 3-sphere S^3 in \mathbb{C}^2 . However, the stability groups of the two hypersurfaces have several traits in common; we point out a few of these.

- It is well known that the 3-sphere in \mathbb{C}^2 is locally biholomorphically equivalent to the hypersurface $\{(z,w) \mid \text{Im } w = |z|^2\}$, and in these coordinates, every (formal) local automorphism at 0 is given by

$$(z,w) \mapsto \left(\frac{r \varepsilon(z + \alpha w)}{1 - 2i \bar{\alpha} z - (s + i|\alpha|^2)w}, \frac{r^2 w}{1 - 2i \bar{\alpha} z - (s + i|\alpha|^2)w} \right),$$

with $r > 0$, $\varepsilon \in \mathbb{C}$ with $|\varepsilon| = 1$, $\alpha \in \mathbb{C}$, and $s \in \mathbb{R}$. This is similar to the formula given by equation (1.2).

- Like the 3-sphere, the (formal) stability group of M is determined by five real parameters.
- Like the 3-sphere, the elements of the stability group of M do not extend to a common neighborhood of 0 in \mathbb{C}^2 . That is, there exist automorphisms of the germ $(M,0)$ whose radii of convergence are arbitrarily small. For example, the map $H_{0,s}^{1,1}$ given as in equation (1.3) with $s \neq 0$ converges if and only if $|w| < 1/\sqrt{|s|}$, which can be made arbitrarily small by taking $|s|$ arbitrarily large. In contrast, for Levi-nondegenerate hypersurfaces of \mathbb{C}^2 *other than the sphere*, all local automorphisms at a fixed point extend to a common neighborhood.
- The stability group of $(M,0)$ forms a Lie group, which may be identified with the space $(\mathbb{R} \setminus \{0\}) \times S^1 \times \mathbb{C} \times \mathbb{R}$ under the multiplication

$$(r, \varepsilon, \alpha, s) \cdot (r', \varepsilon', \alpha', s') = (rr', \varepsilon\varepsilon', \alpha + r\varepsilon\alpha', s + s' - 2r \text{Im}(\alpha \bar{\varepsilon} \alpha')).$$

In particular, like the 3-sphere, it is noncompact, five-dimensional, and contains a Heisenberg subgroup (namely the subgroup defined by taking $r = \varepsilon = 1$). In contrast, the stability groups of Levi-nondegenerate hypersurfaces in \mathbb{C}^2 *other than the sphere* are compact Lie groups of dimension at most four.

2. PROOF OF THEOREM 1.1

We shall denote by $S^1 \subset \mathbb{C}$ the set of unimodular complex numbers. Observe that

$$H_{\alpha,s}^{\varepsilon,r} = (H_{0,0}^{\varepsilon,r}) \circ (H_{\alpha,r}^{1,1}) \quad \forall (\varepsilon, r, \alpha, s) \in S^1 \times (\mathbb{R} \setminus \{0\}) \times \mathbb{C} \times \mathbb{R},$$

so to prove that $H_{\alpha,s}^{\varepsilon,r}$ is an automorphism of $(M, 0)$, it suffices to show that the mappings $H_{0,0}^{\varepsilon,r}$ and $H_{\alpha,s}^{1,1}$ are local automorphisms of $(M, 0)$. It is obvious that the mappings $H_{0,0}^{\varepsilon,r}$ are *global* automorphisms of M for each unimodular complex number ε and nonzero real number r ; we leave it to the diligent reader to show that $H_{\alpha,r}^{1,1}$ is a local automorphism of $(M, 0)$ for each complex number α and real number s .

Thus, it follows that $H_{\alpha,s}^{\varepsilon,r} \in \text{Aut}(M, 0)$ for every $(\varepsilon, r, \alpha, s) \in S^1 \times (\mathbb{R} \setminus \{0\}) \times \mathbb{C} \times \mathbb{R}$. To complete the proof, we must prove that if $H \in \widehat{\text{Aut}}(M, 0)$ is a formal automorphism, then $H = H_{\alpha,s}^{\varepsilon,r}$ for some choice of parameters $(\varepsilon, r, \alpha, s)$. To prove this, we introduce some new notation. Writing $\text{Im } w = (w - \bar{w})/(2i)$ and $\text{Re } w = (w + \bar{w})/2$ in the local defining equation (1.1) for M and solving for w yields the identity

$$M = \{ (z, w) \mid w = \bar{w} S(|z|^2) \},$$

where S is the real-analytic, complex-valued function defined by

$$\mathbb{R} \supset (-1, 1) \in t \mapsto S(t) := it + \sqrt{1 - t^2} \in \mathbb{C}.$$

Recall that $H \in \widehat{\text{Aut}}(M, 0)$ means that $H = (H^1, H^2)$ is a \mathbb{C}^2 -valued formal power series which vanishes at 0, has nonvanishing Jacobian at 0, and satisfies the identity

$$(2.1) \quad H_2(z, \tau S(z\chi)) \equiv \overline{H_2}(\chi, \tau) S(H_1(z, \tau S(z\chi))) \overline{H_1}(\chi, \tau),$$

where $\overline{H_j}$ denotes the power series obtained by replacing the Taylor coefficients of H_j by their complex conjugates. Observe that if we set $\chi = \tau = 0$ in (2.1), we obtain

$$H_2(z, 0) = \overline{H_2}(0, 0) S(H_2(z, 0) \overline{H_2}(0, 0)) = 0,$$

since $H(0, 0) = 0$. Hence, we can write

$$(2.2) \quad H(z, w) = (f(z, w), w g(z, w))$$

with $f(0, 0) = 0$ and $f_z(0, 0) \cdot g(0, 0) \neq 0$. Substituting this into (2.1) and cancelling a common τ from both sides yields the identity

$$(2.3) \quad S(z\chi)g(z, \tau S(z\chi)) \equiv \overline{g}(\chi, \tau) S(f(z, \tau S(z\chi))) \overline{f}(\chi, \tau).$$

Finally, for convenience, we shall formally expand the power series f and g as

$$(2.4) \quad f(z, w) = \sum_{n=0}^{\infty} \frac{f_n(z)}{n!} w^n, \quad g(z, w) = \sum_{n=0}^{\infty} \frac{g_n(z)}{n!} w^n,$$

and shall write

$$(2.5) \quad a_n^j := \overline{f_n^{(j)}(0)}, \quad b_n^j := \overline{g_n^{(j)}(0)}, \quad n, j \geq 0.$$

We now state the main lemma which will complete the proof of Theorem 1.1.

Lemma 2.1. *Let M be the hypersurface defined in Theorem 1.1. Suppose that $H \in \widehat{\text{Aut}}(M, 0)$, and write H as in equation (2.2). Then for every $n \geq 0$, there exists a \mathbb{C}^2 -valued polynomial R_n in eight indeterminates, depending only on M and not the formal map H , such that*

$$(f_n(z), g_n(z)) = R_n\left(z, \frac{1}{a_0^1}, \frac{1}{b_0^0}, a_0^1, b_0^0, a_1^0, \overline{a_1^0}, \text{Re } b_2^0\right).$$

Moreover, we have $a_0^1 \in S^1$ and $b_0^0 \in \mathbb{R} \setminus \{0\}$.

To see that Lemma 2.1 completes the proof of Theorem 1.1, fix a formal automorphism $H \in \widehat{\text{Aut}}(M, 0)$. Lemma 2.1 implies H is *uniquely determined* by its values a_0^1, b_0^0, a_1^0 and $\text{Re } b_2^0$. Define

$$\varepsilon := \overline{a_0^1} \in S^1, \quad r := \overline{b_0^0} \in \mathbb{R} \setminus \{0\}, \quad \alpha := \frac{\overline{a_1^0}}{a_0^1} \in \mathbb{C}, \quad s := \frac{\text{Re}(\overline{b_2^0})}{\overline{b_0^0}} \in \mathbb{R},$$

and define $\tilde{H} := H_{\alpha, s}^{\varepsilon, r}$. Define the corresponding (complex conjugated) derivatives \tilde{a}_n^j and \tilde{b}_n^j for \tilde{H} as in equations (2.2), (2.4), and (2.5). It follows from a simple calculation that $a_0^1 = \tilde{a}_0^1, b_0^0 = \tilde{b}_0^0, a_1^0 = \tilde{a}_1^0$, and $\text{Re } b_2^0 = \text{Re } \tilde{b}_2^0$, whence $H = \tilde{H}$ by uniqueness, and the proof of the theorem is complete. Hence, we need only prove the lemma.

Proof of Lemma 2.1. We proceed by induction. For convenience, we shall set

$$\lambda_0 := \left(\frac{1}{a_0^1}, \frac{1}{b_0^0}, a_0^1, b_0^0, a_1^0, \overline{a_1^0}, \text{Re } b_2^0\right) \in \mathbb{C}^7.$$

For any formal power series H of the form (2.2), define

$$\Phi^H(z, \chi, \tau) := -S(z\chi)g(z, \tau S(z\chi)) + \overline{g}(\chi, \tau) S(f(z, \tau S(z\chi))) \overline{f}(\chi, \tau).$$

By (2.3), it follows that an invertible power series H is a formal automorphism of $(M, 0)$ if and only if $\Phi^H \equiv 0$. The basic algorithm of the proof is as follows: given a formal automorphism H , at the n -th step of the induction, we

- Calculate $\Phi_{\tau^n}^H(z, \chi, 0)$.
- Solve $\Phi_{\tau^n}^H(z, 0, 0) = 0$ to obtain an explicit formula for $g_n(z)$ as a polynomial (independent of the mapping H) in $(z, a_n^0, a_n^1, b_n^0, b_n^1, \lambda_0) \in \mathbb{C}^{12}$.
- Solve $\Phi_{\chi \tau^n}^H(z, 0, 0) = 0$ to obtain an explicit formula for $f_n(z)$, similarly expressed.
- Substitute these formulas (and their complex conjugates) into the identity $\Phi_{\tau^n}^H(z, \chi, 0) = 0$ and differentiate this repeatedly in z and χ to express $(a_n^0, a_n^1, b_n^0, b_n^1)$ as a polynomial in λ_0 .

In the algorithm above, we have used the usual subscript notation to denote partial derivatives, i.e.

$$\Phi_{z^j \chi^k \tau^\ell}^H(z, \chi, \tau) := \frac{\partial^{j+k+\ell} \Phi^H}{\partial z^j \partial \chi^k \partial \tau^\ell}(z, \chi, \tau).$$

We now fill in the details. Fix an automorphism H .

The case $n = 0$. Setting $\Phi^H(z, 0, 0) = 0$, we obtain $g_0(z) = \overline{g_0}(0) = b_0^0$, from which it follows that b_0^0 is real and, since H is invertible, nonzero. Thus, we have

$$(2.6) \quad g_0(z) = \overline{g_0}(\chi) = b_0^0 \in \mathbb{R} \setminus \{0\}.$$

Setting $\Phi_\chi^H(z, 0, 0) = 0$ and using (2.6), we find $f_0(z) = z/a_0^1$. From this, it follows that $\overline{a_0^1} = 1/a_0^1$, so a_0^1 is necessarily unimodular. Thus, we have

$$(2.7) \quad f_0(z) = \frac{z}{a_0^1}, \quad \overline{f_0}(\chi) = a_0^1 \chi, \quad a_0^1 \in S^1,$$

which completes the base step of the induction.

The case $n = 1$. Using the identity $\Phi_\tau^H(z, \chi, 0) = 0$ as indicated above and substituting in the formulas (2.6) and (2.7) as needed, we find

$$f_1(z) = \frac{i a_1^0}{(a_0^1)^2} z^2 + \left(\frac{b_1^0}{a_0^1 b_0^0} - \frac{a_1^1}{(a_0^1)^2} \right) z + \frac{i b_1^1}{a_0^1 b_0^0}, \quad g_1(z) = \frac{i b_0^0 a_1^0}{a_0^1} z + b_1^0.$$

Conjugating these, we obtain

$$\overline{f_1}(\chi) = \frac{a_0^1 b_1^1}{b_0^0} \chi^2 + a_1^1 \chi + a_0^1, \quad \overline{g_1}(\chi) = b_1^1 \chi + b_1^0.$$

Using these formulas, it follows that

$$(2.8) \quad \begin{pmatrix} 0 \\ 0 \end{pmatrix} = \begin{pmatrix} \Phi_{z^2 \chi^2 \tau}^H(0, 0, 0) \\ \Phi_{z^3 \chi^3 \tau}^H(0, 0, 0) \end{pmatrix} = \begin{pmatrix} 4 \frac{b_0^0}{a_0^1} & -2 \\ 54i \frac{b_0^0}{a_0^1} & -18i \end{pmatrix} \begin{pmatrix} a_1^1 \\ b_1^0 \end{pmatrix}.$$

Since the 2×2 matrix on the right-hand side of equation (2.8) is invertible, it follows from equation (2.8) that $a_1^1 = b_1^0 = 0$. Moreover, equating $\overline{a_1^0} = f_1(0)$ yields $b_1^1 = -i a_0^1 b_0^0 \overline{a_1^0}$. Hence, we have

$$f_1(z) = \frac{i a_1^0}{(a_0^1)^2} z^2 + \overline{a_1^0}, \quad g_1(z) = \frac{i b_0^0 a_1^0}{a_0^1} z,$$

which completes the induction at this step.

The case $n = 2$. Using the identity $\Phi_{\tau_2}^H(z, \chi, 0) = 0$ as above, we find

$$f_2(z) = -\frac{3(a_1^0)^2}{(a_0^1)^3} z^3 + \frac{2i a_2^0}{(a_0^1)^2} z^2 + \left(\frac{2i a_1^0 \overline{a_1^0}}{a_0^1} + \frac{2b_2^0}{a_0^1 b_0^0} - \frac{a_2^1}{(a_0^1)^2} \right) z + \frac{i b_2^1}{a_0^1 b_0^0},$$

$$g_2(z) = -\frac{3b_0^0 (a_1^0)^2}{(a_0^1)^2} z^2 + \frac{i b_0^0 a_2^0}{a_0^1} z + b_2^0 + 2i b_0^0 a_1^0 \overline{a_1^0}.$$

Conjugating as above and substituting into $\Phi_{\tau_2}^H(z, \chi, 0) = 0$, the relations

$$\Phi_{z^2 \chi^2 \tau_2}^H(0, 0, 0) = 0, \quad \Phi_{z^3 \chi^3 \tau_2}^H(0, 0, 0) = 0, \quad \Phi_{z^2 \chi^3 \tau_2}^H(0, 0, 0) = 0$$

yield $a_2^0 = b_2^1 = 0$ and $a_2^1 = (a_0^1 b_2^0)/b_0^0 - 2i a_0^1 a_1^0 \overline{a_1^0}$. Similarly, equating $\overline{b_2^0} = g_2(0)$, we find

$$\text{Im } b_2^0 = \frac{b_2^0 - \overline{b_2^0}}{2i} = -\frac{i a_1^0 b_1^1}{a_0^1} = -b_0^0 a_1^0 \overline{a_1^0}.$$

Under these substitutions, we have

$$f_2(z) = -\frac{3(a_1^0)^2}{(a_0^1)^2} z^3 + \left(\frac{\text{Re } b_2^0}{a_0^1 b_0^0} + \frac{3i a_1^0 \overline{a_1^0}}{a_0^1} \right) z,$$

$$g_2(z) = -\frac{3b_0^0 (a_1^0)^2}{(a_0^1)^2} z^2 + \text{Re } b_2^0 + i b_0^0 a_1^0 \overline{a_1^0},$$

which completes the induction at this step.

The general inductive step. Assume now that the lemma holds up to some $n - 1 \geq 2$; we prove it for n . The Chain Rule implies

$$(2.9) \quad \begin{aligned} \Phi_{\tau^n}^H(z, \chi, 0) &= -S(z\chi)^{n+1}g_n(z) + S(z\chi)\overline{g_n}(\chi) \\ &\quad + b_0^0 S'(z\chi) \left(a_0^1 \chi S(z\chi)^n f_n(z) + \frac{z}{a_0^1} \overline{f_n}(\chi) \right) \\ &\quad + P^n \left((S^{(j)}(z\chi))_{j=0}^n, (f_j(z), g_j(z), \overline{f_j}(\chi), \overline{g_j}(\chi))_{j=0}^{n-1} \right), \end{aligned}$$

where P_n is a complex-valued polynomial (in $5n + 1$ indeterminates) which is independent of the mapping H . By the inductive hypothesis (and its conjugation), we may rewrite the last term in equation (2.9) as

$$Q^n \left(z, \chi, \lambda_0, (S^{(j)}(z\chi))_{j=0}^n \right),$$

where Q^n is complex polynomial in $n + 10$ indeterminates, independent of H . Proceeding as above, we find

$$(2.10) \quad f_n(z) = \frac{in a_n^0}{(a_0^1)^2} z^2 + \left(\frac{n b_n^0}{a_0^1 b_0^0} - \frac{a_n^1}{(a_0^1)^2} \right) z + \frac{i b_n^1}{a_0^1 b_0^0} + p_n(z, \lambda_0),$$

$$(2.11) \quad g_n(z) = \frac{i b_0^0 a_n^0}{a_0^1} z + b_n^0 + q^n(z, \lambda_0),$$

where p_n, q_n are complex polynomials in 8 indeterminates, independent of H . Substituting these and their conjugates into the identity $\Phi_{\tau^n}^H(z, \chi, 0) = 0$ and then computing $\Phi_{z^j \chi^k \tau^n}^H(0, 0, 0)$ for $j, k = 2, 3$ yields a 4×4 system of equations of the form

$$(2.12) \quad A_n \cdot (a_n^0, a_n^1, b_n^0, b_n^1)^t = B_n(\lambda_0),$$

where B_n is a \mathbb{C}^4 -valued polynomial in λ_0 , and A_n is the 4×4 matrix given by

$$\begin{pmatrix} 0 & 4n \frac{b_0^0}{a_0^1} & -2n^2 & 0 \\ -6i(n^2 - 1) \frac{b_0^0}{a_0^1} & 0 & 0 & 0 \\ 0 & 0 & 0 & 6(n^2 - 1) \\ 0 & 18i(n^2 + 2n) \frac{b_0^0}{a_0^1} & -6i(2n^3 + 3n^2 - 2n) & 0 \end{pmatrix}.$$

Observe that

$$\det(A_n) = -\frac{432(b_0^0)^2}{(a_0^1)^2} (n - 2)(n - 1)^2 n^2 (n + 1)^2 (n + 2),$$

which is nonzero for $n \geq 3$, whence A_n is invertible. By Cramer’s Rule, it follows that A_n^{-1} is a 4×4 matrix whose entries are polynomial in (a_0^1, b_0^0) and their reciprocals (and so in particular are polynomial in λ_0). Thus, equation (2.12) implies that $(a_n^0, a_n^1, b_n^0, b_n^1)$ is a polynomial in λ_0 . Substituting this into equations (2.10) and (2.11) completes the induction. \square

REFERENCES

[BER00] M. S. Baouendi, P. Ebenfelt, and L. P. Rothschild, *Local geometric properties of real submanifolds in complex space*, Bull. Amer. Math. Soc. (N.S.) **37** (2000) no. 3, 309–336 (electronic). MR **2001a**:32043
 [BG77] T. Bloom and I. Graham, *On “type” conditions for generic real submanifolds of \mathbb{C}^n* , Invent. Math. **40** (1977) no. 3, 217–243. MR **58**:28644

- [CM74] S. S. Chern and J. K. Moser, *Real hypersurfaces in complex manifolds*, Acta Math. **133** (1974), 219–271. MR **54**:13112
- [ELZ00] P. Ebenfelt, B. Lamel, and D. Zaitsev, *Finite jet determination of local analytic CR automorphisms and their parametrization by 2-jets in the finite type case*, E-print: <http://arXiv.org/abs/math.CV/0107013>, (2000).
- [Koh72] J. J. Kohn, *Boundary behavior of $\bar{\partial}$ on weakly pseudo-convex manifolds of dimension two*, J. Differential Geometry **6** (1972), 523–542. MR **48**:727
- [Kow01] R. T. Kowalski, *Rational jet dependence of formal equivalences between real-analytic hypersurfaces in \mathbb{C}^2* , E-print: <http://arXiv.org/abs/math.CV/0108165>, (2001).
- [Poi07] H. Poincaré, *Les fonctions analytiques de deux variables et la représentation conforme*, Rend. Circ. Mat. Palermo, II. Ser. **23** (1907), 544–547.
- [Vit90] A. G. Vitushkin, *Several complex variables. I* (Translation by P. M. Gauthier), Springer-Verlag, Berlin, 1990, pp. 159–214. MR **90j**:32003

DEPARTMENT OF MATHEMATICS, 0112, UNIVERSITY OF CALIFORNIA, SAN DIEGO, LA JOLLA, CALIFORNIA 92093-0112

E-mail address: kowalski@math.ucsd.edu