

## HYPERELLIPTIC SURFACES ARE LOEWNER

MIKHAIL G. KATZ AND STÉPHANE SABOURAU

(Communicated by Jon G. Wolfson)

ABSTRACT. We prove that C. Loewner's inequality for the torus is satisfied by conformal metrics on hyperelliptic surfaces  $X$  as well. In genus 2, we first construct the Loewner loops on the (mildly singular) companion tori, locally isometric to  $X$  away from Weierstrass points. The loops are then transplanted to  $X$ , and surgered to obtain a Loewner loop on  $X$ . In higher genus, we exploit M. Gromov's area estimates for  $\varepsilon$ -regular metrics on  $X$ .

### 1. HERMITE CONSTANT AND LOEWNER SURFACES

The systole,  $\text{sys}\pi_1(\mathcal{G})$ , of a compact nonsimply connected Riemannian manifold  $(X, \mathcal{G})$  is the least length of a noncontractible loop  $\gamma \subset X$ :

$$(1.1) \quad \text{sys}\pi_1(\mathcal{G}) = \min_{[\gamma] \neq 0 \in \pi_1(X)} \text{length}(\gamma).$$

This notion of systole is apparently unrelated to the systolic arrays of [Ku78]. We will be concerned with comparing this Riemannian invariant to the total area of the metric, as in the Loewner inequality (1.3) for the torus.

The Hermite constant, denoted  $\gamma_n$ , can be defined as the optimal constant in the inequality

$$(1.2) \quad \text{sys}\pi_1(\mathbb{T}^n)^2 \leq \gamma_n \text{vol}(\mathbb{T}^n)^{2/n},$$

over the class of all *flat* tori  $\mathbb{T}^n$ . Here  $\gamma_n$  is asymptotically linear in  $n$ ; *cf.* [LLS90, pp. 334, 337]. The precise value is known for small  $n$ , *e.g.* one has  $\gamma_2 = \frac{2}{\sqrt{3}}$ ,  $\gamma_3 = 2^{\frac{1}{3}}$ . An inequality of type (1.2) remains valid in the class of *all* metrics, and in fact on a more general manifold, but with a nonsharp constant on the order of  $n^{4n}$  [Gr83].

Around 1949, Charles Loewner proved the first systolic inequality; *cf.* [Pu52]. He showed that every Riemannian metric  $\mathcal{G}$  on the torus  $\mathbb{T}^2$  satisfies the inequality

$$(1.3) \quad \text{sys}\pi_1(\mathcal{G})^2 \leq \gamma_2 \text{area}(\mathcal{G}),$$

while a metric satisfying the boundary case of equality in (1.3) is necessarily flat, and is homothetic to the quotient of  $\mathbb{C}$  by the lattice spanned by the cube roots of unity.

---

Received by the editors March 18, 2004 and, in revised form, October 26, 2004.

2000 *Mathematics Subject Classification.* Primary 53C23; Secondary 30F10.

*Key words and phrases.*  $\varepsilon$ -regular metrics, Hermite constant, hyperelliptic involution, Loewner inequality, Pu's inequality, systole, Weierstrass point.

The first author was supported by the Israel Science Foundation (grants no. 620/00-10.0 and 84/03).

Higher-dimensional optimal generalisations of the Loewner inequality are studied in [BK03, Ka03, BK04, IK04, BCIK04, Sa05]. The defining text for this material is [Gr99], with more details in [Gr83, Gr96], complemented by [Bab93]. See also the recent survey [CK03], as well as [KL04, KR04].

**Definition 1.1.** We say that a metric  $\mathcal{G}$  on a surface is *Loewner* if it satisfies the Loewner inequality (1.3).

**Question 1.2.** It follows from Gromov's estimate (2.1) that every metric on an orientable surface  $\Sigma_g$  of genus  $g$  is Loewner if  $g > 50$ . This result is improved in [KS04] to  $g \geq 20$ . Can the genus assumption be removed altogether?

Note that a similar question for Pu's inequality [Pu52] has an affirmative answer. The generalisation is immediate from Gromov's inequality (2.2). Namely, every surface  $X$  which is not a 2-sphere satisfies the inequality

$$(1.4) \quad \text{sys}\pi_1(X)^2 \leq \frac{\pi}{2} \text{area}(X),$$

where the boundary case of equality in (1.4) is attained precisely when, on one hand, the surface  $X$  is a real projective plane, and on the other, the metric is of constant Gaussian curvature.

We will prove the following result toward answering Question 1.2; *cf.* Theorem 3.1.

**Theorem 1.3.** *Every metric on an orientable surface is Loewner if it lies in a hyperelliptic conformal class. In particular, every metric on the genus 2 surface is Loewner.*

While the precise value of the systolic ratio in genus 2 is unknown in the class of all metrics, an optimal systolic inequality for CAT(0) metrics does exist [KS05]. The relevant literature and basic estimates are reviewed in Section 2. We will state the main theorem in more detail in Section 3 and prove it for genus 3 or more. We will complete the proof in the genus 2 case in Section 4.

## 2. BASIC ESTIMATES

**Definition 2.1.** The *systolic ratio* of a metric  $\mathcal{G}$  on a closed  $n$ -manifold is defined as

$$\text{SR}(\mathcal{G}) = \frac{\text{sys}\pi_1(\mathcal{G})^n}{\text{vol}_n(\mathcal{G})}.$$

The *conformal systolic ratio*, denoted  $\text{SR}_c(X)$ , of a closed  $n$ -manifold  $X$  with a chosen conformal class of metrics, is defined as

$$\text{SR}_c(X) = \sup_{\mathcal{G}} \{\text{SR}(\mathcal{G}) : \mathcal{G} \text{ a conformal metric on } X\}.$$

The supremum of the conformal systolic ratio over all the conformal structures of  $X$  is called the *optimal systolic ratio*. It is denoted by  $\text{SR}(X)$ .

M. Gromov [Gr83, p. 50] (*cf.* [Kod87, Theorem 4, part (1)]) proved a general estimate which implies that if  $\Sigma_g$  is a compact orientable surface of genus  $g$  with a Riemannian metric, then

$$(2.1) \quad \text{SR}(\Sigma_g) < \frac{64}{4\sqrt{g} + 27}.$$

Thus the optimal systolic ratio tends to 0 as the genus increases without bound.

*Remark 2.2.* It was shown in [Gr83] (see also [KS04] and [Bal04]) that asymptotically the optimal systolic ratio of a surface of genus  $g$  behaves as  $C \frac{(\log g)^2}{g}$ , as  $g \rightarrow \infty$ .

Another helpful estimate is found in [Gr83, Corollary 5.2.B]. Namely, every aspherical compact surface  $(\Sigma, \mathcal{G})$  admits a metric ball  $B = B_p(\frac{1}{2} \text{sys}\pi_1(\mathcal{G})) \subset \Sigma$  of radius  $\frac{1}{2} \text{sys}\pi_1(\mathcal{G})$ , which satisfies

$$(2.2) \quad \text{sys}\pi_1(\mathcal{G})^2 \leq \frac{4}{3} \text{area}(B).$$

Furthermore, whenever a point  $x \in \Sigma$  lies on a two-sided loop which is minimizing in its free homotopy class, the metric ball  $B_x(r) \subset \Sigma$  of radius  $r \leq \frac{1}{2} \text{sys}\pi_1(\mathcal{G})$  satisfies the estimate

$$(2.3) \quad 2r^2 < \text{area}(B_x(r)).$$

### 3. HYPERELLIPTIC SURFACES AND $\varepsilon$ -REGULARITY

Recall that a Riemann surface  $X$  is called hyperelliptic if it admits a degree 2 meromorphic function; cf. [Mi95, pp. 60-61] as well as [Mi95, Proposition 4.11, p. 92]. The associated ramified double cover

$$(3.1) \quad Q : X \rightarrow S^2$$

over the sphere  $S^2$  is conformal away from the  $2g + 2$  ramification points, where  $g$  is the genus of  $X$ . Its deck transformation  $J : X \rightarrow X$  is called the *hyperelliptic involution*. Such a holomorphic involution, if it exists, is uniquely characterized by the property of having precisely  $2g + 2$  fixed points. The fixed points of  $J$  are called Weierstrass points. Their images under the map  $Q$  of (3.1) will be referred to as *ramification points*.

**Theorem 3.1.** *Let  $(X, \mathcal{G})$  be an orientable surface, where the metric  $\mathcal{G}$  belongs to a hyperelliptic conformal class. Then  $(X, \mathcal{G})$  is Loewner.*

Since every genus 2 surface is hyperelliptic [FK92, Proposition III.7.2, page 100], we obtain the following corollary.

**Corollary 3.2.** *Every metric on the genus 2 surface is Loewner.*

Note that this is the first improvement, known to the authors, on Gromov’s  $4/3$  bound (2.2) in over 20 years, for surfaces of genus below 50; cf. Question 1.2. No extremal metric has as yet been conjectured in this genus, but it cannot be flat with conical singularities [Sa04]. The best available lower bound for the optimal systolic ratio in genus 2 can be found in [CK03, section 2.2] and [KS05].

For genus  $g \geq 3$ , our Theorem 3.1 follows from Proposition 3.6; cf. Remark 2.2 and [Kon03]. Let us go over the definitions of [Gr83, 5.1].

**Definition 3.3.** Given a Riemannian metric  $\mathcal{G}$  on  $X$ , the *tension* of a noncontractible loop  $\gamma$  based at  $x \in X$  is the upper bound of all  $\delta > 0$  such that there exists a free homotopy of  $\gamma$  which diminishes the length of  $\gamma$  by  $\delta$ . The tension is denoted  $\text{tens}_{\mathcal{G}}(\gamma)$ .

**Definition 3.4.** The height  $h_{\mathcal{G}}(x)$  of  $x \in X$  is defined as the lower bound of the tensions of the noncontractible loops based at  $x$ . Note that the height function is 2-Lipschitz.

A metric  $\mathcal{G}$  is said to be  $\varepsilon$ -regular with  $\varepsilon < \text{sys}\pi_1(\mathcal{G})$  if its height function  $h_{\mathcal{G}}$  is bounded from above by  $\varepsilon$ .

**Lemma 3.5.** *Given any conformal metric  $\mathcal{G}$  on  $(\Sigma_g, J)$  and a real number  $\delta > 0$ , there exists an  $\varepsilon$ -regular,  $J$ -invariant, conformal metric  $\bar{\mathcal{G}}$  with a systolic ratio at least  $\text{SR}(\mathcal{G}) - \delta$ .*

*Proof.* The proof appears in [Gr83, 5.6.C'''] in the general case, and proceeds by a (finite) sequence of modifications of the metric in suitable small disks, while staying in the same conformal class. Note that averaging the metric by  $J$  improves the systolic ratio and does not change the conformal class. Thus we can assume that  $\mathcal{G}$  is  $J$ -invariant. To adapt the proof to our situation, we perform the modifications in a  $J$ -invariant way.  $\square$

**Proposition 3.6.** *Every hyperelliptic surface  $(\Sigma_g, J)$  of genus  $g$  satisfies the estimate*

$$\text{SR}_c(\Sigma_g) \leq \frac{4}{g+1}.$$

*Proof.* Let  $\mathcal{G}$  be a conformal metric on the surface. By Lemma 3.5, we can assume that the metric  $\mathcal{G}$  is  $J$ -invariant and  $\varepsilon$ -regular. Since the metric is  $J$ -invariant, the distance between any pair of the  $2g+2$  Weierstrass points is at least  $\frac{1}{2}\text{sys}\pi_1(\mathcal{G})$ . Thus, the disks of radius  $R = \frac{1}{4}\text{sys}\pi_1(\mathcal{G})$  centered at the Weierstrass points are disjoint. From [Gr83, 5.1.B] (see also [He81]), the area of such a disk on an  $\varepsilon$ -regular surface is at least

$$2R^2 - \varepsilon = \frac{1}{8}\text{sys}\pi_1(\mathcal{G})^2 - \varepsilon;$$

cf. (2.3). Therefore, we have

$$\text{area}(\mathcal{G}) \geq (2g+2) \left( \frac{1}{8}\text{sys}\pi_1(\mathcal{G})^2 - \varepsilon \right).$$

Since this is true for all  $\varepsilon > 0$ , the proposition is proved.  $\square$

#### 4. PROOF OF THEOREM 3.1 IN GENUS TWO

Let  $X$  be a genus 2 surface. Recall that  $X$  has a hyperelliptic involution  $J$  with 6 Weierstrass points.

The idea of the proof of Theorem 3.1 in genus 2 is to apply the Loewner inequality to certain *companion tori* of  $X$ , and to surger the resulting loops so as to obtain a Loewner loop on  $X$ . We may need the following lemma.

**Lemma 4.1.** *Let  $\mathbb{T}^2$  be a torus endowed with a metric invariant under its hyperelliptic involution  $J_{\mathbb{T}^2}$ , with conical singularities with total angle less than  $2\pi$  around each. Then the image of a systolic loop of  $\mathbb{T}^2$  in  $S^2$  under the hyperelliptic projection is a simple loop.*

*Proof.* Let  $\gamma \subset \mathbb{T}^2$  be a systolic loop. Since  $J_{\mathbb{T}^2}$  induces minus the identity homomorphism on  $\pi_1(\mathbb{T}^2)$ , the loops  $\gamma$  and  $-J_{\mathbb{T}^2}(\gamma)$  are homotopic. Under the hypotheses of our lemma, two homotopic systolic loops are necessarily disjoint. Hence the image of  $\gamma$  on  $S^2$  is simple.  $\square$

**Definition 4.2.** A *companion torus*  $\mathbb{T}(a, b, c, d)$  of  $X$  is a torus whose ramification locus  $\{a, b, c, d\} \subset S^2$  is a subset of the ramification locus of  $X$ .

As in the proof of Proposition 3.6, we can assume that the metric on  $X$  is invariant under  $J$  (see [BCIK05]). Therefore  $\mathcal{G}$  descends to a metric  $\mathcal{G}_0$ , of half the area, on  $S^2$ . Let us choose four of the 6 ramification points, say  $a, b, c, d \in S^2$ . Choose a double cover with ramification locus  $\{a, b, c, d\}$ , denoted

$$\mathbb{T}^2(a, b, c, d) \rightarrow S^2.$$

Pulling back the metric  $\mathcal{G}_0$  to the torus  $\mathbb{T}^2(a, b, c, d)$ , we obtain a metric of the same area as the surface  $X$  itself. This metric on the torus is smooth away from the two remaining points, where it has a conical singularity with total angle  $\pi$  around each. Consider a Loewner loop

$$L_L \subset \mathbb{T}^2(a, b, c, d)$$

on this torus, *e.g.* a systolic loop realizing (1.3). Let  $L$  be the projection of  $L_L$  to  $S^2$ . The simple loop  $L \subset S^2$  separates the four points  $a, b, c, d$  into two pairs, say  $a, b$  on one side and  $c, d$ , on the other. If the lift of  $L$  to  $X$  closes up, we obtain a Loewner loop on  $X$  and the theorem is proved. Thus, we may assume that the following three equivalent conditions are satisfied:

- (1) the lift of  $L$  to  $X$  does not close up;
- (2) the inverse image  $Q^{-1}(L) \subset X$  under  $Q$  of (3.1) is connected;
- (3) the loop  $L$  surrounds precisely 3 ramification points of  $Q$ .

The last condition is equivalent to the first two since every based loop is homotopic, in the complement of the ramification points, to a composition of some loops from a finite collection of “standard” simple based loops, circling each of the ramification points. Meanwhile, going once around such a standard loop clearly switches the two sheets of the cover.

**Definition 4.3.** The simple loop  $L$  partitions the sphere into two hemispheres,  $H_+$  and  $H_-$ , with  $a, b, e \in H_+$  and  $c, d, f \in H_-$ , where  $a, b, c, d, e, f$  are the 6 ramification points of  $Q$ .

Using a pair of companion tori, we will construct two loops on the sphere, defining two distinct partitions of the ramification locus into a pair of triples. The basic example to think of is the case of a centrally symmetric 6-tuple of points, corresponding for instance to the curve

$$y^2 = x^5 - x,$$

and a pair of generic great circles, such that each of the four digons contains at least one ramification point. We now construct a companion torus  $\mathbb{T}(a, b, e, f)$ .

Consider a Loewner loop  $L_{L'} \subset \mathbb{T}^2(a, b, e, f)$ , and its projection  $L' \subset S^2$ . If its lift to  $X$  closes up, the theorem is proved. Therefore assume that the lift of  $L'$  to  $X$  does not close up, *i.e.*  $L'$  surrounds exactly 3 ramification points. Now  $L'$  separates the four points  $a, b, e, f$  into two pairs. Hence it defines a different splitting of the six points into two triples. The connected components of  $L' \cap H_+$  form a nonempty finite collection of disjoint nonselfintersecting arcs  $\alpha$ .

Each arc  $\alpha$  divides  $H_+$  into a pair of regions homeomorphic to disks. Such regions are partially ordered by inclusion. A minimal element for the partial order is necessarily a digon. Such a digon must contain at least one ramification point of  $Q$  (otherwise exchange the two sides of the digon between the loops  $L$  and  $L'$ , so as to decrease the total number of intersections, or else argue as in Lemma 4.1). It is clear that there are at least two such digons in  $H_+$ .

Hence one of them, denoted  $D \subset H_+$ , must contain precisely one of the 3 ramification points of  $H_+$ . We now exchange the two sides of  $D$  between the loops  $L, L'$ , obtaining two new loops  $M, M'$ . Each of the new loops surrounds a nonzero even number of ramification points. Since

$$\text{length}(M) + \text{length}(M') = \text{length}(L) + \text{length}(L'),$$

one of the loops  $M$  or  $M'$  is no longer than Loewner. Moreover, its lift to  $X$  closes up, producing a Loewner loop on  $X$ , as required.

#### REFERENCES

- [Bab93] Babenko, I.: Asymptotic invariants of smooth manifolds. *Russian Acad. Sci. Izv. Math.* **41** (1993), 1–38. MR1208148 (94d:53068)
- [Bal04] Balacheff, F.: Sur des problèmes de la géométrie systolique. *Sémin. Théor. Spectr. Géom. Grenoble*, **22** (2004), 71–82.
- [BCIK04] Bangert, V.; Croke, C.; Ivanov, S.; Katz, M.: Boundary case of equality in optimal Loewner-type inequalities, *Trans. A.M.S.*, to appear. See [arXiv:math.DG/0406008](https://arxiv.org/abs/math/0406008)
- [BCIK05] Bangert, V.; Croke, C.; Ivanov, S.; Katz, M.: Filling area conjecture and ovalless real hyperelliptic surfaces, *Geometric and Functional Analysis (GAFA)* **15** (2005), no. 3. See [arXiv:math.DG/0405583](https://arxiv.org/abs/math/0405583)
- [BK03] Bangert, V.; Katz, M.: Stable systolic inequalities and cohomology products, *Comm. Pure Appl. Math.* **56** (2003), 979–997. Available at [arXiv:math.DG/0204181](https://arxiv.org/abs/math/0204181) MR1990484 (2004g:53047)
- [BK04] Bangert, V.; Katz, M.: An optimal Loewner-type systolic inequality and harmonic one-forms of constant norm. *Comm. Anal. Geom.* **12** (2004), number 3, 703–732. See [arXiv:math.DG/0304494](https://arxiv.org/abs/math/0304494)
- [CK03] Croke, C.; Katz, M.: Universal volume bounds in Riemannian manifolds, *Surveys in Differential Geometry VIII* (2003), 109–137. Available at [arXiv:math.DG/0302248](https://arxiv.org/abs/math/0302248) MR2039987
- [FK92] Farkas, H. M.; Kra, I.: Riemann surfaces. Second edition. *Graduate Texts in Mathematics* **71**. Springer-Verlag, New York, 1992. MR1139765 (93a:30047)
- [Gr83] Gromov, M.: Filling Riemannian manifolds, *J. Diff. Geom.* **18** (1983), 1–147. MR0697984 (85h:53029)
- [Gr96] Gromov, M.: Systoles and intersystolic inequalities. *Actes de la Table Ronde de Géométrie Différentielle (Luminy, 1992)*, 291–362, Sémin. Congr., vol. 1, Soc. Math. France, Paris, 1996. [www.emis.de/journals/SC/1996/1/ps/smf\\_sem-cong\\_1\\_291-362.ps.gz](http://www.emis.de/journals/SC/1996/1/ps/smf_sem-cong_1_291-362.ps.gz) MR1427763 (99a:53051)
- [Gr99] Gromov, M.: Metric structures for Riemannian and non-Riemannian spaces. *Progr. in Mathematics* **152**, Birkhäuser, Boston, 1999. MR1699320 (2000d:53065)
- [He81] Hebda, J. : Some lower bounds for the area of surfaces. *Invent. Math.* **65** (1981/82), no. 3, 485–490. MR0643566 (83e:53043)
- [IK04] Ivanov, S.; Katz, M.: Generalized degree and optimal Loewner-type inequalities, *Israel J. Math.* **141** (2004), 221–233. [arXiv:math.DG/0405019](https://arxiv.org/abs/math/0405019) MR2063034
- [Ka03] Katz, M.: Four-manifold systoles and surjectivity of period map, *Comment. Math. Helv.* **78** (2003), 772–876. [arXiv:math.DG/0302306](https://arxiv.org/abs/math/0302306) MR2016695
- [KL04] Katz, M.; Lescop, C.: Filling area conjecture, optimal systolic inequalities, and the fiber class in abelian covers, Proceedings of conference and workshop in memory of R. Brooks, *Israel Mathematical Conference Proceedings*, Contemporary Math., A.M.S., Providence, R.I. (to appear).
- [KR04] Katz, M.; Rudyak, Y.: Lusternik-Schnirelmann category and systolic category of low dimensional manifolds. *Communications on Pure and Applied Mathematics*, to appear. See [arXiv:math.DG/0410456](https://arxiv.org/abs/math/0410456)
- [KS04] Katz, M.; Sabourau, S.: Entropy of systolically extremal surfaces and asymptotic bounds, *Ergodic Theory and Dynamical Systems*, **25** (2005). See [arXiv:math.DG/0410312](https://arxiv.org/abs/math/0410312)

- [KS05] Katz, M.; Sabourau, S.: An optimal systolic inequality for CAT(0) metrics in genus two, preprint. See [arXiv:math.DG/0501017](https://arxiv.org/abs/math/0501017)
- [Kod87] Kodani, S.: On two-dimensional isosystolic inequalities, *Kodai Math. J.* **10** (1987), no. 3, 314–327. MR0929991 (89d:53089)
- [Kon03] Kong, J.: Seshadri constants on Jacobian of curves. *Trans. Amer. Math. Soc.* **355** (2003), no. 8, 3175–3180. MR1974680 (2004f:14041)
- [Ku78] Kung, H. T.; Leiserson, C. E.: Systolic arrays (for VLSI). Sparse Matrix Proceedings 1978 (Sympos. Sparse Matrix Comput., Knoxville, Tenn., 1978), pp. 256–282, SIAM, Philadelphia, Pa., 1979. MR0566379 (81h:68004)
- [LLS90] Lagarias, J.C.; Lenstra, H.W., Jr.; Schnorr, C.P.: Bounds for Korkin-Zolotarev reduced bases and successive minima of a lattice and its reciprocal lattice. *Combinatorica* **10** (1990), 343–358. MR1099248 (92a:11075)
- [Mi95] Miranda, R.: Algebraic curves and Riemann surfaces. *Graduate Studies in Mathematics* **5**. American Mathematical Society, Providence, RI, 1995. MR1326604 (96f:14029)
- [Pu52] Pu, P.M.: Some inequalities in certain nonorientable Riemannian manifolds, *Pacific J. Math.* **2** (1952), 55–71. MR0048886 (14:87e)
- [Sa04] Sabourau, S.: Systoles des surfaces plates singulières de genre deux, *Math. Zeitschrift* **247** (2004), no. 4, 693–709. MR2077416
- [Sa05] Sabourau, S.: Systolic volume and minimal entropy of aspherical manifolds, preprint.

DEPARTMENT OF MATHEMATICS AND STATISTICS, BAR ILAN UNIVERSITY, RAMAT GAN 52900, ISRAEL

*E-mail address:* [katzmik@math.biu.ac.il](mailto:katzmik@math.biu.ac.il)

LABORATOIRE DE MATHÉMATIQUES ET PHYSIQUE THÉORIQUE, UNIVERSITÉ DE TOURS, PARC DE GRANDMONT, 37400 TOURS, FRANCE

*Current address:* Mathematics and Computer Science Department, St. Joseph's University, 5600 City Avenue, Philadelphia, Pennsylvania 19131

*E-mail address:* [sabourau@lmpt.univ-tours.fr](mailto:sabourau@lmpt.univ-tours.fr)