

## ON MEAN ERGODIC CONVERGENCE IN THE CALKIN ALGEBRAS

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ABSTRACT. In this paper we give a geometric characterization of mean ergodic convergence in the Calkin algebras for Banach spaces that have the bounded compact approximation property.

### 1. INTRODUCTION

Let  $X$  be a real or complex Banach space and let  $B(X)$  be the algebra of all bounded linear operators on  $X$ . Suppose that  $T \in B(X)$  and consider the sequence

$$M_n(T) := \frac{I + T + \dots + T^n}{n + 1}, \quad n \geq 1.$$

In [3], Dunford considered the norm convergence of  $(M_n(T))_n$  and established the following characterizations.

**Theorem 1.1.** *Suppose that  $X$  is a complex Banach space and that  $T \in B(X)$  satisfies  $\frac{\|T^n\|}{n} \rightarrow 0$ . Then the following conditions are equivalent.*

- (1)  $(M_n(T))_n$  converges in norm to an element in  $B(X)$ .
- (2) 1 is a simple pole of the resolvent of  $T$  or 1 is in the resolvent set of  $T$ .
- (3)  $(I - T)^2$  has closed range.

It was then discovered by Lin in [6] that  $I - T$  having closed range is also an equivalent condition. Moreover, Lin's argument worked also for real Banach spaces. This result was later improved by Mbekhta and Zemánek in [9], in which they showed that  $(I - T)^m$  having closed range, where  $m \geq 1$ , are also equivalent conditions. More precisely,

**Theorem 1.2.** *Let  $m \geq 1$ . Suppose that  $X$  is a real or complex Banach space and that  $T \in B(X)$  satisfies  $\frac{\|T^n\|}{n} \rightarrow 0$ . Then the sequence  $(M_n(T))_n$  converges in norm to an element in  $B(X)$  if and only if  $(I - T)^m$  has closed range.*

Let  $K(X)$  be the closed ideal of compact operators in  $B(X)$ . If  $T \in B(X)$ , then its image in the Calkin algebra  $B(X)/K(X)$  is denoted by  $\dot{T}$ . By Dunford's

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Theorem 1.1 or by an analogous version for Banach algebras (without condition (3)), when  $X$  is a complex Banach space and  $\frac{\|\dot{T}^n\|}{n} \rightarrow 0$ , the convergence of  $(M_n(\dot{T}))_n$  in the Calkin algebra is equivalent to 1 being a simple pole of the resolvent of  $\dot{T}$  or being in the resolvent set of  $\dot{T}$ . But even if we are given that the limit  $\dot{P} \in B(X)/K(X)$  of  $(M_n(\dot{T}))_n$  exists, there is no obvious geometric interpretation of  $\dot{P}$ . In the context of Theorems 1.1 and 1.2, if the limit of  $(M_n(T))_n$  exists, then it is a projection onto  $\ker(I - T)$ . In the context of the Calkin algebra, the limit  $\dot{P}$  is still an idempotent in  $B(X)/K(X)$ ; hence by making a compact perturbation, we can assume that  $P$  is an idempotent in  $B(X)$  (see Lemma 2.6 below).

A natural question to ask is: what is the range of  $P$ ? Although the range of  $P$  is not unique (since  $P$  is only unique up to a compact perturbation), it can be thought of as an analog of  $\ker(I - T)$  in the Calkin algebra setting. If  $T_0 \in B(X)$ , then  $\ker T_0$  is the maximal subspace of  $X$  on which  $T_0 = 0$ . This suggests that the analog of  $\ker T_0$  in the Calkin algebra setting is the maximal subspace of  $X$  on which  $T_0$  is compact. But the maximal subspace does not exist unless it is the whole space  $X$ . Thus, we introduce the following concept.

Let  $X$  be a Banach space and let  $(P)$  be a property that a subspace  $M$  of  $X$  may or may not have. We say that a subspace  $M \subset X$  is an *essentially maximal* subspace of  $X$  satisfying  $(P)$  if it has  $(P)$  and if every subspace  $M_0 \supset M$  having property  $(P)$  satisfies  $\dim M_0/M < \infty$ .

Then the analog of  $\ker T_0$  in the Calkin algebra setting is an essentially maximal subspace of  $X$  on which  $T_0$  is compact. It turns that if such an analog for  $I - T$  exists, then it is already sufficient for the convergence of  $(M_n(\dot{T}))_n$  in the Calkin algebra (at least for a large class of Banach spaces), which is the main result of this paper.

Before stating this theorem, we recall that a Banach space  $Z$  has the *bounded compact approximation property* (BCAP) if there is a uniformly bounded net  $(S_\alpha)_{\alpha \in \Lambda}$  in  $K(Z)$  converging strongly to the identity operator  $I \in B(Z)$ . It is always possible to choose  $\Lambda$  to be the set of all finite dimensional subspaces of  $Z$  directed by inclusion. If the net  $(S_\alpha)_{\alpha \in \Lambda}$  can be chosen so that  $\sup_{\alpha \in \Lambda} \|S_\alpha\| \leq \lambda$ , then we say that  $Z$  has the  $\lambda$ -BCAP. It is known that if a reflexive space has the BCAP, then the space has the 1-BCAP. For  $T \in B(X)$ , the essential norm  $\|T\|_e$  is the norm of  $\dot{T}$  in  $B(X)/K(X)$ .

**Theorem 1.3.** *Let  $m \geq 1$ . Suppose that  $X$  is a real or complex Banach space having the bounded compact approximation property. If  $T \in B(X)$  satisfies  $\frac{\|T^m\|_e}{n} \rightarrow 0$ , then the following conditions are equivalent.*

- (1) *The sequence  $(M_n(\dot{T}))_n$  converges in norm to an element in  $B(X)/K(X)$ .*
- (2) *There is an essentially maximal subspace of  $X$  on which  $(I - T)^m$  is compact.*

The idea of the proof is to reduce Theorem 1.3 to Theorem 1.2 by constructing a Banach space  $\widehat{X}$  and an embedding  $f : B(X)/K(X) \rightarrow B(\widehat{X})$  so that if  $T \in B(X)$  and there is an essentially maximal subspace  $M$  of  $X$  on which  $T$  is compact, then  $f(\dot{T})$  has closed range, and then applying Theorem 1.2 to  $f(\dot{T})$ . The BCAP of  $X$  is used to show that  $f$  is an embedding but is not used in the construction of  $\widehat{X}$  and  $f$ . The construction of  $f$  is based on the Calkin representation [1, Theorem 5.5].

2. THE CALKIN REPRESENTATION FOR BANACH SPACES

In this section,  $X$  is a fixed infinite dimensional Banach space. Let  $\Lambda_0$  be the set of all finite dimensional subspaces of  $X$  directed by inclusion  $\subset$ . Then  $\{\{\alpha \in \Lambda_0 : \alpha \supset \alpha_0\} : \alpha_0 \in \Lambda_0\}$  is a filter base on  $\Lambda_0$ , so it is contained in an ultrafilter  $U$  on  $\Lambda_0$ .

Let  $Y$  be an arbitrary infinite dimensional Banach space and let  $(Y^*)^U$  be the ultrapower (see e.g. [2, Chapter 8]) of  $Y^*$  with respect to  $U$ . (The ultrafilter  $U$  and the directed set  $\Lambda_0$  do not depend on  $Y$ .) If  $(y_\alpha^*)_{\alpha \in \Lambda_0}$  is a bounded net in  $Y^*$ , then its image in  $(Y^*)^U$  is denoted by  $(y_\alpha^*)_{\alpha, U}$ . Consider the (complemented) subspace

$$\widehat{Y} := \left\{ (y_\alpha^*)_{\alpha, U} \in (Y^*)^U : w^* \text{-} \lim_{\alpha, U} y_\alpha^* = 0 \right\}$$

of  $(Y^*)^U$ . Here  $w^* \text{-} \lim_{\alpha, U} y_\alpha^*$  is the  $w^*$ -limit of  $(y_\alpha^*)_{\alpha \in \Lambda_0}$  through  $U$ , which exists by the Banach-Alaoglu Theorem.

Whenever  $T \in B(X, Y)$ , we can define an operator  $\widehat{T} \in B(\widehat{Y}, \widehat{X})$  by sending  $(y_\alpha^*)_{\alpha, U}$  to  $(T^*y_\alpha^*)_{\alpha, U}$ . Note that if  $K \in K(X, Y)$ , then  $\widehat{K} = 0$ , where  $K(X, Y)$  denotes the space of all compact operators in  $B(X, Y)$ .

**Theorem 2.1.** *Suppose that  $X$  has the  $\lambda$ -BCAP. Then the operator*

$$f : B(X)/K(X) \rightarrow B(\widehat{X}), \dot{T} \mapsto \widehat{T},$$

*is a conjugate linear norm one  $(\lambda + 1)$ -embedding into  $B(\widehat{X})$  satisfying*

$$f(\dot{I}) = I \text{ and } f(\dot{T}_1 \dot{T}_2) = f(\dot{T}_2) f(\dot{T}_1), \quad T_1, T_2 \in B(X).$$

*Proof.* It is easy to verify that  $f$  is a conjugate linear map,  $f(\dot{I}) = I$ , and  $f(\dot{T}_1 \dot{T}_2) = f(\dot{T}_2) f(\dot{T}_1)$  for  $T_1, T_2 \in B(X)$ . If  $T \in B(X)$ , then clearly  $\|f(\dot{T})\| \leq \|T\|$ , and thus we also have  $\|f(\dot{T})\| \leq \|T\|_e$ . Hence  $\|f\| \leq 1$ . It remains to show that  $f$  is a  $(\lambda + 1)$ -embedding (i.e.,  $\inf_{\|T\|_e > 1} \|f(\dot{T})\| \geq (\lambda + 1)^{-1}$ ).

To do this, let  $T \in B(X)$  satisfy  $\|T\|_e > 1$ . Since  $X$  has the  $\lambda$ -BCAP, we can find a net of operators  $(S_\alpha)_{\alpha \in \Lambda_0} \subset K(X)$  converging strongly to  $I$  such that  $\sup_{\alpha \in \Lambda_0} \|S_\alpha\| \leq \lambda$ . Then  $\|T^*(I - S_\alpha)^*\| = \|(I - S_\alpha)T\| \geq \|T\|_e > 1$ ,  $\alpha \in \Lambda_0$ . Thus, there exists  $(x_\alpha^*)_{\alpha \in \Lambda_0} \subset X^*$  such that  $\|x_\alpha^*\| = 1$  and  $\|T^*(I - S_\alpha)^*x_\alpha^*\| > 1$  for  $\alpha \in \Lambda_0$ .

Note that for every  $x \in X$ ,

$$\limsup_{\alpha \in \Lambda_0} |\langle (I - S_\alpha)^*x_\alpha^*, x \rangle| = \limsup_{\alpha \in \Lambda_0} |\langle x_\alpha^*, (I - S_\alpha)x \rangle| \leq \limsup_{\alpha \in \Lambda_0} \|(I - S_\alpha)x\| = 0,$$

and so the net  $((I - S_\alpha)^*x_\alpha^*)_{\alpha \in \Lambda_0}$  converges in the  $w^*$ -topology to 0. By the construction of  $U$ , this implies that

$$w^* \text{-} \lim_{\alpha, U} (I - S_\alpha)^*x_\alpha^* = 0.$$

Therefore, due to the definition  $f(\dot{T}) = \widehat{T}$ , we obtain

$$\begin{aligned} (1 + \lambda)\|f(\dot{T})\| &\geq \|f(\dot{T})\| \lim_{\alpha, U} \|(I - S_\alpha)^*x_\alpha^*\| = \|f(\dot{T})\| \|((I - S_\alpha)^*x_\alpha^*)_{\alpha, U}\| \\ &\geq \|f(\dot{T})\| \|(I - S_\alpha)^*x_\alpha^*\|_{\alpha, U} \\ &= \lim_{\alpha, U} \|T^*(I - S_\alpha)^*x_\alpha^*\| \geq 1. \end{aligned}$$

It follows that  $\|f(\hat{T})\| \geq (1 + \lambda)^{-1}$  whenever  $\|T\|_e > 1$ . □

*Remark 1.* We do not know whether Theorem 2.1 is true without the hypothesis that  $X$  has the BCAP.

*Remark 2.* The embedding in Theorem 2.1 is an isometry if the approximating net can be chosen so that  $\|I - S_\alpha\| = 1$  for every  $\alpha$ . This is the case if, for example, the space  $X$  has a 1-unconditional basis. However, we do not know whether the embedding is an isometry if  $X = L_p(0, 1)$  with  $p \neq 2$ .

If  $N$  is a subset of  $Y^*$ , then we can define a subset  $N'$  of  $\hat{Y}$  by

$$N' := \left\{ (y_\alpha^*)_{\alpha,U} \in \hat{Y} : \lim_{\alpha,U} d(y_\alpha^*, N) = 0 \right\},$$

where

$$d(y_\alpha^*, N) := \inf_{z^* \in N} \|y_\alpha^* - z^*\|.$$

**Lemma 2.2.** *If  $N$  is a  $w^*$ -closed subspace of  $Y^*$ , then for every  $(y_\alpha^*)_{\alpha,U} \in \hat{Y}$ ,*

$$d((y_\alpha^*)_{\alpha,U}, N') \leq 2 \lim_{\alpha,U} d(y_\alpha^*, N).$$

*Proof.* Let  $a = \lim_{\alpha,U} d(y_\alpha^*, N)$ . Let  $\delta > 0$ . Then

$$A := \{ \alpha \in \Lambda : d(y_\alpha^*, N) < a + \delta \} \in U.$$

Whenever  $\alpha \in A$ ,  $\|y_\alpha^* - z_\alpha^*\| < a + \delta$  for some  $z_\alpha^* \in N$ . If we take  $z_\alpha^* = 0$  for  $\alpha \notin A$ , then, since  $\sup_{\alpha \in \Lambda} \|y_\alpha^*\| < \infty$ ,

$$\sup_{\alpha \in \Lambda} \|z_\alpha^*\| = \sup_{\alpha \in A} \|z_\alpha^*\| \leq (a + \delta) + \sup_{\alpha \in A} \|y_\alpha^*\| < \infty.$$

As a consequence,  $\left( z_\alpha^* - w^*\text{-}\lim_{\beta,U} z_\beta^* \right)_{\alpha,U} \in N'$ , since  $N$  is  $w^*$ -closed. Therefore,

$$\begin{aligned} d((y_\alpha^*)_{\alpha,U}, N') &\leq d\left( (y_\alpha^*)_{\alpha,U}, \left( z_\alpha^* - w^*\text{-}\lim_{\beta,U} z_\beta^* \right)_{\alpha,U} \right) \\ &= \lim_{\alpha,U} \left\| y_\alpha^* - z_\alpha^* + w^*\text{-}\lim_{\beta,U} z_\beta^* \right\| \\ &\leq \lim_{\alpha,U} \|y_\alpha^* - z_\alpha^*\| + \left\| w^*\text{-}\lim_{\beta,U} z_\beta^* \right\| \\ &\leq (a + \delta) + \left\| w^*\text{-}\lim_{\beta,U} (z_\beta^* - y_\beta^*) \right\| \\ &\leq (a + \delta) + \lim_{\beta,U} \|z_\beta^* - y_\beta^*\| \leq 2(a + \delta). \end{aligned}$$

But  $\delta$  can be arbitrarily close to 0, so  $d((y_\alpha^*)_{\alpha,U}, N') \leq 2a = 2 \lim_{\alpha,U} d(y_\alpha^*, N)$ . □

**Proposition 2.3.** *If  $X$  and  $Y$  are infinite dimensional Banach spaces and if  $T \in B(X, Y)$  has closed range, then  $\hat{T} \in B(\hat{Y}, \hat{X})$  also has closed range.*

*Proof.* The operator  $T$  has closed range, so  $T^*$  also has closed range. Let  $c = \inf\{\|T^*y^*\| : y^* \in Y^*, d(y^*, \ker T^*) = 1\} > 0$ . Then by Lemma 2.2, for every  $(y_\alpha^*)_{\alpha,U} \in \widehat{Y}$ ,

$$\|\widehat{T}(y_\alpha^*)_{\alpha,U}\| = \lim_{\alpha,U} \|T^*y_\alpha^*\| \geq c \lim_{\alpha,U} d(y_\alpha^*, \ker T^*) \geq \frac{c}{2} d((y_\alpha^*)_{\alpha,U}, (\ker T^*)').$$

But obviously  $(\ker T^*)' \subset \ker \widehat{T}$ , and so

$$\|\widehat{T}(y_\alpha^*)_{\alpha,U}\| \geq \frac{c}{2} d((y_\alpha^*)_{\alpha,U}, \ker \widehat{T}), \quad (y_\alpha^*)_{\alpha,U} \in \widehat{Y}.$$

Hence  $\widehat{T}$  has closed range. □

**Lemma 2.4.** *Suppose that  $X \subset Y$  and that  $T \in B(X)$ . Let  $T_0 \in B(X, Y)$ ,  $x \mapsto Tx$ . Then  $\widehat{T}_0\widehat{Y} = \widehat{T}\widehat{X}$ .*

*Proof.* If  $(y_\alpha^*)_{\alpha,U} \in \widehat{Y}$ , then for each  $\alpha \in \Lambda$ , we have  $T_0^*y_\alpha^* = T^*(y_{\alpha|X}^*)$  and  $(y_{\alpha|X}^*)_{\alpha,U} \in \widehat{X}$ . Thus  $\widehat{T}_0(y_\alpha^*)_{\alpha,U} = (T_0^*y_\alpha^*)_{\alpha,U} = (T^*(y_{\alpha|X}^*))_{\alpha,U} = \widehat{T}(y_{\alpha|X}^*)_{\alpha,U} \in \widehat{T}\widehat{X}$ . Hence  $\widehat{T}_0\widehat{Y} \subset \widehat{T}\widehat{X}$ .

Conversely, if  $(x_\alpha^*)_{\alpha,U} \in \widehat{X}$ , then we can extend each  $x_\alpha^*$  to an element  $y_\alpha^* \in Y^*$  such that  $\|y_\alpha^*\| = \|x_\alpha^*\|$ . Thus we have  $(y_\alpha^* - w^*\text{-}\lim_{\beta,U} y_\beta^*)_{\alpha,U} \in \widehat{Y}$ . Note that

$$T_0^* \left( w^*\text{-}\lim_{\beta,U} y_\beta^* \right) = w^*\text{-}\lim_{\beta,U} T_0^*y_\beta^* = w^*\text{-}\lim_{\beta,U} T^*x_\beta^* = T^* \left( w^*\text{-}\lim_{\beta,U} x_\beta^* \right) = 0.$$

This implies that

$$\begin{aligned} \widehat{T}(x_\alpha^*)_{\alpha,U} = (T^*x_\alpha^*)_{\alpha,U} &= (T_0^*y_\alpha^*)_{\alpha,U} \\ &= \left( T_0^* \left( y_\alpha^* - w^*\text{-}\lim_{\beta,U} y_\beta^* \right) \right)_{\alpha,U} \\ &= \widehat{T}_0 \left( y_\alpha^* - w^*\text{-}\lim_{\beta,U} y_\beta^* \right)_{\alpha,U} \in \widehat{T}_0\widehat{Y}. \end{aligned}$$

Therefore  $\widehat{T}\widehat{X} \subset \widehat{T}_0\widehat{Y}$ . □

**Proposition 2.5.** *Suppose that  $T \in B(X)$  and that there exists an essentially maximal subspace  $M$  of  $X$  on which  $T$  is compact. Then  $\widehat{T}$  has closed range.*

*Proof.* Without loss of generality, we may assume that  $X$  is a subspace of  $Y = \ell_\infty(J)$  for some set  $J$ . Define  $T_0 \in B(X, \ell_\infty(J))$ ,  $x \mapsto Tx$ . Then by assumption, there is an essentially maximal subspace  $M$  of  $X$  on which  $T_0$  is compact. By [7, Theorem 3.3], there exists  $K \in K(X, \ell_\infty(J))$  such that  $K|_M = T_0|_M$ .

We now show that  $T_0 - K \in B(X, \ell_\infty(J))$  has closed range. Since  $M \subset \ker(T_0 - K)$  and  $M$  is an essentially maximal subspace of  $X$  on which  $T_0 - K$  is compact,  $\ker(T_0 - K)$  is an essentially maximal subspace of  $X$  on which  $T_0 - K$  is compact.

Let  $\pi$  be the quotient map from  $X$  onto  $X/\ker(T_0 - K)$ . Define the (one-to-one) operator  $R : X/\ker(T_0 - K) \rightarrow \ell_\infty(J)$ ,  $\pi x \mapsto (T_0 - K)x$ . If  $R$  does not have closed range, then by [8, Proposition 2.c.4],  $R$  is compact on an infinite dimensional subspace  $V$  of  $X/\ker(T_0 - K)$ . Hence,  $T_0 - K$  is compact on  $\pi^{-1}V$  and so by the essential maximality of  $\ker(T_0 - K)$ , we have  $\dim \pi^{-1}V/\ker(T_0 - K) < \infty$ . Thus,  $V = \pi^{-1}V/\ker(T_0 - K)$  is finite dimensional, which contradicts the definition of  $V$ .

Therefore,  $R$  has closed range and so  $T_0 - K$  also has closed range. By Proposition 2.3,  $\widehat{T_0 - K}$  has closed range. But  $\widehat{K} = 0$ , so  $\widehat{T_0}$  has closed range and by Lemma 2.4,  $\widehat{T}$  has closed range.  $\square$

**Lemma 2.6.** *Suppose that  $P \in B(X)$  and that  $\dot{P}$  is an idempotent in  $B(X)/K(X)$ . Then  $P$  is the sum of an idempotent in  $B(X)$  and a compact operator on  $X$ .*

*Proof.* We first treat the case where the scalar field is  $\mathbb{C}$ . From Fredholm theory (see e.g. [5, Chapters XI and XVII]), we know that since  $\sigma(\dot{P}) \subset \{0, 1\}$ , the only possible cluster points of  $\sigma(P)$  are 0 and 1. Thus, there exists  $0 < r < 1$  such that  $\{z \in \mathbb{C} : |z - 1| = r\} \cap \sigma(P) = \emptyset$ . Then  $\dot{P} = \frac{1}{2\pi i} \oint_{|z-1|=r} (z\dot{I} - \dot{P})^{-1} dz$  and so  $P - \frac{1}{2\pi i} \oint_{|z-1|=r} (zI - P)^{-1} dz \in K(X)$ . But  $\frac{1}{2\pi i} \oint_{|z-1|=r} (zI - P)^{-1} dz$  is an idempotent in  $B(X)$  (see e.g. [10, Theorem 2.7]). This completes the proof in the complex case.

If  $X$  is a real Banach space, then let  $X_C$  and  $P_C$  be the complexifications (see [4, page 266]) of  $X$  and  $P$ , respectively. Thus,  $\dot{P}_C$  is an idempotent in  $B(X_C)/K(X_C)$ . Since the only possible cluster points of  $\sigma(P_C)$  are 0 and 1, there exists a closed rectangle  $R$  in the complex plane symmetric with respect to the real axis such that 1 is in the interior of  $R$ , 0 is in the exterior of  $R$ , and  $\sigma(P_C)$  is disjoint from the boundary  $\partial R$  of  $R$ . By [4, Lemma 3.4], the idempotent  $\frac{1}{2\pi i} \oint_{\partial R} (zI - P_C)^{-1} dz$  in  $B(X_C)$  is induced by an idempotent  $P_0$  in  $B(X)$ . Since  $P_C - \frac{1}{2\pi i} \oint_{\partial R} (zI - P_C)^{-1} dz \in K(X_C)$ , we see that  $P - P_0 \in K(X)$ .  $\square$

*Proof of Theorem 1.3.* “(1) $\Rightarrow$ (2)”: Let  $\dot{P} := \lim_{n \rightarrow \infty} \frac{\dot{I} + \dot{T} + \dots + \dot{T}^n}{n + 1}$ .

Since  $\lim_{n \rightarrow \infty} \frac{\|\dot{T}^n\|}{n} = 0$ ,

$$(2.1) \quad (\dot{I} - \dot{T})\dot{P} = \lim_{n \rightarrow \infty} (\dot{I} - \dot{T}) \frac{\dot{I} + \dot{T} + \dots + \dot{T}^n}{n + 1} = \lim_{n \rightarrow \infty} \frac{\dot{I} - \dot{T}^{n+1}}{n + 1} = 0.$$

Thus  $\dot{T}\dot{P} = \dot{P}$ , and so

$$\dot{P}^2 = \lim_{n \rightarrow \infty} \frac{\dot{P} + \dot{T}\dot{P} + \dots + \dot{T}^n\dot{P}}{n + 1} = \lim_{n \rightarrow \infty} \frac{(n + 1)\dot{P}}{n + 1} = \dot{P}.$$

Hence  $\dot{P}$  is an idempotent in  $B(X)/K(X)$ . By Lemma 2.6, there exists an idempotent  $P_0$  in  $B(X)$  such that  $P - P_0 \in K(X)$ . Replacing  $P$  with  $P_0$ , we can assume without loss of generality that  $P$  is an idempotent in  $B(X)$ . Equation (2.1) also implies that  $(I - T)P \in K(X)$ , which means that  $I - T$  is compact on  $PX$ . Hence  $(I - T)^m$  is compact on  $PX$ .

We now show that  $PX$  is an essentially maximal subspace of  $X$  on which  $(I - T)^m$  is compact. Suppose that  $(I - T)^m$  is compact on a subspace  $M_0$  of  $X$  containing  $PX$ . Let

$$f_n(z) := \frac{n + (n - 1)z + (n - 2)z^2 + \dots + z^{n-1}}{n + 1}, \quad z \in \mathbb{C}, n \geq 1.$$

Note that  $\dot{I} - \frac{\dot{I} + \dot{T} + \dots + \dot{T}^n}{n + 1} = (\dot{I} - \dot{T})f_n(\dot{T})$ . Therefore,

$$\dot{I} - \dot{P} = (\dot{I} - \dot{P})^m = \lim_{n \rightarrow \infty} f_n(\dot{T})^m (\dot{I} - \dot{T})^m,$$

and so

$$\lim_{n \rightarrow \infty} \|(I - P) - (f_n(T)^m(I - T)^m + K_n)\| = 0,$$

for some  $K_1, K_2, \dots \in K(X)$ .

Since  $(I - T)^m$  is compact on  $M_0$ , the operator  $f_n(T)^m(I - T)^m$  is compact on  $M_0$  and so is  $f_n(T)^m(I - T)^m + K_n$  on  $M_0$ . Thus  $(I - P)|_{M_0}$  is the norm limit of a sequence in  $K(M_0, X)$ , and so  $I - P$  is compact on  $M_0$ . Since  $PX \subset M_0$ , we have that  $(I - P)M_0 \subset M_0$ . Therefore,  $(I - P)|_{(I - P)M_0} = I|_{(I - P)M_0}$  is compact, and so  $(I - P)M_0$  is finite dimensional. In other words,  $\dim M_0/PX < \infty$ .

“(2) $\Rightarrow$ (1)”: By Proposition 2.5,  $(\widehat{I - T})^m = (I - \widehat{T})^m$  has closed range. Since by assumption  $\lim_{n \rightarrow \infty} \frac{\|T^n\|_e}{n} = 0$ ,  $\lim_{n \rightarrow \infty} \frac{\|\widehat{T}^n\|}{n} = \lim_{n \rightarrow \infty} \frac{\|\widehat{T}^n\|}{n} = 0$ . By Mbekhta-Zemánek’s Theorem 1.2, the sequence  $(M_n(\widehat{T}))_n$  converges in norm to an element in  $B(\widehat{X})$ . By Theorem 2.1, the result follows.  $\square$

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