

**Paul Larson, Jindrich Zapletal: Geometric set theory**

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Theorem 9.1.1 can be proved as a corollary of a simpler and stronger result.

**Theorem 0.1.** *In cofinally balanced extensions of the choiceless Solovay model  $W$ , the class of sets in  $W$  is closed under arbitrary increasing unions.*

This is to say that in such extensions, if  $A \subset W$  is a set linearly ordered by inclusion, then  $\bigcup A \in W$  holds.

*Proof.* Let  $\kappa$  be an inaccessible cardinal. Let  $P$  be a Suslin poset which is cofinally balanced below  $\kappa$ . Let  $W$  be the choiceless Solovay model derived from  $\kappa$ . Work in  $W$ . Let  $\tau$  be a  $P$ -name for a collection of sets in  $W$  which is linearly ordered by inclusion. Towards a contradiction, suppose that  $p \in P$  is a condition which forces  $\bigcup \tau \notin W$ . The name  $\tau$  is definable from some real parameter  $z \in 2^\omega$  and some additional ground model parameters. Let  $V[K]$  be an intermediate extension which contains  $z$  and the condition  $p$ , and such that the poset  $P$  is balanced in  $V[K]$ . Work in  $V[K]$ .

Let  $\langle Q, \sigma \rangle$  be a balanced pair in  $P$  such that  $Q$  is a poset of cardinality less than  $\kappa$  and  $Q \Vdash \sigma \leq \check{p}$ . Since  $Q \times \text{Coll}(\omega, < \kappa)$  forces that the condition  $\sigma$  does not decide the membership of all sets in the choiceless Solovay model in the set  $\bigcup \tau$ , there must be a poset  $R$  of cardinality less than  $\kappa$  and a  $Q \times R$ -names

- $\eta^-, \eta^+$  for conditions in  $P$  stronger than  $\sigma$ ;
- $\nu, \mu$  for elements of  $2^\omega$  and formulas  $\phi, \psi$  with parameters in  $V$  and two free variables,

such that  $Q \times R \times \text{Coll}(\omega, < \kappa)$  forces the following. Writing  $x$  for the set in the choiceless Solovay model defined by  $\phi(\nu)$ , and  $y$  for the set defined by  $\psi(\mu)$ , then  $x \in y$  and in the poset  $P$ ,  $\eta^- \Vdash \check{x} \notin \bigcup \tau$  and  $\eta^+ \Vdash_P \check{y} \in \tau$ .

Move back to the model  $W$ . Let  $H_0, H_1 \subset Q \times R$  be filters mutually generic over the model  $V[K]$ . Write  $p_0^- = \eta^-/H_0$ ,  $p_0^+ = \eta^+/H_0$ ,  $x_0$  for the set defined by  $\phi(\nu/H_0)$  and  $y_0$  for the set defined by  $\psi(\mu/H_0)$ , and similarly for subscript 1.

First, consider the conditions  $p_0^+$  and  $p_1^+$ . The balance assumption on the pair  $\langle Q, \sigma \rangle$  implies that they are compatible in  $P$ . Since they respectively force  $\check{y}_0 \in \tau$  and  $\check{y}_1 \in \tau$ , it must be the case that the sets  $y_0, y_1$  are comparable with respect to inclusion. For definiteness, assume that  $y_0 \subseteq y_1$  holds.

Now, consider the conditions  $p_0^-$  and  $p_1^+$ . The former forces  $x_0 \notin \bigcup \tau$ . At the same time, the latter forces  $x_0 \in \bigcup \tau$  since  $x_0 \in y_0 \subseteq y_1$  and it forces  $\check{y}_1 \in \tau$ . The two conditions are compatible by the balance assumption on the pair  $\langle Q, \sigma \rangle$  and they force opposite statements. This is a contradiction.  $\square$

**Corollary 0.2.** (Theorem 9.1.1) *In cofinally balanced extensions of the Solovay model  $W$ , every well-ordered sequence of elements of  $W$  belongs to  $W$ .*

*Proof.* This is proved by transfinite induction on the length of the sequence, applying the theorem at each step.  $\square$

**Corollary 0.3.** *Let  $E$  be a Borel equivalence relation on a Polish space  $X$ . In cofinally balanced extensions of the Solovay model, there is no linear ordering on an uncountable subset of the quotient space  $X/E$  with all proper initial segments countable.*

*Proof.* Let  $\kappa$  be an inaccessible cardinal. Let  $W$  be the choiceless Solovay model derived from  $\kappa$ . We first show that there is no such an ordering in  $W$ . Work in  $W$ . Suppose towards a contradiction that  $\leq$  is such an ordering on an uncountable set  $A \subset X/E$ . Both  $A$  and  $\leq$  must be defined from parameters in the ground model and an additional parameter  $z \in 2^\omega$ . Let  $V[K] \subset W$  be an intermediate forcing extension containing  $z$ . Work in  $V[K]$ .

Since the equivalence relation  $E$  is Borel, it has only fewer than  $\kappa$  many virtual classes by Theorem 2.5.6. Thus, there must be a partial ordering  $Q$  of cardinality smaller than  $\kappa$  and a  $Q$ -name  $\tau$  for an element of  $X^\omega$  such that  $Q \times \text{Coll}(\omega, < \kappa)$  forces  $\tau$  to enumerate representatives of all equivalence classes in some initial segment of  $\leq$ , containing also some class which is not a realization of a virtual  $E$ -class in  $V[K]$ .

Moving back to  $W$ , let  $H_0, H_1 \subset Q$  be filters mutually generic over  $V[K]$ . The points  $\tau/H_0, \tau/H_1 \in X^\omega$  enumerate representatives of all equivalence classes in some initial segments of  $\leq$ . For definiteness, assume that the former segment is a subset of the latter. Now, the range of  $\tau/H_0$  contains a point which realizes no virtual  $E$ -equivalence class over  $V[K]$ . This point has no  $E$ -equivalent in  $V[K][H_1]$  by Proposition 2.1.7. This contradicts the assumption that  $\tau/H_1$  enumerates representatives of a longer initial segment than  $\tau/H_0$ .

Finally, to prove the corollary, if  $\leq$  is such an ordering in a cofinally balanced extension of  $W$ , use the theorem on the initial segments of  $\leq$  to show that  $\leq \in W$  must hold. However, such an option has just been disproved.  $\square$