

# A SURFACE IS TAME IF ITS COMPLEMENT IS 1-ULC<sup>(1)</sup>

BY

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1. **Introduction.** In this paper we show that a 2-manifold  $M$  in Euclidean 3-space  $E^3$  is tame if  $E^3 - M$  is uniformly locally simply connected.

A closed subset  $X$  of a triangulated manifold  $Y$  is *tame* if there is a homeomorphism of  $Y$  onto itself taking  $X$  onto a polyhedron (geometric complex) in  $Y$ . If there is no such homeomorphism,  $X$  is called *wild*. Examples of 2-manifolds wildly embedded in  $E^3$  are found in [1; 8; 6].

An  $n$ -manifold is a separable metric space each of whose points lies in a neighborhood homeomorphic to Euclidean  $n$ -space. An  $n$ -manifold-with-boundary is a separable metric space each of whose points lies in a neighborhood whose closure is a topological  $n$ -cell. If  $M$  is an  $n$ -manifold-with-boundary, we use  $\text{Int } M$  to denote the set of points of  $M$  with neighborhoods homeomorphic to Euclidean  $n$ -space and  $\text{Bd } D$  to denote  $M - \text{Int } M$ . For example, if  $D$  is a disk,  $\text{Bd } D$  is a simple closed curve which is the rim of the disk. If we have a manifold embedded in a larger space and treat the manifold as a subset rather than a space, we insist that it be closed. If  $S$  is a 2-sphere embedded in  $E^3$ , we use  $\text{Int } S$  and  $\text{Ext } S$  to denote the bounded and unbounded components of  $E^3 - S$ . The double meaning of the symbol  $\text{Int}$  should not lead to confusion.

A subset  $X$  of a manifold-with-boundary is *locally tame* at a point  $p$  of  $X$  if there is a neighborhood  $N$  of  $p$  and a homeomorphism of  $\bar{N}$  (the closure of  $N$ ) onto a cell that takes  $X \cdot \bar{N}$  onto a polyhedron. If the manifold-with-boundary is triangulated, we say that  $X$  is locally polyhedral at  $p$  if there is a neighborhood  $N$  of  $p$  such that  $X \cdot \bar{N}$  is a polyhedron.

Suppose  $D$  is a disk. We say that a map of  $\text{Bd } D$  into a set  $Y$  can be shrunk to a constant in  $Y$  if the map can be extended to take  $D$  into  $Y$ . If each map of  $\text{Bd } D$  into  $Y$  can be shrunk to a constant in  $Y$ , we say that  $Y$  is *simply connected*. Also,  $Y$  is *locally simply connected* at a point  $p$  of  $\bar{Y}$  if for each neighborhood  $U$  of  $p$  there is a neighborhood  $V$  of  $p$  such that each map of  $\text{Bd } D$  into  $V \cdot Y$  can be shrunk to a point in  $U \cdot Y$ . A metric space  $Y$  is *uniformly locally simply connected* (or 1-ULC) if for each  $\epsilon > 0$  there is a  $\delta > 0$  such that each map of  $\text{Bd } D$  into a  $\delta$  subset of  $Y$  can be shrunk to a point on an  $\epsilon$  subset of  $Y$ . If  $\bar{Y}$  is a compact subset of a metric space, it can be shown that  $Y$  is 1-ULC if it is locally simply connected at each point of  $\bar{Y}$ .

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Presented to the Society, January 28, 1958 under the title *A surface  $S$  is tame in  $E^3$  if  $E^3 - S$  is locally simply connected at each point of  $S$* ; received by the editors March 1, 1961.

(<sup>1</sup>) Work on this paper was supported by contract NSF-G 11665.

The surfaces studied in solid geometry are tame. It is useful to have criteria for determining which surfaces are tame. We mention three such criteria.

1. A closed subset of a triangulated 3-manifold-with-boundary is tame if and only if it is locally tame at each of its points.

2. A 2-sphere in  $E^3$  is tame if and only if it can be homeomorphically approximated from both sides.

3. A 2-manifold  $M$  in a triangulated 3-manifold  $M_3$  is tame if and only if  $M_3 - M$  is locally simply connected at each point of  $M$ .

Criterion 1 is proved in [2] and [10]. Criterion 2 is proved in [5] and restated below as Theorem 0. Criterion 3 is Theorem 7 of the present paper.

The distance function is denoted by  $\rho$ . We shall make use of the following approximation theorem proved in [3].

**APPROXIMATION THEOREM.** *For each 2-manifold  $M$  in a triangulated 3-manifold-with-boundary and each non-negative continuous function  $f$  defined on  $M$ , there is a 2-manifold  $M'$  and a homeomorphism  $h$  of  $M$  onto  $M'$  such that*

$$\rho(x, h(x)) \leq f(x) \quad (x \in M)$$

*and  $M'$  is locally polyhedral at  $h(x)$  if  $f(x) > 0$ .*

Another theorem that we shall use is the Side Approximation Theorem for 2-Spheres. It is proved by the same methods as the Approximation Theorem and its proof will be given in another paper [7].

**SIDE APPROXIMATION THEOREM FOR 2-SPHERES.** *Each 2-sphere  $S$  in  $E^3$  can be polyhedrally approximated almost from either side—that is for each  $\epsilon > 0$  and each component  $U$  of  $E^3 - S$  there is a homeomorphism  $h$  of  $S$  onto a polyhedral 2-sphere such that*

*$h$  moves no point more than  $\epsilon$  and*

*$h(S)$  contains a finite collection of mutually exclusive disks each of diameter less than  $\epsilon$  such that  $h(S)$  minus the sum of the disks lies in  $U$ .*

If  $A$  and  $B$  are two sets and there is a homeomorphism of  $A$  onto  $B$  that moves no point by more than  $\epsilon$ , we write

$$H(A, B) \leq \epsilon.$$

The following theorem is proved in [5].

**THEOREM 0.** *A 2-sphere  $S$  in  $E^3$  is tame if it can be homeomorphically approximated from both sides—that is, for each  $\epsilon > 0$  and each component  $U$  of  $E^3 - S$ , there is a 2-sphere  $S'$  in  $U$  such that*

$$H(S, S') < \epsilon.$$

To show that a 2-sphere is tame, a first step might be to show that the hypothesis of Theorem 0 is met. As a step toward proving that a 2-sphere in  $E^3$  is tame if its complement is 1-ULC, we prove the following.

**THEOREM 1.** *If  $S$  is a 2-sphere in  $E^3$  such that  $\text{Int } S$  is 1-ULC, then for each  $\epsilon > 0$ ,  $S$  can be homeomorphically approximated from  $\text{Int } S$ —that is, for each  $\epsilon > 0$  there is a 2-sphere  $S'$  in  $\text{Int } S$  such that*

$$H(S, S') < \epsilon.$$

Our plan for proving Theorem 1 is to get a special cellular decomposition  $T$  of  $S$ , get a homeomorphism of  $S$  onto itself that pulls the boundaries of the cells of the decomposition  $T$  into  $\text{Int } S$ , and finally pull the cells themselves into  $\text{Int } S$ .

It is hoped that the serious reader will understand the why of the attack as well as the details. Hence, we give our over-all plan of attack first and reserve epsilonics to last so that the reader can see why these particular  $\epsilon$ 's are used. When we need a close approximation, we let it be an  $\epsilon_i$  approximation and decide later how small the  $\epsilon_i$  would need to be to make the details work.

**2. Proof of Theorem 1. a. Special cellular decomposition  $T$  of  $S$ .** We need a cellular decomposition  $T$  of  $S$  with the following properties.

The mesh of  $T$  is less than  $\epsilon_1$  ( $\epsilon_1$  is a small number whose size is to be described later).

The collection of 2-cells of  $T$  is the sum of three subcollections  $A_1, A_2, A_3$  such that no two elements of  $A_i$  ( $i=1, 2, 3$ ) intersect each other.

That for each  $\epsilon_1 > 0$  there is such a cellular decomposition  $T$  of  $S$  follows from a consideration of a triangulation  $T'$  of  $S$  of mesh less than  $\epsilon_1/2$ . The vertices of  $T'$  are swelled into 2-cells and become the elements of  $A_1$ . The parts of the 1-simplexes of  $T'$  not in elements of  $A_1$  are expanded into the elements of  $A_2$ . The closures of the parts of the 2-simplexes of  $T'$  not in elements of  $A_1$  or  $A_2$  are the elements of  $A_3$ .

**b. Pulling elements of  $T$  partially into  $S$ .** Suppose  $T$  is a fixed special cellular decomposition of  $S$  such as mentioned in the preceding section. The 1-skeleton of  $T$  is the sum of the boundaries of the 2-cells in  $T$  and is denoted by  $K_1$ . We select a small number  $\epsilon_2$  whose size is described later. Then there is a polyhedral 2-sphere  $S_1$  and a homeomorphism  $h_1$  of  $S$  onto  $S_1$  such that

$h_1$  moves no point more than  $\epsilon_2$ ,

$h_1(K_1) \subset \text{Int } S$ , and

$S_1$  contains a finite collection of mutually exclusive  $\epsilon_2$  disks such that  $S_1$  minus the sum of the interiors of these disks lies in  $\text{Int } S$ .

That for each  $\epsilon_2$  there are such an  $S_1$  and an  $h_1$  follows from the Side Approximation Theorem of 2-Spheres. We let  $\epsilon_2/2$  be the  $\epsilon$  in the statement of that theorem and  $S_1$  be the  $S'$  guaranteed by the conclusion of that theorem. The homeomorphism  $h_1$  is the homeomorphism  $h$  guaranteed by that theorem

followed by a homeomorphism of  $S_1$  onto itself that moves no point by more than  $\epsilon_2/2$  but pulls the image of  $K_1$  off of the disks.

c. **The next approximations to elements of  $T$ .** For each 2-cell  $D$  of  $T$ ,  $h_1(D)$  is a first approximation to  $D$ . We note that  $h_1(D)$  is homeomorphically close to  $D$  and  $h_1(\text{Int } D)$  contains a finite collection  $E_1, E_2, \dots, E_n$  of mutually exclusive  $\epsilon_2$  disks such that  $h_1(D) - \sum \text{Int } E_i \subset \text{Int } S$ . We suppose that  $h_1(\text{Bd } D)$  is a polygon.

The second approximation  $h_2(D)$  to  $D$  may not be quite as close homeomorphically to  $D$  as is  $h_1(D)$  but it will still be close. However, it will have the advantage that the components of  $S \cdot h_2(D)$  will have diameters much smaller than  $\epsilon_2$  (which is an upper bound on the diameters of  $E_1, E_2, \dots, E_n$ ) and simple closed curves in  $\text{Int } S$  near the components of  $S \cdot h_2(D)$  can be shrunk to points in  $\text{Int } S$  without hitting  $h_1(K_1)$ .

Let  $\epsilon_3$  be a very small positive number selected in a fashion to be described later and  $S'$  be a polyhedral 2-sphere which is homeomorphically within  $\epsilon_3$  of  $S$  and which contains a finite collection of mutually exclusive  $\epsilon_3$  disks such that  $S'$  minus the sum of the interiors of these disks is contained in  $\text{Int } S$ . We select  $\epsilon_3$  so that  $S' \cdot h_1(D) \subset \sum \text{Int } E_i$  and suppose that  $S' \cdot h_1(D)$  is the sum of a finite collection of mutually exclusive simple closed curves  $J_1, J_2, \dots, J_r$ .

The  $\epsilon_2$  and  $\epsilon_3$  were selected so that each  $J_i$  bounds a disk  $F_i$  on  $S'$  of small diameter. We suppose that these disks  $F_i$  are ordered by size with the small ones first so that no  $F_i$  contains an  $F_{i+j}$ .

The disk in  $h_1(D)$  bounded by  $J_1$  is first replaced by  $F_1$  and then pushed slightly to one side of  $S'$  so as to reduce the number of components with the intersection with  $S'$ . The process is continued by replacing disks in the adjusted  $h_1(D)$  by  $F_i$ 's and then pushing slightly so as to get a polyhedral disk  $h_2(D)$  which is close to  $D$  homeomorphically and which lies on  $\text{Int } S'$ . We select  $h_2$  so that it agrees with  $h_1$  in a neighborhood of  $\text{Bd } D$  and such that the components of  $S \cdot h_2(D)$  are not much bigger than those of  $S' \cdot h_1(D)$ . By selecting  $\epsilon_3$  very small, we can insure that the components of  $h_2(D) \cdot S$  are very small—in fact of diameter less than some preselected positive number  $\epsilon_4$ .

Although we could have chosen the sum of the  $h_2(D)$ 's to be a 2-sphere, we did not insist on this since at the third approximation of  $D$ , there seems to be no easy way to prevent the approximating disks from intersecting at interior points of each.

d. **Third approximation to  $D$ .** Since each component of  $S \cdot h_2(D)$  is of diameter less than  $\epsilon_4$ ,  $h_2(\text{Int } D)$  contains a collection of mutually exclusive disks  $E'_1, E'_2, \dots, E'_m$  which cover  $S \cdot h_2(D)$  such that each  $\text{Bd } E'_i$  lies close to  $S$  and is of diameter less than  $\epsilon_4$ . Each  $\text{Bd } E'_i$  lies in  $\text{Int } S$  since  $\text{Bd } D$  does.

Each  $\text{Bd } E'_i$  is of such small diameter that it can be shrunk to a point on a small subset of  $\text{Int } S$  where  $\epsilon_4$  and  $\epsilon_3$  have been selected so that this subset will not intersect  $h_1(K_1) = h_2(K_1)$ . Hence there is a map  $g$  of  $h_2(D)$  into  $\text{Int } S$

such that  $gh_2(D)$  lies close to  $h_2(D)$ , and  $g$  is the identity in a neighborhood of  $h_2(\text{Bd } D)$ ,  $gh_2(D)$  intersects  $h_2(K_1)$  only in  $h_2(\text{Bd } D)$ , and  $gh_2(D)$  has no singular points near  $gh_2(\text{Bd } D)$ . It follows from Dehn's lemma as proved by Papakyriokopoulos [11] that for each neighborhood  $U$  of the set of singular points of  $gh_2(D)$ , there is a homeomorphism  $h_3$  of  $D$  onto a polyhedral disk  $h_3(D)$  in  $gh_2(D) + U$  that agrees with  $h_2$  in a neighborhood of  $\text{Bd } D$ . The third approximation to  $D$  is  $h_3(D)$ . The advantage it has over  $h_2(D)$  is that it lies in  $\text{Int } S$ . The only thing that makes  $h_3(D)$  and  $D$  homeomorphically close is that each is of small diameter and their boundaries are homeomorphically close.

e. **The fourth approximation to  $D$ .** Our task is now to untangle the  $h_3(D)$ 's so that their sum forms a 2-sphere in  $\text{Int } S$ . We recall that the collection of 2-cells of  $T$  is the sum of three subcollections  $A_1, A_2, A_3$  so that no two elements of any  $A_i$  have a point in common. We will have enlarged the  $h_1(D)$ 's so little as we changed them to  $h_2(D)$ 's and then to  $h_3(D)$ 's that two  $h_3(D)$ 's will not intersect if the corresponding  $D$ 's do not intersect.

For each element  $D$  of  $A_1$ , the fourth approximation  $h(D)$  to  $D$  is  $h_3(D)$ .

We may suppose that for each element  $D$  of  $A_1$ , the intersection of  $h_3(\text{Int } D) = h(\text{Int } D)$  and the sum of the images under  $h_3$  of the elements of  $A_2$  is the sum of a finite collection of mutually exclusive simple closed curves  $J_1, J_2, \dots, J_s$  such that  $J_i$  bounds a disk  $G_i$  in  $\text{Int } h(D)$  and the  $J_i$ 's are ordered according to the size of the disks  $G_i$  they bound in  $h(D)$ , with the small ones coming first.

If  $J_1$  lies in an  $h_3(D')$  for an element  $D'$  of  $A_2$ , we replace the disk in  $h_3(D')$  by  $G_1$  and shove this replaced disk slightly to one side of  $h_3(D)$ . This process is continued until for each element  $D'$  of  $A_2$ , there is an  $h$  defined on  $D'$  so that  $h(D)$  and the  $h(D')$ 's fit together only along their boundaries as they should.

Finally we turn to the elements of  $A_3$ . We suppose that for each element  $D$  of  $A_1 + A_2$ ,  $h(\text{Int } D)$  intersects the sum of the images under  $h_3$  of the elements of  $A_3$  in the sum of a collection of mutually exclusive simple closed curves. These simple closed curves are eliminated one by one, starting at the inside in a manner already described. For each element  $D'$  of  $A_3$ , the resulting adjustment of  $h_3(D')$  is called  $h(D')$ .

The sum of the  $h(D)$ 's is a 2-sphere in  $\text{Int } S$ . We note that  $h = h_1 = h_2 = h_3$  on  $K_1$ . We will cause  $h$  to be near the identity by picking the  $\epsilon_i$ 's so that the  $D$ 's and the  $h(D)$ 's are small and  $h$  is near the identity on  $K_1$ .

f. **Epsilonotics.** In this section we explain the sizes for  $\epsilon_1, \epsilon_2, \epsilon_3, \epsilon_4$  and the reasons for these selections. We recall that

$\epsilon_1$  limits the mesh of  $T$ ,

$\epsilon_2$  limits  $h_1$  to be near the identity and limits the sizes of the  $E_i$ 's,

$\epsilon_3$  limits  $S'$  to be near  $S$  and limits the sizes of the disks in  $S'$  such that  $S'$  minus these disks lies in  $\text{Int } S$ , and

$\epsilon_4$  limits the sizes of the components of  $h_2(D) \cdot S$ .

We choose

$$\epsilon_1 < \epsilon/8,$$

where  $\epsilon$  is the number mentioned in the statement of Theorem 1. This is the only restriction we place on  $\epsilon_1$ .

Let  $\delta_1$  be a positive number so small that the distance between two elements of  $T$  without a common point is more than  $\delta_1$ .

Our goal is to choose  $\epsilon_2, \epsilon_3, \epsilon_4$  so that for each 2-cell  $D$  of  $T$ , the following conditions are satisfied.

$h_1(D)$  lies in a  $\delta_1/6$  neighborhood of  $D$ .

$h_2(D)$  lies in a  $\delta_1/6$  neighborhood of  $h_1(D)$ .

$h_3(D)$  lies in a  $\delta_1/6$  neighborhood of  $h_2(D)$ .

This will insure that if  $D_1, D_2$  are two 2-cells of  $T$  without a common point, then  $h_3(D_1) \cdot h_3(D_2) = \emptyset$ .

Since each point of  $h_3(D)$  lies within  $3\delta_1/6$  of a point of  $D$  and  $\delta_1 < \epsilon_1$ ,

$$\text{diameter } h_3(D) < \epsilon_1 + \delta_1 < 2\epsilon_1.$$

Since  $h = h_3$  on elements of  $A_1$ ,

$$\text{diameter } h(D) < 2\epsilon_1 \text{ if } D \text{ is an element of } A_1.$$

In changing from  $h_3$  to  $h$  on elements  $D$  of  $A_2$ , the adjustment was made so that  $h(D)$  lies within  $2\epsilon_1$  of  $h_3(D)$ . Hence,

$$\text{diameter } h(D) < 6\epsilon_1 \text{ if } D \text{ is an element of } A_2.$$

For each element  $D$  of  $A_3$ ,

$$h(D) \text{ lies within } 6\epsilon_1 \text{ of } h_3(D).$$

Since each point of  $h(D)$  lies within  $6\epsilon_1$  of a point of  $h_3(D)$ , this point in turn lies within  $3\delta_1/6$  of a point of  $D$ , and diameter  $D < \epsilon_1$ , we find that  $h$  moves no point as much as  $8\epsilon_1$ . This is the reason we selected  $\epsilon_1 < \epsilon/8$ .

Let  $\epsilon_2$  be a positive number so small that each subset of  $S$  of diameter  $3\epsilon_2$  lies in a disk on  $S$  of diameter less than  $\delta_1/10$ . We note that

$$\epsilon_2 < \delta_1/30 < \delta_1/6.$$

Since  $\epsilon_2 < \delta_1/6$ , for each 2-cell  $D$  of  $T$ ,  $h_1(D)$  lies in a  $\delta_1/6$  neighborhood of  $D$ . The more stringent condition that  $\epsilon_2 < \delta_1/30$  is used later to help insure that  $h_2(D)$  lies in a  $\delta_1/6$  neighborhood of  $h_1(D)$ .

Let  $\delta_2$  be a positive number so small that for each 2-cell  $D$  of  $T$ ,  $\delta_2$  is less than the distance between  $S$  and  $h_1(D) - \sum E_i$ . We note that

$$\delta_2 < \epsilon_2.$$

Although we shall place more stringent conditions on  $\epsilon_3$ , we first consider the sizes of the components of  $S' \cdot h_1(D)$  if we merely suppose

$$\epsilon_3 < \delta_2.$$

Let  $X$  be a component of  $S' \cdot h_1(D)$  and  $h'$  be a homeomorphism of  $S$  onto  $S'$  that moves no point more than  $\epsilon_3$ . Then  $X$  lies in an  $E_i$  (which is of diameter less than  $\epsilon_2$ ),  $h'^{-1}(X)$  is of diameter less than  $\epsilon_2 + 2\epsilon_3 < 3\epsilon_2$ ,  $h'^{-1}(X)$  lies in a disk of diameter less than  $\delta_1/10$ , and the image of this disk under  $h'$  is a disk in  $S'$  of diameter less than  $\delta_1/10 + 2\epsilon_3 < \delta_1/10 + 2\delta_1/30 = \delta_1/6$ . Hence, the restrictions we have placed on  $\epsilon_2, \epsilon_3$  are enough to insure that we can select  $h_2$  so that  $h_2(D)$  lies in a  $\delta_1/6$  neighborhood of  $h_1(D)$ .

Let  $\epsilon_4$  be a positive number so small that each simple closed curve in  $E^3 - S$  of diameter less than  $\epsilon_4$  can be shrunk to a point on a subset of  $E^3 - S$  of diameter less than the minimum of  $\delta_1/6$  and  $\delta_2/2$ . Note that  $\epsilon_4$  does not depend on  $\epsilon_3$ . The  $\text{Bd } E'_i$ 's are selected to lie within  $\epsilon_4 < \delta_2/2$  of  $S$ . Also, the  $\text{Bd } E'_i$ 's have diameters less than  $\epsilon_4$  so that  $gh_2(D)$  intersects  $h_2(K_1)$  only in  $h_2(\text{Bd } D)$ . Furthermore,  $h_3(D)$  lies in a  $\delta_1/6$  neighborhood of  $h_2(D)$ .

The final restriction we place on  $\epsilon_3$  is to insure that each component of  $S \cdot h_2(D)$  is of diameter less than  $\epsilon_4$ . Let  $\delta_3$  be a number so small that each  $\delta_3$  subset of  $S$  lies in a disk in  $S$  of diameter less than  $\epsilon_4$ . We suppose

$$\epsilon_3 < \delta_3.$$

Each component  $X$  of  $S \cdot h_2(D)$  lies on  $\text{Int } S'$  and is separated from the big component of  $S - S'$  by a disk in  $S'$  of diameter less than  $\epsilon_3 < \delta_3$ . Hence, diameter  $X < \epsilon_4$ .

**3. Conditions under which a 2-sphere is tame.** Tame 2-spheres in  $E^3$  have uniformly locally simply connected complements. A converse is as follows.

**THEOREM 2.** *A 2-sphere  $S$  in  $E^3$  is tame if each component of  $E^3 - S$  is 1-ULC.*

**Proof.** It follows from Theorem 1 that for each positive number  $\epsilon$  there is a 2-sphere  $S'$  in  $\text{Int } S$  such that

$$H(S, S') < \epsilon.$$

Similarly, it follows that there is a 2-sphere  $S''$  in  $\text{Ext } S$  such that

$$H(S, S'') < \epsilon.$$

That  $S$  is tame then follows from Theorem 0.

**COROLLARY.** *A 2-sphere  $S$  in  $E^3$  is tame if  $E^3 - S$  is locally simply connected at each point of  $S$ .*

**4. Retaining simple connectivity.** We shall be applying the Approximation Theorem to a 2-manifold to make part of the 2-manifold locally poly-

hedral. We are interested in seeing what this does to the local simple connectivity of the complement. First we examine the effect of throwing away part of the 2-manifold.

**THEOREM 3.** *Suppose  $M$  is a 2-manifold in  $E^3$ ,  $D$  is a disk in  $M$ , and  $p$  is a point of  $D$  at which  $E^3 - M$  is locally simply connected. Then  $E^3 - D$  is locally simply connected at  $p$ .*

**Proof.** We only consider the case where  $p \in \text{Bd } D$ . Suppose  $U$  is a given neighborhood of  $p$ . Let  $V'$  be a neighborhood of  $p$  such that each map of a circle into  $V' \cdot (E^3 - M)$  can be shrunk to a point in  $U \cdot (E^3 - M)$  and  $V$  be a neighborhood of  $p$  such that each pair of points of  $V \cdot (M - D)$  lie in an arc in  $V' \cdot (M - D)$ . We show that if  $E$  is a plane circular disk and  $f$  is a map of  $\text{Bd } E$  into  $V \cdot (E^3 - D)$ , then there is a map of  $E$  into  $U \cdot (E^3 - D)$  that agrees with  $f$  on  $\text{Bd } E$ .

We want to simplify  $f$  so that  $M \cdot f(\text{Bd } E)$  does not have infinitely many components. Suppose  $aa'$  is an arc on  $\text{Bd } E$  and  $bb'$  is an arc on  $V \cdot (M - D)$  such that  $f(a) = b$ ,  $f(a') = b'$ , and  $bb' + f(aa')$  lies in a convex subset of  $V - D$ . Then there is a homotopy  $F_t$  on  $aa'$  such that  $F_0 = f$ ,  $F_t$  is constant on  $a$  and  $a'$ ,  $F_1(aa') = bb'$ , and each  $F_t(aa')$  lies in  $V - D$ . Hence, we suppose with no loss of generality that  $f^{-1}(M \cdot f(\text{Bd } E))$  is the sum of a finite number of arcs  $a_1a_2, a_3a_4, \dots, a_{2n-1}a_{2n}$  on  $\text{Bd } E$  ordered so that there is no  $a_j$  between any  $a_i$  and  $a_{i+1}$ .

Let  $q$  be the center of  $E$  and  $f(q)$  be any point of  $V \cdot (M - D)$ . Extend  $f$  to map the radius  $qa_i$  of  $E$  onto an arc in  $V' \cdot (M - D)$  from  $f(q)$  to  $f(a_i)$ . Since each component of  $M$  divides  $E^3$  into two pieces and any arc in  $M$  can be approximated by arcs on either side of  $M$ , the map  $f$  on the boundary of the sector  $a_iqa_{i+1}$  of  $E$  can be extended to map an annulus ring in the sector one of whose boundary components is the boundary of the sector into  $V' \cdot (E^3 - D)$  so that the image of the other boundary component of the annulus misses  $M$ . The map  $f$  can be further extended to take the rest of the sector into  $U \cdot (E^3 - M)$ .

**THEOREM 4.** *Suppose  $M$  is a 2-manifold in  $E^3$ ,  $D$  is a disk in  $M$ ,  $p$  is a point of  $M$  at which  $E^3 - M$  is locally simply connected, and  $h$  is a homeomorphism of  $M$  into  $E^3$  such that  $h$  is the identity on  $D$  and  $h(M)$  is locally polyhedral at each point of  $h(M) - D$ . Then  $E^3 - h(M)$  is locally simply connected at  $p$ .*

**Proof.** The only case we consider is the one in which  $p$  is a point of  $\text{Bd } D$ . Suppose  $U$  is a given neighborhood of  $p$ . Let  $V'$  be a neighborhood of  $p$  such that each closed set in  $V' \cdot (h(M) - D)$  lies in a disk in  $U \cdot (h(M) - D)$ . It follows from Theorem 3 that there is a neighborhood  $V$  of  $p$  such that each map of a circle into  $V \cdot (E^3 - D)$  can be shrunk to a point in  $V' \cdot (E^3 - D)$ . We show

that if  $E$  is a disk and  $f$  is a map of  $\text{Bd } E$  into  $V \cdot (E^3 - h(M))$ , then  $f$  can be extended to map  $E$  into  $U \cdot (E^3 - h(M))$ .

Let  $f'$  be a map of  $E$  into  $V' \cdot (E^3 - D)$  that is an extension of  $f$  on  $\text{Bd } E$ . Let  $E'$  be the component of  $E - f'^{-1}(h(M) \cdot f(E))$  containing  $\text{Bd } E$ . With no loss of generality we suppose that  $E'$  is  $E$  minus a finite collection of mutually exclusive subdisks  $E_1, E_2, \dots, E_n$  of  $E$ .

Since  $f'(\text{Bd } E_i)$  lies on the interior of a polyhedral disk in  $U \cdot (h(M) - D)$ , it is possible to adjust  $f'$  on disks in  $E$  slightly larger than the  $E_i$ 's so as to take the larger disks slightly to one side of  $h(M)$ . The adjusted  $f'$  is  $f$  and takes  $E$  into  $U \cdot (E^3 - h(M))$ .

QUESTION. Would Theorem 4 be true if we supposed that  $D$  were merely a closed subset of  $M$  with only nondegenerate components rather than actually a disk in  $M$ ?

5. **Enlarging a disk to a 2-sphere.** Not each disk in  $E^3$  lies on a 2-sphere. An example of such a disk is obtained by taking a horizontal disk  $D$  in  $E^3$ ; removing two circular holes from  $\text{Int } D$ ; adding tubes from the holes, one tube going up and the other down and around; and finally adding hooked disks as shown in [1]. The disk does not lie on a 2-sphere since its boundary cannot be shrunk to a point in the complement of the disk. Although it does not lie on a 2-sphere, it does lie on a torus as was pointed out to me by David Gillman. If instead of removing a pair of holes from the horizontal disk and replacing the holes with hooked wild disks, one had removed an infinite collection of pairs of holes converging to a boundary point of  $D$  and replaced each pair of holes with wild disks hooked over the boundary of  $D$ , there would have resulted a wild disk in  $E^3$  that does not lie on any 2-manifold in  $E^3$ .

The following result shows that each disk contains many small disks each of which lies on a 2-sphere.

**THEOREM 5.** *Suppose  $M$  is a 2-manifold in  $E^3$ ,  $p$  is a point of  $M$ , and  $U$  is a neighborhood of  $p$ . Then there is a disk  $D$  in  $M \cdot U$  and a 2-sphere  $S$  in  $U$  such that  $p \in \text{Int } D \subset S$  and  $S$  is locally polyhedral at each point of  $S - D$ .*

**Proof.** Let  $E$  be a disk in  $M$  such that  $p \in \text{Int } E$  and  $C$  be a cube in  $U$  whose interior contains  $p$  and whose exterior contains  $\text{Bd } E$ . Let  $D$  be a disk in  $M \cdot \text{Int } C$  such that  $p \in \text{Int } D$ . It follows from the Approximation Theorem that there is a homeomorphism  $h$  of  $E$  into  $E^3$  such that  $h$  is the identity on  $D$ ,  $h$  takes  $\text{Bd } E$  into  $\text{Ext } C$ , and  $h(E)$  is locally polyhedral at each point of  $h(E) - D$ . We suppose with no loss of generality that  $\text{Bd } C \cdot h(E)$  is the sum of a finite collection of mutually exclusive polygons.

Let  $E'$  be the component of  $h(E) - \text{Bd } C$  containing  $D$ . It is topologically equivalent to a 2-sphere minus the sum of a finite collection of mutually exclusive disks. By adding polygonal disks in  $U \cdot (C + \text{Ext } C)$  to  $E'$ , one obtains the required 2-sphere  $S$ .

6. **Locally tame subsets of 2-manifolds.** A 2-sphere  $S$  in  $E^3$  may not be

locally tame at a point  $p$  even if  $E^3 - S$  is locally simply connected at  $p$  as shown by the following example.

**EXAMPLE.** Consider a spherical 2-sphere  $S'$  and a sequence of mutually exclusive spherical disks  $E_1, E_2, \dots$  in  $S'$  converging to a point  $p$  of  $S'$ . Fox and Artin have described [8] a wild arc which is locally polyhedral except at one end point. For each  $E_i$  let  $A_i$  be such an arc reaching out from the center of  $E_i$  such that the arc is of diameter less than the radius of  $E_i$  and such that  $A_i$  intersects  $S$  only at the polyhedral end of  $A_i$ . By replacing each  $E_i$  in  $S$  by a disk obtained by swelling up  $A_i$  as done in [7] one can obtain a 2-sphere  $S$  such that  $E^3 - S$  is locally simply connected at  $p$  even though  $S$  is not locally tame at  $p$ .

**THEOREM 6.** *Suppose  $M_2$  is a 2-manifold embedded in a 3-manifold  $M_3$  and  $U$  is an open subset of  $M_2$  such that  $M_3 - M_2$  is locally simply connected at each point of  $U$ . Then  $M_2$  is locally tame at each point of  $U$ .*

**Proof.** Since local tameness is only a local property, we suppose that  $M_3$  is  $E^3$  and  $U$  is all of  $M_2$ . If this were not the case already we would take a homeomorphism  $h$  of a neighborhood of  $p$  in  $M_3$  into  $E^3$  such that this neighborhood did not intersect  $M_2 - U$ .

It follows from Theorem 5 that there is a disk  $D$  in  $M_2$  and a 2-sphere  $S$  such that  $p \in \text{Int } D \subset S$  and  $S$  is locally polyhedral at each point of  $S - D$ . It follows from Theorem 4 that  $E^3 - S$  is locally simply connected at each point of  $S$ . Since  $S$  is compact,  $E^3 - S$  is 1-ULC. Theorem 2 implies that  $S$  is tame. Since  $S$  is tame,  $M_2$  is locally tame at  $p$ .

Since a closed set in a 3-manifold is tame if it is locally tame [2; 10] we have the following result.

**THEOREM 7.** *A 2-manifold  $M_2$  in a triangulated 3-manifold  $M_3$  is tame if and only if  $M_3 - M_2$  is locally simply connected at each point of  $M_2$ .*

**COROLLARY.** *A 2-manifold  $M_2$  in a 3-manifold  $M_3$  is tame if  $M_3 - M_2$  is 1-ULC.*

**7. Tame 2-manifolds-with-boundaries.** In this section we extend our results about 2-manifolds to 2-manifolds-with-boundaries.

**THEOREM 8.** *Suppose  $M_2$  is a 2-manifold-with-boundary embedded in a 3-manifold  $M_3$  and  $U$  is an open subset of  $M_2$  such that  $M_3 - M_2$  is locally simply connected at each point of  $U$ . Then  $M_2$  is locally tame at each point of  $U$ .*

**Proof.** Since we only look at  $M_3$  locally, we suppose that  $M_3$  is  $E^3$  and  $U$  is  $M_2$ . Since Theorem 6 takes care of points of  $\text{Int } M_2$ , we only show that  $M_2$  is locally tame at a point  $p$  of  $\text{Bd } M_2$ .

Let  $D$  be a disk in  $M_2$  such that  $\text{Bd } D \cdot \text{Bd } M_2$  is an arc containing  $p$  as a non end point. An argument like that used in the proof of Theorem 3 shows

that  $E^3 - D$  is locally simply connected at each point of  $D$ . We finish the proof of Theorem 8 by showing that  $D$  is tame.

Since Theorem 6 shows that  $D$  is locally tame at each point of  $\text{Int } D$ , it follows from [4; 9] that there is a homeomorphism  $h$  of  $E^3$  onto itself such that  $h(D)$  is locally polyhedral at each point of  $h(\text{Int } D)$ . Hence, we suppose with no loss of generality that  $D$  is locally polyhedral at each point of  $\text{Int } D$ .

By pushing  $D$  to one side at points of  $\text{Int } D$ , one obtains a disk  $D'$  such that  $\text{Bd } D = \text{Bd } D'$ ,  $D'$  is locally polyhedral at points of  $\text{Int } D'$ , and  $D + D'$  bounds a topological cube  $C$ . Since  $E^3 - \text{Bd } C$  is locally simply connected at each point of  $\text{Bd } C$ , it follows from either Theorem 2 or 6 that  $\text{Bd } C$  is tame. Hence  $D$  is tame and  $M_2$  is locally tame at  $p$ .

8. **Extensions of Theorem 0.** As pointed out in [5], we can use Theorem 6 to extend Theorem 0 as follows.

**THEOREM 9.** *A 2-manifold  $M_2$  in a 3-manifold  $M_3$  is locally tame at a point  $p$  of  $M_2$  if there is a disk  $D$  with  $p \in \text{Int } D \subset M_2$  such that for each positive number  $\epsilon$ , there are disks  $D', D''$  on opposite sides of  $M_2$  such that*

$$H(D, D') < \epsilon, \quad H(D, D'') < \epsilon.$$

**Proof.** Theorem 9 follows from Theorem 6 when we show that  $M_3 - M_2$  is locally simply connected at each point of  $\text{Int } D$ .

Suppose  $E$  is a disk,  $q$  is a point of  $\text{Int } D$ , and  $f$  is a map of  $\text{Bd } E$  into a small subset of  $M_3 - M_2$  near  $q$ . Suppose  $f$  is extended to map  $E$  into a small subset of  $M_3$ . Let  $D', D''$  be disks on opposite sides of  $M_2$  which are homeomorphically close to  $D$  and whose sum separates  $f(\text{Bd } E)$  from  $M_2 \cdot f(E)$  in  $f(E)$ . If  $E'$  is the component of  $E - f^{-1}(D' + D'')$  containing  $\text{Bd } E$ ,  $f$  on  $E'$  can be extended to map  $E$  into a small subset of  $f(E') + D' + D''$ . Hence,  $M_3 - M_2$  is locally simply connected at  $q$ .

Since locally tame closed subsets are tame in triangulated 3-manifolds [2; 10], we have the following as a corollary of Theorem 9.

**COROLLARY.** *A 2-manifold  $M_2$  in a triangulated 3-manifold  $M_3$  is tame if and only if for each positive number  $\epsilon$  the appropriate one of the following conditions is satisfied:*

**CASE 1.** *If  $M_2$  is two sided in some neighborhood  $N$  of  $M_2$  there are 2-manifolds  $M', M''$  on opposite sides of  $M_2$  in  $N$  such that*

$$H(M_2, M') < \epsilon, \quad H(M_2, M'') < \epsilon.$$

**CASE 2.** *If  $M_2$  is one sided in each neighborhood of  $M_2$  there is a connected double covering  $M'$  of  $M_2$  with projection map  $\pi$  and a homeomorphism  $h'$  of  $M'$  into  $M_3 - M_2$  such that*

$$p(h(x), \pi(x)) < \epsilon, \quad x \in M'.$$

Neither Theorem 9 nor its corollary can be extended to 2-manifolds-with-boundaries. Besides having to speak with care about the two sides of a 2-manifold-with-boundary in a 3-manifold, one would have to contend with the example of Stallings [12] in which he describes an uncountable family of mutually exclusive wild disks in  $E^3$ . It would follow from an application of Theorem 9 that most of these disks are locally tame except on their boundaries.

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