

GRUNSKY-NEHARI INEQUALITIES FOR A SUBCLASS OF BOUNDED UNIVALENT FUNCTIONS

BY

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Abstract. Let D_1 be the class of regular analytic functions $F(z)$ in the disc $U = \{z : |z| < 1\}$ for which $F(0) > 0$, $|F(z)| < 1$, and $F(z) + F(\zeta) \neq 0$ for all $z, \zeta \in U$. Inequalities of the Grunsky-Nehari type are obtained for the univalent functions in D_1 , the proof being based on the area method. By subordination it is shown univalence is unnecessary for certain special cases of the inequalities. Employing a correspondence between D_1 and the class S_1 of bounded univalent functions, the results can be reinterpreted to apply to this latter class.

1. Introduction of the function classes. In this section we provide motivation for the introduction of the function classes which we shall denote in the sequel by D , D_1 , and $D(\beta)$. The univalent functions within these classes (which we indicate by a * superscript) have an interesting connection with the following classes of univalent functions defined on the unit disc $U = \{z : |z| < 1\}$.

Class S . The functions $g(z)$ which are regular analytic and univalent in U , and whose Taylor series expansion about the origin is of the form

$$(1) \quad g(z) = z + a_2 z^2 + \cdots + a_n z^n + \cdots$$

Class S_1 . The functions $f(z)$ which are regular analytic and univalent in U , have a Taylor series expansion about the origin of the form

$$(2) \quad f(z) = b_1 z + b_2 z^2 + \cdots + b_n z^n + \cdots, \quad b_1 > 0,$$

and which are bounded (by unity):

$$(3) \quad |f(z)| < 1.$$

Class $S(b_1)$. The functions $f(z)$ in S_1 which have a prescribed first derivative $f'(0) = b_1$ at the origin, $0 < b_1 \leq 1$.

It should be noted that if $f(z) \in S(b_1)$ then $b_1^{-1}f(z) \in S$. Under this renormalization S_1 can be considered to be a dense subset of S , and the coefficients $a_n \equiv b_1^{-1}b_n$ belong to the S function $b_1^{-1}f(z) = b_1^{-1}(b_1 z + \cdots + b_n z^n + \cdots)$. Here dense refers to the topology of uniform convergence on the compact subsets of the disc U .

Presented to the Society, June 19, 1971; received by the editors September 9, 1970.

AMS 1969 subject classifications. Primary 3009, 3042, 3043, 3065.

Key words and phrases. Univalent functions, Bieberbach-Eilenberg functions, Grunsky inequalities, Nehari inequalities, subordination.

⁽¹⁾ This paper is based upon a doctoral dissertation submitted to Stanford University; the author was supported in part by NSF grant GP-16115 and AF contract AF-0106.

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Let $g(z) \in S$ and suppose u is a complex number for which $g(z) \neq 1/u$. We can then form the function

$$(4) \quad G(z) = \sqrt{1 - ug(z)}, \quad G(0) = 1,$$

which is again regular analytic and univalent in U . Moreover, the univalence of $g(z)$ assures us that if $G(z)$ takes a value w then it must omit its negative $-w$. This property is characteristic of a function class studied by S. A. Guelfer [3].

Class D (Guelfer). The functions $G(z)$ which are regular analytic in U , have a Taylor series expansion about the origin of the form

$$(5) \quad G(z) = 1 + \alpha_1 z + \cdots + \alpha_n z^n + \cdots,$$

and which satisfy the property

$$(6) \quad G(z) + G(\zeta) \neq 0$$

for all pairs of numbers $z, \zeta \in U$.

Guelfer proved a number of distortion and rotation theorems for D and $D^* \equiv \{G(z) \in D : G \text{ univalent}\}$, and also derived certain estimates for the coefficients α_n . Inverting the transformation (4) he obtained some of the well-known results for functions $g(z)$ in S which omit a value $1/u$. For the proofs Guelfer relied on the close relationship which exists between D and the class C of Bieberbach-Eilenberg functions (see [4]).

Class C (Bieberbach-Eilenberg). The functions $E(z)$ which are regular analytic in U , and with the properties that $E(0) = 0$ and

$$(7) \quad E(z)E(\zeta) \neq 1$$

for all pairs $z, \zeta \in U$.

If $G(z) \in D$, we easily see

$$(8) \quad E(z) = (1 - G(z))/(1 + G(z))$$

is a function in C . Thus results known for the class C can be utilized to obtain information about the functions of D .

If transformations (4) and (8) are combined, we obtain a correspondence between S and C^* which has been considered recently by Hummel and Schiffer [4]. By the area technique they derive for C^* functions some general inequalities which are equivalent (via the aforementioned correspondence) to the Garabedian-Schiffer inequalities [2] within the class S . The approach through Bieberbach-Eilenberg functions thus provides a proof of elementary character for the Garabedian-Schiffer inequalities, in distinction to the original derivation by variational means.

We shall now show how the above considerations can be modified for the case of bounded functions. Let $f(z) \in S_1$ and suppose

$$(9) \quad f(z) \neq \beta^2 e^{-i\phi}, \quad 0 < \beta < 1.$$

The function

$$(10) \quad F(z) = \sqrt{\left(\frac{\beta^2 - e^{i\phi} f(z)}{1 - \beta^2 e^{i\phi} f(z)} \right)}, \quad F(0) = \beta,$$

is again regular analytic and univalent in U . Moreover, $F(z)$ has the Guelfer property (6) and is bounded: $|F(z)| < 1$. In this way we are prompted to define the following two additional function classes.

Class D_1 . The functions $F(z)$ which are regular analytic in U and satisfy the following properties:

$$(11) \quad F(0) > 0,$$

$$(12) \quad |F(z)| < 1,$$

$$(13) \quad F(z) + F(\zeta) \neq 0$$

for all pairs $z, \zeta \in U$.

Class $D(\beta)$. The functions $F(z)$ in D_1 which map the origin to a prescribed point $\beta \in (0, 1)$, so that

$$(14) \quad F(0) = \beta.$$

Of course $\beta^{-1}F(z) \in D$ whenever $F(z) \in D(\beta)$, and D_1 is dense in D under this renormalization. More importantly it is to be observed that the transformation (10) may be inverted in a unique way when $F(z)$ is univalent. Indeed, let $F(z) \in D^*(\beta)$ and suppose

$$(15) \quad F(z) = \beta + \beta_1 z + \cdots + \beta_n z^n + \cdots.$$

Then if $\alpha_1 = \beta^{-1}\beta_1 = -|\alpha_1|e^{i\varphi}$ we can form

$$(16) \quad f(z) = e^{i\varphi}(\beta^2 - F^2(z))/(1 - \beta^2 F^2(z)).$$

The defining properties (12) and (14) assure us $f(z)$ is a bounded regular function which vanishes at the origin. To check univalency, an easy calculation shows that

$$(17) \quad f(z) - f(\zeta) = -e^{-i\varphi} \frac{(F(z) - F(\zeta))(F(z) + F(\zeta))(1 - \beta^4)}{(1 - \beta^2 F^2(z))(1 - \beta^2 F^2(\zeta))}.$$

The univalency of $F(z)$, along with the Guelfer property (13), implies (17) vanishes only if $z = \zeta$. Finally we notice that

$$(18) \quad f'(0) = (2\beta^2/(1 - \beta^4))|\alpha_1| > 0$$

and so we conclude $f(z) \in S(b_1)$, with $f(z) \neq \beta^2 e^{-i\varphi}$ and

$$(19) \quad b_1 = (2\beta^2/(1 - \beta^4))|\alpha_1|.$$

In the next section we obtain a general area inequality for D_1^* . By means of the correspondence (16) we show how this provides an elementary derivation of inequalities recently obtained by Nehari [5] and DeTemple [1] for the class S_1 . It is to be noted that although Nehari's proof is of the area type to be considered here, his formulation of the inequalities omits the important complementing role of the Guelfer functions D_1^* ; in DeTemple on the other hand, the characteristic properties of the D_1^* class are emphasized, but the variational proof reverts attention again to the class S_1 .

2. **An area theorem for univalent Guelfer functions.** For any $F(z) \in D^*(\beta)$, we may consider the following power series expansions:

$$\begin{aligned}
 \log \frac{F(z) - F(\zeta)}{z - \zeta} &= \sum_{m,n=0}^{\infty} k_{mn} z^m \zeta^n, \\
 -\log [F(z) + F(\zeta)] &= \sum_{m,n=0}^{\infty} l_{mn} z^m \zeta^n, \\
 \log [1 + F(z)\overline{F(\zeta)}] &= \sum_{m,n=0}^{\infty} p_{mn} z^m \zeta^n, \\
 -\log [1 - F(z)\overline{F(\zeta)}] &= \sum_{m,n=0}^{\infty} q_{mn} z^m \zeta^n.
 \end{aligned}
 \tag{20}$$

The properties of the class $D^*(\beta)$ guarantee the convergence of these series in the bicylinder $U \times U$. It should be observed that the coefficients k_{mn} and l_{mn} are symmetric in their indices, while p_{mn} and q_{mn} are Hermitian symmetric.

Next we define a sequence of rational functions $\Phi_n(t)$ by means of the generating function

$$\log \frac{1 - t\beta}{1 - tF(\zeta)} = \sum_{n=1}^{\infty} \frac{1}{n} \Phi_n(t) \zeta^n.
 \tag{21}$$

The $\Phi_n(t)$ are closely related to the Faber polynomials (see Schiffer [7]). Indeed, if $F_n(s)$ is the n th Faber polynomial for $[F(z) - \beta]$, then

$$\Phi_n(t) = F_n(t/(1 - \beta t)).
 \tag{22}$$

It follows that each $\Phi_n(t)$ has a pole only at $t = \beta^{-1}$.

We utilize the functions $\Phi_n(t)$ to expand the left sides of (20) in powers of ζ , $|\zeta|$ small, holding z fixed. Comparing equal powers of ζ , we deduce the identities

$$\begin{aligned}
 \sum_{m=0}^{\infty} k_{m0} z^m &= \log \frac{F(z) - \beta}{z}, \\
 n \sum_{m=0}^{\infty} k_{mn} z^m &= z^{-n} - \Phi_n \left[\frac{1}{F(z)} \right] \quad (n \geq 1), \\
 \sum_{m=0}^{\infty} l_{m0} z^m &= -\log [\beta + F(z)], \\
 n \sum_{m=0}^{\infty} l_{mn} z^m &= \Phi_n \left[-\frac{1}{F(z)} \right] \quad (n \geq 1), \\
 \sum_{m=0}^{\infty} p_{m0} z^m &= \log [1 + \beta F(z)], \\
 n \sum_{m=0}^{\infty} p_{mn} z^m &= -\overline{\Phi_n[-\overline{F(z)}]} \quad (n \geq 1), \\
 \sum_{m=0}^{\infty} q_{m0} z^m &= -\log [1 - \beta F(z)], \\
 n \sum_{m=0}^{\infty} q_{mn} z^m &= \overline{\Phi_n[\overline{F(z)}]} \quad (n \geq 1).
 \end{aligned}
 \tag{23}$$

The identities (23) enable us to define a function $q(w)$ for which the inherently positive integral $\iint |q'(w)|^2 d\tau$ may be evaluated when taken over an appropriate domain. The basic idea is to consider simultaneously both $F(z) \in D^*(\beta)$ and its negative

$$(24) \quad \hat{F}(z) = -F(z).$$

The ranges of $F(z)$ and $\hat{F}(z)$ are nonoverlapping subdomains of the unit disc $|w| < 1$. In particular, by choosing a ρ ($0 < \rho < 1$) we may define two nonintersecting simple curves γ and $\hat{\gamma}$ inside $|w| < 1$ with the parametric representations

$$(25) \quad w = F(\rho e^{i\theta}), \quad w = \hat{F}(\rho e^{i\theta}).$$

Along with the unit circumference $|w|=1$ they bound a triply-connected domain Δ_ρ which contains neither $\pm\beta$.

The function $q(w)$ is now defined as follows. Let x_0, y_0 be any two real numbers and let x_n, y_n ($n=1, 2, \dots, N$) be any $2N$ complex numbers. Then let

$$(26) \quad \begin{aligned} q(w) = & x_0 \log \frac{w-\beta}{1-\beta w} - y_0 \log \frac{w+\beta}{1+\beta w} \\ & - \sum_{m=1}^N \left[\frac{x_m}{m} \Phi_m(w^{-1}) - \frac{\overline{x_m}}{m} \Phi_m(\overline{w}) \right] \\ & + \sum_{m=1}^N \left[\frac{y_m}{m} \Phi_m(-w^{-1}) - \frac{\overline{y_m}}{m} \Phi_m(-\overline{w}) \right]. \end{aligned}$$

We observe that $q(w)$ is analytic in Δ_ρ and has there a single-valued real part in view of the realness of x_0 and y_0 . Using the complex version of Green's identity, we can write

$$(27) \quad 0 \leq \iint_{\Delta_\rho} |q'(w)|^2 d\tau = \frac{1}{i} \oint_{\partial\Delta_\rho} \operatorname{Re} \{q(w)\} dq(w),$$

where $d\tau$ is the area element in Δ_ρ . From (26) we note that $\operatorname{Re} \{q(w)\} = 0$ on $|w|=1$. Thus from the parametric representations (25) for the remaining two components γ and $\hat{\gamma}$ of the boundary $\partial\Delta_\rho$, we see (27) goes over to

$$(28) \quad I_1 + I_2 \leq 0,$$

where

$$(29) \quad \begin{aligned} I_1 &= \frac{1}{i} \int_0^{2\pi} \operatorname{Re} \{q[F(\rho e^{i\theta})]\} \frac{dq[F]}{d\theta} d\theta, \\ I_2 &= \frac{1}{i} \int_0^{2\pi} \operatorname{Re} \{q[\hat{F}(\rho e^{i\theta})]\} \frac{dq[\hat{F}]}{d\theta} d\theta. \end{aligned}$$

The identities (23) enable us to express these integrals in terms of the coefficients of the series (20). Indeed, we find after straightforward calculation that

$$(30) \quad q[F(z)] = x_0 \log z - \sum_{n=1}^N \frac{x_n}{nz^n} + \sum_{m=0}^{\infty} A_m z^m,$$

where

$$(31) \quad A_m = \sum_{n=0}^N [k_{mn}x_n + q_{mn}\bar{x}_n + l_{mn}y_n + p_{mn}\bar{y}_n].$$

Similarly, we can calculate

$$(32) \quad q[\hat{F}(z)] = -y_0 \log z + \sum_{n=1}^N \frac{y_n}{nz^n} - \sum_{m=0}^{\infty} B_m z^m + \text{imaginary constant},$$

where

$$(33) \quad B_m = \sum_{n=0}^N [k_{mn}y_n + q_{mn}\bar{y}_n + l_{mn}x_n + p_{mn}\bar{x}_n].$$

In view of the developments (30) and (32), the integrals I_1 and I_2 may be evaluated to give

$$(34) \quad \begin{aligned} I_1 &= 2\pi x_0^2 \log \rho - \pi \sum_{n=1}^N \frac{1}{n} |x_n|^2 \rho^{-2n} \\ &\quad + \pi \sum_{n=1}^{\infty} n |A_n|^2 \rho^{2n} + 2\pi x_0 \operatorname{Re} \{A_0\}, \\ I_2 &= 2\pi y_0^2 \log \rho - \pi \sum_{n=1}^N \frac{1}{n} |y_n|^2 \rho^{-2n} \\ &\quad + \pi \sum_{n=1}^{\infty} n |B_n|^2 \rho^{2n} + 2\pi y_0 \operatorname{Re} \{B_0\}. \end{aligned}$$

From (28) we conclude

$$(35) \quad \begin{aligned} &2x_0 \operatorname{Re} \{A_0\} + 2y_0 \operatorname{Re} \{B_0\} + \sum_{n=1}^{\infty} n[|A_n|^2 + |B_n|^2] \rho^{2n} \\ &\leq \sum_{n=1}^N \frac{1}{n} [|x_n|^2 + |y_n|^2] \rho^{-2n} + 2(x_0^2 + y_0^2) \log \rho^{-1}. \end{aligned}$$

This inequality holds for all $\rho < 1$, so we may pass to the limit, $\rho \uparrow 1$. We obtain an area theorem for bounded univalent Guelfer functions

$$(36) \quad 2x_0 \operatorname{Re} \{A_0\} + 2y_0 \operatorname{Re} \{B_0\} + \sum_{n=1}^{\infty} n[|A_n|^2 + |B_n|^2] \leq \sum_{n=1}^N \frac{1}{n} [|x_n|^2 + |y_n|^2].$$

It now becomes useful to introduce a change of variables. Let

$$(37) \quad \lambda_n = \frac{1}{2}(x_n + y_n), \quad \mu_n = \frac{1}{2}(x_n - y_n)$$

and denote

$$(38) \quad \begin{aligned} a_{mn} &= k_{mn} + l_{mn}, & \alpha_{mn} &= k_{mn} - l_{mn}, \\ b_{mn} &= q_{mn} + p_{mn}, & \beta_{mn} &= q_{mn} - p_{mn}. \end{aligned}$$

Finally we define the new vectors

$$\begin{aligned}
 (39) \quad C_m &\equiv \frac{1}{2}(A_m + B_m) = \sum_{n=0}^N [a_{mn}\lambda_n + b_{mn}\bar{\lambda}_n], \\
 D_m &\equiv \frac{1}{2}(A_m - B_m) = \sum_{n=0}^N [\alpha_{mn}\mu_n + \beta_{mn}\bar{\mu}_n].
 \end{aligned}$$

Observing that

$$(40) \quad x_0A_0 + y_0B_0 = 2\lambda_0C_0 + 2\mu_0D_0$$

we find from (36) that

$$(41) \quad 2\lambda_0 \operatorname{Re} \{C_0\} + 2\mu_0 \operatorname{Re} \{D_0\} + \sum_{n=1}^{\infty} n[|C_n|^2 + |D_n|^2] \leq \sum_{n=1}^N \frac{1}{n} [|\lambda_n|^2 + |\mu_n|^2].$$

Here we have utilized the parallelogram law for arbitrary complex numbers a, b , namely,

$$|(a+b)/2|^2 + |(a-b)/2|^2 = \frac{1}{2}[|a|^2 + |b|^2].$$

Having now separated the variables in the inequality, (41) may be split into two independent conditions. For example, taking $\mu_0 = \mu_1 = \dots = \mu_N = 0$ we find

$$(42) \quad 2\lambda_0 \operatorname{Re} \{C_0\} + \sum_{n=1}^{\infty} n|C_n|^2 \leq \sum_{n=1}^N \frac{|\lambda_n|^2}{n}.$$

Similarly we find

$$(43) \quad 2\mu_0 \operatorname{Re} \{D_0\} + \sum_{n=1}^{\infty} n|D_n|^2 \leq \sum_{n=1}^N \frac{|\mu_n|^2}{n}.$$

We utilize our inequalities as follows. First consider the quantity

$$(44) \quad \Phi[F] = \operatorname{Re} \left\{ \sum_{m,n=0}^N a_{mn}\lambda_m\lambda_n \right\} + \sum_{m,n=0}^N b_{mn}\lambda_m\bar{\lambda}_n.$$

From (39) we find

$$\begin{aligned}
 (45) \quad \Phi[F] &= \lambda_0 \operatorname{Re} \{C_0\} + \operatorname{Re} \left\{ \sum_{m=1}^N \lambda_m C_m \right\} \\
 &\leq \lambda_0 \operatorname{Re} \{C_0\} + \left[\left(\sum_{m=1}^N \frac{|\lambda_m|^2}{m} \right) \left(\sum_{m=1}^N m |C_m|^2 \right) \right]^{1/2} \\
 &\leq \lambda_0 \operatorname{Re} \{C_0\} + \left[\left(\sum_{m=1}^N \frac{|\lambda_m|^2}{m} \right)^2 - 2\lambda_0 \sum_{m=1}^N \frac{|\lambda_m|^2}{m} \operatorname{Re} \{C_0\} \right]^{1/2} \\
 &\leq \lambda_0 \operatorname{Re} \{C_0\} + \sum_{m=1}^N \frac{|\lambda_m|^2}{m} - \lambda_0 \operatorname{Re} \{C_0\}.
 \end{aligned}$$

Here we have used first Schwarz's inequality, then our inequality (42), and finally we have completed the square under the radical. This computation gives us

THEOREM 1. Let $F(z) \in D_1^*$ and let a_{mn} and b_{mn} be defined by

$$(46) \quad \log \frac{F(z) - F(\zeta)}{[F(z) + F(\zeta)](z - \zeta)} = \sum_{m,n=0}^{\infty} a_{mn} z^m \zeta^n,$$

$$(47) \quad \log \frac{1 + F(z)\overline{F(\zeta)}}{1 - F(z)\overline{F(\zeta)}} = \sum_{m,n=0}^{\infty} b_{mn} z^m \bar{\zeta}^n.$$

Then for any real number λ_0 and any N complex numbers $\lambda_1, \dots, \lambda_N$,

$$(48) \quad \operatorname{Re} \left\{ \sum_{m,n=0}^N a_{mn} \lambda_m \lambda_n \right\} + \sum_{m,n=0}^N b_{mn} \lambda_m \bar{\lambda}_n \leq \sum_{m=1}^N \frac{|\lambda_m|^2}{m}.$$

Equality is possible only when

$$(49) \quad \operatorname{Re} \left\{ \sum_{n=0}^N [a_{0n} \lambda_n + b_{0n} \bar{\lambda}_n] \right\} = 0,$$

$$(50) \quad \begin{aligned} \sum_{n=0}^N [a_{mn} \lambda_n + b_{mn} \bar{\lambda}_n] &= \frac{\bar{\lambda}_m}{m}, & m = 1, 2, \dots, N, \\ &= 0, & m = N+1, N+2, \dots, \end{aligned}$$

and in this case the complement of the union of the domains $F(U)$ and $-F(U)$ with respect to the unit disc has zero area.

Similarly, we find beginning from (43) the

THEOREM 2. Let $F(z) \in D_1^*$ and let α_{mn} and β_{mn} be defined by

$$(51) \quad \log \frac{F^2(z) - F^2(\zeta)}{z - \zeta} = \sum_{m,n=0}^{\infty} \alpha_{mn} z^m \zeta^n,$$

$$(52) \quad -\log [1 - F^2(z)\overline{F^2(\zeta)}] = \sum_{m,n=0}^{\infty} \beta_{mn} z^m \bar{\zeta}^n.$$

Then for any real number μ_0 and any N complex numbers μ_1, \dots, μ_N ,

$$(53) \quad \operatorname{Re} \left\{ \sum_{m,n=0}^N \alpha_{mn} \mu_m \mu_n \right\} + \sum_{m,n=0}^N \beta_{mn} \mu_m \bar{\mu}_n \leq \sum_{n=1}^N \frac{|\mu_n|^2}{n}.$$

Equality is possible only when

$$(54) \quad \operatorname{Re} \left\{ \sum_{n=0}^N [\alpha_{0n} \mu_n + \beta_{0n} \bar{\mu}_n] \right\} = 0,$$

$$(55) \quad \begin{aligned} \sum_{n=0}^N [\alpha_{mn} \mu_n + \beta_{mn} \bar{\mu}_n] &= \frac{\bar{\mu}_m}{m}, & m = 1, \dots, N, \\ &= 0, & m = N+1, N+2, \dots, \end{aligned}$$

and in this case the complement of the union of the domains $F(U)$ and $-F(U)$, taken with respect to the unit disc, has zero area.

Each of Theorems 1 and 2 gives analogous results for the unbounded class D^* by an obvious limiting procedure. If these inequalities are transformed by (8) into statements on Bieberbach-Eilenberg functions, these results coincide with those obtained by Hummel and Schiffer [4]. For definiteness we state

THEOREM 3. *Let $G(z) \in D^*$ and let the coefficients a_{mn} be defined by*

$$(56) \quad \log \frac{G(z) - G(\zeta)}{[G(z) + G(\zeta)](z - \zeta)} = \sum_{m,n=0}^{\infty} a_{mn} z^m \zeta^n.$$

Then for λ_0 real and $\lambda_1, \dots, \lambda_n$ any N complex numbers,

$$(57) \quad \operatorname{Re} \left\{ \sum_{m,n=0}^N a_{mn} \lambda_m \lambda_n \right\} \leq \sum_{m=1}^N \frac{|\lambda_m|^2}{m}.$$

To compare with Hummel-Schiffer we need only notice from (8) that

$$(58) \quad (G(z) - G(\zeta))/(G(z) + G(\zeta)) = (E(z) - E(\zeta))/(1 - E(z)E(\zeta)).$$

If in turn $G(z)$ is related to a function $g(z) \in S$ by means of (4), then inequality (57) becomes equivalent to that of Garabedian-Schiffer.

3. Applications. Given a function $f(z) \in S_1$ which omits a value $\beta^2 e^{-i\phi}$, we have seen that the $F(z)$ given by (10) is in $D^*(\beta)$. Hence the inequalities of Theorems 1 and 2 can be restated for these subclasses of S_1 functions. We find that Theorem 1 becomes equivalent to inequalities of Nehari [5, pp. 325-327] and DeTemple [1], while Theorem 2 is equivalent to the Nehari inequalities as generalized by Schiffer and Tammi [8].

Our inequalities of the preceding section may also be utilized to obtain coefficient and growth estimates for functions $F(z)$ in the class $D(\beta)$. Since the inequalities apply only to univalent functions, the following lemma for the subordination of $D(\beta)$ functions is essential.

LEMMA. *Let $F(z) \in D(\beta)$. Then there exists for $F(z)$ a univalent majorant $F^*(z) \in D^*(\beta)$. That is*

$$(59) \quad F(z) = F^*[\omega(z)]$$

where $F^ \in D(\beta)$ and $\omega(z)$ is analytic on U with $\omega(0) = 0$, $|\omega(z)| < 1$.*

The proof, which we omit, can be achieved by the obvious modifications of procedures found in either Rogosinski [6] or Guelfer [3].

A special case of inequality (48) now gives us

THEOREM 4. *Let $F(z) = \beta(1 + \alpha_1 z + \dots + \alpha_n z^n + \dots) \in D(\beta)$. Then*

$$(60) \quad |\alpha_1| \leq 2(1 - \beta^2)/(1 + \beta^2),$$

and equality holds only for the functions $w = F(z)$ mapping U onto the half-disc $\{|w| < 1, \operatorname{Re} w > 0\}$; that is, the functions given by

$$(61) \quad \frac{F(z) - \beta}{1 - \beta F(z)} \frac{1 + \beta F(z)}{F(z) + \beta} = \varepsilon z, \quad |\varepsilon| = 1.$$

Proof. Choose $\lambda_0 = 1$ and $\lambda_1 = \lambda_2 = \dots = \lambda_n = 0$ in (48). Then for any function $F^*(\zeta) = \beta(1 + \alpha_1^* \zeta + \dots) \in D^*(\beta)$ we have

$$(62) \quad \operatorname{Re} \{a_{00}\} + b_{00} = \log \frac{|\alpha_1^*|}{2} + \log \frac{1 + \beta^2}{1 - \beta^2} \leq 0,$$

which is equivalent to (60) in the case of univalent functions. For a general $F(z) \in D(\beta)$ we can write $F(z) = F^*(\omega(z))$ by the lemma. But then

$$(63) \quad |\alpha_1| = |\beta^{-1} F'(0)| = |\beta^{-1} F^{*'}(0)| |\omega'(0)| \leq |\alpha_1^*|$$

with equality only if $\omega(z) = \varepsilon z$, $|\varepsilon| = 1$.

For equality to hold in (60), we note from (49) and (50) that

$$(64) \quad C_0 = a_{00} + b_{00} = \text{imaginary}, \quad C_m = a_{m0} + b_{m0} = 0, \quad m = 1, 2, \dots$$

Subtracting (42) from (40) we then find

$$(65) \quad q[F(z)] - q[-F(z)] = 2 \log z + 2C_0.$$

On the other hand we have from (26) that

$$(66) \quad q[F(z)] - q[-F(z)] = 2 \log \frac{F(z) - \beta}{1 - \beta F(z)} \frac{1 + \beta F(z)}{F(z) + \beta} + \text{imaginary}.$$

Comparing (65) and (66) we conclude upon taking real parts that

$$(67) \quad \log \left| \frac{F(z) - \beta}{1 - \beta F(z)} \cdot \frac{1 + \beta F(z)}{F(z) + \beta} \cdot \frac{1}{z} \right| \equiv 0.$$

From (67) the statements for the extremal functions follow easily. \square

For a given $f(z) \in S(b_1)$ we can apply Theorem 4 to the function $F(z)$ given by transformation (10). Thus if $f(z) \neq d$ we obtain the well-known inequality

$$(68) \quad |d| \geq b_1(1 + \sqrt{1 - b_1})^{-2},$$

with equality holding only for the function given by

$$(69) \quad f(z)(1 + \eta f(z))^{-2} = 4|d|(1 + |d|)^{-2} z(1 + \eta z)^{-2}, \quad \eta = \bar{d}/|d|.$$

THEOREM 5. Let $F(z) \in D_1$. Then

$$(70) \quad \left| \frac{F'(z)}{F(z)} \right| \frac{1 + |F(z)|^2}{1 - |F(z)|^2} \leq \frac{2}{1 - |z|^2}$$

holds for all $z \in U$. Equality, at a point $\zeta \in U$, holds only for the functions

$$(71) \quad F(z) = \frac{\overline{F_1(-\zeta)}}{|F_1(-\zeta)|} F_1\left(\frac{z-\zeta}{1-\bar{\zeta}z}\right)$$

where $F_1(z)$ has the form given by (61).

Proof. Let $F(z) \in D_1$, $\zeta \in U$, and form

$$(72) \quad F_1(z) = \frac{\overline{F(\zeta)}}{|F(\zeta)|} F\left(\frac{z+\zeta}{1+\bar{\zeta}z}\right).$$

Then $F_1(z) \in D(|F(\zeta)|)$ and

$$(73) \quad F_1'(0) = \frac{\overline{F(\zeta)}}{|F(\zeta)|} F'(\zeta)(1-|\zeta|^2).$$

The result now follows by application of Theorem 4 to $F_1(z)$. \square

THEOREM 6. Let $F(z) \in D(\beta)$. Then

$$(74) \quad \left| \log \frac{F(z)}{\beta} \frac{1-\beta^2}{1-F^2(z)} \right| \leq \log \frac{1+|z|}{1-|z|}$$

and in particular

$$(75) \quad \frac{1-|z|}{1+|z|} \leq \frac{\beta}{1-\beta^2} \left| \frac{1}{F(z)} - F(z) \right| \leq \frac{1+|z|}{1-|z|},$$

$$(76) \quad \left| \arg \left(\frac{1}{F(z)} - F(z) \right) \right| \leq \log \frac{1+|z|}{1-|z|}.$$

Proof. Integrate $(dF/F)((1+F^2)/(1-F^2))$ over the line segment $\langle 0, z \rangle$, and use inequality (70). \square

For $F(z) \in D(\beta)$ we have seen $G(z) = \beta^{-1}F(z) \in D$. If we replace $F(z)$ by $\beta G(z)$ in our inequalities of this section, then results of Guelfer for the class D are obtained upon sending $\beta \rightarrow 0$.

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