

## AN ASYMPTOTIC FORMULA IN ADELE DIOPHANTINE APPROXIMATIONS

BY

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**ABSTRACT.** In this paper an asymptotic formula is found for the number of solutions of a system of linear Diophantine inequalities defined over the ring of adèles of an algebraic number field. The theorem proved is a generalization of results of S. Lang and W. Adams.

1. **Introduction.** Serge Lang [5] defines a number to have type  $\leq g$  if  $g$  is a positive increasing function for which  $|qb - p| \geq 1/qg(q)$  for all  $q$  sufficiently large. Lang then shows that the number  $\lambda(N, b)$  of solutions of  $|qb - p| \leq \psi(q)$  with  $q \leq N$  is asymptotic to  $S_N = \sum_{q=1}^N 2\psi(q)$  if  $b$  has type  $\leq g$  and  $\psi$  decreases so slowly that  $\psi(q)qg(q)^{-1}$  increases to infinity with  $q$ . W. Adams [1] has extended this result of Lang to the simultaneous approximation of real numbers by rationals. I have also shown in [8] how these results may be extended to linear forms. The purpose of this paper is to show that the Lang-Adams theorem holds for the approximation of linear forms in the ring of adèles over a number field  $k$ . A  $p$ -adic theorem, as well as some of the results in [8], could be stated as corollaries to the theorem proved here. The theorem proved is probably not the best possible such theorem. This is suggested by a metric example I will give later.

Diophantine approximations over the adèles have previously been considered by David Cantor in [2]. In his paper Cantor shows adèle analogues of some of the basic theorems. To some extent, I have followed Cantor in notation and setting up the problem in the ring of adèles.

I wish to thank Professor W. Adams for his help and encouragement in my work.

2. **Notation.** We use  $k$  to denote an algebraic number field of degree  $n$  with ring of integers  $\mathfrak{o}$ . Let  $P$  be the set of all primes of  $k$ . We write  $P_\infty$  for the set of all infinite primes, and  $P_0$  for the set of all finite primes. When  $P_0$  and  $P_\infty$  are used as subscripts, we will replace them by 0 and  $\infty$  respectively. For  $\mathfrak{p} \in P$ , we let  $k_{\mathfrak{p}} \supseteq k$  denote the completion of  $k$  with respect to  $\mathfrak{p}$ .

We may assume  $P_0$  is the set of all prime ideals of  $\mathfrak{o}$ . For  $\mathfrak{p} \in P_0$ ,  $x \in k$ , let  $\nu = \nu_{\mathfrak{p}}(x)$  be the  $\mathfrak{p}$ -order of  $x$ . We normalize the absolute value  $|\cdot|_{\mathfrak{p}}$  associated with  $\mathfrak{p}$  so that  $|x|_{\mathfrak{p}} = N\mathfrak{p}^{-\nu}$ , where  $N\mathfrak{p}$  is the norm of the ideal  $\mathfrak{p}$ .

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Let  $x \rightarrow x^{(i)}, i = 1, \dots, n$ , be the embeddings of  $k$  into  $\mathbb{C}$ , the complex numbers. We arrange the notation so that the first  $R_1$  embeddings map into the real numbers  $\mathbb{R}$  and the remaining maps consist of  $R_2$  pairs of complex conjugate mappings listed so that

$$x^{(R_1+R_2+i)} = \overline{x^{(R_1+i)}} \quad \text{for } i = 1, \dots, R_2.$$

The infinite primes of  $k$  can be identified with the first  $R = R_1 + R_2$  of these mappings. We use  $|\cdot|$  to stand for the ordinary absolute value on  $\mathbb{C}$ . If  $\mathfrak{p}$  is the infinite prime corresponding to  $x \rightarrow x^{(i)}$ , then we set  $|x|_{\mathfrak{p}} = |x^{(i)}|$  if  $k^{(i)}$  is real, otherwise we set  $|x|_{\mathfrak{p}} = |x^{(i)}|^2$ . The infinite prime  $\mathfrak{p}$  is called real when  $k^{(i)} \subseteq \mathbb{R}$  and complex otherwise. If  $\mathfrak{p}$  is real, then  $k_{\mathfrak{p}} = \mathbb{R}$  and we will often identify  $k$  with a subfield of  $\mathbb{R}$  by means of  $x \rightarrow x^{(i)}$ . A similar statement can be made when  $\mathfrak{p}$  is complex, in which case  $k_{\mathfrak{p}} = \mathbb{C}$ . Hence, if we write  $|\cdot|_{\mathfrak{p}}$  for the extension of  $|\cdot|$  to  $k_{\mathfrak{p}}$ , we may think of  $|\cdot|_{\mathfrak{p}}$  as the ordinary absolute value when  $k_{\mathfrak{p}} = \mathbb{R}$  and the square of the ordinary absolute value when  $k_{\mathfrak{p}} = \mathbb{C}$ .

For  $\mathfrak{p} \in P_0$ , the set  $\mathfrak{o}_{\mathfrak{p}}$  of all  $x$  in  $k_{\mathfrak{p}}$  for which  $|x|_{\mathfrak{p}} \leq 1$  is the ring of  $\mathfrak{p}$ -adic integers of  $k_{\mathfrak{p}}$ . For  $\mathfrak{p} \in P_{\infty}$ , we set  $\mathfrak{o}_{\mathfrak{p}} = k_{\mathfrak{p}}$ .

Let  $S$  be any subset of  $P$ . Consider the product  $\prod k_{\mathfrak{p}}$  over all  $\mathfrak{p} \in S$ , with componentwise algebraic operations. For any  $a$  in this product we use  $a_{\mathfrak{p}}$  to stand for the  $\mathfrak{p}$ th component of  $a$ . We define the ring  $k_S$  of  $S$ -adeles to be the subset of this product consisting of all  $a$  with  $a_{\mathfrak{p}} \in \mathfrak{o}_{\mathfrak{p}}$  for all but a finite number of  $\mathfrak{p}$ . Note that this is not the ring usually referred to as the  $S$ -adele ring. We embed  $k$  in  $k_S$  by identifying  $a \in k$  with the element in  $k_S$ , also denoted by  $a$ , for which  $a_{\mathfrak{p}} = a \in k$  for all  $\mathfrak{p} \in S$ . We let  $S_{\infty} = S \cap P_{\infty}$  and  $S_0 = S \cap P_0$ . Then we can write  $k_S = k_{S_{\infty}} \times k_{S_0}$ . For  $a \in k_S$  we write  $a^{\infty}$  for the  $k_{S_{\infty}}$  component of  $a$ , and we write  $a^0$  for the  $k_{S_0}$  component of  $a$ .

We denote the multiplicative group of units of  $k_S$  by  $k_S^*$ , and call this the group of  $S$ -ideles. Clearly,  $a \in k_S$  is an idele if and only if  $a_{\mathfrak{p}}$  is nonzero for all  $\mathfrak{p}$  in  $S$  and  $|a_{\mathfrak{p}}|_{\mathfrak{p}} = 1$  for all but a finite number of  $\mathfrak{p} \in S$ .

We extend  $|\cdot|_{\mathfrak{p}}$  to  $k_S$  by defining  $|a|_{\mathfrak{p}} = |a_{\mathfrak{p}}|_{\mathfrak{p}}$  for  $a$  in  $k_S$ . For  $T \subseteq S$  and  $a \in k_S$ , put  $|a|_T = \prod_{\mathfrak{p} \in T} |a|_{\mathfrak{p}}$ , if this product converges; and otherwise set  $|a|_T = 0$ . So, if  $a \in k_S^*$ , then  $|a|_T \neq 0$ . For  $a, b \in k_S$ , write  $a \leq b$  if  $|a|_{\mathfrak{p}} \leq |b|_{\mathfrak{p}}$  for all  $\mathfrak{p} \in S$ , and write  $a < b$  if  $a \leq b$  and  $|a|_{\mathfrak{p}} < |b|_{\mathfrak{p}}$  for all infinite primes in  $S$ . If  $S \supseteq P_{\infty}$  and  $x = (x_1, \dots, x_m) \in k_S^m$  we write  $|\overline{x}| = \max |x_i|_{\mathfrak{p}}^{1/n_{\mathfrak{p}}}$  where  $n_{\mathfrak{p}}$  is the local degree of  $\mathfrak{p}$  and the max is taken over all  $\mathfrak{p} \in P_{\infty}$  and all  $i$  satisfying  $1 \leq i \leq m$ .

We topologize  $k_S$  in the usual way by requiring that the sets  $\{x \in k_S: x - b \leq a\}$ ,  $a \in k_S^*$ , form a neighborhood basis at  $b$  in  $k_S$ . This makes  $k_S$  into a locally compact additive topological group.

It is well known that  $\mathfrak{o}$  is a discrete subset of  $k_\infty$  and  $k_\infty/\mathfrak{o}$  is compact. If  $S \subseteq P_0$ , by the strong approximation theorem,  $k$  is dense in  $k_S$ .

We now define some measures, all of which will be denoted by  $\mu$  when there is no ambiguity. Let  $\mu_{\mathfrak{p}}$  be the Haar measure on  $k_{\mathfrak{p}}$  normalized so that  $\mu_{\mathfrak{p}}(\mathfrak{o}_{\mathfrak{p}}) = 1$  when  $\mathfrak{p} \in P_0$ , and so that  $\mu_{\mathfrak{p}}$  is ordinary Lebesgue measure when  $\mathfrak{p} \in P_\infty$ . The Haar measure  $\mu_S$  on  $k_S$  is normalized by requiring that this measure agree with the product measure on

$$k_S(T) = \prod_{\mathfrak{p} \in T} k_{\mathfrak{p}} \times \prod_{\mathfrak{p} \in S-T} \mathfrak{o}_{\mathfrak{p}}$$

where  $T$  is any finite subset of  $S$ . So

$$\mu_S\{x \in k_S: x \leq a\} = 2^{-R_1} \pi^{-R_2} |a|_S.$$

Whenever we talk about a measure on  $k_S^m$  we mean the product measure  $\mu_S^m$ . If  $G$  is a discrete subgroup of  $k_S^m$  we will always take the counting measure. Furthermore, if  $k_S^m/G$  is compact we normalize the measure  $\mu$  on this group so that the measure of the group is just the  $\mu_S^m$  measure of any measurable set of representatives in  $k_S^m$  of the cosets of  $G$ . So  $\mu(k_\infty^m/\mathfrak{o}^m) = 2^{-mR_2} |d|^{m/2}$  where  $d$  will always stand for the discriminant of  $k$ .

If  $\sigma$  is a topological automorphism of  $k_S^m$  the modulus of  $\sigma$  is defined by  $\text{mod } \sigma = \mu(\sigma X)/\mu(X)$  where  $X$  is any measurable set in  $k_S$ . If  $\sigma$  is a  $k_S$  module automorphism of  $k_S^m$  with determinant  $\det \sigma$ , then  $\text{mod } \sigma = |\det \sigma|_S$ .

3. Statement of the theorem. Let  $L$  be the system

$$L_i(x) = \sum_{j=1}^s a_{ij} x_j, \quad i = 1, \dots, r,$$

of linear forms with coefficients in  $k_S$ . Set  $m = r + s$ . We will suppose  $z = (z_1, \dots, z_m)$ ,  $x = (x_1, \dots, x_s)$ , and  $y = (y_1, \dots, y_r)$  are related by  $z = (x, y)$ . Suppose  $A_{\mathfrak{p}}$  is the  $\mathfrak{p}$ th component of the coefficient matrix of the system

$$(1) \quad L_i^0(z) = \sum_{j=1}^s a_{ij}^0 z_j - z_{i+s}, \quad 1 \leq i \leq r.$$

Write  $\delta_{\mathfrak{p}}$  for the determinant of the  $r \times r$  submatrix of  $A_{\mathfrak{p}}$  with the  $\mathfrak{p}$ -adic absolute value of its determinant maximal. We define  $\delta = \delta(L) = (\delta_{\mathfrak{p}}) \in k_S$ . For simplicity, we will assume that  $S \supseteq P_\infty$ , except when we specifically state otherwise.

We let  $\psi$  be a mapping from the positive reals  $R_+$  to  $k_S^*$ . We would like to count the number  $\lambda(N)$  of solutions  $x \in \mathfrak{o}^s$ ,  $y \in \mathfrak{o}^r$  of

$$(2) \quad L_i(x) - y_i < \psi(|\bar{x}|), \quad 1 \leq i \leq r,$$

$$|\bar{x}| \leq N.$$

We will show how to do this when  $|\psi(t)|_S$  does not decrease too fast. Note, there are only finitely many  $x \in \mathfrak{o}^s$  with  $|\bar{x}| \leq N$ , because  $|\bar{x}| \leq N$  defines a bounded region in  $k_\infty^s = \mathbb{R}^{sn}$  which therefore contains a finite number of points of the lattice  $\mathfrak{o}^s$ . Also, in the same way the number of  $y$  corresponding to a given  $x$  in (2) is finite. In fact, if  $|\psi(t)|_\infty < 2^{-n}$ , then  $y$  is uniquely determined; for, if  $y'$  and  $y''$  both correspond to the same  $x$ , then

$$y_i = y'_i - y''_i \leq H \max \{L_i(x) - y'_i, L_i(x) - y''_i\} \leq H\psi(|\bar{x}|),$$

so

$$|\text{Norm } y_i| = |y'_i - y''_i|_\infty \leq |H\psi(|\bar{x}|)|_\infty < 1$$

and thus  $y = 0$ .

We use  $M$  to denote the transpose system

$$M_j(y) = \sum_{i=1}^r a_{ij} y_i, \quad 1 \leq j \leq s.$$

Let  $g: \mathbb{R}_+ \rightarrow \mathbb{R}_+$  be an increasing function. We say  $L$  has *type*  $\leq g$  if

$$(3) \quad \max_j |M_j(y) - x_j|_S \leq g(|\bar{z}|)^{-1} |\bar{z}|^{-rn/s}$$

has only finitely many solutions  $z = (x, y) \in \mathfrak{o}^m$ . The motivation for the right-hand side of (3) is the following version of Dirichlet's theorem.

**Proposition.** *If  $S \supseteq P_\infty$  then there are infinitely many  $z = (x, y) \in \mathfrak{o}^m$  such that*

$$|L_i(x) - y_i|_S \leq c|\bar{z}|^{-sn/r}, \quad 1 \leq i \leq r.$$

*If  $S \subseteq P_0$  then there are infinitely many  $z \in \mathfrak{o}^m$  such that*

$$|L_i(x) - y_i|_S \leq c|\bar{z}|^{-mn/r}, \quad 1 \leq i \leq r.$$

*Here  $c$  is some constant depending on  $k$  and  $L$ .*

A proof of a slightly different version of this adèle theorem may be found in [2, Theorem 2.3].

We prove the following theorem.

**Theorem.** *Assume the following:*

- (i)  $L$  has *type*  $\leq g$ .
- (ii)  $\psi(t)$  is decreasing.

- (iii)  $F(t)^{n(r+2s)} = |\psi(t)|_S^r t^{sn} g(t^{s/r})^{-s}$  increases to  $\infty$ .
- (iv)  $\psi^0(t) \leq 1$ , i.e.,  $|\psi(t)|_p \leq 1$  for all finite primes  $p \in S$ .
- (v)  $|\psi(t)|_{p_1} |\psi(t)|_{p_2}^{-1} \leq C$  for all pairs of infinite primes  $p_1, p_2$ , where  $C$  is a constant independent of  $t$ .

Then the number  $\lambda(N)$  of solutions of (2) is

$$(4) \quad \lambda(N) = \gamma \int_1^N t^{sn-1} |\psi(t)|_S^r dt + O\left(\int_1^N \frac{t^{sn-1} |\psi(t)|_S^r}{F(t)} dt\right)$$

with  $\gamma = ns2^{Rm} \pi^{mR2} |\delta(L)|_{s_0}^{-1} |d|^{-m/2}$ .

**Remark.** If we specialize the type theorem to the case  $k = Q, S = P_\infty$ , we get the homogeneous version of the theorem in [8].

**Remark.** If we assume  $S \subseteq P_0$ , delete condition (v), and replace the right-hand side in condition (iii) by  $|\psi(t)|_S^r t^{mn} g(t^{s/r})^{-s}$ , then we can show, by making only minor changes in the proof of the above theorem, that the number of solutions of (2) and  $|\bar{y}| \leq N$  satisfies

$$\lambda(N) \sim \gamma \int_1^N t^{mn-1} |\psi(t)|_S^r dt$$

for some constant  $\gamma$ . This specializes to a  $p$ -adic theorem when  $k = Q$ . A similar result may be proved when  $S$  includes some but not all primes of  $P_\infty$ .

In §4 I develop some results from the geometry of numbers which I will need when I prove the above theorem in §5. In §6 I will show how a metric result follows from this theorem.

**4. The geometry of numbers over  $k$ .** We call  $\Lambda$  an  $m$ -dimensional  $\mathfrak{o}$ -lattice if  $\Lambda$  is a discrete  $\mathfrak{o}$  submodule of  $k_\infty^m$  and  $k_\infty^m/\Lambda$  is compact; this last condition is the same as requiring that  $\Lambda$  contain  $m$   $k$ -independent elements. We call  $\mu(k_\infty^m/\Lambda)$  the determinant of  $\Lambda$  and denote this by  $\det \Lambda$ . Note that  $\mathfrak{o}^m$  is a lattice with  $\det = 2^{-mR2} |d|^{m/2}$ . From our identification of  $k_\infty$  with  $\mathbb{R}^{R1} \times \mathbb{C}^{R2} \cong \mathbb{R}^n$ , it is clear that an  $\mathfrak{o}$ -lattice is just an ordinary  $\mathbb{R}^{nm}$  lattice with the same determinant. Note that not every lattice in  $\mathbb{R}^{nm}$  is an  $\mathfrak{o}$ -lattice.

If  $\mathfrak{a}$  is an ideal of  $k$ , we let  $\mathfrak{a}\Lambda$  be the set of all sums  $\sum a_i x_i$  with  $a_i$  in  $\mathfrak{a}$  and  $x_i$  in  $\Lambda$ . It has been shown by K. Rogers and H. P. F. Swinnerton-Dyer [7, Theorem 1] that

**Proposition 1.** *If  $\Lambda$  is an  $\mathfrak{o}$ -lattice in  $k_\infty^m$ , there exist  $m$   $k_\infty$  independent points  $P_1, \dots, P_m$  in  $\Lambda$  and an ideal  $\mathfrak{b} \supseteq \mathfrak{o}$  in  $k$  such that*

$$\Lambda = \mathfrak{o}P_1 + \dots + \mathfrak{o}P_{m-1} + \mathfrak{b}P_m$$

where the ideal class of  $\mathfrak{b}$  depends only on  $\Lambda$ .

We may now state the following:

**Proposition 2.**  $a\Lambda$  is an  $\mathfrak{o}$ -lattice with  $\det a\Lambda = N a^m \det \Lambda$ .

**Proof.** The first assertion follows from the expression

$$a\Lambda = aP_1 + \cdots + aP_{m-1} + a\mathfrak{b}P_m.$$

To prove the second assertion we may suppose  $a$  is integral. Then  $a\Lambda \subseteq \Lambda$  and

$$\begin{aligned} \Lambda/a\Lambda &= \frac{\mathfrak{o}P_1 + \cdots + \mathfrak{o}P_{m-1} + \mathfrak{b}P_m}{aP_1 + \cdots + aP_{m-1} + a\mathfrak{b}P_m} \\ &\cong (\mathfrak{o}/a)^{m-1} \times \mathfrak{b}/a\mathfrak{b} \cong (\mathfrak{o}/a)^m \end{aligned}$$

so the order of  $\Lambda/a\Lambda$  is  $(N\mathfrak{o})^m$ . The proposition now follows.

For  $x = (x_1, \dots, x_m)$ ,  $y = (y_1, \dots, y_m)$  we denote the dot product by  $x \cdot y = \sum_i x_i y_i$ . Also, let  $\text{Tr}$  denote the trace function extended to  $k_\infty$ . We define

$$\Lambda^{-1} = \{x \in k_\infty^m: x \cdot y \in \mathfrak{o} \text{ for all } y \in \Lambda\},$$

$$\Lambda^* = \{x \in k_\infty^m: \text{Tr}(x \cdot y) \in \mathbb{Z} \text{ for all } y \in \Lambda\}.$$

It is straightforward to show

**Proposition 3.**  $\Lambda^* = \mathfrak{D}^{-1}\Lambda^{-1}$ , where  $\mathfrak{D}$  is the different of  $k$ , i.e.  $\mathfrak{D}^{-1}$  is the fractional ideal consisting of all  $x \in k$  such that  $\text{Tr}(ax) \in \mathbb{Z}$  for all  $a \in \mathfrak{o}$ .

If  $P_1, \dots, P_m$  are the independent points in Proposition 1, we can find points  $P'_1, \dots, P'_m$  such that

$$P_i \cdot P'_j = \begin{cases} 1, & i = j, \\ 0, & \text{otherwise.} \end{cases}$$

So,  $\Lambda^{-1} = \mathfrak{o}P'_1 + \cdots + \mathfrak{o}P'_{m-1} + \mathfrak{b}^{-1}P'_m$ , and hence  $\Lambda^{-1}$ , and therefore, also,  $\Lambda^*$ , is an  $\mathfrak{o}$ -lattice. We call  $\Lambda^*$  the polar lattice of  $\Lambda$ . This is just the ordinary polar lattice in  $\mathbb{R}^{nm}$  with respect to the bilinear form  $\langle x, y \rangle = \text{Tr}(x \cdot y)$ .

We now give some examples of  $\mathfrak{o}$ -lattices we will need later.

**Example 1.** Let  $L$  be the independent system  $L_i(z) = \sum_{j=1}^m a_{ij}z_j$ ,  $1 \leq i \leq m$ , with  $a_{ij} \in k_\infty$ . The coefficient matrix  $A$  of this system has determinant in  $k_\infty^*$ .

So,  $L$  determines an automorphism  $L: z \rightarrow L(z) = zA$  of  $k_\infty^m$  with  $\text{mod } L = |\det A|_\infty$ . If  $\Lambda$  is an  $\mathfrak{o}$ -lattice, then so is  $L(\Lambda)$ . It is clear that

$$\det L(\Lambda) = \text{mod } L \det \Lambda = |\det A|_\infty \det \Lambda.$$

Now, let  $M$  be the system with coefficient matrix  ${}^t A^{-1}$  (the  $t$  stands for transpose). Then

$$L(z) \cdot M(w) = (zA) \cdot (w^t A^{-1}) = zAA^{-1}({}^t w) = z \cdot w.$$

Hence  $L(\Lambda)^{-1} = M(\Lambda^{-1})$  and therefore also

$$L(\Lambda)^* = \mathfrak{D}^{-1}L(\Lambda)^{-1} = \mathfrak{D}^{-1}M(\Lambda^{-1}) = M(\mathfrak{D}^{-1}\Lambda^{-1}) = M(\Lambda^*).$$

**Example 2.** Assume  $S \subseteq P_0$ , and let  $L$  be the system of independent linear forms  $L_i(z) = \sum_{j=1}^m a_{ij}z_j$ ,  $1 \leq i \leq r \leq m$ , with coefficients  $a_{ij} \in k_S$ . Let  $\epsilon$  be an idele  $\leq 1$  in  $k_S$  and define

$$\Lambda = \Lambda_{L,\epsilon} = \{z \in \mathfrak{o}^m \subseteq k_\infty^m; L_i(z) \leq \epsilon, 1 \leq i \leq r\}.$$

Since all  $\mathfrak{p} \in S$  are nonarchimedean the set  $\Lambda_{L,\epsilon}$  is an  $\mathfrak{o}$ -module. The set is discrete because  $\Lambda_{L,\epsilon} \subseteq \mathfrak{o}^m$ . Also, it contains the  $m$   $k_\infty$ -independent elements  $ae_i$  where  $a$  is an appropriately chosen element of  $\mathfrak{o}$  and  $e_i$  is the  $m$ -tuple with 1 in the  $i$ th position and 0 elsewhere. Hence  $\Lambda_{L,\epsilon}$  is an  $\mathfrak{o}$ -lattice.

We compute the determinant of  $\Lambda_{L,\epsilon}$ . Let  $A$  be the  $r \times m$  coefficient matrix of the system  $L$  and let  $A_{\mathfrak{p}}$  be the  $\mathfrak{p}$ th component of this matrix. Write  $\delta_{\mathfrak{p}}$  for the determinant of the  $r \times r$  submatrix of  $A_{\mathfrak{p}}$  with the  $\mathfrak{p}$ -adic absolute value of its determinant maximal. Also, write  $\delta'_{\mathfrak{p}}$  for the determinant of the submatrix of  $A_{\mathfrak{p}}$  with the  $\mathfrak{p}$ -adic absolute value of its determinant maximum; this last submatrix may be of any size  $i \times i$  with  $0 \leq i \leq r$ , and by convention we take the determinant of a  $0 \times 0$  matrix to be 1. We define  $\delta = (\delta_{\mathfrak{p}}) \in k_S$ ,  $\delta' = (\delta'_{\mathfrak{p}}) \in k_S$ .

**Proposition 4.** *If  $\delta, \delta'$  are ideles and  $\epsilon \leq \delta/\delta'$ , then*

$$\det \Lambda_{L,\epsilon} = 2^{-mR} 2^{|d|^{m/2}} |\epsilon^{-r} \delta|_S.$$

**Proof.** It suffices to prove the order of  $\mathfrak{o}^m/\Lambda$  is  $|\epsilon^{-r} \delta|_S$ . Set

$$E = \{z \in k_S^m; z_i \leq 1, 1 \leq i \leq m\},$$

$$E' = \{z \in k_S^m; L_i(z) \leq \epsilon, z_j \leq 1, 1 \leq i \leq r, 1 \leq j \leq m\}.$$

Since all  $\mathfrak{p}$  in  $S$  are nonarchimedean,  $E$  and  $E'$  are groups with  $E' \subseteq E$ . Because  $\mathfrak{o}^m$  is dense in  $E$ , each coset of  $E'$  in  $E$  contains an element of  $\mathfrak{o}^m$  and therefore the injection  $\mathfrak{o}^m \rightarrow E$  induces an isomorphism  $\mathfrak{o}^m/\Lambda \cong E/E'$ . Thus, we need to find the order  $\#(E/E')$  of  $E/E'$ . But  $\mu(E) = 1$ . So  $\#(E/E') = \mu(E')^{-1}$ , and therefore it suffices to prove  $\mu(E') = |\epsilon^r \delta^{-1}|_S$ .

Consider the inequalities

$$(5) \quad \epsilon^{-1} L_i(z) \leq 1, \quad 1 \leq i \leq r, \quad z_j \leq 1, \quad 1 \leq j \leq m.$$

Let  $B$  be the coefficient matrix of the left-hand side of (5), and let  $B_{\mathfrak{p}}$  be the  $\mathfrak{p}$ th component of  $B$ . Let  $C_{\mathfrak{p}}$  denote the  $m \times m$  submatrix of  $B_{\mathfrak{p}}$  with the  $\mathfrak{p}$ -adic absolute value of its determinant maximum. Clearly,  $\det C_{\mathfrak{p}} = \epsilon_{\mathfrak{p}}^{-j} \det D_{\mathfrak{p}}$  where  $D_{\mathfrak{p}}$  is a  $j \times j$  submatrix of  $A_{\mathfrak{p}}$ . I claim that  $j = r$ , and therefore, clearly,  $\det D_{\mathfrak{p}} = \delta_{\mathfrak{p}}$ . Suppose that  $j < r$ . The submatrix of  $A_{\mathfrak{p}}$  with determinant  $\delta_{\mathfrak{p}}$  yields a submatrix of  $B_{\mathfrak{p}}$  with determinant  $\epsilon_{\mathfrak{p}}^{-r} \delta_{\mathfrak{p}}$ ; so  $|\epsilon_{\mathfrak{p}}^{-r} \delta_{\mathfrak{p}}|_{\mathfrak{p}} < |\epsilon_{\mathfrak{p}}^{-j} \det D_{\mathfrak{p}}|_{\mathfrak{p}}$  and therefore

$$|\epsilon|_{\mathfrak{p}} \geq |\epsilon|_{\mathfrak{p}}^{r-j} > |\delta_{\mathfrak{p}} / \det D_{\mathfrak{p}}|_{\mathfrak{p}} \geq |\delta / \delta' |_{\mathfrak{p}}$$

which is a contradiction.

We may assume  $C_{\mathfrak{p}}$  appears in the same rows of  $B_{\mathfrak{p}}$  for each  $\mathfrak{p}$ . We denote the submatrix of  $B$  in these  $m$  rows by  $C$ . The other rows of  $B$  may be represented as linear combinations of the  $m$  rows of  $C$ . By Cramer's rule, the coefficients in these combinations will be of the form  $\det C' / \det C$  where  $C'$  is some submatrix of  $B$ . But, by the choice of  $C$ ,  $\det C' / \det C \leq 1$ . Hence, because all  $\mathfrak{p} \in S$  are nonarchimedean, the inequalities (5) hold if and only if the inequalities hold for the rows of  $C$ . Hence,  $E' = C^{-1}E$  and therefore

$$\mu(E') = \mu(C^{-1}E) = (\text{mod } C^{-1})\mu(E) = |\det C^{-1}|_S = |\epsilon^r \delta^{-1}|_S.$$

This proves the proposition.

A theorem similar to Proposition 4 may be found in [6].

Suppose  $L$  has the form

$$(6) \quad L_i(z) = \sum_{j=1}^s a_{ij} z_j - z_{s+i}, \quad 1 \leq i \leq r,$$

with  $m = r + s$ . Then  $\delta = \delta'$  and both are ideles.

We now compute the polar lattice of  $\Lambda_{L,\epsilon}$  when  $L$  has the special form (6).

Let  $M$  be the transposed system

$$M_j(w) = w_j + \sum_{i=1}^r a_{ij} w_{i+s}, \quad 1 \leq j \leq s,$$

so that

$$(7) \quad z \cdot w = - \sum_{i=1}^r L_i(z) w_{i+s} + \sum_{j=1}^s M_j(w) z_j.$$

Define  $\alpha_L = \alpha$  to be the integral ideal of  $k$  consisting of all  $a$  in  $\mathfrak{o}$  for which  $aa_{ij} \leq 1$ ,  $1 \leq i \leq r$ ,  $1 \leq j \leq s$ . Also, define  $\mathfrak{b} = \mathfrak{b}_{\epsilon}$  to be the ideal  $\mathfrak{b}_{\epsilon} = \prod_{\mathfrak{p} \in S} \mathfrak{p}^{v_{\mathfrak{p}}(\epsilon)}$ ; so  $a \in \mathfrak{o}$  is such that  $a \leq \epsilon$  if and only if  $a \in \mathfrak{b}$ . We now prove

**Proposition 5.**  $\mathfrak{b}_{\epsilon} \alpha_L \Lambda_{L,\epsilon}^{-1} \subseteq \Lambda_{M,\epsilon}$  If all the  $a_{ij}$  satisfy  $a_{ij} \leq 1$ , then equality holds.



**Proof.** Let  $e_i$  be the  $m$ -tuple with 1 in the  $i$ th position and 0 elsewhere. It is clear  $\mathfrak{b}ae_i \subseteq \Lambda_L$ . So  $(\mathfrak{b}ae_i) \cdot \Lambda_L^{-1} \subseteq \mathfrak{o}$ , and therefore  $\mathfrak{b}a\Lambda_L^{-1} \subseteq \mathfrak{o}^m$ . Since  $k$  is dense in  $k_S$ , we can replace the  $a_{ij}$  by elements of  $k$  and still get the same lattices  $\Lambda_{L,\epsilon}, \Lambda_{M,\epsilon}$ . So assume  $a_{ij} \in k$  and set

$$a_j = (0, \dots, 0, 1, 0, \dots, 0, a_{1j}, \dots, a_{rj}) \in k^m$$

where the 1 is in the  $j$ th position. Because  $\alpha a_j \in \mathfrak{o}^m$  and  $L_i(\alpha a_j) = 0$ , then  $\alpha a_j \subseteq \Lambda_L$ , so  $(\alpha a_j) \cdot \Lambda_L^{-1} \subseteq \mathfrak{o}$ . By (7), with  $w \in \mathfrak{a}\mathfrak{b}\Lambda_L^{-1}$  and  $z \in \alpha a_j$ , we get

$$M_j(\mathfrak{a}\mathfrak{b}\Lambda_L^{-1})\alpha = (\mathfrak{a}\mathfrak{b}\Lambda_L^{-1}) \cdot \alpha a_j = \mathfrak{a}\mathfrak{b}(\Lambda_L^{-1} \cdot \alpha a_j) \subseteq \mathfrak{a}\mathfrak{b}$$

so canceling the  $\alpha$ 's we have  $\mathfrak{a}\mathfrak{b}\Lambda_L^{-1} \subseteq \Lambda_M$ , as desired.

Now assume  $a_{ij} \leq 1$  for all  $i$  and  $j$ . So  $\alpha = \mathfrak{o}$ , and we can assume  $a_{ij} \in \mathfrak{o}$ . Let  $w \in \Lambda_M$  and  $z \in \Lambda_L$ . Then  $M_j(w) \in \mathfrak{b}$  and  $L_i(z) \in \mathfrak{b}$ . So, by equation (7), we see that  $z \cdot w \in \mathfrak{b}$ , and therefore  $z \cdot (\mathfrak{b}^{-1}w) \in \mathfrak{o}$ . This shows that  $\mathfrak{b}^{-1}\Lambda_M \subseteq \Lambda_L^{-1}$ , as desired.

It is easy to produce an example to show that equality does not in general hold in Proposition 5.

**5. Proof of the theorem.** Let  $\epsilon$  be an idele with  $\psi(0) \geq \epsilon \geq \psi(N)$  and satisfying

(v')  $|\epsilon|_{\mathfrak{p}_1} |\epsilon|_{\mathfrak{p}_2}^{-1} \leq C$  for all infinite primes  $\mathfrak{p}_1, \mathfrak{p}_2$  where  $C$  is the constant of condition (v). Set  $l_N = N/F(N)$  and note  $1 \leq l_N \leq N$  if  $N$  is sufficiently large. We first find an estimate of the number  $\alpha(N, \epsilon)$  of solutions  $x \in \mathfrak{o}^s$ , and  $y \in \mathfrak{o}^r$  of the inequalities

$$L_i(x) - y_i \leq \epsilon, \quad N - l_N \leq |\bar{x}| \leq N.$$

Define systems  $\bar{L}$  and  $\bar{M}$  by the formulas

$$\bar{L}_i(z) = \begin{cases} z_i & \text{for } 1 \leq i \leq s, \\ \frac{-l_N}{\epsilon^\infty} \left( \sum_{j=1}^s a_{i-sj}^\infty z_j - z_i \right) & \text{for } s+1 \leq i \leq m, \end{cases}$$

$$\bar{M}_j(z) = \begin{cases} z_j + \sum_{i=1}^r a_{ij}^\infty z_{s+i} & \text{for } i \leq j \leq s, \\ \frac{\epsilon^\infty}{l_N} z_j & \text{for } s+1 \leq j \leq m, \end{cases}$$

where for  $a \in k_S$ , as usual,  $a^\infty$  denotes the  $k_\infty$  component of  $a$ . Note, we are assuming real numbers such as  $l_N$  are embedded along the diagonal in  $k_\infty$ . Let

$L^0$  be as in (1) and define  $M^0$  by

$$M_j^0(z) = z_j + \sum_{i=1}^r a_{ij}^0 z_{s+i}, \quad 1 \leq j \leq s.$$

In Example 2 of §4 we used  $L^0$  and  $\epsilon^0$  to define an  $\alpha$ -lattice  $\Lambda_{L^0} = \Lambda_{L^0, \epsilon^0}$  with determinant

$$\det \Lambda_{L^0} = 2^{-mR} |d|^{m/2} |\epsilon^{-r} \delta|_{S_0}.$$

Then, by Example 1 of §4,  $\Lambda = \bar{L}(\Lambda_{L^0})$  is an  $\alpha$ -lattice with determinant

$$(8) \quad \det \Lambda = \left| \left( \frac{l_N}{\epsilon^\infty} \right)^r \right|_\infty \det \Lambda_{L^0} = \frac{\gamma_1 l_N^{rm}}{|\epsilon|_S^r}$$

with  $\gamma_1 = 2^{-mR} |d|^{m/2} |\delta(L)|_{S_0}$ . We see  $\alpha(N, \epsilon)$  is just the number of points of  $\Lambda$  in the region  $T$  of  $k_\infty^m$  consisting of all  $z \in k_\infty^m$  satisfying

$$\begin{aligned} N - l_N \leq \overline{|x|} \leq N, \quad x = (z_1, \dots, z_s), \\ z_i \leq l_N, \quad i = s + 1, \dots, m. \end{aligned}$$

Let  $B_b$  be the boundary of  $T$  expanded by the diameter  $b$  of some fundamental parallelepiped of  $\Lambda \subseteq \mathbb{R}^{nm}$ . Then, if  $\mu$  is Lebesgue measure on  $\mathbb{R}^{nm}$ , we see that

$$(9) \quad \alpha(N, \epsilon) = \frac{\mu T}{\det \Lambda} + O\left(\frac{\mu B_b}{\det \Lambda}\right).$$

We have

$$\begin{aligned} \mu T &= ((2^R \pi^R 2^N)^s - (2^R \pi^R 2^{(N-l_N)^n})^s) (2^R \pi^R 2^{l_N^m})^r \\ &= (2^R \pi^R 2^m l_N^{nr}) \int_{N-l_N}^N n s t^{ns-1} dt \end{aligned}$$

and

$$\mu B_b = O(N^{ns-1} l_N^{rn} b)$$

if

$$(10) \quad b \ll l_N.$$

Using in (9) the value for  $\det \Lambda$  given in (8), we get

$$(11) \quad \alpha(N, \epsilon) = \gamma |\epsilon|_S^r \int_{N-l_N}^N t^{ns-1} dt + O(N^{ns-1} |\epsilon|_S^r b)$$

provided that (10) holds, where

$$y = ns(2^R 1\pi^R 2)^m / y_1 = 2^m R \pi^m R 2 |\delta(L)|_{S_0}^{-1} |d|^{-m/2} ns.$$

We now find an upper bound for  $b$ . Let  $\mu_1, \dots, \mu_{mn}$  be the successive minimum of  $\Lambda$  with respect to the distance function  $f^*$  polar to the distance function  $f: k_\infty^m \rightarrow \mathbb{R}_+, f(z) = |z|$ . It can be shown (see [3, Chapter V, Lemma 8]) there is a basis  $c_1, \dots, c_n$  of  $\Lambda$  satisfying  $f^*(c_i) \leq \frac{1}{2} nm \mu_i$ . So, if we choose  $b$  to be the diameter of the fundamental parallelepiped determined by this basis, we see that

$$b \leq \sum |c_i| \ll \sum f^*(c_i) \ll \mu_{nm}.$$

By Mahler's theorem (see [3]), if  $\mu_1^*$  is the first minimum of  $\Lambda^*$  with respect to  $f$ , then

$$(12) \quad \mu_1^* \mu_{nm} \ll 1.$$

So, we can find an upper bound for  $\mu_{nm}$  and hence for  $b$  by finding a lower bound for  $\mu_1^*$ . This is where we use the type condition.

If  $a = a_{L0}$ ,  $b = b_{\epsilon 0}$ , and  $\Lambda_{M0} = \Lambda_{M0, \epsilon 0}$  are defined as in §4, we know

$$(13) \quad \Lambda_{L0}^* \subseteq c^{-1} \Lambda_{M0}$$

where  $c = \mathcal{D}ba \subseteq \mathfrak{o}$ . Now  $\bar{M}$  and  $\bar{L}$  are such that

$$\bar{M}(z') \cdot \bar{L}(z'') = z' \cdot z'';$$

so, as in Example 1 of §4, the lattices  $\Lambda$  and  $\Lambda^* = \bar{M}(\Lambda_{L0}^*)$  are polar. Define

$$\bar{\Lambda} = \bar{M}(c^{-1} \Lambda_{M0}).$$

Then, by (13),  $\Lambda^* \subseteq \bar{\Lambda}$ . So, if  $\bar{\mu}_1$  is the first minimum of  $\bar{\Lambda}$  with respect to  $f$ , then  $\bar{\mu}_1 \leq \mu_1^*$ . Hence, we will find a lower bound for  $\bar{\mu}_1$ .

Choose  $z' \in c^{-1} \Lambda_{M0}$  such that  $f(z') = |z| = \bar{\mu}_1$ . By a simple application of Minkowski's theorem, there is  $c \in \mathfrak{c}$  such that

$$|c| \leq (2^R 2\pi^{-R} 2 |d|^{1/2} \text{Norm } \mathfrak{c})^{1/n}.$$

By the definition of  $b$  given in §4, we have

$$\text{Norm } b = \prod_{\mathfrak{p} \in S_0} N\mathfrak{p}^{\nu_{\mathfrak{p}}(\epsilon)} = |\epsilon|_{S_0}^{-1},$$

so  $|c| \ll |\epsilon|_{S_0}^{-1/n}$  and therefore, also,  $|\text{Norm } c| \ll |\epsilon|_{S_0}^{-1}$  where the constants implied by  $\ll$  do not depend on  $N$ .

We have  $z = cz' \in \Lambda_{M0} \subseteq \mathfrak{o}^m$ . Hence, with this  $z = (x, y)$ , we have

$$x_j + \sum_{i=1}^r a_{ij}^0 y_i \leq \epsilon^0, \quad 1 \leq j \leq s.$$

From the definition of  $f$  and  $\bar{\Lambda}$  we see that

$$(14) \quad x_j + \sum_{i=1}^r a_{ij}^\infty y_i \leq c\bar{\mu}_1, \quad 1 \leq j \leq s,$$

$$y_i \leq \frac{l_N}{\epsilon^\infty} c\bar{\mu}_1, \quad 1 \leq i \leq r.$$

Hence  $\max_j |x_j + M_j(y)|_S \leq |\text{Norm } c|\bar{\mu}_1^n| \epsilon|_S \ll \bar{\mu}_1^n$ . By the type condition, this implies

$$(15) \quad g(|z|)^{-1} |z|^{-rn/s} \ll \bar{\mu}_1^n.$$

By (14) and condition (v') for  $\epsilon$ ,

$$|\bar{y}| \leq l_N \bar{\mu}_1 |\bar{c}| \left[ \epsilon^{-1} \right] \ll l_N \bar{\mu}_1 |\epsilon|_S^{-1/n} |\epsilon|_\infty^{-1/n} = l_N \bar{\mu}_1 |\epsilon|_S^{-1/n}.$$

We also have  $|\bar{x}| \ll l_N \bar{\mu}_1 |\epsilon|_S^{-1/n}$  from (14), since  $\epsilon \in \psi(0)$  implies that  $c\bar{\mu}_1 \leq (l_N/\epsilon^\infty)c\bar{\mu}_1$  for large  $N$ . Therefore  $|\bar{z}| \ll l_N \bar{\mu}_1 |\epsilon|_S^{-1/n}$ , and then by (15)

$$|\epsilon|_S^{r/s} l_N^{-rn/s} \bar{\mu}_1^{-rn/s} g(|z|)^{-1} \ll \bar{\mu}_1^n.$$

Solving for  $\bar{\mu}_1$  we get

$$(16) \quad (|\epsilon|_S^r l_N^{-rn} g(|z|)^{-s})^{1/mn} \ll \bar{\mu}_1.$$

Minkowski's convex body theorem says  $\bar{\mu}_1^{nm} \leq 2^{nm} \det(\bar{\Lambda})/V_f$  where  $V_f$  is the volume of the region defined by  $f(z) \leq 1$ . It is easy to see (in the same way we got (8)) that

$$\bar{\mu}_1^{nm} \ll \det(\bar{\Lambda}) = \text{Norm } c^{-m} (|\epsilon|_\infty^r l_N^{-rn}) (2^{-mR} 2 |d|^{m/2} |\epsilon^{-s} \delta|_S) \ll |\epsilon|_S^r l_N^{-rn}.$$

So, by our bound for  $|\bar{z}|$ , we have

$$|\bar{z}|^{mn} \ll l_N^{mn} |\epsilon|_S^{-m} \bar{\mu}_1^{-mn} \ll l_N^{sn} |\epsilon|_S^{-s} = N^{sn}/F(N)^{sn} |\epsilon|_S^s.$$

From condition (iii), it is now easy to see that  $|\bar{z}| \leq N^{s/r}$  if  $N$  is large. Hence by (16)

$$(|\epsilon|_S^r l_N^{-rn} g(N^{s/r})^{-s})^{1/mn} \ll \bar{\mu}_1 \leq \mu_1^*.$$

and therefore from (12) and condition (iii)

$$b \ll \mu_{nm} \ll (g(N^{s/r})^s l_N^{rn} |\epsilon|_S^{-r})^{1/mn} \ll l_N F(N)^{-1}.$$

Now (10) is clearly satisfied, so (11) now reads

$$(17) \quad \alpha(N, \epsilon) = \gamma |\epsilon|_S^r \int_{N-l_N}^N t^{ns-1} dt + O(N^{ns-1} |\epsilon|_S^r l_N F(N)^{-1}).$$

The rest of the proof follows Lang [5]. We apply formula (17) to  $\epsilon = \psi(N)$  and  $\epsilon = \psi(N - l_N)$  to get the theorem. Since  $\psi$  is decreasing we see

$$\alpha(N, \psi(N)) \leq \lambda(N) - \lambda(N - l_N) \leq \alpha(N, \psi(N - l_N)).$$

Then, by (17) with  $\epsilon = \psi(N)$  and  $\epsilon = \psi(N - l_N)$ ,

$$(18) \quad \begin{aligned} \lambda(N) - \lambda(N - l_N) &= \gamma |\psi(N)|_S^r \int_{N-l_N}^N t^{ns-1} dt \\ &+ O\left( (|\psi(N-l_N)|_S^r - |\psi(N)|_S^r) N^{ns-1} l_N + \frac{|\psi(N-l_N)|_S^r N^{sn-1} l_N}{F(N)} \right). \end{aligned}$$

Note,  $F$  increasing implies  $|\psi(t)|_S^r t^{sn}$  is also increasing. Hence

$$|\psi(N-l_N)|_S^r (N-l_N)^{sn} \leq |\psi(N)|_S^r N^{sn} \leq |\psi(N)|_S^r ((N-l_N)^{sn} + snN^{sn-1} l_N),$$

so

$$|\psi(N-l_N)|_S^r - |\psi(N)|_S^r \leq \frac{snN^{sn-1} |\psi(N)|_S^r l_N}{(N-l_N)^{sn}} \ll \frac{l_N |\psi(N)|_S^r}{N} = \frac{|\psi(N)|_S^r}{F(N)},$$

and therefore also  $|\psi(N-l_N)|_S^r \ll |\psi(N)|_S^r$ . Using these estimates in (18) we get

$$(19) \quad \lambda(N) - \lambda(N - l_N) = \gamma |\psi(N)|_S^r \int_{N-l_N}^N t^{sn-1} dt + O\left( \frac{|\psi(N)|_S^r N^{sn-1} l_N}{F(N)} \right).$$

Now  $F(t) \rightarrow \infty$ . So if  $N$  is large enough  $N - l_N \geq N(1 - 1/F(N)) \geq N/2$  and therefore, because  $\psi(t)$  and  $1/F(t)$  are both decreasing,

$$(20) \quad \frac{|\psi(N)|_S^r N^{sn-1} l_N}{F(N)} \ll \frac{|\psi(N)|_S^r (N-l_N)^{sn-1} l_N}{F(N)} \leq \int_{N-l_N}^N \frac{|\psi(t)|_S^r t^{sn-1}}{F(t)} dt.$$

Also, because  $\psi$  is decreasing, we get

$$(21) \quad \begin{aligned} |\psi(N)|_S^r \int_{N-l_N}^N t^{sn-1} dt &= \int_{N-l_N}^N |\psi(t)|_S^r t^{sn-1} dt \\ &+ O\left( (|\psi(N-l_N)|_S^r - |\psi(N)|_S^r) N^{sn-1} l_N \right). \end{aligned}$$

We have already estimated the error term in this last expression. Hence (19), (20), and (21) yield

$$\lambda(N) - \lambda(N - l_N) = \gamma \int_{N-l_N}^N |\psi(t)|_S^r t^{sn-1} dt + O\left(\int_{N-l_N}^N \frac{|\psi(t)|_S^r t^{sn-1}}{F(t)} dt\right).$$

Equation (4) now follows by induction.

6. **A metric theorem.** We put a measure on the space of all systems  $L$  of  $r$  linear forms in  $s$  variables by identifying the form  $L$  with an  $rs$ -tuple in  $k_S^{rs}$  made up of the coefficients of  $L$ . We will determine a type for almost all systems  $L$ . For simplicity, we restrict ourselves to the case when  $S \supseteq P_\infty$ . As preparation we state the following adèle version of the convergence theorem:

**Proposition 6.** *Let  $\epsilon: \mathbb{R}_+ \rightarrow k_S^*$ . If  $\sum_{x \in \mathfrak{o}_S} |\epsilon(\overline{x})|_S^r < \infty$  then, for almost all systems  $L$ , there are only finitely many solutions  $x \in \mathfrak{o}^s, y \in \mathfrak{o}^r$  of*

$$(22) \quad L_i(x) - y_i \leq \epsilon(\overline{x}), \quad 1 \leq i \leq r.$$

This is the easy part of the Khinchin metric theorem; the other part asserts that, if the above sum diverges, then, under certain conditions, for almost all systems  $L$  (22) will have infinitely many solutions. A proof of this theorem for the adèles, in the case  $s = 1$ , may be found in [2].

If  $k = \mathbb{Q}$  and  $S = P_\infty$ , the above proposition gives a type for almost all systems  $L$ . However, in the general case, type is defined in terms of an inequality on the volume  $|\cdot|_S$  and not by simultaneous inequalities such as in (22), so the proposition does not apply directly. By modifying the proof of a theorem in [4, p. 96] we can get what we need, if the set of primes  $S$  is finite.

**Proposition 7.** *Let  $S \supseteq P_\infty$  be a finite set of primes, and let  $\epsilon: \mathbb{R}_+ \rightarrow \mathbb{R}_+$ . If*

$$\epsilon(t) < 1 \quad \text{and} \quad \int_1^\infty t^{nm-1} \epsilon(t)^{r(1-\eta)} dt < \infty, \quad 1 > \eta > 0,$$

*then for almost all  $L$ , there are only finitely many  $x \in \mathfrak{o}^s, y \in \mathfrak{o}^r$  satisfying*

$$(23) \quad \max_i |L_i(x) - y_i|_S \leq \epsilon(\overline{z}), \quad z = (x, y).$$

**Proof.** It is easy to see, if we replace (22) by

$$(24) \quad \inf\{1, L_i(x) - y_i\} \leq \epsilon(\overline{z}), \quad z = (x, y), \quad 1 \leq i \leq r,$$

then the proof of Proposition 6 shows that for almost all systems  $L$  the inequalities (24) have only a finite number of solutions when  $\int_1^\infty t^{nm-1} |\epsilon(t)|_S^r dt < \infty$  (the  $\epsilon$  in (24) is as in Proposition 6, i.e.,  $\epsilon: \mathbb{R}_+ \rightarrow k_S^*$ ).

For the proof of Proposition 7, we assume, for the sake of simplicity, that  $r = 1$ . Let  $F$  be the set of all  $L$  for which (23) has infinitely many solutions. Suppose (23) holds for  $z = (x, y)$ . If we put

$$(25) \quad \inf\{1, |L_1(x) - y_1|_{\mathfrak{p}}\} = \epsilon(\overline{z})^r_{\mathfrak{p}}^{(z)},$$

then  $\tau_p = \tau_p(z) \geq 0$  and  $\sum_p \tau_p \geq 1$ . Let  $\nu$  be the number of elements in  $S$ , and choose a positive integer  $A$  so large that  $\nu/A < \eta$ . We have  $A \leq [\sum_p A\tau_p] \leq \sum_p [A\tau_p] + \nu$ ; and therefore, if  $B = A - \nu > 0$ , then  $B \leq \sum_p [A\tau_p(z)]$ . So there exists  $b_p = b_p(z)$  such that  $b_p$  is an integer and

$$(26) \quad 0 \leq b_p \leq [A\tau_p(z)] \leq A\tau_p(z), \quad \sum_p b_p = B.$$

There are only a finite number of possibilities for each  $b_p$ . So, if  $L \in F$ , we may assume, for each  $p \in S$ ,  $b_p = b_p(z)$  takes on the same value for infinitely many solutions  $z = (x, y)$  of (23); i.e., we may assume  $b_p$  takes on a value depending only on  $L$  and not on  $z$ . By (26), if we set  $l_p = b_p/A$ , then

$$0 \leq l_p \leq \tau_p, \quad \sum_p l_p = B/A = (A - \nu)/A > 1 - \eta.$$

Then (25) implies there are infinitely many solutions of

$$(27) \quad \inf\{1, |L_1 - y_1|_p\} \leq \epsilon(|\bar{z}|)^{l_p}.$$

Now  $\prod_p \epsilon(t)^{l_p} \leq \epsilon(t)^{1-\eta}$ . Therefore, since  $\int_1^\infty t^{nm-1} \epsilon(t)^{1-\eta} dt$  converges, we see that the set  $E(b)$ ,  $b = (b_p)_{p \in S}$ , for which (27) has infinitely many solutions, has measure zero. But  $F \subseteq \bigcup E(b)$  where the union is over all tuples  $b = (b_p)$  with  $b_p \geq 0$  and  $\sum_p b_p = B$ . So the measure of  $F$  is also zero. This proves Proposition 7.

If we apply Proposition 7 to the transposed system  $M$  of  $s$  forms in  $r$  variables, we find that by taking  $g(t)$  so that

$$(28) \quad \int_1^\infty \frac{t^{nm-1}}{(g(t)t^{m/s})^{s(1-\eta)}} dt \text{ converges,}$$

then almost all  $L$  have type  $\leq g$ . So, for a  $g$  satisfying (28) and a  $\psi$  satisfying conditions (ii)–(v), we have that formula (4) holds for almost all  $L$ .

It may be possible that Proposition 7 can be refined, and therefore a better metric theorem would result. For example, in the case  $k = \mathbb{Q}$ ,  $S = P_\infty$ , almost all systems have type  $\leq \log^{1+\eta} t$ , while Proposition 7 can never give a type any better than  $O(t^\alpha)$ . Also, in the case  $k = \mathbb{Q}$  and  $S$  consists of one  $p$ -adic prime, one can show almost all systems  $L$  have type  $\leq \log^{1+\eta} t$  (see the Khinchin metric theorem in [6] where it is shown that almost all  $p$ -adic systems

$$|L_i(x) - y_i|_p \leq \epsilon(t), \quad t = \max_{i,j} \{|x_j|, |y_i|\}$$

have only a finite number of solutions, if  $t\epsilon(t)$  is decreasing and  $\sum t^{m-1}\epsilon(t)^r < \infty$ ). However, if  $S$  contains more than one infinite prime it seems unlikely the integral in Proposition 7 can be improved to anything better than

$$\int_1^\infty t^{ns-1} \epsilon(t)^r \log \epsilon(t)^{-1} dt$$

since, for example, the measure of the set

$$\{(a, b) \in \mathbb{R}^2: \inf\{1, |a|\} \inf\{1, |b|\} \leq \epsilon\}$$

is of the form  $2\epsilon(1 + 2\log \epsilon^{-1})$ .

In the case  $s < r$  our theorem will still hold if we replace the definition of type with the following definition of  $\psi$ -type:

**Definition.** Let  $g: \mathbb{R}_+ \rightarrow \mathbb{R}_+$  be an increasing function, and let  $\psi: \mathbb{R}_+ \rightarrow k_S^*$ . Define  $\epsilon(t)$  by the formulas

$$\begin{aligned} \epsilon_p(t) &= \psi_p(t) \quad \text{for } p \in S_0, \\ \epsilon_p(t) &= (g(t)t^{rn/s} |\psi(t)|_{S_0})^{-1/n} \quad \text{for } p \in P_\infty, \end{aligned}$$

Then we say the system  $L$  has  $\psi$ -type  $\leq g$ , if  $M_j(y) - x_j \leq \epsilon(|y|)$ ,  $1 \leq j \leq s$ , has only finitely many solutions  $y \in \mathcal{O}^r$  and  $x \in \mathcal{O}^s$ .

In this case we may apply the Khinchin convergence theorem (Proposition 6) directly to obtain the following metric corollary to the type theorem:

**Proposition 8.** Assume  $s < r$ . If  $\int_1^\infty g(t)^{-s} t^{-1} dt$  converges and conditions (ii) through (v) of the type theorem hold, then

$$\lambda(N) \sim \gamma \int_1^N t^{sn-1} |\psi(t)|_S^r dt$$

for almost all systems  $L$ .

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