

## ON THE SPECTRA OF COMPACT NILMANIFOLDS

BY

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**ABSTRACT.** We show the equivalence of the Howe-Richardson multiplicity formula for compact nilmanifolds and the formula obtained by Corwin and Greenleaf using the Selberg trace formula.

**Introduction.** Let  $G$  be a connected simply connected nilpotent Lie group and suppose  $G$  contains a discrete cocompact subgroup  $\Gamma$ . Let  $\rho = \text{ind}_\Gamma^G(1)$ . Then  $\rho$  is a direct sum of irreducible representations each occurring with finite multiplicity; we will write  $\rho = \bigoplus m(\pi)\pi$ . A basic problem in representation theory is to determine  $m(\pi)$  and give a criterion for  $m(\pi)$  not to be zero. Moore first studied this problem in [M] and later Howe [H] and Richardson [R] independently gave a closed formula for  $m(\pi)$  that generalized the classical Frobenius reciprocity formula for finite groups. Using the Poisson summation and Selberg trace formulas, Corwin and Greenleaf [C-G] gave a formula for  $m(\pi)$  that depended only on the coadjoint orbit in  $\mathfrak{g}^*$  corresponding to  $\pi$  via Kirillov theory and the structure of  $\Gamma$ , but the connection between the two formulas was not clear. In §1 we consider the case when  $\Gamma$  is a lattice subgroup of  $G$ , i.e.  $\log(\Gamma)$  is an additive subgroup of the Lie algebra of  $G$ , and show there is a simple relationship between the two. In §2 we show how Frobenius reciprocity can be used to reduce the general case to the lattice subgroup case.

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**1.** Let  $G$  be a connected simply connected nilpotent Lie group. We denote the Lie algebra of  $G$  by  $\mathfrak{g}$  and the dual of  $\mathfrak{g}$  by  $\mathfrak{g}^*$ . Let  $\exp: \mathfrak{g} \rightarrow G$  be the exponential map and  $\log: G \rightarrow \mathfrak{g}$  its inverse. We let  $\text{Ad}$  be the adjoint action of  $G$  on  $\mathfrak{g}$  and  $\text{Ad}^*$  the coadjoint on  $\mathfrak{g}^*$ . If  $\pi$  is an irreducible unitary representation we write  $\mathcal{O}(\pi) \subseteq \mathfrak{g}^*$  for the coadjoint orbit associated to  $\pi$  via Kirillov theory. Let  $\Gamma \subseteq G$  be a discrete cocompact subgroup of  $G$ . If  $Q$  denotes the rational numbers, then  $\Gamma$  determines a  $Q$  structure on  $\mathfrak{g}$  by  $\mathfrak{g}_Q = \text{span}_Q\{\log(\Gamma)\}$ . We say  $g \in G$  is rational iff  $g = \exp(X)$  with  $X \in \mathfrak{g}_Q$  and let  $G_Q$  denote the set of rational points. Given a subalgebra  $\mathfrak{h} \subseteq \mathfrak{g}$  we say  $\mathfrak{h}$  is rational if  $\mathfrak{h} \cap \mathfrak{g}_Q$  contains a basis of  $\mathfrak{h}$  over  $\mathbf{R}$ . This is equivalent to  $\exp(\mathfrak{h}) = H$  having  $H \cap \Gamma$  for a discrete cocompact subgroup. If  $f \in \mathfrak{g}^*$ , say,  $f$  is

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rational if  $f(\mathfrak{g}_Q) \subseteq Q$ . For a very complete and detailed discussion of this see [C-G]. One should also note that if one views  $G$  as an affine algebraic group, then the existence of a cocompact  $\Gamma$  is equivalent to  $G$  being defined over  $Q$  and the notion of a rational point in the sense of algebraic groups is equivalent to the definition given above.

For the rest of this section we suppose that  $\Lambda = \log(\Gamma)$  is an additive subgroup of  $\mathfrak{g}$ . Let  $\Lambda^\perp = \{f \in \mathfrak{g}^* | f(\Lambda) \subseteq \mathbf{Z}\}$ . Let  $\pi$  be an irreducible representation of  $G$  and  $\mathcal{O} \subseteq \mathfrak{g}^*$  the coadjoint orbit corresponding to  $\pi$ . According to [M], we have  $m(\pi) > 0$  if and only if  $\mathcal{O} \cap \Lambda^\perp \neq \emptyset$ , so we will suppose this intersection is nonempty. Since  $\mathcal{O} \cap \Lambda^\perp$  is  $\text{Ad}^*(\Gamma)$  invariant we can write it as a union of  $\text{Ad}(\Gamma)$  orbits. To each such orbit  $\Omega \subseteq \mathcal{O} \cap \Lambda^\perp$  one can associate a number  $C(\Omega)$  as follows:

Let  $f \in \Omega$  and  $\mathfrak{g}(f) = \{X \in \mathfrak{g} | \text{ad}^*(X)f = 0\}$ . Then  $\mathfrak{g}(f)$  is a rational subalgebra, so the  $\mathbf{Z}$ -rank of  $\mathfrak{g}(f) \cap \Lambda$  is equal to  $\dim(\mathfrak{g}(f))$ . Choose a  $\mathbf{Z}$ -basis  $X_1, \dots, X_n$  of  $\Lambda$  (consequently it is an  $\mathbf{R}$  basis for  $\mathfrak{g}$ ) such that  $X_1, \dots, X_s$  span  $\mathfrak{g}(f)$  over  $\mathbf{R}$ . Let  $A_f$  be the matrix with entries  $f([X_i, X_j])$ ,  $s < i, j \leq n$ . Then  $|\det(A_f)|$  is independent of the basis satisfying the above conditions and depends only on the  $\Gamma$  orbit of  $f$  in  $\mathcal{O} \cap \Lambda^\perp$ . Set  $C(\Omega) = |\det(A_f)|^{-1/2}$ . Then  $C(\Omega)$  is a positive rational number. The multiplicity formula of Corwin and Greenleaf can be written as

$$m(\pi) = \sum_{\Omega \in \mathcal{O} \cap \Lambda^\perp / \text{Ad}^*(\Gamma)} C(\Omega).$$

For details see [C-G].

We now describe the Howe-Richardson formula for  $m(\pi)$ . If  $m(\pi) > 0$  there exists a rational element  $f \in \mathcal{O}(\pi)$ , fix such an element  $f$ . Let  $\mathfrak{h} \subseteq \mathfrak{g}$  be a rational polarization for  $f$  and  $H = \exp(\mathfrak{h})$  the connected subgroup of  $G$  with Lie algebra  $\mathfrak{h}$ . For  $\mathfrak{h} \in H$  let  $\chi_f(\mathfrak{h}) = \exp(2\pi i f(\log(\mathfrak{h})))$ . Then  $\chi_f$  is a character of  $H$  and  $\pi$  is equivalent to  $\text{ind}_H^G(\chi_f)$ . Let  $X = \{(\text{Ad}(g)\mathfrak{h}, \text{Ad}^*(g)f) | g \in G\}$  and define an equivalence relation on  $X$  by  $(\text{Ad}(g)\mathfrak{h}, \text{Ad}^*(g)f) \sim (\text{Ad}(g_1)\mathfrak{h}, \text{Ad}^*(g_1)f)$  iff  $\text{Ad}(g)\mathfrak{h} = \text{Ad}(g_1)\mathfrak{h}$  and  $\text{Ad}^*(g)f|_{\text{Ad}(g)\mathfrak{h}} = \text{Ad}^*(g_1)f|_{\text{Ad}(g_1)\mathfrak{h}}$ . Let  $C(\mathfrak{h}, f)$  denote the set of equivalence classes. Define  $L(\mathfrak{h}, f) \subseteq C(\mathfrak{h}, f)$  by  $(\text{Ad}(g)\mathfrak{h}, \text{Ad}^*(g)f) \in L(\mathfrak{h}, f)$  iff  $\text{Ad}(g)\mathfrak{h}$  is a rational subalgebra of  $\mathfrak{g}$  and  $\chi_{\text{Ad}^*(g)f}|_{gHg^{-1} \cap \Gamma} \equiv 1$ . There is a natural action of  $\Gamma$  on  $L(\mathfrak{h}, f)$  by

$$\gamma \cdot (\text{Ad}(g)\mathfrak{h}, \text{Ad}^*(g)f) = (\text{Ad}(\gamma g)\mathfrak{h}, \text{Ad}^*(\gamma g)f)$$

and the number of  $\Gamma$  orbits in  $L(\mathfrak{h}, f)$  is the multiplicity  $m(\pi)$ . In what follows we will assume that  $\mathfrak{h}$  and  $f$  have been chosen such that  $\mathfrak{h}$  is rational and  $\chi_f|_{H \cap \Gamma} \equiv 1$ .

Suppose  $\chi_{\text{Ad}^*(g)f}|_{gHg^{-1} \cap \Gamma} \equiv 1$ . Then  $\text{Ad}^*(g)f(\text{Ad}(g)\mathfrak{h} \cap \Lambda) \subseteq \mathbf{Z}$ : thus we can find  $\phi \in (\text{Ad}(g)\mathfrak{h})^\perp$  such that  $\text{Ad}^*(g)f + \phi \in \Lambda^\perp$ . From  $\text{Ad}^*(gHg^{-1})(\text{Ad}^*(g)f) = \text{Ad}^*(g)f + (\text{Ad}(g)\mathfrak{h})^\perp$  we have  $\text{Ad}^*(g)f + \phi = \text{Ad}^*(y)(\text{Ad}^*(g)f) = \text{Ad}^*(yg)f$  for some  $y \in gHg^{-1}$ . Since  $(\text{Ad}(g)\mathfrak{h}, \text{Ad}^*(g)f)$  is equivalent to  $(\text{Ad}(yg)\mathfrak{h}, \text{Ad}^*(yg)f)$  we have that every equivalence class in  $L(\mathfrak{h}, f)$  has a representative  $(\text{Ad}(g)\mathfrak{h}, \text{Ad}^*(g)f)$  such that  $\text{Ad}^*(g)f \in \Lambda^\perp$ . We can now define a surjective map  $\alpha: \mathcal{O} \cap \Lambda^\perp \rightarrow L(\mathfrak{h}, f)$ . If  $\phi \in \Lambda^\perp$ , then both  $\phi$  and  $f$  are rational

points in  $\mathcal{O}$ ; it follows [B or M] that there exists  $g \in G_Q$ , the rational points of  $G$ , such that  $\text{Ad}^*(g)f = \phi$ . Define  $\alpha(\phi) = \alpha(\text{Ad}^*(g)f) = (\text{Ad}(g)\mathfrak{h}, \text{Ad}^*(g)f)$ . Since  $g$  is rational,  $\text{Ad}(g)\mathfrak{h}$  is a rational subalgebra of  $\mathfrak{g}$  and  $\phi \in \Lambda^\perp$  automatically says  $\chi_{\text{Ad}^*(g)f}|_{\mathfrak{g}Hg^{-1} \cap \Gamma} \equiv 1$ . Let  $G(f) = \{g \in G | \text{Ad}^*(g)f = f\}$ ; since  $G(f) \subseteq H$  for any polarization  $H$  we see that  $\alpha$  is well defined. Since  $\alpha$  is a  $\Gamma$  equivariant map we can write the Corwin-Greenleaf formula as follows:

$$m(\pi) = \sum_{\omega \in L(\mathfrak{h}, f)/\text{Ad}^*(\Gamma)} \sum_{\Omega \in \alpha^{-1}(\omega)} C(\Omega).$$

The equivalence of the Howe-Richardson formula and the Corwin-Greenleaf formula for  $\Gamma$  a lattice subgroup follows from above once we show

**THEOREM 1.** *With notation as above  $\sum_{\Omega \in \alpha^{-1}(\omega)} C(\Omega) = 1$ .*

Before we begin with the proof of Theorem 1 we need the following lemmas from [H and C-G].

**LEMMA 1.** *Let  $\Gamma \subseteq G$  be a discrete cocompact subgroup of  $G$ , suppose  $Z =$  center of  $\mathfrak{g}$  is one-dimensional and let  $z \in \log(\Gamma) \cap Z$  be a generator. Then there exists  $y \in \mathfrak{g}$  such that if  $W =$  span of  $y$  and  $z$  over  $\mathbf{R}$ , then  $y$  and  $z$  generate  $\log(\Gamma) \cap W$ . Let  $\mathfrak{g}_1 =$  the centralizer of  $y$  in  $\mathfrak{g}$ . Then  $\mathfrak{g}_1$  is rational and of codimension 1 in  $\mathfrak{g}$ .  $\mathfrak{g}_1$  is the Kirillov codimension 1 subalgebra [K]. There is  $x \in \log(\Gamma)$  such that if  $\Gamma_1 = \Gamma \cap \exp(\mathfrak{g}_1)$ , then  $\Gamma_1$  and  $\exp(x)$  generate  $\Gamma$ . If  $L =$  span over  $\mathbf{R}$  of  $x, y, z$ , then  $L$  is a three-dimensional Heisenberg algebra and  $\exp(x), \exp(y), \exp(z)$  generate  $\exp(L) \cap \Gamma$ . Finally, there exists  $a \in \mathbf{Z}, a \neq 0$ , so that  $[x, y] = az$  and  $a$  is independent of the choice of  $x$  satisfying the above conditions.*

**DEFINITION 1.** Let  $\Gamma$  be a discrete torsion-free nilpotent group. A weak Malcev basis for  $\Gamma$  is a set  $\{d_1, \dots, d_p\} \subseteq \Gamma$  such that:

- (i) For any  $d \in \Gamma$  there is a decomposition  $d \equiv d_1^{n_1} \cdots d_p^{n_p}$ , where  $n_i \in \mathbf{Z}$ .
- (ii) The set  $\Gamma_i = d_1^{\mathbf{Z}} \cdots d_i^{\mathbf{Z}}$  is a subgroup with  $\Gamma_{i-1}$  normal in  $\Gamma_i$  for  $i = 1, 2, \dots, p$ .
- (iii)  $\Gamma_i/\Gamma_{i-1} \approx \mathbf{Z}$  for  $i = 2, \dots, p$ .

**DEFINITION 2.** Let  $G$  be a simply connected nilpotent Lie group. A weak Malcev basis for  $G$  is a set  $\{X_1, \dots, X_p\} \subseteq \mathfrak{g}$  such that:

- (i) For  $X \in G \exists t_i \in \mathbf{R}, i = 1, \dots, p$ , such that  $X = \gamma_1(t_1) \cdots \gamma_p(t_p)$ , where  $\gamma_i(t) = \exp(tX_i)$ .
- (ii) The set  $G_i = \gamma_1(\mathbf{R}) \cdots \gamma_i(\mathbf{R})$  is a closed subgroup of  $G$  with  $G_{i-1}$  normal in  $G_i$  for each  $i$ .
- (iii)  $G_i/G_{i-1} \approx \mathbf{R}$ .

Weak Malcev basis is an adaptation of Malcev's coordinates of the 2nd kind as necessitated by inducing from nonnormal subgroups in the Kirillov model [Ma].

If  $\Gamma \subseteq G$  is a discrete cocompact subgroup of  $G$  we say a weak Malcev basis  $\{X_1, \dots, X_n\}$  of  $\mathfrak{g}$  is subordinate to  $\Gamma$  if  $\{\exp(X_1), \dots, \exp(X_n)\}$  is a weak Malcev basis for  $\Gamma$ .

LEMMA 2. Let  $\Gamma$  be a discrete cocompact subgroup of  $G$ ; if  $\{d_1, \dots, d_n\}$  is a weak Malcev basis for  $\Gamma$ , then  $\{X_i = \log(d_i) | i = 1, \dots, n\}$  is a weak Malcev basis of  $G$  subordinate to  $\Gamma$ .

LEMMA 3. Let  $G$  and  $\Gamma$  be as above,  $M \subseteq G$  a closed connected subgroup of  $G$  such that  $M/M \cap \Gamma$  is compact. If  $\{X_1, \dots, X_s\}$  is a weak Malcev basis of  $M$  subordinate to  $M \cap \Gamma$ , then it can be extended to a weak Malcev basis of  $G$  subordinate to  $\Gamma_0$ .

LEMMA 4. If  $\Gamma$  is a lattice subgroup of  $G$  and  $\{X_1, \dots, X_n\}$  is a weak Malcev basis of  $G$  subordinate to  $\Gamma$ , then  $\{X_1, \dots, X_n\}$  forms a  $\mathbf{Z}$  basis of  $A = \log(\Gamma)$ .

PROOF OF THEOREM 1. We proceed by induction on  $\dim(G)$ . If  $\dim(G) = 1$  the statement is trivial and therefore suppose  $\dim(G) > 1$ . Let  $\mathfrak{z}$  be the center of  $\mathfrak{g}$ ; if  $\dim(\ker(f) \cap \mathfrak{z}) > 1$  we can divide out the corresponding central subgroup and proceed to a lower dimensional case. Consequently we can assume  $\dim(\mathfrak{z}) = 1$ , and if  $x, y, z$  and  $\mathfrak{g}_1$  are as in Lemma 1, then  $f(z) = \lambda \neq 0$ . Note that for  $f_1, f_2 \in \mathcal{O}(\pi)$ ,  $f_1(z) = \text{Ad}^*(g)f_2(z) = f_2(z) = \lambda$ .

We can assume  $\omega = \Gamma \cdot (\mathfrak{h}, f)$  simply by relabeling. To find  $\Gamma$  orbits in  $\mathcal{O} \cap \Lambda^\perp$  such that  $\alpha(\Omega) = \omega$  we proceed as follows: The point  $(H, f) \in \omega$  has  $\Gamma \cap H$  for its stability group; consequently  $\Gamma \cap H$  preserves the fiber  $\alpha^{-1}((H, f)) = (f + \mathfrak{h}^\perp) \cap \Lambda^\perp$ . A set of representatives for  $\Gamma$  orbits in  $\mathcal{O} \cap \Lambda^\perp$  that map into  $\omega$  via  $\alpha$  is given by a set of representatives of  $\Gamma \cap H$  orbits in  $(f + \mathfrak{h}^\perp) \cap \Lambda^\perp$ .

As usual, there are two cases we must consider.

Case I. Suppose  $\mathfrak{h} \subseteq \mathfrak{g}_1$ . Let  $\bar{f}$  be the restriction of  $f$  to  $\mathfrak{g}_1$ . Then  $\mathfrak{h}$  is a rational polarization for  $\bar{f}$  and  $\bar{\pi} = \text{ind}_H^{G_1}(\chi_{\bar{f}})$  occurs in  $L^2(G_1/\Gamma_1)$  by the criterion in [C-G or M], i.e.,  $\bar{f} \in \Lambda_1^\perp \subseteq \mathfrak{g}_1^*$ . Let  $\mathfrak{h}^{\perp 1} = \{\phi \in \mathfrak{g}_1^* | \phi(x) = 0 \ \forall x \in \mathfrak{h}\}$  and let  $r: \mathfrak{g}^* \rightarrow \mathfrak{g}_1^*$  be the restriction map—so  $r$  is  $\text{Ad}^*$  equivariant. If  $L = (f + \mathfrak{h}^\perp) \cap \Lambda^\perp$  and  $L_1 = (\bar{f} + \mathfrak{h}^{\perp 1}) \cap \Lambda_1^\perp$ , then  $r|_L: L \rightarrow L_1$  is a  $\Gamma \cap H = \Gamma_1 \cap H$  equivariant surjective map. If  $S_1 \subseteq L_1$  is a set of  $\Gamma \cap H$  orbit representatives, then  $(r|_L)^{-1}(S_1)$  contains a set of  $\Gamma \cap H$  orbit representatives in  $L$ , say  $S$ . Let  $\bar{\phi} \in S_1, \phi \in (r|_L)^{-1}(\bar{\phi}), G_1(\bar{\phi}) =$  stability group of  $\bar{\phi}$  in  $G$ , and  $G(\phi)$  be the stability group of  $\phi$  in  $G$ . Then  $G_1(\bar{\phi}) = G(\phi) \cdot \{\exp(tY) | t \in \mathbf{R}\}$ . Consequently a  $\Gamma \cap G_1(\bar{\phi})$  orbit in  $(r|_L)^{-1}(\bar{\phi})$  is the same as a  $\Gamma \cap \{\exp(tY) | t \in \mathbf{R}\} = \{\exp(nY) | n \in \mathbf{Z}\}$  orbit in  $(r|_L)^{-1}(\bar{\phi})$ . An element  $\phi \in (r|_L)^{-1}(\bar{\phi})$  is determined by its value on  $x \in \mathfrak{g}$ . Suppose  $\phi(x) = b$ . Then  $\text{Ad}^*(\exp(nY))(\phi)(x) = b + na\lambda$  and we see there are  $|a\lambda|\{\exp(nY) | n \in \mathbf{Z}\}$  orbits in  $(r|_L)^{-1}(\bar{\phi})$ .

Let  $\phi \in (r|_L)^{-1}(\bar{\phi})$  for some  $\bar{\phi} \in S_1$ . We want to compute  $C(\Gamma \cdot \phi)$ . To do this we need a basis  $X_1, \dots, X_n$  of  $\mathfrak{g}$  such that the  $\mathbf{Z}$  span is  $\Lambda$  and  $X_1, \dots, X_s$  span  $\mathfrak{g}(\phi)$  over  $\mathbf{R}$ . Using Lemmas 3 and 4 we can find a basis  $X_1 = z, X_2 = y, \dots, X_{n-1}$  of  $\mathfrak{g}_1$  such that  $X_1, \dots, X_{n-1}$  span  $\Lambda_1$  over  $\mathbf{Z}$ ,  $X_1, X_2, \dots, X_s$  span  $\mathfrak{g}_1(\phi)$ , and  $X_1, X_3, X_4, \dots, X_s$  span  $\mathfrak{g}(\phi) \subseteq \mathfrak{g}_1(\phi)$ . We have  $X_1, X_3, \dots, X_{n-1}, y, x$  span  $\Lambda$  over  $\mathbf{Z}$  and  $X_1, X_3, \dots, X_s$  span  $\mathfrak{g}(\phi)$ . Using this basis we can compute  $C(\Gamma \cdot \phi) = C(\phi)$ . Recall that  $C(\phi) = |\det(A(\phi))|^{1/2}$ , where  $A(\phi) = (\phi([x_i, x_j]))$ ,  $s \leq i, j \leq n$ . Since  $[Y, \mathfrak{g}_1] = 0$ , if we expand  $A(\phi)$  on that row and column, using  $\phi([x, y]) = \lambda a$ , we get  $\det(A(\phi)) = |\lambda a|^2 \det(A(\bar{\phi}))$ . Consequently, we have  $C(\phi) = |\lambda a|^{-1} \cdot C(\bar{\phi})$  for all  $\bar{\phi} \in S_1$ .

By our induction hypothesis  $\sum_{\bar{\phi} \in S_1} C(\bar{\phi}) = 1$ , so we get

$$\begin{aligned} \sum_{\phi \in S} C(\phi) &= \sum_{\bar{\phi} \in S_1} \left( \sum_{\phi \in (r|_L)^{-1}(\bar{\phi}) \cap S} C(\phi) \right) \\ &= \sum_{\bar{\phi} \in S_1} C(\bar{\phi}) \left( \sum_{\phi \in (r|_L)^{-1}(\bar{\phi}) \cap S} |\lambda a|^{-1} \right) = \sum_{\bar{\phi} \in S_1} C(\bar{\phi}) = 1. \end{aligned}$$

Thus Case I is verified.

Case II. Now suppose  $\mathfrak{h} \not\subseteq \mathfrak{g}_1$  and set  $\mathfrak{h}_0 = \mathfrak{h} \cap \mathfrak{g}_1$ ,  $\bar{\mathfrak{h}} = \text{span}(\mathfrak{h}_0, Y)$ . Then  $\bar{\mathfrak{h}} \subseteq \mathfrak{g}_1$  and is a polarization for  $f$ . As before, let  $r: \mathfrak{g}^* \rightarrow \mathfrak{g}_1^{*'}$  be the restriction map. Then  $r|_{f+\mathfrak{h}^\perp}: f + \mathfrak{h}^\perp \rightarrow \mathfrak{g}_1^{*'}$  is injective and  $r(f + \mathfrak{h}^\perp) = \cup_{s \in \mathbf{R}} (f + sy^* + \bar{\mathfrak{h}}^{\perp 1})$ . Consequently we have  $r((f + \mathfrak{h}^\perp) \cap \Lambda^\perp) = \cup_{n \in \mathbf{Z}} (f + ny^* + \bar{\mathfrak{h}}^{\perp 1}) \cap \Lambda_1^\perp$ . A set  $S$  of  $\Gamma \cap H$  orbit representatives in  $(f + \mathfrak{h}^\perp) \cap \Lambda^\perp$  can be written as  $\cup_{0 \leq b < |\lambda a|} S_b$ , where  $S_b$  is a set of  $\Gamma \cap \bar{H}$  orbit representatives in  $(f + by^* + \bar{\mathfrak{h}}^{\perp 1}) \cap \Lambda_1^\perp$  and  $b \in \mathbf{Z}$ . As before, if  $\phi \in r^{-1}(S_b) \cap \Lambda^\perp$ , then  $C(\phi) = |\lambda a|^{-1} C(\bar{\phi})$ , where  $\bar{\phi} = r(\phi)$ . Thus we have

$$\begin{aligned} \sum_{\phi \in S} C(\phi) &= \sum_{0 \leq b < |\lambda a|} \left( \sum_{\phi \in r^{-1}(S_b) \cap S} C(\phi) \right) \\ &= \sum_{0 \leq b < |\lambda a|} |\lambda a|^{-1} \left( \sum_{\bar{\phi} \in S_b} C(\bar{\phi}) \right) = \sum_{0 \leq b < |\lambda a|} |\lambda a|^{-1} = 1. \quad \text{Q.E.D.} \end{aligned}$$

COROLLARY 1 (MOORE [M]).  $m(\pi) \leq \# \{ \vartheta \cap \Lambda^\perp / \text{Ad}^*(\Gamma) \}$ .

PROOF. By the above proof,  $C(\phi)^{-1} = |\lambda a| C(\bar{\phi})$ ; thus we can reason by induction to conclude that  $C(\phi)^{-1}$  is an integer. Q.E.D.

In [R] Richardson constructed a polarization for  $f$  such that in the inductive reasoning one never has Case II occurring. We note that if  $\mathfrak{h}$  is a Richardson polarization, then the above proof shows that the  $C(\Omega)$ s are the same for every  $\Omega$  such that  $\alpha(\Omega) = \omega$ . Since  $\sum_{\alpha(\Omega) = \omega} C(\Omega) = 1$ ,  $C(\Omega)$  equals the number of  $\Gamma \cap H$  orbits in  $f + \mathfrak{h}^\perp \cap \Lambda^\perp$ . This observation was pointed out to me by Larry Corwin.

2. If  $\pi$  is a unitary representation of  $G$  on the Hilbert space  $H(\pi)$ , we let  $H^\infty(\pi) = \{ u \in H(\pi) | q \rightarrow \pi(q)u \text{ is a } C^\infty\text{-mapping} \}$ . There is a representation of  $\mathfrak{g}$ , the Lie algebra of  $G$ , on  $H^\infty(\pi)$ . If  $X \in \mathfrak{g}$ ,  $u \in H^\infty(\pi)$  define

$$\pi(X)u = \frac{d}{dt} (\pi(\exp(tX)u))|_{t=0}.$$

Then  $X \rightarrow \pi(X)$  is a Lie algebra representation of  $\mathfrak{g}$ , so it extends to a representation of  $\mathfrak{U}(\mathfrak{g})$ . Given  $a \in \mathfrak{U}(\mathfrak{g})$  define a seminorm on  $H^\infty(\pi)$  by  $\rho_a(u) = \|\pi(a)u\|$ . Then  $H^\infty(\pi)$  has the structure of a Fréchet space with respect to these seminorms and we let  $H^{-\infty}(\pi)$  be the topological dual of  $H^\infty(\pi)$ . For details see [P-1]. We write  $\pi^\infty$  for the restriction of  $\pi$  to  $H^\infty(\pi)$  and  $\pi^{-\infty}$  for the dual representation of  $G$  on  $H^{-\infty}(\pi)$ . The following is in [P-1].

**THEOREM 2.1 (PENNEY).** *Let  $G$  be a Lie group,  $\Gamma$  a discrete cocompact subgroup and  $\pi$  an irreducible representation of  $G$ . Then*

$$\text{Hom}_G(\pi, \text{ind}_\Gamma^G(1)) \simeq \text{Hom}_\Gamma(1, \pi^{-\infty}).$$

If we set  $\Gamma(\pi) = \{D \in H^{-\infty}(\pi) \mid \pi^{-\infty}(\lambda)D = D \ \forall \lambda \in \Gamma\}$ , then the above theorem says  $\dim(\text{Hom}_G(\pi, \text{ind}_\Gamma^G(1))) = \dim(\Gamma(\pi))$ .

When  $G$  is a connected simply connected nilpotent Lie group, the lift maps of Richardson can be viewed as providing a basis of  $\Gamma(\pi)$  [R]. If  $\pi = \text{ind}_H^G(\chi_f)$ , then  $H^\infty(\pi)$  corresponds to all Schwartz functions on  $G/H$  [K] and for each  $\Gamma$  orbit in  $L(\mathfrak{h}, f)$  one can construct an element of  $\Gamma(\pi)$ . If  $(\text{Ad}(g)\mathfrak{h}, \text{Ad}^*(g)f)$  is a point of  $L(\mathfrak{h}, f)$ , then we can construct  $D_g \in H^{-\infty}(\pi)$  as follows: For  $\phi \in H^\infty(\pi)$  let  $D_g(\phi) = \sum_{\gamma \in \Gamma/\Gamma \cap gHg^{-1}} \phi(\gamma g)$ . The  $D_g$ 's are linearly independent for  $g$ 's in different  $\Gamma : H$  double cosets and they span  $\Gamma(\pi)$  [P-2, F].

Now suppose  $\Gamma_0 \subseteq \Gamma$  is a normal subgroup of finite index and we know the truth of the Howe-Richardson formula for  $\Gamma_0$ .

Let  $L_0(\mathfrak{h}, f)$  be defined using  $\Gamma_0$  and  $L(\mathfrak{h}, f)$  be defined using  $\Gamma$ . Of course  $L(\mathfrak{h}, f) \subseteq L_0(\mathfrak{h}, f)$ , so we will suppose  $L_0(\mathfrak{h}, f)$  is not empty. Let  $D_g \in \Gamma_0(\pi) \subseteq H^{-\infty}(\pi)$ . For  $\gamma \in \Gamma, \phi \in H^\infty(\pi)$  we have

$$(\pi^{-\infty}(\gamma)D_g)(\phi) = \sum_{\delta \in \Gamma_0/\Gamma_0 \cap gHg^{-1}} \phi(\gamma\delta g) = \sum_{\delta \in \Gamma_0/\Gamma_0 \cap \gamma gHg^{-1}\gamma^{-1}} \phi(\delta\gamma g).$$

Thus  $\pi^{-\infty}(\gamma)$  stabilizes  $\mathbf{C} \cdot D_g$  iff  $\gamma \in \Gamma_0 \cdot (\Gamma \cap gHg^{-1})$ , then

$$\pi^{-\infty}(\gamma)D_g = \chi_f(g^{-1}\gamma^{-1}g)D_g = \overline{\chi}_{g \cdot f}(\gamma) \cdot D_g$$

(where  $\overline{\chi}_{g \cdot f}$  extends to a character of  $\Gamma_0 \cdot (\Gamma \cap gHg^{-1})$  by being trivial on  $\Gamma_0$ ). If we set  $W_g = \text{span}\{\pi^{-\infty}(\gamma)D_g \mid \gamma \in \Gamma\}$ , then we see that  $\pi^{-\infty}|_\Gamma$  acting on  $W_g$  is exactly  $\text{ind}_{\Gamma_0 \cdot (\Gamma \cap gHg^{-1})}^{\Gamma}(\overline{\chi}_{g \cdot f})$ . Let  $S$  be a set of representatives for  $\Gamma$  orbits in  $L_0(\mathfrak{h}, f)$ , so given  $g \in S$  we get  $(\text{Ad}(g)\mathfrak{h}, \text{Ad}^*(g)f)$  or equivalently a  $D_g \in H^{-\infty}(\pi)$ . From above we see that the representation  $\pi^{-\infty}|_\Gamma$  acting on  $\Gamma_0(\pi)$  is

$$\bigoplus_{g \in S} \text{ind}_{\Gamma_0 \cdot (\Gamma \cap gHg^{-1})}^{\Gamma}(\overline{\chi}_{g \cdot f}).$$

Since  $\Gamma(\pi) \subseteq \Gamma_0(\pi)$ , we have that

$$\begin{aligned} \dim \text{Hom}_\Gamma(1, \pi^{-\infty}) &\approx \bigoplus_{g \in S} \text{Hom}_\Gamma(1, \text{ind}_{\Gamma_0 \cdot (\Gamma \cap gHg^{-1})}^{\Gamma}(\overline{\chi}_{g \cdot f})) \\ &\approx \bigoplus_{g \in S} \text{Hom}_{\Gamma_0 \cdot (\Gamma \cap gHg^{-1})}(1, \overline{\chi}_{g \cdot f}). \end{aligned}$$

Thus

$$\dim\left(\text{Hom}_\Gamma(1, \text{ind}_{\Gamma_0 \cdot (\Gamma \cap gHg^{-1})}^{\Gamma}(\overline{\chi}_{g \cdot f}))\right) = \begin{cases} 1 & \text{if } \chi_{g \cdot f}|_{gHg^{-1} \cap \Gamma} \equiv 1, \\ 0 & \text{otherwise.} \end{cases}$$

Finally those  $g \in S$  such that  $\chi_{g \cdot f}|_{gHg^{-1} \cap \Gamma} \equiv 1$  are parametrized by  $L(\mathfrak{h}, f)$ . Thus we have

**PROPOSITION.** *If  $\Gamma_0 \subseteq \Gamma$  is a normal subgroup and the Howe-Richardson formula for  $\Gamma_0$  is known, then the Howe-Richardson formula for  $\Gamma$  is true.*

REMARK. It is shown in [M or C-G] that for a given  $\Gamma$  it is always possible to find a normal  $\Gamma_0$  such that  $\Gamma_0$  is a lattice subgroup.

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