

## THE CLASSIFICATION OF COMPLETE MINIMAL SURFACES WITH TOTAL CURVATURE GREATER THAN $-12\pi$

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ABSTRACT. We classify complete orientable minimal surfaces with finite total curvature  $-8\pi$ .

### INTRODUCTION

The classification of complete minimal surfaces with finite total curvature in  $\mathbf{R}^3$  has been an important problem in the classical differential geometry.

Some basic properties of these surfaces were studied by R. Osserman (see [8, 9]), who showed the first nontrivial result about this subject.

Concretely, he characterized the catenoid and Enneper surface as the unique complete orientable minimal surfaces of total curvature  $-4\pi$ .

However until recent years no more relevant results have been obtained.

W. H. Meeks [6] gave the classification of nonorientable complete minimal surfaces with total curvature greater than  $-8\pi$ .

This paper is concerned with the total classification of orientable complete minimal surfaces with total curvature  $-8\pi$ .

Chen and Gackstatter [1] discovered the first example of a complete minimal surface properly of genus 1 (see Theorem 1). The picture of Chen-Gackstatter surface is obtained by joining a handle on Enneper's surface. This genus one minimal surface has total curvature  $-8\pi$ , and no other examples of such surfaces were found.

So, it is expected that no other genus one orientable minimal surface of total curvature  $-8\pi$  does exist.

In this paper we give a proof of this fact. More precisely, we prove that

*“Chen-Gackstatter surface is the only genus one orientable complete minimal surface with finite total curvature  $-8\pi$ .”*

Of course, it is not difficult to find genus zero minimal surfaces with total curvature  $-8\pi$ .

A geometrically interesting example, described by Jorge and Meeks [5], is the trinoid.

This surface has three embedded catenoid ends. Moreover its normal vectors at these ends are placed symmetrically in an equator of  $\mathbf{S}^2$ .

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To find all the genus zero orientable complete minimal surfaces of total curvature  $-8\pi$  is merely an elementary algebraic problem (see §3).

These facts together with the above Osserman result complete our classification.

Finally, in §5, we prove a modified version of the Osserman-Mo Theorem [7] for complete minimal surfaces.

It is interesting to notice that recently C. Costa [2] has obtained the classification of genus one embedded complete minimal surfaces in  $\mathbf{R}^3$  of finite total curvature  $-12\pi$ . All these surfaces lie in a smooth one-parameter family of tori punctured in three points, which correspond to three catenoid ends, except Costa's surface which has two catenoid ends and a planar end. This family was described by Hoffman and Meeks (see [3 and 4]).

On the other hand, Chen and Gackstatter [1] constructed an example of a genus two orientable minimal surface punctured in a point with total curvature  $-12\pi$ .

So, to exhibit the total classification of such surfaces could be an interesting open problem.

The editor sent me two Ph.D. theses by E. L. Barbanel [12] and Y. Fang [13].

The first one develops the classification and study of genus zero complete minimal surfaces of total curvature  $-8\pi$ , and the second one includes a partial version of this classification in the genus one case.

## 1. PRELIMINARIES

In this section we expose some basic results about orientable complete minimal surfaces of finite total curvature. For more details, see [9, Chapter 9] and [8].

Let  $x: M \rightarrow \mathbf{R}^3$  be an orientable complete minimal surface of finite total curvature in the Euclidean space  $\mathbf{R}^3$ .

Denote by  $g$ ,  $\omega$  the meromorphic function and the holomorphic 1-form determined by the Weierstrass representation of  $x$  [9].

It is well known that, modulo natural identifications,  $g$  is the Gauss map of  $M$ . Moreover,  $g\omega$  and  $g^2\omega$  are holomorphic 1-forms and

$$(1) \quad x = \operatorname{Re} \int (\phi_1, \phi_2, \phi_3)$$

where  $\phi_1 = (1 - g^2)\omega/2$ ,  $\phi_2 = i(1 + g^2)\omega/2$  and  $\phi_3 = g\omega$ .

Osserman proved (see [9]) that  $M$  is conformally equivalent to a compact Riemann surface  $\overline{M}$  punctured in a finite set of points  $\{P_1, \dots, P_k\}: M = \overline{M} - \{P_1, \dots, P_k\}$ .

The points  $P_1, \dots, P_k$ , correspond to the ends of  $M$ , and  $g$ ,  $\omega$  extend meromorphically to  $\overline{M}$ . Then  $\phi_i$ ,  $i = 1, 2, 3$ , have poles of order  $\operatorname{Ord}_{P_j} \phi_i$  at  $P_j$ ,  $j = 1, \dots, k$ .

If we put

$$n = \operatorname{Degree}(g), \quad \gamma = \operatorname{Genus}(M),$$

$$I_j + 1 = \max\{\operatorname{Ord}_{P_j} \phi_i, i = 1, 2, 3\}, \quad j = 1, \dots, k,$$

Jorge-Meeks formula gives (see [5])

$$(2) \quad 2n = 2\gamma - 2 + \sum_{j=1}^k (I_j + 1).$$

In the following, we will assume that  $n = 2$ , i.e.,  $M$  has total curvature  $-8\pi$ .

Using (2) and taking into account that  $I_j \geq 1$ ,  $j = 1, \dots, k$ , we deduce  $\gamma \leq 1$  (observe that the case  $\gamma = 2$ ,  $k = 1$ ,  $I_1 = 1$  is impossible).

We describe the distinct possibilities in the following table:

TABLE 1

$n = 2$	$k = 1$	$k = 2$	$k = 3$
$\gamma = 0$	$I_1 = 5$	$I_1 = I_2 = 2$ $I_1 = 1, I_2 = 3$	$I_1 = I_2 = I_3 = 1$
$\gamma = 1$	$I_1 = 3$		

Schoen has characterized the catenoid as the unique surface with  $k = 2$  and  $I_1 = I_2 = 1$  (see [10]). From (2), the case  $k = 3$ ,  $\gamma = 1$  is impossible.

We will classify all the surfaces which correspond to the other possibilities in the above table.

2. GENUS ONE MINIMAL SURFACES OF TOTAL CURVATURE  $-8\pi$

Throughout this section, we assume  $\gamma = 1$ ,  $n = 2$  and therefore,  $k = 1$ ,  $I_1 = 3$ . We write  $M = \overline{M} - \{P\}$ , where  $\overline{M}$  is a genus one compact Riemann surface and  $P$  is the end of  $M$ .

It is clear that  $b_g(P) \leq 1$ , where  $b_g(P)$  is the branch number of  $g$  at  $P$ .

We will discuss separately the case when  $b_g(P) = 0$  and  $b_g(P) = 1$ .

2.1. *First case.*  $b_g(P) = 0$ . Consider the following initial value problems of linear differential equations in the complex domain:

$$(I_i) \quad \begin{cases} f_i'(a) = \frac{-a}{2(1-a^2)} f_i(a) + \frac{3}{4(1-a^2)} g_i(a), \\ g_i'(a) = \frac{1}{(1-a^2)} f_i(a) - \frac{3a}{2(1-a^2)} g_i(a), \end{cases} \quad i = 1, 2,$$

$$f_1(0) = \int_{-1}^0 \sqrt{\frac{x}{x^2-1}} dx, \quad g_1(0) = \int_{-1}^0 \sqrt{\frac{x^2-1}{x}} dx,$$

$$f_2(0) = \int_0^1 \sqrt{\frac{x}{x^2-1}} dx, \quad g_2(0) = \int_0^1 \sqrt{\frac{x^2-1}{x}} dx,$$

where we have fixed in each case the branch of  $\sqrt{(x^2-1)/x}$  such that  $f_1(0)$ ,  $g_1(0) < 0$  and  $f_2(0) = i f_1(0)$ ,  $g_2(0) = -i g_1(0)$ .

Take  $a, b \in \mathbb{C}$ , and define  $[a, b] = \{ta + (1-t)b | t \in [0, 1] \subset \mathbb{R}\}$ . We will write  $\int_a^b h(x) dx$  instead of  $\int_{[a,b]} h(x) dx$ , for each function  $h$  defined on  $[a, b]$ .

**Lemma 1.** *The initial value problems  $(I_i)$ ,  $i = 1, 2$ , have well-defined solutions  $f_i$ ,  $g_i$ ,  $i = 1, 2$ , on the domain  $\mathbf{C} - \{y \in \mathbf{R} \mid |y| > 1\}$ .*

*In fact, the solutions of  $(I_i)$ ,  $i = 1, 2$ , are the functions*

$$(3) \quad \begin{aligned} f_1(a) &= \int_{-1}^a \sqrt{\frac{x-a}{x^2-1}} dx, & g_1(a) &= \int_{-1}^a \sqrt{\frac{x^2-1}{x-a}} dx, \\ f_2(a) &= \int_a^1 \sqrt{\frac{x-a}{x^2-1}} dx, & g_2(a) &= \int_a^1 \sqrt{\frac{x^2-1}{x-a}} dx, \end{aligned}$$

*respectively, for a suitable single-valued branch of  $\sqrt{(x^2-1)/(x-a)}$ ,  $a \in \mathbf{C} - \{y \in \mathbf{R} \mid |y| > 1\}$ ,  $x \in [-1, a] \cup [a, 1]$ .*

*Moreover,*

$$(4) \quad \begin{aligned} f_2(a) &= if_1(-a), & g_2(a) &= -ig_1(-a), \\ f_1(\bar{a}) &= \bar{f}_1(a) & \text{and} & \quad g_1(\bar{a}) = \bar{g}_1(a). \end{aligned}$$

*Proof.* The function  $F : [0, 1] \times (\mathbf{C} - \{y \in \mathbf{R} \mid y \geq 1\}) \rightarrow \mathbf{C}$  defined by

$$F(t, a) = (a+1)t - 2$$

has rank  $\mathbf{C} - \{y \in \mathbf{R} \mid y > 0\}$ .

Then,  $\sqrt{F}$  has a single-valued branch on  $[0, 1] \times (\mathbf{C} - \{y \in \mathbf{R} \mid y > 1\})$ , and so  $\sqrt{(t-1)/((a+1)t^2-2t)}$ .

Since

$$(5) \quad \begin{aligned} f_1(a) &= (a+1) \int_0^1 \sqrt{\frac{t-1}{(a+1)t^2-2t}} dt, \\ g_1(a) &= (a+1) \int_0^1 \sqrt{\frac{(a+1)t^2-2t}{t-1}} dt, \end{aligned}$$

we deduce that  $f_1$ ,  $g_1$  are well defined and holomorphic on  $\mathbf{C} - \{y \in \mathbf{R} \mid y \geq 1\}$ , and  $f_1(0)$ ,  $g_1(0) < 0$  for a suitable election of the above branch.

Analogously,  $f_2$ ,  $g_2$  are holomorphic functions on  $\mathbf{C} - \{y \in \mathbf{R} \mid y \leq -1\}$ , and can be chosen such that  $f_2(0) = if_1(0)$ ,  $g_2(0) = -ig_1(0)$ .

On the other hand

$$f_1'(a) = \int_0^1 \sqrt{\frac{t-1}{(a+1)t^2-2t}} dt - \frac{a+1}{2} \int_0^1 \sqrt{\frac{(t-1)t}{((a+1)t-2)^3}} dt$$

and integrating by parts

$$f_1'(a) = \frac{a}{2(a-1)} \int_0^1 \sqrt{\frac{t-1}{(a+1)t^2-2t}} dt - \frac{3}{4(a-1)} \int_0^1 \sqrt{\frac{(a+1)t^2-2t}{t-1}} dt.$$

Analogously

$$g_1'(a) = \frac{-1}{a-1} \int_0^1 \sqrt{\frac{t-1}{(a+1)t^2-2t}} dt + \frac{3a}{2(a-1)} \int_0^1 \sqrt{\frac{(a+1)t^2-2t}{t-1}} dt.$$

Hence,  $f_1, g_1$  verify  $(I_1)$ .

In a similar way,  $f_2, g_2$  verify  $(I_2)$ .

At last, observe that  $if_1(-a), -ig_1(-a)$  satisfy the initial value problem  $(I_2)$ , and by the uniqueness of solutions,  $if_1(-a) = f_2(a), -ig_1(-a) = g_2(a)$ .

Taking into account that  $g_1(a), f_1(a) \in \mathbf{R}$  if  $a \in [-1, 1]$ , and the analyticity of the solutions, (4) holds and the proof is complete. Q.E.D.

*Remark 1.* Note that  $f_i, g_i, i = 1, 2$ , are also the solutions of the following initial value problems

$$\begin{aligned} f_i''(a) &= \frac{1}{4(1-a^2)} f_i(a), & g_i''(a) &= \frac{-3}{4(1-a^2)} g_i(a), & i &= 1, 2, \\ (6) \quad f_1(0) &= \int_{-1}^0 \sqrt{\frac{x}{x^2-1}} dx, & g_1(0) &= \int_{-1}^0 \sqrt{\frac{x^2-1}{x}} dx, \\ f_2(0) &= if_1(0), & g_2(0) &= -ig_1(0), \\ f_i'(0) &= \frac{3}{4} g_i(0), & g_i'(0) &= f_i(0), & i &= 1, 2. \end{aligned}$$

*Remark 2.* The functions  $f_1, g_1$  are defined and holomorphic on  $\mathbf{C} - \{y \in \mathbf{R} \mid y \geq 1\}$  and have both a simple zero at  $-1$ . Analogously,  $f_2, g_2$  are defined and holomorphic on  $\mathbf{C} - \{y \in \mathbf{R} \mid y \leq -1\}$  and have both a simple zero at  $1$ .

Consider the Riemann sphere  $\mathbf{C} \cup \{\infty\}$ , and draw a straight line  $l$  from  $1$  to  $-1$  passing by  $\infty$  along the real axis. Then cut and open along  $l$ .

The closure  $\Omega$  of the resulting domain has two copies of the line  $l$ . Call one of them  $l_1$  and the other one  $l_2$  (see Figure 1).

We will put  $a_1, a_2$ , the two points corresponding to  $a \in l$ , in  $l_1$  and  $l_2$  respectively.

Observe that  $\infty_1 \neq \infty_2$  and  $1_1 = 1_2, -1_1 = -1_2$ .

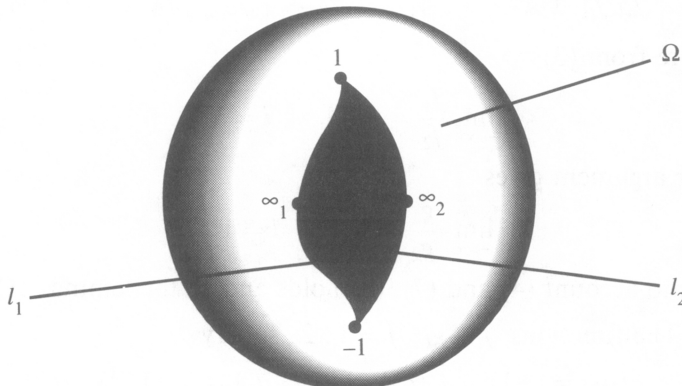


FIGURE 1

**Lemma 2.** *The functions  $f_i, g_i, i = 1, 2$ , extend continuously to  $\Omega - \{\infty_1, \infty_2\}$ , satisfying*

(7)

$$f_1(a), g_1(a) \neq 0, \quad a \neq \infty_1, \infty_2, -1, \quad f_1(1) = -2\sqrt{2}, \quad g_1(1) = -\frac{4\sqrt{2}}{3},$$

$$f_1'(-1) = \int_0^1 \sqrt{\frac{1-t}{2t}} dt < 0, \quad g_1'(-1) = 2f_1'(-1),$$

$$f_2(a), g_2(a) \neq 0, \quad a \neq \infty_1, \infty_2, 1, \quad f_2(-1) = if_1(1), \quad g_2(-1) = -ig_1(1),$$

$$f_2'(1) = -if_1'(-1), \quad g_2'(1) = ig_1'(-1)$$

and

$$(8) \quad \lim_{a \rightarrow \infty} \left| \frac{\sqrt{a}}{f_i} \right| = 0, \quad \lim_{a \rightarrow \infty_j} \left| \frac{a\sqrt{a}}{g_i} \right| \neq 0, \infty, \quad \lim_{a \rightarrow \infty_j} \left| \frac{a^\varepsilon \sqrt{a}}{f_i} \right| = \infty, \quad \varepsilon > 0,$$

$$\lim_{a \rightarrow 1} \frac{f_1 g_2}{f_2 g_1} = -3, \quad \lim_{a \rightarrow -1} \frac{f_1 g_2}{f_2 g_1} = -\frac{1}{3}, \quad \lim_{a \rightarrow \infty_j} \frac{f_1 g_2}{f_2 g_1} = 1$$

where  $i = 1, 2$  and  $j = 1, 2$ .

*Proof.* Using (3), it is straightforward to check that  $f_i, g_i, i = 1, 2$ , extend continuously to  $\Omega - \{\infty_1, \infty_2, 1, -1\}$  and  $f_i(a), g_i(a) \neq 0$  if  $\text{Im}(a) = 0, a \neq \infty_1, \infty_2, -1$ .

Notice that if  $\text{Im}(a) \neq 0, \text{Im} \sqrt{2 - (a+1)t} \neq 0$ , where  $t \in ]0, 1]$ . Thus, from (5),  $f_1(a) \neq 0$ , and in the same way,  $g_1(a) \neq 0$ . So, from (4), we have  $f_2(a), g_2(a) \neq 0, a \neq \infty_1, \infty_2, 1$ .

Using now (4), (5) again, it is not hard to prove (7). On the other hand, (5) yields

$$\lim_{a \rightarrow \infty_i} \left| \frac{\sqrt{a}}{f_1} \right| = \left| \int_0^1 \sqrt{\frac{1-t}{t^2}} dt \right|^{-1} = 0, \quad \lim_{a \rightarrow \infty_i} \left| \frac{a\sqrt{a}}{g_1} \right| = \left| \int_0^1 \sqrt{\frac{t^2}{1-t}} dt \right| \neq 0,$$

$$\lim_{a \rightarrow \infty_i} \left| \frac{a^\varepsilon \sqrt{a}}{f_1} \right| = \infty$$

where  $i = 1, 2$ , and the same holds for  $f_2, g_2$  (see (4)).

Moreover

$$\lim_{a \rightarrow \infty} \left| \frac{1}{\sqrt{a}} \int_0^1 \sqrt{\frac{x-a}{x^2-1}} dx \right|, \quad \lim_{a \rightarrow \infty} \left| \frac{1}{\sqrt{a}} \int_{-1}^0 \sqrt{\frac{x-a}{x^2-1}} dx \right| \neq 0$$

and therefore from (3),

$$\lim_{a \rightarrow \infty_i} \frac{f_1}{f_2} = -1, \quad i = 1, 2.$$

A similar argument gives

$$\lim_{a \rightarrow \infty_i} \frac{g_1}{g_2} = 1, \quad i = 1, 2.$$

Taking into account (4) and (7), (8) holds and so the lemma. Q.E.D.

*Remark 3.* The functions  $f_i, g_i, i = 1, 2$ , satisfy

$$f_i(a_1) = (-1)^{i-1} \overline{f_i(a_2)}, \quad g_i(a_1) = (-1)^{i-1} \overline{g_i(a_2)}$$

where  $a_i \in l_i, i = 1, 2, a \neq \infty$ .

*Remark 4.* Observe that  $f_1(a), g_1(a) \in \mathbf{R}, a \in ]-\infty, 1], f_2(a)/i, g_2(a)/i \in \mathbf{R}, a \in [-1, +\infty[$ . Moreover

$$\begin{aligned} f_1(a), g_1(a) &> 0, \quad a \in ]-\infty, -1[, \quad f_1(a), g_1(a) < 0, \quad a \in ]-1, 1] \\ \frac{1}{i}f_2(a), \quad -\frac{1}{i}g_2(a) &< 0, \quad a \in ]-1, 1[, \\ \operatorname{Re}(f_1(a_i)), \quad \operatorname{Re}(g_1(a_i)) &< 0, \\ (-1)^i \operatorname{Im}(f_1(a_i)) = \frac{1}{i}f_2(a_1) = \frac{1}{i}f_2(a_2) &> 0, \\ (-1)^i \operatorname{Im}(g_1(a_i)) = \frac{1}{i}g_2(a_1) = \frac{1}{i}g_2(a_2) &< 0, \end{aligned}$$

where  $a_i \in l_i - \{\infty_i\}, a_i > 1, i = 1, 2$ .

*Remark 5.* The functions  $f_i, g_i$  satisfy  $(I_1), i = 1, 2$ , on

$$(l_1 \cup l_2) - \{\infty_1, \infty_2, 1, -1\}.$$

**Lemma 3.** *If  $a \in \Omega - \{\infty_1, \infty_2\}$ ,*

$$(f_1g_2 - f_2g_1)(a) = \frac{4\pi i}{3}(1 - a^2).$$

*Proof.* Consider the genus one compact Riemann surface

$$\overline{M}_a = \{(z, w) \in (\mathbf{C} \cup \{\infty\})^2 | w^2 = (z - a)(z^2 - 1)\}, \text{ where } a \in \mathbf{C} - \{1, -1\}.$$

We can construct a “concrete” representation of  $\overline{M}_a$  as a two-sheeted covering of the sphere  $\mathbf{C} \cup \{\infty\}$ .

Picture two copies of the sphere, and label these two copies sheet I and sheet II. On each sheet, cut along two smooth curves joining  $-1$  to “ $a$ ” and  $1$  to  $\infty$ , in such way that these cuts do not intersect.

Each “cut” has two banks; an N-bank and an S-bank.

Joining every S-bank on sheet I to the N-bank of the corresponding “cut” on sheet II, and then joining the corresponding S-bank on sheet II to the N-bank of the corresponding “cut” on sheet I, we have the desired representation of  $\overline{M}_a$  (see Figure 2).

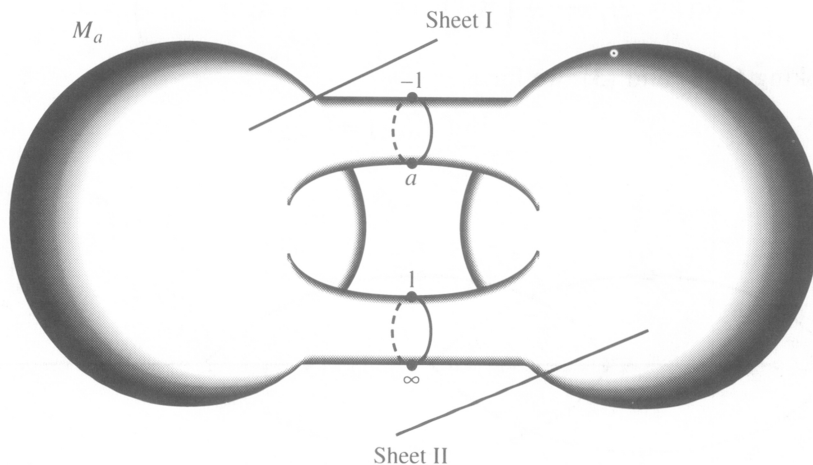


FIGURE 2

We can construct a canonical homology basis for  $\overline{M}_a$  drawing simple smooth curves  $\gamma_i$ ,  $i = 1, 2$ ;  $\gamma_1$  is given winding once around the “cut” from  $-1$  to “ $a$ ” in one sheet of  $\overline{M}_a$ , and  $\gamma_2$  starting from a point on “cut” from  $-1$  to “ $a$ ” going on the first sheet to a point on “cut” from  $1$  to  $\infty$ , and returning on the second sheet (indicated in Figure 3 below by dotted lines) to the original point.

The orientation of  $\gamma_1$ ,  $\gamma_2$  is illustrated in Figure 3.

Define now the meromorphic 1-forms:

$$\tau_1^a = \frac{z - a}{w} dz, \quad \tau_2^a = \frac{w}{z - a} dz,$$

and observe that

$$2f_i(a) = \int_{\gamma_i} \tau_1^a, \quad 2g_i(a) = \int_{\gamma_i} \tau_2^a, \quad i = 1, 2.$$

Using standard bilinear relations (see [11]), we conclude the proof. Q.E.D.

**Lemma 4.** *If  $a_i \in l_i - \{\infty_i\}$ ,  $i = 1, 2$ , then*

$$|f_1 g_2 / f_2 g_1|(a_i) \neq 1.$$

*Proof.* Remark 3 involves  $|f_1 g_2 / f_2 g_1|(a_1) = |f_1 g_2 / f_2 g_1|(a_2)$ . So we need only to prove the lemma for  $a_1 \in l_1 - \{\infty\}$ .

Suppose  $a_1 > 1$ .

From Remark 4 and Lemma 3

$$(9) \quad \left| \frac{f_1 g_2}{f_2 g_1} \right|(a_1) = 1 \Leftrightarrow \left( \frac{f_2}{\operatorname{Re}(f_1)} + \frac{g_2}{\operatorname{Re}(g_1)} \right)(a_1) = 0.$$

Let  $t: ]1, +\infty[ \rightarrow \mathbf{R}$  the function defined by

$$t(a_1) = (2 \operatorname{Re}(f_1) - \sqrt{3} \operatorname{Re}(g_1))(a_1).$$

Remarks 4, 5 and 1 yields

$$t'(a_1) = \frac{1}{a_1^2 - 1} \left( (a_1 + \sqrt{3}) \operatorname{Re}(f_1)(a_1) - \frac{3}{2} (1 + \sqrt{3} a_1) \operatorname{Re}(g_1)(a_1) \right),$$

$$t''(a_1) = \frac{1}{2(1 - a_1^2)} \left( \operatorname{Re}(f_1)(a_1) + \frac{3\sqrt{3}}{2} \operatorname{Re}(g_1)(a_1) \right) > 0.$$

Looking at (8) and (3), we have

$$\lim_{a_1 \rightarrow \infty} t'(a_1) = 0$$

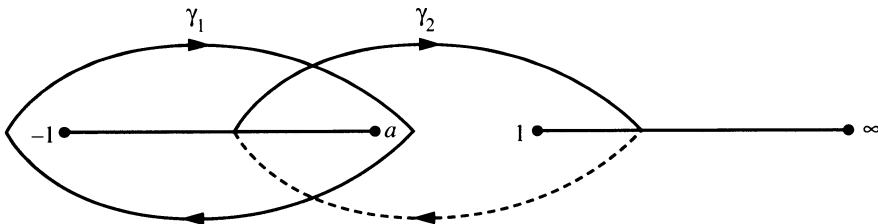


FIGURE 3



and therefore

$$t'(a_1) < 0, \quad \text{for each } a_1 \in ]1, +\infty[.$$

But from (7),  $t(1) < 0$  and then

$$(10) \quad t(a_1) < 0, \quad \text{for each } a_1 \in [1, +\infty[.$$

If  $|f_1 g_2 / f_2 g_1|(a'_1) = 1$ ,  $a'_1 > 1$ , from (9) and Remark 2,

$$\left( \frac{f_2}{\operatorname{Re}(f_1)} + \frac{g_2}{\operatorname{Re}(g_1)} \right) (a'_1) = \left( \frac{f_2}{\operatorname{Re}(f_1)} + \frac{g_2}{\operatorname{Re}(g_1)} \right) (1) = 0$$

and then there exists  $b \in ]1, a'_1[$  such that

$$\left( \frac{f_2}{\operatorname{Re}(f_1)} + \frac{g_2}{\operatorname{Re}(g_1)} \right)' (b) = 0.$$

Taking into account Remarks 5, 4 and Lemma 3:  $t(b) = 0$ . So, from (10), we get a contradiction and thus

$$|f_1 g_2 / f_2 g_1|(a_1) \neq 1, \quad \text{for each } a_1 \in ]1, +\infty[.$$

Using (4) and (7), the same holds for  $a_1 \in ]-\infty, -1[$  and  $a_1 = 1, -1$ .  
Q.E.D.

*Remark 6.* Observe that from the proof of Lemma 4 we can deduce

$$\frac{1}{i}(\operatorname{Re}(f_1)g_2 + \operatorname{Re}(g_1)f_2)(a_1) > 0 \quad \text{if } a_1 \in ]1, +\infty[ \subset l_1.$$

**Lemma 5.**

$$(f_1 \bar{g}_2 / f_2 \bar{g}_1)(a) \neq 1, \quad \text{for each } a \in i\mathbf{R} - \{0\}.$$

*Proof.* Write  $t_i = \operatorname{Re}(f_i)$ ,  $s_i = \operatorname{Im}(f_i)$ ,  $u_i = \operatorname{Re}(g_i)$ ,  $v_i = \operatorname{Im}(g_i)$ ,  $i = 1, 2$ .

Since  $a \in i\mathbf{R}$ , it is easy to check from (4) that

$$(11) \quad \frac{f_1 \bar{g}_2}{f_2 \bar{g}_1}(a) = 1 \Leftrightarrow \operatorname{Im}(f_1 g_1)(a) = 0 \Leftrightarrow (t_1 v_1 + s_1 u_1)(a) = 0.$$

In the following, we put  $a = iy$ ,  $y \in \mathbf{R}$  and write simply  $t_i(y)$ ,  $s_i(y)$ ,  $u_i(y)$  and  $v_i(y)$  instead of  $t_i(iy)$ ,  $s_i(iy)$ ,  $u_i(iy)$  and  $v_i(iy)$ ,  $i = 1, 2$ . Also  $t'_i$ ,  $t''_i$  will mean  $dt_i/dy$ ,  $d^2t_i/dy^2$  respectively, and the same for  $s_i$ ,  $u_i$  and  $v_i$ ,  $i = 1, 2$ .

Using Lemma 1 and Remark 1, we deduce that the above functions verify the differential equations

$$(12) \quad \begin{cases} t'_i = \frac{y}{2(1+y^2)} t_i - \frac{3}{4(1+y^2)} v_i, \\ s'_i = \frac{y}{2(1+y^2)} s_i + \frac{3}{4(1+y^2)} u_i, \\ \begin{cases} t''_i = \frac{-1}{4(1+y^2)} t_i, \\ s''_i = \frac{-1}{4(1+y^2)} s_i, \end{cases} \end{cases} \quad \begin{cases} u'_i = \frac{-1}{1+y^2} s_i + \frac{3y}{2(1+y^2)} u_i, \\ v'_i = \frac{1}{1+y^2} t_i + \frac{3y}{2(1+y^2)} v_i, \\ \begin{cases} u''_i = \frac{3}{4(1+y^2)} u_i, \\ v''_i = \frac{3}{4(1+y^2)} v_i, \end{cases} \end{cases}$$

where  $i = 1, 2$ .

If  $i = 1$ , the initial values are

$$(13) \quad \begin{aligned} t_1(0) = f_1(0), \quad t_1'(0) = 0, \quad u_1(0) = g_1(0), \quad u_1'(0) = 0, \\ s_1(0) = 0, \quad s_1'(0) = \frac{3}{4}g_1(0), \quad v_1(0) = 0, \quad v_1'(0) = f_1(0), \end{aligned}$$

and analogously if  $i = 2$ .

Observe that (4) involves

$$\frac{f_1 \bar{g}_2}{f_2 \bar{g}_1}(iy) = 1 \Leftrightarrow \frac{f_1 \bar{g}_2}{f_2 \bar{g}_1}(-iy) = 1.$$

Then from (11), it is sufficient to prove that

$$(t_1 v_1 + s_1 u_1)(y) \neq 0, \quad \text{for each } y > 0.$$

First, we will show that

$$(14) \quad \begin{aligned} u_1(y), v_1(y), s_1(y) < 0, \quad \forall y > 0, \\ \exists y_0 > 0 \text{ such that } t_1(y_0) = 0, \quad t_1(y) < 0 \text{ if } 0 < y < y_0, \\ t_1(y) > 0 \text{ if } y_0 < y < +\infty, \\ \lim_{y \rightarrow +\infty} \frac{s_1}{t_1} = -1, \quad \lim_{y \rightarrow +\infty} \frac{v_1}{u_1} = 1. \end{aligned}$$

If  $u_1$  vanishes at some point  $y' > 0$ , take  $y_1 > 0$  the first point such that  $u_1(y_1) = 0$ . Since  $g_1(0) < 0$ , (12) and (13) yield

$$u_1''(y), u_1'(y), u_1(y) < 0, \quad y \in ]0, y_1],$$

a contradiction.

In a similar way,  $v_1(y) < 0$ , for each  $y > 0$ .

Suppose  $s_1$  vanishes at  $y' > 0$ , and take as before  $y_1 > 0$  the first point such that  $s_1(y_1) = 0$ .

Using that  $u_1(y_1) < 0$  and (12), we have  $s_1'(y_1) < 0$ , which contradicts (13).

We know that  $v_1(y) < 0$ ,  $y > 0$ . So, from (12), if  $t_1(y_0) = 0$ ,  $y_0 > 0$ , then  $t_1'(y_0) > 0$ . Since  $t_1(0) < 0$  (see (13)),  $t_1$  vanishes at most at one point  $y_0 > 0$ .

On the other hand, from (3),

$$t_1(y) = \operatorname{Re} \int_{-1}^0 \sqrt{\frac{x - iy}{x^2 - 1}} dx + \operatorname{Re} \left( i \int_0^y \sqrt{i \frac{y - r}{r^2 + 1}} dr \right)$$

and therefore

$$\lim_{y \rightarrow +\infty} t_1(y) = +\infty, \quad \lim_{y \rightarrow +\infty} \frac{s_1}{t_1}(y) = -1.$$

It is now easy to deduce that  $t_1$  vanishes at only one point  $y_0 > 0$ .

By similar arguments

$$\lim_{y \rightarrow +\infty} \frac{v_1}{u_1}(y) = 1$$

and (14) holds.

To finish the lemma, from (11) and (14) it is sufficient to show

$$\left( \frac{s_1}{t_1} + \frac{v_1}{u_1} \right)(y) \neq 0, \quad \text{for each } y > 0, \quad y \neq y_0,$$

and note that from (14),  $(s_1 u_1 + v_1 t_1)(y_0) = (s_1 v_1)(y_0) \neq 0$ .

Suppose now

$$\left(\frac{s_1}{t_1} + \frac{v_1}{u_1}\right)(y_1) = 0, \quad y_1 > 0.$$

If  $y_1 < y_0$ , since  $(s_1/t_1 + v_1/u_1)(0) = 0$ , we have

$$\left(\frac{s_1}{t_1} + \frac{v_1}{u_1}\right)'(y_2) = 0, \quad \text{where } y_2 \in ]0, y_1[.$$

From (12)

$$\left(\frac{s_1}{t_1} + \frac{v_1}{u_1}\right)'(y_2) = \left(\left(\frac{u_1 t_1 + v_1 s_1}{1 + y^2}\right) \left(\frac{3}{4t_1^2} + \frac{1}{u_1^2}\right)\right)(y_2).$$

Thus  $(u_1 t_1 + v_1 s_1)(y_2) = 0$ , that is,  $\text{Re}(f_1 \bar{g}_1)(iy_2) = 0$ .

But Lemma 3 and (4) give

$$\text{Re}(f_1 \bar{g}_1)(-y) = -\frac{2\pi}{3}(1 + y^2) \neq 0, \quad \text{for each } y \in \mathbf{R},$$

which gets a contradiction.

If  $y_0 < y_1$ , observe that from (14)

$$\lim_{y \rightarrow +\infty} \left(\frac{s_1}{t_1} + \frac{v_1}{u_1}\right) = 0.$$

Reasoning as before, this case is also impossible. Q.E.D.

*Remark 7.* Note that

$$\begin{aligned} (u_1 s_1 + v_1 t_1)(y) &> 0, \quad \text{for each } y > 0, \\ (u_1 s_1 + v_1 t_1)(y) &< 0, \quad \text{for each } y < 0. \end{aligned}$$

We can now state the main theorem of this section.

**Theorem 1.** *Let  $x : M \rightarrow \mathbf{R}^3$  be a orientable complete minimal surface of finite total curvature  $-8\pi$  and genus one.*

*Suppose that its Gauss map is regular at the unique end of  $M$ .*

*Then up to homothety and rigid motion,  $x$  is given by*

$$x : \bar{M} - \{(\infty, \infty)\} \rightarrow \mathbf{R}^3, \quad x = \text{Re} \int (\phi_1, \phi_2, \phi_3)$$

where

$$\begin{aligned} \bar{M} &= \{(z, w) \in (\mathbf{C} \cup \{\infty\})^2 \mid w^2 = z(z^2 - 1)\}, \\ \phi_1 &= \frac{1}{2} \left(\frac{z}{w} - A^2 \frac{w}{z}\right) dz, \quad \phi_2 = \frac{i}{2} \left(\frac{z}{w} + A^2 \frac{w}{z}\right) dz, \\ \phi_3 &= Adz \quad \text{and} \quad A^2 = \frac{f_1}{g_1}(0). \end{aligned}$$

*Proof.* We know that  $M$  is conformally equivalent to  $\bar{M} - \{P\}$ , where  $\bar{M}$  is a compact Riemann surface and  $P$  is a point of  $\bar{M}$ .

If  $g$  is the Gauss map of  $M$ , after a rotation in  $\mathbf{R}^3$ , we can suppose that  $g(P) = \infty$ . Since  $b_g(P) = 0$ , there exist four points  $P_i$ ,  $i = 1, 2, 3, 4$ , in  $\bar{M}$  such that  $b_g(P_i) = 1$ ,  $i = 1, 2, 3, 4$ . We call  $a_i = g(P_i)$ ,  $i = 1, 2, 3, 4$ , and observe that  $a_i \neq a_j$ ,  $i \neq j$ .

Therefore  $\overline{M}$  is conformally equivalent to

$$\{(z_1, w_1) \in (\mathbf{C} \cup \{\infty\})^2 | w_1^2 = (z_1 - a_1)(z_1 - a_2)(z_1 - a_3)(z_1 - a_4)\}$$

where  $g = z_1$ .

After a suitable change of parameter

$$\overline{M} = \{(z_2, w_2) \in (\mathbf{C} \cup \{\infty\})^2 | w_2^2 = (z_2^2 - 1)(z_2 - c_1)(z_2 - d_1)\}, \quad g = A_1 z_2 + B_1,$$

where  $c_1, d_1, A_1, B_1 \in \mathbf{C}$ ,  $c_1 \neq d_1$ ,  $c_1, d_1 \neq 1, -1$ ,  $A_1 \neq 0$ .

As  $z_2(P) = g(P) = \infty$  and  $b_g(P) = 0$ , there exists  $Q \in \overline{M}$  such that  $z_2(Q) = g(Q) = \infty$ .

Without loss of generality, we can suppose that the meromorphic function on  $\overline{M} : S$ , defined by

$$(15) \quad S(z_2, w_2) = w_2 + z_2^2 - \frac{c_1 + d_1}{2} z_2 + \left( \frac{c_1 d_1 - 1}{2} - \frac{(c_1 + d_2)^2}{8} \right)$$

satisfy:

$$S(P) = \infty, \quad b_s(P) = 1, \quad S(Q) = 0, \quad \text{Degree}(S) = 2.$$

From (1), (2), the holomorphic 1-form  $\omega$  determined by the Weierstrass representation of  $x$  has a pole of order two at  $P$ , a zero of order two at  $Q$  and no other zeroes and poles.

So,  $\omega/S$  is holomorphic, and then  $b_s(Q) = 1$ .

This fact and (15) yield

$$(16) \quad (c_1 + d_1)((c_1 - d_1)^2 - 4) = 0.$$

Taking into account (16) and after a suitable change of parameter

$$\begin{aligned} \overline{M} &= \{(z_3, w_3) \in (\mathbf{C} \cup \{\infty\})^2 | w_3^2 = (z_3^2 - 1)(z_3^2 - c^2)\}, \\ g &= A_2 z_3 + B_2, \quad \omega = C_1 \left( w_3 + z_3^2 - \frac{c^2 + 1}{2} \right) \frac{dz_3}{w_3}, \end{aligned}$$

where  $c, A_2, B_2, C_1 \in \mathbf{C}$ ,  $c \neq 1, -1, 0$ ,  $A_2, C_1 \neq 0$ .

Taking  $z = w_3 + z_3^2 - (c^2 + 1)/2$ , we have  $\text{Degree}(x) = 2$  and

$$\begin{aligned} \overline{M} &= \{(z, w) \in (\mathbf{C} \cup \{\infty\})^2 | w^2 = (z - a)(z^2 - 1)\}, \\ g &= A \frac{w}{z - a} + B, \quad \omega = C \frac{z - a}{w} dx, \end{aligned}$$

where  $a, A, B, C \in \mathbf{C}$ ,  $a \neq 1, -1, 0$ ,  $A, C \neq 0$ .

As the 1-forms  $\phi_i$ ,  $i = 1, 2, 3$ , do not have real periods, (3) and the proof of Lemma 3 give

$$(17) \quad \begin{aligned} (1) \quad BC f_i(a) &\in \mathbf{R}, \\ (2) \quad C f_i(a) &= \overline{C} \overline{B}^2 \overline{f}_i(a) + \overline{C} \overline{A}^2 \overline{g}_i(a), \end{aligned} \quad i = 1, 2.$$

If  $B \neq 0$ , from (1) and (2)

$$C(1 - |B|^2) f_i(a) = \frac{\overline{B} C A^2}{B} g_i(a), \quad i = 1, 2.$$

This fact contradicts Lemma 3.

Therefore  $B = 0$ , and looking at (17),  $x$  is well defined if and only if

$$(18) \quad (f_1 \bar{g}_2 - f_2 \bar{g}_1)(a) = 0.$$

Using Lemma 2,  $f_i(a)$ ,  $g_i(a) \neq 0$ , if  $a \in \mathbf{C} - \{1, -1\}$ ,  $i = 1, 2$ , and then (18) involves

$$\log \left| \frac{f_1 g_2}{f_2 g_1} \right| (a) = 0.$$

Consider  $h : \Omega \rightarrow \mathbf{R}^3$  defined by

$$h(a) = \log \left| \frac{f_1 g_2}{f_2 g_1} \right| (a).$$

From Lemma 2,  $h$  is a continuous function on  $\Omega$ , harmonic in  $\overset{\circ}{\Omega}$ . Using (4),  $h(a) = 0$  if  $a \in i\mathbf{R} \cup \{\infty_1, \infty_2\}$ , and if  $h(a) = 0$  then  $h(\bar{a}) = h(-a) = 0$ .

On the other hand, Lemma 4 shows that  $h(a_i) \neq 0$ , where  $a_i \in l_i$ ,  $a_i \neq \infty_i$ ,  $i = 1, 2$ .

Maximum principle for harmonic functions yields

$$h(a) = 0 \quad \text{if and only if} \quad a \in i\mathbf{R} \cup \{\infty_1, \infty_2\}.$$

But if  $a \in i\mathbf{R}$ , Lemma 5 tells us that (18) holds if and only if  $a = 0$ . This completes the proof of the theorem. Q.E.D.

The surface in Theorem 1 was discovered by Chen and Gackstatter, and we label it as a Chen-Gackstatter surface.

2.2. *Second case.*  $b_g(P) = 1$ . As in the first case, we need some previous results.

**Lemma 6.** *If  $a_i \in l_i - \{1, -1, \infty_i\}$ ,  $i = 1, 2$ ,*

$$\text{Im}(3g_1 g_2 - 4f_1 f_2 + 2a(g_1 f_2 + g_2 f_1))(a_i) \neq 0.$$

*Proof.* Define

$$j(a_i) = \text{Im}(3g_1 g_2 - 4f_1 f_2 + 2a(g_1 f_2 + g_2 f_1))(a_i), \quad a_i \in l_i - \{\infty_i\}, \quad i = 1, 2.$$

Using (4) and Remark 4, it is sufficient to prove

$$j(a_1) = (3 \text{Re}(g_1)g_2 - 4 \text{Re}(f_1)f_2 + 2a(\text{Re}(g_1)f_2 + \text{Re}(f_1)g_2))(a_1) \neq 0$$

if  $a_1 \in ]1, +\infty_1[ \subset l_1$ .

From Remark 5,

$$(19) \quad j'(a) = \frac{-2a}{1-a^2} \left( j(a) + \frac{a^2-1}{a} (\text{Re}(g_1)f_2 + \text{Re}(f_1)g_2)(a) \right)$$

and looking at (7),

$$(20) \quad \lim_{a_1 \rightarrow 1} -i \frac{j(a_1)}{a_1 - 1} > 0.$$

If  $j$  vanishes at some point  $a'_1 \in ]1, \infty_1[$ , take  $a_0$  the first such point,  $a_0 > 1$ . Remark 6 and (19) gives  $-ij'(a_0) > 0$ , and therefore  $-ij(a_1) < 0$ , for each  $a_1 \in ]1, a_0[$ , which is contrary to (20). Q.E.D.

**Lemma 7.** *If  $a \in i\mathbf{R}$ ,  $|a| \geq \sqrt{3}$ ,*

$$\operatorname{Re}(3g_1^2(a) - 4f_1^2(a) + 4af_1(a)g_1(a)) \neq 0.$$

*Proof.* Fixing the same notation as Lemma 5, if  $iy \in i\mathbf{R}$ , define

$$\begin{aligned} k(y) &= \operatorname{Re}(3g_1^2(iy) - 4f_1^2(iy) + 4iyf_1(iy)g_1(iy)) \\ &= 3(u_1^2(y) - v_1^2(y)) + 4(s_1^2(y) - t_1^2(y)) - 4y(u_1(y)s_1(y) + t_1(y)v_1(y)) \end{aligned}$$

where  $y \in \mathbf{R}$ .

From (12),

$$(21) \quad k'(y) = \frac{2y}{1+y^2} \left( k(y) - 2\frac{1+y^2}{y}(u_1(y)s_1(y) + t_1(y)v_1(y)) \right).$$

On the other hand, the function  $s : ]-\infty, 0] \rightarrow \mathbf{R}$  defined by

$$s(a) = (\sqrt{3}g_1 - 2f_1)(a)$$

satisfy (see (7) and Remark 5)

$$\begin{aligned} s'(a) &= \frac{1}{1-a^2} \left( (a + \sqrt{3})f_1(a) - \frac{3}{2}(1 + \sqrt{3}a)g_1(a) \right), \\ s''(a) &= \frac{1}{2(a^2 - 1)}f_1(a) + \frac{3\sqrt{3}}{4(a^2 - 1)}g_1(a), \quad s'(-1) < 0, \quad s(-1) = 0. \end{aligned}$$

Thus, Remark 4 yields

$$s''(a) < 0 \quad \text{for each } a \in ]-1, 1[, \quad s'(0)s(0) < 0,$$

and then,  $s(0) < 0$ .

Thus

$$(22) \quad k(0) = s(0)(\sqrt{3}g_1(0) + 2f_1(0)) > 0.$$

Observe now

$$k(\sqrt{3}) = (\sqrt{3}g_1 + 2if_1)^2(i\sqrt{3}).$$

If we write

$$H(x) = \frac{ix + 1/\sqrt{3}}{\sqrt{(x - i\sqrt{3})(x^2 - 1)}}$$

from (3) and integrating by parts

$$(\sqrt{3}g_1 + 2if_1)(i\sqrt{3}) = 4 \int_{-1}^{i\sqrt{3}} H(x) dx$$

for a suitable choice of the above branch of  $\sqrt{(x - i\sqrt{3})(x^2 - 1)}$ .

If  $x \in ]-1, 0[$ , it is straightforward to check

$$(23) \quad 0 < \operatorname{Re}(H)(x) < -\operatorname{Im}(H)(x).$$

After a suitable change of parameter

$$\int_0^{i\sqrt{3}} H(x) dx = 4(1+i)3^{-1/4} \int_{-1}^{1/2} \frac{u}{\sqrt{u^3+1}} du$$

and therefore

$$(24) \quad \operatorname{Re} \int_0^{i\sqrt{3}} H(x) dx = \operatorname{Im} \int_0^{i\sqrt{3}} H(x) dx < 0.$$

So, (23) and (24) yield

$$(25) \quad k(\sqrt{3}) < 0.$$

Remark 7 and (21) involve that  $k$  vanishes only at one point  $y_0 > 0$ .

But (25) and (22) give  $k(0)k(\sqrt{3}) < 0$ . Therefore  $y_0 \in ]0, \sqrt{3}[$  and  $k(y) \neq 0, y \geq \sqrt{3}$ . From (4), also  $k(y) \neq 0, y \leq -\sqrt{3}$ . Q.E.D.

**Lemma 8.** *If  $a \in ]-\infty, 1[, a \neq -1$ ,*

$$\frac{3g_1^2(a) - 4f_1^2(a) + 4af_1g_1(a)}{1 - a^2} > 0.$$

*Proof.* By Remark 2,  $k = 3g_1^2 - 4f_1^2 + 4af_1g_1$  is well defined on  $]-\infty, 1[$ .

Remark 5 gives

$$(26) \quad k'(a) = \frac{2a}{a^2 - 1} \left( k(a) + \frac{2(a^2 - 1)}{a} f_1(a)g_1(a) \right).$$

Moreover, from (5) and (7)

$$\left( \frac{k}{(a+1)^2} \right) (-1) = 0, \quad \left( \frac{k}{(a+1)^2} \right)' (-1) > 0,$$

and then

$$(27) \quad k(-1 - \varepsilon) < 0, \quad k(-1 + \varepsilon) > 0,$$

$\varepsilon > 0$  small enough.

Suppose  $k$  vanishes at a point  $a' < -1$ , and take  $a_0 < -1$  the nearest point to  $-1$  such that  $k(a_0) = 0$ .

From (26),  $k'(a_0) > 0$  and then  $k(a) > 0, a \in ]a_0, -1[$ , which is contrary to (27).

So,  $k(a) < 0$  for each  $a \in ]-\infty, -1[$ .

Analogously,  $k(a) > 0, a \in ]-1, 1[$ , and the lemma holds. Q.E.D.

**Lemma 9.** *For each  $a \in \Omega - \{\infty_1, \infty_2, 1, -1\}$ ,*

$$(3g_1^2(a) - 4f_i^2(a) + 4af_i(a)g_i(a)) \neq 0.$$

*Proof.* Notice that from (4), we can suppose  $i = 1$ .

Define now  $\Omega'_+ = \{a \in \Omega \mid \operatorname{Im}(a) > 0\}$  and  $\Omega_+ = \overline{\Omega'_+}$ .

Using again (4), we need only to prove the lemma for  $a \in \Omega_+, a \neq \infty_1, 1, -1$ .

First, we will show that  $\gamma : \partial\Omega_+ \rightarrow \mathbb{C}$  defined by  $\gamma(a) = f_1(a)/g_1(a)$  is a single closed curve.

For, we must have

$$(28) \quad \gamma(b) \neq \gamma(c), \quad b, c \in \partial\Omega_+, \quad b \neq c.$$

Note that (see (8))

$$\lim_{|a| \rightarrow +\infty} \left| \frac{f_1}{g_1} \right| (a) = 0.$$

Use Lemma 1 to obtain

$$(29) \quad \left(\frac{f_1}{g_1}\right)' = \frac{3g_1^2 - 4f_1^2 + 4ag_1f_1}{4(1-a^2)g_1^2}.$$

Hence, it follows from Remark 5 and Lemma 8 that  $\gamma$  is injective on  $[-\infty, 1]$ . Observe now that Remark 4 and Lemma 3 imply

$$\operatorname{Im} \left(\frac{f_1}{g_1}\right)(a_1) \neq 0, \quad \text{for each } a_1 \in ]1, +\infty_1[.$$

Since  $\gamma(a) \in \mathbf{R}$ ,  $a \in [-\infty, 1]$ , it remains only to check (28) for  $b, c \in ]1, +\infty_1[$ .

If  $a_1 \in ]1, +\infty_1[$ , Remark 4 yields

$$\operatorname{Arg} \left(\frac{f_1}{g_1}\right)(a_1) = \operatorname{Arg} \left(\frac{\operatorname{Re}(f_1)\operatorname{Re}(g_1) - |f_2||g_2|}{\operatorname{Re}(f_1)|g_2| + \operatorname{Re}(g_1)|f_2|} - i\right)(a_1)$$

for each  $a_1 \in ]1, +\infty_1[$ .

Let  $b$  denote the function

$$b : ]1, +\infty_1[ \rightarrow \mathbf{R},$$

$$b(a_1) = \frac{\operatorname{Re}(f_1)\operatorname{Re}(g_1) - |f_2||g_2|}{\operatorname{Re}(f_1)|g_2| + \operatorname{Re}(g_1)|f_2|}(a_1).$$

By Lemma 3

$$(\operatorname{Re}(f_1)|g_2| + \operatorname{Re}(g_1)|f_2|)(a_1) = -\frac{4\pi}{3}(a_1^2 - 1), \quad a_1 \in ]1, +\infty_1[,$$

and thus using Remarks 4 and 5

$$b'(a_1) = \frac{3(3/4)(\operatorname{Re}(g_1)^2 + |g_2|^2)(a_1) + (\operatorname{Re}(f_1)^2 + |f_2|^2)(a_1)}{4\pi(a_1^2 - 1)^2} > 0.$$

Therefore  $b$  is injective, then  $\operatorname{Arg}(f_1/g_1)$  so is and (28) holds.

To conclude the lemma, notice that by (29)

$$3g_1^2(a) - 4f_1^2(a) + 4ag_1(a)f_1(a) = 0 \quad \text{if and only if} \quad \left(\frac{f_1}{g_1}\right)'(a) = 0$$

for each  $a \in \Omega_+ - \{\infty_1, 1, -1\}$ .

Suppose  $(f_1/g_1)'(a_0) = 0$ ,  $a_0 \in \overset{\circ}{\Omega}_+$  and write  $\alpha_0 = (f_1/g_1)(a_0)$ . Then

$$2 \leq \frac{1}{2\pi i} \int_{\partial\Omega_+} \frac{(f_1/g_1)'(a)}{(f_1/g_1)(a) - \alpha_0} da = n(\gamma(\partial\Omega_+), \alpha_0)$$

where  $n(\gamma(\partial\Omega_+), \alpha_0)$  is the winding number of  $\gamma(\partial\Omega_+)$  around  $\alpha_0$ .

But (28) involves  $n(\gamma(\partial\Omega_+), f_1(a)/g_1) = 1$  for each  $a \in \overset{\circ}{\Omega}_+$ , which is contrary to our assumption.

Taking into account Remark 4 and Lemmas 6, 8, it is easy to obtain

$$3g_1^2(a) - 4f_1^2(a) + 4af_1(a)g_1(a) \neq 0$$

for  $a \in \partial\Omega_+ - \{\infty_1, 1, -1\}$ .

This concludes the proof. Q.E.D.



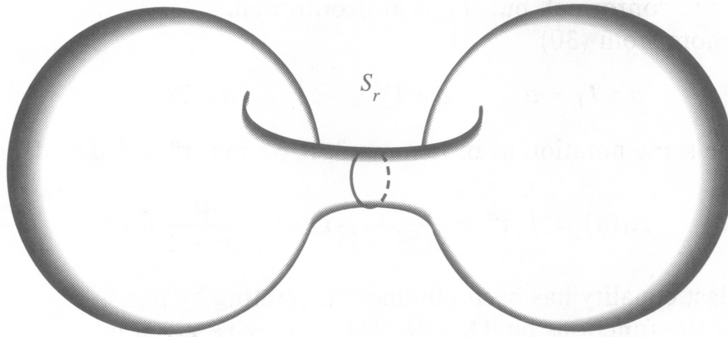


FIGURE 4

Take  $r \in \mathbf{R}$  and consider the genus zero Riemann surface

$$S_r = \{(\alpha, \beta) \in (\mathbf{C} \cup \{\infty\})^2 \mid \alpha^2 = -3\beta^2 + 2r\beta + 3\}.$$

In a way similar to that in Lemma 3, a “concrete” representation of  $S_r$  is given by cutting and joining two copies of the sphere.

In this case, we can cut each sheet along a smooth curve joining  $\frac{r}{3} - \sqrt{1 + (\frac{r}{3})^2}$  and  $\frac{r}{3} + \sqrt{1 + (\frac{r}{3})^2}$ , for instance, the real interval

$$\left[ \frac{r}{3} - \sqrt{1 + \left(\frac{r}{3}\right)^2}, \frac{r}{3} + \sqrt{1 + \left(\frac{r}{3}\right)^2} \right].$$

See Figure 4.

Let  $a$  denote the meromorphic function on  $S_r$  defined by

$$(30) \quad a : S_r \rightarrow \mathbf{C}, \quad a(\alpha, \beta) = \frac{r - 3\beta}{\alpha}.$$

Observe that  $\text{Degree}(a) = 2$  and denote by  $\Omega_r$  the closure of  $a^{-1}(\overset{\circ}{\Omega})$ .

Concretely, cut  $S_r$  along the two lines  $l^1, l^2$  contained in  $a^{-1}\{z \in \mathbf{R} \cup \{\infty\} \mid |z| \geq 1\}$ :

$$l^1 = \left\{ (\alpha, \beta) \in S_r \mid \beta \in \left[ \frac{r}{3} + \frac{1}{2}\sqrt{1 + \left(\frac{r}{3}\right)^2}, \frac{r}{3} + \sqrt{1 + \left(\frac{r}{3}\right)^2} \right] \right\},$$

$$l^2 = \left\{ (\alpha, \beta) \in S_r \mid \beta \in \left[ \frac{r}{3} - \sqrt{1 + \left(\frac{r}{3}\right)^2}, \frac{r}{3} - \frac{1}{2}\sqrt{1 + \left(\frac{r}{3}\right)^2} \right] \right\}.$$

Then, open along  $l^1, l^2$  and  $\Omega_r$  is the closure of the resulting domain.

In  $\Omega_r$  we have two copies of each line  $l^1, l^2$ . We will denote these copies by:  $l_j^1, l_j^2, j = 1, 2$ , respectively, and write  $(\alpha, \beta)_1^i, (\alpha, \beta)_2^i$  the two points corresponding to  $(\alpha, \beta) \in l^i, i = 1, 2$ .

Denote by  $T_i, i = 1, 2, 3$ , the following automorphisms of  $S_r$ :

$$T_1(\alpha, \beta) = \left( -\alpha, -\beta + \frac{2r}{3} \right), \quad T_2(\alpha, \beta) = (-\alpha, \beta), \quad T_3(\alpha, \beta) = (\bar{\alpha}, \bar{\beta})$$

for each  $(\alpha, \beta) \in S_r$ .

$T_1, T_2$  are conformal, but  $T_3$  is anticonformal.  
Furthermore from (30)

$$(31) \quad a \circ T_1 = a, \quad a \circ T_2 = -a, \quad a \circ T_3 = \bar{a}.$$

Using the same notation as in Lemma 3, if we put  $\tau^a = \frac{1}{w} dz$ , define

$$(32) \quad \Delta_1(a) = \int_{\gamma_i} \tau^a = \frac{3}{2(a^2 - 1)} g_i(a) - \frac{a}{a^2 - 1} f_i(a)$$

where the last equality has been obtained integrating by parts.

Consider the functions on  $\Omega_r - \{a^{-1}\{1, -1, \infty\}\}$  given by

$$\begin{aligned} \theta_i(\alpha, \beta) &= \left( \left( -\sqrt{1 + \left(\frac{r}{3}\right)^2} + 2\left(\beta - \frac{r}{3}\right) \right) \Delta_i(a) - \alpha f_i(a) \right), \quad i = 1, 2, \\ \sigma_i(\alpha, \beta) &= \left( \left( \sqrt{1 + \left(\frac{r}{3}\right)^2} + 2\left(\beta - \frac{r}{3}\right) \right) \Delta_i(a) - \alpha f_i(a) \right), \quad i = 1, 2. \end{aligned}$$

Let  $B : \Omega_r - \{a^{-1}\{1, -1\}\} \rightarrow \mathbf{R}$  defined by

$$(33) \quad B(\alpha, \beta) = \log \left| \frac{\theta_1 \sigma_2}{\theta_2 \sigma_1} \right| (\alpha, \beta).$$

**Lemma 10.**  $B$  is a continuous function on  $\Omega_r - \{a^{-1}\{1, -1\}\}$ , harmonic on  $\overset{\circ}{\Omega}_r$ , verifying

$$(34) \quad B \circ T_1 = -B, \quad B \circ T_2 = -B, \quad B \circ T_3 = B,$$

$$(35) \quad \lim_{|a| \rightarrow 1} |B| = +\infty.$$

*Proof.* First, note that from (32):

$$\theta_1 \sigma_1(\alpha, \beta) = \frac{\alpha^2}{4(a^2 - 1)} (3g_i^2(a) - 4f_i^2(a) + 4af_i(a)g_i(a)), \quad i = 1, 2.$$

By Lemma 9 and (33),  $B$  is well defined if  $a(\alpha, \beta) \neq 1, -1, \infty$ .

On the other hand, (30) gives

$$(36) \quad \begin{aligned} a^{-1}\{\infty\} &= \left\{ \left( 0, \frac{r}{3} \pm \sqrt{1 + \left(\frac{r}{3}\right)^2} \right) \right\}, \\ a^{-1}\{1, -1\} &= \left\{ \left( \frac{3}{2}\sqrt{1 + \left(\frac{r}{3}\right)^2}, \frac{r}{3} \pm \frac{1}{2}\sqrt{1 + \left(\frac{r}{3}\right)^2} \right), \right. \\ &\quad \left. \left( -\frac{3}{2}\sqrt{1 + \left(\frac{r}{3}\right)^2}, \frac{r}{3} \pm \frac{1}{2}\sqrt{1 + \left(\frac{r}{3}\right)^2} \right) \right\}. \end{aligned}$$

Taking into account (8), (32) and (33)

$$\lim_{|a| \rightarrow \infty} B = 1$$

and  $B$  is well defined if  $a = \infty$ .

Define

$$\nu_i(\alpha, \beta) = \alpha g_i(a) - 2 \left( \beta - \frac{r}{3} + \sqrt{1 + \left(\frac{r}{3}\right)^2} \right) f_i(a), \quad i = 1, 2,$$

$$\mu_i(\alpha, \beta) = \alpha g_i(a) - 2 \left( \beta - \frac{r}{3} - \sqrt{1 + \left(\frac{r}{3}\right)^2} \right) f_i(a), \quad i = 1, 2.$$

Then, using (32) and (33)

$$B = \log \left| \frac{\nu_1 \mu_2}{\nu_2 \mu_1} \right|$$

and therefore by (7), (4) and (36), (35) holds.

To deduce (34), use (4) and (31).

It is straightforward that  $\Delta B = 0$ . Q.E.D.

**Theorem 2.** *There does not exist any orientable complete genus one minimal surface in  $\mathbf{R}^3$ , of finite total curvature  $-8\pi$  which Gauss map is singular at its unique end.*

*Proof.* Suppose  $x : M \rightarrow \mathbf{R}^3$  is such a surface.

As usual,  $g, \omega$  will denote the Weierstrass representation of  $x$ .

We know  $M$  is conformally equivalent to  $\overline{M} - \{P\}$ , where  $\overline{M}$  is a compact genus one Riemann surface.

Since  $b_g(P) = 1$ , there exist three points  $P_1, P_2, P_3, P \neq P_i, i = 1, 2, 3$ , such that  $b_g(P) = 1$ .

Denote  $c_i = g(P_i), I = 1, 2, 3$ . It is clear that  $c_i \neq c_j, i \neq j$ .

Therefore

$$\overline{M} = \{(z, w) \in (\mathbf{C} \cup \{\infty\})^2 | w^2 = (z - c_1)(z - c_2)(z - c_3)\}$$

and  $g = z, \omega = A \cdot \frac{1}{w} dz$  (see (2)).

Up to a rigid motion in  $\mathbf{R}^3$ , we will suppose  $r = c_1 + c_2 + c_3 \in \mathbf{R}$ .

For  $\gamma_i, i = 1, 2$ , homology basis of  $\overline{M}$ , put

$$d_i = \int_{\gamma_i} \frac{1}{w} dz, \quad e_i = \int_{\gamma_i} \frac{z}{w} dz, \quad i = 1, 2.$$

Integrating by parts

$$(37) \quad \int_{\gamma_i} \frac{z^2}{w} dz = \frac{2r}{3} e_i - \frac{s}{3} d_i, \quad i = 1, 2,$$

where  $s = c_1 c_2 + c_1 c_3 + c_2 c_3$ .

As  $x$  is well defined, from (1) and (37):

$$(38) \quad \overline{A} \overline{d}_i = A \left( \frac{2r}{3} e_i - \frac{s}{r} d_i \right), \quad A e_i \in i\mathbf{R}.$$

Since  $\text{Im}(d_2/d_1) \neq 0$  (see [11]), (38) yields  $s = -3$ , and (38) gets

$$(39) \quad \overline{A} \overline{d}_i = A \left( \frac{2r}{3} e_i + d_i \right), \quad A e_i \in i\mathbf{R}.$$

Consider  $y : M \rightarrow \mathbf{R}^3$  defined by

$$y = \left( x_3 - \frac{r}{3} x_1, \sqrt{1 + \left(\frac{r}{3}\right)^2} x_2, x_1 + \frac{r}{3} x_3 \right).$$

It is clear that  $y = R \circ H \circ x$ , where  $R$  is a rigid motion and  $H$  is a homothety.

Furthermore, the Weierstrass representation  $(\psi_1, \psi_2, \psi_3)$  of  $y$  is given by (see (37) and (1)):

$$\begin{aligned}\psi_1 &= A \left( 1 + \left( \frac{r}{3} \right)^2 \right) \frac{z}{w} dz + dk_1, \\ \psi_2 &= iA \sqrt{1 + \left( \frac{r}{3} \right)^2} \left( \frac{1}{w} + \frac{r}{3} \frac{z}{w} \right) dz + dk_2, \\ \psi_3 &= dk_3,\end{aligned}$$

for suitable  $k_i$ ,  $i = 1, 2, 3$ , meromorphic functions on  $\overline{M}$ .

Thus,  $y$  is well defined if and only if

$$(40) \quad \begin{aligned} & \left( \bar{d}_1 + \left( \sqrt{1 + \left( \frac{r}{3} \right)^2} + \frac{r}{3} \right) \bar{e}_1 \right) \left( \bar{d}_2 + \left( -\sqrt{1 + \left( \frac{r}{3} \right)^2} + \frac{r}{3} \right) e_2 \right) \\ &= \left( \bar{d}_2 + \left( \sqrt{1 + \left( \frac{r}{3} \right)^2} + \frac{r}{3} \right) \bar{e}_2 \right) \left( d_1 + \left( -\sqrt{1 + \left( \frac{r}{3} \right)^2} + \frac{r}{3} \right) e_1 \right).\end{aligned}$$

Put  $c_0 = -c_1 c_2 c_3$  and take  $(\alpha_0, \beta_0) \in S_r$  such that

$$\alpha_0^2(r - 3\beta_0) = \beta_0^3 - r\beta_0^2 - 3\beta_0 + c_0.$$

Then, consider the following change of parameter:

$$(41) \quad x = \frac{1}{\alpha_0} z - \frac{\beta_0}{\alpha_0}.$$

Hence, using (3), (30) and (41), (40) becomes

$$(42) \quad (\theta_1 \bar{\sigma}_2 - \theta_2 \bar{\sigma}_1)(\alpha_0, \beta_0) = 0.$$

Note that  $a_0 = a(\alpha_0, \beta_0) \neq 1, -1, \infty$  because of  $c_i \neq c_j$ ,  $i \neq j$ .

By (32) and (30)

$$\theta_i \sigma_i(\alpha_0, \beta_0) = \frac{\alpha_0^2}{4(a_0^2 - 1)} (3g_i^2(a_0) - 4f_i^2(a_0) + 4a_0 f_i(a_0) g_i(a_0)), \quad i = 1, 2,$$

and therefore Lemma 9 gives  $\theta_i(\alpha_0, \beta_0)$ ,  $\sigma_i(\alpha_0, \beta_0) \neq 0$ ,  $i = 1, 2$ .

So, (42) involves  $B(\alpha_0, \beta_0) = 0$ .

On the other hand, define

$$\Gamma = \left\{ (\alpha, \beta) \in \Omega_r \mid \beta \in \left( \mathbf{R}^- \right] \frac{r}{3} - \sqrt{1 + \left( \frac{r}{3} \right)^2}, \frac{r}{3} + \sqrt{1 + \left( \frac{r}{3} \right)^2} \left[ \cup \{\infty\} \right) \right\}.$$

We observe (see (30)) that  $\Gamma = a^{-1}(\{z \in i\mathbf{R} \cup \{\infty\} \mid |a| \geq \sqrt{3}\})$ . Using (34)

$$B(\alpha, \beta) = 0 \quad \text{if } (\alpha, \beta) \in \Gamma.$$

We will show that  $B$  vanishes only on  $\Gamma$ .

If  $(\alpha, \beta) \in I_j^i$ ,  $i = 1, 2$ ,  $j = 1, 2$ , and  $\alpha \neq 0$ , we have  $\alpha, \beta \in \mathbf{R}$ . Suppose  $a(\alpha, \beta) > 1$ . Using Remark 4, (32) and Lemma 3,  $B(\alpha, \beta) \neq 0$  if and only if

$$\begin{aligned} & (3g_2 \operatorname{Re}(g_1) - 4f_2 \operatorname{Re}(f_1))(a_1) \\ & - 2a_1(\operatorname{Re}(g_1)f_2 + \operatorname{Re}(f_1)g_2)(a_1) = 0.\end{aligned}$$

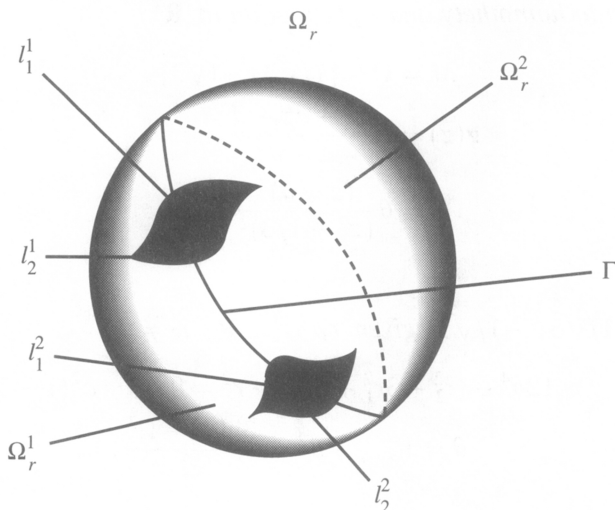


FIGURE 5

So, (34) and Lemma 6 get  $B(\alpha, \beta) \neq 0$ ,  $(\alpha, \beta) \in l_j^i$ ,  $i = 1, 2$ ,  $j = 1, 2$ ,  $\alpha \neq 0$ .

Let  $\Omega_r^1, \Omega_r^2$  denote the two components of  $\Omega_r - \Gamma$ , and note that  $\partial\Omega_r^j \subset \Gamma \cup l_1^1 \cup l_2^1 \cup l_1^2 \cup l_2^2$  (see Figure 5).

If  $B(\alpha, \beta) = 0$ ,  $(\alpha, \beta) \notin \Gamma$ , we have seen  $(\alpha, \beta) \notin l_j^i$ ,  $i = 1, 2$ ,  $j = 1, 2$ , and therefore  $(\alpha, \beta)$  is an interior point of  $\Omega_r^1$  or  $\Omega_r^2$ .

The symmetries in (34), together with the Maximum Principle for harmonic functions yields a contradiction.

So, if  $(\alpha, \beta) \in \Omega_r$ ,

$$(43) \quad B(\alpha, \beta) = 0 \quad \text{if and only if} \quad (\alpha, \beta) \in \Gamma.$$

Thus  $(\alpha_0, \beta_0) \in \Gamma$ , and obviously  $\alpha_0 \neq 0$ .

Since  $\alpha_0 \in i\mathbf{R}$ ,  $\beta_0 \in \mathbf{R}$ , then  $a_0 \in i\mathbf{R}$ , and using (4), (32) and (42) we get

$$(44) \quad \text{Re}(3f_1^2(a_0) - 4g_1^2(a_0) + 4a_0f_1(a_0)g_1(a_0)) = 0$$

which is contrary to Lemma 7.

This fact completes the proof. Q.E.D.

### 3. GENUS ZERO MINIMAL SURFACES OF TOTAL CURVATURE $-8\pi$

In the following, we will suppose  $\gamma = \text{Genus}(M) = 0$ .

Looking at Table 1, we can distinguish three different cases:  $k = 1$ ,  $k = 2$  and  $k = 3$ .

*First case.*  $k = 3$ . Jorge-Meeks formula (2) yields  $I_1 = I_2 = I_3 = 1$ .

After a suitable change of parameter in  $\overline{M} = \mathbf{C} \cup \{\infty\}$ , suppose that  $1/\sqrt{3}$ ,  $-1/\sqrt{3}$  and  $\infty$  are the three ends of  $M$ , and up to rigid motion in  $\mathbf{R}^3$ ,  $g(\infty) = \infty$ ,  $b_g(\infty) = 0$ .

**Theorem 3.** *Up to homothety and rigid motion in  $\mathbf{R}^3$ :*

$$(45) \quad \begin{aligned} M &= \mathbf{C} - \{1/\sqrt{3}, -1/\sqrt{3}\}, \\ g(z) &= B \frac{z^2 + cz + d}{z + a}, \\ \omega &= \theta \frac{(z + a)^2}{(z^2 - 1/3)^2} dz \end{aligned}$$

where

$$(46) \quad \begin{aligned} (1) \text{ if } a \neq 1/\sqrt{3}, -1/\sqrt{3}, \text{ given } r_1, r_2 \in \mathbf{R}, r_2 \neq 0, \\ c = 0, \quad 12a^4 - (r_2^2 + 3r_1^2 + 4)a^2 - r_1^2 = 0, \quad a^2(1 - 3d)^2 = r_1^2, \\ \theta = 1, \quad B^2 = \frac{3|3a^2 - 1|^2}{r_2^2}, \end{aligned}$$

(2)

$$(47) \quad c = 0, \quad a = 1/\sqrt{3}, -1/\sqrt{3}, \quad d = 1, \quad \theta = 1, \quad B \in \mathbf{R} - \{0\},$$

*Proof.* Up to rigid motion and homothety, we can assume (45), where  $B \in \mathbf{R} - \{0\}$  and  $|\theta| = 1$ .

Looking at (1), since  $x$  is well defined (that is,  $\phi_k$ ,  $k = 1, 2, 3$ , do not have real periods):

$$(48) \quad \begin{aligned} c = 0, \quad 3\bar{a}^2 - 1 = \theta^2 B^2 (1 + 3d)(1 - d), \\ \text{Im}(\theta) = 0, \quad \text{Im}(\theta a(1 - 3d)) = 0. \end{aligned}$$

Thus, we can suppose  $\theta = 1$ .

If  $a \neq 1/\sqrt{3}, -1/\sqrt{3}$ , then  $B^2 = (3\bar{a}^2 - 1)/((1 + 3d)(1 - d))$ .

Writing  $r_1 = a(1 - 3d)$  and  $r_2^2 = 3(3a^2 - 1)(1 + 3d)(1 - d)$ , (46) holds.

If  $a = 1/\sqrt{3}, -1/\sqrt{3}$ , (48) gives  $d = 1$  or  $d = -1/3$ .

Since  $\text{Degree}(g) = 2$ ,  $d \neq -1/3$  (see (45)). By (48), it is easy now to conclude the lemma. Q.E.D.

**Definition 1.** Denote by  $\mathcal{F}$  the family of surfaces given by (45)–(46) and (47) satisfying  $(3d - 1)^2 \neq 12a^2$ .

Geometrically,  $\mathcal{F}$  is the family of genus zero orientable complete minimal surfaces of finite total curvature  $-8\pi$  and three catenoid ends. Here, a catenoid end means an embedded end asymptotic to a catenoid. An embedded end  $P_i$  is a catenoid end when the Gauss map  $g$  is regular at  $P_i$  (see [5]).

The Jorge-Meeks surface of degree 3 (the trinoid) is the first interesting example in  $\mathcal{F}$ .

3.2. *Second case.*  $k = 2$ . We will suppose  $M = \mathbf{C} - \{0\}$ , that this,  $P_1 = \infty$  and  $P_2 = 0$  are the two ends of  $M$ . Moreover, we can assume  $g(\infty) = \infty$ .

By formula (2) again, we have two possibilities:  $I_1 = I_2 = 2$  or  $I_1 = 1, I_2 = 3$ .

The following theorems are consequences of similar arguments that are given in Theorem 3.

**Theorem 4.** Suppose  $I_1 = I_2 = 2$ . Then, up to change of parameter in  $\mathbf{C} \cup \{\infty\}$ , homothety and rigid motion in  $\mathbf{R}^3$ :

(1) If  $g$  has a regular end (without loss of generality, assume  $\infty$  is such an end),  $g(0) \neq \infty$ ,

$$M = \mathbf{C} - \{0\}, \quad g(z) = B \frac{z^2 + cz + d}{z + 1}, \quad \omega = \theta \frac{(z + 1)^2}{z^3} dz,$$

where

$$|\theta| = 1, \quad B \in \mathbf{R} - \{0\}, \quad -1 = \theta^2 B^2 (c^2 + 2d), \quad \theta(1+c) \in \mathbf{R}, \quad 1-c+d \neq 0,$$

and if  $b_g(\infty) = 0$ ,  $g(0) = \infty$ ,

$$M = \mathbf{C} - \{0\}, \quad g(z) = B \frac{z^2 + cz + 1}{z}, \quad \omega = \theta \frac{1}{z} dz,$$

where

$$\theta = i, \quad B \in \mathbf{R} - \{0\}, \quad -1 = B^2 (c^2 + 2).$$

(2) If  $b_g(\infty) = b_g(0) = 1$ ,

$$M = \mathbf{C} - \{0\}, \quad g(z) = Bz^2, \quad \omega = \theta \frac{1}{z^3} dz,$$

where  $B \in \mathbf{R} - \{0\}$ ,  $\theta = 1$ .

**Theorem 5.** Suppose  $I_1 = 1$  and  $I_2 = 3$ . Then, up to change of parameter in  $\mathbf{C} \cup \{\infty\}$ , homothety and rigid motion in  $\mathbf{R}^3$ :

(1) If  $b_g(\infty) = 0$ ,  $g(0) \neq \infty$ ,

$$M = \mathbf{C} - \{0\}, \quad g(z) = B \frac{z^2 + d}{z + 1}, \quad \omega = \theta \frac{(z + 1)^2}{z^4} dz$$

where  $B \in \mathbf{R} - \{0\}$ ,  $\theta = 1$ ,  $d \in \mathbf{C} - \{-1\}$ , and if  $b_g(\infty) = 0$ ,  $g(0) = \infty$ ,

$$M = \mathbf{C} - \{0\}, \quad g(z) = B \frac{z^2 + 1}{z}, \quad \omega = \theta \frac{1}{z^2} dz$$

where  $B \in \mathbf{R} - \{0\}$ ,  $\theta = 1$ .

(2) If  $b_g(\infty) = 1$ ,  $g(0) \neq 0$ ,

$$M = \mathbf{C} - \{0\}, \quad g(z) = B(z^2 + 1), \quad \omega = \theta \frac{1}{z^4} dz$$

where  $B \in \mathbf{R} - \{0\}$ ,  $\theta = 1$  and if  $b_g(\infty) = 1$ ,  $g(0) = 0$ ,

$$M = \mathbf{C} - \{0\}, \quad g(z) = Bz^2, \quad \omega = \theta \frac{1}{z^4} dz$$

where  $B \in \mathbf{R} - \{0\}$ ,  $\theta = 1$ .

3.3. *Third case.*  $k = 1$ . In this case, (2) involves  $I_1 = 5$ .

Assume that  $\infty$  is the unique end of  $M$ , and up to rotation in  $\mathbf{R}^3$ ,  $g(\infty) = \infty$ . As before, the following theorem holds.

**Theorem 6.** *Up to change of parameter in  $\mathbf{C} \cup \{\infty\}$ , homothety and rigid motion in  $\mathbf{R}^3$ :*

(1) *If  $b_g(\infty) = 0$ ,*

$$M = \mathbf{C}, \quad g(z) = B \frac{z^2 + cz + 1}{z}, \quad \omega = \theta z^2 dz$$

where

$$B \in \mathbf{R} - \{0\}, \quad c, \theta \in \mathbf{C}, \quad |\theta| = 1.$$

(2) *If  $b_g(\infty) = 1$ ,*

$$M = \mathbf{C}, \quad g(z) = B(z^2 + c), \quad \omega = \theta dz$$

where

$$B \in \mathbf{R} - \{0\}, \quad c, \theta \in \mathbf{C}, \quad |\theta| = 1.$$

#### 4. STATEMENT OF RESULT

An Osserman classical result (see [9]) classifies the catenoid and Enneper surface as the unique complete minimal surfaces with total curvature  $-4\pi$ .

Thus, Theorems 1, 2, 3, 4, 5, and 6 imply our main result:

**Corollary 1.** *Let  $M$  be an orientable complete minimal surface in  $\mathbf{R}^3$  of finite total curvature greater than  $-12\pi$ .*

*Then,  $M$  is one of the following surfaces: a plane, a catenoid, Enneper surface, a surface described in Theorems 3, 4, 5, 6, or Chen-Gackstatter surface.*

#### 5. A GEOMETRIC CONSEQUENCE

Finally, we prove the following fact, which is related to Osserman-Mo theorem in [7].

**Corollary 2.** *Let  $M$  be a orientable complete minimal surface in  $\mathbf{R}^3$ .*

*If the Gauss map  $g$  takes on five distinct values (without counting multiplicities) at most once, then  $M$  is one of the following surfaces: the plane, the catenoid, Enneper surface, a surface in the family  $\mathcal{F}$  or Chen-Gackstatter surface.*

*Proof.* First, by Osserman-Mo theorem in [7],  $M$  has finite total curvature.

Since  $M = \overline{M} - \{P_1, \dots, P_k\}$  where  $\overline{M}$  is a compact Riemann surface, and  $g$  extends meromorphically to  $\overline{M}$ , we can define the total branching number  $V$  of  $g$  by

$$V = \sum_{P \in \overline{M}} b_g(P).$$

It is well known (see [11]) that

$$(52) \quad V = 2n + 2\gamma - 2$$

where, as usual,  $\gamma = \text{Genus}(\overline{M})$  and  $n = \text{Degree}(g)$ .

Write by  $b_i$ ,  $i = 1, \dots, 5$ , the five points in  $\mathbf{S}^2$  such that

$$(53) \quad \text{Card}((g^{-1}\{b_i\}) \cap M) \leq 1,$$

$i = 1, \dots, 5$ , where "Card" means cardinality.



By (53),

$$(54) \quad k + 5 \geq \text{Card}(g^{-1}\{b_1, \dots, b_5\}) \geq 5n - V.$$

Then (54) and (2) yield

$$(55) \quad 2k + \gamma \leq 6.$$

If  $\gamma \geq 5$ , (55) gives  $k = 0$ , a contradiction. Assume  $\gamma = 3, 4$ . In this case, (55) involves  $k = 1$ . Looking at (53), we deduce

$$V \geq (n - 2) + 4(n - 1),$$

that is (see (52)),

$$(56) \quad 2n \leq 2\gamma + 4.$$

Hence, using (2) and (56), we conclude  $I_1 = 1$ , a contradiction.

In a similar way, if  $\gamma = 2$ , then  $k = 2$  and  $I_1 = I_2 = 1$  or  $k = 1$  and  $I_1 = 1$ . Schoen (see [10]) characterized first surfaces as catenoids and pairs of planes. So, this case is also impossible.

Suppose now  $\gamma = 1$ .

From (54) and (2),  $k = 2$  and  $I_1 = I_2 = 1$  or  $k = 1$  and  $I_1 = 3$ .

As before, the first case gets a contradiction. Taking into account Theorems 1 and 2 and (2), the second case corresponds to Chen-Gackstatter surface.

Finally,  $\gamma = 0$  involves (see (54) and (2)):

- (1)  $k = 1$  and  $I_1 = 3$ , which gives Enneper's surface (see [9]).
- (2)  $k = 2$  and  $I_1 = I_2 = 1$ , that is, a catenoid (see [9]).
- (3)  $k = 3$  and  $I_1 = I_2 = I_3 = 1$ . Observe that (2) yields  $n = 2$ , and (53) implies  $b_g(P_i) = 0$ ,  $i = 1, 2, 3$ . These are the surfaces in  $\mathcal{F}$ .

This fact completes the proof. Q.E.D.

*Note added in proof.* The author has learned that D. Bloss has obtained Theorems 1 and 2 using different techniques (D. Bloss, *Elliptische Funktionen und Vollständige Minimalflächen*, Ph.D. thesis, Freien Universität Berlin, Berlin, November, 1989.)

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