

AN INVERSE PROBLEM FOR CIRCLE PACKING AND CONFORMAL MAPPING

ITHIEL CARTER AND BURT RODIN

ABSTRACT. Figure 1.1 illustrates the fact that if a region is almost packed with circles of radius ε in the hexagonal pattern and if the unit disk is packed in an isomorphic pattern with circles of varying radii then, after suitable normalization, the correspondence of circles converges to the Riemann mapping function as $\varepsilon \rightarrow 0$ (see [15]). In the present paper an inverse of this result is obtained as illustrated by Figure 1.2; namely, if the unit disk is almost packed with ε -circles there is an isomorphic circle packing almost filling the region such that, after suitable normalization, the circle correspondence converges to the conformal map of the disk onto the region as $\varepsilon \rightarrow 0$. Note that this set up yields an approximate triangulation of the region by joining the centers of triples of mutually tangent circles. Since this triangulation is intimately related to the Riemann mapping it may be useful for grid generation [18].

1. INTRODUCTION

In [15] it is shown that the Riemann mapping function f from a bounded simply-connected region R to the unit disk \mathbb{D} can be approximated by circle packings. That is, if R is filled with circles of radius ε packed together in the hexagonal pattern then there is an isomorphic circle packing of \mathbb{D} (see Figure 1.1) and, after suitable normalization, this isomorphism converges to the Riemann mapping function $f: R \rightarrow \mathbb{D}$ as $\varepsilon \rightarrow 0$.

One would like a similar result for the inverse function of f . That is, one wants to obtain a picture such as that in Figure 1.2 in which ε circles are packed in \mathbb{D} , an isomorphic circle packing of a region R is created, and this isomorphism converges to $f^{-1}: \mathbb{D} \rightarrow R$ as $\varepsilon \rightarrow 0$. Note that this set up yields an approximate triangulation of R ; namely, the triangles of centers of triples of mutually tangent circles in R . This triangulation is intimately related to the Riemann mapping and therefore might be useful for grid generation (cf. [18]).

In Theorem 1, which first appeared in Carter [7], we construct circle packings having prescribed patterns and having prescribed radii for the border circles; the carrier can be a bordered surface of genus 0 or 1. For genus 0 the statement

Received by the editors September 25, 1990.

1980 *Mathematics Subject Classification* (1985 *Revision*). Primary 30A30, 52A45.

Key words and phrases. Discrete conformal geometry, circle packing, numerical conformal mapping, grid generation.

This work was done during 1987–1988 and was supported by the NSF and DARPA; the results appear in the first-named author's 1989 doctoral dissertation at the University of California, San Diego.

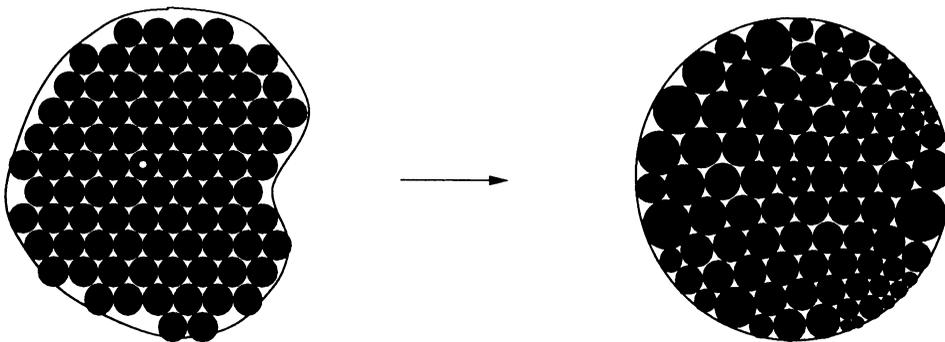


FIGURE 1.1. An approximate Riemann mapping

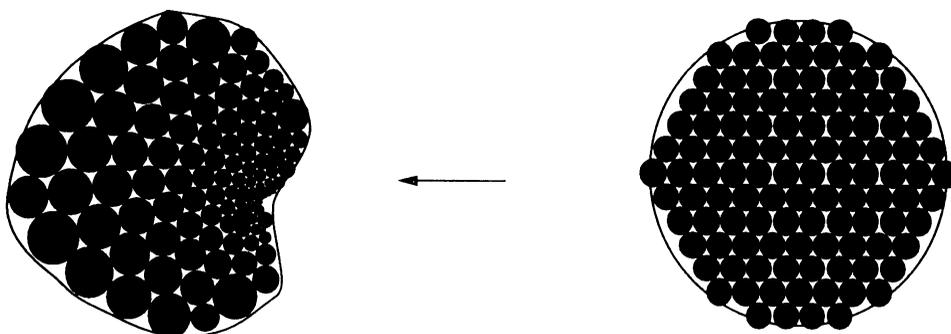


FIGURE 1.2. An approximate inverse Riemann mapping

of this result generalizes the theorem of Andreev [2] and Thurston [20] on the existence of circle packings on the sphere; the proof, however, makes essential use of that theorem. We were unable to give a proof using Thurston's continuity method as in [19 and 13]. Our proof adapts Thurston's algorithm to this more general case; we then use Peter Doyle's unpublished ideas [8] for proving the convergence of Thurston's algorithm to prove the theorem. The proof of Theorem 1 does indeed show that Thurston's algorithm converges in the genus zero case, but see Remarks 2 and 3 concerning its failure to converge in other cases.

Consider the following situation. Let Ω be a bounded, simply-connected domain and let ρ be a positive continuous function on $\partial\Omega$. There is a unique suitably normalized conformal mapping F of Ω with the property that $|F'|$ extends continuously to the boundary and agrees with ρ there. We wish to approximate F by means of circle packing isomorphisms. To that end, consider the regular hexagonal circle packing H_ε of the plane by circles of radius ε . Roughly speaking, let C_ε consist of those circles from H_ε which lie in Ω . To each circle on the border of C_ε assign the value of ρ at a nearest point on $\partial\Omega$. Theorem 1 shows that there is an essentially unique circle packing immersion C'_ε which is isomorphic to C_ε and which has the property that the ratio of the radii of each boundary circle in C'_ε to that of its corresponding circle in C_ε is the assigned value ρ . Theorem 4 shows that this circle correspondence approximates F in the sense that the isomorphism $C_\varepsilon \rightarrow C'_\varepsilon$ converges to F as $\varepsilon \rightarrow 0$.

The proof of Theorem 4 uses discrete potential theory and He's Theorem

[10] that the hexagonal circle packing constants s_n are of order $O(1/n)$. An error term in the approximation of F by circle packings is estimated (Theorem 3) in terms of some constants σ_n which are analogous to s_n but apply to circle packing immersions rather than imbeddings (see (2.1), (2.2), and Remark 1). In theorem 2 we prove that $\sigma_n \rightarrow 0$. The methods of Aharonov [1] can be used to obtain the estimate $\sigma_n = O(1/n)$ under certain conditions.

Assume one is given a bounded, simply-connected region R . In order to approximate the conformal mapping $f^{-1}: \mathbb{D} \rightarrow R$ by the methods of this paper (Figure 1.2) it is necessary to estimate the function $\rho = |df^{-1}/dz|$ on the boundary of the disk \mathbb{D} . One way of doing this is to first approximate the conformal mapping $R \rightarrow \mathbb{D}$ by the circle packing method of Figure 1.1 and use that information to approximate ρ . An integral equation for determining ρ exactly is given in Warschawski [21; the equation for $\theta' \equiv \rho$ appears in the proof of Theorem 4]; see Henrici [11, Theorem 16.7a, p. 395] for further discussion of Warschawski's equation.

2. DEFINITIONS AND STATEMENT OF RESULTS

For later reference we record the following fact.

Proposition 1 (The normalized conformal immersion determined by ρ). *Let Ω be a bounded, simply-connected domain containing fixed points z_0 and z_1 and let ρ be a positive continuous function on $\partial\Omega$. Then there is a unique conformal immersion $F: \Omega \rightarrow \mathbb{C}$, normalized by $F(z_0) = 0$ and $F(z_1) > 0$, such that $|F'|$ extends continuously to the boundary of Ω and agrees with ρ there.*

If u is the solution to the Dirichlet problem on Ω for the boundary values $\log \rho$ then F can be obtained as $F = e^{i\theta} \int e^{u+iu^*} dz + c$, where u^* is the conjugate harmonic function of u . The term *conformal immersion* is used to mean that the mapping F has nonvanishing derivative but need not be one-to-one. Conditions on ρ which force F to be univalent were considered in John [12] for the case of the unit disk as domain.

Let T be a triangulation whose carrier is a compact surface with border. A *radius function* R on T is a positive function defined on the vertices of T . At each interior vertex $v_i \in T$ we define the *curvature of R at v_i* as follows. Associate to each face of the triangulation T , with vertices (v_i, v_j, v_k) say, the Euclidean triangle determined by the centers of three mutually tangent circles which have radii $R(v_i)$, $R(v_j)$, $R(v_k)$. These triangles can be welded along corresponding edges to form a *cone manifold*; see Thurston [19]. Consider all faces which have v_i as one of their vertices. Let $\theta_1, \theta_2, \dots, \theta_n$ be the angles at v_i in each of the corresponding Euclidean triangles. Then the *curvature of R at v_i* is $\kappa_R(v_i) = 2\pi - (\theta_1 + \theta_2 + \dots + \theta_n)$. If $\kappa_R(v) = 0$ for all interior vertices $v \in T$ then we say that the radius function R is *flat*. (A radius function R may also be used to construct hyperbolic rather than Euclidean triangles. To emphasize the distinction one could speak of Euclidean, as opposed to hyperbolic, radius functions. Thurston [19] showed that a triangulated closed surface of genus greater than 1 supports a flat hyperbolic radius function. Beardon-Stevenson [5, Theorem 3] modified our Theorem 1, in the simply-connected case, from Euclidean to hyperbolic radius functions which can take the value $+\infty$. In the present paper the term radius function is always understood to mean Euclidean radius function.)

If the carrier of T is simply connected then a flat radius function R determines a circle packing immersion uniquely up to a rigid motion (see [14]). Namely, for each vertex v of T place a circle of radius $R(v)$ in the plane \mathbb{C} in such a way that if two vertices are joined by an edge then the corresponding circles in \mathbb{C} are tangent. If this placement is done sequentially the position of the first circle is arbitrary, the position of the second is determined up to a rotation about the center of the first, and the positions of the remaining circles are completely determined. Let P be the map which assigns to the vertex v in T the circle in \mathbb{C} of radius $R(v)$ which corresponds to v under this placement. We shall refer to P as a *circle packing immersion* determined by R . We call P a *circle packing imbedding* if the interiors of all of the disks in \mathbb{C} are disjoint. The triangulation T is called the *nerve* of P . Two packings are said to be *isomorphic* or *combinatorially equivalent* if their nerves are isomorphic. If the boundary of T has three edges, and if T is simply connected as in the present discussion, then the image circles of P can be considered as a classical circle packing of $\mathbb{C} \cup \{\infty\}$; that is, P is a circle packing imbedding whose nerve is a triangulation of the 2-sphere.

For $h > 0$, we denote the *hexagonal lattice of mesh h* by $\text{HL}(h, \infty)$; thus $\text{HL}(h, \infty) = \{(a + b\omega)h : a \in \mathbb{Z} \text{ and } b \in \mathbb{Z}\}$ where $\omega = e^{i\pi/3}$. The origin is said to be of generation 0, its six neighbors are of generation 1, and so on. The set of points in $\text{HL}(h, \infty)$ of generation $\leq n$ is denoted $\text{HL}(h, n)$; we shall write $\text{HL}(n)$ when the mesh size h need not be specified. We consider $\text{HL}(1/n, n)$ as a discrete approximation grid for the unit hexagon H .

In an obvious way, the lattice $\text{HL}(n)$ determines a triangulation of a hexagon by equilateral triangles with the lattice points as the vertices. A circle packing immersion with the combinatorics of this triangulation will be called a *hexagonal circle packing immersion of n generations* and will be denoted HCP''_n (Figure 2.1). (Note that the Ring Lemma of [15] does not apply to circle packing immersions since the circles in a flower might overlap.) A circle packing imbedding with these combinatorics is denoted HCP'_n (Figure 2.2). An HCP'_n packing in which all circles have the same radius h is called the *regular hexagonal circle packing of n generations* and is denoted $\text{HCP}(h, n)$ (or HCP_n if the common radius need not be specified). For example, if a circle of radius $1/2n$ is centered at each point of $\text{HL}(1/n, n)$ one obtains an $\text{HCP}(1/2n, n)$ configuration.

It is convenient to let $\text{rad } P$ denote the radius function R ; that is, $\text{rad } P(v)$ is the radius of the circle $P(v)$. The radius function for an HCP'_n configuration is a discrete subharmonic function on the lattice $\text{HL}(n)$ in the sense that its value at a point of $\text{HL}(n-1)$ cannot exceed the average of its values at the six neighboring points (Barany, Furedi, and Pack [4]; [16] contains a proof of this fact and of others that will be needed from discrete potential theory). Furthermore, the reciprocal radius function $1/\text{rad } P: \text{HL}(n) \rightarrow \mathbb{R}$ is also a discrete subharmonic function (see [4]). For the sake of a unified treatment we derive the maximum and minimum principles for $\text{rad } P$ as consequences of the subharmonicity of $\text{rad } P$ and $1/\text{rad } P$; in fact, these two principles are obvious without reference to the more quantitative property of subharmonicity.

Example (Peter Doyle). Let $c \in \mathbb{C}$. Consider the radius function R on $\text{HL}(1, \infty)$ defined by $R(\alpha) = |e^{c\alpha}|$ ($\alpha = m + n\omega$ with $m, n \in \mathbb{Z}$ and $\omega =$

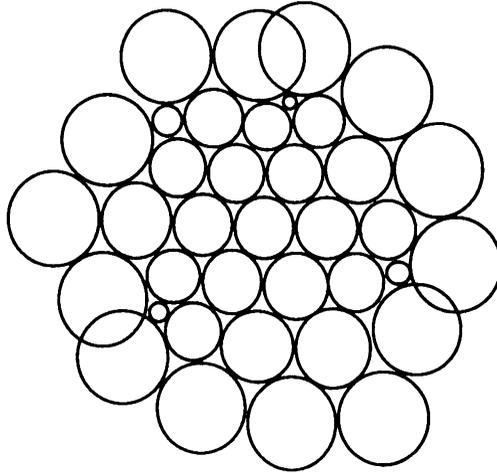


FIGURE 2.1. An HCP'_3 circle packing immersion

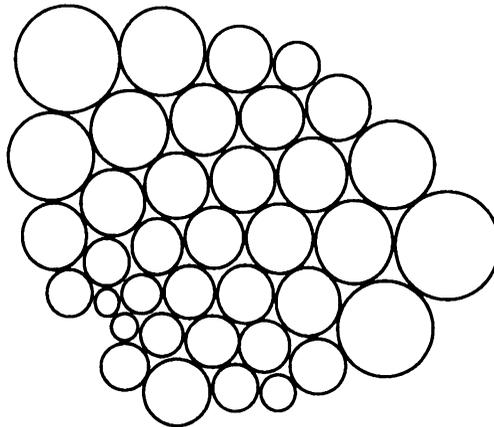


FIGURE 2.2. An HCP'_3 circle packing imbedding

$e^{i\pi/3}$). Peter Doyle [8] observed that this radius function is flat. To see this, consider a lattice point α and its six neighbors $\zeta_j = \alpha + \omega^j$ ($j = 0, 1, 2, 3, 4, 5$). The radii assigned to these six points by R can be written

$$\begin{aligned} R(\zeta_0) &= |e^c|R(\alpha) \equiv aR(\alpha), & R(\zeta_1) &= |e^{c\omega}|R(\alpha) \equiv R(\alpha), \\ R(\zeta_2) &= |e^{c\omega^2}|R(\alpha) = \frac{b}{a}R(\alpha), & R(\zeta_3) &= |e^{c\omega^3}|R(\alpha) = \frac{1}{a}R(\alpha), \\ R(\zeta_4) &= |e^{c\omega^4}|R(\alpha) = \frac{1}{b}R(\alpha), & R(\zeta_5) &= |e^{c\omega^5}|R(\alpha) = \frac{a}{b}R(\alpha). \end{aligned}$$

In the associated cone manifold there are six triangular faces determined by the seven radii $R(\alpha)$ and $R(\zeta_j)$. The three faces $(\alpha, \zeta_0, \zeta_1)$, $(\zeta_3, \alpha, \zeta_2)$, and $(\zeta_4, \zeta_5, \alpha)$ are similar and hence the sum of the angles at α in these three faces is π . In the same way, the sum of the angles at α in the faces $(\alpha, \zeta_1, \zeta_2)$, $(\zeta_4, \alpha, \zeta_3)$, and $(\zeta_5, \zeta_0, \alpha)$ is seen to be π . Thus the curvature at α is zero and R is flat. Note that in the associated circle packing immersion, the radius of any circle is the geometric mean of the radii of the surrounding six circles; that is, $\log R$ is a discrete harmonic function on $HL(1, \infty)$. Figure 2.3 shows this Doyle circle packing immersion for $a = 1.1$, $b = 1.4$.

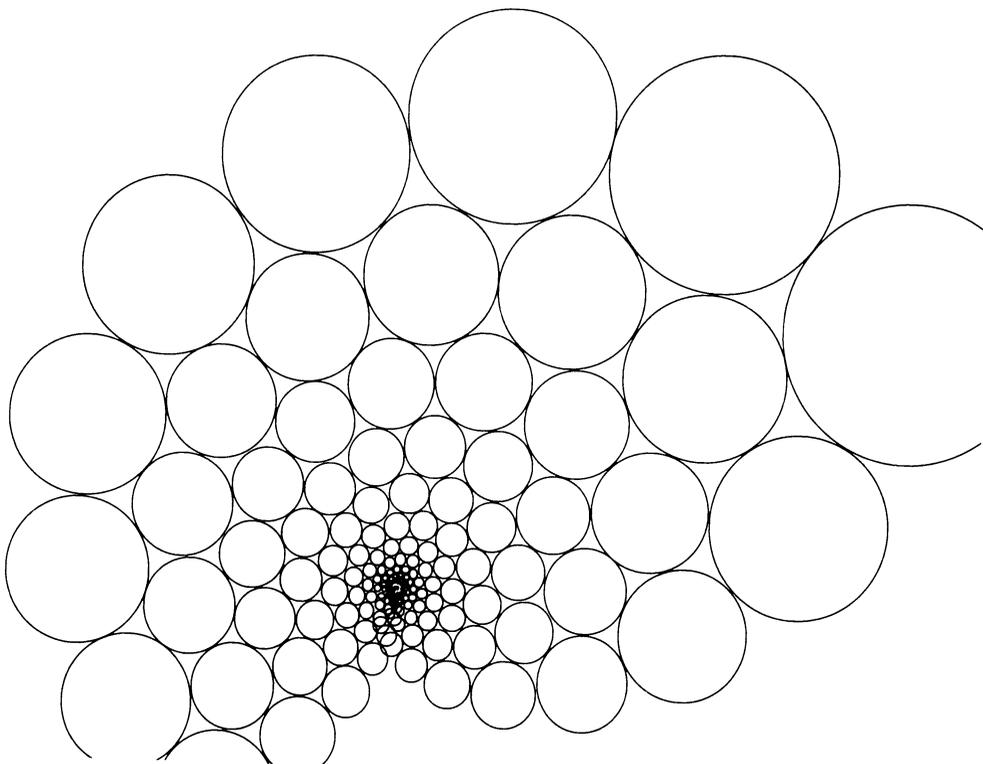


FIGURE 2.3. Doyle's packing immersion for $a = 1.1$, $b = 1.4$.

Next we state two results that will be needed later. Proposition 2 is credited to Andreev [2] by Thurston [19, 20] who gave it the circle packing formulation, indicated a less complicated proof (which is carried out in [14]), and gave an algorithm (Remark 2) for effectively computing the circle packings.

Proposition 2 (Andreev [2], Thurston [19, 20]). *Let T be a triangulation of the 2-sphere. Let τ be a face of T . Then there is a radius function R defined on the vertices of T which is flat at every vertex except those which belong to τ . R is uniquely determined by specifying its values at the vertices of τ .*

Proposition 3 (Thurston [19]). *Let T be a triangulation of a torus. Then there is a flat radius function R defined on the vertices of T . R is uniquely determined up to a scalar multiple.*

In §3 we prove the following generalization of Propositions 2 and 3.

Theorem 1 (The flat radius function with boundary radii β). *Let T be a triangulation of a compact bordered surface of genus 0 or 1 with nonempty border. Let β be a positive function defined on the border vertices of T . Then there exists a unique flat radius function R such that $R(v) = \beta(v)$ for each border vertex v .*

Let P be an HCP_n'' configuration, that is, a circle packing immersion with the combinatorics of the $\text{HL}(n)$ triangulation. For $0 \leq k \leq n$, let $\max_k \text{rad } P$ denote the maximum of $\text{rad } P(v)$ over all vertices v of generation k in $\text{HL}(n)$;

define $\min_k \text{rad } P$ similarly. For $q \geq 1$ define $\sigma_n(q)$ to be the smallest real number such that

$$(2.1) \quad \frac{\max_1 \text{rad } P}{\min_1 \text{rad } P} - 1 \leq \sigma_n(q)$$

for all HCP''_n circle packing immersions P which satisfy

$$(2.2) \quad \frac{\max_n \text{rad } P}{\min_n \text{rad } P} \leq q.$$

Remark 1. Note that the sequence s_n introduced in [15] can be defined in a parallel manner. Namely, s_n is the smallest real number such that

$$\frac{\max_1 \text{rad } P}{\min_1 \text{rad } P} - 1 \leq s_n$$

for all HCP'_n circle packing imbeddings P .

Theorem 2. For fixed $q \geq 1$, $\sigma_n(q) \rightarrow 0$ as $n \rightarrow \infty$.

The proof of Theorem 2 appears in §4.

Let Ω be a bounded, simply-connected domain containing fixed points z_0 and z_1 (in many applications Ω will be the unit disk). Given a positive continuous function ρ on $\partial\Omega$, we construct a sequence of circle packings and their radius functions as follows. For $\varepsilon > 0$ sufficiently small consider $\text{HCP}(\varepsilon, \infty)$, the infinite regular hexagonal circle packing of the plane by circles of radius ε . Starting with a circle c_0 closest to z_0 , form all chains of circles from $\text{HCP}(\varepsilon, \infty)$ such that the six neighbors of each circle in the chain lie in Ω . Let C_ε consist of all such circles appearing in these chains, together with all circles which are neighbors of at least one of these circles. Let T_ε be the triangulated plane region obtained by joining the centers of all triples of tangent circles in C_ε .

Associate to ρ the function β defined on the border vertices of T_ε as follows. If v is a border vertex of T_ε then define $\beta(v) = \varepsilon\rho(\zeta_v)$, where ζ_v is a point on $\partial\Omega$ which is closest to v ; we frequently write $\beta \approx \varepsilon\rho$ to help recall this definition of β . Apply Theorem 1 for these boundary values β ; it yields a flat radius function which, since Ω is simply connected, determines a circle packing immersion P_ε . Normalize P_ε by requiring that $P_\varepsilon(v_0)$ is centered at the origin and $P_\varepsilon(v_1)$ is centered on the positive real axis; here v_0 is the vertex of T_ε closest to z_0 and v_1 is the vertex of T_ε closest to z_1 . Let r_ε be the associated radius function; that is, $r_\varepsilon(v)$ is the radius of the circle corresponding to v in the circle packing P_ε .

We intend to discuss the convergence as $\varepsilon \rightarrow 0$ of the discrete functions P_ε and r_ε . For that purpose it is convenient to extend their domains from the vertices of T_ε to all of Ω_ε , the carrier of T_ε . A piecewise linear extension will be used. The circle packing immersion P_ε gives rise to a piecewise linear immersion $\tilde{P}_\varepsilon: \Omega_\varepsilon \rightarrow \mathbb{C}$ as follows. Let \tilde{P}_ε map a vertex v of T_ε to the center of the corresponding circle $P_\varepsilon(v)$, and then be extended linearly to the inside of each triangle of T_ε . Linearly extend the radius function r_ε , which is defined on the vertices of T_ε , to each triangle of T_ε thereby obtaining a piecewise linear function $\tilde{r}_\varepsilon: \Omega_\varepsilon \rightarrow \mathbb{R}_{>0}$. The next two theorems show that the circle packing immersions converge to the conformal mapping F of Proposition 1, and that the ratio of the radii of image circle to preimage circle converges to $|F'|$. The proofs will be given in §§5 and 6.

Theorem 3. Let Ω be a bounded, simply-connected region and let ρ be a positive continuous function on $\partial\Omega$. As above, consider the circle packing immersion P_ε with boundary radii $\beta \approx \varepsilon\rho$, the associated piecewise linear immersion \tilde{P}_ε and the piecewise linear radius function \tilde{r}_ε . Let $q = \max \rho / \min \rho$. Let $K \subset\subset \Omega$ and $N = [\text{dist}(K, \partial\Omega)/2\varepsilon]$. The K'_ε denote K with the edges of T_ε removed. On K'_ε the complex derivatives of \tilde{P}_ε exist and satisfy

$$\left| \frac{\partial \tilde{P}_\varepsilon}{\partial z} \right| = \frac{\tilde{r}_\varepsilon}{\varepsilon} + O(\sigma_N(q)), \quad \left| \frac{\partial \tilde{P}_\varepsilon}{\partial \bar{z}} \right| = O(\sqrt{\sigma_N(q)}).$$

Corollary. Let the $K \subset\subset \Omega$. L^∞ norm on K of the dilation of \tilde{P}_ε satisfies

$$\left\| \frac{\partial \tilde{P}_\varepsilon / \partial \bar{z}}{\partial \tilde{P}_\varepsilon / \partial z} \right\|_K \rightarrow 0 \quad \text{as } \varepsilon \rightarrow 0.$$

Theorem 4. Let Ω be a bounded, simply-connected region containing fixed points z_0 and z_1 . Let ρ be a positive continuous function on $\partial\Omega$. Let F be the normalized conformal immersion determined by ρ according to Proposition 1. As above, let P_ε be the normalized circle packing immersion with boundary radii $\beta \approx \varepsilon\rho$ and let r_ε be its radius function. Let \tilde{P}_ε and \tilde{r}_ε be the associated piecewise linear functions. Then \tilde{P}_ε converges to F uniformly on compacta of Ω . Furthermore, $\tilde{r}_\varepsilon/\varepsilon$ converges to $|F'|$ uniformly on compacta of Ω .

3. PROOF OF THEOREM 1

Theorem 1 (The flat radius function with boundary radii β). Let T be a triangulation of a compact bordered surface of genus 0 or 1 with nonempty border. Let β be a positive function defined on the border vertices of T . Then there exists a unique flat radius function R such that $R(v) = \beta(v)$ for each border vertex v .

Remark 2. For genus greater than 1 there are examples of triangulated compact surfaces with nonempty border which cannot support a flat radius function. This may be contrasted with the fact that if one is given a compact bordered Riemann surface and a metric on the border, there is a conformally equivalent flat metric on the surface which agrees with the given one on the border.

Proof. The proof of Theorem 1 will be accomplished by constructing barrier radius functions of positive and negative curvature. Label the vertices of T by v_1, v_2, \dots, v_V . Guess an initial radius function G on the vertices of T such that G coincides with β on the border vertices of T .

Complete T to a triangulation T^* of the Riemann sphere or a torus. This can be done by welding a disk to each boundary cycle and adding a vertex to each such disk. By Propositions 2 and 3, we can find a radius function defined on the vertices of T^* which is flat at all the vertices of T which do not lie on the border of R . Let A denote the restriction of this radius function to the vertices of T ; then we have $\kappa_A(v_i) = 0$ at all interior vertices $v_i \in T$.

Choose a positive $\varepsilon < 1$ such that $\varepsilon A < G$; that is, $\varepsilon A(v) < G(v)$ for all vertices $v \in T$. Choose $\delta > 1$ such that $\delta A > G$. Construct radius functions

P and N as follows.

$$\begin{aligned} P(v_i) &= \delta A(v_i) \text{ at the interior vertices } v_i \in T, \\ N(v_i) &= \varepsilon A(v_i) \text{ at the interior vertices } v_i \in T, \\ P(v_j) &= N(v_j) = \beta(v_j) \text{ at the border vertices } v_j \in T. \end{aligned}$$

Thus P and N are rescaled flat radius functions on the interior vertices of T , while on the border vertices of T both P and N have the values prescribed by β . It follows from the construction that $P \geq G \geq N$.

We have $\kappa_P(v_i) \geq 0$ and $\kappa_N(v_i) \leq 0$ at each interior vertex $v_i \in T$. Indeed, both P and N have zero curvature at all interior vertices of T which do not have a border vertex for a neighbor. At the other interior vertices of T note that if the radii assigned to the border vertices is increased, for instance, then the angles at these interior vertices in the Euclidean triangles of circle centers can only increase, and so the curvature at these vertices can only decrease.

We define the *relaxation operator* \mathcal{R}_v as follows. \mathcal{R}_v will operate on a radius function G by modifying its value at the vertex v .

$$(3.1) \quad \mathcal{R}_{v_i} : G \rightarrow G', \quad G'(v_j) = \begin{cases} r & \text{if } j = i, \\ G(v_j) & \text{if } j \neq i, \end{cases}$$

where r is the unique radius which forces $\kappa_{G'}(v_i) = 0$. The proof of Theorem 1 is completed by the following four lemmas.

Remark 3. We shall construct the flat radius function defined on the vertices of T which has the prescribed values β on the border vertices by relaxing the initial radius function G at each interior vertex of T infinitely often. We refer to this algorithm as *Thurston's Algorithm* [20]. By Remark 2, the convergence or divergence of this algorithm depends on the combinatorial topology of T if the genus is greater than 1.

Lemma 3.1. *Consider the radius functions P , G , and N defined as above. Thus $P \geq G \geq N$ at each vertex of T , and at each interior vertex $v_i \in T$ we have $\kappa_P(v_i) \geq 0$ and $\kappa_N(v_i) \leq 0$. Then for each interior vertex $v_i \in T$ the following inequalities hold:*

$$P \geq \mathcal{R}_{v_i}(P) \geq \mathcal{R}_{v_i}(G) \geq \mathcal{R}_{v_i}(N) \geq N.$$

Proof. The first inequality from the left is true because if one relaxes the radius at a vertex of positive curvature then the radius at that vertex must decrease.

To verify the second inequality note that $P \geq G$. Suppose \mathcal{R}_{v_i} changes the radius functions P , G to P' , G' by changing the two radii r_i , g_i at v_i to r'_i , g'_i . We want to show $r'_i \geq g'_i$. Suppose $r'_i < g'_i$. Then the curvatures at v_i satisfy $\kappa_{P'}(v_i) < \kappa_{G'}(v_i)$. This contradicts the fact that both of the curvatures of P and G at v_i become zero after relaxation at v_i .

Lemma 3.2. *At each interior vertex $v_i \in T$, $\mathcal{R}_{v_i}(P)$ has nonnegative curvature and $\mathcal{R}_{v_i}(N)$ has nonpositive curvature.*

Proof. Relaxation of the nonnegative curvature radius function P at the interior vertex v_i must decrease the radius r_i of P at v_i . Thus, all of the angles at the neighboring vertices of v_i can only decrease, whence the curvatures of P at the neighboring interior vertices of v_i can only increase.

By repeated application of Lemmas 3.1 and 3.2 we obtain the following sequence of inequalities:

$$\begin{aligned}
 P &\geq G \geq N, \\
 P &\geq \mathcal{R}_{v_i}(P) \geq \mathcal{R}_{v_i}(G) \geq \mathcal{R}_{v_i}(N) \geq N, \\
 \mathcal{R}_{v_i}(P) &\geq \mathcal{R}_{v_j} \circ \mathcal{R}_{v_i}(P) \geq \mathcal{R}_{v_j} \circ \mathcal{R}_{v_i}(G) \geq \mathcal{R}_{v_j} \circ \mathcal{R}_{v_i}(N) \geq \mathcal{R}_{v_i}(N), \\
 &\dots
 \end{aligned}$$

where v_i, v_j, \dots are interior vertices of T .

Let us write $\mathcal{R}^1(P)$ for $\mathcal{R}_{v_i}(P)$, $\mathcal{R}^2(P)$ for $\mathcal{R}_{v_j} \circ \mathcal{R}_{v_i}(P)$, and so on. The radius functions $\mathcal{R}^j(P)$, $j = 1, 2, \dots$, are monotone decreasing and bounded below by N . Let $P_0 = \inf_j \{\mathcal{R}^j(P)\}$. The radius functions $\mathcal{R}^j(N)$, $j = 1, 2, \dots$, are monotone increasing and bounded above by P . Let $N_0 = \sup_j \{\mathcal{R}^j(N)\}$. Then $P_0 \geq \mathcal{R}^\infty(G) \geq N_0$, where $\mathcal{R}^\infty(G)$ is any subsequential limit of the iterates $\mathcal{R}^j(G)$, $j = 1, 2, \dots$.

Lemma 3.3. *Consider the radius functions P and N defined above. Write $\mathcal{R}^i(P)$ for $\mathcal{R}_{v_i}(P)$, $\mathcal{R}^2(P)$ for $\mathcal{R}_{v_j} \circ \mathcal{R}_{v_i}(P)$, and so on. Let $P_0 = \inf_j \{\mathcal{R}^j(P)\}$ and $N_0 = \sup_j \{\mathcal{R}^j(N)\}$. Then both P_0 and N_0 have zero curvature at each interior vertex of T .*

Proof. Given a vertex v and radii r_1, r_2, \dots, r_m at each vertex in the star of v , there is unique radius r at v which will make this configuration have curvature 0 at v ; furthermore $r = r(r_1, r_2, \dots, r_m)$ is a continuous function.

Now let r denote the radius at the vertex v in the radius function P_0 . Let r_1, r_2, \dots, r_m denote the radii in P_0 at the vertices in the star of v . Let r^j denote the radius at the vertex v in the radius function $\mathcal{R}^j(P)$. Let $r^{j_1}, r^{j_2}, \dots, r^{j_m}$ denote the radii in $\mathcal{R}^j(P)$ at the vertices in the star of v .

If, for example, P_0 has strictly positive curvature at v then $\mathcal{R}_v(P_0)$ changes the radius r at v to r' , where $r' = r - \epsilon$ for some $\epsilon > 0$. Choose $\delta > 0$ such that if $\|(\rho_1, \rho_2, \dots, \rho_m) - (\rho'_1, \rho'_2, \dots, \rho'_m)\| < \delta$ then $|r(\rho_1, \rho_2, \dots, \rho_m) - r(\rho'_1, \rho'_2, \dots, \rho'_m)| < \epsilon/2$. Choose $M > 0$ such that for all $j > M$,

$$\|(r^{j_1}, r^{j_2}, \dots, r^{j_m}) - (r_1, r_2, \dots, r_m)\| < \delta.$$

Then there exists a positive integer d such that $|r^{j+d} - r'| < \epsilon/2$, where d is chosen such that $\mathcal{R}^{M+d}(P)$ relaxes at v . Thus $\{r^{j_i}\}$ converges to some number less than $r' + \epsilon/2 < r$. This contradicts the fact that $r = \inf_j \{r^j\}$.

At this point we know that

$$\inf_j \{\mathcal{R}^j(P)\} = P_0 \geq \mathcal{R}^\infty(G) \geq N_0 = \sup_j \{\mathcal{R}^j(N)\}$$

where $\mathcal{R}^\infty(G)$ is any subsequential limit of the iterates $\mathcal{R}^j(G)$, $j = 1, 2, \dots$, of the initial radius function G , both P_0 and N_0 have zero curvature at the interior vertices of T , and P_0 coincides with N_0 on the border vertices of T .

Lemma 3.4. $P_0 = N_0$.

Proof. This proof was suggested to us by Carl FitzGerald. Suppose $P_0 \neq N_0$; that is, $P_0(v_j) \neq N_0(v_j)$ at some interior vertex $v_j \in T$. Let $P_0(v_\alpha)/N_0(v_\alpha) > 1$ maximize $P_0(v_i)/N_0(v_i)$ over all vertices $v_i \in T$. Then at each neighboring vertex v_j of v_α we must have $P_0(v_j)/N_0(v_j) = P_0(v_\alpha)/N_0(v_\alpha)$, for otherwise

$P_0(v_j)/N_0(v_j) < P_0(v_\alpha)/N_0(v_\alpha)$ and then either P_0 or N_0 would not have zero curvature at the interior vertex v_α . Repeating this argument on a chain of vertices to the border of T yields $P_0(v_k) > N_0(v_k)$ at some border vertex $v_k \in T$. This contradicts the fact that P_0 coincides with N_0 at the border vertices of T .

4. PROOF OF THEOREM 2

The constants $\sigma_n(q)$ were defined in (2.1) and (2.2).

Theorem 2. For fixed $q \geq 1$, $\sigma_n(q) \rightarrow 0$ as $n \rightarrow \infty$.

Proof. Let P_n be an HCP''_n configuration, that is, a circle packing immersion with the combinatorics of the HL(n) triangulation. Assume that (2.2) holds, that is, the ratio of maximum to minimum radii of the circles of generation n is bounded above by q . We may assume that the generation 0 circle has radius 1. As mentioned in §2, the associated radius function $r_n: \text{HL}(1, n) \rightarrow \mathbb{R}_{>0}$ and its reciprocal $1/r_n: \text{HL}(1, n) \rightarrow \mathbb{R}_{>0}$ are discrete subharmonic functions.

By Theorem 3.2 of [16] there is a fundamental potential $\lambda: \text{HL}(1, \infty) \rightarrow \mathbb{R}$ which is harmonic at all lattice points except the origin and which can be normalized to satisfy $\lambda(\alpha) - \log|\alpha| \rightarrow 0$ as $\alpha \rightarrow \infty$. For n sufficiently large and $\alpha \in \text{HL}(1, n)$ we have

$$(4.1) \quad r_n(\alpha) \leq \frac{q - 1}{\min_n \lambda - \lambda(0)} (\lambda(\alpha) - \lambda(0)) + 1;$$

indeed, the right side is harmonic on $\text{HL}(1, n) - \{0\}$, is equal to 1 at $\alpha = 0$, and its minimum over all α of generation n is q .

The function $1/r_n$ is a discrete subharmonic function on $\text{HL}(1, n)$, $1/r_n(0) = 1$, and $1/r_n$ is bounded above by q . Hence

$$(4.2) \quad \frac{1}{r_n(\alpha)} \leq \frac{q - 1}{\min_n \lambda - \lambda(0)} (\lambda(\alpha) - \lambda(0)) + 1.$$

From (4.1), (4.2) we obtain, for α fixed and $n \rightarrow \infty$,

$$(4.3) \quad 1 + o(1) \leq r_n(\alpha) \leq 1 + o(1)$$

where the terms $o(1)$ depend only on n , α , and q , and not on the particular radius function r_n . From (4.3) we see that

$$\frac{\max_1 r_n}{\min_1 r_n} \leq 1 + o(1)$$

which proves that $\sigma_n(q) \leq (q - 1)O(1/\log n)$.

5. PROOF OF THEOREM 3

Theorem 3. Let Ω be a bounded, simply-connected region and let ρ be a positive continuous function on $\partial\Omega$. As above, consider the circle packing immersion P_ε with boundary radii $\beta \approx \varepsilon\rho$, the associated piecewise linear immersion \tilde{P}_ε and the piecewise linear radius function \tilde{r}_ε . Let $q = \max \rho / \min \rho$. Let $K \subset\subset \Omega$ and $N = [\text{dist}(K, \partial\Omega)/2\varepsilon]$. Let K'_ε denote K with the edges of T_ε removed. On K'_ε the complex derivatives of \tilde{P}_ε exist and satisfy

$$\left| \frac{\partial \tilde{P}_\varepsilon}{\partial z} \right| = \frac{\tilde{r}_\varepsilon}{\varepsilon} + O(\sigma_N(q)), \quad \left| \frac{\partial \tilde{P}_\varepsilon}{\partial \bar{z}} \right| = O(\sqrt{\sigma_N(q)}).$$

Proof. By Lemma 6.4 of [16] we find

$$\begin{aligned}
 2\varepsilon^2 \left| \frac{\partial \tilde{P}_\varepsilon}{\partial z} \right|^2 &= \frac{1}{12} [(R_1 + R_2)^2 + (R_2 + R_3)^2 + (R_3 + R_1)^2] \\
 &\quad + \frac{1}{\sqrt{3}} [R_1 R_2 R_3 (R_1 + R_2 + R_3)]^{1/2} \\
 (5.1) \quad 2\varepsilon^2 \left| \frac{\partial \tilde{P}_\varepsilon}{\partial \bar{z}} \right|^2 &= \frac{1}{12} [(R_1 + R_2)^2 + (R_2 + R_3)^2 + (R_3 + R_1)^2] \\
 &\quad - \frac{1}{\sqrt{3}} [R_1 R_2 R_3 (R_1 + R_2 + R_3)]^{1/2}
 \end{aligned}$$

where R_1, R_2, R_3 are the radii of three mutually tangent circles in the circle packing P_ε and where the left hand sides are evaluated at a point inside the equilateral triangle formed by the preimages of the three circles. If this point lies in the fixed compact subset K of Ω , then each of R_1, R_2, R_3 is the generation zero circle of a circle packing immersion of $N = [\text{dist}(K, \partial\Omega)/2\varepsilon]$ generations. On the N th generation of this subpacking the maximum and minimum radii have a ratio which cannot exceed q , the ratio on the boundary. By the definition of $\sigma_n(q)$ we have $R_2 = R_1(1 + O(\sigma_N(q)))$, $R_3 = R_1(1 + O(\sigma_N(q)))$, where $O(\sigma_N(q))/\sigma_N(q)$ is bounded (here, in fact, by 1) as $N \rightarrow \infty$.

By Theorem 2, $\sigma_n(q) \rightarrow 0$ as $n \rightarrow \infty$. Therefore, equations (5.1) simplify to

$$(5.2) \quad 2\varepsilon^2 \left| \frac{\partial \tilde{P}_\varepsilon}{\partial z} \right|^2 = R_1^2(2 + O(\sigma_N(q))), \quad 2\varepsilon^2 \left| \frac{\partial \tilde{P}_\varepsilon}{\partial \bar{z}} \right|^2 = R_1^2 O(\sigma_N(q)).$$

Now R_1 is bounded above by the maximum of the radii in the circle packing P_ε , and this maximum occurs on ∂T_ε . Thus $R_1 \leq \varepsilon \max \rho$; we write $R_1 = O(\varepsilon)$ since ρ is fixed. Also, the piecewise linear extension satisfies $\tilde{r}_\varepsilon(z) = R_1(1 + O(\sigma_N(q)))$ for z inside the preimage triangle of centers. We therefore have

$$(5.3) \quad \left| \frac{\partial \tilde{P}_\varepsilon}{\partial z} \right|^2 = \left(\frac{\tilde{r}_\varepsilon}{\varepsilon} \right)^2 + O(\sigma_N(q)), \quad \left| \frac{\partial \tilde{P}_\varepsilon}{\partial \bar{z}} \right|^2 = O(\sigma_N(q))$$

and the theorem follows.

Corollary. Let $K \subset \subset \Omega$. On K , the L^∞ norm of the dilation of \tilde{P}_ε satisfies

$$(5.4) \quad \left\| \frac{\partial \tilde{P}_\varepsilon / \partial \bar{z}}{\partial \tilde{P}_\varepsilon / \partial z} \right\|_K \rightarrow 0 \quad \text{as } \varepsilon \rightarrow 0.$$

Proof. Since $1/\tilde{r}_\varepsilon$ is discrete subharmonic on the lattice of vertices, it satisfies $\tilde{r}_\varepsilon \geq \min \beta$. Hence $\tilde{r}_\varepsilon/\varepsilon \geq \min \rho$. Therefore, by Theorem 3, the denominator in (5.4) is bounded away from zero and the numerator converges to zero.

6. PROOF OF THEOREM 4

Theorem 4. Let Ω be a bounded, simply-connected region containing fixed points z_0 and z_1 . Let ρ be a positive continuous function on $\partial\Omega$. Let F be the normalized conformal immersion determined by ρ according to Proposition 1.

As above, let P_ε be the normalized circle packing immersion with boundary radii $\beta \approx \varepsilon\rho$ and let r_ε be its radius function. Let \tilde{P}_ε and \tilde{r}_ε be the associated piecewise linear functions. Then \tilde{P}_ε converges to F uniformly on compacta of Ω . Furthermore, $\tilde{r}_\varepsilon/\varepsilon$ converges to $|F'|$ uniformly on compacta of Ω .

Proof. Recall the construction of P_ε from ρ . For $\varepsilon > 0$ sufficiently small we consider the regular hexagonal circle packing $HCP(\varepsilon, \infty)$ of the plane by circles of radius ε . Starting at the circle c_0 closest to z_0 , form all chains of circles from $HCP(\varepsilon, \infty)$ such that the six neighbors of each circle in the chain lie in Ω . Let C_ε consist of all such circles appearing in these chains, together with all circles which are neighbors of at least one of these circles. Let T_ε be the nerve of C_ε .

Associate to ρ the function $\beta \approx \varepsilon\rho$ defined on the border vertices of T_ε as follows. If v is a border vertex of T_ε then define $\beta(v) = \varepsilon\rho(\zeta_v)$, where ζ_v is a point on $\partial\Omega$ which is closest to v . Apply Theorem 1 for these boundary values to obtain a circle packing immersion P_ε . Normalize P_ε by requiring that $P_\varepsilon(v_0)$ is centered at the origin and $P_\varepsilon(v_1)$ is centered on the positive real axis, where v_0 is a vertex of T_ε closest to z_0 and v_1 is a vertex of T_ε closest to z_1 . Let r_ε be the associated radius function; that is, $r_\varepsilon(v)$ is the radius of the circle corresponding to v in the circle packing immersion P_ε . r_ε coincides with β on the border vertices of T_ε .

The circle packing immersion P_ε determines a discrete mapping of the vertices of T_ε into \mathbb{C} by mapping a vertex of T_ε to the center of the corresponding circle. The piecewise linear extension of this mapping is $\tilde{P}_\varepsilon: \Omega_\varepsilon \rightarrow \mathbb{C}$, where Ω_ε denotes the carrier of T_ε . The normalization implies that $\tilde{P}_\varepsilon(z_0) \rightarrow 0$ and $\tilde{P}_\varepsilon(z_1)$ tends to the nonnegative real axis as $\varepsilon \rightarrow 0$.

Let $q = \max \rho / \min \rho$. The complex derivatives of \tilde{P}_ε satisfy Theorem 3. Hence, since $\sigma_n(q) \rightarrow 0$ as $n \rightarrow \infty$,

$$(6.1) \quad \left| \frac{\partial \tilde{P}_\varepsilon}{\partial z} \right| - \frac{\tilde{r}_\varepsilon}{\varepsilon} \rightarrow 0, \quad \left| \frac{\partial \tilde{P}_\varepsilon}{\partial \bar{z}} \right| \rightarrow 0$$

in the L_∞ norm on compact subsets of Ω .

Let d_{\max} denote the largest distance from z_0 to $\partial\Omega$ and let $N = d_{\max}/2\varepsilon$. $|\tilde{P}_\varepsilon|$ attains its maximum on the border vertices of T_ε , so an upper bound for $\|\tilde{P}_\varepsilon\|_\Omega$ is N times the largest diameter of the circles of P_ε . Since each circle of P_ε has radius at most $M\varepsilon$ where $M = \max \rho$, we obtain $\|\tilde{P}_\varepsilon\|_\Omega \leq Md_{\max}$.

A family of K -quasiconformal immersions is uniformly equicontinuous. The family $\{\tilde{P}_\varepsilon\}$ is quasiconformal on compacta (see the corollary to Theorem 3) and is also uniformly bounded. Hence it is normal. By taking a convergent subsequence if necessary, consider $\tilde{P}_\varepsilon \rightarrow G$. It follows from (6.1) that G is holomorphic on the interior of Ω and $\tilde{r}_\varepsilon/\varepsilon \rightarrow |G'|$ almost everywhere in Ω . This can be seen, for example, by first writing $\tilde{P}_\varepsilon = Q_\varepsilon \circ \varphi_\varepsilon$ with φ_ε a quasiconformal homeomorphism and Q_ε a conformal immersion. By passing to another subsequence if necessary, we can assume $Q_\varepsilon \rightarrow Q$, $\varphi_\varepsilon \rightarrow \varphi$, and $G = Q \circ \varphi$. That G has complex dilation zero almost everywhere then follows from (6.1) and [6]; see also [13, p. 187, Theorem 5.2]. From (6.1) and [13, p. 216, Theorem 5.3] it then follows that $\tilde{r}_\varepsilon/\varepsilon \rightarrow |G'|$ in L_p for some $p > 2$ and hence, by passing to a subsequence if necessary, almost everywhere in Ω .

{One can also arrive at these conclusions by using (6.1) and its implication that $\partial \tilde{P}_\varepsilon / \partial z$ is uniformly bounded in L_∞ to prove BMO convergence of the complex derivatives of \tilde{P}_ε to those of G as in [17].}

We have $\tilde{P}_\varepsilon \rightarrow G$ uniformly on compacta of Ω and $\tilde{r}_\varepsilon / \varepsilon \rightarrow |G'|$ almost everywhere in Ω . The restriction of $\varepsilon / \tilde{r}_\varepsilon$ is a discrete subharmonic on the vertices of T_ε and is bounded above to $1 / \min \rho$ on the boundary vertices of T_ε . By the maximum principle $\varepsilon / \tilde{r}_\varepsilon \leq 1 / \min \rho$ on all of the vertices of T_ε and hence $\tilde{r}_\varepsilon / \varepsilon \geq \min \rho$. Since $\tilde{r}_\varepsilon / \varepsilon$ remains bounded away from zero on Ω_ε , G is nonconstant and conformal.

Let us show that the almost everywhere convergence of $\tilde{r}_\varepsilon / \varepsilon$ is actually uniform on compacta. A winding number argument shows that the uniform convergence on compacta $\tilde{P}_\varepsilon \rightarrow G$ implies that each point in Ω has a neighborhood in which G and \tilde{P}_ε are schlicht for all sufficiently small ε . If we restrict ourselves to this neighborhood we are dealing with a sequence of circle packing embeddings $\text{HCP}'(\varepsilon, [\delta/2\varepsilon])$ for some fixed $\delta > 0$, which converge to a conformal embedding G . By the result of He [10] (combine He's result with [17, Theorems 5, 8]), $|G'|$ is the limit uniformly on compacta of $\tilde{r}_\varepsilon / \varepsilon$.

We now know $\tilde{P}_\varepsilon \rightarrow G$ and $\tilde{r}_\varepsilon / \varepsilon \rightarrow |G'|$; in both cases the convergence is uniform on compacta of Ω . We wish to show that $|G'|$ extends continuously to $\partial\Omega$ and takes the value ρ there. Let $b_\varepsilon : \text{HL}_\varepsilon \rightarrow \mathbb{R}$ be the discrete harmonic function on the vertices of T_ε with boundary values obtained from ρ at a nearest point on $\partial\Omega$. Let \tilde{b}_ε be the piecewise linear extension of b_ε . Let $b : \text{Cl}(\Omega) \rightarrow \mathbb{R}$ be the classical solution to the Dirichlet problem for Ω with boundary values ρ . Then $\tilde{b}_\varepsilon \rightarrow b$ uniformly on compacta of Ω (see the footnote to Theorem 4, §2). For $z \in \Omega_\varepsilon$ we have

$$(6.2) \quad \tilde{r}_\varepsilon(z) / \varepsilon \leq \tilde{b}_\varepsilon(z)$$

since the discrete restriction of the left-hand term is discrete subharmonic on the vertices of T_ε and equal to the right side on the boundary vertices of T_ε . Let $\varepsilon \rightarrow 0$ and obtain $|G'(z)| \leq b(z)$. For $\zeta \in \partial\Omega$ we may conclude $\limsup_{z \rightarrow \zeta} |G'(z)| \leq \rho(\zeta)$.

Let $c_\varepsilon : T_\varepsilon \rightarrow \mathbb{R}$ be the discrete harmonic function with boundary values obtained from $1/\rho$ at a nearest point on $\partial\Omega$. Let \tilde{c}_ε be the piecewise linear extension of c_ε . Let $c : \text{Cl}(\Omega) \rightarrow \mathbb{R}$ be the classical solution to the Dirichlet problem for Ω with boundary values $1/\rho$. Then $\tilde{c}_\varepsilon \rightarrow c$ uniformly on compacta of Ω (see pp. 281–282 of [3]). For $z \in \Omega_\varepsilon$ we have

$$(6.3) \quad \varepsilon / \tilde{r}_\varepsilon(z) \leq \tilde{c}_\varepsilon(z)$$

because the restriction of $\varepsilon / \tilde{r}_\varepsilon(z)$ is discrete subharmonic on the vertices of T_ε and equal to $\tilde{c}_\varepsilon(z)$ on the boundary vertices. This implies $1 / |G'(z)| \leq c(z)$. For $\zeta \in \partial\Omega$ we may conclude

$$\limsup_{z \rightarrow \zeta} \frac{1}{|G'(z)|} \leq c(\zeta) = \frac{1}{\rho(\zeta)}$$

and hence $\rho(\zeta) \leq \liminf_{z \rightarrow \zeta} |G'(z)|$. This proves

$$(6.4) \quad \lim_{z \rightarrow \zeta} |G'(z)| = \rho(\zeta).$$

Thus the conformal immersions F and G have the same derivative modulus on $\partial\Omega$. The normalization that z_0 is sent to 0 and z_1 to the positive real axis implies $G \equiv F$. This completes the proof of Theorem 4.

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DEPARTMENT OF MATHEMATICS, HUMBOLDT STATE UNIVERSITY, ARCATA, CALIFORNIA 95521

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF CALIFORNIA, SAN DIEGO, LA JOLLA, CALIFORNIA 92093

E-mail address: brodin@ucsd.edu