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# SYMPLECTIC GROUP LATTICES

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ABSTRACT. Let p be an odd prime. It is known that the symplectic group  $Sp_{2n}(p)$  has two (algebraically conjugate) irreducible representations of degree  $(p^n+1)/2$  realized over  $\mathbb{Q}(\sqrt{\epsilon p})$ , where  $\epsilon = (-1)^{(p-1)/2}$ . We study the integral lattices related to these representations for the case  $p^n \equiv 1 \mod 4$ . (The case  $p^n \equiv 3 \mod 4$  has been considered in a previous paper.) We show that the class of invariant lattices contains either unimodular or p-modular lattices. These lattices are explicitly constructed and classified. Gram matrices of the lattices are given, using a discrete analogue of Maslov index.

### 1. INTRODUCTION

Let p be an odd prime, and set  $S_n = Sp_{2n}(p)$  for the symplectic group of degree 2n over  $\mathbb{F}_p$ . Euclidean integral lattices in the space of the Weil representation of  $S_n$  have been investigated by several authors (see for instance [BaV], [Dum], [Gow], [Gro], [Tiep 1], [Tiep 2]). The Weil representation  $\mathcal{W}$  of  $S_n$  is a complex representation of degree  $p^n$  that can be obtained from the action of  $S_n$  on the extraspecial group  $p_1^{1+2n}$  (as the outer automorphism group). See, for example, [Isa], [Sei], or [Ward 1] for a more general approach.  $\mathcal{W}$  is a sum of two irreducible representations of degrees  $(p^n - 1)/2$  and  $(p^n + 1)/2$ . (These two characters seem to have been first investigated in [BRW].) One of these representations, which we shall denote by  $\mathcal{W}_1$ , is faithful and has even degree, and the kernel of the other representation,  $\mathcal{W}_2$ , is the center  $Z = C_2$  of  $S_n$ . Following [Gow], we shall refer to  $\mathcal{W}_1$  and  $\mathcal{W}_2$  as *Weil representations*. Weil representations have been characterized in several ways in [TZa 1], [TZa 2].

Set  $\epsilon = (-1)^{(p-1)/2}$  and suppose that  $(\dim \mathcal{W}_i, \epsilon) \neq (\frac{p^n-1}{2}, 1)$ . It is shown in [Gro] that, under this assumption, the character  $\psi_i$  of the representation  $\mathcal{W}_i$ generates the field  $\mathbb{Q}(\sqrt{\epsilon p})$  over the rational field  $\mathbb{Q}$ , and has Schur index 1 over  $\mathbb{Q}$ . Hence, there exist an extension  $G_n$  of  $S_n$  and an absolutely irreducible  $\mathbb{Q}G_n$ module V affording the  $S_n$ -character  $\psi_i + \overline{\psi}_i$ , where the bar denotes the algebraic conjugation of the field  $\mathbb{Q}(\sqrt{\epsilon p})$ . The group  $G_n$  can be chosen as a homomorphic

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image of the conformal symplectic group

$$G_n = CSp_{2n}(p) = \{ \varphi \in GL(W) | \quad \exists \kappa \in \mathbb{F}_p^{\bullet}, \forall u, v \in W, \\ \langle \varphi(u), \varphi(v) \rangle = \kappa \langle u, v \rangle \},$$

where W denotes a natural 2n-dimensional  $S_n$ -module over  $\mathbb{F}_p$ , with the symplectic form  $\langle \cdot, \cdot \rangle$ . In what follows we shall be concerned with the following two homomorphic images of  $G_n$ : the factor-group  $G_n^+$  of  $G_n$  by its center  $C_{p-1}$  (consisting of the scalar matrices  $\lambda E_{2n}, \lambda \in \mathbb{F}_p^{\bullet}$ ), and  $G_n^-$ , the factor-group of  $G_n$  by the central group  $C_{(p-1)/2}$  (consisting of scalar matrices  $\lambda E_{2n}, \lambda \in \mathbb{F}_p^{\bullet 2}$ ). Throughout the paper,  $C_m$ denotes the cyclic group of order m, and  $E_m$  denotes the identity matrix of order m (over any field).

The lattices for the Weil representations of degree  $\psi(1) = (p^n - 1)/2$  have been investigated in [Gow] and [Gro]. Recall that in this case  $p \equiv 3 \mod 4$ , according to our general assumption; see [Tiep 2] for the excluded case. If n is even, then every  $\mathbb{Z}S_n$ -lattice in V is even unimodular. If n is odd, V contains p-modular invariant lattices. Recall that an integral lattice  $\Lambda$  is said to be p-modular (or modular of level p) if the lattices  ${}^{p}\Lambda^{\#}$  (the dual lattice  $\Lambda^{\#}$  rescaled by the scalar p) and  $\Lambda$ are isometric. p-modular lattices have been introduced and investigated in [CoS 1] and [Que]. In either of these cases, the corresponding representations are globally irreducible in the sense of Gross [Gro]. Some of the corresponding lattices have been realized as sublattices of the Mordell-Weil lattices of certain elliptic curves (cf. [Dum] and [Gro]).

The Weil representations of degree  $\psi(1) = (p^n + 1)/2$  are the subject of our present work, begun in [SchT] and continued in this paper. Here the corresponding representation cannot be globally irreducible anymore; namely,  $\psi \mod 2 = 1_S + \eta$  for some  $\eta \in \operatorname{IBr}_2(S)$ . In [SchT], the case  $p^n \equiv 3 \mod 4$  has been treated. The existence of unimodular  $\mathbb{Z}G$ -lattices in V has been established, where  $G = G_n^- \simeq S_n \cdot C_2$ . All  $\mathbb{Z}G$ -lattices contained in V have been classified.

In this paper, we are concerned with the case  $p^n \equiv 1 \mod 4$ . Then  $\mathcal{W}_2$  viewed over  $\mathbb{Q}$  is in fact a faithful representation of  $PSp_{2n}(p)$  of degree  $p^n + 1$ . Moreover, if  $p \equiv 3 \mod 4$ , this representation can be extended (in a unique way) to a rational representation of  $G_n^+$ . If  $p \equiv 1 \mod 4$ , it can be extended to a rational representation for *each* of the two groups  $G_n^+$  and  $G_n^-$  (cf. Proposition 2.3). The reason is that when  $p \equiv 1 \mod 4$  the two groups  $C_2 \times G_n^+$  and  $G_n^-$  are *isoclinic* to each other. For more detail on isoclinic groups see [Atlas] and [Tiep 2], Lemma 2.11. When  $p \equiv 3 \mod 4$ , it follows from this lemma that the rational representation of  $S_n$  of degree  $p^n + 1$  is extendible to a rational faithful representation of  $G_n^+$  if n is even, and of  $G_n^-$  if n is odd, but not for its isoclinic variant.

From now on we keep the following notation:  $S_n = Sp_{2n}(p)$ ,  $G_n = CSp_{2n}(p)$ ,  $Z \cong C_{p-1}$  the center of  $G_n^+$ ,  $G_n^+ = G_n/Z$ ,  $G_n^- = G_n/Z^2$ ,  $\theta$  a fixed generating element of  $\mathbb{F}_p^{\bullet}$ . Clearly,  $G_n$  is generated by  $S_n$  and an element  $\vartheta_n$  with matrix diag $(E_n, \theta E_n)$  in a fixed symplectic basis  $(e_1, \ldots, e_n, f_1, \ldots, f_n)$  of the natural  $S_n$ module  $W = W_n = \mathbb{F}_p^{2n}$  (that is, a basis in which the symplectic form  $\langle \cdot, \cdot \rangle$  is given as follows:  $\langle e_i, e_j \rangle = 0$ ,  $\langle f_i, f_j \rangle = 0$ ,  $\langle e_i, f_j \rangle = \delta_{i,j}$ ).  $V = V_n$  denotes an irreducible  $\mathbb{Q}G_n$ -module with character  $\chi$  such that  $\chi|_{S_n} = \psi + \overline{\psi}$ . Furthermore, either Ker  $\chi = Z$  (and then  $V_n$  is a faithful  $G_n^+$ -module), or  $p \equiv 1 \mod 4$  and Ker  $\chi = Z^2$  (and then  $V_n$  is a faithful  $G_n^-$ -module). Under these assumptions  $\chi$ exists and is unique by Proposition 2.3. It is clear that there exists a unique (up to scalar)  $G_n$ -invariant positive definite symmetric bilinear form  $(\cdot, \cdot)$  on  $V_n$ . Our first main result is the following theorem which includes Theorem 1.1 of [SchT]:

**Theorem 1.1.** Let p be an odd prime. If  $p \equiv 3 \mod 4$ , then suppose in addition that n is odd. Then  $V_n$  contains  $G_n^-$ -invariant odd unimodular Euclidean lattices (of rank  $p^n + 1$ ). If n > 1, these lattices have no roots.

Actually, we provide an explicit construction of a  $G_n^-$ -stable odd unimodular lattice  $\Delta = \Delta(p, n)$  contained in  $V_n$  (cf. Theorem 3.9 and Corollary 5.4) for n odd, and a  $G_n^-$ -stable odd unimodular lattice  $\Delta^-(p, n)$  for the case where n is even and  $p \equiv 1 \mod 4$  (cf. Corollary 5.9). In the case  $p \equiv 3 \mod 4$  this is just the construction exposed in [SchT]. The cases  $p^n = 27$  and  $p^n = 25$  have been considered by R. Bacher and B. B. Venkov [BaV], and G. Nebe, respectively. The corresponding lattices have minimum 3. In general, Theorem 7.1 yields min  $\Delta(p, n) \ge (p+1)/2$ for all  $n \ge 3$ .

Our next results are concerned with *p*-modular lattices.

**Theorem 1.2.** Let p be any odd prime. If  $p \equiv 3 \mod 4$ , then suppose in addition that n is even. Then  $V_n$  contains  $G_n^+$ -invariant p-modular Euclidean lattices (of rank  $p^n + 1$ ).

Again, we provide an explicit construction of a  $G_n^+$ -stable *p*-modular lattice  $\Delta = \Delta^-(p, n)$  if *n* is odd and  $p \equiv 1 \mod 4$  (cf. Corollary 5.10), respectively  $\Delta = \Delta(p, n)$  if *n* is even (cf. Theorem 4.4 and Corollary 5.6). This result generalizes Theorem (V.2) of [NPI] dealing with the case n = 1 and  $p \equiv 1 \mod 4$ . The case n = 2 and p = 5 has been considered by Nebe; the corresponding 5-modular lattice ( $\Delta(5, 2)$  in our notation) has minimum 5. As before, Theorem 7.1 yields min  $\Delta(p, n) \ge (p+1)/2$  for all  $n \ge 2$ .

Gram matrices of the lattices  $\Delta(p, n)$ ,  $\Delta^{-}(p, n)$  are given in §5 using a discrete analogue of *Maslov index*. In a few words, our explicit constructions can be described as follows. First we start with the case n is odd and the group  $G_n^-$ . Using *Lagrangians*, an idea going back to [BaV] (cf. §3) and Maslov index (cf. §5), we explicitly construct the unimodular lattices  $\Delta(p, n)$  (for any odd prime p). Then *descending* from n + 1 to n (cf. §4), we obtain the p-modular lattices  $\Delta(p, n)$  which are stable under  $G_n^+$ , for any even n and any odd prime p. Finally, let  $p \equiv 1 \mod 4$ . Then the isoclinism between  $C_2 \times G_n^+$  and  $G_n^-$  and Proposition 2.4 allow us to construct the lattices  $\Delta^-(p, n)$ , for any n.

We wish to point out that V cannot contain simultaneously invariant unimodular and p-modular lattices. Namely, the unimodular lattices are acted on faithfully by  $G_n^-$ , and the p-modular ones by  $G_n^+$ . Moreover, the invariant unimodular (pmodular) lattices are essentially unique (if they exist). More precisely, the classification of  $G_n$ -invariant lattices in  $V_n$  is provided by the following theorem. Given a lattice  $\Gamma$ , let  $\Gamma^{\#}$  denote the dual lattice and  $\Gamma^0$  denote the sublattice consisting of all vectors of even norm in  $\Gamma$ ; furthermore,  $\Gamma^1 = \Gamma \cap 2(\Gamma^0)^{\#}$ . Two integral lattices  $(\Gamma, (\cdot, \cdot))$  and  $(\Gamma', (\cdot, \cdot)')$  are called *similar* if there exist a surjective homomorphism  $\phi: \Gamma \to \Gamma'$  and a scalar  $\lambda \in \mathbb{Q}$  such that  $(\phi(u), \phi(v))' = \lambda(u, v)$  for any  $u, v \in \Gamma$ .

**Theorem 1.3.** Let p be any odd prime and n any integer. Suppose that  $G_n$  acts irreducibly on an integral lattice  $\Gamma$  of rank  $p^n + 1$ , with kernel K. If  $p^n = 3$  or  $p^n = p \equiv 1 \mod 6$ , then suppose in addition that  $S_n$  acts reducibly on  $\Gamma \otimes \mathbb{C}$ . Then one of the following holds.

(i)  $G_n/K$  is equal to  $G_n^-$  for odd n, and  $G_n^+$  for even n. Furthermore,  $\Gamma$  is similar to one of the lattices  $\Delta$ ,  $\Delta^0$ ,  $\Delta^1$ , where  $\Delta = \Delta(p, n)$ .

(ii)  $p \equiv 1 \mod 4$ , and  $G_n/K$  is equal to  $G_n^+$  for odd n, and  $G_n^-$  for even n. Furthermore,  $\Gamma$  is similar to one of the lattices  $\Delta$ ,  $\Delta^0$ ,  $\Delta^1$ , where  $\Delta = \Delta^-(p, n)$ .

The  $GL_2(p)$ -invariant (p+1)-dimensional lattices which are not covered by Theorem 1.3 (here p = 3 or  $p \equiv 1 \mod 6$ ) have been investigated in [NPI], Theorem (V.4).

The full automorphism groups of all  $G_n$ -invariant lattices  $\Lambda$  in  $V_n$  have been determined in [Tiep 1]. In particular, if n > 1, then either  $\operatorname{Aut}(\Lambda) \in \{C_2 \times G_n^+, G_n^-\}$ , or p = 3 and  $\operatorname{Aut}(\Lambda) = (C_6 \cdot PSp_{2n}(3)) \cdot C_2$ .

## 2. Implicit proofs

We recall the notations  $S_n$ ,  $\psi$  of degree  $(p^n + 1)/2$ ,  $G_n$ , Z,  $G_n^+$ ,  $G_n^-$ . We start with the following simple observation:

**Lemma 2.1.** Let p be any odd prime and n any integer. Suppose  $\chi$  is an irreducible complex character of  $G_n$  of degree  $p^n + 1$  with the following properties:

(i)  $\chi$  restricted to  $S_n$  is equal to  $\psi + \overline{\psi}$ ;

(ii)  $\chi$  is rational-valued.

Then one of the following holds.

(a)  $\chi$  is a faithful character, say  $\chi^+$ , of  $G_n^+$ ; furthermore, n is even if  $p \equiv 3 \mod 4$ .

(b)  $\chi$  is a faithful character, say  $\chi^-$ , of  $G_n^-$ ; furthermore, n is odd if  $p \equiv 3 \mod 4$ .

Proof. Let  $K = \text{Ker } \chi$ . Schur's Lemma and (ii) imply that  $Z/(Z \cap K)$  is a cyclic group of order at most 2. In particular, either K = Z, or  $K = Z^2$ . Observe that  $G_n$  permutes the two characters  $\psi$  and  $\overline{\psi}$  of  $S_n$  nontrivially. Denote  $\overline{G} = G_n/K$ ,  $\overline{S} = S_n/(S_n \cap K)$ .

First consider the case K = Z. Since  $K \subseteq \text{Ker } \psi$ , the degree  $\psi(1)$  is odd, i.e.  $p^n \equiv 1 \mod 4$ . Here we have  $\bar{G} = G_n^+, \bar{S} = PSp_{2n}(p)$ , and  $\bar{G} = \bar{S} \cdot C_2$ . Clearly, the desired character  $\chi$  is now uniquely determined:  $\chi = \text{Ind}_{\bar{S}}^{\bar{G}}(\psi)$ .

Next let  $K = Z^2$ . If  $p \equiv 1 \mod 4$ , then  $\overline{G} = (\overline{S} \times Z/K) \cdot C_2$ . If  $p \equiv 3 \mod 4$ , then in view of (i) n must be odd, and  $\overline{G} = \overline{S} \cdot C_2$ . Now the desired character  $\chi$  exists and is unique:  $\chi = \operatorname{Ind}_{\overline{S}}^{\overline{G}}(\psi)$  if  $p \equiv 3 \mod 4$ , and  $\chi = \operatorname{Ind}_{\overline{S} \times Z/K}^{\overline{G}}(\widetilde{\psi})$  if  $p \equiv 1 \mod 4$ (where  $\widetilde{\psi}$  is equal to  $\psi$  on  $\overline{S}$  and to  $-\psi(1)$  on the unique nontrivial element of Z/K). Clearly,  $\mathbb{Q}(\chi) = \mathbb{Q}$ .

As we have mentioned above,  $C_2 \times G_n^+$  and  $G_n^-$  are isoclinic to each other if  $p \equiv 1 \mod 4$ . In this case,  $\operatorname{ind}(\psi) = 1$  (cf. [Gro], Corollary 13.7); hence by Lemma 2.11 of [Tiep 2]  $\operatorname{ind}(\chi^+) = \operatorname{ind}(\chi^-) = 1$ . If  $p \equiv 3 \mod 4$  and n is odd, then  $\operatorname{ind}(\psi) = 0$ , and  $\operatorname{ind}(\chi^-) = 1$  (see [SchT] or Proposition 2.3 below). Hence by Lemma 2.11 of [Tiep 2], the corresponding character of degree  $p^n + 1$  of the isoclinic variant of  $G_n^-$  (which now is not isomorphic to  $C_2 \times G_n^+$ ) has Schur-Frobenius indicator -1 and so cannot be written over  $\mathbb{R}$ . Similarly, if  $p \equiv 3 \mod 4$ , then  $\operatorname{ind}(\psi) = 0$ ,  $\operatorname{ind}(\chi^+) = 1$ , but the corresponding character of degree  $p^n + 1$  of the isoclinic variant of  $C_2 \times G_n^+$  (which is no longer  $G_n^-$ ) cannot be written over  $\mathbb{R}$ .

The next proposition is an analogue of [SchT], Lemma 5.1.

**Proposition 2.2.** Let p be an odd prime and n any integer. Let r be any prime and let  $\chi$  be as in Lemma 2.1. Then the following assertions hold.

(i) The reduction  $\chi \mod r$  is irreducible if  $r \neq 2, p$ .

(ii)  $\chi \mod 2 = 2 \cdot 1_{G_n} + \beta$  for a certain  $\beta \in \operatorname{IBr}_2(G_n)$ . Furthermore,  $\beta$  is of symplectic type, if  $p \equiv 1 \mod 4$ .

(iii)  $\chi \mod p = \eta_1 + \eta_2$ , where  $\eta_1, \eta_2 \in \operatorname{IBr}_p(G_n)$  are distinct characters which can be written over  $\mathbb{F}_p$ . Furthermore, for  $k = 1, 2 \eta_k$  is not self-dual if  $\chi = \chi^-$ , and  $\eta_k$  is of type + if  $\chi = \chi^+$ .

*Proof.* The case  $p^n \equiv 3 \mod 4$  has already been handled in [SchT] (cf. the proof of Theorem 1.1 and Lemma 5.1 therein). Hence in what follows we suppose that  $p^n \equiv 1 \mod 4$ .

1) It is well-known (see e.g. [Gow], [Gro]) that  $\psi \mod r \in \operatorname{IBr}_r(S_n)$  for any odd prime r. Furthermore,  $\psi \mod 2 = 1_{S_n} + \alpha$  for some  $\alpha \in \operatorname{IBr}_2(S_n)$ . If x is a regular unipotent element of  $S_n$ , then  $\psi(x) = (1 \pm p^{n-1}\sqrt{\epsilon p})/2$ . Furthermore,  $\vartheta_n$  interchanges the  $S_n$ -conjugacy classes of x and some power of  $x^s$  and  $\psi(x^s) = (1 \pm p^{n-1}\sqrt{\epsilon p})/2$ . Therefore,  $\chi \mod r \in \operatorname{IBr}_r(G_n)$  for any prime  $r, r \neq 2, p$ . On the other hand,  $\chi \mod 2 = 2 \cdot 1_{G_n} + \beta$  for some  $\beta \in \operatorname{IBr}_2(G_n)$ . If  $p \equiv 1 \mod 4$ , then the fact that  $\alpha$  is of symplectic type has been established in [GoW]. From this it follows by [Tiep 2], Lemma 2.4 that  $\beta$  is of symplectic type.

2) Consider the reduction  $\chi \mod p$ . Recall that  $\chi|_{S_n} = \psi + \psi$ . It is shown in [Gro] that  $\psi \mod p = \overline{\psi} \mod p = \eta$  is obtained by restricting the irreducible algebraic representation of  $Sp_{2n}(\overline{\mathbb{F}}_p)$  with highest weight  $\frac{p-1}{2}\omega_n$  to  $S_n$ . Furthermore, due to Lemma 2.6 [Tiep 3],  $\eta$  is invariant under the action of the distinguished element  $\vartheta_n$ . Therefore,  $G_n$  has just two irreducible Brauer characters  $\eta_1$ ,  $\eta_2$  with  $\eta_k|_{S_n} = \eta$  and  $\eta_1 + \eta_2 = 0$  on  $G_n \setminus S_n$ . In this case,  $\chi \mod p = \eta_1 + \eta_2$ , since  $\chi = 0$  on  $G_n \setminus S_n$ .

3) We can embed  $S_n$  into  $T = Sp_{2n}(p^2)$  in the following way. In a natural 2*n*-dimensional  $\mathbb{F}_{p^2}$ -module  $\widetilde{W}$  of T consider a symplectic basis

$$(e_1,\ldots,e_n,f_1,\ldots,f_n).$$

In this basis we can set  $W = \langle e_1, \ldots, e_n, f_1, \ldots, f_n \rangle_{\mathbb{F}_p}$ ,  $J = \operatorname{diag}(\varsigma^{-1}E_n, \varsigma E_n)$ . Here  $\varsigma \in \mathbb{F}_{p^2}$  is chosen with order 2(p-1) such that  $\theta = \varsigma^2$ . Now we set  $S_n = T \cap \operatorname{End}(W) \simeq Sp_{2n}(p)$ ,  $H = \langle S_n, J \rangle$ . Then  $J^2 \in S_n$  and J normalizes  $S_n$ ; therefore  $H \simeq S_n \cdot C_2$ . Furthermore,  $H/Z(S_n) \simeq G_n^+$ .

Assume  $p \equiv 1 \mod 4$ . Factoring the embedding  $S \hookrightarrow Sp_{2n}(\mathbb{F}_p)$  through  $T = Sp_{2n}(p^2)$ , one sees that  $\eta$  is extended to two absolutely irreducible Brauer characters  $\mu_1, \mu_2$  of H. We calculate the value of  $\mu_1, \mu_2$  at the element J. If one denotes  $\sigma = \exp(\frac{\pi i}{p-1})$ , then  $\mu_1(J) = \sum_{u \in I_n^+} \sigma^{|u|}$ , since n(p-1)/2 is even in our case. Here

$$I_n^+ = \left\{ u = (u_1, \dots, u_n) \mid u_j \in \mathbb{Z}, |u_j| \le (p-1)/2, \sum_{j=1}^n u_j \equiv 0 \mod 2 \right\},$$
$$I_n^- = \left\{ u = (u_1, \dots, u_n) \mid u_j \in \mathbb{Z}, |u_j| \le (p-1)/2, \sum_{j=1}^n u_j \equiv 1 \mod 2 \right\},$$
$$S_n^+ = \sum_{u \in I_n^+} \sigma^{|u|}, \ S_n^- = \sum_{u \in I_n^-} \sigma^{|u|},$$

and  $|u| = \sum_j u_j$  for  $u \in I_n^{\pm}$ . Denote also  $\tau = \cot(\frac{\pi}{2(p-1)})$ . Then we have

$$S_1^+ = \frac{\tau - \tau^{-1}}{2}, \ S_1^- = \frac{\tau + \tau^{-1}}{2},$$
$$S_{n+1}^+ = S_n^+ S_1^+ + S_n^- S_1^-, \ S_{n+1}^- = S_n^+ S_1^- + S_n^- S_1^+.$$

From this it follows that

$$S_n^+ = \frac{\tau^n + (-\tau)^{-n}}{2}, \ S_n^- = \frac{\tau^n - (-\tau)^{-n}}{2}$$

In particular,  $\mu_1(J) = (\tau^n + (-\tau)^{-n})/2$ . Since  $p \ge 5$ ,  $\tau > 1$ , and so  $\mu_1(J)$  is a positive real number. Moreover, the Frobenius endomorphism  $\sigma \mapsto \sigma^p$  sends  $\sigma$  to  $-\sigma$ ,  $i = \sigma^{(p-1)/2}$  to i,  $\mu_1(J)$  to  $\mu_1(J) = -\mu_2(J)$ . We have shown that  $\mu_k^{(p)} = \mu_k = \overline{\mu_k}$  for k = 1, 2.

If  $p \equiv 3 \mod 4$ , then *n* is even. Under the above notation, the computation in the proof of [SchT], Lemma 5.1 shows that  $\mu_1(J) = (\tau^n + \tau^{-n})/2$ . In particular,  $\mu_1(J)$  is again a positive real number. Moreover, the Frobenius endomorphism  $\sigma \mapsto \sigma^p$  sends  $\sigma$  to  $-\sigma$ ,  $i = \sigma^{(p-1)/2}$  to -i,  $\mu_1(J)$  to  $\mu_1(J) = -\mu_2(J)$ . Therefore,  $\mu_k^{(p)} = \mu_k = \overline{\mu_k}$  for k = 1, 2. 4) Here we consider the case  $\chi = \chi^+$ . Since Ker  $\mu_k = Z(S_n)$  and  $H/Z(S_n)$ 

4) Here we consider the case  $\chi = \chi^+$ . Since Ker  $\mu_k = Z(S_n)$  and  $H/Z(S_n) \simeq G_n^+$ , we have  $\eta_k = \mu_k$ , k = 1, 2. Thus  $\eta_k$  can be written over  $\mathbb{F}_p$ . Furthermore, since  $\eta_k$  is real-valued and  $\eta_k|_{S_n} = \eta$  is of quadratic type,  $\eta_k$  itself is of quadratic type.

5) Next let  $\chi = \chi^-$ . Then  $p \equiv 1 \mod 4$ . Consider a representation  $\Phi$ :  $H \to GL_{(p^n+1)/2}(\overline{\mathbb{F}_p})$  with Brauer character  $\mu_1$ . Put  $\omega = \varsigma^{(p-1)/2}$ , and set  $\widetilde{G} = \{\pm \Phi(g), \pm \omega \Phi(h) \mid g \in S_n, h \in H \setminus S_n\}$ . Since  $\omega^2 = -1$ ,  $\widetilde{G}$  is a group. We claim that  $\widetilde{G} \simeq \overline{G} = G_n/K = G_n^-$ , where  $K = \operatorname{Ker} \chi \simeq Z^2$ . For the proof, we first observe that  $\widetilde{G}$  is generated by the subgroup  $G' = \{\pm \Phi(g) \mid g \in S_n\}$  and the element  $\omega \Phi(J)$ . Observe that the representation  $\Phi$  is not faithful: its kernel is equal to the center  $Z(S_n)$  of  $S_n$ . But the factor-group  $H/Z(S_n) \simeq PSp_{2n}(p) \cdot C_2$  has trivial center; therefore  $\Phi(H)$  also has trivial center. In particular,  $G' \simeq S_n/Z(S_n) \times C_2$ . The subgroup  $C_2$  here is generated by  $\mathbf{j}$ , the multiplication by -1 (on the representation space of  $\Phi$ ); hence we can identify  $\mathbf{j}$  with the central element  $\theta E_{2n}$  in  $\overline{G}$ . Now one has:

$$\vartheta_n^2 = \operatorname{diag}(E_n, \theta^2 E_n) = \theta E_{2n} \cdot \operatorname{diag}(\theta^{-1} E_n, \theta E_n) = \mathbf{j}J^2,$$
$$(\omega \Phi(J))^2 = -\Phi(J^2) = \mathbf{j}\Phi(J^2).$$

Modulo  $Z(S_n) = \operatorname{Ker}(\Phi|_{S_n})$  one can identify the two elements  $\vartheta_n^2$  and  $(\omega \Phi(J))^2$ . Furthermore, the actions of  $\omega \Phi(J)$  and of  $\vartheta_n$  on  $S_n$  via conjugation are obviously the same. This means that  $\widetilde{G} \simeq \overline{G}$ .

The isomorphism  $\overline{G} \simeq \widetilde{G}$  gives us a representation  $\Psi : G_n \to GL_{(p^n+1)/2}(\overline{\mathbb{F}_p})$ with kernel K. One may suppose that this representation affords the Brauer character  $\eta_1$ . Then  $\eta_1(\vartheta_n) = \sqrt{-1}\mu_1(J)$ . The computations in item 3) show that  $\eta_1(\vartheta_n)$ is purely imaginary, and that the Frobenius endomorphism  $^{(p)}$  leaves  $\eta_1(\vartheta_n)$  fixed. Consequently, for k = 1, 2 the Brauer character  $\eta_k$  can be realized over  $\mathbb{F}_p$  but it is not real.

**Proposition 2.3.** Let p be any odd prime and n any integer. Let  $\chi$  be as in Lemma 2.1. Then  $\chi$  is afforded by a  $\mathbb{Q}G_n$ -module (of dimension  $p^n + 1$ ).

*Proof.* 1) First we give an argument settling the case where n is odd and  $\chi = \chi^-$ . Let  $q = p^n$ . Then we can identify W with  $\mathbb{F}_q^2$ , and endow W with the symplectic form  $\langle u, v \rangle = \operatorname{tr}(ad - bc)$ , where  $u = (a, b), v = (c, d), a, b, c, d \in \mathbb{F}_q$ , and tr stands for the trace form  $\operatorname{tr} : \mathbb{F}_q \to \mathbb{F}_p$ . Make the group

$$R = \{\varphi \in H = GL_2(q) \mid \det \varphi \in \mathbb{F}_p^{\bullet}\} \simeq SL_2(q) \cdot C_{p-1}$$

act on W in a natural way. Clearly, this action embeds R in  $G_n = CSp(W)$ . Let T denote the central subgroup  $\{ \operatorname{diag}(\lambda, \lambda) \mid \lambda \in \mathbb{F}_q^{\bullet 2} \} \simeq C_{(q-1)/2}$  of H. Then the assumption that n is odd implies that  $T \cap R = K$ , where  $K = \operatorname{Ker} \chi = C_{(p-1)/2}$ , and RT = H. Hence,  $\chi|_R$  can be viewed as a faithful character of  $R/K = R/(T \cap R) \simeq H/T$  and so as a character, say  $\rho$ , of H (with kernel T). Beside that, the restriction of  $\chi$  to the subgroup  $R' = SL_2(q)$  is the sum of two irreducible Weil characters of degree (q+1)/2 of R'. Inspecting the character table of H (cf. [DiM]) we see that  $\rho = \operatorname{Ind}_B^H(\mu)$ , where

$$B = \left\{ \left( \begin{array}{cc} a & b \\ 0 & d \end{array} \right) \mid a, d \in \mathbb{F}_q^{\bullet}, c \in \mathbb{F}_q \right\}$$

is a Borel subgroup of H, and the linear character  $\mu$  sends  $\begin{pmatrix} a & b \\ 0 & d \end{pmatrix}$  to  $\delta(a)$ ,  $\delta$  the quadratic character of  $\mathbb{F}_q$ . In particular,  $\rho$  is rational and absolutely irreducible. The same is true for  $\chi|_R$ . Now a standard lemma (see for instance [KoT], Lemma 8.3.1) says that  $\chi$  is also rational.

2) Now we give another argument handling the case  $p^n \equiv 1 \mod 4$ . The equality  $\mathbb{Q}(\chi) = \mathbb{Q}$  implies by the Brauer-Speiser theorem that the Schur index  $m_{\mathbb{Q}}(\chi)$  is either 1 or 2. If  $m_{\mathbb{Q}}(\chi) = 1$ , we are done. Assuming  $m_{\mathbb{Q}}(\chi) = 2$ , we get an irreducible  $\mathbb{Q}G_n$ -module V with character  $2\chi$ . Clearly, the commuting algebra  $\mathbb{K} = \operatorname{End}_{G_n}(V)$  is a quaternion algebra over  $\mathbb{Q}$ . If a prime r is ramified in  $\mathbb{K}$ , then there exists a Brauer character  $\mu$  such that  $\chi \mod r = \mu + \mu^{(r)}$ . By Proposition 2.2, this cannot occur for any prime r. Thus  $\mathbb{K}$  is unramified at any prime r, and by Hasse's principle we get a contradiction.

Having established Proposition 2.3, we are given a  $\mathbb{Q}G$ -module  $V = V_n$  with character  $\chi$  such that  $\chi|_{S_n} = \psi + \overline{\psi}$ , where  $(G, \chi) = (G_n^+, \chi^+)$  or  $(G_n^-, \chi^-)$ . We shall maintain this notation in what follows.

Proof of Theorem 1.1. Proposition 2.2 shows that the pair  $(G, \chi) = (G_n^-, \chi^-)$  satisfies the conditions (i), (ii) of Proposition 2.4 from [SchT]. Below (cf. (7) and Corollary 4.3) we shall see that condition (iii) is also fulfilled: det  $V = \mathbb{Q}^{\bullet 2}$ . Applying that proposition, we obtain a  $G_n^-$ -invariant unimodular lattice  $\Gamma$ . Standard arguments show that  $\Gamma$  is odd, and min  $\Gamma \geq 3$  if n > 1.

The link between lattices invariant under isoclinic groups is indicated in the following statement. We make use of the following observation: for any prime  $p \equiv 1 \mod 4$ , there exist  $a, b \in \mathbb{N}$  such that  $a^2 - pb^2 = -1$  (cf. [Coh], pp. 105, 106). Henceforth we fix such a pair **a**, **b**.

**Proposition 2.4.** Let  $p \equiv 1 \mod 4$  be a prime. Let  $G^+ \simeq H \cdot C_2$  and  $G^- = (H \cdot C_2)^-$  be isoclinic groups, where H is a finite group with center of order 2. Suppose V is an absolutely irreducible  $\mathbb{Q}G^+$ -module with character  $\chi^+$ , and  $\mathbb{Q}(\psi) = \mathbb{Q}(\sqrt{p})$  where  $\chi^+|_H = \psi + \overline{\psi}$ . Then the following assertions hold. (i) V can be viewed as an absolutely irreducible  $\mathbb{Q}G^-$ -module. In particular, for each sign  $\varepsilon \in \{+, -\}$ , V has a unique (up to scalar)  $G^{\varepsilon}$ -invariant scalar product  $(\cdot, \cdot)^{\varepsilon}$ .

(ii) V has an endomorphism  $\sigma$  with the following properties:  $\sigma$  centralizes the group H,  $\sigma^2 = p \cdot id_V$ ,  $\sigma$  is a self-adjoint similarity of norm p w.r.t. both scalar products  $(\cdot, \cdot)^{\pm}$ , and

(1) 
$$g\sigma g^{-1} = -\sigma \text{ for } g \in G^{\pm} \smallsetminus H.$$

(iii) V contains  $\sigma$ -stable lattices which are invariant under both groups  $G^+$  and  $G^-$ .

(iv) Let  $\Lambda$  be a lattice as in (iii), and denote by  $\Lambda^{\varepsilon}$ ,  $\varepsilon \in \{+, -\}$ , its dual lattice with respect to  $(\cdot, \cdot)^{\varepsilon}$ . After suitably rescaling one of  $(\cdot, \cdot)^{\varepsilon}$ , we have the equality

$$\Lambda^{-} = \sigma^{-1}(\Lambda^{+})$$

On this scale,  $\Lambda$  is unimodular w.r.t.  $(\cdot, \cdot)^+$  if and only if it is p-modular w.r.t.  $(\cdot, \cdot)^-$ . Similarly,  $\Lambda$  is p-modular w.r.t.  $(\cdot, \cdot)^+$  if and only if it is unimodular w.r.t.  $\frac{1}{p}(\cdot, \cdot)^-$ .

*Proof.* The equality  $\chi|_H = \psi + \overline{\psi}$  implies that the commuting algebra

$$\mathbb{K} = \operatorname{End}_{H}(V) = \{\varphi \in \operatorname{End}_{\mathbb{Q}}(V) \mid \forall h \in H, \varphi \cdot h = h \cdot \varphi\}$$

is isomorphic to the field  $\mathbb{Q}(\psi) = \mathbb{Q}(\sqrt{p})$ . Denoting by  $\sigma$  the (unique up to sign) element  $\sigma \in \mathbb{K}$  with  $\sigma^2 = p \cdot \mathrm{id}_V$ , we have to show that it satisfies all other properties stated. We begin with (1). Fix an element  $g \in G^+ \setminus H$ . For  $h \in H$  and  $\lambda \in \mathbb{K}$  it is readily checked that  $h \cdot g\lambda g^{-1} = g\lambda g^{-1} \cdot h$ ; in other words,  $g\lambda g^{-1} \in \mathbb{K}$ . Thus, conjugation by g induces an automorphism of  $\mathbb{K}$ . If  $\lambda$  is fixed by this automorphism, then  $\lambda$  centralizes H and g, and thus  $G^+$ , that is,  $\lambda \in \mathbb{Q}$ . Thus,  $\lambda \mapsto g\lambda g^{-1}$  is the unique nontrivial field automorphism of  $\mathbb{K}$ . Property (1) is a special case of this ( $\sigma$ corresponds to  $\sqrt{p}$ ).

If  $\Gamma$  is any  $G^+$ -invariant lattice, then  $\Gamma + \sigma(\Gamma)$  is clearly  $\sigma$ -invariant, and by (1) still  $G^+$ -invariant, which proves (iii) in the "+"-case. The scalar product  $(\sigma(x), \sigma(y)), x, y \in V$ , is also  $G^+$ -invariant and therefore of the form  $(\sigma(x), \sigma(y)) = c(x, y)$  for some  $c \in \mathbb{R}$ . From  $\sigma^2 = p \cdot \mathrm{id}_V$  it follows that c = p. The self-adjointness

$$(\sigma(x), y)^+ = (x, \sigma(y))^+$$

now is a formal consequence, and part (i) is proved for  $(\cdot, \cdot)^+$ .

Recall that we have fixed positive integers **a**, **b** with  $\mathbf{a}^2 - p\mathbf{b}^2 = -1$ . Denote by  $\rho \mapsto \bar{\rho}$  the non-trivial automorphism of  $\mathbb{K}$ , that is,  $g\rho = \bar{\rho}g$  for any  $g \in G^+ \setminus H$  (see above), and by  $\mu$  the particular element

$$\mu = \mathbf{a} + \mathbf{b}\sigma \in \mathbb{K}.$$

Since  $\mu \circ \overline{\mu} = \overline{\mu} \circ \mu = -\operatorname{id}_V$ ,  $\overline{\mu} = \mathbf{a} - \mathbf{b}\sigma$ , this element induces in fact an automorphism of any  $G^+$ -stable lattice. If  $g \in G^+ \smallsetminus H$  and  $g' := g\mu$ , then  $g'^2 = g\mu g\mu = g^2 \overline{\mu}\mu = -g^2$ . Thus  $\langle H, g' \rangle \cong G^-$ . Observe that the particular representation of  $G^-$  thus constructed is obviously absolutely irreducible, and an *H*-invariant  $\sigma$ -stable lattice is  $G^+$ -invariant if and only if it is  $G^-$ -invariant.

For a given choice of  $(\cdot, \cdot)$ , consider the bilinear form

$$(x,y)^- := (x,\sigma\mu(y))^+$$

which is clearly *H*-invariant. Since  $\sigma\mu$  is self-adjoint w.r.t.  $(\cdot, \cdot)^+$ , this bilinear form is symmetric. From the fact that  $\sigma\mu$  is a totally positive element in  $\mathbb{K}$  it easily

follows that  $(\cdot, \cdot)^-$  is also positive definite. The following computation shows that  $(\cdot, \cdot)^-$  is invariant under  $g' = g\mu$  and thus under all of  $G^-$ :

$$\begin{array}{rcl} (g'x, g'y)^{-} &=& (g\mu(x), (\sigma\mu)g\mu(y))^{+} \\ &=& (g\mu(x), g\overline{\sigma\mu}\mu(y))^{+} \\ &=& (\mu(x), \overline{\sigma\mu}\mu(y))^{+} \\ &=& (\mu(x), \sigma(y))^{+} \\ &=& (x, \mu\sigma(y)) = (x, y)^{-} \end{array}$$

(since  $\overline{\mu}\mu = -\operatorname{id}_V$ ).

For the dual lattices, we clearly have

$$\Lambda^- = (\sigma\mu)^{-1}\Lambda^+ = \sigma^{-1}\mu^{-1}\Lambda^+ = \sigma^{-1}\Lambda^+$$

If  $\Lambda$  is unimodular w.r.t.  $(\cdot, \cdot)^+$ , then  $\Lambda^+ = \Lambda$ ; therefore  $\Lambda^- = \sigma^{-1}(\Lambda)$ , i.e.  $\sigma$  is the desired similarity between  $\Lambda$  and  $\Lambda^-$ . Conversely, if  $\Lambda$  is *p*-modular w.r.t.  $(\cdot, \cdot)^-$ , then

$$p^{\operatorname{rank}\Lambda/2} = (\Lambda^{-}:\Lambda) = (\sigma^{-1}(\Lambda^{+}):\Lambda)$$
$$= (\Lambda^{+}:\sigma(\Lambda)) = (\Lambda^{+}:\Lambda)(\Lambda:\sigma(\Lambda)) = p^{\operatorname{rank}\Lambda/2}(\Lambda^{+}:\Lambda),$$

yielding  $\Lambda^+ = \Lambda$ . The last assertion follows from the previous one by considering  $(x, y)^{--} := (x, \sigma(-\overline{\mu})(y))^{-}$ .

Clearly, Proposition 2.4 applies to  $\{G^+, G^-\} = \{C_2 \times G_n^+, G_n^-\}$  and the module  $V = V_n$ , if  $p \equiv 1 \mod 4$ . Also, the endomorphism  $\sigma$  is uniquely determined up to sign. Therefore, in what follows we can speak about  $\sigma$ -stable lattices in V.

We shall need the following supplement to Proposition 2.4:

**Lemma 2.5.** Keep all the notation of Proposition 2.4. Suppose that, as  $\mathbb{F}_pH$ -module,  $U := \Lambda/p\Lambda$  is the direct sum of two copies M, M' of an absolutely irreducible  $\mathbb{F}_pH$ -module. Then the  $\mathbb{F}_pG^+$ -module U is indecomposable if and only if the  $\mathbb{F}_pG^-$ -module U is indecomposable.

Proof. By our assumption, in a suitably chosen basis of  $U = M \oplus M'$  the commuting algebra  $K := \operatorname{End}_H(U)$  consists of matrices of the form  $\begin{pmatrix} aE_n & bE_n \\ cE_n & dE_n \end{pmatrix}$ , where  $a, b, c, d \in \mathbb{F}_p$  and  $n = \dim M$ . Clearly, the endomorphism  $\sigma$  (cf. Proposition 2.4 (ii)) belongs to K, and without loss of generality one may suppose that  $\sigma = \begin{pmatrix} 0 & E_n \\ 0 & 0 \end{pmatrix}$ , because  $\sigma^2 = 0$  on U. Recall that  $G^+ = \langle H, g \rangle$  and  $G^- = \langle H, g' \rangle$  with  $g' = g(\mathbf{a} + \mathbf{b}\sigma)$ . Since  $g\sigma = -\sigma g$ , g has the matrix  $\begin{pmatrix} A & B \\ 0 & -A \end{pmatrix}$ , and g' has the matrix  $\begin{pmatrix} \mathbf{a}A & \mathbf{b}A + \mathbf{a}B \\ 0 & -\mathbf{a}A \end{pmatrix}$ . Denoting  $L^+ = \operatorname{End}_{G^+}(U)$ , we see that  $L^+ = C_K(g)$ . In particular, if  $f = \begin{pmatrix} xE_n & yE_n \\ zE_n & tE_n \end{pmatrix}$  belongs to  $L^+$ , then either  $f = xE_{2n}$ , or  $x \neq t$  and  $B = \frac{2y}{x-t}A$ . Now observe that: the  $\mathbb{F}_pG^+$ -module U is decomposable if and only if  $L^+$  contains two nonzero idempotents f, g such that fg = gf = 0 if and only if  $B \in \langle A \rangle_{\mathbb{F}_p}$ . The same applies to the  $\mathbb{F}_pG^-$ -module U. But

$$B \in \langle A \rangle_{\mathbb{F}_p} \iff \mathbf{b}A + \mathbf{a}B \in \langle \mathbf{a}A \rangle_{\mathbb{F}_p};$$

hence our statement follows.

**Corollary 2.6.** Let  $p \equiv 1 \mod 4$  and n any integer. Then  $V_n$  contains  $\sigma$ -stable  $G_n^-$ -invariant odd unimodular lattices.

Proof. By Proposition 2.4,  $V_n$  contains  $\sigma$ -stable  $G_n^-$ -invariant lattices. Choose such a lattice  $\Gamma$  with minimal possible determinant, and suppose that det  $\Gamma > 1$ . Clearly, the symmetry of  $\sigma$  implies that the dual lattice  $\Gamma^{\#}$  is  $\sigma$ -stable. In particular, taking the sum  $\Gamma + m\Gamma^{\#}$ ,  $m \in \mathbb{Z}$ , produces again a  $\sigma$ -stable lattice. Hence Lemma 2.1 [SchT] holds inside the class of  $\sigma$ -stable lattices. Now the arguments in the proof of [SchT], Proposition 2.4, show that  $A = \Gamma^{\#}/\Gamma = (C_2)^2$ . Consider the form  $q: A \to \frac{1}{2}\mathbb{Z}/\mathbb{Z}, q(v + \Gamma) = (v, v) + \mathbb{Z}$ . Clearly,  $\sigma$  acts on A as an endomorphism of order 1 or 2, and  $\sigma$  preserves q. If  $\sigma = 1$  on A, then we are done by Lemma 2.2 [SchT]. If  $\sigma \neq 1$  on A, then  $\sigma$  has a unique nonzero fixed vector  $\bar{v}$  in A. This vector is obviously fixed by  $G_n^-$ , and one can check that  $q(\bar{v}) = 0$ . Thus  $\langle \Gamma, v \rangle$  is a  $\sigma$ -stable  $G_n^-$ -invariant unimodular lattice, contrary to the choice of  $\Gamma$ .

A  $\sigma$ -stable  $G_n^-$ -invariant odd unimodular lattice will be explicitly constructed in Theorem 3.9 (for odd n) and Corollary 5.9 (for even n and  $p \equiv 1 \mod 4$ ).

Proof of Theorem 1.2 for the case  $p \equiv 1 \mod 4$ . By Corollary 2.6,  $V_n$  contains a  $\sigma$ -stable  $G_n^-$ -invariant unimodular lattice  $\nabla$ . Applying Proposition 2.4, we obtain a  $G_n^+$ -invariant scalar product on  $\nabla$  that converts it into a *p*-modular lattice which is acted on by  $G_n^+$ .

Remark 2.7. Let n = 1 and  $p \equiv 1 \mod 4$ . Then Proposition 2.3 and its proof tell us that the group  $G_1^- = GL_2(p)/C_{(p-1)/2}$  has a (unique) faithful, absolutely irreducible, rational representation of degree p + 1, which is monomial. On the other hand,  $G_1^+ = PGL_2(p)$  has a (unique) faithful, absolutely irreducible, rational representation of degree p + 1, which is non-monomial. These observations were mentioned in [NPI], Lemma (V.3) and its proof. Theorem (V.2) of [NPI] exposes a  $G_1^+$ -invariant lattice of rank p+1 and determinant  $p^{(p+1)/2}$ , called  $M_{p+1,2}$ . Observe that  $M_{p+1,2}$  is obtained from the  $G_1^-$ -invariant lattice with Gram matrix  $E_{p+1}$  by means of the procedure indicated in Proposition 2.4. Hence by this proposition  $M_{p+1,2}$  is *p*-modular.

An explicit construction of  $G_n^-$ -invariant odd unimodular lattices is exposed in Theorem 3.9 for any odd n. Combined with the procedure indicated in Proposition 2.4, this yields an explicit construction of  $G_n^+$ -invariant p-modular lattices  $\Delta^-(p, n)$ for any odd n (cf. Corollary 5.10), which generalizes Theorem (V.2) of [NPI].

The rest of the section is devoted to proving Theorem 1.2 for the case  $p \equiv 3 \mod 4$ . Denote  $\theta = \sqrt{-p}$ ,  $\pi = (1 + \theta)/2$ ,  $\mathbb{K} = \mathbb{Q}(\theta)$ ,  $\mathfrak{o} = \langle 1, \pi \rangle_{\mathbb{Z}}$  the maximal order in  $\mathbb{K}$ . By Proposition 5.2 [SchT], whose proof does not use the oddness of n,  $V = V_n$  has an endomorphism  $\sigma$  such that

(2) 
$$\sigma^{2}(v) = -pv, \ (\sigma(u), v) = -(u, \sigma(v)), \ (\sigma(u), \sigma(v)) = p(u, v), \\ s\sigma s^{-1} = \sigma, \ g\sigma g^{-1} = -\sigma$$

for any  $u, v \in V$ ,  $s \in \overline{S}_n = PSp_{2n}(p)$ ,  $g \in G_n^+ \setminus \overline{S}_n$ . Following the proof of that proposition, it is not difficult to show that V contains a  $G_n^+$ -invariant lattice  $\Lambda$ , which is stable under the endomorphism  $(1 + \sigma)/2$ . For  $u, v \in V$  we set

(3) 
$$u \circ v = \frac{(u,v)}{2} + \theta \frac{(u,\sigma(v))}{2p} \in \mathbb{K}.$$

Using (2) it is straightforward to check that  $v \circ u = \overline{u \circ v}$ ,  $u \circ \sigma(v) = -\theta(u \circ v)$ ,  $su \circ sv = u \circ v$ ,  $gu \circ gv = v \circ u$ , and

(4) 
$$(u,v) = \operatorname{Tr}(u \circ v),$$

where  $s \in \overline{S}_n$ ,  $g \in G_n^+ \setminus \overline{S}_n$ , and Tr denotes the trace of  $\mathbb{K}$  over  $\mathbb{Q}$ . Thus, if we set  $\theta \cdot v = \sigma(v)$ , then V is a  $\mathbb{K}$ -space of dimension  $(p^n + 1)/2$ , with  $\overline{S}_n$ -invariant positive definite Hermitian scalar product  $u \circ v$ . Multiplying  $(\cdot, \cdot)$  by a suitable scalar, for instance by 2p, we can ensure that  $\Lambda \circ \Lambda \subseteq \mathfrak{o}$ . Thus  $\Lambda$  is a  $G_n^+$ -invariant integral  $\mathfrak{o}$ -lattice, that is contained in its Hermitian dual,

$$\Gamma^{\perp} = \{ u \in V \mid u \circ \Gamma \subseteq \mathfrak{o} \}.$$

The property (4) by the way characterizes the Hermitian form uniquely, that is, implies (3). Clearly, both  $\Lambda^{\perp}$  and  $\Lambda^{\#}$ , the Euclidean dual, are stable under  $\mathfrak{o}$  and  $G_n^+$ . Using (3), one readily checks that

$$\Lambda^{\perp} = \theta \Lambda^{\#}.$$

(This is actually well known from (4), since ( $\theta$ ) is the different of  $\mathfrak{o}$  over  $\mathbb{Z}$ .) We shall use this formula frequently in what follows. We shall also need the following two simple statements.

**Lemma 2.8.** Let  $p \equiv 3 \mod 4$  as above, and let G be a finite group. Suppose that  $\Gamma$  is a G-invariant integral Hermitian  $\mathfrak{o}$ -lattice such that  $\Gamma \supseteq 2\Gamma^{\perp}$ . Then the  $\mathbb{F}_2G$ -module  $\Gamma^{\perp}/\Gamma$  supports a non-degenerate G-invariant alternating form, namely  $b(u, v) = \operatorname{Tr}(2u \circ v) \mod 2$  for any  $u, v \in \Gamma^{\perp}$ . In particular, the index  $(\Gamma^{\perp} : \Gamma)$  differs from 2 for any integral hermitian  $\mathfrak{o}$ -lattice  $\Gamma$ .

Proof. Since  $\Gamma \supseteq 2\Gamma^{\perp}$ ,  $2u \in \Gamma$  and so  $2u \circ v \in \mathfrak{o}$  and  $\operatorname{Tr}(2u \circ v) \in \mathbb{Z}$  for all  $u, v \in \Gamma^{\perp}$ . If  $v \in \Gamma$ , then  $2u \circ v \in 2\mathfrak{o}$  and  $\operatorname{Tr}(2u \circ v) \in 2\mathbb{Z}$ . Thus b is well defined. Clearly, it is  $\mathbb{F}_2$ -bilinear and G-invariant. If  $u \in \Gamma^{\perp}$ , then  $2u \circ u \in \mathbb{R} \cap \mathfrak{o} = \mathbb{Z}$ , yielding b(u, u) = 0, i.e. b is alternating. Finally, assume that  $v \in \Gamma^{\perp}$  such that  $\operatorname{Tr}(u \circ v) \in \mathbb{Z}$  for any  $u \in \Gamma^{\perp}$ . Then  $v \in (\Gamma^{\perp})^{\#} = \theta^{-1}\Gamma^{\perp \perp} = \theta^{-1}\Gamma$  and  $\theta^{-1}\Gamma \cap (1/2)\Gamma = \Gamma$ . In other words, b is non-degenerate.

**Lemma 2.9.** Let  $\Gamma$  be an  $\overline{S}_n$ -invariant  $\mathfrak{o}$ -lattice in V. Suppose that the index  $(\Gamma^{\perp}:\Gamma)$  is divisible by p. Then in fact  $\Gamma \subseteq p\Gamma^{\perp}$ .

*Proof.* Consider the  $\mathbb{F}_p \overline{S}_n$ -module  $U = \Gamma/\theta\Gamma$ . Here we are identifying  $\mathfrak{o}/\theta\mathfrak{o}$  with  $\mathbb{F}_p$  (and  $\pi$  with 1/2). First we show that  $\Gamma \subseteq \theta\Gamma^{\perp}$ . We know that U is a simple  $\mathbb{F}_p S_n$ -module with character  $\psi$  mod p. Furthermore,  $U' = (\Gamma \cap \theta\Gamma^{\perp})/\theta\Gamma$  is an  $S_n$ -submodule of U. Suppose that U' = 0. Then  $\Gamma \cap \theta\Gamma^{\perp} = \theta\Gamma$ . As  $(\Gamma^{\perp} : \Gamma)$  is divisible by p, one can find a vector  $v \in \theta\Gamma^{\perp} \setminus \theta\Gamma$  such that  $pv \in \theta\Gamma$ . Then

$$\theta v \in p\Gamma^{\perp} \cap \Gamma \subseteq \theta \Gamma^{\perp} \cap \Gamma = \theta \Gamma,$$

i.e.  $v \in \Gamma$ . Hence,  $v \in \Gamma \cap \theta \Gamma^{\perp} = \theta \Gamma$ , contradicting the choice of v. Therefore,  $U' \neq 0$ , which implies that U' = U,  $\Gamma \subseteq \theta \Gamma^{\perp}$ .

Now we can define on U an  $S_n$ -invariant form:

$$f(\bar{x}, \bar{y}) = \frac{1}{\theta} x \circ y \mod \theta \mathfrak{o},$$

where  $\bar{x} = x + \theta \Gamma$ ,  $\bar{y} = y + \theta \Gamma$ . Clearly, f is well defined and bilinear. But f is alternating:  $f(\bar{x}, \bar{x}) = 0$  because  $x \circ x \in \mathbb{R} \cap \theta \mathfrak{o} = \theta^2 \mathbb{Z}$  for any  $x \in \Gamma$ . Suppose the kernel of f is zero. Then U carries a non-degenerate alternating bilinear form

(namely f) and so  $\psi \mod p$  is of symplectic type, contrary to Proposition 2.2 (iii). We have shown that the kernel of f is nonzero. Since U is irreducible, f is zero, i.e.  $\Gamma \subseteq p\Gamma^{\perp}$ .

Now we choose a  $G_n^+$ -invariant  $\mathfrak{o}$ -lattice  $\Lambda$  lying in V such that det  $\Lambda = (\Lambda^{\#} : \Lambda)$  is minimal. We also suppose that  $\Lambda$  is not integral for any rescaled Hermitian form  $\frac{1}{\lambda}u \circ v$  with  $\lambda \in \mathbb{R}$  and  $\lambda > 1$ . (If such a  $\lambda$  exists, we simply divide the Hermitian scalar product to  $\lambda$  and get an invariant Hermitian lattice with strictly smaller determinant.)

First we observe that det  $\Lambda$  cannot be divisible by any odd prime  $r \neq p$ . Suppose the contrary. Then consider the form  $(\bar{x}, \bar{y})_r = (x, y) \mod r$  on  $\Lambda/r\Lambda$ , where  $\bar{x} = x + r\Lambda$ ,  $\bar{y} = y + r\Lambda$ . As r divides det  $\Lambda$ , this  $G_n^+$ -invariant symmetric bilinear form is degenerate, and so its kernel  $(\Lambda \cap r\Lambda^{\#})/r\Lambda$  is nonzero. By Proposition 2.2 (i) this means simply that  $\Lambda \subseteq r\Lambda^{\#}$ . Then for any  $u, v \in \Lambda$  one has  $(u, v), (u, \pi v) \in r\mathbb{Z}$ . Denote  $u \circ v = a + \pi b$  for some  $a, b \in \mathbb{Z}$ . Then (u, v) = 2a + b and  $(u, \pi v) = a - b(p-1)/2$  belong to  $r\mathbb{Z}$ . This implies  $a, b \in r\mathbb{Z}$ . In particular,  $u \circ v \in r\mathfrak{o}$  for any  $u, v \in \Lambda$ . Thus, one can divide the form  $u \circ v$  by r, a contradiction.

We have seen that det  $\Lambda$  can be divisible only by the primes 2 and p. Furthermore, if p divides  $(\Lambda^{\perp} : \Lambda)$ , then by Lemma 2.9 one can divide the form  $u \circ v$  by p, a contradiction. Therefore, there exists a non-negative integer k such that  $(\Lambda^{\perp} : \Lambda) = 2^k$ . In this case,

$$\det \Lambda = (\Lambda^{\#} : \Lambda^{\perp})(\Lambda^{\perp} : \Lambda) = 2^{k} p^{N},$$

where  $N = (p^n + 1)/2$ .

It is obvious that  $\Lambda \supseteq 2^k \Lambda^{\perp}$ . Suppose that  $\Lambda \supseteq 2\Lambda^{\perp}$ . Let l denote the minimal integer such that  $\Lambda \supseteq 2^l \Lambda^{\perp}$ . Then  $l \ge 2$ . Set  $\Gamma = \Lambda + 2^{l-1} \Lambda^{\perp}$ . One readily checks that  $\Gamma$  is a  $G_n^+$ -invariant  $\mathfrak{o}$ -lattice with det  $\Gamma$  strictly smaller than det  $\Lambda$ , contradicting the choice of  $\Lambda$ . Hence,  $\Lambda \supseteq 2\Lambda^{\perp}$ . This implies that  $\Lambda \supseteq 2p\Lambda^{\#}$ , i.e. the discriminant group  $\Lambda^{\#}/\Lambda$  has exponent 2p (and order  $2^k p^N$ ).

The inclusion  $\Lambda \supseteq 2p\Lambda^{\#}$  also implies  $k \leq 2N$ . Setting  $\Gamma = \sqrt{2}\Lambda^{\perp}$  (which is equivalent to considering  $\Lambda^{\perp}$  and multiplying the form  $u \circ v$  by 2), we have

$$\Gamma\circ\Gamma=\sqrt{2}\Lambda^{\perp}\circ\sqrt{2}\Lambda^{\perp}=2\Lambda^{\perp}\circ\Lambda^{\perp}\subseteq\Lambda\circ\Lambda^{\perp}\subseteq\mathfrak{o},$$

i.e.  $\Gamma$  is an  $G_n^+$ -invariant integral  $\mathfrak{o}$ -lattice. Furthermore,

$$2\Gamma^{\perp} = \sqrt{2}\Lambda \subseteq \sqrt{2}\Lambda^{\perp} = \Gamma,$$

and

$$(\Gamma^{\perp}:\Gamma) = (\frac{1}{\sqrt{2}}\Lambda:\sqrt{2}\Lambda^{\perp}) = (\Lambda:2\Lambda^{\perp}) = \frac{(\Lambda^{\perp}:2\Lambda^{\perp})}{(\Lambda^{\perp}:\Lambda)} = 2^{2N-k}$$

This computation shows that, after replacing  $\Lambda$  by  $\Gamma$  if necessary, one may suppose that  $0 \leq k \leq N$ . Claim that the last condition implies k = 0, 1, 2. For  $F = (\Lambda \cap 2\Lambda^{\#})/2\Lambda$  is a  $G_n^+$ -submodule of  $\Lambda/2\Lambda$ , and  $|F| = 2^k$ . By Proposition 2.2 (ii), k = 0, 1, or 2.

We have arrived at the situation where  $(\Lambda^{\perp} : \Lambda) = 2^k$ , k = 0, 1, 2. By Lemma 2.8,  $k \neq 1$ . If k = 0, then  $\Lambda = \Lambda^{\perp} = \theta \Lambda^{\#}$ , and  $\Lambda$  is a *p*-modular Euclidean lattice of rank 2N, and we are done. Suppose k = 2. Then the discriminant group  $\Lambda^{\#}/\Lambda$  is isomorphic to  $(C_2)^2 \oplus (C_p)^N$ , and  $(\Lambda^{\perp} : \Lambda) = (C_2)^2$ . By Lemma 2.2 of [SchT], there exists a vector  $v \in \Lambda^{\perp} \setminus \Lambda$  with  $2v \in \Lambda$  such that  $\Delta = \langle \Lambda, v \rangle_{\mathbb{Z}}$  is a  $G_n^+$ -invariant Euclidean lattice and  $\Delta^{\#}/\Delta \simeq (C_p)^N$ . Remark that  $\theta \Delta \subseteq \Delta$ . Indeed,

 $\theta v = \pi \cdot 2v - v \in \Delta$ . Furthermore,  $\theta \Delta \subseteq p \Delta^{\#}$ . (For

$$(\theta\Delta, \Delta) \subseteq (\theta\Lambda, \Lambda) + (\theta\Lambda, v) + (\Lambda, \theta v) + (\theta v, v).$$

Here,  $\theta \Lambda \subseteq \theta \Lambda^{\perp} = p \Lambda^{\#}$ , so  $(\theta \Lambda, \Lambda) \subseteq p\mathbb{Z}$ . As  $v \in \Lambda^{\perp}$ , we have  $\Lambda \circ v \subseteq \mathfrak{o}$ , and so

 $(\theta \Lambda, v) = (\Lambda, \theta v) \subseteq \theta \mathfrak{o} \cap \mathbb{Z} = p\mathbb{Z}.$ 

Finally,  $(\theta v, v) = \theta v \circ v - \theta v \circ v = 0$ .) Now we have

$$(\theta\Delta:p\Delta)=p^N=(\Delta^\#:\Delta)=(p\Delta^\#:p\Delta);$$

therefore in fact  $\theta \Delta = p \Delta^{\#}$ ,  $\Delta = \theta \Delta^{\#}$ . The map  $f: x \mapsto \theta x$ , where  $x \in \Delta^{\#}$ , maps  $\Delta^{\#}$  onto  $\Delta$  and preserves  $(\cdot, \cdot)$  up to the scalar p: (f(x), f(y)) = p(x, y). This means the lattice  $\Delta$  is *p*-modular, as desired. 

The proof of Theorem 1.2 is finished.

Remark 2.10. Observe that all the lattices  $\Delta = \Delta(p, n), \Delta^{-}(p, n)$ , are symplectic (for the definition of symplectic lattices cf. [BuS]). For suppose first that  $\Delta$  is invariant under  $G_n^-$ . Then det  $\Delta = 1$ . Taking  $\tau = \begin{pmatrix} 0 & E_n \\ \theta E_n & 0 \end{pmatrix}$  (in the chosen symplectic basis of W),  $\mathbb{F}_p^{\bullet} = \langle \theta \rangle$ , one sees that  $\tau \in G_n^-$  and  $\tau^2 = \theta E_{2n}$  acts on  $\Delta$  as -1. According to [BuS], this means that  $\Delta$  is symplectic. Suppose now that  $\Delta$  is invariant under  $G_n^+$ . If  $p \equiv 3 \mod 4$ , then we put  $\tau = \frac{1}{\sqrt{p}}\sigma$ . Considered under the new scalar product  $(u, v)' = (u, v)/\sqrt{p}$ , the dual lattice of  $\Delta$  equals  $\tau(\Delta)$ . Also,  $\tau$  preserves  $(\cdot, \cdot)'$  and  $\tau^2 = -1$ . Hence  $\Delta$  is symplectic. Finally, let  $p \equiv 1 \mod 4$ . Taking  $g = \begin{pmatrix} 0 & E_n \\ \theta E_n & 0 \end{pmatrix}$ , one sees that  $g \in G_n^+ \setminus S_n$ . Put  $\tau = \frac{1}{\sqrt{p}}g\sigma$  and consider  $\Delta$  w.r.t. the new scalar product  $(\cdot, \cdot)'$  introduced above. Clearly,  $\tau$  preserves  $(\cdot, \cdot)'$ and sends  $\Delta$  to its dual (w.r.t. the new scalar product). Besides,  $g \in G_n^+ \setminus S_n$ implies that  $\tau^2 = -\frac{1}{p}g^2\sigma^2 = -1$ . Consequently,  $\Delta$  is symplectic.

Remark 2.11. One could formalize Lemmas 2.8, 2.9 and the above arguments in order to get an analogue of Proposition 2.4 in [SchT] for the existence of p-modular lattices. Here is one more well known example. Let  $G = {}^{2}G_{2}(3) = SL_{2}(8) \cdot C_{3}$  and  $\chi$  an irreducible complex character of G of degree 7 with  $\mathbb{Q}(\chi) = \mathbb{Q}(\sqrt{-3})$ . Then for any prime  $r \neq 2, 3, \chi \mod r$  is an irreducible Brauer character, which is not of quadratic type. Furthermore,  $\chi \mod 3$  is irreducible and of quadratic type. Finally,  $\chi \mod 2$  is a sum of a character of degree 1 and an irreducible Brauer character of degree 6 which is of symplectic type. From this it follows that G has an irreducible Q-module V with character  $\chi + \overline{\chi}$ . The above arguments show that G stabilizes a 3-modular lattice  $\Lambda$  in V with Aut $(\Lambda) = C_2 \times G_2(3)$ . The lattice  $\Lambda$  occurs in [Atlas] and was investigated in detail in [KoT], Chapter 8. It is the unique extremal 3-modular lattice in dimension 14, after [SchHem].

### 3. Explicit construction. I: n odd

We maintain the notation W for the natural  $\mathbb{F}_p S_n$ -module  $\mathbb{F}_p^{2n}$  endowed with a non-degenerate symplectic form  $\langle \cdot, \cdot \rangle$ . Throughout this section we suppose that nis odd. We use the ideas of [SchT], §3 to explicitly construct  $G_n^-$ -invariant lattices in  $V = V_n$ , for any odd prime p.

Consider an arbitrary  $G_n^-$ -invariant (integral) lattice  $\Lambda$  in V. Fix a symplectic basis  $(e_1, \ldots, e_n, f_1, \ldots, f_n)$  of W. Recall that  $G_n$  is generated by  $S_n$  and the element  $\vartheta_n$  with matrix diag $(E_n, \theta E_n)$  in this basis. We shall view V as a  $G_n$ module with kernel  $Z^2 \simeq C_{(p-1)/2}$ . A Lagrangian is a maximal totally isotropic subspace in W. Following [BaV], we consider them oriented, i.e. equipped with an appropriate equivalence class of bases. Two bases  $(l_1, \ldots, l_n)$  and  $(l'_1, \ldots, l'_n)$ of a Lagrangian L are equivalent, i.e. define the same orientation, if the element  $g \in GL_n(L)$  defined by  $g(l_i) = l'_i, 1 \leq i \leq n$ , has det  $g \in \mathbb{F}_p^{\bullet 2}$ . We denote by  $\mathcal{L}(W)$ the set of all oriented Lagrangians contained in W.

To each Lagrangian L of W we now associate the following two subgroups:

(5) 
$$G(L) = \{\varphi \in G_n \mid \varphi(L) = L\}, S(L) = \{\varphi \in G(L) \mid \det(\varphi|_L) \in \mathbb{F}_p^{\bullet 2}\}.$$

Since the determinant of  $\lambda E_{2n}$  ( $\lambda \in \mathbb{F}_p^{\bullet 2}$ ) acting on any Lagrangian L is a square in  $\mathbb{F}_p$ , the definition (5) of S(L) factors through the kernel  $Z^2$  of  $\chi$ . Let  $\xi_L$  denote the linear character of G(L) (and S(L)) which sends  $g \in G(L)$  to  $\left(\frac{\det(\varphi|_{W/L})}{p}\right)$ . Here and hereafter,  $\left(\frac{\cdot}{p}\right)$  stands for the Legendre symbol.

**Proposition 3.1.** Let n be odd. For any Lagrangian L in W, the sets

$$\Lambda(L) = \{ v \in \Lambda \mid \forall \varphi \in S(L), \varphi(v) = v \},\$$
  
$$^{-}(L) = \{ v \in \Lambda \mid \forall \varphi \in S(L), \varphi(v) = \xi_L(g)v \}$$

are 1-dimensional  $\mathbb{Z}$ -modules.

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*Proof.* 1) Without loss of generality, one can take  $L = \langle e_1, \ldots, e_n \rangle_{\mathbb{F}_p}$  with the basis  $(e_1, \ldots, e_n)$ . Denote

$$P = St_S(L) = E \cdot H, \ Q = S(L), \ R = P \cap Q = E \cdot H^{\bullet},$$

where  $E = (C_p)^{n(n+1)/2}$ ,  $H = GL_n(p)$ ,  $H^{\bullet} = \{g \in GL_n(p) \mid \det g \in \mathbb{F}_p^{\bullet 2}\}$ . A model for the Weil representation of S with character  $\psi$  is described in [Gro]. From this description it follows that  $\psi|_P = \delta + \zeta$ , where  $\zeta$  is a P-character of degree  $(p^n - 1)/2$ and

$$\delta(\varphi) = \left(\frac{\det(\varphi|_L)}{p}\right)$$

for  $\varphi \in P$ . In particular,  $\psi|_R = 1_R + \zeta|_R$ .

If n = 1, one directly checks that the trivial character of S(L) and the character  $\xi_L$  each enter into  $\chi|_{S(L)}$  with multiplicity 1.

2) In this paragraph we suppose n > 1. We claim that  $\zeta|_R \in \operatorname{Irr}(R)$ . Indeed, one can identify E with the space of symmetric matrices of degree n over  $\mathbb{F}_p$ . Furthermore, P/E = H acts on E by the rule:

$$A \circ X = A \cdot X \cdot {}^{t}A$$

for  $A \in H$  viewed as an element of  $GL_n(p)$  and  $X \in E$ . Obviously  $E \not\subseteq \operatorname{Ker} \zeta$ . So it is sufficient to show that every R/E-orbit on the set  $\operatorname{Irr}(E) \setminus \{1_E\}$  has length  $\geq (p^n - 1)/2$ , or equivalently, every  $H^{\bullet}$ -orbit on the set  $E^* \setminus \{0\}$  has length  $\geq (p^n - 1)/2$ . Here E is viewed as a  $\mathbb{F}_p$ -space, and  $E^*$  stands for the dual space. Actually, one can identify the  $GL_n(p)$ -module  $E^*$  with E itself, but endowed with the action  $A \bullet X = {}^{t}A^{-1} \cdot X \cdot A^{-1}$ , where  $A \in GL_n(p), X \in E$ . (Indeed, each element  $f \in E^*$  can be realized as the map  $f = f_M : X \mapsto \operatorname{Tr}(X \cdot M)$  for a uniquely determined  $M \in E$ . Now we can write down the action of  $A \in GL_n(p)$  on  $E^*$ :

$$(A \bullet f)(X) = f_M(A^{-1} \circ X) = \operatorname{Tr}(A^{-1} \cdot X \cdot {}^tA^{-1} \cdot M)$$
  
=  $\operatorname{Tr}(X \cdot {}^tA^{-1} \cdot M \cdot A^{-1}) = f_{A \bullet M}(X).)$ 

Consider a  $GL_n(p)$ -orbit  $\mathcal{O}$  on  $E \setminus \{0\}$  and  $X \in \mathcal{O}$ . Then the stabilizer H(X) of Xin  $GL_n(p)$  is nothing else but the isometry group of the symmetric bilinear form on  $\mathbb{F}_p^n$  with the matrix X. It is not difficult to show that the cardinality of  $\mathcal{O}$  is  $(p^n - 1)/2$  if rank X = 1, and strictly greater than  $(p^n - 1)/2$  if rank X > 1 (and greater than  $p^n - 1$  if rank X = n > 1). On the other hand, if rank  $X \leq n - 1$ , then H(X) contains an element A not contained in  $H^{\bullet}$ , whence  $\mathcal{O}$  is also an  $H^{\bullet}$ -orbit. Therefore, an  $H^{\bullet}$ -orbit in  $E \setminus \{0\}$  can have length less than  $(p^n - 1)/2$  only in case n = 1. (When n = 1, any  $H^{\bullet}$ -orbit in  $E \setminus \{0\}$  has length  $(p^n - 1)/4$ .) Now n > 1by our assumption, so our claim has been proved.

Decompose  $V \otimes_{\mathbb{Q}} \mathbb{C}$  into a sum  $U \oplus U_1 \oplus U_2$  of three *R*-submodules, with character  $2 \cdot 1_R$ ,  $\zeta$  and  $\overline{\zeta}$ , respectively. Remark that *R* contains a regular unipotent element x and  $\zeta(x) = (-1 \pm p^{n-1} \sqrt{\epsilon p})/2$ . Furthermore,  $Q = \langle R, \vartheta_n \rangle$ , and  $\vartheta_n$  normalizes *R*. Therefore  $\vartheta_n$  fixes *U*, and either leaves both  $U_1, U_2$  invariant or interchanges them. But  $\vartheta_n$  interchanges the *S*-conjugacy classes of x and  $x^{\dots}$  (some power of x), and  $\zeta(x^{\dots}) = \overline{\zeta(x)} \neq \zeta(x)$ . This means  $\vartheta_n$  interchanges  $U_1$  and  $U_2$ . The construction of  $\chi$  (see the proof of Lemma 2.1) ensures that  $\chi(\vartheta_n) = 0$ . As a consequence,  $\vartheta_n$  acting on *U* has trace 0. Observe that  $\vartheta_n^2$  leaves *U* pointwise fixed. (Indeed,  $\vartheta_n^2$  is the product of  $\alpha = \operatorname{diag}(\theta^{-1}E_n, \theta E_n)$  and  $\beta = \theta E_{2n}$ . Clearly,  $\alpha$  belongs to *P* and acts on *U* as multiplication by  $\delta(\alpha) = \left(\frac{\theta^{-n}}{p}\right) = -1$ , because *n* is odd. Furthermore,  $\beta$  acts as multiplication by -1 on the whole of *V*.) We have shown that both of the subspaces

$$\widetilde{F} = \{ v \in V \otimes_{\mathbb{Q}} \mathbb{C} \mid \forall \varphi \in Q, \ \varphi(v) = v \} = U \cap \operatorname{Ker}(\vartheta_n - 1),$$
$$\widetilde{F}^- = \{ v \in V \otimes_{\mathbb{Q}} \mathbb{C} \mid \forall \varphi \in Q, \ \varphi(v) = \xi_L(g)v \} = U \cap \operatorname{Ker}(\vartheta_n + 1)$$

have dimension 1.

3) For any odd n, Lemma 3 of [CoT] now implies that both of the subspaces

$$F = \{ v \in V \mid \forall \varphi \in Q, \ \varphi(v) = v \}, F^{-} = \{ v \in V \mid \forall \varphi \in Q, \ \varphi(v) = \xi_L(g)v \}$$

also have dimension 1 (over  $\mathbb{Q}$ ). Since  $V = \Lambda \otimes_{\mathbb{Z}} \mathbb{Q}$ , we arrive at the conclusion that  $\Lambda(L)$  and  $\Lambda^{-}(L)$  are 1-dimensional  $\mathbb{Z}$ -modules.

Keeping Proposition 3.1 in mind, we denote by v(L) (resp. u(L)) a generating element of the  $\mathbb{Z}$ -module  $\Lambda(L)$  (resp.  $\Lambda^{-}(L)$ ) for Lagrangian L. Then v(L) (resp. u(L)) is determined uniquely up to sign. It is clear that  $\Lambda(L)$  and  $\Lambda^{-}(L)$  are stabilized by G(L). Namely,

(6) 
$$\varphi(v(L)) = \left(\frac{\det(\varphi|_L)}{p}\right) \cdot v(L), \ \varphi(u(L)) = \left(\frac{\det(\varphi|_{W/L})}{p}\right) \cdot u(L)$$

for  $\varphi \in G(L)$ . Since we consider Lagrangians oriented, we can set v(-L) = -v(L), u(-L) = -u(L) for the opposite Lagrangian -L corresponding to a given oriented Lagrangian L. We fix an oriented Lagrangian  $L_0$  with a basis  $(e_1, \ldots, e_n)$ , and fix a generating vector  $v(L_0)$  of  $\Lambda(L_0)$  (resp.  $u(L_0)$  of  $\Lambda^-(L_0)$ ). For an arbitrary oriented Lagrangian M with a basis  $(f_1, \ldots, f_n)$ , we find an element  $\nu_M \in G$ such that  $\nu_M(e_i) = f_i$  for all i, and set  $v(M) = \nu_M(v(L_0))$ ,  $u(M) = \nu_M(u(L_0))$ . This definition is independent of the choice of  $\nu_M$ . Moreover, for any  $h \in G$  with  $h(L_0) = M$ , we have

$$h(v(L_0)) = \left(\frac{\det((\nu_M^{-1}h)|_{L_0})}{p}\right) \cdot v(M), \ h(u(L_0)) = \left(\frac{\det((\nu_M^{-1}h)|_{W/L_0})}{p}\right) \cdot u(M).$$

**Lemma 3.2.** Let L and M be arbitrary Lagrangians. Then |(v(L), v(M))| (resp. |(u(L), u(M))|, |(u(L), v(M))|) depends only on the dimension of  $L \cap M$  (and on the choice of the norm (v(L), v(L))). In other words, there exist non-negative constants  $a_k, b_k, c_k, k = 0, 1, \ldots, n$ , such that  $|(v(L), v(M))| = a_k$ ,  $|(u(L), u(M))| = b_k$ ,  $|(u(L), v(M))| = c_k$  whenever  $\dim(L \cap M) = k$ .

*Proof.* Consider Lagrangians L', M' with  $\dim(L \cap M) = \dim(L' \cap M')$ . It is clear that there exists an element  $\varphi \in S$  mapping L into L' and M into M'. One readily verifies that  $\varphi S(L)\varphi^{-1} = S(L')$ . Taking  $g \in S(L)$  and applying (6) we have

$$g\varphi^{-1}(v(L')) = \varphi^{-1} \cdot \varphi g\varphi^{-1}(v(L')) = \varphi^{-1}(v(L'))$$

for each  $g \in S(L)$ . By Proposition 3.1 this implies that  $\varphi^{-1}(v(L')) = \pm v(L)$ , i.e.  $\varphi(v(L)) = \pm v(L')$ . Similarly,  $\varphi(v(M)) = \pm v(M')$ . In particular,  $(v(L'), v(M')) = \pm (v(L), v(M))$ . Next we have  $\xi_L(g) = \xi_{L'}(\varphi g \varphi^{-1})$ , and

$$g\varphi^{-1}(u(L')) = \varphi^{-1} \cdot \varphi g\varphi^{-1}(u(L')) = \varphi^{-1}(\xi_{L'}(\varphi g\varphi^{-1})u(L')) = \xi_L(g)\varphi^{-1}(u(L')).$$

By Proposition 3.1 this implies that  $\varphi^{-1}(u(L')) = \pm u(L)$ , i.e.  $\varphi(u(L)) = \pm u(L')$ . Similarly,  $\varphi(u(M)) = \pm u(M')$ . Hence,

$$(u(L'), u(M')) = \pm (u(L), u(M)), \ (u(L'), v(M')) = \pm (u(L), v(M)). \quad \Box$$

**Lemma 3.3.** If k is even, then  $a_k = 0$ . If k is odd, then  $c_k = 0$ .

*Proof.* Again consider the symplectic basis  $(e_1, \ldots, e_n, f_1, \ldots, f_n)$ . If the intersection of given Lagrangians L, L' has dimension k, k a non-negative integer, then without loss of generality one can suppose that

$$L = \langle e_1, \dots, e_n \rangle_{\mathbb{F}_p}, \ L' = \langle e_1, \dots, e_k, f_{k+1}, \dots, f_n \rangle_{\mathbb{F}_p}$$

Clearly that  $\vartheta_n$  is contained in both of G(L), G(L'). Furthermore,  $\det(\vartheta_n|_L) = 1$ ,  $\det(\vartheta_n|_{W/L}) = \theta^n$ , and  $\det(\vartheta_n|_{L'}) = \theta^{n-k}$ .

First suppose that k is even. Due to (6) one then has  $\vartheta_n(v(L)) = v(L)$ ,  $\vartheta_n(v(L')) = -v(L')$ . Therefore,

$$(v(L),v(L')) = (\vartheta_n(v(L)),\vartheta_n(v(L'))) = -(v(L),v(L')),$$

i.e. (v(L), v(L')) = 0.

Next suppose that k is odd. Then due to (6) one has  $\vartheta_n(u(L)) = -u(L)$ ,  $\vartheta_n(v(L')) = v(L')$ . Now we get

$$(u(L),v(L'))=(\vartheta_n(u(L)),\vartheta_n(v(L')))=-(u(L),v(L')),$$

i.e. (u(L), v(L')) = 0.

Our next goal is to determine  $a_k$  for k odd, and  $c_k$  for k even. Recall that a symplectic spread of W is a collection  $\pi = \{W_i \mid 1 \leq i \leq p^n + 1\}$  consisting of  $p^n + 1$  maximal totally isotropic subspaces such that  $\bigcup_{i=1}^{p^n+1} W_i = W$ . The so-called standard, or desarguesian, symplectic spread of W can be constructed in the following way. Identify W with  $\mathbb{F}_q^2$ ,  $q = p^n$ , and endow W with the symplectic

2116

form  $\langle u, v \rangle = \operatorname{tr}(\alpha \delta - \beta \gamma)$ , where  $u = (\alpha, \beta)$ ,  $v = (\gamma, \delta)$ ,  $\alpha, \beta, \gamma, \delta \in \mathbb{F}_q$ , and tr stands for the trace form  $\operatorname{tr} : \mathbb{F}_q \to \mathbb{F}_p$ . Then

$$\pi_D = \left\{ W^{\lambda} \mid \lambda \in \mathbb{F}_q \cup \{\infty\} \right\},\,$$

where  $W^{\infty} = \{(0, \alpha) \mid \alpha \in \mathbb{F}_q\}, W^{\lambda} = \{(\alpha, \lambda \alpha) \mid \alpha \in \mathbb{F}_q\}$  for  $\lambda \in \mathbb{F}_q$  is the desired spread. One may suppose that

$$W^0 = \langle e_1, \ldots, e_n \rangle_{\mathbb{F}_p}, W^\infty = \langle f_1, \ldots, f_n \rangle_{\mathbb{F}_p}$$

For a given symplectic spread  $\pi = \{W_i\}$ , its *automorphism group* Aut  $(\pi)$  is defined as the group  $\{\varphi \in CSp_{2n}(p) \mid \forall i \exists j \text{ s.t. } \varphi(W_i) = W_j\}$ . For example (see [KoT], Lemma 1.2.6),

$$\operatorname{Aut}(\pi_D) = SL_2(q) \cdot C_n \cdot C_{p-1}$$

the extension of  $SL_2(q)$  first by the Galois group of the extension  $\mathbb{F}_q/\mathbb{F}_p$  and then by the element  $\vartheta_n$ . Set

$$\Lambda(\pi) = \langle v(L) \mid L \in \pi \rangle_{\mathbb{Z}}.$$

Then, by Lemma 3.3,  $\Lambda(\pi)$  is a sublattice of  $\Lambda$  of determinant  $(a_n)^{p^n+1}$ , where  $a_n = (v(L), v(L))$  as in Lemma 3.2. In particular,

(7) 
$$\det V = \mathbb{Q}^{\bullet 2},$$

the fact we used in the proof of Theorem 1.1. Also, it shows that V contains no p-modular lattices (if  $p^n \equiv 1 \mod 4$ ).

Now we consider the standard symplectic spread  $\pi_D$ , and project v(M) and u(M), M a fixed Lagrangian, to the orthogonal basis  $(v(W^{\lambda}))$ :

$$v(M) = \sum_{\lambda \in \mathbb{F}_q \cup \{\infty\}} z_{\lambda} v(W^{\lambda}), \ u(M) = \sum_{\lambda \in \mathbb{F}_q \cup \{\infty\}} y_{\lambda} v(W^{\lambda}).$$

It is obvious that  $z_{\lambda} = a_n^{-1}(v(M), v(W^{\lambda})), y_{\lambda} = a_n^{-1}(u(M), v(W^{\lambda}))$ , and so

(8) 
$$\sum_{\lambda \in \mathbb{F}_q \cup \{\infty\}} (v(M), v(W^{\lambda}))^2 = a_n^2, \sum_{\lambda \in \mathbb{F}_q \cup \{\infty\}} (u(M), v(W^{\lambda}))^2 = a_n b_n$$

Proposition 3.4. In the notation of Lemma 3.2 one has

(i)  $a_k = p^{-(n-k)/2} \cdot a_n$  for odd  $k, 1 \le k \le n;$ (ii)  $(c_k)^2 = p^{k-n} \cdot a_n b_n$  for even  $k, 0 \le k < n.$ 

*Proof.* We shall proceed by induction on  $n = 1, 3, \ldots$ 

1) Applying (8) to  $M = W^{\infty}$  we get  $a_n b_n = p^n (c_0)^2$ . Next we take  $M = \langle e_1, f_2, \ldots, f_n \rangle_{\mathbb{F}_p}$  and write  $e_1 = (e, 0)$  for  $e \in \mathbb{F}_q^{\bullet}$ . Then  $M \cap W^{\infty} = \langle f_2, \ldots, f_n \rangle$  has dimension n-1. Furthermore, for an arbitrary  $\lambda \in \mathbb{F}_q$  one has

$$M \cap W^{\lambda} = \{ (xe, \lambda xe) \mid x \in \mathbb{F}_p, \langle (0, \lambda e), e_1 \rangle = 0 \} \\ = \{ (xe, \lambda xe) \mid x \in \mathbb{F}_p, \operatorname{tr}(\lambda e^2) = 0 \}.$$

Therefore, dim $(M \cap W^{\lambda})$  is equal to 1 for just  $p^{n-1}$  values of  $\lambda \in \mathbb{F}_q$ , and 0 for the other  $\lambda$ 's. Applying (8), one has  $p^{n-1}a_1^2 = a_n^2$ , i.e.  $a_1 = p^{-(n-1)/2}a_n$ . Thus we have proved Proposition 3.4 for  $a_1$  and  $c_0$  with  $n \ge 1$ . In particular, the induction base n = 1 has been established.

2) For the induction step we suppose  $n \geq 3$ . We already proved the desired relations for  $a_1$  and  $c_0$ . Put

$$W' = \langle e_1, \dots, e_{n-2}, f_1, \dots, f_{n-2} \rangle_{\mathbb{F}_p}, \ W'' = \langle e_{n-1}, e_n, f_{n-1}, f_n \rangle_{\mathbb{F}_p},$$

 $\begin{array}{l} U = \langle e_{n-1}, e_n \rangle_{\mathbb{F}_p}, \text{ and introduce the following subgroups in } S: \ B = St_S(W'), \\ S' = \{\varphi \in B \mid \varphi|_{W''} = 1_{W''}\}, \ S'' = \{\varphi \in B \mid \varphi|_{W'} = 1_{W'}\}, \ C = S'' \cap St_S(U), \\ K = S' \times C. \quad \text{Then } S' \simeq Sp(W') = Sp_{2n-4}(p), \ S'' \simeq Sp(W'') = Sp_4(p), \ C \simeq (C_p)^3 \cdot GL_2(p), \ B = S' \times S''. \text{ We also set } G' = \langle S', \vartheta_n \rangle, \ H = \langle K, \vartheta_n \rangle = G' \cdot C. \text{ It is well known that } \psi|_B = \psi' \otimes \psi'' + \tau' \otimes \tau'', \text{ where } \psi' \text{ (resp. } \tau') \text{ is an irreducible Weil character of } S' \text{ of degree } (p^{n-2} + 1)/2 \text{ (resp. } (p^{n-2} - 1)/2). \text{ Furthermore, } \\ \psi'' \text{ (resp. } \tau'') \text{ is an irreducible Weil character of } S'' \text{ of degree } (p^2 + 1)/2 \text{ (resp. } (p^2 - 1)/2). \text{ Arguing as in the proof of Proposition 3.1, we are convinced that } \\ \alpha := \tau''|_C \in \operatorname{Irr}(C), \text{ and } \psi''|_C = \delta + \beta, \text{ where } \beta \in \operatorname{Irr}(C) \text{ and } \delta(\varphi) = \left(\frac{\det(\varphi|_U)}{p}\right) \text{ for } \\ \varphi \in C. \text{ (In particular, } \delta(1) = 1.) \text{ Thus} \end{array}$ 

$$\psi|_K = \psi' \otimes \delta + \psi' \otimes \beta + \tau' \otimes \alpha$$

is a sum of three (pairwise distinct) irreducible constituents. From this it follows that

$$\chi|_K = (\psi' + \overline{\psi'}) \otimes \delta + (\psi' \otimes \beta + \overline{\psi'} \otimes \overline{\beta}) + (\tau' \otimes \alpha + \overline{\tau'} \otimes \overline{\alpha}).$$

Observe that  $\vartheta_n$  acts on S' as an outer automorphism, and  $\vartheta_n$  interchanges the characters  $\psi'$  and  $\overline{\psi'}$ . Furthermore,  $C \lhd H$ . Consequently,  $\chi|_H$  has a unique irreducible constituent in which C acts by scalars. This constituent affords K-character  $(\psi' + \overline{\psi'}) \otimes \delta$ . Also,

(9) 
$$(\chi|_C, \delta)_C = p^{n-2} + 1.$$

3) Next we consider the following  $\mathbb{Z}$ -submodule:

$$\Lambda' = \langle v(L) \mid L = L' \oplus U, L' \text{ Lagrangian in } W' \rangle_{\mathbb{Z}}$$

in A. (The symplectic form on W' is inherited from the one on W.) Clearly, H leaves  $\Lambda'$  fixed. Moreover, let  $L = L' \oplus U$ , L' a Lagrangian in W' and  $\varphi \in C$ . Then  $\varphi(L) = L$ . Hence, due to (6) the subgroup C acts on  $\Lambda'$  as scalars (and the corresponding character is  $\dim_{\mathbb{Z}} \Lambda' \cdot \delta$ ). By the result of 2),  $\dim_{\mathbb{Z}} \Lambda' = p^{n-2} + 1$ . Recall that we chose G' to be generated by S' = Sp(W') and  $\vartheta_n$ . Considering the natural action of G' on W', we conclude that  $G' \simeq CSp(W')$ . We want to find the kernel of G' acting on  $\Lambda'$ . To this end, consider a generating element  $z = \theta E_{2n-4}$ of the center  $C_{p-1}$  of CSp(W'). Then z acting on W has the following matrix: diag $(\theta E_{2n-4}, E_2, \theta^2 E_2)$  in the basis  $(e_1, \ldots, e_{n-2}, f_1, \ldots, f_{n-2}, e_{n-1}, e_n, f_{n-1}, f_n)$ . If  $L = L' \oplus U$  (L' any Lagrangian in W'), then due to (6) z(v(L)) = -v(L), as n is odd. Thus z acts on  $\Lambda'$  as multiplication by -1. We have shown that the lattice  $\Lambda'$  is in fact acted on by  $CSp_{2n-4}(p)/C_{(p-1)/2} = G_{n-2}^{-}$ , and this action affords S'-character  $\psi' + \overline{\psi'}$ . If we denote  $G'(L') = St_{G'}(L')$ , and define S'(L') similarly to (5), then of course  $G'(L') = G(L) \cap G'$ ,  $S'(L') = S(L) \cap G'$  for  $L = L' \oplus U$ . In other words, W',  $\Lambda'$ , L', v'(L') and u'(L') (generating vectors of  $\Lambda'(L')$  and  $\Lambda'^{-}(L')$ , cf. Proposition 3.1) play the same roles for G' as W,  $\Lambda$ , L, v(L) and u(L) do for G.

Observe that there are nonzero rational scalars s and t such that  $v'(L') = \pm sv(L)$ ,  $u'(L') = \pm tu(L)$ . Indeed,  $v(L) \in \Lambda'$  by the definition of  $\Lambda'$ , and v(L) is obviously fixed by S'(L'); hence  $v(L) \in \Lambda'(L')$ , and  $v'(L') = \pm sv(L)$  for some  $s \in \mathbb{Q}^{\bullet}$ . Next,  $\langle u(L) \rangle_{\mathbb{Z}}$  is a C-module with character  $\delta$  (cf. (6)). On the other hand,  $\Lambda'$  affords C-character  $(p^{n-2} + 1)\delta$ . Hence by (9) we have  $u(L) \in \Lambda' \otimes_{\mathbb{Z}} \mathbb{C}$ . From this it follows that  $u(L) \in \Lambda'^{-}(L') \otimes_{\mathbb{Z}} \mathbb{C}$ , i.e.  $u'(L') = \pm tu(L)$  for a certain  $t \in \mathbb{C}^{\bullet}$ . Observe that

$$st = \pm (u'(L'), v'(M'))/(u(L), v(M))$$

is a rational number, where L', M' are Lagrangians inside W' with  $\dim(L' \cap M') =$ n-3. Hence t is rational. We may suppose that s, t > 0.

Now we can apply the induction hypothesis to G' and  $\Lambda'$ . In doing so we consider two arbitrary Lagrangians L', M' of W' with  $\dim(L' \cap M') = k$ . Then for  $L = L' \oplus U, \ M = M' \oplus U \text{ one has } \dim(L \cap M) = k + 2, \text{ which implies that} \\ a'_k = |(v'(L'), v'(M'))| = s^2 |(v(L), v(M))| = s^2 a_{k+2}, \ b'_k = |(u'(L'), u'(M'))| = t^2 |(u(L), u(M))| = t^2 b_{k+2}, \ c'_k = |(u'(L'), v'(M'))| = st |(u(L), u(M))| = st c_{k+2}.$ By the induction hypothesis, for k odd we have

$$s^{2}a_{k+2} = a'_{k} = p^{(n-2-k)/2}a'_{n-2} = s^{2}p^{(n-(k+2))/2}a_{n},$$

i.e.  $a_{k+2} = p^{(n-(k+2))/2}a_n$ . Thus we have proved the desired relation for  $a_l$  with  $l = 3, 5, \ldots, n$ . Similarly, if k is even, then

$$s^{2}t^{2}(c_{k+2})^{2} = (c_{k}')^{2} = p^{k-n+2}a_{n-2}'b_{n-2}' = s^{2}t^{2}p^{k-n+2}a_{n}b_{n},$$

i.e.  $(c_{k+2})^2 = p^{k+2-n}a_nb_n$ . Thus we have proved the desired relation for  $c_l$  with  $l = 2, 4, \ldots, n-1$ . The induction step is over. 

**Corollary 3.5.** Rescale the 
$$v(L)$$
's such that  $(v(L), v(L)) = p^{(n-1)/2}$ . Then

$$(v(L), v(M)) = \begin{cases} \pm p^{(k-1)/2}, & \dim(L \cap M) = k \equiv 1 \mod 2, \\ 0, & \dim(L \cap M) \equiv 0 \mod 2. \end{cases}$$

The signs  $\pm$  involved in this corollary will be determined in §5, cf. Corollary 5.4.

Now we consider the endomorphism  $\sigma$  of V (constructed in Proposition 2.4 for  $p \equiv 1 \mod 4$  and in [SchT], §5 for  $p \equiv 3 \mod 4$ . Recall that  $\Lambda$  is a G-invariant lattice in V. Let  $\Gamma$  be the sublattice of  $\Lambda$  generated by v(L) with L running over all Lagrangians in W. Clearly, one can rescale the scalar product on V such that  $\nabla = \Gamma + \sigma(\Gamma)$  is an integral G-invariant  $\sigma$ -stable lattice lying in V. Also,  $\Gamma(L) = \Lambda(L)$  for any Lagrangian L. We can now apply Propositions 3.1, 3.4 and Lemmas 3.2, 3.3 to the lattice  $\nabla$ . Let  $\widetilde{v}(L)$ ,  $\widetilde{u}(L)$  be generating vectors of  $\nabla(L)$ ,  $\nabla^{-}(L).$ 

**Lemma 3.6.** For the lattice  $\nabla = \Gamma + \sigma(\Gamma)$  we have  $\widetilde{v}(L) = \pm v(L)$  and  $\widetilde{u}(L) = \omega(L)$  $\pm \sigma(v(L))$ . In particular, the parameters  $a_k, b_k, c_k$  of  $\nabla$  satisfy the following relations:

(i)  $b_k = pa_k$  for any k; (ii)  $c_k = p^{(k+1-n)/2} \cdot a_n$  for any even k.

*Proof.* Since  $\Gamma \subseteq \nabla$ ,  $v(L) = m\widetilde{v}(L)$  for some integer m. As  $\Gamma$  is generated by the v(L)'s,  $\Gamma \subseteq m\nabla$ , and so  $\nabla = \Gamma + \sigma(\Gamma) \subseteq m(\nabla + \sigma(\nabla)) = m\nabla$ , yielding  $m = \pm 1$ , i.e.  $\widetilde{v}(L) = \pm v(L)$ .

Recall that  $g\sigma = \left(\frac{\det(g|_W)}{p}\right)\sigma g$  for any  $g \in G$ . If  $g \in G(L)$ , then  $\det(g|_W) = \det(g|_L) \cdot \det(g|_{W/L})$ , and so  $\left(\frac{\det(g|_W)}{p}\right) = \left(\frac{\det(g|_L)}{p}\right) \cdot \xi_L(g)$ . Therefore, by (6) one has has

$$g\sigma(v(L)) = \left(\frac{\det(g|_W)}{p}\right)\sigma g(v(L))$$
$$= \left(\frac{\det(g|_W)}{p}\right) \cdot \left(\frac{\det(g|_L)}{p}\right)\sigma(v(L)) = \xi_L(g)\sigma(v(L)).$$

This means:  $\sigma(v(L)) \in \nabla^{-}(L)$ ; hence  $\sigma(v(L)) = k \cdot \widetilde{u}(L)$  for some  $k \in \mathbb{Z}$ . Similarly,

$$g\sigma(\widetilde{u}(L)) = \left(\frac{\det(g|_W)}{p}\right)\sigma g(\widetilde{u}(L))$$
$$= \left(\frac{\det(g|_W)}{p}\right) \cdot \xi_L(g)\sigma(\widetilde{u}(L)) = \left(\frac{\det(g|_L)}{p}\right)\sigma(\widetilde{u}(L)),$$

which implies that  $\sigma(\tilde{u}(L)) \in \nabla(L)$ . From this it follows that  $\sigma(\tilde{u}(L)) = l \cdot v(L)$  for some  $l \in \mathbb{Z}$ . In this case we have

$$\epsilon p \cdot v(L) = \sigma^2(v(L)) = \sigma(k \cdot \widetilde{u}(L)) = k\sigma(\widetilde{u}(L)) = kl \cdot v(L),$$

i.e.  $kl = \pm p$ . Assume  $k \neq \pm 1$ . Then  $k = \pm p$ ,  $l = \pm 1$ , and  $v(L) = \pm \sigma(\widetilde{u}(L))$  belongs to  $\sigma(\nabla)$ . Since  $\nabla$  is generated by the vectors v(L) and the sublattice  $\sigma(\Gamma)$  which is contained in  $\sigma(\nabla)$ , we conclude that  $\nabla \subseteq \sigma(\nabla)$ . Applying  $\sigma$  once more again, we get  $\nabla \subseteq \sigma^2(\nabla) = p\nabla$ , a contradiction. Hence  $k = \pm 1$ , i.e.  $\widetilde{u}(L) = \pm \sigma(v(L))$ .

Next we take L, M such that  $\dim(L \cap M) = k$ . Then

$$b_k = |(\widetilde{u}(L), \widetilde{u}(M))| = |(\sigma(v(L)), \sigma(v(M)))| = p|(v(L), v(M))| = pa_k$$

Furthermore, by Proposition 3.4 for even k one has

$$(c_k)^2 = p^{k-n}a_nb_n = p^{k+1-n}(a_n)^2$$

i.e.  $c_k = p^{(k+1-n)/2} \cdot a_n$ .

Remark 3.7. The assumption  $\Gamma = \langle v(L) | L$  any Lagrangian is essential for the conclusions of Lemma 3.6. For example, the parameters  $a_k$ ,  $b_k$  of the lattice  $\sigma(\nabla)$  satisfy  $a_k = pb_k$ .

A key ingredient in our further arguments is the following observation:

**Proposition 3.8.** Let  $(e_1, \ldots, e_n, f_1, \ldots, f_n)$  be any arbitrary symplectic basis of W, and let  $M, L^{\lambda}, \lambda \in \mathbb{F}_p$ , be Lagrangians with bases  $(f_1, \ldots, f_n)$ ,  $(e_1 + \lambda f_1, f_2, \ldots, f_n)$ , respectively. Then in the notation of Lemma 3.6 one has

$$\widetilde{u}(M) = \sum_{\lambda \in \mathbb{F}_p} d_{\lambda} v(L^{\lambda}), \ pv(M) = \sum_{\lambda \in \mathbb{F}_p} d'_{\lambda} \widetilde{u}(L^{\lambda})$$

with  $d_{\lambda}, d'_{\lambda} = \pm 1$ .

Proof. Observe that  $\dim(M \cap L^{\lambda}) = n - 1$ . Hence in accordance with Lemma 3.6 we have  $(\widetilde{u}(M), v(L^{\lambda})) = d_{\lambda}a_n$  with  $d_{\lambda} = \pm 1$ . Besides,  $(\widetilde{u}(M), \widetilde{u}(M)) = pa_n$  and  $(v(L^{\lambda}), v(L^{\lambda'})) = a_n \delta_{\lambda,\lambda'}$ . Hence, for  $v = \widetilde{u}(M) - \sum_{\lambda \in \mathbb{F}_p} d_{\lambda}v(L^{\lambda})$  we have  $(v, v) = 2pa_n - 2pa_n = 0$ , yielding  $\widetilde{u}(M) = \sum_{\lambda \in \mathbb{F}_p} d_{\lambda}v(L^{\lambda})$ . Applying  $\sigma$  to this identity, we obtain  $pv(M) = \sum_{\lambda \in \mathbb{F}_p} d'_{\lambda}\widetilde{u}(L^{\lambda})$ .

Now we are in a position to explicitly exhibit a G-invariant odd unimodular lattice in V.

**Theorem 3.9.** Let p be any odd prime and n any odd integer. For every Lagrangian L in W, choose a vector v(L) in  $V \otimes_{\mathbb{Q}} \mathbb{R}$  fixed by S(L) and such that  $(v(L), v(L)) = p^{(n-1)/2}$ . Then the lattice  $\Delta = \Delta(p, n)$  generated by all v(L)'s

$$\Delta = \langle v(L) \mid L \in \mathcal{L}(W) \rangle_{\mathbb{Z}}$$

is a  $\sigma$ -stable  $G_n^-$ -invariant odd unimodular lattice.

Proof. We start with some G-invariant integral lattice  $\Lambda$  and choose v'(L) to be a generating vector of the Z-module  $\Lambda(L)$ , L any Lagrangian. Then according to Lemma 3.3 and Proposition 3.4, (v'(L), v'(M)) = 0 if  $k = \dim(L \cap M)$  is even, and  $(v'(L), v'(M)) = \pm p^{(k-1)/2}a_1$  if k is odd. Here  $a_1$  is some natural integer. Now we set  $v(L) = a_1^{-1/2}v'(L)$  for all Lagrangians L. Clearly,  $v(L) \in V \otimes_{\mathbb{Q}} \mathbb{R}$ ,  $(v(L), v(L)) = p^{(n-1)/2}$  and v(L) is S(L)-stable. (We could assume  $v(L) \in V$ by means of rescaling the scalar product on V by the scalar  $a_1^{-1}$ .) Furthermore,

 $(v(L), v(M)) \in \mathbb{Z}$  for any L, M. We see that  $\Delta$  as defined in the theorem is a *G*-invariant *integral* lattice. Moreover, if  $\pi_D$  denotes the standard symplectic spread, then  $\Delta$  contains the sublattice

$$\Delta(\pi_D) = \langle v(L) \mid L \in \pi_D \rangle_{\mathbb{Z}}$$

of determinant  $p^{(n-1)(p^n+1)/2}$ . In particular, det  $\Delta$  is a power of p: det  $\Delta = p^m$  for some non-negative integer m.

If m = 0, we are done. Suppose that  $m \ge 1$ . Then consider the form  $(\bar{x}, \bar{y})_p = (x, y) \mod p$  on  $\Delta/p\Delta$ , where  $\bar{x} = x + p\Delta$ ,  $\bar{y} = y + p\Delta$ . As p divides det  $\Delta$ ,  $(\cdot, \cdot)_p$  is degenerate on  $\Delta/p\Delta$ . This means that  $p\Delta$  is a proper sublattice of  $\Delta \cap p\Delta^{\#}$ . If  $\Delta \cap p\Delta^{\#} = \Delta$ , then  $\Delta \subseteq p\Delta^{\#}$ ; in particular,  $(v(L), v(M)) \in p\mathbb{Z}$  for all L, M, contrary to the equality  $(v(L), v(M)) = \pm 1$  for dim $(L \cap M) = 1$ . Therefore,  $\Delta \supset \Delta \cap p\Delta^{\#} \supset p\Delta$ . One may then suppose that  $\Delta/(\Delta \cap p\Delta^{\#})$  affords the G-character  $\eta_1$  mentioned in Proposition 2.2 (iii). Since  $\Delta/(\Delta \cap p\Delta^{\#})$  supports the G-invariant non-degenerate symmetric bilinear form  $(\cdot, \cdot)_p, \eta_1$  is of quadratic type, contrary to Proposition 2.2 (iii).

2) By Lemma 3.6 and Proposition 3.8,  $\sigma(v(L))$  belongs to  $\Delta$  for any L. Hence  $\Delta$  is  $\sigma$ -stable.

**Corollary 3.10.** For the lattice  $\Delta = \Delta(p, n)$  and generating vectors v(L), u(L) of  $\Delta(L)$ ,  $\Delta^{-}(L)$ , we have  $u(L) = \pm \sigma(v(L))$ . In particular, the parameters  $a_k$ ,  $b_k$ ,  $c_k$  of  $\Delta$  satisfy the following relations:

(i)  $b_k = pa_k$  for any k; (ii)  $c_k = p^{(k+1-n)/2} \cdot a_n$  for any even k.

### 4. Explicit construction. II: n is even

Let p be an odd prime and n any even integer. In this section we exploit the results of §3 to describe an explicit construction of  $G_n^+$ -invariant p-modular lattices in  $V = V_n$ . Setting  $S' = S_{n+1} = Sp_{2n+2}(p)$ , we consider a natural  $\mathbb{F}_p S'$ -module  $W' = W_{n+1} = \mathbb{F}_p^{2n+2}$  endowed with a non-degenerate symplectic form  $\langle \cdot, \cdot \rangle$ . Fix some symplectic basis  $(e_1, \ldots, e_{n+1}, f_1, \ldots, f_{n+1})$  of W'. Consider the endomorphism  $\vartheta_{n+1}$  of W' with the matrix diag $(E_{n+1}, \theta E_{n+1})$ , and set  $G' = G_{n+1} = \langle S', \vartheta'_n \rangle \simeq CSp_{2n+2}(p)$ . Now we can embed W into  $W', S = S_n$ into  $S', G = G_n$  into G' by means of setting  $W = \langle e_1, \ldots, e_n, f_1, \ldots, f_n \rangle_{\mathbb{F}_p}$ ,  $S = St_{S'}(e_{n+1}, f_{n+1}), G = \langle S, \vartheta_{n+1} \rangle$ . Clearly,  $G \simeq CSp_{2n}(p)$ , and one can identify  $\vartheta_{n+1}$  with  $\vartheta_n$ . Choose an irreducible Weil character  $\psi'$  of S' of degree  $(p^{n+1}+1)/2$ such that  $(\psi'|_S, \psi)_S > 0$ . Let  $\chi'$  be the rational irreducible character of G' of degree  $p^{n+1} + 1$  and with kernel  $C_{(p-1)/2}$ , and let  $V' = V_{n+1}$  be an irreducible  $\mathbb{Q}G'$ -module with character  $\chi'$  (cf. Proposition 2.3). Thus V' is a faithful  $G_{n+1}^{-1}$ -module.

We collect several facts from [SchT] and §3. For any Lagrangian L' in W' set

$$G'(L') = \{\varphi \in G' \mid \varphi(L') = L'\}, \ S'(L') = \{\varphi \in G'(L') \mid \det(\varphi|_{L'}) \in \mathbb{F}_p^{\bullet 2}\}.$$

The subspace  $\{v \in V' \mid \forall \varphi \in S'(L'), \varphi(v) = v\}$  has dimension 1. Therefore, one can choose an S'(L')-stable vector v(L') such that  $(v(L'), v(L')) = p^{n/2}$ . Then the lattice

$$\Delta' = \Delta(p, n+1) = \langle v(L') \mid L' \in \mathcal{L}(W') \rangle_{\mathbb{Z}}$$

is an odd unimodular G'-invariant lattice in V'. Moreover,  $\Delta'$  has a  $\mathbb{Z}$ -linear endomorphism  $\sigma$  with the following properties:

(a)  $\sigma$  commutes with S', and  $\sigma \vartheta_{n+1} = -\vartheta_{n+1}\sigma$ ;

(b)  $\sigma^2(v) = \epsilon pv$ ,  $(\sigma(u), v) = \epsilon(u, \sigma(v))$ ,  $(\sigma(u), \sigma(v)) = p(u, v)$  for any  $u, v \in V'$ , where  $\epsilon = (-1)^{(p-1)/2}$ .

Let L', M' are any Lagrangians in W', and set  $u(L') = \sigma(v(L'))$ . If  $k = \dim_{\mathbb{F}_p}(L' \cap M')$  is odd, then  $a_k = |(v(L'), v(M'))| = p^{(k-1)/2}$ ,  $b_k = |(u(L'), u(M'))| = p^{(k+1)/2}$ ,  $c_k = |(u(L'), v(M'))| = 0$ . If k is even, then  $a_k = b_k = 0$ , and  $c_k = p^{k/2}$ . The descent from  $G_{n+1}^-$  to  $G_n^+$  is provided by the following statement. Denote  $U = \langle e_{n+1} \rangle_{\mathbb{F}_p}$ ,  $W'' = \langle e_{n+1}, f_{n+1} \rangle_{\mathbb{F}_p}$ .

**Proposition 4.1.** The subspace  $V = \langle v(L') | L' = L \oplus U, L \in \mathcal{L}(W) \rangle_{\mathbb{Q}}$  of V' is a faithful absolutely irreducible  $\mathbb{Q}G_n^+$ -module of dimension  $p^n + 1$ . Moreover, V is  $\sigma$ -stable.

Proof. 1) We introduce the following subgroups in  $S': B = St_{S'}(W), S'' = \{\varphi \in B \mid \varphi_W = 1_W\}, C = S'' \cap St_{S'}(U), K = S \times C.$  Then  $S'' \simeq Sp(W'') = Sp_2(p), C \simeq C_p \cdot GL_1(p), B = S \times S''.$  By our definition,  $G = \langle S, \vartheta_{n+1} \rangle$ . We also set  $H = \langle K, \vartheta_{n+1} \rangle = G \cdot C.$  It is well known that  $\psi'|_B = \psi \otimes \psi'' + \tau \otimes \tau''$ , where  $\psi''$  (resp.  $\tau''$ ) is an irreducible Weil character of S'' of degree (p+1)/2 (resp. (p-1)/2). Furthermore,  $\tau$  is an irreducible Weil character of S of degree  $(p^n - 1)/2$  ( $\psi_1$  in the notation of §1). It is easy to check that  $\alpha := \tau''|_C \in \operatorname{Irr}(C)$ , and  $\psi''|_C = \delta + \beta$ , where  $\beta \in \operatorname{Irr}(C)$  and  $\delta(\varphi) = \left(\frac{\det(\varphi|_U)}{p}\right)$  for  $\varphi \in C.$  (In particular,  $\delta(1) = 1.$ ) Observe that  $\beta \neq \delta$ . It is so if p > 3, since in this case  $\beta(1) = (p-1)/2 > 1$ . If p = 3, then  $\mathbb{Q}(\beta) = \mathbb{Q}(\sqrt{-3}) \neq \mathbb{Q} = \mathbb{Q}(\delta)$ . Thus

$$\psi'|_K = \psi \otimes \delta + \psi \otimes \beta + \tau \otimes \alpha$$

is a sum of three (pairwise distinct) irreducible constituents. From this it follows that

 $\chi|_K = (\psi + \overline{\psi}) \otimes \delta + (\psi \otimes \beta + \overline{\psi} \otimes \overline{\beta}) + (\tau \otimes \alpha + \overline{\tau} \otimes \overline{\alpha}).$ 

Observe that  $\vartheta_{n+1}$  acts on S as an outer automorphism, and  $\vartheta_{n+1}$  interchanges the characters  $\psi$  and  $\overline{\psi}$ . Furthermore,  $C \lhd H$ . Consequently,  $\chi|_H$  has a unique irreducible constituent, say  $\gamma$ , in which C acts via a multiple of the character  $\delta$ . This constituent  $\gamma$  affords K-character ( $\psi + \overline{\psi}$ )  $\otimes \delta$ .

2) Next we observe that H leaves V fixed. Moreover, let  $L' = L \oplus U$ , let L be a Lagrangian in W and  $\varphi \in C$ . Then  $\varphi(L) = L$ . Hence, due to (6) the subgroup C acts on V as scalars (and the corresponding character is  $\dim_{\mathbb{Q}} V \cdot \delta$ ). By the result of 1),  $\dim_{\mathbb{Q}} V = p^n + 1$ . Recall that we chose  $G \simeq CSp(W)$  to be generated by S = Sp(W) and  $\vartheta_{n+1}$ . We want to find the kernel of G acting on V. For consider a generating element  $z = \theta E_{2n}$  of the center  $C_{p-1}$  of CSp(W). Then z acting on W' has the following matrix:  $\operatorname{diag}(\theta E_{2n}, 1, \theta^2)$  in the basis  $(e_1, \ldots, e_n, f_1, \ldots, f_n, e_{n+1}, f_{n+1})$ . If  $L' = L \oplus U$  (L any Lagrangian in W), then due to (6) z(v(L')) = v(L'), as n is even. Thus z acts trivially on V. We have shown that V is in fact acted on by  $CSp_{2n}(p)/C_{p-1} = G_n^+$ , and this action affords  $G_n^+$ -character  $\chi^+$  (cf. Proposition 2.3).

3) Finally, we show that  $\sigma(V) = V$ . Recall that the endomorphism  $\sigma$  centralizes S'. In particular,  $\sigma$  centralizes K. Hence, the subspace  $\sigma(V)$  affords the same K-character as of V. Since  $\vartheta_{n+1}(V) = V$  and  $\vartheta_{n+1}\sigma = -\sigma\vartheta_{n+1}$ ,  $\sigma(V)$  is  $\vartheta_{n+1}$ -stable, that is,  $\sigma(V)$  is an H-module. By the results of 1),  $\sigma(V)$  also affords the H-character  $\gamma$ . As  $\gamma$  is irreducible and it enters  $\chi'|_H$  with multiplicity 1,  $\sigma(V) = V$ .

Now we are in a position to give some more explicit lattice constructions. We start with  $(p^n + 1)/2$ -dimensional lattices. Let  $R = Sp_{2m}(q)$ , where  $q = p^{2f}$ . Then

*R* has two irreducible Weil characters  $\varrho$ ,  $\varrho^*$  of degree  $(q^m + 1)/2$ . These characters are conjugate under some outer automorphism of *R*. Both of them are rational, as shown in [Gro]. We want to expose an explicit construction for  $\mathbb{Z}R$ -lattices of dimension  $(q^m + 1)/2$ . To this end, put n = mf. Consider the natural  $\mathbb{F}_q R$ module  $W^{(f)} = \mathbb{F}_q^{2m}$  endowed with a non-degenerate  $\mathbb{F}_q$ -valued symplectic form  $\langle \cdot, \cdot \rangle_{(f)}$ . Then we can identify *W* with  $W^{(f)}$  viewed as  $\mathbb{F}_p$ -space and assume that  $\langle u, v \rangle = \operatorname{Tr}_{\mathbb{F}_q/\mathbb{F}_p} \langle u, v \rangle_{(f)}$ . This identification embeds  $R = Sp(W^{(f)})$  canonically in  $S_n = Sp(W)$ . One may also suppose that  $\varrho = \psi|_R$ . Clearly, any Lagrangian in  $W^{(f)}$  (that is, an *m*-dimensional  $\mathbb{F}_q$ -subspace in  $W^{(f)}$  which is totally isotropic w.r.t.  $\langle \cdot, \cdot \rangle_{(f)}$ ) is also a Lagrangian in *W*. We call these special Lagrangians  $\mathbb{F}_q$ -Lagrangians in *W*.

Theorem 4.2. Keep the above notation. Set

 $\Delta(q,m) = \langle v(L') \mid L' = L \oplus U, \ L \ any \ \mathbb{F}_q \text{-Lagrangian in } W \rangle_{\mathbb{Z}}.$ 

Then  $\Delta(q,m)$  is an R-invariant integral lattice affording the Weil character  $\varrho$ .

*Proof.* In addition to  $\Gamma := \Delta(q, m)$  we consider

 $\Gamma' = \langle u(L') \mid L' = L \oplus U, L \text{ an } \mathbb{F}_q$ -Lagrangian in  $W \rangle_{\mathbb{Z}}$ .

Clearly,  $\Gamma$  and  $\Gamma'$  are invariant under R. We have mentioned that the restriction  $\psi|_R$  is equal to  $\varrho$  and so it is irreducible. Hence  $\chi|_R = 2\varrho$ . From this it follows that  $\dim_{\mathbb{Z}} \Gamma$  and  $\dim_{\mathbb{Z}} \Gamma'$  are at least  $\varrho(1) = (p^n + 1)/2$ . Observe that  $\Gamma \perp \Gamma'$ . For, if L, M are  $\mathbb{F}_q$ -Lagrangians in W, then  $\dim_{\mathbb{F}_p}(L \cap M) = 2f \cdot \dim_{\mathbb{F}_p}(L \cap M)$  is always even. This implies that  $\dim_{\mathbb{F}_p}(L' \cap M')$  is odd, and so (v(L'), u(M')) = 0 by Lemma 3.3. By Proposition 4.1,  $\Gamma$  and  $\Gamma'$  are contained in the  $\mathbb{Q}$ -space V of dimension  $p^n + 1$ , and the scalar product on V is positive definite. Hence we must have  $\dim_{\mathbb{Z}} \Gamma = \varrho(1)$ , and  $\Gamma = \Delta(q, m)$  is an R-invariant integral lattice affording the Weil character  $\varrho$ .

**Corollary 4.3.** In the notation of Proposition 4.1, det  $V = p\mathbb{Q}^{\bullet 2}$ . On the other hand, if  $p \equiv 1 \mod 4$  and V is considered as a  $G_n^-$ -module by means of Proposition 2.4, then det  $V = \mathbb{Q}^{\bullet 2}$ .

*Proof.* The proof of Theorem 4.2 shows that V contains the lattice  $\Gamma \oplus \sigma(\Gamma)$  of determinant det  $\Gamma \cdot \det \sigma(\Gamma) = p^{(p^n+1)/2} (\det \Gamma)^2 \in p\mathbb{Q}^{\bullet 2}$ . The other claim follows from the oddness of  $(p^n + 1)/2$ .

Theorem 4.4. Keep the above notation. Set

 $\Delta = \Delta(p, n) = \langle v(L') \mid L' = L \oplus U, L \in \mathcal{L}(W) \rangle_{\mathbb{Z}}.$ 

Then  $\Delta$  is a  $G_n^+$ -invariant p-modular lattice.

*Proof.* Recall that the scalar product on V is inherited from the one on V', and the dual  $\Delta^{\#}$  to  $\Delta$  is taken under this scalar product. Clearly,  $\Delta$  is fixed by  $G_n^+$ . Applying Proposition 3.8, we see that  $u(L') = \sigma(v(L'))$  is contained in  $\Delta$  for any L, and  $\Delta$  is  $\sigma$ -stable.

1) First assume that det  $\Delta$  is divisible by some prime  $r \neq 2, p$ . Consider the form  $(\bar{x}, \bar{y})_r = (x, y) \mod r$  on  $\Delta/r\Delta$ , where  $\bar{x} = x + r\Delta$ ,  $\bar{y} = y + r\Delta$ . As r divides det  $\Delta$ , this G-invariant symmetric bilinear form is degenerate, and so its kernel  $(\Delta \cap r\Delta^{\#})/r\Delta$  is nonzero, i.e.  $\Delta \supseteq \Delta \cap r\Delta^{\#} \supseteq r\Delta$ . By Proposition 2.2 (i), this means  $\Delta = \Delta \cap r\Delta^{\#}$ . Hence  $(u, v) \in r\mathbb{Z}$  for any  $u, v \in \Delta$ . In the meantime,

 $(v(L'), v(M')) = \pm 1$  for  $L' = L \oplus U$ ,  $M' = M \oplus U$  with  $\dim(L \cap M) = 0$ , a contradiction.

2) At this point we show that det  $\Delta$  is odd. Suppose the contrary: 2 divides det  $\Delta$ . Consider the form  $(\bar{x}, \bar{y})_2 = (x, y) \mod 2$  on  $\Delta/2\Delta$ , where  $\bar{x} = x + 2\Delta$ ,  $\bar{y} = y + 2\Delta$ . As  $2 | \det \Delta, \Delta' =: \Delta \cap 2\Delta^{\#}$  contains properly  $2\Delta$ . Since  $\Delta$  is an odd lattice, its even part  $\Delta^0 = \{v \in \Delta \mid (v, v) \in 2\mathbb{Z}\}$  is a sublattice of index 2 in  $\Delta$ . Moreover,  $\Delta^0 \supset \Delta'$ . (For  $\Delta'$  is clearly contained in  $\Delta^0$ . On the other hand,

$$(v(L') + u(L'), v(L') + u(L')) \in 2\mathbb{Z}, \ (v(L') + u(L'), v(L')) = p^{n/2} \notin 2\mathbb{Z},$$

i.e.  $v(L') + u(L') \in \Delta^0 \setminus \Delta'$ .) Applying Proposition 2.2 (ii), we see that  $A \supset B \supset C \supset 0$  is a composition series for the  $\mathbb{F}_2G$ -module  $A = \Delta/2\Delta$ , where  $B = \Delta^0/2\Delta$ ,  $C = \Delta'/2\Delta$ .

We exhibit one more nonzero proper submodule inside B. Set  $\Gamma = \langle v + \sigma(v) | v \in \Delta \rangle_{\mathbb{Z}} + 2\Delta$ . Since  $g\sigma = \pm \sigma g$  for all  $g \in G$ ,  $\Gamma$  is G-stable. Furthermore,

$$(u + \sigma(u), v + \sigma(v)) = (p+1)(u, v) + (1+\epsilon)(u, \sigma(v)) \in 2\mathbb{Z}$$

due to the properties of the endomorphism  $\sigma$ . Thus  $D = \Gamma/2\Delta$  is a *G*-submodule of *B* and *D* is totally isotropic w.r.t.  $(\cdot, \cdot)_2$ . Since  $(v(L') + u(L'), v(L')) = p^{n/2}$ , we see that  $v(L') + u(L') \in \Gamma \setminus \Delta'$ . From this it follows that  $0 \neq D \neq C$ . Since  $B \supset C \supset 0$ is a composition series for *B*, we must have B = C + D. But  $C = \text{Ker}(\cdot, \cdot)_2$ ; hence we come to the conclusion that *B* is totally isotropic w.r.t.  $(\cdot, \cdot)_2$ . On the other hand, choosing

$$L_{1} = \langle e_{1}, \dots, e_{n}, e_{n+1} \rangle_{\mathbb{F}_{p}}, \ L_{2} = \langle f_{1}, \dots, f_{n}, e_{n+1} \rangle_{\mathbb{F}_{p}},$$
$$L_{3} = \langle e_{1}, \dots, e_{n-2}, e_{n-1} + f_{n-1}, f_{n}, e_{n+1} \rangle_{\mathbb{F}_{p}},$$

we get  $v(L_1) + v(L_2), v(L_1) + v(L_3) \in \Delta^0$  with

$$(v(L_1) + v(L_2), v(L_1) + v(L_3)) \equiv p^{n/2} + p^{(n-2)/2} + 1 + 0 \equiv 1 \mod 2,$$

a contradiction.

3) Observe that  $p\Delta^{\#} \supseteq \sigma(\Delta)$ . (Indeed,  $\Delta$  is generated by the vectors v(L'), and  $\sigma(\Delta)$  is generated by the vectors u(M'), with  $L' = L \oplus U$ ,  $M' = M \oplus U$ , L, M arbitrary Lagrangians in W. It is obvious that  $k = \dim(L' \cap M') \ge 1$ . But  $|(v(L'), u(M'))| = c_k$  is 0 if k is odd, and  $p^{k/2}$  if k is even. Hence  $c_k$  is divisible by p.) In fact we have

(10) 
$$\Delta \cap p\Delta^{\#} = \sigma(\Delta).$$

For, assume the contrary. Then  $\Delta \supseteq \Delta \cap p\Delta^{\#} \supset \sigma(\Delta) \supset p\Delta$ . By Proposition 2.2 (iii)  $\Delta/\sigma(\Delta)$  is an irreducible  $\mathbb{F}_pG$ -module. Hence  $\Delta \cap p\Delta^{\#} = \Delta$ ,  $\Delta \subseteq p\Delta^{\#}$ . The last inclusion contradicts the equality  $(v(L'), v(M')) = \pm 1$  for dim $(L' \cap M') = 1$ .

In addition to (10) we show that

(11) 
$$\Delta \cap p^2 \Delta^{\#} \subseteq p \Delta.$$

To this end we denote  $\Lambda = \Delta \cap p\Delta^{\#}$ . Then  $\Lambda \cap p^2\Lambda^{\#}$  is a proper sublattice of  $\Lambda$ , because  $u(L'), u(M') \in \Lambda$  and  $(u(L'), u(M')) = \pm p$  provided that  $\dim(L' \cap M') = 1$ . Furthermore,  $(\Delta \cap p\Delta^{\#}, p\Delta) \subseteq p^2\mathbb{Z}$ . Thus we have

$$p\Delta \subseteq \Lambda \cap p^2 \Lambda^{\#} \subset \Lambda = \sigma(\Delta).$$

Now the irreducibility of the  $\mathbb{F}_p G$ -module  $\sigma(\Delta)/p\Delta$  implies that  $p\Delta = \Lambda \cap p^2 \Lambda^{\#}$ . Keeping in mind that

$$\Lambda \cap p^2 \Lambda^{\#} = (\Delta \cap p \Delta^{\#}) \cap (p \Delta + p^2 \Delta^{\#}) \supseteq \Delta \cap p^2 \Delta^{\#},$$

one obtains (11).

4) By the results of 1) and 2), det  $\Delta$  is not divisible by any prime r other than p. Hence det  $\Delta = p^m$  and so  $\Delta \supseteq p^m \Delta^{\#}$  for some non-negative integer m. Choose the minimal non-negative integer  $\ell$  such that  $\Delta \supseteq p^{\ell} \Delta^{\#}$ . If  $\ell = 0$ , then by (10) one has  $\sigma(\Delta) = p\Delta$ , a contradiction. Assume that  $\ell \ge 2$ . Then applying (11) we have

$$p^{\ell} \Delta^{\#} \subseteq \Delta \cap p^2 \Delta^{\#} \subseteq p \Delta$$

i.e.  $p^{\ell-1}\Delta^{\#} \subseteq \Delta$ , contrary to the choice of  $\ell$ . Hence  $\ell = 1$ . In this case (10) yields  $p\Delta^{\#} = \sigma(\Delta), \ \Delta = \sigma(\Delta^{\#})$ . In other words,  $\Delta$  is *p*-modular.

From now on, when considering  $\Delta(p, n)$  with n even, we denote  $v(L \oplus U)$  by v(L) (L a Lagrangian in W) and then forget the initial descent  $n + 1 \rightsquigarrow n$ . In particular,  $(v(L), v(M)) = \pm p^{k/2}$  if  $k = \dim(L \cap M)$  is even, and 0 otherwise. The signs involved in this formula will be determined in the next section.

#### 5. MASLOV INDEX AND GRAM MATRIX

Let k be any field of characteristic other than 2 and  $S(k) = Sp_{2n}(k)$ . If  $k = \mathbb{C}$ or k is a finite field (and  $(n, |k|) \neq (1, 9)$ ), then it is well known that S(k) is simply connected. However, if k is  $\mathbb{R}$  or any local field, then S(k) is not simply connected, and S(k) has a double covering group called the *metasymplectic group*. An important role in physics is played by a faithful complex representation of the metasymplectic group called the *Shale-Weil representation*. A key ingredient of constructing this representation is *Maslov index* (or *Maslov-Kashiwara index*), which is defined on triples of Lagrangians inside the symplectic space  $k^{2n}$ . For more detail the reader is referred to [LiV].

Remarkably, we can define a discrete analogue of Maslov index for  $Sp_{2n}(p)$ , which enables one to completely determine the Gram matrices of the lattices  $\Delta(p, n)$ , nany integer and p any odd prime (cf. Theorems 3.9, 4.4), and the lattices  $\Delta^{-}(p, n)$ (in the case  $p \equiv 1 \mod 4$ ). Here,  $\Delta^{-}(p, n)$  is obtained from  $\Delta(p, n)$  by means of Proposition 2.4 (with  $G^{+} = G_{n}^{-}$  if n is odd and  $G^{+} = C_{2} \times G_{n}^{+}$  if n is even). Throughout this section, Lagrangians are considered oriented.

First we deal with the lattices  $\Delta(p, n)$ . Fix an oriented Lagrangian  $L_0$  with an ordered basis  $(u_1, \ldots, u_n)$  (for short:  $L_0 = (u_1, \ldots, u_n)$ ), and a generating vector  $v(L_0)$  of  $\Delta(L_0)$ . For an arbitrary oriented Lagrangian  $M = (v_1, \ldots, v_n)$  we find an element  $\nu_M \in Sp_{2n}(p)$  such that  $\nu_M(u_i) = v_i$  for all i, and set  $v(M) = \nu_M(v(L_0))$ . It is easy to see that this definition does not depend on the choice of  $\nu_M$ . Finally, we put  $u(L) = \sigma(v(L))$  (cf. Lemma 3.6).

**Definition 5.1.** Let p be any odd prime and n any integer. Let L, M be arbitrary oriented Lagrangians in  $W = \mathbb{F}_p^{2n}$ . Then the *index* [L, M] of the ordered pair (L, M) is defined to be  $\left(\frac{\det F}{p}\right)$ , where the matrix F is defined as follows. Let  $\dim(L \cap M) = k$ , choose ordered bases

$$(u_1, \ldots, u_k, v_1, \ldots, v_{n-k}), (u_1, \ldots, u_k, w_1, \ldots, w_{n-k})$$

of L, M, respectively; set  $F := F(L, M) := (\langle v_i, w_j \rangle)_{1 \le i, j \le n-k}$ .

**Proposition 5.2.** The index is well defined. It is symmetric and  $G_n$ -invariant on pairs (L, M) with  $n - \dim(L \cap M)$  even. Moreover, if L, M, L', M' are oriented Lagrangians and  $\dim(L \cap M) = \dim(L' \cap M')$ , then

$$\begin{split} & [L,M](v(L),v(M)) = [L',M'](v(L'),v(M')), \\ & [L,M](u(L),v(M)) = [L',M'](u(L'),v(M')), \\ & [L,M](u(L),u(M)) = [L',M'](u(L'),u(M')). \end{split}$$

*Proof.* First we show that  $\left(\frac{\det F}{p}\right)$  is independent of the bases chosen. For, suppose

$$(u'_1, \ldots, u'_k, v'_1, \ldots, v'_{n-k}), \ (u'_1, \ldots, u'_k, w'_1, \ldots, w'_{n-k})$$

are other ordered bases of

$$L = (u_1, \ldots, u_k, v_1, \ldots, v_{n-k}), \ M = (u_1, \ldots, u_k, w_1, \ldots, w_{n-k}).$$

Then the transition matrices (from the old bases to the new bases) are  $\begin{pmatrix} A & X \\ 0 & B \end{pmatrix}$ and  $\begin{pmatrix} A & Y \\ 0 & C \end{pmatrix}$ , where  $A \in GL_k(p)$ ,  $X, Y \in M_{k,n-k}(\mathbb{F}_p)$ ,  $B, C \in GL_{n-k}(p)$ . Since L, M are oriented, det  $A \cdot \det B$  and det  $A \cdot \det C$  belong to  $\mathbb{F}_p^{\bullet 2}$ . Clearly, F is changed to  ${}^t\!BFC$  and  $\left(\frac{\det F}{n}\right) = \left(\frac{\det {}^t\!BFC}{n}\right)$ .

changed to  ${}^{t}\!BFC$  and  $\left(\frac{\det F}{p}\right) = \left(\frac{\det {}^{t}\!BFC}{p}\right)$ . If  $g \in G_n$  and  $\langle gu, gv \rangle = \lambda \cdot \langle u, v \rangle$  for all  $u, v \in V$ , then  $[g(L), g(M)] = \left(\frac{\lambda}{p}\right)^{n-k} [L, M]$ . Furthermore,  $[M, L] = \epsilon^{n-k} [L, M]$ . In particular, [L, M] is symmetric and  $G_n$ -invariant on pairs (L, M) with  $n - \dim(L \cap M)$  even.

Finally, assume

$$L = (u_1, \dots, u_k, v_1, \dots, v_{n-k}), \quad M = (u_1, \dots, u_k, w_1, \dots, w_{n-k}),$$
  
$$L' = (u'_1, \dots, u'_k, v'_1, \dots, v'_{n-k}), \quad M' = (u'_1, \dots, u'_k, w'_1, \dots, w'_{n-k})$$

are oriented Lagrangians in W. Then there exists  $g \in Sp_{2n}(p)$  such that  $g(L) = \pm L', g(M) = \pm M'$ . Let  $\begin{pmatrix} A & X \\ 0 & B \end{pmatrix}$  (resp.  $\begin{pmatrix} A & Y \\ 0 & C \end{pmatrix}$ ) be the transition matrix from the basis  $(g(u_1), \ldots, g(u_k), g(v_1), \ldots, g(v_{n-k}))$  of g(L) to the basis  $(u'_1, \ldots, u'_k, v'_1, \ldots, v'_{n-k})$  of L' (resp. from the basis  $(g(u_1), \ldots, g(u_k), g(w_1), \ldots, g(w_{n-k}))$ ) to  $(u'_1, \ldots, u'_k, w'_1, \ldots, w'_{n-k})$ ). Then

$$v(L') = \left(\frac{\det A \cdot \det B}{p}\right) g(v(L)), \ v(M') = \left(\frac{\det A \cdot \det C}{p}\right) g(v(M))$$

and so  $(v(L'), v(M')) = \left(\frac{\det B \cdot \det C}{p}\right)(v(L), v(M))$ . On the other hand, one can show that  $F(L', M') = {}^t\!B \cdot F(L, M) \cdot C$ , yielding  $[L', M'] = \left(\frac{\det B \cdot \det C}{p}\right)[L, M]$ . Hence [L, M](v(L), v(M)) = [L', M'](v(L'), v(M')). The identities

$$\begin{split} [L,M](u(L),v(M)) &= [L',M'](u(L'),v(M')), \\ [L,M](u(L),u(M)) &= [L',M'](u(L'),u(M')) \end{split}$$

are proved in the same way.

**Theorem 5.3.** Let p be any odd prime and n any integer, and let  $\epsilon = (-1)^{(p-1)/2}$ . Under the above notation one has

$$(v(L), v(M)) = (\epsilon/p)^{(n-k)/2} p^{[n/2]}[L, M]$$

for any oriented Lagrangians L, M with  $k = \dim(L \cap M)$  and n - k even.

*Proof.* By Corollary 3.5, Theorem 4.4 and Proposition 5.2, there are constants  $C_k = \pm 1$  such that  $[L, M](v(L), v(M)) = p^{[n/2] - (n-k)/2}C_k$  for any oriented Lagrangians L, M with  $k = \dim(L \cap M)$  and n - k even. We want to show that

(12) 
$$C_k = \epsilon^{(n-k)/2}$$

Clearly, (12) holds for k = n.

1) At this point we prove (12) for k = n - 2 (and  $n \ge 2$ ). Because of the descent  $n \rightsquigarrow n - 1$  used in Theorem 4.4, we can restrict ourselves to the case n is odd (and so  $n \ge 3$ ). In order to determine  $C_{n-2}$ , we use the standard spread  $\{W^{\infty}, W^{\lambda} \mid \lambda \in \mathbb{F}_q\}$  of  $W = \mathbb{F}_p^{2n}$  (see the discussion before (7)). As usual, we assume that  $\langle e_i, f_j \rangle = \delta_{i,j}$ , where  $W^0 = (e_1, \ldots, e_n), W^{\infty} = (f_1, \ldots, f_n)$ . Due to our identification of W with  $\mathbb{F}_q^2, q = p^n$ , we have  $e_i = (\alpha_i, 0), f_i = (0, \beta_i)$  for any i and some  $\alpha_i, \beta_i \in \mathbb{F}_q$ . Observe that there is a map from  $Sp_{2n}(p)$  which sends the oriented Lagrangian  $L_0 := W^0$  to  $W^{\infty}$  (resp. to  $W^{\lambda} = ((\alpha_1, \lambda \alpha_1), \ldots, (\alpha_n, \lambda \alpha_n)), \lambda \in \mathbb{F}_q$ ). Now take

$$L = (e_1, f_2, f_3, \dots, f_n), \ M = (f_1, e_2, f_3, \dots, f_n).$$

Then  $\dim(L \cap M) = n-2$ ,  $[L, M] = \epsilon$ . Since  $\dim(L \cap W^{\infty}) = \dim(M \cap W^{\infty}) = n-1$ , we have

$$v(L) = \sum_{\lambda \in \mathbb{F}_q} a_{\lambda} v(W^{\lambda}), \ v(M) = \sum_{\lambda \in \mathbb{F}_q} b_{\lambda} v(W^{\lambda})$$

and so

(13) 
$$\epsilon p^{(n-3)/2}C_{n-2} = (v(L), v(M)) = p^{(n-1)/2} \sum_{\lambda \in \mathbb{F}_q} a_\lambda b_\lambda.$$

One easily sees that  $a_{\lambda} \neq 0$  if and only if  $\operatorname{tr}(\lambda(\alpha_1)^2) = 0$ . Similarly,  $b_{\lambda} \neq 0$  if and only if  $\operatorname{tr}(\lambda(\alpha_2)^2) = 0$ . Observe that  $(\alpha_1)^2$  and  $(\alpha_2)^2$  are linearly independent over  $\mathbb{F}_p$ ; otherwise  $\mathbb{F}_q$  would contain  $\mathbb{F}_p(\alpha_1/\alpha_2) = \mathbb{F}_{p^2}$ , contrary to the assumption that n is odd. Hence  $a_{\lambda}b_{\lambda} \neq 0$  for exactly  $p^{n-2}$  values of  $\lambda \in \mathbb{F}_q$ . Moreover, if  $a_{\lambda}b_{\lambda} \neq 0$ , then  $a_{\lambda}b_{\lambda} = p^{1-n}$ , since in this case  $\dim(L \cap W^{\lambda}) = \dim(M \cap W^{\lambda}) = 1$ and  $[W^{\lambda}, L] = [W^{\lambda}, M] = 1$ . Bearing (13) in mind, we obtain  $C_{n-2} = \epsilon$ , as stated. 2) Here we show that

(14) 
$$C_{n-2[n/2]} = C_{n+2-2[n/2]}C_{n-2}$$

for any  $n \ge 2$ .

2a) Because of the descent  $n \rightsquigarrow n-1$  used in Theorem 4.4, we can restrict ourselves to the case *n* is even. In order to prove (14):  $C_0 = C_2 C_{n-2}$ , we consider the standard spread  $\{W^{\infty}, W^{\lambda} \mid \lambda \in \mathbb{F}_q\}$  of  $W = \mathbb{F}_p^{2n}$ . As above, we assume that  $\langle e_i, f_j \rangle = \delta_{i,j}$ , where  $W^0 = (e_1, \ldots, e_n)$ ,  $W^{\infty} = (f_1, \ldots, f_n)$ . Due to our identification of W with  $\mathbb{F}_q^2$ ,  $q = p^n$ , we have  $e_i = (\alpha_i, 0)$ ,  $f_i = (0, \beta_i)$  for any *i* and some  $\alpha_i, \beta_i \in \mathbb{F}_q$ . Since *n* is even, without loss of generality we may suppose that  $\alpha_1 = 1$ and  $\alpha_2 = e \in \mathbb{F}_{p^2} \setminus \mathbb{F}_p$ . Observe that there is a map from  $Sp_{2n}(p)$  which sends the oriented Lagrangian  $L_0 := W^0$  to  $W^{\infty}$  (resp. to  $W^{\lambda} = ((\alpha_1, \lambda \alpha_1), \ldots, (\alpha_n, \lambda \alpha_n)),$  $\lambda \in \mathbb{F}_q$ ). Our identification of W with  $\mathbb{F}_q^2$  embeds  $R = SL_2(q)$  naturally in  $Sp_{2n}(p)$ . Consider the following elements

$$r_a = \begin{pmatrix} a^{-1} & 0\\ 0 & a \end{pmatrix}, \ s_b = \begin{pmatrix} 1 & 0\\ b & 1 \end{pmatrix}, \ t = \begin{pmatrix} 0 & -1\\ 1 & 0 \end{pmatrix}, \ a \in \mathbb{F}_q^{\bullet}, \ b \in \mathbb{F}_q$$

of R. Then they act on the vectors  $v(W^{\lambda})$  as follows:

(15) 
$$\begin{aligned} r_a: \quad v(W^{\infty}) \mapsto (\frac{a}{q})v(W^{\infty}), \quad v(W^{\lambda}) \mapsto (\frac{a}{q})v(W^{a^2\lambda}), \\ s_b: \quad v(W^{\infty}) \mapsto v(W^{\infty}), \qquad v(W^{\lambda}) \mapsto v(W^{\lambda+b}), \\ t: \quad v(W^{\infty}) \leftrightarrow \mu v(W^0), \qquad v(W^{\lambda}) \mapsto (\frac{\lambda}{q})v(W^{-1/\lambda}). \end{aligned}$$

Here,  $(\frac{\lambda}{q}) = \lambda^{(q-1)/2}$  and  $\mu = \left(\frac{\det T}{q}\right)$ , where  $T = (\operatorname{tr}(\alpha_i\beta_j))_{1 \leq i,j \leq n}$  and  $\operatorname{tr} := \operatorname{tr}_{\mathbb{F}_q/\mathbb{F}_p}$ . (For instance,  $\mu = -1$  if n = 2.) Indeed, the relation (15) is evident for  $s_b$ . Furthermore, the factor  $(\frac{a}{q})$  appears in (15) for  $r_a$ , since the map sending each  $f_i$  to  $af_i$  has determinant  $N_{\mathbb{F}_q/\mathbb{F}_p}(a)$ . Similarly, for any  $\lambda \in \mathbb{F}_q$ , the map sending each  $(a^{-1}\alpha_i, a\lambda\alpha_i)$  to  $(\alpha_i, a^2\lambda\alpha_i)$  has determinant  $N_{\mathbb{F}_q/\mathbb{F}_p}(a)$ . By the same reason the factor  $(\frac{\lambda}{q})$  appears in the formula for t. Finally,  $t(e_i) = (0, \alpha_i)$ , and the map sending each  $(0, \alpha_i)$  to  $f_i = (0, \beta_i)$  has matrix  $T^{-1}$ .

2b) Using the action of  $s_b$ , we see that there is  $\gamma = \pm 1$  such that  $(v(W^{\infty}), v(W^{\lambda})) = \gamma$  for all  $\lambda \in \mathbb{F}_q$ . Next, t acting on this relation yields  $(v(W^0), v(W^{\lambda})) = \mu \gamma$  if  $\lambda \in \mathbb{F}_q$  is a square, and  $-\mu \gamma$  otherwise. Finally, using the action of  $s_b$  once more, we see that for  $\lambda \neq \lambda' \in \mathbb{F}_q$ ,  $(v(W^{\lambda}), v(W^{\lambda'})) = \mu \gamma$  if  $\lambda - \lambda'$  is a square, and  $-\mu \gamma$  otherwise. Since  $[W^{\infty}, W^0] = 1, \gamma = C_0$ .

2c) The proof of Theorem 4.2 shows that  $v(W^{\infty})$  and  $v(W^{\lambda})$ ,  $\lambda \in \mathbb{F}_q$ , are linearly independent:  $v(W^{\infty}) = \sum_{\lambda \in \mathbb{F}_q} a_{\lambda} v(W^{\lambda})$  for  $a_{\lambda} \in \mathbb{C}$ . Averaging this relation by means of  $s_b, b \in \mathbb{F}_q$ , we get  $v(W^{\infty}) = a \sum_{\lambda \in \mathbb{F}_q} v(W^{\lambda})$  for  $a \in \mathbb{C}$ . Hence

$$\begin{aligned} \gamma &= (v(W^{\infty}), v(W^{0})) \\ &= a\sqrt{q} + a\sum_{\lambda \in \mathbb{F}_{q}^{\bullet2}}(v(W^{0}), v(W^{\lambda})) + a\sum_{\lambda \in \mathbb{F}_{q}^{\bullet} \setminus \mathbb{F}_{q}^{\bullet2}}(v(W^{0}), v(W^{\lambda})) \\ &= a\sqrt{q} + \frac{q-1}{2}a\mu\gamma - \frac{q-1}{2}a\mu\gamma = a\sqrt{q}, \end{aligned}$$

i.e.  $a = \gamma p^{-n/2}$ . We have shown that

(16) 
$$v(W^{\infty}) = C_0 p^{-n/2} \sum_{\lambda \in \mathbb{F}_q} v(W^{\lambda}).$$

2d) Now we consider the oriented Lagrangian  $M = (e_1, e_2, f_3, \ldots, f_n)$ . Since  $\dim(M \cap W^{\infty}) = n - 2$  and  $[M, W^{\infty}] = 1$ ,  $(v(M), v(W^{\infty})) = p^{n/2-1}C_{n-2}$ . We compute this scalar product in another way using (16). For  $\lambda \in \mathbb{F}_q$ , it is clear that  $\dim(M \cap W^{\lambda}) \leq 2$ . Moreover,  $\dim(M \cap W^{\lambda}) = 2$  if and only if

(17) 
$$\operatorname{tr}(\lambda) = \operatorname{tr}(\lambda e) = \operatorname{tr}(\lambda e^2) = 0.$$

(Recall that we have chosen  $\alpha_1 = 1$  and  $\alpha_2 = e \in \mathbb{F}_{p^2} \setminus \mathbb{F}_p$ .) Since  $\mathbb{F}_p(e) = \mathbb{F}_{p^2}$ , (17) holds for exactly  $p^{n-2}$  values of  $\lambda \in \mathbb{F}_q$ . Denote  $\mathcal{X} = \{\lambda \in \mathbb{F}_q \mid \dim(M \cap W^{\lambda}) = 2\}$ ,  $\mathcal{Y} = \mathbb{F}_q \setminus \mathcal{X}$ . By the choice of  $\alpha_1$  and  $\alpha_2$ ,  $M \cap W^{\lambda} = 0$  for any  $\lambda \in \mathcal{Y}$ .

2e) It is easy to check that  $[M, W^{\lambda}] = 1$  for any  $\lambda \in \mathcal{X}$ . In particular,

$$\sum_{\lambda \in \mathcal{X}} (v(M), v(W^{\lambda})) = p^{n-1}C_2$$

Similarly,  $[M, W^{\lambda}] = \left(\frac{\det A(\lambda)}{p}\right)$  for any  $\lambda \in \mathcal{Y}$ , where

$$A(\lambda) := \begin{pmatrix} \operatorname{tr}(\lambda) & \operatorname{tr}(\lambda e) \\ \operatorname{tr}(\lambda e) & \operatorname{tr}(\lambda e^2) \end{pmatrix}.$$

Now we fix a non-square element  $\sigma$  in  $\mathbb{F}_{p^2}$ . Then  $\lambda$  satisfies (17) if and only if  $\lambda \sigma$  does. This means that the multiplication by  $\sigma$  leaves  $\mathcal{Y}$  fixed. On the other hand, observe that  $[M, W^{\lambda}] = -[M, W^{\lambda\sigma}]$  for any  $\lambda \in \mathcal{Y}$ . (Indeed,

$$\det A(\lambda) = \sum_{i,j=0}^{n-1} \lambda^{p^i + p^j} \left( e^{2p^j} - e^{p^i + p^j} \right).$$

Clearly, if i - j is even, then  $e^{2p^j} = e^{p^i + p^j}$ . If i - j is odd, then  $\sigma^{p^i + p^j} = \sigma^{p+1}$ . This argument shows that  $\det A(\lambda \sigma) = \sigma^{p+1} \det A(\lambda)$ . Now  $\sigma^{p+1}$  is a non-square in  $\mathbb{F}_p$ ; hence the claim follows.) Consequently,

$$\begin{split} \sum_{\lambda \in \mathcal{Y}} (v(M), v(W^{\lambda})) &= \frac{1}{2} \sum_{\lambda \in \mathcal{Y}} \left( (v(M), v(W^{\lambda})) + (v(M), v(W^{\lambda\sigma})) \right) \\ &= \frac{C_0}{2} \sum_{\lambda \in \mathcal{Y}} \left( [M, W^{\lambda}] + [M, W^{\lambda\sigma}] \right) = 0. \end{split}$$

2f) As a result of the computations in pp. 2c), 2d) and 2e), we obtain

$$p^{n/2-1}C_{n-2} = (v(M), v(W^{\infty}))$$
$$= \left(\sum_{\lambda \in \mathcal{X}} (v(M), v(W^{\lambda})) + \sum_{\lambda \in \mathcal{Y}} (v(M), v(W^{\lambda}))\right) = p^{n/2-1}C_0C_2,$$

i.e.  $C_0 = C_2 C_{n-2}$ , as stated.

3) Finally, we prove (12) by induction on n. Because of the descent  $n \rightsquigarrow n-1$  used in Theorem 4.4, we can restrict ourselves to the case n is *odd*. The induction base n = 1, 3 has already been established, since we have proved (12) for k = n, n-2. For the induction step, observe that the descent  $n \rightsquigarrow n-2$  used in the proof of Proposition 3.4 allows us to state that  $C_k = \epsilon^{(n-k)/2}$  for any odd  $k \ge 3$ . According to (14),

$$C_1 = C_3 \cdot C_{n-2} = \epsilon^{(n-3)/2} \cdot \epsilon = \epsilon^{(n-1)/2},$$

and the induction step is over.

Recall that (v(L), v(M)) is 0 if  $n - \dim(L \cap M)$  is odd. Therefore, Theorem 5.3 completely determines the Gram matrix of the lattice  $\Delta(p, n)$ .

**Corollary 5.4.** Let p be any odd prime and n any odd integer. Relative to the generating system  $\{v(L) \mid L \in \mathcal{L}(W)\}$  the unimodular lattice  $\Delta(p, n)$  has the following Gram matrix:

$$(v(L), v(M)) = \begin{cases} \epsilon^{(n-k)/2} p^{(k-1)/2} [L, M], & \dim(L \cap M) = k \equiv 1 \mod 2, \\ 0, & \dim(L \cap M) \equiv 0 \mod 2. \end{cases} \square$$

**Example 5.5.** Let p = n = 3. Then the Gram matrix for  $\Delta(3,3)$  produced by Corollary 5.4 is the same as given in [BaV].

**Corollary 5.6.** Let p be any odd prime and n any even integer. Relative to the generating system  $\{v(L) \mid L \in \mathcal{L}(W)\}$  the p-modular lattice  $\Delta(p, n)$  has the following Gram matrix:

$$(v(L), v(M)) = \begin{cases} \epsilon^{(n-k)/2} p^{k/2} [L, M], & \dim(L \cap M) = k \equiv 0 \mod 2, \\ 0, & \dim(L \cap M) \equiv 1 \mod 2. \end{cases} \square$$

**Example 5.7.** Let p be any odd integer. Then the p-modular  $(p^2 + 1)$ -dimensional lattice  $\Delta(p, 2)$  is generated by  $2(p + 1)(p^2 + 1)$  vectors v(L), L any oriented Lagrangian in  $\mathbb{F}_p^4$ . Here, v(-L) = -v(L), (v(L), v(L)) = p, and (v(L), v(M)) equals  $\epsilon[L, M]$  if  $L \cap M = 0$  and 0 if dim $(L \cap M) = 1$ . Taking p = 5, we get the 26-dimensional 5-modular lattice with minimum 5 constructed by Nebe.

Clearly, (u(L), u(M)) = p(v(L), v(M)). Now we want to compute the scalar products (u(L), v(M)). Since  $\sigma$  is determined up to sign, the scalar products (u(L), v(M)) are determined also up to sign. Recall that  $(u(L), v(M)) = \pm p^{[n/2]}$  if  $\dim(L \cap M) = n - 1$  (cf. Lemma 3.6). For definiteness, we choose  $\sigma$  such that

(18) 
$$(u(L), v(M)) = p^{[n/2]}[L, M]$$

for oriented Lagrangians L, M with  $\dim(L \cap M) = n - 1$ .

**Theorem 5.8.** Let p be any odd prime and n any integer. Under the convention (18) one has

$$(u(L), v(M)) = (\epsilon/p)^{(n-1-k)/2} p^{[n/2]} [L, M]$$

for any oriented Lagrangians L, M with  $k = \dim(L \cap M)$  and n - k odd.

*Proof.* 1) By Lemma 3.6, Theorem 4.4 and Proposition 5.2, there are constants  $D_k = \pm 1$  such that  $[L, M](u(L), v(M)) = p^{[n/2]-(n-1-k)/2}D_k$  for any oriented Lagrangians L, M with  $k = \dim(L \cap M)$  and n - k odd. We want to show that

$$(19) D_k = \epsilon^{(n-1-k)/2}$$

Clearly, (19) holds for k = n - 1, due to (18). Because of the descent  $n \rightsquigarrow n - 1$  used in Theorem 4.4, it suffices to prove (19) for odd n.

2) Consider the standard spread of  $W = \mathbb{F}_p^{2n}$  (recall *n* is odd). In the notation of p. 1) of the proof of Theorem 5.3 we set  $L = W^{\infty}$ ,  $M = (e_1, f_2, \ldots, f_n)$ . Since  $L \cap W^{\infty}$  has odd dimension,  $(u(L), v(W^{\infty})) = 0$ . Furthermore, for any  $\lambda \in \mathbb{F}_q$ ,  $L \cap W^{\lambda} = 0$  and  $[L, W^{\lambda}] = \epsilon^n$ . Therefore,  $(u(L), v(W^{\lambda})) = \epsilon^n D_0$ , yielding

$$u(L) = \epsilon^n D_0 p^{(1-n)/2} \sum_{\lambda \in \mathbb{F}_q} v(W^{\lambda}).$$

On the other hand, by Theorem 5.3 we have

$$v(M) = \epsilon^{(n-1)/2} p^{(1-n)/2} \sum_{\lambda \in \mathbb{F}_q, \operatorname{tr}(\lambda(\alpha_1)^2) = 0} v(W^{\lambda}).$$

Hence  $(u(L), v(M)) = \epsilon^{(n+1)/2} p^{(n-1)/2} D_0$ . But  $\dim(L \cap M) = n-1$  and  $[L, M] = \epsilon$ ; therefore we get  $D_0 = \epsilon^{(n-1)/2}$ . This establishes (19) for k = 0.

Now we can prove (19) by induction on odd n. The induction base n = 1, 3 has already been established, since we have proved (19) for k = n - 1, 0. For the induction step, observe that the descent  $n \rightsquigarrow n-2$  used in the proof of Proposition 3.4 allows us to state that  $D_k = \epsilon^{(n-1-k)/2}$  for any even  $k \ge 2$ , and so the induction step is over.

Next, let  $p \equiv 1 \mod 4$ . We determine the Gram matrices for the lattices  $\Delta^{-}(p,n)$ . Recall (cf. §2) that  $\Delta^{-}(p,n)$  has the same generating system as of  $\Delta(p,n)$ . But if  $(\cdot, \cdot)$  is the scalar product on  $\Delta(p,n)$ , then  $\Delta^{-}(p,n)$  is endowed with the scalar product  $(\cdot, \cdot)^{-}$ , where  $(u, v)^{-} = p\mathbf{b}(u, v) + \mathbf{a}(\sigma u, v)$ . Here  $\mathbf{a}, \mathbf{b}$  are integers such that  $\mathbf{a}^{2} - p\mathbf{b}^{2} = -1$ . Also, here we have  $\epsilon = 1$ . Bearing this in mind, from the above results we immediately obtain:

**Corollary 5.9.** Let  $p \equiv 1 \mod 4$  be a prime and n any even integer. Relative to the generating system  $\{v(L) \mid L \in \mathcal{L}(W)\}$  the unimodular lattice  $\Delta^{-}(p, n)$  has the following Gram matrix:

$$(v(L), v(M)) = \begin{cases} \mathbf{b} p^{k/2}[L, M], & \dim(L \cap M) = k \equiv 0 \mod 2, \\ \mathbf{a} p^{(k-1)/2}[L, M], & \dim(L \cap M) = k \equiv 1 \mod 2. \end{cases} \square$$

**Corollary 5.10.** Let  $p \equiv 1 \mod 4$  be a prime and n any odd integer. Relative to the generating system  $\{v(L) \mid L \in \mathcal{L}(W)\}$  the p-modular lattice  $\Delta^{-}(p, n)$  has the following Gram matrix:

$$(v(L), v(M)) = \begin{cases} \mathbf{b}p^{(k+1)/2}[L, M], & \dim(L \cap M) = k \equiv 1 \mod 2, \\ \mathbf{a}p^{k/2}[L, M], & \dim(L \cap M) = k \equiv 0 \mod 2. \end{cases} \square$$

**Example 5.11.** Let  $p \equiv 1 \mod 4$ . Then the *p*-modular (p+1)-dimensional lattice  $\Delta^{-}(p, 1)$  has a basis consisting of the vectors  $e_{\lambda}, \lambda \in \mathbb{F}_p \cup \{\infty\}$ . These vectors are of norm *p*b; furthermore,  $(e_{\lambda}, e_{\infty}) = \mathbf{a}$  for any  $\lambda \in \mathbb{F}_p$ . Finally, for  $\lambda \neq \mu \in \mathbb{F}_p$  we have  $(e_{\lambda}, e_{\mu}) = \mathbf{a}$  if  $\lambda - \mu$  is a square, and  $-\mathbf{a}$  otherwise. Thus  $\Delta^{-}(p, 1)$  is just the lattice  $M_{p+1,2}$  constructed in Theorem (V.2) of [NP1].

**Example 5.12.** Let  $p \equiv 1 \mod 4$ . Then the unimodular  $(p^2 + 1)$ -dimensional lattice  $\Delta^-(p, 2)$  is generated by  $2(p+1)(p^2+1)$  vectors v(L), L any oriented Lagrangian in  $\mathbb{F}_n^4$ , with the following Gram matrix:

$$(v(L), v(M)) = \begin{cases} \mathbf{b}p[L, M], & \dim(L \cap M) = 2, \\ \mathbf{a}[L, M], & \dim(L \cap M) = 1, \\ \mathbf{b}[L, M], & \dim(L \cap M) = 0. \end{cases}$$

Taking p = 5 (and  $\mathbf{a} = 2$ ,  $\mathbf{b} = 1$ ), we get the 26-dimensional unimodular lattice with minimum 3 constructed by Nebe.

As we have mentioned above, the lattices  $\Delta = \Delta(p, n)$  (*n* even),  $\Delta^{-}(p, n)$ ( $p \equiv 1 \mod 4$  and *n* even) are *p*-modular. But they are *not* (self-dual) *o*-lattices (where  $\boldsymbol{o} = \langle 1, (1+\theta)/2 \rangle_{\mathbb{Z}}$  and  $\theta = \sqrt{\epsilon p}$ ) by the following reason. The multiplication by  $\theta$  should be given as  $\theta(v) = \sigma(v), v \in \Delta$ . If  $\Delta$  is an *o*-lattice, then  $\Delta$  contains  $\frac{1+\theta}{2}v(L) = (v(L) + u(L))/2$ , *L* a Lagrangian. On the other hand,  $((v(L) + u(L))/2, v(L)) = p^{[n/2]}/2$  is not integral, a contradiction.

However, if we restrict ourselves to the  $S_n$ -stable lattices, then in some cases we can get self-dual  $\mathfrak{o}$ -lattices. Recall that an integral lattice  $\Gamma$  is called a 2-neighbour of a given integral lattice  $\Lambda$  if the intersection  $\Gamma \cap \Lambda$  has index 2 in both of  $\Lambda$  and  $\Gamma$ .

**Proposition 5.13.** Let  $\Delta$  denote any of the lattices  $\Delta(p, n)$ ,  $\Delta^{-}(p, n)$ . Then the following assertions hold.

(i) If  $p \equiv 1 \mod 4$ , then  $\Delta$  has no 2-neighbours.

(ii) Let  $p \equiv 3 \mod 4$ . Then  $\Delta$  has exactly two 2-neighbours, namely  $\Delta^{\delta} = \langle \Delta^0, \frac{1}{2}(v(L) + \delta u(L)) \rangle_{\mathbb{Z}}$ , where  $\delta = \pm 1$ ,  $\Delta^0$  the even part of  $\Delta$  and L a fixed Lagrangian. These neighbours are  $S_n$ -stable. If  $p \equiv 7 \mod 8$ , then they are self-dual  $\mathfrak{o}$ -lattices (w.r.t. the Hermitian form  $u \circ v$  defined in (3)) if n is even, and even unimodular (Euclidean)  $\mathfrak{o}$ -stable lattices if n is odd.

*Proof.* It is easy to see (cf. Lemma 6.5) that  $\Delta^0$  is the unique sublattice of index 2 in  $\Delta$ . Hence, if  $\Gamma$  is an arbitrary 2-neighbour of  $\Delta$ , then  $\Gamma = \langle \Delta^0, w \rangle_{\mathbb{Z}}$  with  $2w \in \Delta$ . By definition,  $2w \in \Delta \cap 2(\Delta^0)^{\#} = \Delta^1$  (see also the discussion before Lemma 6.5).

Now  $\Delta^1/2\Delta^0$  contains exactly 3 nontrivial cosets, namely those with representatives v(L), and  $w^{\delta}(L) := v(L) + \delta u(L)$ ,  $\delta = \pm 1$  and L a fixed Lagrangian. The first coset is contained in  $\Delta$ ; hence we can avoid it. Thus we can take  $w = \frac{1}{2}w^{\delta}(L)$  and then  $\Gamma = \Delta^{\delta}$ . Observe that this w has (squared) norm  $p^{[n/2]}(p+1)/4$  if  $\Delta = \Delta(p,n)$ ,  $(\mathbf{b}(p+1)+2\mathbf{a})p^{(n+1)/2}$  if  $\Delta = \Delta^-(p,n)$  and n is odd, and  $(\mathbf{b}(p+1)+2\mathbf{a})p^{n/2}$  if  $\Delta = \Delta^-(p,n)$  and n is even (cf. Theorems 5.3 and 5.8). Therefore,  $\Gamma$  is integral (w.r.t.  $(\cdot, \cdot)$ ) if and only if  $p \equiv 3 \mod 4$ , and even if and only if  $p \equiv 7 \mod 8$ .

Clearly,  $G_n$  permutes the two cosets with representatives  $w^{\delta}(L)$  in  $\Delta^1/2\Delta^0$ . But  $S_n$  has no subgroups of index 2; hence  $S_n$  stabilizes each of  $\Delta^{\delta}$ . On the other hand,  $G_n$  permutes the lattices  $\Delta^{\delta}$  transitively. (For recall that  $u(L) = \sigma(v(L))$ . Choose  $g \in G_n \setminus S_n$  such that g(L) = L. Then  $g(w^{\delta}(L)) = g(v(L)) - \delta\sigma(g(v(L))) = \pm w^{-\delta}(L)$ .)

Observe that  $\Delta^0$ ,  $\Delta^1$  are always  $\mathfrak{o}$ -stable. Indeed, put  $w^{\delta}(M) = v(M) + \delta u(M)$ for any oriented Lagrangian M. For any  $s \in S_n$  with s(L) = M one has  $s(w^{\delta}(L)) = \pm w^{\delta}(M)$ . But we already know that s fixes the coset  $w^{\delta}(L) + 2\Delta^0$ . Hence  $w^{\delta}(L) + w^{\delta}(M) \in 2\Delta^0$ . In other words,  $(1 + \sigma)(v(L) + v(M)) \in 2\Delta^0$ . This means  $\Delta^0$  is  $\mathfrak{o}$ -stable, since we have  $\theta v = \sigma(v)$  by definition and  $\Delta^0$  is generated by the v(L) + v(M)'s. Next,  $\frac{1-\delta\sigma}{2}(w^{\delta}(L)) = \frac{1-\epsilon p}{2}v(L) \in \Delta^1$ , as  $\epsilon p \equiv 1 \mod 4$ . This implies that  $\Delta^1$  is  $\mathfrak{o}$ -stable. This computation also convinces us that  $\Delta^{\delta}$  is  $\mathfrak{o}$ -stable if and only if  $p \equiv \pm 1 \mod 8$ .

Finally, assume  $p \equiv 3 \mod 4$ . If *n* is odd, then  $\Delta$  is unimodular; hence  $\Delta^{\delta}$  is unimodular, and even if  $p \equiv 7 \mod 8$ . Suppose *n* is even and  $p \equiv 7 \mod 8$ . Then direct computation shows that  $\Delta^{\delta} \circ \Delta^{\delta} \subseteq \mathfrak{o}$ . On the other hand,  $\Delta = \theta \Delta^{\#} = \Delta^{\perp}$  and  $\Delta$ ,  $\Delta^{\delta}$  are neighbours. Consequently,  $\Delta^{\delta}$  is a self-dual  $\mathfrak{o}$ -lattice.

## 6. Classification of invariant lattices

The aim of this section is to prove Theorem 1.3. The case  $p^n = 3$  is trivial (see [SchT], §5), so throughout this section we suppose that  $p^n > 3$ .

Let H,  $\Gamma$ ,  $\Delta$  be as in Theorem 1.3. Let  $\rho$  denote the H-character afforded by  $\Gamma$  and  $\theta$  any irreducible constituent of  $\rho$  restricted to  $S := Sp_{2n}(p)$ . If  $n \geq 2$ , then the condition  $1 < \theta(1) \leq p^n + 1$  implies by Theorem 5.2 [TZa 1] that  $\theta \in$   $\{\psi, \overline{\psi}\}$ ; hence  $\rho$  is absolutely irreducible. The same is true if n = 1, except for the cases p = 3 or  $p \equiv 1 \mod 6$ , where  $\rho|_S$  can be irreducible. Thus, under the assumptions of Theorem 1.3,  $\rho$  satisfies the assumptions of Lemma 2.1. Hence, G := H/K is as defined in Theorem 1.3, and  $\rho$  is afforded by  $\Delta$ , i.e.  $\rho = \chi$ ,  $\Gamma \otimes \mathbb{C} = \Delta \otimes \mathbb{C}$ . By the Deuring-Noether Theorem, the  $\mathbb{Q}H$ -modules  $\Gamma \otimes \mathbb{Q}$  and  $\Delta \otimes \mathbb{Q}$  are equivalent. Therefore, without loss of generality one may suppose that  $\Gamma$  is a G-invariant sublattice in  $\Delta$ . Thus the proof of Theorem 1.3 reduces to the classification of G-invariant sublattices  $\Gamma$  in  $\Delta$ .

For any Lagrangian L, let S(L) be as defined in (5) and  $R(L) = S \cap S(L)$ . We start with the following observation.

**Lemma 6.1.** Let  $n \ge 2$ . Then the restriction of  $\chi \mod p$  to R(L) contains the trivial character with multiplicity  $\le 4$ .

Proof. Consider the standard embedding  $T := SL_n(p) \hookrightarrow R(L) \subseteq Sp_{2n}(p)$ . It suffices to show that  $1_T$  enters  $(\chi \mod p)|_T$  with multiplicity at most 4. Let  $\theta$ denote the S-character of the Weil representation  $\mathcal{W}$  (then  $\theta$  is the sum of  $\psi$  and another character of degree  $(p^n - 1)/2$ ). If  $n \geq 3$ , then Zalesskii's formula for  $(\theta \mod p)|_T$  [Zal] tells us that this character contains  $1_T$  with multiplicity 2. Since  $\chi|_S = \psi + \overline{\psi}$ , we are done. Now let n = 2. Then due to [Tiep 4], §3,

$$\theta|_T = 2 \cdot 1_T + \xi_1 + \xi_2 + St + 2(\chi_1 + \ldots + \chi_{(p-3)/2}),$$

where  $\xi_s$ , St,  $\chi_s$  are irreducible characters of T of degree (p+1)/2, p, and p+1, respectively. All the nontrivial characters occurring in this formula remain absolutely irreducible, being reduced modulo p. Hence  $(\theta \mod p)|_H$  contains  $1_T$  with multiplicity 2, and so we are done.

**Lemma 6.2.** The module  $V_p = \Delta/p\Delta$  has a unique nonzero proper G-submodule, and this submodule coincides with  $\phi(\Delta)/p\Delta$ .

*Proof.* 1) Let A be any nonzero proper submodule in  $V_p$ . By Proposition 2.2, the Brauer character afforded by A is  $\eta_i$  for some i = 1, 2. In particular, A is absolutely irreducible. An example of such a submodule A is  $\phi(\Delta)/p\Delta$ . Therefore, the lemma is equivalent to saying that  $V_p$  is indecomposable. Assume the contrary:  $V_p$  is decomposable:  $V_p = A \oplus B$ . Clearly, A and B are isomorphic as S-modules. Hence, due to Lemma 2.5, in the case  $p \equiv 1 \mod 4$ , it suffices to prove the lemma for one of the isoclinic groups  $C_2 \times G_n^+$  and  $G_n^-$ . In what follows, we take  $G = G_n^-$  if n is odd, and  $G = G_n^+$  if n is even; furthermore,  $\Delta = \Delta(p, n)$ .

If n = 1, then due to [Ward 2], A is a unique nonzero submodule of  $V_p$  (and A is called the *modular quadratic residue code*). This forces  $V_p$  to be indecomposable, a contradiction. Therefore from now on we suppose that  $n \ge 2$ .

2) Let L be any Lagrangian. By Proposition 3.1, the subgroup S(L) fixes the vector v(L). Observe that  $v(L) \notin p\Delta$ ; hence one can view v(L) as a nonzero vector in  $V_p$ . Set

$$W(L) = \{ v \in V_p \mid \forall \varphi \in S(L), \varphi(v) = v \}.$$

Without loss of generality one may suppose that  $\vartheta_n \in S(L)$ , and so  $S(L) = \langle R(L), \vartheta_n \rangle$ . For brevity, we denote by  $\chi_S$  the restriction of  $\chi \mod p = \eta_1 + \eta_2$  to S(L), by  $\chi_R$  the restriction of  $\chi \mod p$  to R(L), by  $\alpha$  the trivial character of S(L), by  $\beta$  the nontrivial character of degree 1 of S(L) with Ker $\beta = \langle R(L), \vartheta_n^2 \rangle$ . Write v(L) = a + b for  $a \in A$ ,  $b \in B$ . Remark that  $a, b \neq 0$ . (Assume the contrary: a = 0. Then  $v(L) \in B$  for any Lagrangian L. As  $\Delta$  is generated by the vectors v(M), which are acted on transitively by S, B must be equal to the whole of  $V_p$ , a contradiction.) Now S(L) fixes each of the subspaces A, B; therefore in fact  $a, b \in W(L)$ .

3) First consider the case n is odd. Then  $\eta_i$  is not self-dual by Proposition 2.2; hence A and B are totally singular relative to  $(\cdot, \cdot)_p$ , the reduction modulo p of the scalar product. In particular,  $(a, a)_p = (b, b)_p = 0$ . As  $n \ge 3$ , we have:

$$0 = (v(L), v(L))_p = (a + b, a + b)_p = (a, a)_p + (b, b)_p + 2(a, b)_p = 2(a, b)_p,$$

which implies that  $(a, b)_p = 0$ . We have just shown that  $C := \langle a, b \rangle_{\mathbb{F}_p} \subseteq W(L)$  is totally singular with respect to  $(\cdot, \cdot)_p$ :  $C \subseteq C^{\perp}$ . Besides, the S(L)-modules  $V_p/C^{\perp}$ and  $C^*$  are isomorphic. (Recall that det  $\Delta = 1$  in the case *n* is odd.) From this it follows that  $V_p/C^{\perp}$  affords the S(L)-character  $2\alpha$ . Thus  $\chi_S$  contains  $\alpha$  with multiplicity at least 4. In the proof of Proposition 3.1 we have singled out some subspace *U* of *V*, which is acted on by S(L) with character  $\alpha + \beta$ . From this it follows that  $\chi_S$  contains  $4\alpha + \beta$ , and so  $\chi_R$  contains  $1_{R(L)}$  with multiplicity at least 5, contrary to Lemma 6.1. 4) Finally, let *n* be even. Then both  $\eta_1$ ,  $\eta_2$  are of type +. Namely, the form  $(\cdot, \cdot)_p$  is non-degenerate on *B*, since  $A = p\Delta^{\#}/p\Delta$  is the radical of the form  $(\cdot, \cdot)_p$ . Also, *A* carries the non-degenerate symmetric form  $(x + p\Delta, y + p\Delta)'_p = \frac{1}{p}(x, y) \mod p$ . Now  $(b,b)_p = (v(L), v(L))_p = 0$ . Thus  $C := \langle b \rangle_{\mathbb{F}_p} \subseteq W(L)$  is totally singular with respect to  $(\cdot, \cdot)_p|_B$ :  $C \subseteq C^{\perp}$ . Besides, the S(L)-modules  $B/C^{\perp}$  and  $C^*$  are isomorphic. From this it follows that  $B/C^{\perp}$  and of course  $\langle a \rangle_{\mathbb{F}_p}$  afford the S(L)-character  $\alpha$ . Thus  $\chi_S$  contains  $\alpha$  with multiplicity at least 3.

On the other hand,  $\chi_S$  contains  $\beta$  with multiplicity at least 2. (For set  $D = \langle u(L) \rangle_{\mathbb{F}_p}$ . Since  $(u(L), u(L)) = p^{n/2+1}$ , D is totally singular with respect to  $(\cdot, \cdot)'_p$ :  $D \subseteq D^{\perp}$ . Besides, the S(L)-modules  $A/D^{\perp}$  and  $D^*$  are isomorphic. From this it follows that  $A/D^{\perp}$  affords the S(L)-character  $\beta$ .)

As a consequence,  $\chi_R$  contains  $1_{R(L)}$  with multiplicity at least 5, contradicting Lemma 6.1.

**Lemma 6.3.** Let r be a prime, G a finite group, and  $\Lambda$  an integral G-invariant lattice with the following properties:

(i) The  $\mathbb{F}_r G$ -module module  $U = \Lambda/r\Lambda$  is uniserial, that is, it has a unique composition series  $U = U_0 \supset U_1 \supset \ldots \supset U_m = 0$ ;

(ii) Let  $\Lambda_i$  be the inverse image of  $U_i$  in  $\Lambda$ ,  $0 \le i \le m-1$ . Then the  $\mathbb{F}_r G$ -module  $\Lambda_i/r\Lambda_i$  is also uniserial for any i > 0.

Suppose that  $\Gamma$  is any G-invariant sublattice in  $\Lambda$  whose index is an r-power. Then  $\Gamma$  is similar to one of the lattices  $\Lambda_i$ ,  $0 \le i \le m - 1$ .

*Proof.* Denote  $\Lambda_m = r\Lambda_0$ ,  $\Lambda_{m+1} = r\Lambda_1$  and, more generally,  $\Lambda_{k+m} = r\Lambda_k$ ,  $k = 2, 3, \ldots$  Our assumptions imply that

$$\Lambda_k/r\Lambda_k \supset \Lambda_{k+1}/r\Lambda_k \supset \ldots \supset \Lambda_{k-1+m}/r\Lambda_k \supset 0$$

is the unique composition series of the  $\mathbb{F}_r G$ -module  $\Lambda_k/r\Lambda_k$ . In particular, if  $\Gamma$  lies between  $\Lambda_k$  and  $r\Lambda_k$ , then  $\Gamma = \Lambda_{k+j}$  for some  $j, 0 \le j \le m$ , and our claim follows.

Since  $(\Lambda : \Gamma)$  is an *r*-power,

$$\Lambda_0 = \Lambda \supseteq \Gamma \supseteq r^n \Lambda = \Lambda_{nm}$$

for some non-negative integer n. Let  $\ell$  be the minimal non-negative integer such that  $\Lambda_i \supseteq \Gamma \supseteq \Lambda_{i+\ell}$  for some i. We prove by induction on  $\ell$  that  $\Gamma$  is equal to some  $\Lambda_k$ . If  $\ell \leq m$ , we are done due to the above observation. Assume  $\ell > m$ . Without loss of generality we may suppose that i = 0. Since  $\Lambda_0 \supseteq \Gamma + \Lambda_{\ell-m} \supseteq \Lambda_{\ell-m}$ , by the induction hypothesis we get  $\Gamma + \Lambda_{\ell-m} = \Lambda_k$  for some  $k, 0 \leq k \leq \ell - m$ . Now it is clear that  $\Lambda_k \supseteq \Gamma \supseteq \Lambda_\ell$ . By the minimality of  $\ell$  we must have k = 0, i.e.,  $\Gamma + \Lambda_{\ell-m} = \Lambda_0$ . This implies

$$\Lambda_0 \supseteq \Gamma \supseteq p(\Gamma + \Lambda_{\ell - m}) = p\Lambda_0 = \Lambda_m,$$

contrary to the choice of  $\ell$ . The induction step is over.

**Corollary 6.4.** If  $\Gamma$  is any  $G_n$ -invariant sublattice of  $\Delta$  with the index  $(\Delta : \Gamma)$  being a power of p, then there exists an integer  $k \geq 0$  such that  $\Gamma = \phi^k(\Delta)$ .

Proof. By Lemma 6.2,  $\phi(\Delta)/p\Delta$  is the unique nonzero proper submodule of  $V_p$ ; hence  $V_p$  is uniserial. Suppose that  $\phi(\Delta) \supset \Lambda \supset p\phi(\Delta)$  for some  $G_n$ -invariant sublattice  $\Lambda$ . Since  $g\phi g^{-1} = \pm \phi$  for all  $g \in G_n$ ,  $\phi^{-1}(\Lambda)$  is a  $G_n$ -stable sublattice lying between  $\Delta$  and  $p\Delta$ , which implies that  $\phi^{-1}(\Lambda) = \phi(\Delta)$ ,  $\Lambda = p\Delta$ . Thus the module  $\phi(\Delta)/p\phi(\Delta)$  is also uniserial. Now we can apply Lemma 6.3. Since  $\Delta$  is an odd lattice, the even part  $\Delta^0$  is a *G*-invariant sublattice of index 2 containing  $2\Delta$ . Also,  $\Delta^1 = \Delta \cap 2(\Delta^0)^{\#}$  is another *G*-invariant sublattice containing  $2\Delta$ .

**Lemma 6.5.** The  $\mathbb{F}_2G$ -module  $V_2 = \Delta/2\Delta$  has precisely two nontrivial proper submodules, namely,  $\Delta^i/2\Delta$  with i = 0, 1. Moreover, if  $\Gamma$  is any *G*-invariant sublattice of  $\Delta$  with the index  $(\Delta : \Gamma)$  being a power of two, then there exists an integer  $k \ge 0$ such that

$$\Gamma \in \{2^k \Delta, 2^k \Delta^0, 2^k \Delta^1\}.$$

*Proof.* Observe that **a** is even and **b** is odd. Hence the lattices  $\Delta(p, n)$  and  $\Delta^{-}(p, n)$  have the same Gram matrix *modulo* 2. In particular, in calculating scalar products modulo 2 we can restrict ourselves to  $\Delta(p, n)$ .

1) At this point we show that  $S := Sp_{2n}(p)$  fixes a unique nonzero vector win  $V_2$ , and w = v(L) + u(L) for any Lagrangian L. To this end, we first observe that det  $\Delta$  is odd; hence the reduction  $(\cdot, \cdot)_2$  of the scalar product is non-degenerate on  $V_2$ . Next, putting w(L) = v(L) + u(L), by Theorems 5.3 and 5.8 we see that  $(w(L), v(M))_2 = 1$  for any arbitrary Lagrangian M. If  $\varphi \in G$  and  $\varphi(L) = L'$ , then  $\varphi(w(L)) = w(L')$  (in  $V_2$ ). Hence  $(\varphi(w(L)) - w(L), v(M))_2 = 0$ . But  $V_2$  is generated by the vectors v(M) and  $(\cdot, \cdot)_2$  is non-degenerate. Therefore,  $\varphi(w(L)) = w(L)$ . Thus w := w(L) is G-stable. Conversely, let  $w' \in V_2$  be a nonzero vector which is fixed by S. Since S acts transitively on the vectors v(M), M any Lagrangian, there exists  $\lambda \in \mathbb{F}_2$  such that  $(w', v(M))_2 = \lambda$  for all M. If  $\lambda = 0$ , then the nondegeneracy of  $(\cdot, \cdot)_2$  implies that w' = 0, contrary to the choice of w'. If  $\lambda = 1$ , then  $(w - w', v(M))_2 = 0$ , yielding w' = w.

2) Set  $U_0 = \Delta^0/2\Delta$ ,  $U_1 = \langle w \rangle_{\mathbb{F}_2}$ . Clearly,  $\Delta^0$  and so  $U_0$  are generated by the vectors of the form v(L) + v(M), L, M any Lagrangians. Since  $(w, v(L) + v(M))_2 = 0$ , we see that  $U_1 = \Delta^1/2\Delta$ . Also,  $w \in U_0$ . By Proposition 2.2 (ii),  $0 \subset U_1 \subset U_0 \subset V_2$  is a composition series of the  $\mathbb{F}_2G$ -module  $V_2$ , with two trivial composition factors and one (absolutely) irreducible factor of dimension  $p^n - 1$ . Clearly,  $U_0$  and  $U_1$  are dual to each other w.r.t.  $(\cdot, \cdot)_2$ .

Now let U be any nonzero proper G-submodule in  $V_2$ . Then dim  $U \in \{1, 2, p^n - 1, p^n\}$ . If dim U = 1, then U must be generated by a nonzero G-stable vector; hence  $U = U_1$  due to 1). If dim  $U = p^n$ , then the dual module  $U^{\perp}$  has dimension 1; therefore  $U^{\perp} = U_1$ , which implies that  $U = U_0$ . Assume dim U = 2. Then the action of S on U induces a homomorphism from S to  $GL(U) = GL_2(2) \simeq S_3$ . But  $S = Sp_{2n}(p)$  is perfect (as  $p^n > 3$ ); therefore this homomorphism is trivial, i.e. S acts trivially on U. In this case,  $V_2$  has at least three (distinct) S-stable vectors, contrary to 1). If dim  $U = p^n - 1$ , then the dual module  $U^{\perp}$  has dimension 2, again a contradiction.

We have shown that  $V_2$  has just two nontrivial proper submodules:  $U_0$  and  $U_1$ .

3) Next we consider any nontrivial proper submodule U in  $V_4 = \Delta/4\Delta$ , and suppose that  $U \not\subseteq 2V_4$ . Then  $(U+2V_4)/2V_4$  is a nonzero submodule in  $V_4/2V_4 \simeq V_2$ . By the results of 2),  $(U+2V_4)/2V_4$  contains  $U_1$ . From this it follows that U contains a vector w' = w + 2x for a certain  $x \in V_4$ . Pick an element  $\varphi \in G(L)$  such that  $\varphi : v(L) \mapsto v(L), u(L) \mapsto -u(L)$ . Then  $w' + \varphi(w') = 2y$ , where  $y = v(L) + x + \varphi(x)$ . Since  $x + \varphi(x) \in U_0 = \langle w \rangle^{\perp}$ , we get  $(w, y)_2 = (w, v(L))_2 = 1$ , which means that  $y \notin U_0$ . We have seen that  $U' = (U \cap 2V_4)/4V_4$  is a G-submodule in  $2V_4/4V_4 \simeq 2V_2$ , which contains a vector  $2y \notin 2U_0$ . By the results of 2),  $U' = 2V_4/4V_4$ , i.e.  $U \supseteq 2V_4$ . This means: if U is any G-submodule of  $V_4$ , then either  $U \supseteq 2V_4$ , or  $U \subseteq 2V_4$ . 4) Finally, let  $\Gamma$  be any *G*-invariant sublattice of  $\Delta$  with  $(\Delta : \Gamma) = 2^m$ . Then  $\Delta \supseteq \Gamma \supseteq 2^m \Delta$ . We prove by induction on  $m \ge 0$  that there exists an integer  $k \ge 0$  such that  $\Gamma \in \{2^k \Delta, 2^k \Delta^0, 2^k \Delta^1\}$ . This claim is obvious if m = 0 or 1 (see item 2)). Now assume  $m \ge 2$ . Then  $\Delta \supseteq \Gamma + 4\Delta \supseteq 4\Delta$ . Due to 3), either  $\Gamma + 4\Delta \subseteq 2\Delta$ , or  $\Gamma + 4\Delta \supseteq 2\Delta$ . In the former case,  $2\Delta \supseteq \Gamma \supseteq 2^m \Delta$ ; therefore  $\Delta \supseteq \frac{1}{2}\Gamma \supseteq 2^{m-1}\Delta$ , and one can now use the induction hypothesis. In the latter case,

$$2^{m-1}\Delta \subseteq 2^{m-2}(\Gamma + 4\Delta) = 2^{m-2}\Gamma + 2^m\Delta \subseteq \Gamma,$$

and one can again use the induction hypothesis.

Proof of Theorem 1.3. Consider any G-invariant lattice  $\Gamma$  lying in  $\Delta$ . We may suppose that  $\Gamma \not\subseteq k\Delta$  for any integer k > 1. Clearly,  $\Gamma \supseteq l\Delta$  for some natural l. Choose minimal natural  $\ell$  with the property  $\Gamma \supseteq \ell\Delta$ . If  $\ell = 1$ , then  $\Gamma = \Delta$ . Assume that  $\ell > 1$ . Claim that  $\ell = 2^a p^b$  for some non-negative integers a, b. (For assume the contrary:  $\ell$  is divisible by an odd prime  $r, r \neq p$ . Observe that  $(\Gamma + r\Delta)/r\Delta$ is a nonzero G-module in  $V_r = \Delta/r\Delta$ . By Proposition 2.2 (i),  $(\Gamma + r\Delta)/r\Delta = V_r$ ,  $\Gamma + r\Delta = \Delta$ . Hence,

$$\frac{\ell}{r}\Delta = \frac{\ell}{r}(\Gamma + r\Delta) = \frac{\ell}{r}\Gamma + \ell\Delta \subseteq \Gamma,$$

contradicting the minimality of  $\ell$ .)

Setting  $\widetilde{\Gamma} = \Gamma + p^b \Delta$ , one has

$$\Delta \supset \widetilde{\Gamma} \supseteq p^b \Delta, \ \widetilde{\Gamma} \supseteq \Gamma \supseteq 2^a \Gamma + \ell \Delta = 2^a \widetilde{\Gamma}.$$

By Corollary 6.4,  $\widetilde{\Gamma} = \phi^k(\Delta)$ . Replacing  $\Gamma$  by  $\phi^{-k}(\Gamma)$ , which is isometrically similar to  $\Gamma$ , we can suppose that k = 0, i.e.  $\widetilde{\Gamma} = \Delta$ . In this case,  $\Delta \supset \Gamma \supseteq 2^a \Delta$ . By Lemma 6.5,  $\Gamma$  is similar to one of the lattices  $\Delta$ ,  $\Delta^0$ ,  $\Delta^1$ .

# 7. Properties of $\Delta(p, n)$

This section is very sketchy, because a detailed exposition has been given in [SchT], §§4, 6. It turns out that the arguments, given there for the case  $p^n \equiv 3 \mod 4$ , are also applicable to the case  $p^n \equiv 1 \mod 4$ . Hence we restrict ourselves to exposing the results, which hold for any odd prime p, but omitting the proofs.

For short we denote  $G = G_n^-$  if n is odd, and  $G = G_n^+$  if n is even. Furthermore, p is any odd prime and  $\Delta = \Delta(p, n)$ .

First we consider the G-invariant odd unimodular lattice  $\Delta = \Delta(p,3)$  obtained in Theorem 3.9. The generating vectors v(L) now have norm (v(L), v(L)) = p, and  $\Delta$  contains a p-scaled unit lattice  $\Gamma$ , spanned by  $N := p^3 + 1$  pairwise orthogonal vectors of norm p (for instance, the v(L), where L runs over a symplectic spread). Therefore,  $\Delta$  can be described (non-canonically) by a subspace  $C := \Delta/\Gamma \subset \Gamma^{\#}/\Gamma = \frac{1}{p}\Gamma/\Gamma \simeq \mathbb{F}_p^N$ , that is, by a linear code over  $\mathbb{F}_p$ . In this way we obtain an injective mapping  $\pi \mapsto C = C(\pi)$  from the set S of all isomorphism classes of symplectic spreads  $\pi$  of  $W = \mathbb{F}_p^6$  to the set C of all equivalence classes of self-dual codes C of length  $p^3 + 1$  over  $\mathbb{F}_p$ . Moreover,  $\operatorname{Aut}(C(\pi)) = \operatorname{Aut}(\pi)/C_{(p-1)/2}$ . Observe that the definition of  $\operatorname{Aut}(\pi)$  used in this paper differs from the one given in [SchT]. In particular, the central subgroup  $C_{(p-1)/2}$  of  $\operatorname{Aut}(\pi)$  acts trivially on every vector v(L), hence on  $C(\pi)$ .

Now we turn to the case  $n \ge 5$  and n is odd. Let

$$\pi = \{ W_i \mid 1 \le i \le p^n + 1 \}$$

be a symplectic spread of  $W = \mathbb{F}_p^{2n}$ . Set

$$v_i = v(W_i), \quad \Gamma = \Delta(\pi) = \langle v_i \mid 1 \le i \le p^n + 1 \rangle_{\mathbb{Z}}.$$

For brevity we denote  $\ell = (n-1)/2$ . Then

$$\Gamma \subset \Delta = \Delta^{\#} \subset \Gamma^{\#} = p^{-\ell} \Gamma.$$

For each  $j, 1 \leq j \leq \ell + 1$ , one can view  $H_j = p^{j-1}\Gamma^{\#}/p^j\Gamma^{\#}$  as standard orthogonal space over  $\mathbb{F}_p$ , with the basis  $(p^{j-1-\ell}v_i \mid 1 \leq i \leq p^n + 1)$  and with the form  $(x, y)_{(j)} = p^{\ell+2-2j}(x, y) \mod p$ . (Here and below, we identify the coset  $x + p^j\Gamma^{\#}$  with x.) Clearly, the  $H_j$ 's are isometric to each other, and so one can identify them canonically with  $H = H_{\ell+1}$ . Keeping this identification in mind, we can view every factor-group

$$C_j = \left( (\Delta \cap p^{j-1} \Gamma^{\#}) + p^j \Gamma^{\#} \right) / p^j \Gamma^{\#}$$

as a linear code of length  $p^n + 1$  over  $\mathbb{F}_p$ , with the ambient space H. It is obvious that  $C_1 \subseteq C_2 \subseteq \ldots \subseteq C_{\ell}$ . One shows that  $C_j^{\perp} = C_{\ell+1-j}$  for  $1 \leq j \leq \ell$ . In particular,  $C_j$  is self-orthogonal if  $1 \leq j \leq (\ell+1)/2$ ; and  $C_{(n+1)/4}$  is self-dual if  $n \equiv 3 \mod 4$ .

Now we take  $\pi$  to be the standard symplectic spread  $\pi_D$ . Then the same arguments as in the proof of Proposition 4.6 [SchT] assure that all the codes  $C_j$ ,  $1 \leq j \leq \ell$ , are among the  $GL_2(q)$ -codes having a  $\mathbb{F}_p$ -form, which have been introduced by Ward in [Ward 2]. (Actually, Ward uses an irreducible representation of  $H = GL_2(q)$  with kernel  $T = C_{(q-1)/2}$ , where  $q = p^n$ . But  $H/T \simeq R/K$ , where  $R = SL_2(q) \cdot C_{p-1}$  and  $K = R \cap T \simeq C_{(p-1)/2}$ , cf. page 1 of the proof of Proposition 2.3. Now Ward's representation coincides with the action of R/K on  $\Delta$ .) He has shown that the lattice of his  $GL_2(q)$ -codes is inversely isomorphic to the lattice of the so-called closed subsets of  $\mathbb{F}_2^n$ . He has also distinguished the following analogues of Reed-Muller codes. View elements of  $\mathbb{F}_2^n$  as binary words of length n and take  $B_w$  to be the set of all binary words of length n and weight  $\leq w$ . Then  $B_w$  is closed and cyclic (in the sense of [Ward 2]), and Ward's correspondence gives us a  $GL_2(q)$ -code  $\mathcal{C}_{n,w}$  over  $\mathbb{F}_p$ ,  $0 \leq w \leq n-1$ . The middle code is just  $\mathcal{C}_{n,(n-1)/2}$ ; more generally,  $\mathcal{C}_{n,w}^{\perp} = \mathcal{C}_{n,n-1-w}$ . We conjecture that the above codes  $C_j$  are equal to  $\mathcal{C}_{n,n-2j}$  for  $j, 1 \leq j \leq \ell = (n-1)/2$ . Without this conjecture, we can only give the following lower bound for the minimum of  $\Delta$  which is unfortunately independent of n. A proof of the conjecture would lead to a lower bound  $(p^{[n/2]} + 1)/2$  instead of (p+1)/2.

**Proposition 7.1.** Let p be any odd prime and  $n \ge 2$  arbitrary. Then

$$\max\left\{3, \frac{p+1}{2}\right\} \le \min \Delta(p, n) \le p^{[n/2]}.$$

For the proof, observe that  $\Delta(p, n)$  with even n is a sublattice of  $\Delta(p, n + 1)$ ; hence it suffices to prove Theorem 7.1 for odd n. The inequality min  $\Delta(p, n) \geq 3$ has been mentioned in Theorem 1.1. Now one repeats the proof of Proposition 6.4 [SchT].

Remark 7.2. Observe that the lattices  $\Delta(p, n)$ , n > 1 odd, are unimodular lattices with relatively short shadow. More precisely, recall that a characteristic vector of a unimodular lattice  $\Lambda$  is any vector  $w \in \Lambda$  such that  $(v, w) \equiv (v, v) \mod 2$  for all

 $v \in \Lambda$ , and the coset  $\frac{1}{2}w + \Lambda$  is called the *shadow* of  $\Lambda$  in [CoS 2]. It is known that  $(w, w) \equiv \operatorname{rank} \Lambda \mod 8$  for any characteristic vector w. Define

$$e(\Lambda) = \frac{1}{8}(\operatorname{rank} \Lambda - \min\{w \mid w \text{ any characteristic vector of } \Lambda\}).$$

Clearly,  $e(\Lambda) = \frac{1}{8} \operatorname{rank} \Lambda$  if and only if  $\Lambda$  is an even (unimodular) lattice. Elkies [Elk] has shown that  $\Lambda = \mathbb{Z}^m$  is the unique unimodular lattice with  $e(\Lambda) = 0$ ; all other lattices have  $e \geq 1$ . Moreover, he has described all the unimodular lattices  $\Lambda$  with  $e(\Lambda) = 1$ .

Clearly,  $e(\Delta(p, 1)) = 0$ . We observe that  $e(\Delta) = 2$ , if  $\Delta = \Delta(3, 3)$  or  $\Delta^{-}(5, 2)$ . More generally, we claim that

$$\frac{1}{8}(p^k - 1)(p^{k+1} - 1) \le e(\Delta) \le \frac{1}{8}p^{k+1}(p^k - 1)$$

if  $\Delta := \Delta(p, 2k+1)$ , which means in particular that  $\Delta$  has a relatively short shadow. (For, from Theorems 5.3 and 5.8, it follows that v(L)+u(L) is a characteristic vector of norm  $p^k(p+1)$ , L any Lagrangian. On the other hand, if w is any characteristic vector, then  $(w, v(M)) \equiv 1 \mod 2$  for any Lagrangian M. Hence, if  $\pi$  is a symplectic spread in  $\mathbb{F}_p^{2k+1}$  and  $w = \sum_{M \in \pi} a_M v(M)$ , then  $a_M \neq 0$ . But  $p^k a_M = (v(M), w) \in \mathbb{Z}$ ; hence  $a_M \geq p^{-k}$ . As a consequence,  $(w, w) \geq p^{-2k} \sum_{M \in \pi} (v(M), v(M))$ , and so  $(w, w) \geq p^{k+1} + 1$ .) Specializing p = 3 and k = 1, one gets  $e(\Delta(3, 3)) = 2$ . Next, let p = 5 and k = 2. Then again v(L) - u(L) is a characteristic vector, of norm 10, yielding  $e(\Delta) \geq 2$  for  $\Delta = \Delta^-(5, 2)$ . On the other hand, if  $e(\Delta) > 2$ , then  $e(\Delta) = 3$  and  $\Delta$  would have a (characteristic) vector of norm  $26 - 3 \cdot 8 = 2$ , contrary to the fact that min  $\Delta = 3$ .

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