

LATTICE-ORDERED ABELIAN GROUPS AND SCHAUDER BASES OF UNIMODULAR FANS

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ABSTRACT. Baker-Beynon duality theory yields a concrete representation of any finitely generated projective Abelian lattice-ordered group G in terms of piecewise linear homogeneous functions with integer coefficients, defined over the support $|\Sigma|$ of a fan Σ . A unimodular fan Δ over $|\Sigma|$ determines a Schauder basis of G : its elements are the minimal positive free generators of the pointwise ordered group of Δ -linear support functions. Conversely, a Schauder basis \mathbf{H} of G determines a unimodular fan over $|\Sigma|$: its maximal cones are the domains of linearity of the elements of \mathbf{H} . The main purpose of this paper is to give various representation-free characterisations of Schauder bases. The latter, jointly with the De Concini-Procesi starring technique, will be used to give novel characterisations of finitely generated projective Abelian lattice ordered groups. For instance, G is finitely generated projective iff it can be presented by a purely lattice-theoretical word.

1. BACKGROUND: ℓ -GROUPS AND FANS

We assume familiarity with lattice-ordered Abelian groups (for short, ℓ -groups [4, 7]) and fans. By a *fan* we shall always understand a finite rational polyhedral fan, as defined in [6, 15].

Throughout the paper, $\mathbb{N} = \{1, 2, \dots\}$, \mathbb{Z} is the set of integers, and \mathbb{R} is the set of reals. If G is an ℓ -group, we let $G^+ = \{g \in G \mid g \geq 0\}$. By *ℓ -homomorphisms* we mean homomorphisms of ℓ -groups; the symbol ' \cong_ℓ ' denotes ℓ -isomorphism. Kernels of ℓ -homomorphisms are precisely *ℓ -ideals*, always denoted by Gothic letters $\mathfrak{a}, \mathfrak{m}, \mathfrak{p}, \dots$. An ℓ -ideal is *principal* iff it is finitely generated (which for ℓ -groups is equivalent to being singly generated). *Maximal ℓ -ideals* are defined in the obvious manner. A finitely generated ℓ -group G is *Archimedean* iff it has no “infinitesimal elements”: thus, whenever $0 < x \leq y$ holds, there is $n \in \mathbb{N}$ such that $nx \not\leq y$; equivalently, the intersection of all maximal ℓ -ideals in G is $\{0\}$; see [7, 4.1] and [4, 10.2] for details. An ℓ -ideal \mathfrak{m} is maximal in G iff G/\mathfrak{m} is Archimedean totally ordered. As explained in [4, 13.2.6], Archimedean ℓ -groups with a *strong order unit* (that is, an element $u \in G$ such that for every $x \in G$ there is $n \in \mathbb{N}$ with $nu \geq x$) are precisely those representable as ℓ -groups of real-valued continuous functions over some compact Hausdorff space (operations being defined pointwise); finitely generated ℓ -groups may always be endowed with a strong order unit.

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Suppose G is a finitely generated ℓ -group. Equipped with the spectral topology, the set $\mathbf{MaxSpec}(G)$ of maximal ℓ -ideals of G is a (nonempty) compact Hausdorff space. The closed sets in $\mathbf{MaxSpec}(G)$ are given by the *zero sets* $\mathfrak{Z}(S)$ of arbitrary subsets S of G , where $\mathfrak{Z}(S) = \bigcap_{g \in S} \{\mathfrak{m} \in \mathbf{MaxSpec}(G) \mid g \in \mathfrak{m}\}$.

We let $|\Sigma| \subseteq \mathbb{R}^n$ denote the (closed) support of the fan Σ .¹ A continuous function $f: |\Sigma| \rightarrow \mathbb{R}$ is said to be an (*integral*) ℓ -function over $|\Sigma|$ iff it is (always finitely) piecewise linear homogeneous, each piece having the form $c_1x_1 + \dots + c_nx_n$, for suitable integers c_1, \dots, c_n .

By \mathcal{A}_n we denote the ℓ -group of all ℓ -functions over \mathbb{R}^n , with pointwise operations. As is well known, \mathcal{A}_n is free in the equational class of ℓ -groups. The (coordinate) projection functions $\pi_i: \mathbb{R}^n \rightarrow \mathbb{R}$ are free generators of \mathcal{A}_n . For Σ a fan in \mathbb{R}^n , we let

$$\mathcal{A}_n \upharpoonright |\Sigma|$$

denote the ℓ -group of all ℓ -functions over $|\Sigma|$. This notation is in agreement with the fact that ℓ -functions over $|\Sigma|$ are the same as restrictions to $|\Sigma|$ of ℓ -functions over \mathbb{R}^n .

Elements of fans are called *cones*; thus, a cone is always a rational polyhedral cone. A fan Θ is *simplicial* iff every one of its cones is simplicial (i.e., taking an affine section in general position yields a simplex). A simplicial cone $\sigma \in \Theta$ is *unimodular* iff its 1-dimensional faces are spanned (over the positive reals) by primitive vectors² that are columns of some unimodular matrix (an integral square matrix with determinant ± 1). A fan is *unimodular* iff all of its cones are unimodular.³

Given two fans Σ and Δ , if $|\Delta| = |\Sigma|$ and all the cones of Σ are unions of cones of Δ , then we say that Δ *refines* Σ .

For Δ a unimodular fan in \mathbb{R}^n , let $\sigma \in \Delta$ be a 1-dimensional cone. Following [12, 13] (also see [17]), the *Schauder hat of Δ at σ* is the unique ℓ -function h_σ that is linear over each cone of Δ , has value 1 at the primitive vector along σ , and value 0 at every point of every other 1-dimensional cone of Δ . The *Schauder basis over Δ* , denoted Hats_Δ , is the collection of all Schauder hats of Δ . Integrality of the linear pieces is guaranteed by unimodularity of the fan.

Every Schauder hat of Δ is a particular case of a Δ -linear support function [15, page 66]. Specifically, the Schauder basis over Δ is a free generating set in the free group \mathcal{F} of Δ -linear support functions. If, in addition, \mathcal{F} is equipped with the pointwise order, then the Schauder hats over Δ are just the minimal positive free generators; see [13].

2. SCHAUDER BASES AND DE CONCINI-PROCESI REFINEMENTS

A nontrivial exercise shows that there are ℓ -automorphisms of, say, \mathcal{A}_3 , carrying a Schauder basis to a set of ℓ -functions which is not a Schauder basis. Thus, the property of being a Schauder basis is *not* invariant under ℓ -automorphisms.

A useful representation-independent generalisation is the following.

Definition 2.1. Let G be an ℓ -group and $B = \{b_1, \dots, b_t\} \subseteq G$, where $t \in \mathbb{N}$. We say that B is an *abstract Schauder basis of G* iff for some $n \in \mathbb{N}$ there exist a unimodular fan Δ in \mathbb{R}^n , and an ℓ -isomorphism $\phi: \mathcal{A}_n \upharpoonright |\Delta| \cong_\ell G$, such that,

¹All fans are denoted by capital Greek letters.

²An integral vector is *primitive* iff the greatest common divisor of its coordinates is 1.

³Unimodular fans are also known as *nonsingular* fans, as they correspond precisely to smooth toric varieties under the fan-toric dictionary. In [6], they are called *regular* fans.

letting $\text{Hats}_\Delta = \{h_1, \dots, h_t\}$ be the Schauder basis over Δ , we have $\phi(h_i) = b_i$ for every $i \in \{1, \dots, t\}$.⁴

When we wish to emphasise that B is a Schauder basis, we sometimes write that it is a *concrete* Schauder basis. Trivially, any concrete Schauder basis is an abstract Schauder basis.

Proposition 2.2. *If the ℓ -group G has an abstract Schauder basis B , then*

- (1) $B \subseteq G^+ \setminus \{0\}$,
- (2) B is linearly independent in the \mathbb{Z} -module G , and
- (3) G is Archimedean.

Proof. In view of the existence of the above ℓ -isomorphism $\phi: \mathcal{A}_n \upharpoonright |\Delta| \cong_\ell G$, it is enough to prove the proposition for $\mathcal{A}_n \upharpoonright |\Delta|$ equipped with the concrete Schauder basis $\text{Hats}_\Delta = \{h_1, \dots, h_t\}$ corresponding to B via ϕ . Then (1) and (2) are immediate. Property (3) follows upon observing that $\mathcal{A}_n \upharpoonright |\Delta|$ is an ℓ -group of real-valued functions. □

In Proposition 2.5 below we shall prove that B generates G . The proof requires some background material on stellar subdivisions [6, 15]. Barycentric stellar subdivisions (*starrings* for short) can be used to subdivide a unimodular fan, *preserving* unimodularity.⁵ If Δ is obtained from Σ via a finite number (possibly zero) of starings, we write $\Delta \preceq \Sigma$; and if all such subdivisions are along 2-dimensional cones only (*binary* starings for short), we write $\Delta \preceq_2 \Sigma$.

Lemma 2.3 (The De Concini-Procesi Lemma). *Let Σ_1 and Σ_2 be unimodular fans with the same support. There exists a unimodular fan Δ such that $\Delta \preceq_2 \Sigma_1$ and Δ refines Σ_2 .*

Proof. See [5]; for a short elementary proof, see [16] or [13]. □

For our purposes, it is crucial to realise that starings of unimodular fans are definable in the language of ℓ -groups. Although this is really a remark, we record it for easier reference.

Lemma 2.4. *Let Σ be a unimodular fan and $\mathbf{H} = \text{Hats}_\Sigma$ its associated Schauder basis. Let $h_1, h_2 \in \mathbf{H}$ be hats at the 1-cones σ_1 and σ_2 , respectively. Suppose σ_1 and σ_2 span a 2-cone τ of Σ (equivalently, $h_1 \wedge h_2 \neq 0$). Let $\Delta \preceq_2 \Sigma$ be the unimodular fan obtained from Σ by starring along τ . Then $\mathbf{K} = \text{Hats}_\Delta$ is the Schauder basis obtained from \mathbf{H} by removing h_1 and h_2 , and adjoining the three hats*

$$h_1 - (h_1 \wedge h_2), \quad h_2 - (h_1 \wedge h_2), \quad h_1 \wedge h_2 .$$

Conversely, if \mathbf{K} is obtained from \mathbf{H} by such substitutions, then there is a unique unimodular fan Δ , obtained from Σ by starring along τ , such that $\mathbf{K} = \text{Hats}_\Delta$.

Proof. A straightforward computation. □

Proposition 2.5. *If an ℓ -group G has an abstract Schauder basis B , then B generates G .*

⁴In Proposition 2.5 below we shall prove that if G has a Schauder basis, then G is finitely generated.

⁵Starings correspond to (equivariant) blow-ups of toric varieties via the fan-toric dictionary [15, 6].

Proof. Using the ℓ -isomorphism $\phi: \mathcal{A}_n \upharpoonright |\Delta| \cong_\ell G$, without loss of generality we can assume $G = \mathcal{A}_n \upharpoonright |\Delta|$ equipped with the concrete Schauder basis $B = \text{Hats}_\Delta = \{h_1, \dots, h_t\}$. By [6, Theorem 8.5], for every ℓ -function f over $|\Delta|$, there exists a unimodular refinement Δ_f of Δ such that f is linear over every cone of Δ_f . By Lemma 2.3, an appropriate sequence of binary starrings transforms Δ into a refinement Δ^* of Δ_f . By Lemma 2.4, all hats of Δ^* are in the ℓ -group H of real-valued functions over $|\Delta|$ generated by B . Since, by the construction of Δ^* , f must take an integer value at each primitive generating vector of Δ^* , then f is a linear combination of the hats of Δ^* with integer coefficients. This shows that $H = \mathcal{A}_n \upharpoonright |\Delta|$. \square

The concept of concrete Schauder bases only makes sense for ℓ -groups of the form $G = \mathcal{A}_n \upharpoonright |\Delta|$, where $|\Delta|$ is a unimodular fan. The main question we shall address is whether one can characterise abstract Schauder bases without reference to their geometric realisations.

3. TOPOLOGICAL CHARACTERISATION OF ABSTRACT SCHAUDER BASES

Let G be an ℓ -group, and D be a finite subset of G . Then by an *abstract k -simplex of D* we mean a set $Y \subseteq D$ of cardinality $k + 1$ such that $\bigwedge Y \neq 0$.

Theorem 3.1. *Let G be an ℓ -group, and let $B = \{b_1, \dots, b_t\} \subseteq G^+ \setminus \{0\}$ be a finite subset. Then the following conditions are equivalent:*

- (1) B is an abstract Schauder basis of G .
- (2) G is Archimedean, B generates G , and for every abstract k -simplex Y of B , the zero set $\mathfrak{Z}(B \setminus Y)$ is homeomorphic to the closed k -dimensional ball.

*Proof.*⁶ (2 \rightarrow 1) Let the ℓ -homomorphism $\eta: \mathcal{A}_t \rightarrow G$ be the unique extension of the map $\pi_i \mapsto b_i$ ($i = 1, \dots, t$). Then η is surjective, because B generates G . Since G is Archimedean, G is ℓ -isomorphic to the ℓ -group $\mathcal{A}_t \upharpoonright W$ of restrictions of the ℓ -functions of \mathcal{A}_t to a suitable homogeneous⁷ closed subset W of \mathbb{R}^t . We shall show that W is the support of a unimodular fan Θ , and B is the η -image of Hats_Θ .

The assumption that each b_i is positive implies that W is contained in the positive orthant \mathcal{O} of \mathbb{R}^t . Let L be the affine hyperplane determined by the unit basic vectors \mathbf{e}_i ,

$$(1) \quad L = \left\{ (\xi_1, \dots, \xi_t) \in \mathbb{R}^t \mid \sum \xi_i = 1 \right\}.$$

Let $W' = W \cap L$. Via the canonical ℓ -isomorphism of $\mathcal{A}_t \upharpoonright W$ onto $\mathcal{A}_t \upharpoonright W'$, we shall identify G with the ℓ -group of restrictions to W' of the functions of \mathcal{A}_t ; in symbols,

$$(2) \quad G = \mathcal{A}_t \upharpoonright W'.$$

Under this identification, η is just the restriction map, and every element $b_i \in B$ coincides with the restriction to W' of the i th coordinate function π_i of \mathcal{A}_t . Since the rays of W are in one-one correspondence with the maximal ℓ -ideals of G , there also is a natural one-one correspondence

$$\mathfrak{m} \in \text{MaxSpec}(G) \mapsto \mathbf{z}_\mathfrak{m} \in W'$$

⁶The techniques for the proof of Theorem 3.1 were developed, in a different but related context, in [14]. For partitions of unity, a similar result is stated in [9].

⁷Meaning that whenever W contains a point \mathbf{z} , then W also contains the ray through 0 and \mathbf{z} .

between maximal ℓ -ideals \mathfrak{m} and points in W' .⁸ This correspondence is also a homeomorphism of $\mathbf{MaxSpec}(G)$ (equipped with the spectral topology; see [4, Chapter 13]) onto W' (with the natural topology of \mathbb{R}^t). Accordingly, we shall identify $\mathbf{MaxSpec}(G)$ and W' as topological spaces. The quotient map $f \in G \mapsto f/\mathfrak{m} \in G/\mathfrak{m}$ coincides with the evaluation map of every $f \in G$ at the point $\mathbf{z}_\mathfrak{m} = (\xi_1, \dots, \xi_t)$ corresponding to the maximal ℓ -ideal \mathfrak{m} ; in symbols,

$$(3) \quad f/\mathfrak{m} = f(\mathbf{z}_\mathfrak{m}), \quad \text{for all } \mathfrak{m} \in \mathbf{MaxSpec}(G).$$

Claim 1. Let $Y = \{b_{i_1}, \dots, b_{i_k}\}$ be an abstract simplex of B . Then the zero set $\mathfrak{Z}(B \setminus Y)$ coincides with the set $\{\mathbf{z} \in W' \mid b_{i_1}(\mathbf{z}) + \dots + b_{i_k}(\mathbf{z}) = 1\}$.

As a matter of fact, by (3), $\mathfrak{Z}(B \setminus Y)$ is the set of points of W' where all functions of $B \setminus Y$ vanish. On the other hand, the sum of all functions b_j of B (equivalently, the sum of the corresponding π_j) constantly equals 1 over W' .

Claim 2. For each $i = 1, \dots, t$, the singleton $\{b_i\}$ forms an abstract 0-simplex of B , and the zero set $\mathfrak{Z}(B \setminus \{b_i\})$ is the (singleton) standard basis vector \mathbf{e}_i . Thus $\mathbf{e}_i \in W'$.

By assumption $\{b_i\}$ is nonzero. By definition it forms an abstract 0-simplex of B . By our topological assumption, $\mathfrak{Z}(B \setminus \{b_i\})$ is a singleton in the compact Hausdorff space $\mathbf{MaxSpec}(G) = W'$. The only possible point \mathbf{z} in $\mathcal{O} \cap L$ where $b_i(\mathbf{z}) = 1$ is the basis vector \mathbf{e}_i . Thus, by Claim 1, $\mathbf{e}_i \in W'$.

Claim 3. For every abstract $(k - 1)$ -simplex $Y = \{b_{i_1}, \dots, b_{i_k}\}$ of B , the zero set $\mathfrak{Z}(B \setminus Y)$ is the convex hull $[\mathbf{e}_{i_1}, \dots, \mathbf{e}_{i_k}]$ of the basis vectors $\mathbf{e}_{i_1}, \dots, \mathbf{e}_{i_k}$. Thus, in particular, $[\mathbf{e}_{i_1}, \dots, \mathbf{e}_{i_k}] \subseteq W'$.

The proof is by induction on k .

Basis. Suppose $\{b_i, b_j\}$ forms an abstract 1-simplex Y of B . By Claim 1, $\mathfrak{Z}(B \setminus Y)$ coincides with the set $V = \{\mathbf{z} \in W' \mid b_i(\mathbf{z}) + b_j(\mathbf{z}) = 1\}$. By definition of W' , together with (3), V is a subset of the closed segment $[\mathbf{e}_i, \mathbf{e}_j]$. By Claim 2, both \mathbf{e}_i and \mathbf{e}_j belong to V , because both b_i and b_j form an abstract 0-simplex of B . If V were a *proper* subset of $[\mathbf{e}_i, \mathbf{e}_j]$, then it would not be connected, against our topological assumption. Thus, $\mathfrak{Z}(B \setminus Y) = [\mathbf{e}_i, \mathbf{e}_j]$.

Induction step. Let $D = \{b_{i_1}, \dots, b_{i_{k+1}}\}$ be an abstract k -simplex of B . A fortiori, every subset $D' = \{b_{j_1}, \dots, b_{j_k}\}$ of D is an abstract $(k - 1)$ -simplex of B . By induction hypothesis, the zero set $\mathfrak{Z}(B \setminus D')$ coincides with the (topological) $(k - 1)$ -simplex $[\mathbf{e}_{j_1}, \dots, \mathbf{e}_{j_k}]$. Thus, by (3), $\mathfrak{Z}(B \setminus D)$ is a certain subset $V \subseteq [\mathbf{e}_{i_1}, \dots, \mathbf{e}_{i_{k+1}}]$, containing the union of S^{k-1} all $(k - 1)$ -dimensional faces of $[\mathbf{e}_{i_1}, \dots, \mathbf{e}_{i_{k+1}}]$. Suppose V were a *proper* subset of $[\mathbf{e}_{i_1}, \dots, \mathbf{e}_{i_{k+1}}]$ (*absurdum hypothesis*). Write

$$V = [\mathbf{e}_{i_1}, \dots, \mathbf{e}_{i_{k+1}}] \setminus U$$

for a suitable nonempty subset U of the relative interior of $[\mathbf{e}_{i_1}, \dots, \mathbf{e}_{i_{k+1}}]$. As in [13], the intuitively obvious fact that V cannot be homeomorphic to the k -ball is confirmed by the verification that the singular homology groups [11] of V and of $[\mathbf{e}_{i_1}, \dots, \mathbf{e}_{i_{k+1}}]$ are not isomorphic.

⁸One passes from \mathfrak{m} to $\mathbf{z}_\mathfrak{m}$ by taking the intersection of all zero sets of functions in \mathfrak{m} . Conversely, one passes from any point $\mathbf{z} \in W'$ to its corresponding maximal ℓ -ideal \mathfrak{m} by taking all functions of G that vanish at \mathbf{z} .

On the one hand, $H_{k-1}([\mathbf{e}_{i_1}, \dots, \mathbf{e}_{i_{k+1}}])$ is zero, because $[\mathbf{e}_{i_1}, \dots, \mathbf{e}_{i_{k+1}}]$ is contractible. On the other hand, letting \mathbf{u} be any point in set U , the inclusion maps

$$S^{k-1} \rightarrow V \rightarrow \mathbb{R}^n \setminus \{\mathbf{u}\}$$

induce homomorphisms of homology groups

$$\mathbb{Z} = H_{k-1}(S^{k-1}) \rightarrow H_{k-1}(V) \rightarrow H_{k-1}(\mathbb{R}^n \setminus \{\mathbf{u}\}) = \mathbb{Z}.$$

Moreover, the composition of these two homomorphisms is the homomorphism induced by the inclusion

$$S^{k-1} \rightarrow \mathbb{R}^n \setminus \{\mathbf{u}\}.$$

Because the latter map is a homotopy equivalence, it induces an isomorphism on homology groups. We have shown that $H_{k-1}(V)$ contains the group of integers as a summand. This contradicts our topological assumption, and also settles our claim.

To conclude the proof of $(2 \rightarrow 1)$, for each abstract $(k-1)$ -simplex Y of B let σ_Y be an abbreviation of the zero set $\mathfrak{Z}(B \setminus Y)$. By Claim 3, σ_Y is a $(k-1)$ -dimensional simplex. For any two abstract simplices Y', Y'' of B , their corresponding simplices $\sigma_{Y'}, \sigma_{Y''}$ intersect in a common face, namely the simplex $\sigma_{Y' \cap Y''}$. Here it is convenient to write $\sigma_\emptyset = \emptyset$.

The abstract simplices of B then determine a (concrete, topological) simplicial complex \mathcal{S} in \mathbb{R}^t , and W' is the support of \mathcal{S} .⁹ The t vertices of \mathcal{S} are the standard basis vectors of \mathbb{R}^t . Further, for each $k = 1, \dots, t$, letting $\mathcal{S}^{(k)}$ denote the set of k -dimensional simplices of \mathcal{S} , we have the correspondence

$$\mathcal{S}^{(k)} \longleftrightarrow \text{abstract } k\text{-simplices of } B.$$

Replacing each point \mathbf{z} in W' by the ray passing through 0 and \mathbf{z} , we obtain from \mathcal{S} a unimodular fan Θ whose support coincides with W . The primitive generating vectors of Θ are the standard basis vectors \mathbf{e}_i . The Schauder hats of Θ are precisely the restrictions $\pi_i \upharpoonright W$, and hence they correspond to the elements of B , via our standing ℓ -isomorphism $b_i \cong_\ell \pi_i \upharpoonright W$.

This completes the proof of $(2 \rightarrow 1)$.

$(1 \rightarrow 2)$ For some $n \in \mathbb{N}$ and unimodular fan Δ there is an ℓ -isomorphism

$$\phi: \mathcal{A}_n \upharpoonright |\Delta| \cong_\ell G$$

that geometrically realises B by the Schauder basis over Δ . By Propositions 2.2 and 2.5, G is Archimedean and B generates G . Without loss of generality we may assume $|\Delta|$ to lie entirely in the positive orthant of \mathbb{R}^n .¹⁰ Let L be the hyperplane

$$\{(\xi_1, \dots, \xi_n) \in \mathbb{R}^n \mid \xi_1 + \dots + \xi_n = 1\}.$$

Let $L_\Delta = |\Delta| \cap L$ equipped with the natural topology. Then $\mathbf{MaxSpec}(\mathcal{A}_n \upharpoonright |\Delta|)$ is canonically homeomorphic to L_Δ ; let us identify these topological spaces. Since Δ is a complex of simplicial cones, its affine section L_Δ is a simplicial complex. For every abstract simplex $Y = \{f_1, \dots, f_k\}$ of B , let $\mathbf{v}_i \in \mathbb{R}^n$ be the point of L_Δ where the Schauder hat f_i attains its maximum value. Then direct inspection shows that the zero set $T = \mathfrak{Z}(B \setminus Y)$ is the convex hull in \mathbb{R}^n of the points $\mathbf{v}_1, \dots, \mathbf{v}_k$. Therefore, T is homeomorphic to the $(k-1)$ -dimensional ball.

The proof is complete. □

⁹That is, W' is the set-theoretical union of all simplices of \mathcal{S} .

¹⁰Indeed, we can further assume that the primitive generating vectors of the rays of Δ coincide with the standard basis vectors $\mathbf{e}_1, \dots, \mathbf{e}_n$ of \mathbb{R}^n .

4. CHARACTERISING FINITELY GENERATED PROJECTIVE ℓ -GROUPS

As we have seen, abstract Schauder bases are in one-one correspondence with unimodular fans. In this section they are used to characterise projective ℓ -groups.

By definition, an ℓ -group G is *projective* if, whenever $\varphi: H \rightarrow K$ is a surjective ℓ -homomorphism and $\alpha: G \rightarrow K$ is an ℓ -homomorphism, there is an ℓ -homomorphism $\theta: G \rightarrow H$ such that $\varphi \circ \theta = \alpha$.

It follows that an ℓ -group G is *finitely generated projective* iff it is a retract of some \mathcal{A}_n . In other words, there are maps $\iota: G \rightarrow \mathcal{A}_n$ and $\sigma: \mathcal{A}_n \rightarrow G$ such that $\sigma \circ \iota$ is the identity over G .

The following well-known characterisation is due to Baker and Beynon [1, 2, 3].

Theorem 4.1 ([7, Corollary 5.2.2], [3, Theorem 3.1]). *For any ℓ -group G the following conditions are equivalent:*

- (1) G is finitely generated projective;
- (2) for some $n \in \mathbb{N}$, G is ℓ -isomorphic to an ℓ -group of ℓ -functions over the support of some fan Σ in \mathbb{R}^n , in symbols, $G \cong_{\ell} \mathcal{A}_n \upharpoonright |\Sigma|$; and
- (3) for some $n \in \mathbb{N}$, G is ℓ -isomorphic to the quotient $\mathcal{A}_n/\mathfrak{p}$ for some principal ℓ -ideal \mathfrak{p} of \mathcal{A}_n .

Hence:

Proposition 4.2. *For any ℓ -group G the following are equivalent:*

- (1) G is finitely generated projective.
- (2) G has an abstract Schauder basis.

Proof. (1 \rightarrow 2) By Theorem 4.1 we can write $\psi: G \cong_{\ell} \mathcal{A}_n \upharpoonright |\Sigma|$ for some fan Σ in \mathbb{R}^n . Now, every fan can be unimodularised (see, e.g., [6, Theorem 8.5]), hence its support is also the support of a unimodular fan. Accordingly, we shall assume that Σ is unimodular. Let Hats_{Σ} be the concrete Schauder basis over Σ . The ℓ -isomorphism ψ^{-1} induces a one-one correspondence between Hats_{Σ} and an abstract Schauder basis of G .

(2 \rightarrow 1) If G has an abstract Schauder basis, then $G \cong_{\ell} \mathcal{A}_n \upharpoonright |\Delta|$ for some unimodular fan Δ . By Theorem 4.1, $\mathcal{A}_n \upharpoonright |\Delta|$ is finitely generated projective, hence so is G . □

Now recalling Theorem 3.1 we immediately obtain from the proposition above:

Corollary 4.3. *For any ℓ -group G the following are equivalent:*

- (1) G is finitely generated projective.
- (2) G is Archimedean and is generated by a finite set $B \subseteq G^+ \setminus \{0\}$ such that for every abstract k -simplex Y of B , the zero set $\mathfrak{Z}(B \setminus Y)$ is homeomorphic to the k -ball.

The *proof* of Theorem 3.1, in conjunction with Proposition 4.2, actually yields the following interesting variant of (1 \leftrightarrow 2) in Theorem 4.1.

Corollary 4.4. *For any ℓ -group G the following are equivalent:*

- (1) G is finitely generated projective.
- (2) For some positive integer n , G is ℓ -isomorphic to $\mathcal{A}_n \upharpoonright |\Theta|$, where Θ is a (necessarily unimodular) fan in \mathbb{R}^n whose 1-dimensional cones are generated by the standard basis vectors $\mathbf{e}_1, \dots, \mathbf{e}_n$.

5. REFINEMENTS OF ABSTRACT SCHAUDER BASES

As shown in [6, 15], refinements of unimodular fans are fundamental to the fan-theoretic treatment of birational toric geometry. In this section we derive abstract versions of two basic refinement procedures for unimodular fans.

Let Σ and Δ be unimodular fans in \mathbb{R}^n with the same support. Let $[[\text{Hats}_\Sigma]]$ and $[[\text{Hats}_\Delta]]$ be the submonoids of $\mathcal{A}_n \upharpoonright |\Sigma|$ generated by the Schauder bases over Σ and Δ , respectively. Direct inspection shows that Σ refines Δ , henceforth written $\Sigma \leq \Delta$, iff $[[\text{Hats}_\Delta]] \subseteq [[\text{Hats}_\Sigma]]$ iff $\text{Hats}_\Delta \subseteq [[\text{Hats}_\Sigma]]$. Thus the following proposition shows that, in a natural sense, any two abstract Schauder bases of the same ℓ -group admit a joint refinement.

Proposition 5.1 (Joint refinability). *Let B_1, B_2 be abstract Schauder bases of an ℓ -group G . There exists an abstract Schauder basis C of G such that $C \leq B_1, B_2$, where $C \leq B_i$ stands for $B_i \subseteq [[C]]$, and $[[C]]$ is the submonoid of G generated by C .*

Proof. Let $\phi: G \cong_\ell \mathcal{A}_n \upharpoonright |\Sigma|$ be an ℓ -isomorphism carrying B_1 to Hats_Σ , for some unimodular fan Σ in \mathbb{R}^n . Then ϕ carries B_2 to a collection of ℓ -functions $S = \phi(B_2)$ defined over $|\Sigma|$.

Claim. There exists a unimodular fan Δ with $|\Delta| = |\Sigma|$ such that every ℓ -function in $S \cup \text{Hats}_\Sigma$ is linear over each cone of Δ .

To prove this, let \mathcal{L}_1 be the collection of all linear pieces of all ℓ -functions in $S \cup \text{Hats}_\Sigma$, regarded by linear extension¹¹ as linear ℓ -functions from \mathbb{R}^n into itself. Further, let \mathcal{L}_2 be a collection of rational homogeneous hyperplanes in \mathbb{R}^n defining the rational homogeneous polyhedral set $|\Sigma|$, again regarded as linear ℓ -functions from \mathbb{R}^n into itself. Set $\mathcal{L} = \mathcal{L}_1 \cup \mathcal{L}_2$, say $|\mathcal{L}| = m$; display the elements of \mathcal{L} as $\mathcal{L} = \{l_1, \dots, l_m\}$. We construct a complete unimodular fan Ξ in \mathbb{R}^n as follows. For every permutation σ of $\{1, \dots, m\}$, set

$$E_\sigma = \{\mathbf{x} \in \mathbb{R}^n \mid l_{\sigma(1)}(\mathbf{x}) \geq l_{\sigma(2)}(\mathbf{x}) \geq \dots \geq l_{\sigma(m)}(\mathbf{x})\} .$$

Then $E_\sigma \subseteq \mathbb{R}^n$ is a rational homogeneous polyhedral set; we do not exclude the trivial case $E_\sigma = \{0\}$, in which case there is nothing to prove. In all remaining cases, the dimension of E_σ is n . It is an exercise to check that there exists a unique refinement-maximal (not necessarily unimodular, nor even simplicial) fan Ξ in \mathbb{R}^n whose n -dimensional cones are precisely the nontrivial E_σ , as σ ranges over all permutations of $\{1, \dots, m\}$. Furthermore, it is clear that $\bigcup_\sigma E_\sigma = \mathbb{R}^n$, whence Ξ is complete. By e.g. [6, Theorem 8.5], every fan can be unimodularised; let Θ be a unimodular refinement of Ξ . Now $|\Sigma|$ is inscribed in Θ , meaning that $|\Sigma|$ is a union of cones of Θ , because \mathcal{L} includes \mathcal{L}_2 , a collection of hyperplanes defining Σ . Let Δ be the fan obtained from Ξ by selecting all those cones $\delta \in \Theta$ that intersect $|\Sigma|$ nontrivially, i.e. $\delta \cap |\Sigma| \neq \{0\}$. Then clearly $|\Delta| = |\Sigma|$, Δ is unimodular, and every ℓ -function in $S \cup \text{Hats}_\Sigma$ is linear over each cone of Δ ; the latter condition holds because \mathcal{L} includes \mathcal{L}_2 , the collection of all linear pieces of all ℓ -functions in $S \cup \text{Hats}_\Sigma$. This settles our first claim.

¹¹Such an extension is generally not unique, but the choice of the extension is immaterial.

Claim. $\text{Hats}_\Delta = \{h_1, \dots, h_t\}$ spans $S \cup \text{Hats}_\Sigma$ positively.

Indeed, let $f: |\Sigma| \rightarrow \mathbb{R}$ be an ℓ -function that is linear over each cone of Δ . For each 1-cone $\delta_i \in \Delta$, $i \in \{1, \dots, t\}$, f attains an integral value $z_i \in \mathbb{Z}$ at the primitive vector along δ_i . The ℓ -function $\tilde{f} = z_1 h_1 + \dots + z_t h_t$ coincides with f : it indeed does by construction over 1-cones, hence it does over any cone because both f and \tilde{f} are linear over each cone of Δ . This settles our second claim.

We thus have $S, \text{Hats}_\Sigma \subseteq [[\text{Hats}_\Delta]]$. Set $C = \phi^{-1}(\text{Hats}_\Delta)$. Since ϕ^{-1} is an ℓ -isomorphism, $B_1, B_2 \subseteq [[C]]$ holds, and the proof is complete. \square

If Σ refines Δ , there is generally no way of obtaining Σ from Δ via a sequence of starring operations; thus, $\Sigma \preceq_2 \Delta$ implies $\Sigma \leq \Delta$, but not conversely. The stronger $\Sigma \preceq_2 \Delta$ condition that Σ be a starring refinement of Δ also has an algebraic counterpart via Lemma 2.4. Specifically, let us write $[[\text{Hats}_\Sigma]] \preceq_2 [[\text{Hats}_\Delta]]$ iff Hats_Σ can be obtained from Hats_Δ via a finite number of transformations of the type

$$(4) \quad \{h_1, h_2\} \mapsto \{h_1 - (h_1 \wedge h_2), h_2 - (h_1 \wedge h_2), h_1 \wedge h_2\},$$

with the *proviso* that $h_1 \wedge h_2 \neq 0$. We can then prove the following purely algebraic version of the De Concini-Procesi Lemma.

Proposition 5.2 (The abstract De Concini-Procesi Lemma). *Let B_1, B_2 be abstract Schauder bases of an ℓ -group G . There exists an abstract Schauder basis C of G such that:*

- (1) $C \leq B_2$, in the sense that $B_2 \subseteq [[C]]$.
- (2) $C \preceq_2 B_1$, in the sense that C is obtainable from B_1 via a finite number of transformations of the type (4), where $h_1 \wedge h_2 \neq 0$.

Proof. Let $\phi: G \cong_\ell \mathcal{A}_n \upharpoonright |\Sigma|$ be an ℓ -isomorphism carrying B_1 to Hats_Σ , for some unimodular fan Σ in \mathbb{R}^n , and let $S = \phi(B_2)$. By the same argument as the one in Proposition 5.1, there is a unimodular fan Δ with $|\Delta| = |\Sigma|$ such that $S \cup \text{Hats}_\Sigma \subseteq [[\text{Hats}_\Delta]]$. By the De Concini-Procesi Lemma, there is a unimodular fan Θ such that $\Theta \preceq_2 \Sigma$, and Θ refines Δ . It follows that $\text{Hats}_\Sigma, S \subseteq [[\text{Hats}_\Theta]]$, because every ℓ -function in $\text{Hats}_\Sigma \cup S$ is linear over each cone of Δ , hence over each cone of its refinement Θ . Thus, if $C = \phi^{-1}(\text{Hats}_\Theta)$, we have $B_1, B_2 \subseteq [[C]]$. Since $\Theta \preceq_2 \Sigma$, Lemma 2.4 shows Hats_Θ is obtainable from Hats_Σ via a finite number of transformations of type (4), with $h_1 \wedge h_2 \neq 0$. Since these transformations are preserved by ℓ -isomorphism, we obtain $C \preceq_2 B_1$, which completes the proof. \square

The above result should be compared with Lemma 2.3, to which it reduces when B_1 and B_2 are concrete Schauder bases.

6. FINITELY GENERATED PROJECTIVE = PRESENTABLE BY A LATTICE WORD

We refer to [7, Section 5.2], [13, Section 4] and [8] for background on finite presentations of ℓ -groups. As an immediate consequence of Corollary 4.4 we have:

Proposition 6.1. *Finitely presented ℓ -groups $G \cong \langle \pi_1, \dots, \pi_m : v = 0 \rangle$ are exactly the same as finitely generated projective ℓ -groups.*

We are in a position to prove:¹²

Theorem 6.2. *For any ℓ -group G the following are equivalent:*

- (1) G is finitely generated projective.
- (2) G is finitely presented by $\langle \pi_1, \dots, \pi_n : l = 0 \rangle$, where the ℓ -function $l \in \mathcal{A}_n$ is obtainable from $\pi_1, \dots, \pi_n, 0$ by applying the lattice operations only.

Proof. (2 \rightarrow 1) Follows immediately from Proposition 6.1.

(1 \rightarrow 2) In light of Corollary 4.4 it is sufficient to prove the theorem for any ℓ -group G of the form $G = \mathcal{A}_n \upharpoonright |\Theta|$, where Θ is a (necessarily) unimodular fan in \mathbb{R}^n whose 1-dimensional cones are generated by the standard basis vectors $\mathbf{e}_1, \dots, \mathbf{e}_n$. In order to get the desired presentation of such a G it is enough to exhibit an ℓ -function $l \in \mathcal{A}_n$ having the following two properties:

- the vanishing locus $l^{-1}(0) \subseteq \mathbb{R}^n$ of l coincides with $|\Theta|$, and
- l is obtainable from $\pi_1, \dots, \pi_n, 0$ by applying the lattice operations only.

To this purpose one first notes that $|\Theta|$ is contained in the positive orthant \mathcal{O} of \mathbb{R}^n , and in addition, $\text{Hats}_\Theta = \{\pi_1 \upharpoonright |\Theta|, \dots, \pi_n \upharpoonright |\Theta|\}$.

Let the ℓ -function $w \in \mathcal{A}_n$ be defined by

$$w = \bigvee_{i=1}^n \pi_i \wedge 0.$$

The vanishing locus of w coincides with the intersection \mathcal{O} of the vanishing loci of the ℓ -functions $\pi_i \wedge 0$. If $|\Theta| = \mathcal{O}$ we are done. Otherwise, for every cone θ of Θ , let $\mathbf{e}_{\theta,1}, \dots, \mathbf{e}_{\theta,r}$ be the primitive generating vectors of θ , and let $\mathbf{e}_{\theta,r+1}, \dots, \mathbf{e}_{\theta,n}$ be the remaining basis vectors of \mathbb{R}^n . Note that this latter set is nonempty. Let the ℓ -function l_θ be defined by

$$l_\theta = w \vee \pi_{\theta,r+1} \vee \dots \vee \pi_{\theta,n}.$$

Then the vanishing locus of l_θ coincides with θ , as is immediately seen. Finally, let the ℓ -function l be defined by

$$l = \bigwedge_{\theta \in \Theta} l_\theta.$$

The vanishing locus of l coincides with the union $|\Theta|$ of the cones of Θ . This completes the proof. □

Final remark. Suppose the finitely generated projective ℓ -group G is presented by $\langle \pi_1, \dots, \pi_m : v = 0 \rangle$. Suppose the ℓ -function v is explicitly written as an ℓ -group word ω , i.e., as a string of symbols over the alphabet $\{0, +, -, \wedge, \vee\}$ of ℓ -groups, plus symbols X_1, \dots, X_m for the variables,¹³ using the familiar composition rules. Then the proof of the theorem above yields an alternative presentation $G \cong \langle \pi_1, \dots, \pi_n : l = 0 \rangle$, where the ℓ -function l can be explicitly written as a $\{0, \wedge, \vee\}$ -word λ in the variables Y_1, \dots, Y_n , and the map $\omega \mapsto \lambda$ is effective.¹⁴

As a matter of fact, from $\omega(X_1, \dots, X_m)$ one can effectively¹⁵ construct a unimodular fan Δ whose support $|\Delta| \subseteq \mathbb{R}^m$ coincides with the vanishing locus of v .

¹²Compare with [10, page 47].

¹³Also, if needed, such orthographic symbols as parentheses and commas.

¹⁴Here, by abuse of notation, π_j may denote a free generator both in \mathcal{A}_m and in \mathcal{A}_n .

¹⁵See [13, Proposition 4.3] for details.

Let $\mathbf{p}_1, \dots, \mathbf{p}_n$ display the primitive generating vectors of the rays in Δ . By sending each \mathbf{p}_i to the standard basis vector \mathbf{e}_i of \mathbb{R}^n one effectively obtains from Δ a unimodular fan Θ in \mathbb{R}^n : the cones in Δ precisely correspond to the cones in Θ via the map $\mathbf{p}_i \mapsto \mathbf{e}_i$. The desired lattice-word λ is now effectively obtainable from Θ as in the proof of Theorem 6.2. The ℓ -isomorphisms

$$\mathcal{A}_m \upharpoonright |\Delta| \cong \mathcal{A}_n \upharpoonright |\Theta| \cong \langle \pi_1, \dots, \pi_m : v = 0 \rangle \cong \langle \pi_1, \dots, \pi_n : l = 0 \rangle$$

follow from the trivial piecewise homogeneous linear homeomorphism existing between $|\Delta|$ and $|\Theta|$ in the light of Baker-Beynon duality (see [7, 5.2]).

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