

# CONTEMPORARY MATHEMATICS

746

## Topology and Geometry of Biopolymers

AMS Special Session  
Topology of Biopolymers  
April 21–22, 2018  
Northeastern University, Boston, Massachusetts

Erica Flapan  
Helen Wong  
Editors

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## Preface

Biopolymers are long flexible molecules which are produced by living organisms. The families of polynucleotides (including DNA and RNA) and polypeptides (including proteins) are especially important in basic cellular functions. Because of their flexibility, biopolymers can have complex topological conformations including knots, links, and other types of entanglements, which may affect how they interact with other molecules inside the cell. However, the exact role of such topological features and how they occur is not well understood. Techniques from topology, geometry, dynamics, probability, and statistics, in addition to simulations, and experimentation have all been used to identify and analyze topologically complex biopolymers. These approaches not only provide evidence that could help to explain how and why such features occur but might also pave the way to future uses of topologically complex biopolymers in treating disease or in developing new biodegradable products. By bringing together articles about the topology and geometry of DNA and proteins from many different perspectives, we hope to give readers a sense of the complexity and interconnections within this exciting and fast-moving field.

### Part I. The Topology and Geometry of DNA

The first half of this volume is devoted to recent results about the topology and geometry of DNA and how they affect DNA behavior. We begin with an article by Jonathan M. Fogg and Lynn Zechiedrich reporting on experimental results about DNA supercoiling. In particular, they used electron cryo-tomography to explore the 3-dimensional structure of DNA minicircles each of whose length is only 336 base pairs. They also simulated how introducing sequences with a higher propensity to bend affects the 3-dimensional geometry of such minicircles. Their results show unexpected sequence-dependent and supercoiling-dependent structural changes in DNA which may play a role in the development of gene therapy for treating disease.

Next there is an article by Pengyu Liu, Ryan Polischuk, Yunan Diao, and Javier Arsuaga also presenting results about DNA minicircles. However, in this case, they study kinetoplast DNA which has the form of chainmail made up of thousands of minicircles linked together. The authors use numerical simulations to compare the density of minicircles in the kinetoplast DNA with that of a “random knotting” model that they develop. The paper concludes that minicircle density is the most important factor in network formation, with minicircle orientation restrictions playing a significant role as well. Their results may be useful in targeted drug delivery systems.

The third article, written by Alex Kasman and Brenton LeMesurier, studies the relationship between the geometry of a DNA molecule and the amino acid

sequences it encodes. The authors use Monte Carlo and Gaussian sampling methods to approximate a multiple integral that represents both the amino acid sequences and the geometry of a DNA molecule. Their results imply the rather surprising result that the genetic code allows for less freedom in the geometry than what would be expected due to random chance. They offer interesting speculations as to what this result might say about the evolution of the genetic code.

This is followed by an article by Tetsuo Deguchi and Erica Uehara which uses simulations to evaluate the probability that a DNA chain of a given length contains a specific knot. In particular, they represent DNA molecules as self-avoiding polygons made up of rigid segments. They find that as the volume around one segment of the chain increases, the probability of obtaining a trefoil knot increases while that of obtaining more complex prime knots decreases. Furthermore, they observe that as the number of segments in a self-avoiding polygon goes to infinity, the probability that a knotted polygon contains a given prime knot as a summand approaches 1.

The article by Dorothy Buck and Danielle O'Donnol considers knotting of replication intermediates. They explain that while type II topoisomerases normally facilitate replication by performing crossing changes to unknot, unlink, or relieve torsional stress on a DNA molecule, topoisomerases can also accidentally cause knotted intermediates. In order to better understand how and why this occurs, the article models replication intermediates by  $\theta$ -curves and uses the unknotting number together with six biologically justified restrictions to show that only ten knot types can be produced as intermediates in this way.

In the last article on DNA, Allison H. Moore and Mariel Vazquez use the topological operation of band surgery to model site-specific recombination. The article argues that if no such surgery is possible to get from one given knot to a second given knot, then site-specific recombination will not cause DNA containing the first knot to change into the second knot. The authors draw on techniques from 3-manifold topology to impose strong restrictions on when there is a band surgery between a given pair of knots. In addition to this topological approach, the article uses numerical simulation of knots in a cubic lattice to determine whether a band surgery between two knots is possible and, if so, what is the relative frequency of such a surgery.

## Part II. The Topology and Geometry of Proteins

In contrast with DNA, biologists did not realize that proteins could contain knots until recently. As more and more knotted proteins are identified, understanding the possible function of knots in proteins and how such proteins fold becomes increasingly important. We begin the second half of this volume with a survey article written by Sophie Jackson on why proteins might knot. In particular, since the folding rates of certain deeply knotted proteins are slow compared with unknotted proteins, some scientists have hypothesized that such proteins must have an evolutionary advantage over faster folding unknotted structures. In this review, the evidence for and against this theory is discussed, including how a knot within a protein may affect the thermodynamic, kinetic, mechanical, and cellular stability of the protein.

The second article in Part II of the volume, written by Ana Nunes and Patrícia Faísca, presents a survey of lattice Gō models that have been used to better understand how proteins fold into a knotted native state. The article includes a discussion

of how the results of such models fit together with experimental, theoretical, and other computational results on the folding of knotted proteins. Furthermore, the authors explain some folding properties of knotted proteins and present possible functional advantages of knots in proteins.

The next article, by Dimos Goundaroulis, Julien Dorier, and Andrzej Stasiak, explains how knotoids can be used to identify, analyze, and characterize knots in open protein chains. In particular, a knotoid is a diagram of an open knotted chain where the endpoints are fixed in the plane or sphere of projection. Using knotoids to represent proteins avoids the problem of having to decide which algorithm to use to close the endpoints of a knotted protein chain in order to characterize the knot. In addition to discussing how to use probability distributions of knotoids to measure entanglement of open chains, the paper explains how to incorporate intra-chain protein bonds in the analysis of entanglement. Finally, the article introduces their software package *Knoto-ID* which, so far, is the only computational tool that uses knotoids to analyze the topology of open curves.

In the fourth article in Part II of the volume, Kenneth C. Millett explains how to adapt Gauss linking numbers, which have played a role in analyzing the topological aspects of DNA molecules, to identify and quantify entanglements in proteins. In particular, the method introduced in this paper can be used to analyze local entanglements that resemble knotting or slipknotting. This makes it possible to compare the topological and geometric properties of entanglements in unknotted proteins to those in knotted proteins. Furthermore, the paper explains how this can be done with or without considering intra-chain bonds. Finally, the paper surveys the software that is currently available for analyzing linking and entanglement of protein structures.

The last paper in this volume, written by Eleni Panagiotou and Kevin W. Plaxco, explains how various topological and geometric properties of unknotted proteins can be used to predict folding rates. In particular, they consider the Gauss linking integral, the torsion, the average crossing number, and the number of sequence-distant contacts of certain proteins and show how all of these factors can cause folding rates to increase or decrease. Among their many results, they show that folding rates decrease as the writhe and torsion of the proteins becomes more negative.

This volume is based on an AMS special session on topology of biopolymers that we organized at Northeastern University in Boston, April 21–22, 2018. We very much enjoyed organizing the special session, listening to all of the talks, and putting together this collection of articles. We hope that readers will find the diversity of approaches to studying the topology and geometry of biopolymers in this volume as stimulating as we have. Finally, we want to thank the AMS for giving us the opportunity to organize such an interdisciplinary session and for inviting us to put together this volume.

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This book contains the proceedings of the AMS Special Session on Topology of Biopolymers, held from April 21–22, 2018, at Northeastern University, Boston, MA.

The papers cover recent results on the topology and geometry of DNA and protein knotting using techniques from knot theory, spatial graph theory, differential geometry, molecular simulations, and laboratory experimentation. They include current work on the following topics: the density and supercoiling of DNA minicircles; the dependence of DNA geometry on its amino acid sequence; random models of DNA knotting; topological models of DNA replication and recombination; theories of how and why proteins knot; topological and geometric approaches to identifying entanglements in proteins; and topological and geometric techniques to predict protein folding rates.

All of the articles are written as surveys intended for a broad interdisciplinary audience with a minimum of prerequisites. In addition to being a useful reference for experts, this book also provides an excellent introduction to the fast-moving field of topology and geometry of biopolymers.



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