

# IMPROVEMENT OF THE BERNSTEIN-TYPE THEOREM FOR SPACE-LIKE ZERO MEAN CURVATURE GRAPHS IN LORENTZ-MINKOWSKI SPACE USING FLUID MECHANICAL DUALITY

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ABSTRACT. Calabi’s Bernstein-type theorem asserts that *a zero mean curvature entire graph in Lorentz-Minkowski space  $\mathbf{L}^3$  which admits only space-like points is a space-like plane*. Using the fluid mechanical duality between minimal surfaces in Euclidean 3-space  $\mathbf{E}^3$  and maximal surfaces in Lorentz-Minkowski space  $\mathbf{L}^3$ , we give an improvement of this Bernstein-type theorem. More precisely, we show that *a zero mean curvature entire graph in  $\mathbf{L}^3$  which does not admit time-like points (namely, a graph consists of only space-like and light-like points) is a plane*.

## 1. INTRODUCTION

Consider a 2-dimensional barotropic steady flow on a simply connected domain  $D$  in the  $xy$ -plane  $\mathbf{R}^2$  whose velocity vector field is  $\mathbf{v} = (u, v)$ , with density  $\rho$  and pressure  $p$ . We assume there are no external forces. Then

- the flow is a foliation of the integral curve of  $\mathbf{v}$ ,
- $\rho$  is a scalar field on  $D$ ,
- $p: \mathbf{R} \rightarrow \mathbf{R}$  is a monotone function of  $\rho$ ,
- $c := \sqrt{p'(\rho)}$  ( $p' := dp/d\rho$ ) is called the *local speed of sound*.
- The following Euler’s equation of motion holds:

$$(1.1) \quad dp + \frac{\rho}{2} d(|\mathbf{v}|^2) = 0.$$

We also assume the flow is *irrotational*; that is,

$$(1.2) \quad 0 = \operatorname{rot}(\mathbf{v}) = v_x - u_y,$$

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where  $v_x := \partial v / \partial x$ ,  $u_y := \partial u / \partial y$ . Here, ‘the equation of continuity’ is equivalent to the fact that

$$(1.3) \quad 0 = \operatorname{div}(\rho \mathbf{v}) = (\rho u)_x + (\rho v)_y.$$

By (1.2), there exists a function  $\Phi: D \rightarrow \mathbf{R}$ , called the *potential* of the flow, such that  $\nabla \Phi = \mathbf{v}$ , where  $\nabla \Phi := (\Phi_x, \Phi_y)$ . Since  $p$  is a function of  $\rho$ , the fact  $c^2 = p'(\rho)$  and (1.1) yield that

$$(1.4) \quad \rho_x = -\frac{\rho(uu_x + vv_x)}{c^2}, \quad \rho_y = -\frac{\rho(uu_y + vv_y)}{c^2}.$$

By (1.3), one can easily check that

$$(1.5) \quad 0 = (c^2 - \Phi_x^2)\Phi_{xx} - 2\Phi_x\Phi_y\Phi_{xy} + (c^2 - \Phi_y^2)\Phi_{yy}.$$

On the other hand, by (1.3), there exists a function  $\Psi: D \rightarrow \mathbf{R}$ , called the *stream function* of the flow, such that

$$(1.6) \quad \Psi_x = -\rho v, \quad \Psi_y = \rho u.$$

If we set  $\xi := \rho u$  and  $\eta := \rho v$ , (1.4) can be written as

$$(\rho^2 c^2 - \xi^2 - \eta^2)(\rho_x, \rho_y) = -\rho(\xi\xi_x + \eta\eta_x, \xi\xi_y + \eta\eta_y).$$

Since

$$0 = v_x - u_y = \frac{\eta_x}{\rho} - \frac{\xi_y}{\rho} - \frac{\eta\rho_x}{\rho^2} + \frac{\xi\rho_y}{\rho^2},$$

the identity  $0 = \rho(\xi^2 + \eta^2 - \rho^2 c^2)(v_x - u_y)$  yields that

$$(1.7) \quad 0 = (\rho^2 c^2 - \Psi_y^2)\Psi_{xx} + 2\Psi_x\Psi_y\Psi_{xy} + (\rho^2 c^2 - \Psi_x^2)\Psi_{yy}.$$

A flow satisfying

$$(1.8) \quad \rho c = 1$$

is called a *Chaplygin gas flow* (see [4, p. 24] and also [11, Section 4]). For a given stream function  $\Psi: D \rightarrow \mathbf{R}$  of the Chaplygin gas flow, we set

$$(1.9) \quad B_\Psi := 1 - \Psi_x^2 - \Psi_y^2.$$

Let  $D$  be a domain in the  $uv$ -plane  $\mathbf{R}^2$ . Let  $f: D \rightarrow \mathbf{L}^3$  be an immersion into the Lorentz-Minkowski 3-space  $\mathbf{L}^3$  of signature  $(++-)$ . We set

$$P := \begin{pmatrix} f_u \cdot f_u & f_u \cdot f_v \\ f_v \cdot f_u & f_v \cdot f_v \end{pmatrix}$$

and

$$B_f := \det(P),$$

where  $\cdot$  denotes the canonical Lorentzian inner product of  $\mathbf{L}^3$  and  $\det(P)$  denotes the determinant of the  $2 \times 2$  matrix  $P$ . A point  $p \in U$  where  $B_f(p) > 0$  (resp.,  $B_f(p) < 0$ ,  $B_f(p) = 0$ ) is said to be *space-like* (resp., *time-like*, *light-like*). We set

$$Q := \begin{pmatrix} f_{uu} \cdot \tilde{v} & f_{uv} \cdot \tilde{v} \\ f_{vu} \cdot \tilde{v} & f_{vv} \cdot \tilde{v} \end{pmatrix},$$

where  $\tilde{v} := f_u \times_L f_v$  and  $\times_L$  is the canonical Lorentzian vector product of  $\mathbf{L}^3$ . Consider the matrix  $W := \tilde{P}Q$  and set

$$A_f := \operatorname{trace}(W),$$

where  $\tilde{P}$  is the cofactor matrix of  $P$ . We call  $f$  a *zero mean curvature surface* if  $A_f$  vanishes identically. In this paper, for the sake of simplicity, we abbreviate ‘**zero mean curvature**’ by ‘**ZMC**’. A ZMC-surface consisting only of space-like points is called a *maximal surface*. On the other hand, a surface in  $\mathbf{L}^3$  consisting only of light-like points is called a *light-like surface*. It is known that the identity  $B_f = 0$  implies that  $A_f = 0$  (see [21, Proposition 2.1]). In particular, any light-like surfaces are ZMC-surfaces in our sense. Moreover, at a point where  $B_f \neq 0$ , the mean curvature function  $H$  of  $f$  is well-defined, and  $A_f = 0$  is equivalent to the condition that  $H = 0$ .

We now assume that  $f$  is written in the form  $f(x, y) = (x, y, \Psi(x, y))$ . Then it can be easily checked that  $B_f = B_\Psi$  (cf. (1.9)) and

$$A_f(x, y) = (1 - \Psi_y^2)\Psi_{xx} + 2\Psi_x\Psi_y\Psi_{xy} + (1 - \Psi_x^2)\Psi_{yy}.$$

Under the condition (1.8), the equation (1.7) for the stream function  $\Psi$  reduces to

$$(1.10) \quad (1 - \Psi_y^2)\Psi_{xx} + 2\Psi_x\Psi_y\Psi_{xy} + (1 - \Psi_x^2)\Psi_{yy} = 0,$$

which implies that  $A_f$  vanishes identically. So we call this the *ZMC-equation* in  $\mathbf{L}^3$ . If  $\rho c = 1$ , then we have  $1/\rho^2 = c^2 = dp/d\rho$ ; that is,  $dp = d\rho/\rho^2$  is obtained. Substituting this into (1.1), we get  $d(|\mathbf{v}|^2 - 1/\rho^2) = 0$ , and so there exists a constant  $\mu$  such that

$$(1.11) \quad |\mathbf{v}|^2 + \mu = \frac{1}{\rho^2} (= c^2).$$

By (1.6), we can rewrite this as

$$(1.12) \quad B_\Psi = \mu\rho^2.$$

By (1.11) and (1.12), the sign change of  $B_\Psi$  corresponds to the type change of the Chaplygin gas flow from sub-sonic ( $|\mathbf{v}| < c$ ) to super-sonic ( $|\mathbf{v}| > c$ ); that is, the sub-sonic part satisfies  $B_\Psi > 0$ . If  $\mu = 0$ , then  $B_\Psi$  vanishes identically, and the graph of  $\Psi$  gives a light-like surface. Such surfaces are discussed in the appendix, and we now consider the case  $\mu \neq 0$ . Since  $B_\Psi$  and  $\mu$  have the same sign (cf. (1.12)), we can write

$$(1.13) \quad \rho = \frac{1}{\sqrt{|\mathbf{v}|^2 + \mu}} = \sqrt{\frac{1 - \Psi_x^2 - \Psi_y^2}{\mu}}.$$

By (1.11) and the fact that  $|\mathbf{v}|^2 = \Phi_x^2 + \Phi_y^2$ , (1.5) can be written as

$$(1.14) \quad (\mu + \Phi_y^2)\Phi_{xx} - 2\Phi_x\Phi_y\Phi_{xy} + (\mu + \Phi_x^2)\Phi_{yy} = 0.$$

We set

$$(1.15) \quad \varphi(x, y) := \tilde{\mu}\Phi(\tilde{\mu}x, \tilde{\mu}y) \quad (\tilde{\mu} := 1/\sqrt[4]{|\mu|}).$$

If  $\mu > 0$ , then (1.14) reduces to

$$(1.16) \quad (1 + \varphi_y^2)\varphi_{xx} - 2\varphi_x\varphi_y\varphi_{xy} + (1 + \varphi_x^2)\varphi_{yy} = 0,$$

which is known as the condition that the graph of  $\varphi(x, y)$  gives a minimal surface in the Euclidean 3-space  $\mathbf{E}^3$ . On the other hand, if  $\mu < 0$ , then (1.14) reduces to

$$(1.17) \quad (1 - \varphi_y^2)\varphi_{xx} + 2\varphi_x\varphi_y\varphi_{xy} + (1 - \varphi_x^2)\varphi_{yy} = 0,$$

which is the ZMC-equation (cf. (1.10)). It can be easily checked that the graph of  $\varphi$  is a time-like ZMC-surface in  $\mathbf{L}^3$ . In both of the two cases, it can be easily checked that ( $\epsilon := \text{sign}(\mu) \in \{1, -1\}$ )

$$\begin{pmatrix} \psi_x \\ \psi_y \end{pmatrix} = \frac{1}{\sqrt{\varphi_x^2 + \varphi_y^2 + \epsilon}} \begin{pmatrix} -\varphi_y \\ \varphi_x \end{pmatrix}$$

holds, where  $\psi := \Psi(\tilde{\mu}x, \tilde{\mu}y)/\tilde{\mu}$ . Note that  $\Psi$  satisfies (1.10) if and only if  $\psi$  satisfies (1.10). Moreover, one can easily check that

$$(1.18) \quad (\hat{\rho} :=) \frac{1}{\sqrt{\varphi_x^2 + \varphi_y^2 + \epsilon}} = \sqrt{\epsilon(1 - \psi_x^2 - \psi_y^2)}$$

and

$$\begin{pmatrix} \varphi_x \\ \varphi_y \end{pmatrix} = \frac{1}{\sqrt{\epsilon(1 - \psi_x^2 - \psi_y^2)}} \begin{pmatrix} \psi_y \\ -\psi_x \end{pmatrix}.$$

This means that  $\varphi \longleftrightarrow \psi$  corresponds to the duality between potentials and stream functions of Chaplygin gas flows such that

- $\mu = \pm 1 (= \epsilon)$ ,
- the density  $\hat{\rho}$  is given as (1.18), and
- $p = p_0 - 1/\hat{\rho}$  for some constant  $p_0$ .

When  $\epsilon = 1$  (resp.,  $\epsilon = -1$ ), this gives a correspondence between graphs of minimal surfaces  $(x, y) \mapsto \varphi(x, y)$  in  $\mathbf{E}^3$  and graphs of maximal surfaces  $(x, y) \mapsto \psi(x, y)$  in  $\mathbf{L}^3$  (resp., an involution on the set of graphs of time-like ZMC-surfaces in  $\mathbf{L}^3$ ) which we call the *fluid mechanical duality*.

A part of the above dualities is suggested in the classical book [4]. Calabi [5] also recognized this duality for  $\mu > 0$  and pointed out the following:

**Fact 1.1** (Calabi's Bernstein-type theorem). Suppose that the graph of a function  $\psi: \mathbf{R}^2 \rightarrow \mathbf{R}$  gives a maximal surface (that is, a surface consisting only of space-like points whose mean curvature function vanishes identically). Then  $\psi - \psi(0, 0)$  is linear.

This is an analogue of the classical Bernstein theorem for minimal surfaces in  $\mathbf{E}^3$ . Moreover, Calabi [5] obtained the same conclusion for entire space-like ZMC-graphs in  $\mathbf{L}^{n+1}$  ( $n \leq 4$ ), and Cheng and Yau [6] extended this result for complete maximal hypersurfaces in  $\mathbf{L}^{n+1}$  for  $n \geq 5$ . The assumption that the graph consists only of space-like points is crucial. Entire ZMC-graphs which are not planar actually exist. Typical such examples are of the form

$$(1.19) \quad \psi_0(x, y) := y + g(x),$$

where  $g: \mathbf{R} \rightarrow \mathbf{R}$  is any  $C^\infty$ -function of one variable. A point  $p = (x_0, y_0) \in \mathbf{R}^2$  is a light-like point of  $\psi_0$  if and only if  $g'(x_0) = 0$ . Moreover, if the graph of  $\psi_0$  does not contain any light-like points, the potential function  $\varphi_0$  corresponding to  $\psi_0$  is given by

$$\varphi_0(x, y) = \pm \left( -y + \int_0^x \frac{du}{g'(u)} \right)$$

up to a constant, where the sign “ $\pm$ ” coincides with that of  $g'$ . On the other hand, Osamu Kobayashi [18] pointed out the existence of entire graphs of ZMC-surfaces

with space-like points, light-like points, and time-like points all appearing. Such a surface is called of *mixed type*. Recently, many such examples were constructed in [9].

By definition, any entire ZMC-graph of mixed type has at least one light-like point. So we give the following definition.

**Definition 1.2.** A light-like point  $p$  of the function  $\psi$  (i.e.,  $B_\psi(p) = 0$ ) is said to be *non-degenerate* (resp., *degenerate*) if  $\nabla B_\psi$  does not vanish (resp., vanishes) at  $p$ .

At each non-degenerate light-like point, the graph of  $\psi$  changes its causal type from space-like to time-like. This case is now well understood. In fact, under the assumption that the surface is real analytic, it can be reconstructed from a real analytic null regular curve in  $\mathbf{L}^3$  (cf. Gu [12] and also [11, 16, 17]).

On the other hand, there are several examples of ZMC-surfaces with degenerate light-like points (cf. [1, 2, 10, 14]). Moreover, a local general existence theorem for maximal surfaces with degenerate light-like points is given in [21]. For such degenerate light-like points, we need a new approach to analyze the behavior of  $\psi$  and  $\varphi$ . The following fact was proved by Klyachin [17] (see also [21]).

**Fact 1.3** (The line theorem for ZMC-surfaces). Let  $D$  be a domain of  $\mathbf{R}^2$  and let  $F: D \rightarrow \mathbf{L}^3$  be a  $C^3$ -differentiable ZMC-immersion such that  $o \in D$  is a degenerate light-like point. Then, there exists a light-like line segment  $\hat{\sigma} (\subset \mathbf{L}^3)$  passing through  $F(o)$  of  $\mathbf{L}^3$  such that  $F(o)$  does not coincide with one of the two end points of  $\hat{\sigma}$  and  $F(\Sigma)$  contains  $\hat{\sigma}$ , where  $\Sigma$  is the set of degenerate light-like points of  $F$ .

Recently, Fact 1.3 was generalized to a much wider class of surfaces, including constant mean curvature surfaces in  $\mathbf{L}^3$ ; see [21, 22]. (In [21], the general local existence theorem of surfaces which changes their causal types along degenerate light-like lines was also shown.) The asymptotic behavior of  $\psi$  along the line  $l$  consisting of degenerate light-like points is discussed in [21].

The purpose of this paper is to prove the following assertion:

**Theorem A.** *An entire  $C^3$ -differentiable ZMC-graph which is not a plane admits a non-degenerate light-like point if its space-like part is non-empty.*

This assertion is proved in Section 2 using the fluid mechanical duality and the half-space theorem for minimal surfaces in  $\mathbf{E}^3$  given by Hoffman-Meeks [15]. It should be remarked that the half-space theorem does not hold for time-like ZMC-surfaces. In fact, the graph of  $\varphi(x, y) := y + \log(\tan x)$  ( $x \in (0, \pi/2)$ ) gives a properly embedded time-like ZMC-surface lying between two parallel vertical planes. In Section 2, we give further examples and provide a few questions related to Theorem A. As an application, we give the following improvement of Calabi's Bernstein-type theorem:

**Corollary B.** *An entire  $C^3$ -differentiable ZMC-graph which does not admit any time-like points is a plane.*

In fact, if the ZMC-graph admits a space-like point, then the assertion immediately follows from Theorem A. So it remains to show the case that the graph consists only of light-like points. However, such a graph must be a plane, as shown in the appendix (see Theorem A.1).

## 2. PROOF OF THEOREM A

In this section, we prove Theorem A in the introduction. We let  $\psi: \mathbf{R}^2 \rightarrow \mathbf{R}$  be a  $C^3$ -function satisfying the ZMC-equation (1.10). We assume  $\psi$  admits a space-like point  $q_0 \in \mathbf{R}^2$  but admits no non-degenerate light-like points. By Calabi's Bernstein-type theorem (cf. Fact 1.1),  $\psi$  has at least one degenerate light-like point. We set

$$F_\psi(x, y) := (x, y, \psi(x, y)),$$

which gives the ZMC-graph of  $\psi$ . We denote by  $ds^2$  the positive semi-definite metric which is the pull-back of the canonical Lorentzian metric of  $\mathbf{L}^3$  by  $F_\psi$ . The line theorem (cf. Fact 1.3) yields that the image of  $F_\psi$  contains a light-like line segment  $\hat{\sigma}$ . Then the projection of  $\hat{\sigma}$  is a line segment  $\sigma$  on the  $xy$ -plane  $\mathbf{R}^2$ . Then  $\sigma$  lies on a line  $l$  on  $\mathbf{R}^2$ . If  $\sigma \neq l$ , then there exists an end point  $p$  of  $\sigma$  on  $l$ . Since  $p$  is the limit point of degenerate light-like points,  $p$  itself is also a degenerate light-like point. By applying the line theorem again, there exists a light-like line segment  $\hat{\sigma}'$  containing  $F_\psi(p)$  as its interior point. We denote by  $\sigma'$  the projection of  $\hat{\sigma}'$  to the  $xy$ -plane. Since the null direction at  $p$  with respect to the metric  $ds^2$  is uniquely determined,  $\sigma'$  also lies on the line  $l$ . Thus, the entire graph contains a whole light-like line containing  $\hat{\sigma}$ . In particular, degenerate light-like points on the graph consist of a family of straight lines in  $\mathbf{R}^2$ .

Let  $l$  and  $l'$  be two such straight lines. Then  $l'$  never meets  $l$ . In fact, if not, then there is a unique intersection point  $q \in l \cap l'$ . By Fact 1.3, two lines  $l, l'$  can be lifted to two light-like lines  $\tilde{l}$  and  $\tilde{l}'$  in  $\mathbf{L}^3$  passing through  $F_\psi(q)$ . The tangential directions of  $\tilde{l}$  and  $\tilde{l}'$  are linearly independent light-like vectors at  $F_\psi(q)$ . Then by [19, Lemma 27 in Section 5],  $q$  is a time-like point, a contradiction.

Thus, the set of degenerate light-like points of  $F_\psi$  consists of a family of parallel lines in the  $xy$ -plane. Without loss of generality, we may assume that these lines are vertical and one of them is the  $y$ -axis. Then we can find a domain  $(\Delta \in (0, \infty])$

$$\Omega := \{(x, y); 0 < x < 2\Delta\}$$

such that  $q_0 \in \Omega$  and  $F_\psi$  has no light-like points on  $\Omega$  and both of the lines  $l = \{x = 0\}$  and  $l' = \{x = 2\Delta\}$  consist of light-like points unless  $\Delta = \infty$ . Since there are no light-like points on  $\Omega$ , the potential function  $\varphi: \Omega \rightarrow \mathbf{R}$  is induced by  $\psi$  as the fluid mechanical dual. The graph of  $\varphi$  is a minimal surface in  $\mathbf{E}^3$ . In particular,  $\varphi$  is real analytic. If we succeed in proving that the map  $F_\varphi(x, y) := (x, y, \varphi(x, y))$  is proper, then Theorem A follows. In fact, by the half-space theorem given in [15] the image  $F_\varphi(\Omega)$  lies in a plane in  $\mathbf{E}^3$ . Then the map  $F_\psi(x, y)$  also lies in a plane  $\Pi$  in  $\mathbf{L}^3$  on  $\bar{\Omega}$ . Since  $F_\psi(l)$  is light-like, the plane  $\Pi$  must be light-like, contradicting the fact that  $q_0 \in \Omega$ .

To prove the properness of  $F_\varphi$ , it is sufficient to show the following:

**Lemma 2.1.** *Let  $\{p_n\}_{n=1}^\infty$  be a sequence of points in  $\Omega$  accumulating to a point on  $l$  or  $l'$ . Then  $\{|\varphi(p_n)|\}_{n=1}^\infty$  diverges.*

*Proof.* By switching the roles of  $l$  and  $l'$  if necessary, it is sufficient to consider the case that  $\{p_n\}_{n=1}^\infty$  accumulates to a point on  $l$ . Taking a subsequence and using a suitable translation of the  $xy$ -plane, we may assume that  $\{p_n\}_{n=1}^\infty$  converges to the origin  $(0, 0) \in l$  and  $p_n = (x_n, y_n)$  ( $n = 1, 2, 3, \dots$ ) satisfies the following properties:

- there exists  $\epsilon > 0$  such that  $|y_n| < \epsilon$  for each  $n = 1, 2, \dots$ , and

- there exists  $(\delta, 0) \in \Omega$  ( $\delta > 0$ ) such that

$$\delta > x_1 > x_2 > \cdots > x_n > x_{n+1} > \cdots.$$

Since  $l$  consists of degenerate light-like points, there exists a neighborhood  $U$  of  $(0, 0)$  such that (see [10] or [21, (6.1)])

$$\psi(x, y) = y + x^2 h(x, y) \quad ((x, y) \in U),$$

where  $h(x, y)$  is a  $C^1$ -differentiable function defined on  $U$  (see [21, Appendix A]). Taking  $\epsilon, \delta$  to be sufficiently small, we may assume that

$$V := \{(x, y) \in \Omega; |x| \leq \delta, |y| < \epsilon\} \subset U.$$

Since  $B_\psi > 0$ , the potential function  $\varphi$  associated to  $\psi$  satisfies (cf. (1.18))

$$\varphi_x = \frac{\psi_y}{\rho}, \quad \rho = \sqrt{1 - \psi_x^2 - \psi_y^2}.$$

Since

$$1 - \psi_x^2 - \psi_y^2 = -x^2 \left( (2h + xh_x)^2 + 2h_y + x^2 h_y^2 \right)$$

is non-negative on the closure  $\bar{V}$  of  $V$ , we can write

$$(2.1) \quad \sqrt{\rho} = |x|k(x, y),$$

where  $k(x, y)$  is a non-negative continuous function defined on  $\bar{V}$  such that  $k$  is positive-valued on  $V$ . We set  $p_0 := (\delta, 0)$  and consider the path  $\gamma_n: [0, 1] \rightarrow V$  defined by  $\gamma_n(s) := (\delta, 2sy_n)$  if  $0 \leq s \leq 1/2$  and

$$\gamma_n(s) := (2(x_n - \delta)s - x_n + 2\delta, y_n)$$

if  $1/2 \leq s \leq 1$ , which starts at  $p_0$  and terminates at  $p_n$ . This curve  $\gamma_n$  is the union of the vertical subarc  $\gamma_{n,1}$  and the horizontal subarc  $\gamma_{n,2}$ . So we can write

$$\begin{aligned} \varphi(p_n) - \varphi(p_0) &= \int_{\gamma_n} \varphi_x dx + \varphi_y dy \\ &= \int_{\gamma_{n,2}} \varphi_x dx + \int_{\gamma_{n,1}} \varphi_y dy. \end{aligned}$$

Since  $[-\epsilon, \epsilon] \ni y \mapsto \varphi_y(\delta, y) \in \mathbf{R}$  is a continuous function, we have that

$$\begin{aligned} \left| \int_{\gamma_{n,1}} \varphi_y dy \right| &\leq \int_{\gamma_{n,1}} \left| \varphi_y(\delta, 2ty_n) \right| |dy| \\ &\leq \epsilon \max_{|y| \leq \epsilon} \left| \varphi_y(\delta, y) \right| < \infty. \end{aligned}$$

So to prove the lemma, it is sufficient to show that  $\int_{\gamma_{n,2}} \varphi_x dx$  diverges as  $n \rightarrow \infty$ . We set

$$m := \max_{x \in [0, \delta], |y| \leq \epsilon} k(x, y) (\geq 0),$$

where  $k$  is the continuous function given in (2.1). On the other hand, we can take a constant  $m' (> 0)$  such that

$$\psi_y = 1 + x^2 h_y(x, y) > m' \quad (x \in [0, \delta], |y| \leq \epsilon),$$

since  $\epsilon, \delta$  can be chosen to be sufficiently small. Since  $\varphi_x = \psi_y/\rho$ , we have

$$\begin{aligned} \left| \int_{\gamma_{n,2}} \varphi_x dx \right| &= \int_{x_n}^{\delta} \frac{1+x^2 h_y(x,y)}{x^2 k^2(x,y)} dx \\ &> \frac{m'}{m^2} \int_{x_n}^{\delta} \frac{dx}{x^2} = \frac{m'}{m^2} \left( \frac{1}{x_n} - \frac{1}{\delta} \right) \rightarrow \infty, \end{aligned}$$

proving the assertion.  $\square$

*Remark 2.2.* In the above proof, we showed that  $F_\psi(\Omega)$  lies in a plane using the fluid mechanical duality. We remark here that this can be proved by a different method. In fact,  $\psi$  satisfies the assumption of Ecker [7, Theorem G] or is a PS-graph on the convex domain  $\Omega$  in the sense of Fernandez and Lopez [8]. Thus, we can conclude that  $\psi(\Omega)$  lies in a light-like plane.

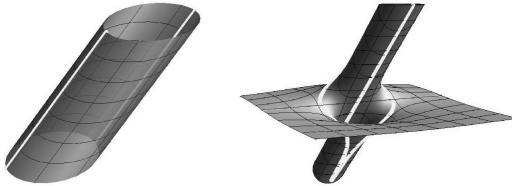


FIGURE 1. The ZMC-surfaces in Example 2.3 (left) and in Example 2.4 (right), where the white lines indicate light-like points.

In [1], the first author constructed several ZMC-surfaces foliated by circles and at most countably many straight lines. At the end of this paper, we pick up two important examples of them which contain degenerate light-like points. (In [1], these two examples are not precisely indicated. Here we show their explicit parametrization and embeddedness.)

**Example 2.3** ([1, Figure 5]). We set

$$F(u, v) := (u + a \cos v, a \sin v, u),$$

where  $a > 0$  and  $(u, v) \in \mathbf{R} \times [0, 2\pi)$ . Then the image of  $F$  contains two parallel degenerate light-like lines which correspond to the special values  $\theta = \pm\pi/2$  (see Figure 1, left). The image of  $F$  can be characterized by the implicit function  $(x-t)^2 + y^2 = a^2$ . This ZMC-surface is properly embedded and is not simply connected.

**Example 2.4** ([1, Figure 2]). We set

$$F(r, \theta) := \left( r + \frac{1}{2a} \log \left( \frac{ar-1}{ar+1} \right) + r \cos \theta, r \sin \theta, \frac{1}{2a} \log \left( \frac{ar-1}{ar+1} \right) \right),$$

where  $a > 0$  and  $\theta \in [0, 2\pi)$ . This map is defined for  $r > 1/a$  or  $r < -1/a$ , and the closure of the image of  $F = (x, y, t)$  can be expressed as

$$(\Psi :=) a \sinh(at) ((x-t)^2 + y^2) + 2(x-t) \cosh(at) = 0.$$

It can be checked that  $(\Psi_x, \Psi_y, \Psi_t)$  never vanishes along  $\Psi = 0$ . So the closure of  $F$  gives a properly embedded ZMC-surface in  $\mathbf{L}^3$  (see Figure 1, right).



Regarding our main result, we state a few open problems:

**Question 1.** Does a properly embedded ZMC-surface which consists only of space-like or light-like points coincide with a plane?

If this question is affirmative, then Corollary B follows as a corollary. Suppose that we can find such a non-planar ZMC-surface  $S$ ; it must contain a light-like line. In fact, if  $S$  consists only of space-like points, then  $S$  is complete, and such a surface must be a plane (see [20, Remark 1.2]). So  $S$  has a light-like point  $p$ . If  $p$  is non-degenerate, then  $S$  has a time-like point near  $p$ , so  $p$  must be degenerate. By the line theorem (Fact 1.3),  $S$  must contain a light-like line consisting of degenerate light-like points.

**Question 2.** Are there entire ZMC-graphs of mixed type containing degenerate light-like points?

This question needs to consider ZMC-graphs of mixed type. In fact, if we choose a function  $g(x)$  satisfying  $g'(0) = 0$  as in (1.19), then the  $y$ -axis consists of the degenerate light-like points. If we weaken ‘entire ZMC-graphs’ to ‘properly embedded ZMC-surfaces of mixed type’ the answer is ‘yes’. In fact, Example 2.4 gives a properly embedded ZMC-surface of mixed type which contains a degenerate light-like line  $L$ . Although the space-like points never accumulate to  $L$  in the case of this example, one can show the existence of a function  $\psi : U \rightarrow \mathbf{R}$  defined on a domain  $U$  in  $\mathbf{R}^2$  containing the  $y$ -axis such that

- the  $y$ -axis corresponds to a degenerate light-like line,
- $\psi$  is of mixed type or consists only of space-like points except along the  $y$ -axis.

See [3] for details. Also, the following question arises:

**Question 3.** Are there entire ZMC-graphs of mixed type which are not obtained as analytic extensions of Kobayashi surfaces given as in [9]?

In fact, all known examples of entire ZMC-graphs of mixed type are obtained as analytic extensions of Kobayashi surfaces (cf. [9]), and they admit only non-degenerate light-like points.

#### APPENDIX A. A PROPERTY OF LIGHT-LIKE SURFACES IN $\mathbf{L}^3$

It can be easily checked that an embedded surface  $S(\subset \mathbf{L}^3)$  is light-like if and only if the restriction of the canonical Lorentzian metric on  $\mathbf{L}^3$  to the tangent space  $T_p S$  of each  $p \in S$  is positive semi-definite but not positive definite. The purpose of this appendix is to prove the following:

**Theorem A.1.** *If an entire  $C^2$ -differentiable graph of  $\psi : \mathbf{R}^2 \rightarrow \mathbf{R}$  gives a light-like surface in  $\mathbf{L}^3$ , then  $\psi - \psi(0, 0)$  is a linear function.*

*Proof.* We set  $F(x, y) = (x, y, \psi(x, y))$ . Since  $F$  is a light-like surface,  $\psi_x^2 + \psi_y^2 = 1$  holds on  $\mathbf{R}^2$ . Differentiating this with respect to  $x$  and  $y$ , we get two equations. Since  $F$  is light-like,  $(\psi_x, \psi_y) \neq (0, 0)$ . By thinking  $\psi_x, \psi_y$  are unknown variables of these two equations, the determinant  $\psi_{xx}\psi_{yy} - \psi_{xy}^2$  vanishes identically. So the Gaussian curvature of  $F$  with respect to the Euclidean metric of  $\mathbf{R}^3$  vanishes identically. Then, by the Hartman-Nirenberg cylinder theorem,  $F$  must be a cylinder. (The proof of the cylinder theorem in [13] needs only  $C^2$ -differentiability.) That is,

there exist a non-zero vector  $\mathbf{a}$ , a plane  $\Pi$  which is not parallel to  $\mathbf{a}$ , and a regular curve  $\gamma: \mathbf{R} \rightarrow \Pi$  such that  $F(u, v) := \gamma(u) + v\mathbf{a}$  gives a new parametrization of  $F$ . If  $F$  is not a plane, there exists  $u_0 \in \mathbf{R}$  such that  $\gamma'(u_0)$  and  $\gamma''(u_0)$  are linearly independent. Then the point  $(u, v) = (u_0, 0)$  is not an umbilical point of  $F$ . Since the asymptotic direction is uniquely determined at each non-umbilical point on a flat surface, the line theorem (cf. Fact 1.3) yields that  $\mathbf{a}$  is a light-like vector. By a suitable homothetic transformation and an isometric motion in  $\mathbf{L}^3$ , we may set  $\mathbf{a} := (1, 0, 1)$ . Then it holds that

$$(A.1) \quad 0 = \gamma' \cdot \mathbf{a} = x' - t'.$$

Since  $\gamma' \cdot \gamma' = 0$ , we have  $y' = 0$ . So, without loss of generality, we may assume that  $y(u) = 0$ . Differentiating (A.1), we have  $x'' - t'' = 0$ , contradicting the fact that  $\gamma'(u_0)$  and  $\gamma''(u_0)$  are linearly independent. Thus  $F$  is a plane.  $\square$

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