

## A CHARACTERIZATION OF $X$ FOR WHICH SPACES $C_p(X)$ ARE DISTINGUISHED AND ITS APPLICATIONS

JERZY KĄKOL AND ARKADY LEIDERMAN

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ABSTRACT. We prove that the locally convex space  $C_p(X)$  of continuous real-valued functions on a Tychonoff space  $X$  equipped with the topology of pointwise convergence is distinguished if and only if  $X$  is a  $\Delta$ -space in the sense of Knight in [Trans. Amer. Math. Soc. 339 (1993), pp. 45–60]. As an application of this characterization theorem we obtain the following results:

- 1) If  $X$  is a Čech-complete (in particular, compact) space such that  $C_p(X)$  is distinguished, then  $X$  is scattered.
- 2) For every separable compact space of the Isbell–Mrówka type  $X$ , the space  $C_p(X)$  is distinguished.
- 3) If  $X$  is the compact space of ordinals  $[0, \omega_1]$ , then  $C_p(X)$  is not distinguished.

We observe that the existence of an uncountable separable metrizable space  $X$  such that  $C_p(X)$  is distinguished, is independent of ZFC. We also explore the question to which extent the class of  $\Delta$ -spaces is invariant under basic topological operations.

### 1. INTRODUCTION

Following J. Dieudonné and L. Schwartz [9] a locally convex space (lcs)  $E$  is called *distinguished* if every bounded subset of the bidual of  $E$  in the weak\*-topology is contained in the closure of the weak\*-topology of some bounded subset of  $E$ . Equivalently, a lcs  $E$  is distinguished if and only if the strong dual of  $E$  (i.e. the topological dual of  $E$  endowed with the strong topology) is *barrelled*, (see [19, 8.7.1]). A. Grothendieck [17] proved that a metrizable lcs  $E$  is distinguished if and only if its strong dual is *bornological*. We refer the reader to survey articles [6] and [7] which present several more modern results about distinguished metrizable and Fréchet lcs.

Throughout the article, all topological spaces are assumed to be Tychonoff and infinite. By  $C_p(X)$  and  $C_k(X)$  we mean the spaces of all real-valued continuous

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functions on a Tychonoff space  $X$  endowed with the topology of pointwise convergence and the compact-open topology, respectively. By a *bounded set* in a topological vector space (in particular,  $C_p(X)$ ) we understand any set which is absorbed by every 0-neighbourhood.

For spaces  $C_p(X)$  we proved in [14] the following theorem (the equivalence (1)  $\Leftrightarrow$  (4) has been obtained in [12]).

**Theorem 1.1.** *For a Tychonoff space  $X$ , the following conditions are equivalent:*

- (1)  $C_p(X)$  is distinguished.
- (2)  $C_p(X)$  is a large subspace of  $\mathbb{R}^X$ , i.e. for every bounded set  $A$  in  $\mathbb{R}^X$  there exists a bounded set  $B$  in  $C_p(X)$  such that  $A \subset cl_{\mathbb{R}^X}(B)$ .
- (3) For every  $f \in \mathbb{R}^X$  there is a bounded set  $B \subset C_p(X)$  such that  $f \in cl_{\mathbb{R}^X}(B)$ .
- (4) The strong dual of the space  $C_p(X)$  carries the finest locally convex topology.

Several examples of  $C_p(X)$  with(out) distinguished property have been provided in papers [12], [13] and [14]. The aim of this research is to continue our initial work on distinguished spaces  $C_p(X)$ .

The following concept plays a key role in our paper. We show its applicability for the studying of distinguished spaces  $C_p(X)$ .

**Definition 1.2** ([21]). A topological space  $X$  is said to be a  $\Delta$ -space if for every decreasing sequence  $\{D_n : n \in \omega\}$  of subsets of  $X$  with empty intersection, there is a decreasing sequence  $\{V_n : n \in \omega\}$  consisting of open subsets of  $X$ , also with empty intersection, and such that  $D_n \subset V_n$  for every  $n \in \omega$ .

We should mention that R. W. Knight [21] called all topological spaces  $X$  satisfying the above Definition 1.2 by  $\Delta$ -sets. The original definition of a  $\Delta$ -set of the real line  $\mathbb{R}$  is due to G. M. Reed and E. K. van Douwen (see [28]). In this paper, for general topological spaces satisfying Definition 1.2 we reserve the term  $\Delta$ -space. The class of all  $\Delta$ -spaces is denoted by  $\Delta$ .

One of the main results of our paper, Theorem 2.1 says that  $X$  is a  $\Delta$ -space if and only if  $C_p(X)$  is a distinguished space. This characterization theorem has been applied systematically for obtaining a range of results from our paper.

Our main result in Section 3 states that a Čech-complete (in particular, compact)  $X \in \Delta$  must be scattered. A very natural question arises about what those scattered compact spaces  $X \in \Delta$  are. In view of Theorem 2.1, it is known that a Corson compact  $X$  belongs to the class  $\Delta$  if and only if  $X$  is a scattered Eberlein compact space [14]. With the help of Theorems 2.1 and 3.8 we show that the class  $\Delta$  contains also all separable compact spaces of the Isbell–Mrówka type. Nevertheless, as we demonstrate in Section 3, there are compact scattered spaces  $X \notin \Delta$  (for example, the compact space  $[0, \omega_1]$ ).

Section 4 deals with the questions about metrizable spaces  $X \in \Delta$ . We notice that every  $\sigma$ -scattered metrizable space  $X$  belongs to the class  $\Delta$ . For separable metrizable spaces  $X$ , our analysis reveals a tight connection between distinguished  $C_p(X)$  and well-known set-theoretic problems about special subsets of the real line  $\mathbb{R}$ . We observe that the existence of an uncountable separable metrizable space  $X$  such that  $C_p(X)$  is distinguished is independent of ZFC and it is equivalent to the existence of a separable countably paracompact nonnormal Moore space. We refer readers to [24] for the history of the normal Moore problem.

In Section 5 we study whether the class  $\Delta$  is invariant under the basic topological operations: subspaces, (quotient) continuous images, finite/countable unions and finite products. We pose several new open problems.

## 2. CHARACTERIZATION THEOREM

In this section we provide a characterization of distinguished spaces  $C_p(X)$  in terms of topological properties of the space  $X$ . For the reader's convenience we recall some relevant terminology.

- (a) A disjoint cover  $\{X_\gamma : \gamma \in \Gamma\}$  of  $X$  is called a *partition* of  $X$ .
- (b) A collection of sets  $\{U_\gamma : \gamma \in \Gamma\}$  is called an *expansion* of a collection of sets  $\{X_\gamma : \gamma \in \Gamma\}$  in  $X$  if  $X_\gamma \subseteq U_\gamma \subseteq X$  for every index  $\gamma \in \Gamma$ .
- (c) A collection of sets  $\{U_\gamma : \gamma \in \Gamma\}$  is called *point-finite* if no point belongs to infinitely many  $U_\gamma$ -s.

**Theorem 2.1.** *For a Tychonoff space  $X$ , the following conditions are equivalent:*

- (1)  $C_p(X)$  is distinguished.
- (2) Any countable partition of  $X$  admits a point-finite open expansion in  $X$ .
- (3) Any countable disjoint collection of subsets of  $X$  admits a point-finite open expansion in  $X$ .
- (4)  $X$  is a  $\Delta$ -space.

*Proof.* Observe that every collection of pairwise disjoint subsets of  $X$ ,  $\{X_\gamma : \gamma \in \Gamma\}$  can be extended to a partition by adding a single set  $X_* = X \setminus \bigcup\{X_\gamma : \gamma \in \Gamma\}$ . If the obtained partition admits a point-finite open expansion in  $X$ , then removing one open set we get a point-finite open expansion of the original disjoint collection. This shows evidently the equivalence (2)  $\Leftrightarrow$  (3).

Assume now that (3) holds. Let  $\{D_n : n \in \omega\}$  be a decreasing sequence subsets of  $X$  with empty intersection. Define  $X_n = D_n \setminus D_{n+1}$  for each  $n \in \omega$ . By assumption, a disjoint collection  $\{X_n : n \in \omega\}$  admits a point-finite open expansion  $\{U_n : n \in \omega\}$  in  $X$ . Then  $\{V_n = \bigcup\{U_i : i \geq n\} : n \in \omega\}$  is an open decreasing expansion in  $X$  with empty intersection. This proves the implication (3)  $\Rightarrow$  (4).

Next we show (4)  $\Rightarrow$  (2). Let  $\{X_n : n \in \omega\}$  be any countable partition of  $X$ . Define  $D_0 = X$  and  $D_n = X \setminus \bigcup\{X_i : i < n\}$ . Then  $X_n \subset D_n$  for every  $n$ , the sequence  $\{D_n : n \in \omega\}$  is decreasing and its intersection is empty. Assuming (4), we find an open decreasing expansion  $\{U_n : n \in \omega\}$  of  $\{D_n : n \in \omega\}$  in  $X$  such that  $\bigcap\{U_n : n \in \omega\} = \emptyset$ . For every  $x \in X$  there is  $n$  such that  $x \notin U_m$  for each  $m > n$ , it means that  $\{U_n : n \in \omega\}$  is a point-finite expansion of  $\{X_n : n \in \omega\}$  in  $X$ . This finishes the proof (3)  $\Rightarrow$  (4)  $\Rightarrow$  (2)  $\Leftrightarrow$  (3).

Now we prove the implication (1)  $\Rightarrow$  (2). Let  $\{X_n : n \in \omega\}$  be any countable partition of  $X$ . Fix any function  $f \in \mathbb{R}^X$  which satisfies the following conditions: for each  $n \in \omega$  and every  $x \in X_n$  the value of  $f(x)$  is greater than  $n$ . By assumption, there is a bounded subset  $B$  of  $C_p(X)$  such that  $f \in cl_{\mathbb{R}^X}(B)$ . Hence, for every  $n \in \omega$  and every point  $x \in X_n$ , there exists  $f_x \in B$  such that  $f_x(x) > n$ . But  $f_x$  is a continuous function, therefore there is an open neighbourhood  $U_x \subset X$  of  $x$  such that  $f_x(y) > n$  for every  $y \in U_x$ . We define an open set  $U_n \subset X$  as follows:  $U_n = \bigcup\{U_x : x \in X_n\}$ . Evidently,  $X_n \subseteq U_n$  for each  $n \in \omega$ . If we assume that the open expansion  $\{U_n : n \in \omega\}$  is not point-finite, then there exists a point  $y \in X$  such that there are infinitely many numbers  $n$  with  $y \in U_{x_n}$  for some  $x_n \in X_n$ . This means that  $\sup\{g(y) : g \in B\} = \infty$ , which contradicts the boundedness of  $B$ .

It remains to prove (2)  $\Rightarrow$  (1). By Theorem 1.1, we need to show that for every mapping  $f \in \mathbb{R}^X$  there is a bounded set  $B \subset C_p(X)$  such that  $f \in cl_{\mathbb{R}^X}(B)$ . If there exists a constant  $r > 0$  such that  $\sup\{|f(x)| : x \in X\} < r$ , then we take  $B = \{h \in C(X) : \sup\{|h(x)| : x \in X\} < r\}$ . It is easy to see that  $B$  is as required.

Let  $f \in \mathbb{R}^X$  be unbounded. Denote by  $Y_0 = \emptyset$  and  $Y_n = \{x \in X : n - 1 \leq |f(x)| < n\}$  for each non-zero  $n \in \omega$ . Define  $\varphi : X \rightarrow \omega$  by the rule: if  $Y_n \neq \emptyset$  then  $\varphi(x) = n$  for every  $x \in Y_n$ . So,  $|f| < \varphi$ . Put  $X_n = \varphi^{-1}(n)$  for each  $n \in \omega$ . Note that some sets  $X_n$  might happen to be empty, but the collection  $\{X_n : n \in \omega\}$  is a partition of  $X$  with countably many nonempty  $X_n$ -s. By our assumption, there exists a point-finite open expansion  $\{U_n : n \in \omega\}$  of the partition  $\{X_n : n \in \omega\}$ . Define  $F : X \rightarrow \omega$  by  $F(x) = \max\{n : x \in U_n\}$ . Obviously,  $f < F$ . Finally, we define  $B = \{h \in C_p(X) : |h| \leq F\}$ . Then  $f \in cl_{\mathbb{R}^X}(B)$ , because for every finite subset  $K \subset X$  there is a function  $h \in B$  such that  $f \upharpoonright_K = h \upharpoonright_K$ . Indeed, given a finite subset  $K \subset X$ , let  $\{V_x : x \in K\}$  be the family of pairwise disjoint open sets such that  $x \in V_x \subset U_{\varphi(x)}$  for every  $x \in K$ . For each  $x \in K$ , fix a continuous function  $h_x : X \rightarrow [-\varphi(x), \varphi(x)]$  such that  $h_x(x) = f(x)$  and  $h_x$  is equal to the constant value 0 on the closed set  $X \setminus V_x$ . One can verify that  $h = \sum_{x \in K} h_x \in B$  is as required.  $\square$

Below we present a straightforward application of Theorem 2.1.

**Corollary 2.2** ([14]). *Let  $Z$  be any subspace of  $X$ . If  $X$  belongs to the class  $\Delta$ , then  $Z$  also belongs to the class  $\Delta$ .*

*Proof.* If  $\{Z_\gamma : \gamma \in \Gamma\}$  is any collection of pairwise disjoint subsets of  $Z$  and there exists a point-finite open expansion  $\{U_\gamma : \gamma \in \Gamma\}$  in  $X$ , then obviously  $\{U_\gamma \cap Z : \gamma \in \Gamma\}$  is a point-finite expansion consisting of the sets relatively open in  $Z$ . It remains to apply Theorem 2.1.  $\square$

The last result can be reversed, assuming that  $X \setminus Z$  is finite.

**Proposition 2.3.** *Let  $Z$  be a subspace of  $X$  such that  $Y = X \setminus Z$  is finite. If  $Z$  belongs to the class  $\Delta$ , then  $X$  belongs to  $\Delta$  as well.*

*Proof.* Let  $\{X_n : n \in \omega\}$  be any countable collection of pairwise disjoint subsets of  $X$ . Denote by  $F$  the set of those  $n \in \omega$  such that  $X_n \cap Y \neq \emptyset$ . There might be only finitely many  $X_n$ -s which intersect the finite set  $Y$ , hence  $F \subset \omega$  is finite. If  $n \in F$ , then we simply declare that  $U_n$  is equal to  $X$ . Consider the subcollection  $\{X_n : n \in \omega \setminus F\}$ . It is a countable collection of pairwise disjoint subsets of  $Z$ . Since  $Z \in \Delta$ , by Theorem 2.1, there is a point-finite open expansion  $\{U_n : n \in \omega \setminus F\}$  in  $Z$ . Observe that  $Z$  is open in  $X$ , therefore all those  $U_n$ -s remain open in  $X$ . Bringing all  $U_n$ -s of both sorts together we obtain a point-finite open expansion  $\{U_n : n \in \omega\}$  in  $X$ . Finally,  $X \in \Delta$ , by Theorem 2.1.  $\square$

*Remark 2.4.* The following applicable concept has been re-introduced in [14]. A family  $\{\mathcal{N}_x : x \in X\}$  of subsets of a Tychonoff space  $X$  is called a *scant cover* for  $X$  if each  $\mathcal{N}_x$  is an open neighbourhood of  $x$  and for each  $u \in X$  the set  $X_u = \{x \in X : u \in \mathcal{N}_x\}$  is finite.<sup>1</sup>

Our Theorem 2.1 generalizes one of the results obtained in [14] stating that if  $X$  admits a scant cover  $\{\mathcal{N}_x : x \in X\}$  then  $C_p(X)$  is distinguished. Indeed, let

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<sup>1</sup>The referee kindly informed the authors that this notion also is known in the literature under the name *the point-finite neighbourhood assignment*.

$\{X_\gamma : \gamma \in \Gamma\}$  be any collection of pairwise disjoint subsets of  $X$ . Define  $U_\gamma = \bigcup\{\mathcal{N}_x : x \in X_\gamma\}$ . It is easily seen that  $\{U_\gamma : \gamma \in \Gamma\}$  is a point-finite open expansion in  $X$ , by definition of a scant cover. Applying Theorem 2.1, we conclude that  $C_p(X)$  is distinguished.

### 3. APPLICATIONS TO COMPACT SPACES $X \in \Delta$

First we recall a few definitions and facts (probably well-known) which will be used in the sequel. A space  $X$  is said to be *scattered* if every nonempty subset  $A$  of  $X$  has an isolated point in  $A$ . Denote by  $A^{(1)}$  the set of all non-isolated (in  $A$ ) points of  $A \subset X$ . For ordinal numbers  $\alpha$ , the  $\alpha$ -th derivative of a topological space  $X$  is defined by transfinite induction as follows.

$$X^{(0)} = X; \quad X^{(\alpha+1)} = (X^{(\alpha)})^{(1)}; \quad X^{(\gamma)} = \bigcap_{\alpha < \gamma} X^{(\alpha)} \text{ for limit ordinals } \gamma.$$

For a scattered space  $X$ , the smallest ordinal  $\alpha$  such that  $X^{(\alpha)} = \emptyset$  is called the *scattered height* of  $X$  and is denoted by  $ht(X)$ . For instance,  $X$  is discrete if and only if  $ht(X) = 1$ .

The following classical theorem is due to A. Pełczyński and Z. Semadeni.

**Theorem 3.1** ([29, Theorem 8.5.4]). *A compact space  $X$  is scattered if and only if there is no continuous mapping of  $X$  onto the segment  $[0, 1]$ .*

A continuous surjection  $\pi : X \rightarrow Y$  is called *irreducible* (see [29, Definition 7.1.11]) if for every closed subset  $F$  of  $X$  the condition  $\pi(F) = Y$  implies  $F = X$ .

**Proposition 3.2** ([29, Proposition 7.1.13]). *Let  $X$  be a compact space and let  $\pi : X \rightarrow Y$  be a continuous surjection. Then there exists a closed subset  $F$  of  $X$  such that  $\pi(F) = Y$  and the restriction  $\pi|_F : F \rightarrow Y$  is irreducible.*

**Proposition 3.3** ([29, Proposition 25.2.1]). *Let  $X$  be a compact space and let  $\pi : X \rightarrow Y$  be a continuous surjection. Then  $\pi$  is irreducible if and only if whenever  $E \subset X$  and  $\pi(E)$  is dense in  $Y$ , then  $E$  is dense in  $X$ .*

Recall that a Tychonoff space  $X$  is *Čech-complete* if  $X$  is a  $G_\delta$ -set in some (equivalently, any) compactification of  $X$ , (see [10, 3.9.1]). It is well known that every locally compact space and every completely metrizable space is Čech-complete. Next statement resolves an open question posed in [14].

**Theorem 3.4.** *Every Čech-complete (in particular, compact)  $\Delta$ -space is scattered.*

*Proof.*

*Step 1* ( $X$  is compact). On the contrary, assume that  $X$  is not scattered. First, by Theorem 3.1, there is a continuous mapping  $\pi$  from  $X$  onto the segment  $[0, 1]$ . Second, by Proposition 3.2, there exists a closed subset  $F$  of  $X$  such that  $\pi(F) = [0, 1]$  and the restriction  $\pi|_F : F \rightarrow [0, 1]$  is irreducible. Since  $X \in \Delta$  the compact space  $F$  also belongs to  $\Delta$ , by Corollary 2.2. For simplicity, without loss of generality we may assume that  $F$  is  $X$  itself and  $\pi : X \rightarrow [0, 1]$  is irreducible.

Let  $\{X_n : n \in \omega\}$  be a partition of  $[0, 1]$  into dense sets. Put  $Y_n = \bigcup_{k \geq n} X_k$ , and  $Z_n = \pi^{-1}(Y_n)$  for all  $n \in \omega$ . Then all sets  $Z_n$  are dense in  $X$  by Proposition 3.3 and the intersection  $\bigcap_{n \in \omega} Z_n$  is empty. Every compact space  $X$  is a Baire space, i.e. the Baire category theorem holds in  $X$ , hence if  $\{U_n : n \in \omega\}$  is any open expansion of  $\{Z_n : n \in \omega\}$ , then the intersection  $\bigcap_{n \in \omega} U_n$  is dense in  $X$ . In view of our Theorem 2.1 this conclusion contradicts the assumption  $X \in \Delta$ , and the proof follows.

*Step 2* ( $X$  is any Čech-complete space). By the first step we deduce that every compact subset of  $X$  is scattered. But any Čech-complete space  $X$  is scattered if and only if every compact subset of  $X$  is scattered. A detailed proof of this probably folklore statement can be found in [30].  $\square$

**Proposition 3.5.** *If  $X$  is a first-countable compact space, then  $X \in \Delta$  if and only if  $X$  is countable.*

*Proof.* If  $X \in \Delta$ , then  $X$  is scattered, by Theorem 3.4. By the classical theorem of S. Mazurkiewicz and W. Sierpiński [29, Theorem 8.6.10], a first-countable compact space is scattered if and only if it is countable. This proves (i)  $\Rightarrow$  (ii). The converse is known [14] and follows from the fact that any countable space  $X = \{x_n : n \in \omega\}$  admits a scant cover. Indeed, define  $X_n = \{x_i : i \geq n\}$ . Then the family  $\{X_n : n \in \omega\}$  is a scant cover of  $X$ . Now it suffices to mention Remark 2.4.  $\square$

*Remark 3.6.* Theorem 3.4 extends also a well-known result of B. Knaster and K. Urbanik stating that every countable Čech-complete space is scattered [20]. It is easy to see that a countable Baire space contains a dense subset of isolated points, but in general does not have to be scattered. We don't know whether every Baire  $\Delta$ -space must have isolated points.

Recall that an Eberlein compact is a compact space homeomorphic to a subset of a Banach space with the weak topology. A compact space is said to be a Corson compact space if it can be embedded in a  $\Sigma$ -product of the real lines. Every Eberlein compact is Corson, but not vice versa. However, every scattered Corson compact space is a scattered Eberlein compact space [1].

**Theorem 3.7** ([14]). *A Corson compact space  $X$  belongs to the class  $\Delta$  if and only if  $X$  is a scattered Eberlein compact space.*

Bearing in mind Theorem 3.4, to show Theorem 3.7 it suffices to use the fact that every scattered Eberlein compact space admits a scant cover (the latter follows from the proof of [5, Lemma 1.1]) and then apply Remark 2.4.

Being motivated by the previous results one can ask if there exist scattered compact spaces  $X \in \Delta$  which are not Eberlein compact. The next question is also crucial: Does a compact scattered space  $X \notin \Delta$  exist? Below we answer both questions positively.

We need the following somewhat technical

**Theorem 3.8.** *Let  $Z = C_0 \cup C_1$  be a space such that*

- (1)  $C_0 \cap C_1 = \emptyset$ .
- (2)  $C_0$  is an open  $F_\sigma$  subset of  $Z$ .
- (3) both  $C_0$  and  $C_1$  belong to the class  $\Delta$ .

*Then  $Z$  also belongs to the class  $\Delta$ .*

*Proof.* By assumption,  $C_0 = \bigcup\{F_n : n \in \omega\}$ , where each  $F_n$  is closed in  $Z$ . Let  $\{X_n : n \in \omega\}$  be any countable collection of pairwise disjoint subsets of  $Z$ . Our target is to define open sets  $U_n \supseteq X_n$ ,  $n \in \omega$  in such a way that the collection  $\{U_n : n \in \omega\}$  is point-finite. We decompose the sets  $X_n = X_n^0 \cup X_n^1$ , where  $X_n^0 = X_n \cap C_0$  and  $X_n^1 = X_n \cap C_1$ . By Theorem 2.1, the collection  $\{X_n^0 : n \in \omega\}$  expands to a point-finite open collection  $\{U_n^0 : n \in \omega\}$  in  $C_0$ . The set  $C_0$  is open in  $Z$ , therefore  $U_n^0$  are open in  $Z$  as well.

Now we consider the disjoint collection  $\{X_n^1 : n \in \omega\}$  in  $C_1$ . By assumption,  $C_1 \in \Delta$ , therefore applying Theorem 2.1 once more, we find a point-finite expansion  $\{V_n^1 : n \in \omega\}$  in  $C_1$  consisting of sets which are open in  $C_1$ . Every set  $V_n^1$  is a trace of some set  $W_n^1$ , which is open in  $Z$ , i.e.  $V_n^1 = W_n^1 \cap C_1$ , and every  $W_n^1$  is open in  $Z$ . We refine the sets  $W_n^1$  by the formula  $U_n^1 = W_n^1 \setminus \bigcup\{F_i : i \leq n\}$ . Since all sets  $F_i$  are closed in  $Z$ , the sets  $U_n^1$  remain open in  $Z$ . Since all sets  $F_i$  are disjoint with  $C_1$ , the collection  $\{U_n^1 : n \in \omega\}$  remains to be an expansion of  $\{X_n^1 : n \in \omega\}$ . Furthermore, the collection  $\{U_n^1 : n \in \omega\}$  is point-finite, because  $\{V_n^1 : n \in \omega\}$  is point-finite, and every point  $z \in C_0$  belongs to some  $F_n$ , hence  $z \notin U_m^1$  for every  $m \geq n$ . Finally, we define  $U_n = U_n^0 \cup U_n^1$ . The collection  $\{U_n : n \in \omega\}$  is a point-finite open expansion of  $\{X_n : n \in \omega\}$ , and the proof is complete.  $\square$

This yields the following

**Corollary 3.9.** *Let  $Z$  be any separable scattered space such that its scattered height  $ht(Z)$  is equal to 2. Then  $Z \in \Delta$ .*

*Proof.* The structure of  $Z$  is the following.  $Z = C_0 \cup C_1$ , where  $C_0$  is a countable dense in  $Z$  set consisting of isolated in  $Z$  points and  $C_1$  consists of all accumulation points. Moreover, the space  $C_1$  with the topology induced from  $Z$  is discrete. All conditions of Theorem 3.8 are satisfied, and the result follows.  $\square$

Our first example will be the one-point compactification of an Isbell–Mrówka space  $\Psi(\mathcal{A})$ . We recall the construction and basic properties of  $\Psi(\mathcal{A})$ . Let  $\mathcal{A}$  be an almost disjoint family of subsets of the set of natural numbers  $\mathbb{N}$  and let  $\Psi(\mathcal{A})$  be the set  $\mathbb{N} \cup \mathcal{A}$  equipped with the topology defined as follows. For each  $n \in \mathbb{N}$ , the singleton  $\{n\}$  is open, and for each  $A \in \mathcal{A}$ , a base of neighbourhoods of  $A$  is the collection of all sets of the form  $\{A\} \cup B$ , where  $B \subset A$  and  $|A \setminus B| < \omega$ . The space  $\Psi(\mathcal{A})$  is then a first-countable separable locally compact Tychonoff space. If  $\mathcal{A}$  is a maximal almost disjoint (MAD) family, then the corresponding Isbell–Mrówka space  $\Psi(\mathcal{A})$  would be in addition pseudocompact. (Readers are advised to consult [18] which surveys various topological properties of these spaces).

**Theorem 3.10.** *There exists a separable scattered compact space  $X$  with the following properties:*

- (a) *The scattered height of  $X$  is equal to 3.*
- (b)  *$X \in \Delta$ .*
- (c)  *$X$  is not an Eberlein compact space.*

*Proof.* Let  $\mathcal{A}$  be any uncountable almost disjoint (in particular, MAD) family of subsets of  $\mathbb{N}$  and let  $Z$  be the corresponding first-countable separable locally compact Isbell–Mrówka space  $\Psi(\mathcal{A})$ . It is easy to see that  $Z = \Psi(\mathcal{A})$  satisfies the assumptions of Corollary 3.9. Hence,  $Z \in \Delta$ . Now, denote by  $X$  the one-point compactification of the separable locally compact space  $Z$ . Then the scattered height of  $X$  is equal to 3. Note that  $X \in \Delta$  by Proposition 2.3. Moreover,  $X$  is not an Eberlein compact space, since every separable Eberlein compact space is metrizable, while  $\Psi(\mathcal{A})$  is metrizable if and only if  $\mathcal{A}$  is countable.  $\square$

Now we show that there do exist scattered compact spaces which are not in the class  $\Delta$ . We will use the classical Pressing Down Lemma. Let  $[0, \omega_1)$  be the set of all countable ordinals equipped with the order topology. For simplicity, we identify  $[0, \omega_1)$  with  $\omega_1$ . A subset  $S$  of  $\omega_1$  is called a *stationary* subset if  $S$  has nonempty

intersection with every closed and unbounded set in  $\omega_1$ . A mapping  $\varphi : S \rightarrow \omega_1$  is called *regressive* if  $\varphi(\alpha) < \alpha$  for each  $\alpha \in S$ . The proof of the following fundamental statement can be found for instance in [22].

**Theorem 3.11** (*Pressing Down Lemma*). *Let  $\varphi : S \rightarrow \omega_1$  be a regressive mapping, where  $S$  is a stationary subset of  $\omega_1$ . Then for some  $\gamma < \omega_1$ ,  $\varphi^{-1}\{\gamma\}$  is a stationary subset of  $\omega_1$ .*

It is known that there are plenty of stationary subsets of  $\omega_1$ . In particular, every stationary set can be partitioned into countably many pairwise disjoint stationary sets [22]. Note that  $\omega_1$  is a scattered locally compact and first-countable space. Next statement resolves an open question posed in [14].

**Theorem 3.12.** *The compact scattered space  $[0, \omega_1]$  is not in the class  $\Delta$ .*

*Proof.* It suffices to show that  $\omega_1$  does not belong to the class  $\Delta$ . Assume, on the contrary, that  $\omega_1 \in \Delta$ . Denote by  $L$  the set of all countable limit ordinals. Evidently,  $L$  is a closed unbounded set in  $\omega_1$ . Take any representation of  $L$  as the union of countably many pairwise disjoint stationary sets  $\{S_n : n \in \omega\}$ . By Theorem 2.1, there exists a point-finite open expansion  $\{U_n : n \in \omega\}$  in  $\omega_1$ .

For every  $\alpha \in U_n$  there is an ordinal  $\beta(\alpha) < \alpha$  such that  $[\beta(\alpha), \alpha] \subset U_n$ . In fact, for every  $n \in \omega$  we can define a regressive mapping  $\varphi_n : S_n \rightarrow \omega_1$  by the formula:  $\varphi_n(\alpha) = \beta(\alpha)$ . Since  $S_n$  is a stationary set for every  $n$ , we can apply to  $\varphi_n$  the Pressing Down Lemma. Hence, for each  $n$  there are a countable ordinal  $\gamma_n$  and an uncountable subset  $T_n \subset S_n$  with the following property:  $[\gamma_n, \alpha] \subset U_n$  for every  $\alpha \in T_n$ . Denote  $\gamma = \sup\{\gamma_n : n \in \omega\} \in \omega_1$ . Because all  $T_n$  are unbounded, for all natural  $n$  we have an ordinal  $\alpha_n \in T_n$  such that  $\gamma < \alpha_n$  and  $[\gamma_n, \alpha_n] \subset U_n$ . This implies that  $\gamma \in U_n$  for every  $n \in \omega$ . However, a collection  $\{U_n : n \in \omega\}$  is point-finite. The obtained contradiction finishes the proof.  $\square$

The function space  $C_k(X)$  is called *Asplund* if every separable vector subspace of  $C_k(X)$  isomorphic to a Banach space, has the separable dual.

**Proposition 3.13.** *If  $X \in \Delta$ , then the space  $C_k(X)$  is Asplund. The converse conclusion fails in general.*

*Proof.* Let  $\mathcal{K}(X)$  be the family of all compact subset of  $X$ . By the assumption and Corollary 2.2, each  $K \in \mathcal{K}(X)$  belongs to the class  $\Delta$ . Clearly,  $C_k(X)$  is isomorphic to a linear subspace of the product  $\Pi = \prod_{K \in \mathcal{K}(X)} C_k(K)$  of Banach spaces  $C_k(K)$ . Assume that  $E$  is a separable vector subspace of  $C_k(X)$  isomorphic to a Banach space. Observe that  $E$  is isomorphic to a subspace of the finite product  $\prod_{j \in F} C_k(K_j)$  for  $K_j \in \mathcal{K}(X)$  and  $j \in F$ . Indeed, let  $B$  be the unit (bounded) ball of the normed space  $E$ . Then there exists a finite set  $F$  such that  $\bigcap_{j \in F} \pi_j^{-1}(U_j) \cap E \subset B$ , where  $U_j$  are balls in spaces  $C_k(K_j)$ ,  $j \in F$ , and  $\pi_j$  are natural projections from  $E$  onto  $C_k(K_j)$ . Let  $\pi_F$  be the (continuous) projection from  $\Pi$  onto  $\prod_{j \in F} C_k(K_j)$ . Then  $\pi_F \upharpoonright_E$  is an injective continuous and open map from  $E$  onto  $(\pi_F \upharpoonright_E)(E) \subset \prod_{j \in F} C_k(K_j)$ . The injectivity of  $\pi_F \upharpoonright_E$  follows from the fact that  $B$  is a bounded neighbourhood of zero in  $E$ . It is easy to see that the image  $(\pi_F \upharpoonright_E)(B)$  is an open neighbourhood of zero in  $\prod_{j \in F} C_k(K_j)$ . On the other hand,  $\prod_{j \in F} C_k(K_j)$  is isomorphic to the space  $C_k(\bigoplus_{j \in F} K_j)$  and the compact space  $\bigoplus_{j \in F} K_j$  is scattered. By the classical [11, Theorem 12.29]  $E$  must have the separable dual  $E^*$ . Hence,  $C_k(X)$  is Asplund. The converse fails, as Theorem 3.12 shows for  $X = [0, \omega_1]$ .  $\square$



Since every infinite compact scattered space  $X$  contains a nontrivial converging sequence, for such  $X$  the Banach space  $C(X)$  is not a Grothendieck space, (see [8]).

**Corollary 3.14.** *If  $X$  is an infinite compact and  $X \in \Delta$ , then the Banach space  $C(X)$  is not a Grothendieck space. The converse fails, as  $X = [0, \omega_1]$  applies.*

For non-scattered spaces  $X$  Theorem 3.4 implies immediately the following

**Corollary 3.15.** *If  $X$  is a non-scattered space, the Stone-Čech compactification  $\beta X$  is not in the class  $\Delta$ .*

**Proposition 3.16.** *Let  $X = \beta Z \setminus Z$ , where  $Z$  is any infinite discrete space. Then  $X$  is not in the class  $\Delta$ .*

*Proof.*  $\beta Z \setminus Z$  does not have isolated points for any infinite discrete space  $Z$ .  $\square$

It is known that  $X = [0, \omega_1]$  is the Stone-Čech compactification of  $[0, \omega_1)$ . We showed that  $X \notin \Delta$ . Also,  $\beta Z \notin \Delta$  for any infinite discrete space  $Z$ . Every scattered Eberlein compact space belongs to the class  $\Delta$  by Theorem 3.7; however, no Eberlein compact  $X$  can be the Stone-Čech compactification  $\beta Z$  for any proper subset  $Z$  of  $X$  by the Preiss–Simon theorem (see [2, Theorem IV.5.8]). All of these facts provide a motivation for the following result.

**Example 3.17.** There exists an Isbell–Mrówka space  $Z$  which is *almost compact* in the sense that the one-point compactification of  $Z$  coincides with  $\beta Z$  (see [18, Theorem 8.6.1]). Define  $X = \beta Z$ . Then  $X \in \Delta$ , by Theorem 3.10.

#### 4. METRIZABLE SPACES $X \in \Delta$

In this section we try to describe constructively the structure of nontrivial metrizable spaces  $X \in \Delta$ . Note first that every scattered metrizable  $X$  is in the class  $\Delta$  since every such space  $X$  homeomorphically embeds into a scattered Eberlein compact [3], and then Theorem 3.7 and Corollary 2.2 apply. We extend this result as follows.

A topological space  $X$  is said to be  $\sigma$ -scattered if  $X$  can be represented as a countable union of scattered subspaces and  $X$  is called *strongly  $\sigma$ -discrete* if it is a union of countably many of its closed discrete subspaces. Strongly  $\sigma$ -discreteness of  $X$  implies that  $X$  is  $\sigma$ -scattered, for any topological space. For metrizable  $X$ , by the classical result of A. H. Stone [31], these two properties are equivalent.

**Proposition 4.1.** *Any  $\sigma$ -scattered metrizable space belongs to the class  $\Delta$ .*

*Proof.* In view of aforementioned equivalence, every subset of  $X$  is  $F_\sigma$ . If every subset of  $X$  is  $F_\sigma$ , then  $X \in \Delta$ . This fact apparently is well-known (see also a comment after Claim 4.2). For the sake of completeness we include a direct argument. We show that  $X$  satisfies the condition (2) of Theorem 2.1. Let  $\{X_n : n \in \omega\}$  be any countable disjoint partition of  $X$ . Denote  $X_n = \bigcup \{F_{n,m} : m \in \omega\}$ , where each  $F_{n,m}$  is closed in  $X$ . Define open sets  $U_n$  as follows:  $U_0 = X$  and  $U_n = X \setminus \bigcup \{F_{k,m} : k < n, m < n\}$  for  $n \geq 1$ . Then  $\{U_n : n \in \omega\}$  is a point-finite open expansion of  $\{X_n : n \in \omega\}$  in  $X$ .  $\square$

A metrizable space  $A$  is called an *absolutely analytic* if  $A$  is homeomorphic to a Souslin subspace of a complete metric space  $X$  (of an arbitrary weight), i.e.  $A$  is expressible as  $A = \bigcup_{\sigma \in \mathbb{N}^{\mathbb{N}}} \bigcap_{n \in \mathbb{N}} A_{\sigma|n}$ , where each  $A_{\sigma|n}$  is a closed subset of  $X$ . It is

known that every absolutely analytic metrizable space  $X$  (in particular, every Borel subspace of a complete metric space) either contains a homeomorphic copy of the Cantor set or it is strongly  $\sigma$ -discrete. Therefore, for absolutely analytic metrizable space  $X$  the converse is true:  $X \in \Delta$  implies that  $X$  is strongly  $\sigma$ -discrete [14].

However, the last structural result can not be proved in general for all (separable) metrizable spaces without extra set-theoretic assumptions. Let us recall several definitions of special subsets of the real line  $\mathbb{R}$  (see [23], [28]).

- (a) A  $Q$ -set  $X$  is a subset of  $\mathbb{R}$  such that each subset of  $X$  is  $F_\sigma$ , or, equivalently, each subset of  $X$  is  $G_\delta$  in  $X$ .
- (b) A  $\lambda$ -set  $X$  is a subset of  $\mathbb{R}$  such that each countable  $A \subset X$  is  $G_\delta$  in  $X$ .
- (c) A  $\Delta$ -set  $X$  is a subset of  $\mathbb{R}$  such that for every decreasing sequence  $\{D_n : n \in \omega\}$  subsets of  $X$  with empty intersection there is a decreasing expansion  $\{V_n : n \in \omega\}$  consisting of open subsets of  $X$  with empty intersection.

*Claim 4.2.* The existence of an uncountable separable metrizable  $\Delta$ -space is equivalent to the existence of an uncountable  $\Delta$ -set.

*Proof.* Note that every separable metrizable space homeomorphically embeds into a Polish space  $\mathbb{R}^\omega$  and the latter space is a one-to-one continuous image of the set of irrationals  $\mathbb{P}$ . Therefore, if  $M$  is an uncountable separable metrizable space, then there exist an uncountable set  $X \subset \mathbb{R}$  and a one-to-one continuous mapping from  $X$  onto  $M$ . It is easy to see that  $X$  is a  $\Delta$ -set provided  $M$  is a  $\Delta$ -space.  $\square$

Note that in the original definition of a  $\Delta$ -set, G. M. Reed used  $G_\delta$ -sets instead of open sets and E. van Douwen observed that these two versions are equivalent [28]. From the original definition it is obvious that each  $Q$ -set must be a  $\Delta$ -set. The fact that every  $\Delta$ -set is a  $\lambda$ -set is known as well. K. Kuratowski showed that in ZFC there exist uncountable  $\lambda$ -sets. The existence of an uncountable  $Q$ -set is one of the fundamental set-theoretical problems considered by many authors. F. Hausdorff showed that the cardinality of an uncountable  $Q$ -set  $X$  has to be strictly smaller than the continuum  $\mathfrak{c} = 2^{\aleph_0}$ , so in models of ZFC plus the Continuum Hypothesis (CH) there are no uncountable  $Q$ -sets. Let us outline several of the most relevant known facts.

(1) Martin's Axiom plus the negation of the Continuum Hypothesis (MA  $+\neg$ CH) implies that every subset  $X \subset \mathbb{R}$  of cardinality less than  $\mathfrak{c}$  is a  $Q$ -set (see [16]).

(2) It is consistent that there is a  $Q$ -set  $X$  such that its square  $X^2$  is not a  $Q$ -set [15].

(3) The existence of an uncountable  $Q$ -set is equivalent to the existence of an uncountable strong  $Q$ -set, i.e. a  $Q$ -set all finite powers of which are  $Q$ -sets [26].

(4) No  $\Delta$ -set  $X$  can have cardinality  $\mathfrak{c}$  [27]. Hence, under MA, every subset of  $\mathbb{R}$  that is a  $\Delta$ -set is also a  $Q$ -set. Recently we proved the following claim: If  $X$  has a countable network and  $|X| = \mathfrak{c}$ , then  $C_p(X)$  is not distinguished [14]. In view of our Theorem 2.1 this fact means that no  $\Delta$ -space  $X$  with a countable network can have cardinality  $\mathfrak{c}$ .<sup>2</sup>

(5) It is consistent that there exists a  $\Delta$ -set  $X$  that is not a  $Q$ -set [21]. Of course, there are plenty of nonmetrizable  $\Delta$ -spaces with non- $G_\delta$  subsets, in ZFC.

(6) An uncountable  $\Delta$ -set exists if and only if there exists a separable countably paracompact nonnormal Moore space (see [33] and [27]).

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<sup>2</sup>The referee kindly informed the authors that the last result can be derived easily from the actual argument of [27].

Summarizing, the following conclusion is an immediate consequence of our Theorem 2.1 and the known facts about  $\Delta$ -sets listed above.

**Corollary 4.3.**

- (1) *The existence of an uncountable separable metrizable space such that  $C_p(X)$  is distinguished, is independent of ZFC.*
- (2) *There exists an uncountable separable metrizable space  $X$  such that  $C_p(X)$  is distinguished, if and only if there exists a separable countably paracompact nonnormal Moore space.*

5. BASIC OPERATIONS IN  $\Delta$  AND OPEN PROBLEMS

In this section we consider the question whether the class  $\Delta$  is invariant under the following basic topological operations: subspaces, continuous images, quotient continuous images, finite/countable unions, finite products.

1. *Subspaces.* Trivial because of Corollary 2.2.

2. *(Quotient) continuous images.* Evidently, every topological space is a continuous image of a discrete one. The following assertion in fact has been remarked for the first time in [25].

**Proposition 5.1** ([25]). *There exists in ZFC a MAD family  $\mathcal{A}$  on  $\mathbb{N}$  such that the corresponding Isbell–Mrówka space  $\Psi(\mathcal{A})$  admits a continuous mapping onto the closed interval  $[0, 1]$ .*

Detailed constructions of such MAD families  $\mathcal{M}$  can be found in [4], [32].

Thus, the class  $\Delta$  is not invariant under continuous images even for first-countable separable locally compact spaces. However, a continuous mapping in Proposition 5.1 cannot be quotient.

**Proposition 5.2.** *Every quotient continuous image of any Isbell–Mrówka space is a  $\Delta$ -space.*

*Proof.* We observe that by construction any Isbell–Mrówka space  $\Psi(\mathcal{A}) = \mathbb{N} \cup \mathcal{A}$  satisfies the following property: every subset of  $\mathcal{A}$  is closed in  $\Psi(\mathcal{A})$  and  $\mathbb{N}$  is obviously countable. Let  $Y$  be the image of  $\Psi(\mathcal{A})$  under a quotient continuous mapping  $f$ . We show that  $Y$  enjoys the same property. Indeed, denote by  $M$  the image  $f(\mathbb{N})$  and put  $F = Y \setminus M$ . Evidently,  $M$  is at most countable and for every subset  $B$  of  $F$  the preimage  $f^{-1}(B)$  is closed in  $\Psi(\mathcal{A})$  as a subset of  $\mathcal{A}$ , therefore  $B$  is closed in  $Y$ . It follows that  $Y$  is  $Q$ -set space, i.e. every subset of  $Y$  is  $F_\sigma$ . We noticed in the proof of Proposition 4.1 that the latter property implies that  $Y \in \Delta$ .  $\square$

Note also that the class of scattered Eberlein compact spaces preserves continuous images. We were unable to resolve the following major open problem.

**Problem 5.3.** Let  $X$  be any compact  $\Delta$ -space and  $Y$  be a continuous image of  $X$ . Is  $Y$  a  $\Delta$ -space?

Even a more general question is open.

**Problem 5.4.** Let  $X$  be any  $\Delta$ -space and  $Y$  be a quotient continuous image of  $X$ . Is  $Y$  a  $\Delta$ -space?

Towards a solution of these problems we obtained several partial positive results.

**Proposition 5.5.** *Let  $X$  be any  $\Delta$ -space and  $\varphi : X \rightarrow Y$  be a quotient continuous surjection with only finitely many nontrivial fibers. Then  $Y$  is also a  $\Delta$ -space.*

*Proof.* By assumption, there exists a closed subset  $K \subset X$  such that  $\varphi(K)$  is finite and  $\varphi \upharpoonright_{X \setminus K} : X \setminus K \rightarrow Y \setminus \varphi(K)$  is a one-to-one mapping. Both sets  $X \setminus K$  and  $Y \setminus \varphi(K)$  are open in  $X$  and  $Y$ , respectively. Since  $\varphi$  is a quotient continuous mapping, it is easy to see that  $\varphi \upharpoonright_{X \setminus K}$  is a homeomorphism.  $X \setminus K$  is a  $\Delta$ -space, hence  $Y \setminus \varphi(K)$  is also a  $\Delta$ -space. Finally,  $Y$  is a  $\Delta$ -space, by Proposition 2.3.  $\square$

**Proposition 5.6.** *Let  $X$  be any  $\Delta$ -space and  $\varphi : X \rightarrow Y$  be a closed continuous surjection with finite fibers. Then  $Y$  is also a  $\Delta$ -space.*

*Proof.* Let  $\{Y_n : n \in \omega\}$  be a partition of  $Y$ . By assumption, the partition  $\{\varphi^{-1}(Y_n) : n \in \omega\}$  admits a point-finite open expansion  $\{U_n : n \in \omega\}$  in  $X$ . Clearly,  $\varphi(X \setminus U_n)$  are closed sets in  $Y$ . Define  $V_n = Y \setminus \varphi(X \setminus U_n)$  for each  $n \in \omega$ . We have that  $\{V_n : n \in \omega\}$  is an open expansion of  $\{Y_n : n \in \omega\}$  in  $Y$ . It remains to verify that the family  $\{V_n : n \in \omega\}$  is point-finite. Indeed, let  $y \in Y$  be any point. Each point in the fiber  $\varphi^{-1}(y)$  belongs to a finite number of sets  $U_n$ . Since the fiber  $\varphi^{-1}(y)$  is finite,  $y$  is contained only in a finite number of sets  $V_n$  which finishes the proof.  $\square$

### 3. Finite/countable unions.

**Proposition 5.7.** *Assume that  $X$  is a finite union of closed subsets  $X_i$ , where each  $X_i$  belongs to the class  $\Delta$ . Then  $X$  also belongs to  $\Delta$ . In particular, a finite union of compact  $\Delta$ -spaces is also a  $\Delta$ -space.*

*Proof.* Denote by  $Z$  the discrete finite union of  $\Delta$ -spaces  $X_i$ . Obviously,  $Z$  is a  $\Delta$ -space which admits a natural closed continuous mapping onto  $X$ . Since all fibers of this mapping are finite, the result follows from Proposition 5.6.  $\square$

We recall a definition of the Michael line. The Michael line  $X$  is the refinement of the real line  $\mathbb{R}$  obtained by isolating all irrational points. So,  $X$  can be represented as a countable disjoint union of singletons (rationals) and an open discrete set. Nevertheless, the Michael line  $X$  is not in  $\Delta$  [14]. This example and Proposition 5.7 justify the following

**Problem 5.8.** Let  $X$  be a countable union of compact subspaces  $X_i$  such that each  $X_i$  belongs to the class  $\Delta$ . Does  $X$  belong to the class  $\Delta$ ?

4. *Finite products.* We already mentioned earlier that the existence of a  $Q$ -set  $X \subset \mathbb{R}$  such that its square  $X^2$  is not a  $Q$ -set, is consistent with ZFC.

**Problem 5.9.** Is the existence of a  $\Delta$ -set  $X \subset \mathbb{R}$  such that its square  $X^2$  is not a  $\Delta$ -set, consistent with ZFC?

It is known that the finite product of scattered Eberlein compact spaces is a scattered Eberlein compact.

**Problem 5.10.** Let  $X$  be the product of two compact spaces  $X_1$  and  $X_2$  such that each  $X_i$  belongs to the class  $\Delta$ . Does  $X$  belong to the class  $\Delta$ ?

Our last problem is inspired by Theorem 3.10.

**Problem 5.11.** Let  $X$  be any scattered compact space with a finite scattered height. Does  $X$  belong to the class  $\Delta$ ?

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FACULTY OF MATHEMATICS AND INFORMATICS, A. MICKIEWICZ UNIVERSITY, 61-614 POZNAŃ, POLAND; AND INSTITUTE OF MATHEMATICS CZECH ACADEMY OF SCIENCES, PRAGUE, CZECH REPUBLIC

*Email address:* kako1@amu.edu.pl

DEPARTMENT OF MATHEMATICS, BEN-GURION UNIVERSITY OF THE NEGEV, BEER SHEVA, ISRAEL

*Email address:* arkady@math.bgu.ac.il