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# REPRESENTATIONS OF REDUCTIVE GROUPS OVER FINITE RINGS

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ABSTRACT. In this paper we construct a family of irreducible representations of a Chevalley group over a finite ring R of truncated power series over a field  $\mathbf{F}_q$ . This is done by a cohomological method extending that of Deligne and the author in the case  $R = \mathbf{F}_q$ .

### INTRODUCTION

**0.1.** In [L, Sec.4] a cohomological construction was given (without proof) for certain representations of a Chevalley group over a finite ring R (arising from the ring of integers in a non-archimedean local field by reduction modulo a power of the maximal ideal); that construction was an extension of the construction of the virtual representations  $R_T^{\theta}$  in [DL] for groups over a finite field. One of the aims of this paper is to provide the missing proof. For simplicity we will assume that  $R = \mathbf{F}_{q,r} = \mathbf{F}_q[[\epsilon]]/(\epsilon^r)$  ( $\epsilon$  is an indeterminate,  $\mathbf{F}_q$  is a finite field with q elements and  $r \geq 1$ ). A similar method applies in the case where R is a finite quotient of a ring of Witt vectors. On the other hand, we treat possibly twisted groups.

Let **F** be an algebraic closure of  $\mathbf{F}_q$ . Let *G* be a connected reductive algebraic group defined over **F** with a given  $\mathbf{F}_q$ -rational structure with associated Frobenius map  $F: G \to G$ .

Using a cohomological method, extending that of [DL], we will construct a family of irreducible representations of the finite group  $G(\mathbf{F}_{q,r}), r \geq 1$ , attached to a "maximal torus" and a character of it in general position. In the case where  $r \geq 2$ and G is split over  $\mathbf{F}_q$ , the representations that we construct are likely to be the same as those found by Gérardin [G] by a non-cohomological method (induction from a subgroup if r is even; induction from a subgroup in combination with a use of a Weil representation, if r is odd,  $\geq 3$ ). In any case, since for r = 1, the cohomological construction is the only known construction of almost all representations, it seems natural to seek a cohomological construction which works uniformly for all  $r \geq 1$ ; this is what we do in this paper.

**0.2.** Notation. Let  $\epsilon$  be an indeterminate. If X is an affine algebraic variety over **F** and  $r \geq 1$ , we set  $X_r = X(\mathbf{F}[[\epsilon]]/(\epsilon^r))$ . Thus, if X is the set of common

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zeroes of the polynomials  $f_i : \mathbf{F}^N \to \mathbf{F}(i = 1, ..., m)$ , then  $X_r$  is the set of all  $(x_1, x_2, ..., x_N) \in (\mathbf{F}[[\epsilon]]/(\epsilon^r))^N$  such that  $f_i(x_1, x_2, ..., x_N)$  (a priori an element of  $\mathbf{F}[[\epsilon]]/(\epsilon^r)$ ) is equal to 0 for i = 1, ..., m. We have  $X_1 = X$ . For r = 0 we set  $X_r = \text{point}$ . Then  $X \mapsto X_r$  is a functor from the category of algebraic varieties over  $\mathbf{F}$  into itself. If X' is a closed subvariety of X, then  $X'_r$  is a closed subvariety of  $X_r$ . If X is irreducible of dimension d, then  $X_r$  is irreducible of dimension dr. For any  $r \ge r' \ge 0$  we have a canonical morphism  $\phi_{r,r'} : X_r \to X_{r'}$ . If  $r \ge 1$ , we have naturally  $X \subset X_r$  (using  $\mathbf{F} \subset \mathbf{F}[[\epsilon]]/(\epsilon^r)$ ). If G is an algebraic group over  $\mathbf{F}$ , then  $G_r$  is naturally an algebraic group over  $\mathbf{F}$ . For any  $r \ge r' \ge 0$ ,  $\phi_{r,r'} : G_r \to G_{r'}$  is a homomorphism of algebraic groups hence its kernel,  $G_r^{r'}$ , is a normal subgroup of  $G_r$ . For  $r \ge 1$  we have naturally  $G \subset G_r$ . We have

$$\{1\} = G_r^r \subset G_r^{r-1} \subset \ldots \subset G_r^1 \subset G_r^0 = G_r.$$

For  $r > r' \ge 0$ , we set  $G_r^{r',*} = G_r^{r'} - G_r^{r'+1}$ . We have a partition

$$G_r = G_r^{0,*} \sqcup G_r^{1,*} \sqcup \ldots \sqcup G_r^{r-1,*} \sqcup \{1\}.$$

We fix a prime number l invertible in **F**. If X is an algebraic variety over **F** we write  $H_c^j(X)$  instead of  $H_c^j(X, \bar{\mathbf{Q}}_l)$ .

For a finite group  $\Gamma$  let  $\hat{\Gamma} = \text{Hom}(\Gamma, \bar{\mathbf{Q}}_l^*)$ .

**0.3.** If T is a commutative algebraic group over **F** with a fixed  $\mathbf{F}_q$ -structure and with Frobenius map  $F: T \to T$ , we have a norm map

$$N_F^{F^n}: T^{F^n} \to T^F, t \mapsto tF(t)F^2(t) \dots F^{n-1}(t).$$

## 1. Lemmas

**Lemma 1.1.** Let  $\mathcal{T}, \mathcal{T}'$  be two commutative, connected algebraic groups over  $\mathbf{F}$  with fixed  $\mathbf{F}_q$ -rational structures with Frobenius maps  $F: \mathcal{T} \to \mathcal{T}, F: \mathcal{T}' \to \mathcal{T}'$ . Let  $f: \mathcal{T} \xrightarrow{\sim} \mathcal{T}'$  be an isomorphism of algebraic groups over  $\mathbf{F}$ . Let  $n \geq 1$  be such that  $F^n f = fF^n: \mathcal{T} \to \mathcal{T}'$ ; thus  $f: \mathcal{T}^{F^n} \xrightarrow{\sim} \mathcal{T}'^{F^n}$ . Let

$$H = \{(t, t') \in \mathcal{T} \times \mathcal{T}'; f(F(t)^{-1}t) = F(t')^{-1}t'\}.$$

(A subgroup of  $\mathcal{T} \times \mathcal{T}'$  containing  $\mathcal{T}^F \times \mathcal{T}'^F$ .) Let  $\theta \in \widehat{\mathcal{T}^F}$ ,  $\theta' \in \widehat{\mathcal{T}'^F}$  be such that  $\theta^{-1} \boxtimes \theta'$  is trivial on  $(\mathcal{T}^F \times \mathcal{T}'^F) \cap H^0$ . Then  $\theta N_F^{F^n} = \theta' N_F^{F^n} f \in \widehat{T^{F^n}}$ .

Setting  $t_1 = tF(t) \dots F^{n-1}(t) \in \mathcal{T}$ ,  $t_2 = f(t)F(f(t)) \dots F^{n-1}(f(t)) \in \mathcal{T}'$  for  $t \in \mathcal{T}$ , we have

$$f(F(t_1)^{-1}t_1) = f(tF^n(t)^{-1}) = f(t)f(F^n(t))^{-1} = f(t)F^n(f(t))^{-1} = F(t_2)^{-1}t_2,$$

so that  $(t_1, t_2) \in H$ . Now  $t \mapsto (t_1, t_2)$  is a morphism  $\mathcal{T} \to H$  of algebraic varieties and  $\mathcal{T}$  is connected; hence the image of this morphism is contained in  $H^0$ . In particular, if  $t \in \mathcal{T}^{F^n}$ , we have  $(N_F^{F^n}(t), N_F^{F^n}(f(t))) \in (\mathcal{T}^F \times \mathcal{T}'^F) \cap H^0$  hence, by assumption,  $\theta^{-1}(N_F^{F^n}(t))\theta'(N_F^{F^n}(f(t))) = 1$  for all  $t \in \mathcal{T}^{F^n}$ . The lemma is proved.

**1.2.** Let G be a connected reductive algebraic group over  $\mathbf{F}$  with a fixed  $\mathbf{F}_q$ -rational structure with Frobenius map  $F: G \to G$ . If  $r \geq 1$ , then  $F: G \to G$  induces a homomorphism  $F: G_r \to G_r$  which is the Frobenius map for a  $\mathbf{F}_q$ -rational structure on  $G_r$ .

Let T, T' be two F-stable maximal tori of G and let U (resp. U') be the unipotent radical of a Borel subgroup of G that contains T (resp. T'). Note that U, U' are not necessarily defined over  $\mathbf{F}_q$ . Let  $r \geq 2$ . Let  $\mathcal{T} = T_r^{r-1}, \mathcal{T}' = T_r'^{r-1},$ 

$$\Sigma = \{ (x, x', y) \in F(U_r) \times F(U'_r) \times G_r; xF(y) = yx' \}.$$

Let  $N(T,T') = \{\nu \in G; \nu^{-1}T\nu = T'\}$ . Then T acts on N(T,T') by left multiplication and T' acts on N(T,T') by right multiplication. The orbits of T are the same as the orbits of T'; we set  $W(T,T') = T \setminus N(T,T') = N(T,T')/T'$  (a finite set). For each  $w \in W(T,T')$  we choose a representative  $\dot{w}$  in N(T,T'). We have  $G = \bigsqcup_{w \in W(T,T')} G_w$  where  $G_w = UT\dot{w}U' = U\dot{w}T'U'$ .

Let  $G_{w,r}$  be the inverse image of  $G_w$  under  $\phi_{r,1}$ :  $G_r \to G$  and let  $\Sigma_w = G_{w,r}$ 

 $\{(x, x', y) \in \Sigma; y \in G_{w,r}\}.$  Now  $T_r^F \times T_r'^F$  acts on  $\Sigma$  by  $(t, t') : (x, x', y) \mapsto (txt^{-1}, t'x't'^{-1}, tyt'^{-1}).$  This restricts to an action of  $T_r^F \times T_r'^F$  on  $\Sigma_w$  for any  $w \in W.$ 

If  $\theta \in \widehat{T_r^F}, \theta' \in \widehat{T_r'^F}$  and M is a  $T_r^F \times T_r'^F$ -module, we shall write  $M_{\theta^{-1},\theta'}$  for the subspace of M on which  $T_r^F \times T_r'^F$  acts according to  $\theta^{-1} \boxtimes \theta'$ .

**Lemma 1.3.** Assume that  $r \geq 2$ . Let  $w \in W(T,T')$ . Let  $\theta \in \widehat{T_r^F}$ ,  $\theta' \in \widehat{T_r^F}$ . Assume that  $H_c^j(\Sigma_w)_{\theta^{-1},\theta'} \neq 0$  for some  $j \in \mathbf{Z}$ . Let  $g = F(\dot{w})^{-1}$  and let  $n \geq 1$  be such that  $g \in G^{F^n}$ . Then  $\operatorname{Ad}(g)$  carries  $\mathcal{T}^{F^n}$  onto  $\mathcal{T}'^{F^n}$  and  $\theta|_{\mathcal{T}^F} \circ N_F^{F^n} \in \widehat{\mathcal{T}^{F^n}}$  to  $\theta'|_{\mathcal{T}'^F} \circ N_F^{F^n} \in \widehat{\mathcal{T}'^{F^n}}.$ 

By the definition of  $G_{w,r}$ , the map  $U_r \times G_r^1 \times (T_r \dot{w}) \times U'_r \to G_{w,r}$  given by  $(u, k, \nu, u') \mapsto uk\nu u'$  is a locally trivial fibration with all fibres isomorphic to a fixed affine space. Hence the map

$$\dot{\Sigma}_w = \{ (x, x', u, u', k, \nu) \in F(U_r) \times F(U'_r) \times U_r \times U'_r \times G^1_r \times T_r \dot{w}; xF(u)F(k)F(\nu)F(u') = uk\nu u'x' \} \to \Sigma_w$$

given by  $(x, x', u, u', k, \nu) \mapsto (x, x', uk\nu u')$ , is a locally trivial fibration with all fibres isomorphic to a fixed affine space. This map is compatible with the  $T_r^F \times T_r'^F$ actions where  $T_r^F \times T_r'^F$  acts on  $\tilde{\Sigma}_w$  by

(a) 
$$(t,t'): (x,x',u,u',k,\nu) \mapsto (txt^{-1},t'x't'^{-1},tut^{-1},t'u't'^{-1},tkt^{-1},t\nu t'^{-1}).$$

Hence there exists  $j' \in \mathbf{Z}$  such that  $H_c^{j'}(\tilde{\Sigma}_w)_{\theta^{-1},\theta'} \neq 0$ . By the substitution  $xF(u) \mapsto x, x'F(u')^{-1} \mapsto x'$ , the variety  $\tilde{\Sigma}_w$  is rewritten as

(b) 
$$\{(x, x', u, u', k, \nu) \in F(U_r) \times F(U'_r) \times U_r \times U'_r \times G^1_r \times T_r \dot{w}; xF(k)F(\nu) = uk\nu u'x'\}; \}$$

in these coordinates, the action of  $T_r^F \times T_r'^F$  is still given by (a). Let

$$H = \{(t, t') \in \mathcal{T} \times \mathcal{T}'; t'F(t')^{-1} = F(\dot{w})^{-1}tF(t)^{-1}F(\dot{w})\}.$$

(A closed subgroup of  $T_r \times T'_r$ .) It acts on the variety (b) by the same formula as in (a). (We use the fact that hk = kh for any  $h \in G_r^{r-1}, k \in G_r^1$ .) By [DL, 6.5], the induced action of H on  $H_c^{j'}(\tilde{\Sigma}_w)$  is trivial when restricted to  $H^0$ . In particular, the intersection  $(T_r^F \times T_r'^F) \cap H^0$  acts trivially on  $H_c^{j'}(\tilde{\Sigma}_w)$ . Since  $H_c^{j'}(\tilde{\Sigma}_w)_{\theta^{-1},\theta'} \neq 0$ , it follows that  $\theta^{-1} \boxtimes \theta'$  is trivial on  $(T_r^F \times T_r'^F) \cap H^0$ . Let  $g = F(\dot{w})^{-1}$  and let  $n \ge 1$  be such that  $g \in G^{F^n}$ . Then  $\operatorname{Ad}(g)$  carries  $\mathcal{T}^{F^n}$  onto  $\mathcal{T}'^{F^n}$  and (by Lemma 1.1 with  $f = \operatorname{Ad}(g)$ ) it carries  $\theta|_{\mathcal{T}^F} \circ N_F^{F^n}$  to  $\theta'|_{\mathcal{T}'^F} \circ N_F^{F^n}$ . The lemma is proved. **Lemma 1.4.** Assume that  $r \geq 2$ . Let  $\theta \in \widehat{T_r^F}$ ,  $\theta' \in \widehat{T_r'^F}$  be such that

(a) 
$$H_c^j(\Sigma)_{\theta^{-1},\theta'} \neq 0$$

for some  $j \in \mathbf{Z}$ . There exists  $n \ge 1$  and  $g \in N(T', T)^{F^n}$  such that  $\operatorname{Ad}(g)$  carries  $\theta|_{\mathcal{T}^F} \circ N_F^{F^n} \in \widehat{\mathcal{T}^{F^n}}$  to  $\theta'|_{\mathcal{T}'^F} \circ N_F^{F^n} \in \widehat{\mathcal{T}'^{F^n}}$ .

The subvarieties  $G_w$  of G have the following property: for some ordering  $\leq$  of W(T,T'), the unions  $\bigcup_{w' \leq w} G_{w'}$  are closed in G. It follows that the unions  $\bigcup_{w' \leq w} G_{w',r}$  are closed in  $G_r$  and the unions  $\bigcup_{w' \leq w} \Sigma_{w'}$  are closed in  $\Sigma$ . The spectral sequence associated to the filtration of  $\Sigma$  by these unions, together with (a), shows that there exists  $w \in W(T,T')$  and  $j \in \mathbb{Z}$  such that  $H^j_c(\Sigma_w)_{\theta^{-1},\theta'} \neq 0$ . We can therefore apply Lemma 1.3. The lemma follows.

**1.5.** Let  $\Phi$  be the set of characters  $\alpha : T \to \mathbf{F}^*$  such that  $\alpha \neq 1$  and T acts on some line  $L_\alpha \subset \text{Lie } G$  via  $\alpha$  (in the adjoint action); for such  $\alpha$ , let  $G^\alpha$  be the one-dimensional unipotent subgroup of G such that  $\text{Lie } G^\alpha = L_\alpha$ . For  $\alpha \in \Phi$  there is a unique 1-dimensional torus  $T^\alpha$  in T such that  $T^\alpha$  is contained in the subgroup of G generated by  $G^\alpha, G^{\alpha^{-1}}$ . Let  $\mathcal{T}^\alpha = (T^\alpha)_r^{r-1}$  (a one-dimensional subgroup of  $\mathcal{T} = T_r^{r-1}$ ).

Let  $\chi \in \widehat{\mathcal{T}^F}$ . We say that  $\chi$  is *regular* if for any  $\alpha \in \Phi$  and any  $n \geq 1$  such that  $F^n(\mathcal{T}^\alpha) = \mathcal{T}^\alpha$ , the restriction of  $\chi \circ N_F^{F^n} : \mathcal{T}^{F^n} \to \overline{\mathbf{Q}}_l^*$  to  $(\mathcal{T}^\alpha)^{F^n}$  is non-trivial. (It is enough to check that  $\chi \circ N_F^{F^n}|_{(\mathcal{T}^\alpha)^{F^n}}$  is non-trivial for any  $\alpha$  and for just one n such that  $F^n(\mathcal{T}^\alpha) = \mathcal{T}^\alpha$  for all  $\alpha$ .)

Let  $\theta \in \widehat{T^F}$ . We say that  $\theta$  is *regular* if  $\theta|_{\mathcal{T}^F}$  is regular.

**1.6.** Let T be an F-stable maximal torus of G. Let  $U, \tilde{U}, V, \tilde{V}$  be unipotent radicals of Borel subgroups containing T such that  $U \cap V = \tilde{U} \cap \tilde{V} = \{1\}$ . Let  $\Phi$  be as in 1.5. Let

$$\Phi^+ = \{ \alpha \in \Phi; G^\alpha \subset \tilde{V} \}, \Phi^- = \{ \alpha \in \Phi; G^\alpha \subset \tilde{U} \}.$$

Then  $\Phi = \Phi^+ \sqcup \Phi^-$  and  $\Phi^- = \{\alpha^{-1}; \alpha \in \Phi^+\}.$ 

For  $\alpha \in \Phi^+$  let  $ht(\alpha)$  be the largest integer  $n \ge 1$  such that  $\alpha = \alpha_1 \alpha_2 \dots \alpha_n$ with  $\alpha_i \in \Phi^+$ .

- Let  $x \in (G^{\alpha})_r^b, x' \in (G^{\alpha'})_r^c$  where  $\alpha, \alpha' \in \Phi$  and  $b, c \in [0, r]$ .
- (a) If  $b + c \ge r$ , then xx' = x'x.
- (b) If  $b + c \leq r$  and  $\alpha \alpha' \neq 1$ , then xx' = x'xu where u is of the form  $\prod_{i,i' \geq 1; \alpha^i \alpha'^{i'} \in \Phi} u_{i,i'}$  with  $u_{i,i'} \in (G^{\alpha^i \alpha'^{i'}})_r^{b+b'}$ .

(The factors in the last product are written in some fixed order. In the special case where b + c = r - 1, these factors commute with each other by (a), since  $r - 1 + r - 1 \ge r$ .)

(c) If  $b + c \ge r - 1$ ,  $b + 2c \ge r$  and  $\alpha \alpha' = 1$ , then  $xx' = x'x\tau_{x,x'}u$  where  $\tau_{x,x'} \in \mathcal{T}^{\alpha}$  and  $u \in (G^{\alpha})_r^{r-1}$  are uniquely determined.

**Lemma 1.7.** We fix an order on  $\Phi^+$ . For any  $z \in \tilde{V}_r, \beta \in \Phi^+$ , define  $x_{\beta}^z \in G_r^{\beta}$  by  $z = \prod_{\beta \in \Phi^+} x_{\beta}^z$  (factors written using the given order on  $\Phi^+$ ). Let  $\alpha \in \Phi^-, a \in [1, r-1]$ . Let  $z \in \tilde{V}_r^a$  be such that  $x_{\beta}^z \in (G^{\beta})_r^{a+1}$  for all  $\beta \in \Phi^+$  with  $ht(\beta) > ht(\alpha^{-1})$ . Let  $\xi \in (G^{\alpha})_r^{r-a-1}$ . Then  $\xi z = z\xi\tau_{\xi,z}\omega_{\xi,z}$  where  $\tau_{\xi,z} \in T^{\alpha}$  and  $\omega_{\xi,z} \in \tilde{U}_r^{r-1}$ .

We argue by induction on  $N_z = \sharp(\beta \in \Phi^+; x_\beta^z \neq 1)$ . If  $N_z = 0$ , the result is clear. Assume now that  $N_z = 1$  so that  $z \in G_r^\beta$  with  $\beta \in \Phi^+$ . If  $\alpha\beta = 1$ , the result follows from 1.6(c). If  $\alpha\beta \neq 1$  and  $ht(\beta) > ht(\alpha^{-1})$ , then  $z \in (G^\beta)_r^{a+1}$  and  $\xi z = z\xi$  by 1.6(b). If  $\alpha\beta \neq 1$  and  $ht(\beta) \le ht(\alpha^{-1})$ , then by 1.6(b) we have  $\xi z = z\xi u$ where  $u = \prod_{i,i' \ge 1; \alpha^i \beta^{i'} \in \Phi} u_{i,i'}$  with  $u_{i,i'} \in (G^{\alpha^i \beta^{i'}})_r^{r-1}$ ; it is enough to show that if  $i, i' \ge 1$ , we cannot have  $\alpha^i \beta^{i'} \in \Phi^+$ . (If  $\alpha^i \beta^{i'} \in \Phi^+$  for some  $i, i' \ge 1$ , then  $\alpha\beta \in \Phi^+$  hence  $ht(\beta) > ht(\alpha^{-1})$ , contradiction.)

Assume now that  $N_z \ge 2$ . We can write z = z'z'' where  $z', z'' \in \tilde{V}_r^a$ ,  $N_{z'} < N_z, N_{z''} < N_z$ . Using the induction hypothesis we have

$$\xi z = \xi z' z'' = z' \xi \tau_{\xi, z'} \omega_{\xi, z'} z''$$

where  $\tau_{\xi,z'} \in \mathcal{T}^{\alpha}$ ,  $\omega_{\xi,z'} \in \tilde{U}_r^{r-1}$ . We have  $\omega_{\xi,z'} z'' = z'' \omega_{\xi,z'}$  and  $\tau_{\xi,z'} z'' = z'' \tau_{\xi,z'}$ . Using again the induction hypothesis, we have

$$\begin{aligned} z'\xi\tau_{\xi,z'}\omega_{\xi,z'}z'' &= z'\xi\tau_{\xi,z'}z''\omega_{\xi,z'} = z'\xiz''\tau_{\xi,z'}\omega_{\xi,z'} \\ &= z'z''\xi\tau_{\xi,z''}\omega_{\xi,z''}\tau_{\xi,z'}\omega_{\xi,z'} = z\xi\tau_{\xi,z'}\tau_{\xi,z''}\omega_{\xi,z'}\omega_{\xi,z''} \end{aligned}$$

Thus,  $\xi z = z \xi \tau_{\xi,z} \omega_{\xi,z}$  where

$$\tau_{\xi,z} = \tau_{\xi,z'} \tau_{\xi,z''}, \omega_{\xi,z} = \omega_{\xi,z'} \omega_{\xi,z''}.$$

The lemma is proved.

**1.8.** In the setup of 1.6, let  $Z = V \cap \tilde{V}$ . Let  $\Phi' = \{\beta \in \Phi; G^{\beta} \subset Z\}$ . We have  $\Phi' \subset \Phi^+$ . Let  $\mathcal{X}$  be the set of all subsets  $I \subset \Phi'$  such that  $I \neq \emptyset$  and  $ht : \Phi^+ \to \mathbf{N}$  is constant on I.

To any  $z \in Z_r^1 - \{1\}$  we associate a pair  $(a, I_z)$  where  $a \in [1, r-1]$  and  $I_z \in \mathcal{X}$ as follows. We define a by the condition that  $z \in Z_r^{a,*}$ . If  $x_{\beta}^z \in G^{\beta}$  are defined as in 1.8 in terms of a fixed order on  $\Phi^+$ , then  $x_{\beta}^z \in (G^{\beta})_r^a$  for all  $\beta \in \tilde{\Phi}$  and  $x_{\beta}^z = 1$ for all  $\beta \in \Phi^+ - \tilde{\Phi}$ . Let  $I_z$  be the set of all  $\alpha' \in \tilde{\Phi}$  such that  $x_{\alpha'}^z \in (G^{\alpha'})_r^{a,*}$  and  $x_{\beta}^z \in (G^{\beta})_r^{a+1}$  for all  $\beta \in \Phi^+$  such that  $ht(\beta) > ht(\alpha')$ . It is easy to see, using 1.6(a),(b), that the definition of  $I_z$  does not depend on the choice of an order on  $\Phi^+$ . For  $a \in [1, r-1]$  and  $I \in \mathcal{X}$  let  $Z_r^{a,*,I}$  be the set of all  $z \in Z_r^1 - \{1\}$  such that  $z \in Z_r^{a,*}, I = I_z$ . Thus we have a partition

(a) 
$$Z_r^1 - \{1\} = \bigsqcup_{a \in [1, r-1], I \in \mathcal{X}} Z_r^{a, *, I}$$

**Lemma 1.9.** Let T, T', U, U', r, T, T' be as in 1.2. Let  $\theta \in \widehat{T_r^F}, \theta' \in \widehat{T_r'^F}$ . Assume that  $\theta'|_{T^F} = \chi$  is regular. Let  $\Sigma$  be as in 1.2. Then  $\sum_{j \in \mathbf{Z}} (-1)^j \dim H_c^j(\Sigma)_{\theta^{-1}, \theta'}$  is equal to the number of  $w \in W(T, T')^F$  such that  $Ad(\dot{w}) : T_r'^F \to T_r^F$  carries  $\theta$  to  $\theta'$ .

Using the partition  $\Sigma = \bigsqcup_{w \in W(T,T')} \Sigma_w$  we see that it is enough to prove that  $\sum_{j \in \mathbf{Z}} (-1)^j \dim H^j_c(\Sigma_w)_{\theta^{-1},\theta'}$  is equal to 1 if F(w) = w and  $Ad(w) : T'^F_r \to T^F_r$  carries  $\theta$  to  $\theta'$  and equals 0, otherwise. We now fix  $w \in W(T,T')$ . We have

$$\Sigma_w = \{(x, x', y) \in F(U_r) \times F(U'_r) \times G_r; xF(y) \\ = yx', y \in U_r G_r^1 \dot{w} T'_r U'_r = U_r Z_r^1 \dot{w} T'_r U'_r \}$$

where  $Z = V \cap \dot{w}V'\dot{w}^{-1}$ . Here V (resp. V') is the unipotent radical of a Borel subgroup containing T (resp. T') such that  $U \cap V = \{1\}$  (resp.  $U' \cap V' = \{1\}$ . Let

$$\Sigma_w = \{(x, x', u, u', z, \tau') \in F(U_r) \times F(U'_r) \times U_r \times U'_r \times Z^1_r \times T'_r; xF(u)F(z)F(\dot{w})F(\tau')F(u') = uz\dot{w}\tau'u'x'\}.$$

The map  $\hat{\Sigma}_w \to \Sigma_w$  given by  $(x, x', u, u', z, \tau') \mapsto (x, x', uz\dot{w}\tau'u')$  is a locally trivial fibration with all fibres isomorphic to a fixed affine space. This map is compatible with the  $T_r^F \times T_r'^F$ -actions where  $T_r^F \times T_r'^F$  acts on  $\hat{\Sigma}_w$  by

(a) 
$$(t,t'): (x,x',u,u',z,\tau')$$
  
 $\mapsto (txt^{-1},t'x't'^{-1},tut^{-1},t'u't'^{-1},tzt^{-1},\dot{w}^{-1}t\dot{w}\tau t'^{-1}).$ 

Hence it is enough to show that

 $\sum_{j \in \mathbf{Z}} (-1)^j \dim H^j_c(\hat{\Sigma}_w)_{\theta^{-1}, \theta'}$  is equal to 1 if F(w) = w and  $Ad(w) : T'_r F \to T^F_r$  carries  $\theta$  to  $\theta'$  and equals 0, otherwise.

By the change of variable  $xF(u) \mapsto x, x'F(u')^{-1} \mapsto x'$  we may rewrite  $\hat{\Sigma}_w$  as

$$\hat{\Sigma}_w = \{(x, x', u, u', z, \tau') \in F(U_r) \times F(U'_r) \times U_r \times U'_r \times Z^1_r \times T'_r; xF(z)F(\dot{w})F(\tau') = uz\dot{w}\tau'u'x'\}$$

with the  $T_r^F \times T_r'^F$ -action still given by (a). We have a partition  $\hat{\Sigma}_w = \hat{\Sigma}'_w \sqcup \hat{\Sigma}''_w$ where

$$\hat{\Sigma}'_{w} = \{(x, x', u, u', z, \tau') \in F(U_{r}) \times F(U'_{r}) \times U_{r} \times U'_{r} \times (Z^{1}_{r} - \{1\}) \times T'_{r}; xF(z)F(\dot{w})F(\tau') = uz\dot{w}\tau'u'x'\},\\ \hat{\Sigma}''_{w} = \{(x, x', u, u', 1, \tau') \in F(U_{r}) \times F(U'_{r}) \times U_{r} \times U'_{r} \times \{1\} \times T'_{r}; xF(\dot{w})F(\tau') = u\dot{w}\tau'u'x'\}.$$

are stable under the  $T_r^F \times T_r'^F$ -action. It is then enough to show that

(b)  $\sum_{j \in \mathbf{Z}} (-1)^j \dim H^j_c(\hat{\Sigma}''_w)_{\theta^{-1},\theta'}$  is equal to 1 if F(w) = w and  $Ad(\dot{w}) : T'^F_r \to T^F_r$  carries  $\theta$  to  $\theta'$  and equals 0, otherwise.

(c)  $H_c^j(\hat{\Sigma}'_w)_{\theta^{-1},\theta'} = 0$  for all j.

We first prove (c). If M is a  $\mathcal{T}'^F$ -module we shall write  $M_{(\chi)}$  for the subspace of M on which  $\mathcal{T}'^F$  acts according to  $\chi$ . Now  $\mathcal{T}'^F$  acts on  $\hat{\Sigma}'_w$  by

$$t': (x, x', u, u', z, \tau') \mapsto (x, t'x't'^{-1}, u, t'u't'^{-1}, z, \tau't'^{-1})$$

Hence  $H^j_c(\hat{\Sigma}'_w)$  becomes a  $\mathcal{T}'^F$ -module. It is enough to show that  $H^j_c(\hat{\Sigma}'_w)_{(\chi)} = 0$ .

We shall use the definitions and results in 1.6–1.8 relative to  $U, \tilde{U}, V, \tilde{V}$  where  $\tilde{U} = \dot{w}U'\dot{w}^{-1}, \tilde{V} = \dot{w}V'\dot{w}^{-1}$ . The partition 1.8(a) gives rise to a partition  $\hat{\Sigma}'_w = \bigcup_{a,I} \hat{\Sigma}^{a,I}_w$  indexed by  $a \in [0, r-1], I \in \mathcal{X}$  where

$$\hat{\Sigma}_{w}^{a,I} = \{ (x, x', u, u', z, \tau') \in \hat{\Sigma}_{w}'; z \in Z_{r}^{a,*,I} \}.$$

It is easy to see that there is a total order on the set of indices (a, I) such that the union of the  $\hat{\Sigma}_w^{a,I}$  for (a, I) less than or equal to some given  $(a^0, I^0)$  is closed in  $\hat{\Sigma}'_w$ . Since the subsets  $\hat{\Sigma}_w^{a,I}$  are stable under the action of  $\mathcal{T}'^F$ , we see that, in order to prove (c), it is enough to show that

(d) 
$$H_c^j(\hat{\Sigma}_w^{a,l})_{(\chi)} = 0$$

for any fixed a, I as above. We choose  $\alpha' \in I$ . Let  $\alpha = \alpha'^{-1}$ . Then  $G_r^{\alpha} \subset$  $U_r \cap \dot{w} U'_r \dot{w}^{-1}.$ 

For any  $z \in Z_r^{a,*}, \xi \in (G^{\alpha})_r^{r-a-1}$  we have

$$\xi z = z \xi \tau_{\xi,z} \omega_{\xi,z}$$

where  $\tau_{\xi,z} \in \mathcal{T}^{\alpha}, \omega(\xi, z) \in \dot{w}U_r^{\prime r-1}\dot{w}^{-1}$  are uniquely determined. (See 1.7.) More-over, the map  $(G^{\alpha})_r^{r-a-1} \to \mathcal{T}^{\alpha}, \xi \mapsto \tau(\xi, z)$  factors through an isomorphism

$$\lambda_z : (G^{\alpha})_r^{r-a-1} / (G^{\alpha})_r^{r-a} \xrightarrow{\sim} \mathcal{T}^{\alpha}.$$

Let  $\pi: (G^{\alpha})_r^{r-a-1} \to (G^{\alpha})_r^{r-a-1}/(G^{\alpha})_r^{r-a}$  be the canonical homomorphism. We can find a morphism of algebraic varieties

$$\psi: (G^\alpha)_r^{r-a-1}/(G^\alpha)_r^{r-a} \to (G^\alpha)_r^{r-a-1}$$

such that  $\pi \psi = 1$  and  $\psi(1) = 1$ . Let

$$\mathcal{H}' = \{ t' \in \mathcal{T}'; t'^{-1} F(t') \in \dot{w}^{-1} \mathcal{T}^{\alpha} \dot{w} \}.$$

This is a closed subgroup of  $\mathcal{T}'$ . For any  $t' \in \mathcal{H}'$  we define  $f_{t'} : \hat{\Sigma}^{a,I}_w \to \hat{\Sigma}^{a,I}_w$  by

$$f_{t'}(x, x', u, u', z, \tau') = (xF(\xi), \hat{x}', u, F(t')^{-1}u'F(t'), z, \tau'F(t'))$$

where

$$\xi = \psi \lambda_z^{-1} (\dot{w} F(t')^{-1} t' \dot{w}^{-1}) \in (G^{\alpha})_r^{r-a-1} \subset U_r \cap \dot{w} U'_r \dot{w}^{-1}$$

and  $\hat{x}' \in G_r$  is defined by the condition that

$$xF(\xi)F(z)F(\dot{w})F(\tau'F(t')) = uz\dot{w}\tau'F(t')F(t')^{-1}u'F(t')\dot{x}'.$$

In order for this to be well defined we must check that  $\hat{x}' \in F(U'_r)$ . Thus we must show that

$$xF(\xi)F(z)F(\dot{w})F(\tau'F(t')) \in uz\dot{w}\tau'u'F(t')F(U'_r)$$

or that

$$\begin{split} xF(z)F(\xi)F(\tau_{\xi,z})F(\omega_{\xi,z})F(\psi)F(\tau'F(t')) &\in uz\dot{w}\tau'u'F(t')F(U'_{\tau}).\\ \text{Since } xF(z) &= uz\dot{w}\tau'u'x'F(\tau')^{-1}F(\dot{w}^{-1}), \text{ it is enough to show that} \end{split}$$

$$uz\dot{w}\tau'u'x'F(\tau')^{-1}F(\dot{w}^{-1})F(\xi)F(\tau_{\xi,z})F(\omega_{\xi,z})F(\dot{w})F(\tau'F(t'))$$
  
$$\in uz\dot{w}\tau'u'F(t')F(U'_r)$$

or that

$$x'F(\tau')^{-1}F(\dot{w}^{-1})F(\xi)F(\tau_{\xi,z})F(\omega_{\xi,z})F(\dot{w})F(\tau'F(t')) \in F(t')F(U'_r).$$

Since  $x' \in F(U'_r), F(\dot{w}^{-1})F(\omega_{\xi,z})F(\dot{w}) \in F(U'_r)$ , it is enough to check that

$$F(\tau')^{-1}F(\dot{w}^{-1})F(\xi)F(\tau_{\xi,z})F(\dot{w})F(\tau'F(t')) \in F(t')F(U'_r).$$

Since  $F(\dot{w}^{-1})F(\xi)F(\dot{w}) \in F(U'_r)$  it is enough to check that

$$F(\tau')^{-1}F(\dot{w}^{-1})F(\tau_{\xi,z})F(\dot{w})F(\tau'F(t')) \in F(t')F(U'_r)$$

or that

$$F(\dot{w}^{-1})F(\tau_{\xi,z})F(\dot{w})F(F(t')) = F(t')$$

or that  $\dot{w}^{-1}\tau_{\xi,z}\dot{w} = F(t')^{-1}t'$  or that  $\lambda_z(\pi_z(\xi)) = \dot{w}F(t')^{-1}t'\dot{w}^{-1}$ . But this is clear. Thus,  $f_{t'}: \hat{\Sigma}_w^{a,I} \to \hat{\Sigma}_w^{a,I}$  is well defined for  $t' \in \mathcal{H}'$ . It is clearly an isomorphism for any  $t' \in \mathcal{H}'$ . In particular, it is a well-defined isomorphism for any  $t' \in \mathcal{H}'^0$ . By general principles, the induced map  $f_{t'}^*: H_c^j(\hat{\Sigma}_w^{a,I}) \to H_c^j(\hat{\Sigma}_w^{a,I})$  is constant when t' varies in  $\mathcal{H}'^0$ . In particular, it is constant when t' varies in  $\mathcal{T}'^F \cap \mathcal{H}'^0$ . Now

 $\mathcal{T}'^F \subset \mathcal{H}'$  and for  $t' \in \mathcal{T}'^F$ , the map  $f_{t'}$  coincides with the action of t' in the  $\mathcal{T}^{F}$ -action on  $\hat{\Sigma}^{a,I}_{w}$ . (We use that  $\psi(1) = 1$ .) We see that the induced action of  $\mathcal{T}'^F$  on  $H^j_c(\hat{\Sigma}^{a,I}_w)$  is trivial when restricted to  $\mathcal{T}'^F \cap \mathcal{H}'^0$ . We can find  $n \ge 1$  such that  $F^n(\dot{w}^{-1}\mathcal{T}^\alpha \dot{w}) = \dot{w}^{-1}\mathcal{T}^\alpha \dot{w}$ . Then

 $t' \mapsto t'F(t')F^2(t')\dots F^{n-1}(t')$ 

is a well-defined morphism  $\dot{w}^{-1}\mathcal{T}^{\alpha}\dot{w} \to \mathcal{H}'$ . Its image is a connected subgroup of  $\mathcal{H}'$  hence is contained in  $\mathcal{H}'^0$ . If  $t' \in (\dot{w}^{-1}\mathcal{T}^{\alpha}\dot{w})^{F^n}$ , then  $N_F^{F^n}(t') \in \mathcal{T}'^F$ ; thus,  $N_F^{F^n}(t') \in \mathcal{T}'^F \cap \mathcal{H}'^0$ . We see that the action of  $N_F^{F^n}(t') \in \mathcal{T}'^F$  on  $H_c^j(\hat{\Sigma}_w^{a,I})$  is trivial for any  $t' \in (\dot{w}^{-1}\mathcal{T}^{\alpha}\dot{w})^{F^n}$ .

If we assume that  $H^j_c(\hat{\Sigma}^{a,I}_w)_{(\chi)} \neq 0$ , it follows that  $t' \mapsto \chi(N_F^{F^n}(t'))$  is the trivial character of  $(\dot{w}^{-1}\mathcal{T}^{\alpha}\dot{w})^{F^{n}}$ . This contradicts our assumption that  $\chi$  is regular. Thus, (d) holds. Hence (c) holds.

We now prove (b). Let

$$\tilde{H} = \{(t,t') \in T_r \times T'_r; tF(t)^{-1} = F(\dot{w})t'F(t')^{-1}F(\dot{w}^{-1})\}.$$

This is a closed subgroup of  $T_r \times T'_r$  containing  $T_r^F \times T'_r^F$ . Now the action of  $T_r^F \times T_r'^F$  on  $\hat{\Sigma}''_w$  extends to an action of  $\tilde{H}$  given by the same formula. To see this consider  $(t,t') \in \tilde{H}$  and  $(x,x',u,u',1,\tau') \in \hat{\Sigma}''_w$ . We must show that

$$(txt^{-1}, t'x't'^{-1}, tut^{-1}, t'u't'^{-1}, 1, \dot{w}^{-1}t\dot{w}\tau't'^{-1}) \in \hat{\Sigma}''_w,$$

that is,

$$txt^{-1}F(\dot{w})F(\dot{w}^{-1})F(t)F(\dot{w})F(\tau')F(t'^{-1}) = tut^{-1}\dot{w}\dot{w}^{-1}t\dot{w}\tau't'^{-1}t'u't'^{-1}t'x't'^{-1}$$
  
In that

or that

$$xt^{-1}F(t)F(\dot{w})F(\tau')F(t'^{-1}) = u\dot{w}\tau' u'x't'^{-1}$$

or that

$$xt^{-1}F(t)F(\dot{w})F(\tau')F(t'^{-1}) = xF(\dot{w})F(\tau')t'^{-1}$$

or that  $t^{-1}F(t)F(\dot{w})F(t'^{-1}) = F(\dot{w})t'^{-1}$ ; this is clear. Let  $T_*, T'_*$  be the reductive part of  $T_r, T'_r$  (thus  $T_*$  is a torus isomorphic to T). Let  $\tilde{H}_* = \tilde{H} \cap (T_* \times T'_*)$ . Then  $\tilde{H}^0_*$  is a torus acting on  $\hat{\Sigma}''_w$  by restriction of the  $\tilde{H}$ -action. The fixed point set  $(\hat{\Sigma}''_w)^{\tilde{H}^0_*}$  of the  $\tilde{H}^0_*$ -action is stable under the action of  $T^F_r \times T'^F_r$  and by general principles we have

$$\sum_{j \in \mathbf{Z}} (-1)^j \dim H_c^j(\hat{\Sigma}''_w)_{\theta^{-1}, \theta'} = \sum_{j \in \mathbf{Z}} (-1)^j \dim H_c^j((\hat{\Sigma}''_w)^{\tilde{H}^0_*})_{\theta^{-1}, \theta'}$$

It is then enough to show that

(e)  $\sum_{j \in \mathbf{Z}} (-1)^j \dim H^j_c((\hat{\Sigma}''_w)^{\tilde{H}^0_*})_{\theta^{-1},\theta'}$  is equal to 1 if F(w) = w and  $Ad(\dot{w}) : T'_r^F \to T^F_r$  carries  $\theta$  to  $\theta'$  and equals 0, otherwise.

Let  $(x, x', u, u', 1, \tau) \in (\hat{\Sigma}''_w)^{\tilde{H}^0_*}$ . By Lang's theorem the first projection  $\tilde{H}_* \to T_*$  is surjective. It follows that the first projection  $\tilde{H}^0_* \to T_*$  is surjective. Similarly the second projection  $\tilde{H}^0_* \to T'_*$  is surjective. Hence for any  $t \in T_*, t' \in T'_*$  we have

$$txt^{-1} = x, t'x't'^{-1} = x', tut^{-1} = u, t'u't'^{-1} = u'$$

hence x = x' = u = u' = 1. Thus,  $(\hat{\Sigma}''_w)^{\tilde{H}^0_*}$  is contained in

(f)  $\{(1, 1, 1, 1, 1, \tau'); \tau' \in T'_{\tau}, F(\dot{w}\tau') = \dot{w}\tau'\}.$ 

The set (f) is clearly contained in the fixed point set of  $\tilde{H}$ . Note that (f) is empty unless F(w) = w. We can therefore assume that F(w) = w. In this case, (f) is stable under the action of  $\tilde{H}$ . In particular, it is stable under the action of  $\tilde{H}_*^0$ . Since (f) is finite and  $\tilde{H}_*^0$  is connected, we see that  $\tilde{H}_*^0$  must act trivially on (f). Thus, (f) is exactly the fixed point set of  $\tilde{H}_*^0$ . Hence this fixed point can be identified with  $(\tilde{w}T'_r)^F$ . From this (e) follows easily. The lemma is proved.

## 2. The main results

**2.1.** Let G, F be as in 1.2. Let T be an F-stable maximal torus in G and let U be the unipotent radical of a Borel subgroup of G that contains T. (Note that U is not necessarily F-stable.) Let  $r \geq 1$ . Let  $\mathcal{R}(G_r^F)$  be the group of virtual representations of  $G_r^F$  over  $\bar{\mathbf{Q}}_l$ . Let  $\langle,\rangle$  be the standard inner product  $\mathcal{R}(G_r^F) \times \mathcal{R}(G_r^F) \to \mathbf{Z}$ . Let

$$S_{T,U} = \{ g \in G_r; g^{-1}F(g) \in F(U_r) \}.$$

The finite group  $G_r^F \times T_r^F$  acts on  $S_{T,U}$  by  $(g_1,t) : g \mapsto g_1gt^{-1}$ . For any  $i \in \mathbb{Z}$  we have an induced action of  $G_r^F \times T_r^F$  on  $H_c^i(S_{T,U})$ . For  $\theta \in \widehat{T_r^F}$ , we denote by  $H_c^i(S_{T,U})_{\theta}$  the subspace of  $H_c^i(S_{T,U})$  on which  $T_r^F$  acts according to  $\theta$ . This is a  $G_r^F$ -submodule of  $H_c^i(S_{T,U})$ . Let

$$R^{\theta}_{T_r,U_r} = \sum_{i \in \mathbf{Z}} (-1)^i H^i_c(S_{T,U})_{\theta} \in \mathcal{R}(G^F_r).$$

**Proposition 2.2.** Assume that  $r \ge 2$ . Let  $(T', U', \theta')$  be another triple like  $T, U, \theta$ . Let  $T = T_r^{r-1}, T' = T_r'^{r-1}$ .

- (a) Let i, i' be integers. Assume that there exists an irreducible G<sub>r</sub><sup>F</sup>-module that appears in the G<sub>r</sub><sup>F</sup>-module (H<sub>c</sub><sup>i</sup>(S<sub>T,U</sub>)<sub>θ<sup>-1</sup></sub>)\* (dual of H<sub>c</sub><sup>i</sup>(S<sub>T,U</sub>)<sub>θ<sup>-1</sup></sub>) and in the G<sub>r</sub><sup>F</sup>-module H<sub>c</sub><sup>i'</sup>(S<sub>T',U'</sub>)<sub>θ'</sub>. There exists n ≥ 1 and g ∈ N(T',T)<sup>F<sup>n</sup></sup> such that Ad(g) carries θ ∘ N<sub>F</sub><sup>F<sup>n</sup></sup>|<sub>T<sup>F<sup>n</sup></sub></sub> ∈ Î<sup>F<sup>n</sup></sup> to θ' ∘ N<sub>F</sub><sup>F<sup>n</sup></sup>|<sub>T'<sup>F<sup>n</sup></sub></sub> ∈ Î<sup>F<sup>n</sup></sup>.
  (b) Assume that there exists an irreducible G<sub>r</sub><sup>F</sup>-module that appears in the vir</sub></sup></sub></sup>
- (b) Assume that there exists an irreducible  $G_r^F$ -module that appears in the virtual  $G_r^F$ -module  $\sum_i (-1)^i H_c^i(S_{T,U})_{\theta}$  and in the virtual  $G_r^F$ -module  $\sum_i (-1)^i H_c^i(S_{T',U'})_{\theta'}$ . There exists  $n \ge 1$  and  $g \in N(T',T)^{F^n}$  such that  $\operatorname{Ad}(g)$  carries  $\theta \circ N_F^{F^n}|_{\mathcal{T}^{F^n}} \in \widehat{\mathcal{T}^{F^n}}$  to  $\theta' \circ N_F^{F^n}|_{\mathcal{T}'^{F^n}} \in \widehat{\mathcal{T}'^{F^n}}$ .

We prove (a). Consider the free  $G_r^F$ -action on  $S_{T,U} \times S_{T',U'}$  given by  $g_1 : (g,g') \mapsto (g_1g,g_1g')$ . The map

$$(g,g')\mapsto (x,x',y), x=g^{-1}F(g), x'=g'^{-1}F(g'), y=g^{-1}g'$$

defines an isomorphism of  $G_r^F \setminus (S_{T,U} \times S_{T',U'})$  onto  $\Sigma$  (as in 1.2).

The action of  $T_r^F \times T_r'^F$  on  $S_{T,U} \times S_{T',U'}$  given by right multiplication by  $t^{-1}$ on the first factor and by  $t'^{-1}$  on the second factor becomes an action of  $T_r^F \times T_r'^F$ on  $\Sigma$  given by  $(x, x', y) \mapsto (txt^{-1}, t'x't'^{-1}, tyt'^{-1})$ . Our assumption implies that the  $G_r^F$ -module  $H_c^i(S_{T,U})_{\theta^{-1}} \otimes H_c^{i'}(S_{T',U'})_{\theta'}$  contains the unit representation with non-zero multiplicity. Hence the subspace of  $H_c^{i+i'}(G_r^F \setminus (S_{T,U} \times S_{T',U'}))$  on which  $T_r^F \times T_r'^F$  acts according to  $\theta^{-1} \boxtimes \theta'$  is non-zero. Equivalently,  $H_c^{i+i'}(\Sigma)_{\theta^{-1},\theta'} \neq 0$ . We now use Lemma 1.4; (a) follows.

We prove (b). By general principles we have

$$\sum_{i} (-1)^{i} (H_{c}^{i}(S_{T,U})_{\theta^{-1}})^{*} = \sum_{i} (-1)^{i} H_{c}^{i}(S_{T,U})_{\theta^{-1}}$$

Hence the assumption of (b) implies that the assumption of (a) holds. Hence the conclusion of (a) holds. The proposition is proved.

**Proposition 2.3.** We preserve the setup of 2.2. Assume that  $\theta$  or  $\theta'$  is regular (see 1.5). The inner product  $\langle R^{\theta}_{T_r,U_r}, R^{\theta'}_{T'_r,U'_r} \rangle$  is equal to the number of  $w \in W(T,T')^F$  such that  $Ad(\dot{w}): T'_r{}^F \to T^F_r$  carries  $\theta$  to  $\theta'$ .

We may assume that  $\theta'$  is regular. As in the proof of 2.2, we have

$$\langle R^{\theta}_{T_r,U_r}, R^{\theta'}_{T'_r,U'_r} \rangle$$

$$= \sum_{i,i' \in \mathbf{Z}} (-1)^{i+i'} \dim(H^i_c(S_{T,U})_{\theta^{-1}} \otimes H^{i'}_c(S_{T',U'})_{\theta})^{G^F_r}$$

$$= \sum_{j \in \mathbf{Z}} (-1)^j \dim H^j_c(G^F_r \setminus (S_{T,U} \times S_{T',U'}))_{\theta^{-1},\theta'}$$

$$= \sum_{j \in \mathbf{Z}} (-1)^j \dim H^j_c(\Sigma)_{\theta^{-1},\theta'}$$

where  $()^{G_r^F}$  denotes the space of  $G_r^F$ -invariants. It remains to use 1.9.

**Corollary 2.4.** Assume that  $r \geq 2$ . Let T, U be as in 2.1. Assume that  $\theta \in \widehat{T_r^F}$  is regular.

- (a)  $R^{\theta}_{T_r,U_r}$  is independent of the choice of U. (b) Assume also that the stabilizer of  $\theta$  in  $W(T,T)^F$  is {1}. Then  $R^{\theta}_{T_r,U_r}$  is  $\pm$ an irreducible  $G_r^F$ -module.

We prove (a). Let U' be the unipotent radical of another Borel subgroup of Gcontaining T. Let  $R = R_{T_r,U_r}^{\theta}$ ,  $R' = R_{T_r,U_r}^{\theta}$ . By 2.3 we have

$$\langle R, R \rangle = \langle R, R' \rangle = \langle R', R \rangle = \langle R', R' \rangle.$$

Hence  $\langle R - R', R - R' \rangle = 0$ , so that R = R'. This proves (a). In the setup of (b), we see from 2.3 that  $\langle R_{T_r,U_r}^{\theta}, R_{T_r,U_r}^{\theta} \rangle = 1$ . This proves (b).

**2.5.** Assume that  $r \geq 2$ . Let T be as in 2.1. Assume that  $\theta \in \widehat{T_r^F}$  is regular. We set

$$R_{T_r}^{\theta} = R_{T_r, U_r}^{\theta}$$

where U is chosen as in 2.1. (By 2.4(a), this is independent of the choice of  $U_{\cdot}$ )

### 3. An example

I wish to thank A. Stasinski for pointing out an error in an earlier version of this section.

**3.1.** Let  $A = \mathbf{F}[[\epsilon]]/(\epsilon^2)$ . Define  $F : A \to A$  by  $F(a_0 + \epsilon a_1) = a_0^q + \epsilon a_1^q$  where  $a_0, a_1 \in \mathbf{F}$ . Let V be a 2-dimensional **F**-vector space with a fixed  $\mathbf{F}_q$ -rational structure with Frobenius map  $F: V \to V$ . Let G = SL(V). Then G has an  $\mathbf{F}_q$ -rational structure with Frobenius map  $F: G \to G$  such that F(gv) = F(g)F(v)for all  $g \in G, v \in V$ . Let  $V_2 = A \otimes_{\mathbf{F}} V$ . Then  $G_2$  (see 0.2) may be identified with the group of all automorphisms of the free A-module  $V_2$  with determinant 1. We regard V as a subset of  $V_2$  by  $v \mapsto 1 \otimes v$ . Any element of  $V_2$  can be written uniquely in the form  $v_0 + \epsilon v_1$  where  $v_0, v_1 \in V$ . The Frobenius map  $F: V_2 \to V_2$  satisfies  $F(v_0 + \epsilon v_1) = F(v_0) + \epsilon F(v_1)$  for  $v_0, v_1 \in V$ .

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Let  $\widehat{G_2^F}$  be the set of isomorphism classes of irreducible representations of  $G_2^F$ over  $\overline{\mathbf{Q}}_l$ . The objects of  $\widehat{G_2^F}$  can be classified by Mackey's method using the fact that  $G_2^F$  is a semidirect product of  $G^F$  and  $\mathbf{F}_q^3$ .

The table below shows the number of representations in  $\widehat{G_2^F}$  of various dimensions assuming that q is odd; the first column indicates the dimension, the second column indicates the number of representations of that dimension. (See also [S] for the closely related case of  $PGL_2$ .)

$$\begin{array}{cccc} \dim & & \sharp \\ 1 & 1 \\ q & 1 \\ q+1 & (q-3)/2 \\ (q+1)/2 & 2 \\ q-1 & (q-1)/2 \\ (q-1)/2 & 2 \\ q^2+q & (q-1)^2/2 \\ q^2-q & (q^2-1)/2 \\ (q^2-1)/2 & 4q \end{array}$$

The analogous table in the case where q is a power of 2 is

$$\begin{array}{ccccc} \dim & & & \sharp \\ 1 & & 1 \\ q & 1 \\ q + 1 & (q-2)/2 \\ q^2 + q & (q-1)(q-2)/2 \\ (q^2 + q)/2 & 2(q-1) \\ q^2 - q & (q^2 - q)/2 \\ (q^2 - q)/2 & 2(q-1) \\ q^2 - 1 & q \end{array}$$

**3.2.** Let  $\mathcal{B}$  be the set of all A-submodules  $L \subset V_2$  such that L is a direct summand of  $V_2$  and L is free of rank 1. Now  $G_2$  acts transitively on  $\mathcal{B}$ . If  $L \in \mathcal{B}$ , then  $F(L) \in \mathcal{B}$ . Thus we obtain a map  $F : \mathcal{B} \to \mathcal{B}$ , the Frobenius map of a  $\mathbf{F}_q$ -rational structure on  $\mathcal{B}$ . Let

$$X = \{ L \in \mathcal{B}; L \cap F(L) = 0 \}.$$

Then X is a  $G_2^F$ -stable subvariety of  $\mathcal{B}$ . We now define a finite covering of X as follows. Let e, e' be an **F**-basis of V such that F(e) = e, F(e') = e'. Let  $\underline{T}$  be the subgroup of G consisting of the automorphisms  $e \mapsto ae, e' \mapsto a^{-1}e'$  with  $a \in \mathbf{F}^*$ . (An F-stable maximal torus of G.) Let  $\underline{U}$  be the subgroup of G consisting of the automorphisms

$$e \mapsto e + be', e' \mapsto e' \quad \text{with } b \in \mathbf{F}^*.$$

Let  $\nu \in G$  be such that  $\nu(e) = e', \nu(e') = -e$ . Let

$$\tilde{X} = \{g \in G_2; g^{-1}F(g) \in \nu \underline{U}_2\}.$$

(We use the action of  $\underline{U}_2$  on  $G_2$  by right translation.) Then  $g \mapsto Age'$  is a well defined morphism  $\tilde{X} \to X$ . This is a finite principal covering with group  $\Gamma$  (acting by right translation) where

$$\Gamma = \{t \in \underline{T}_2; F(t) = t^{-1}\} \quad (\text{of order } q^2 + q).$$

For any variety Y with an action of a finite (abelian) group and any character  $\omega$  of that finite group, let  $H_c^j(Y)_{\omega}$  denote the subspace of  $H_c^j(Y)$  on which the finite group acts according to  $\omega$ . Thus, for  $\omega \in \hat{\Gamma}$ ,  $H_c^j(\tilde{X})_{\omega}$  is well defined.

**3.3.** Let

$$\mathfrak{S}_0 = \{ x_0 \in V; x_0 \land F(x_0) = e \land e' \}, \quad \mathfrak{S}_{00} = \{ x_0 \in \mathfrak{S}_0; F^2(x_0) = -x_0 \}.$$

Now  $G^F$  acts on  $\mathfrak{S}_0$  (restriction of the *G*-action on *V*). This restricts to a  $G^F$ action on  $\mathfrak{S}_{00}$ . We show that this action is simply transitive. If  $g \in G^F$  keeps fixed some  $x_0 \in \mathfrak{S}_{00}$ , then it also keeps fixed  $F(x_0)$  hence it must be 1 (recall that  $x_0, F(x_0)$  form a basis of *V*). Thus the  $G^F$ -action on  $\mathfrak{S}_{00}$  has trivial isotropy. We may identify  $\mathfrak{S}_{00}$  with  $\{(a,b) \in \mathbf{F}^2; ab^q - a^q b = 1, a^{q^2} = -a, b^{q^2} = -b\}$ . For such (a,b) we have automatically  $a \neq 0$ . We make a change of variable  $(a,b) \mapsto (a,c)$ where c = b/a. Then  $\mathfrak{S}_{00}$  becomes

$$\{(a,c)\in \mathbf{F}^2; a^{q+1}(c^q-c)=1, a^{q^2}=-a, c^{q^2}=c\}.$$

The second projection maps this to  $\{c \in \mathbf{F}; c^{q^2} = c, c^q \neq c\}$  which has  $q^2 - q$  elements. The fibre at c is  $\{a \in \mathbf{F}; a^{q+1} = (c^q - c)^{-1}\}$ . (For such a we have automatically  $a^{q^2} = -a$  since  $c^{q^2} = c$ .) This fibre has exactly q + 1 elements since  $(c^q - c)^{-1} \neq 0$ . We see that  $\sharp(\mathfrak{S}_{00}) = (q+1)(q^2 - q) = \sharp(G^F)$ . It follows that the  $G^F$ -action on  $\mathfrak{S}_{00}$  is indeed simply transitive.

**3.4.** We now analyze X. Let

$$\mathfrak{S} = \{ x \in V_2; x \land F(x) = e \land e' \}$$

Now  $G_2^F$  acts on  $\mathfrak{S}$  by  $g_1: x \mapsto g_1 x$ . The map  $g \mapsto g(e')$  defines an isomorphism

$$\iota: \tilde{X} \xrightarrow{\sim} \mathfrak{S}$$

We check that this is a well-defined bijection. Let  $g \in \tilde{X}$ . Then  $F(g) = g\nu u$  for some  $u \in \underline{U}_2$ . Let x = ge'. Then for some  $u \in \underline{U}_2$  we have

$$\begin{aligned} x \wedge F(x) &= (ge') \wedge F(ge') = (ge') \wedge F(g)e' = e' \wedge g^{-1}F(g)e' = e' \wedge \nu ue' \\ &= e' \wedge \nu e' = e' \wedge (-e) = e \wedge e', \end{aligned}$$

hence  $x \in \mathfrak{S}$  and  $\iota$  is well defined. Now let  $x \in \mathfrak{S}$ . We can find  $g \in G_2$  such that ge' = x. Then

$$e \wedge e' = x \wedge F(x) = (ge') \wedge F(ge') = (ge') \wedge F(g)e' = e' \wedge g^{-1}F(g)e'.$$

Hence  $g^{-1}F(g)e' = -e + be'$  for some  $b \in A$ . It follows that  $g^{-1}F(g) = u'\nu u$  where  $u, u' \in \underline{U}_2$ . Then  $(gu')^{-1}F(gu') = \nu uF(u')$  hence  $gu' \in \tilde{X}$ . Clearly,  $\iota(gu') = x$  so that  $\iota$  is surjective. Now assume that  $g, g' \in \tilde{X}$  satisfy  $\iota(g) = \iota(g')$ , that is, ge' = g'e'. Then  $g' = gu', u' \in \underline{U}_2$ . We have  $g'^{-1}F(g') = \nu u$  with  $u \in \underline{U}_2$ , hence  $u'^{-1}g^{-1}F(g)F(u') = \nu u$ . Also,  $g^{-1}F(g) = \nu \tilde{u}$  with  $\tilde{u} \in \underline{U}_2$ , hence  $u'^{-1}\nu \tilde{u}F(u') = \nu u$  so that  $u' \in \nu \underline{U}_2 \nu^{-1}$ . Thus,  $u' \in \underline{U}_2 \cap (\nu \underline{U}_2 \nu^{-1}) = \{1\}$ , hence u' = 1 and g' = g. Thus,  $\iota$  is injective hence bijective. It commutes with the  $G_2^F$ -actions.

Now  $\mathfrak{S}$  consists of the elements  $x_0 + \epsilon x_1$ , with  $x_0, x_1 \in V$  such that

$$(x_0 + \epsilon x_1) \wedge (F(x_0) + \epsilon F(x_1)) = e \wedge e',$$

that is,

$$x_0 \wedge F(x_0) = e \wedge e'$$
 and  $x_1 \wedge F(x_0) + x_0 \wedge F(x_1) = 0.$ 

We have a morphism

$$\kappa: \mathfrak{S} \to \mathfrak{S}_0, x_0 + \epsilon x_1 \mapsto x_0.$$

If  $x_0 \in \mathfrak{S}_0$ , then  $\kappa^{-1}(x_0)$  may be identified with

$$\{x_1 \in V; x_1 \wedge F(x_0) + x_0 \wedge F(x_1) = 0\}.$$

Note that  $x_0$ ,  $F(x_0)$  form a basis of V hence  $F^2(x_0) = c_0x_0 + c_1F(x_0)$  with  $c_0, c_1 \in \mathbf{F}$ . Since  $x_0 \wedge F(x_0) = e \wedge e'$  is F-stable, we have  $x_0 \wedge F(x_0) = F(x_0) \wedge F^2(x_0)$  hence  $c_0 = -1$ . Let  $\mathfrak{S}_{01} = \mathfrak{S}_0 - \mathfrak{S}_{00}$ . We have a partition  $\mathfrak{S} = \mathfrak{S}_* \cup \mathfrak{S}_{**}$  where  $\mathfrak{S}_* = \kappa^{-1}(\mathfrak{S}_{00}), \mathfrak{S}_{**} = \kappa^{-1}(\mathfrak{S}_{01})$  are  $G_2^F$ -stable. If  $x_0 \in \mathfrak{S}_0$ , then any  $x_1 \in V$  can be written uniquely in the form

$$x_1 = a_0 x_0 + a_1 F(x_0)$$

with  $a_0, a_1 \in \mathbf{F}$ . The condition that  $x_0 + \epsilon x_1 \in \kappa^{-1}(x_0)$  is

$$(a_0x_0 + a_1F(x_0)) \wedge F(x_0) + x_0 \wedge (a_0^qF(x_0) + a_1^qF^2(x_0)) = 0,$$

that is,

$$a_0 x_0 \wedge F(x_0) + x_0 \wedge (a_0^q F(x_0) - a_1^q x_0 + a_1^q c_1 F(x_0)) = 0$$

that is,

$$a_0x_0 \wedge F(x_0) + a_0^q x_0 \wedge F(x_0) + a_1^q c_1 x_0 \wedge F(x_0) = 0,$$

or

$$a_0 + a_0^q + a_1^q c_1 = 0.$$

Thus we may identify  $\kappa^{-1}(x_0)$  with  $\{(a_0, a_1) \in \mathbf{F}^2; a_0 + a_0^q + a_1^q c_1 = 0\}$ . If  $c_1 \neq 0$  (that is, if  $x_0 \in \mathfrak{S}_{01}$ ), this is isomorphic to the affine line. Thus,  $\kappa$  restricts to an affine line bundle  $\mathfrak{S}_{**} \to \mathfrak{S}_{01}$ .

Now the action of  $\Gamma$  on X corresponds under  $\iota$  to the action of  $\{\lambda \in A; \lambda F(\lambda) = 1\}$  on  $\mathfrak{S}$  by scalar multiplication. Hence the action of  $\{t \in \Gamma; t \in T_2^1\}$  on  $\tilde{X}$  corresponds to the action of  $A' = \{\lambda \in A; \lambda F(\lambda) = 1, \lambda \in 1 + \epsilon A\}$  on  $\mathfrak{S}$  by scalar multiplication. The action of  $1 + \epsilon \lambda_1 \in A'$  (with  $\lambda_1 \in \mathbf{F}$ ) in the coordinates  $(x_0, a_0, a_1)$  is  $(x_0, a_0, a_1) \mapsto (x_0, a_0 + \lambda_1, a_1)$ . Thus it preserves each fibre of  $\kappa$ .

Now  $\mathfrak{S}_{**}$  is stable under the action of  $\{\lambda \in A; \lambda F(\lambda) = 1\}$  and the restriction of this action to A' preserves each fibre of  $\mathfrak{S}_{**} \to \mathfrak{S}_{01}$  (an affine line); hence this group acts trivially on  $H^j_c()$  of each such fibre, hence it also acts trivially on  $H^j_c(\mathfrak{S}_{**})$ . Thus,  $H^j_c(\mathfrak{S}) \to H^j_c(\mathfrak{S}_*)$  is an isomorphism on the part where  $\sum_{\lambda \in A'} \lambda$  acts as 0.

Thus,  $H_c^j(\mathfrak{S}) \to H_c^j(\mathfrak{S}_*)$  is an isomorphism on the part where  $\sum_{\lambda \in A'} \lambda$  acts as 0. We now study  $H_c^j(\mathfrak{S}_*)$ . If  $x_0 \in \mathfrak{S}_{00}$ , then  $\kappa^{-1}(x_0)$  may be identified with  $\{(a_0, a_1) \in \mathbf{F}^2; a_0 + a_0^q = 0\}$ . Thus,  $\mathfrak{S}_*$  is an affine line bundle over

$$\mathfrak{S}_{00} \times \{a_0 \in \mathbf{F}; a_0 + a_0^q = 0\}$$

which is a transitive permutation representation of  $G_2^F$  that is explicitly known from 3.3. It follows that  $H_c^j(\mathfrak{S}_*) = 0$  for  $j \neq 2$  and the part of  $H_c^2(\mathfrak{S}_*)$  where  $\sum_{\lambda \in A'} \lambda$  acts as 0 is the direct sum of the irreducible representations of degree  $q^2 - q$  (each one with multiplicity 2) and of degree  $(q^2 - q)/2$  (each one with multiplicity 1); note that the latter representations occur only when q is a power of 2.

We now study the part of  $H_c^j(\mathfrak{S})$  where A' acts as 1. This is the same as  $H_c^j(A' \setminus \mathfrak{S})$ . The map  $(x_0, a_0, a_1) \mapsto (x_0, \tilde{a}_0, a_1), \tilde{a}_0 = a_0 + a_0^q$  is an isomorphism of  $A' \setminus \mathfrak{S}$  with the set of all  $(x_0, \tilde{a}_0, a_1) \in \mathfrak{S}_0 \times \mathbf{F} \times \mathbf{F}$  such that  $\tilde{a}_0 + a_1^q c_1 = 0$ . (Here  $c_1$  is determined by  $x_0$  as above.) Hence the map  $(x_0, a_0, a_1) \mapsto (x_0, a_1)$  is an isomorphism  $A' \setminus \mathfrak{S} \xrightarrow{\sim} \mathfrak{S}_0 \times \mathbf{F}$ . Thus,  $H_c^j(A' \setminus \mathfrak{S}) = H_c^{j-2}(\mathfrak{S}_0)$ . Thus,  $G_2^F$  acts on  $H_c^j(A' \setminus \mathfrak{S})$  through its quotient  $G^F$  and that action is explicitly known from the representation theory of  $G^F$ .

We see that  $H_c^4(\tilde{X})$  is the 1-dimensional representation;  $H_c^3(\tilde{X})$  is the direct sum of all irreducible representations of degree q-1 (each one with multiplicity 2) and those of degree (q-1)/2, q (each one with multiplicity 1);  $H_c^2(\tilde{X})$  is the direct sum of all irreducible representations of degree  $q^2 - q$  (each one with multiplicity 2) and of degree  $(q^2 - q)/2$  (each one with multiplicity 1);  $H_c^j(\tilde{X}) = 0$  for  $j \notin \{2,3,4\}$ ; note that the representations of degree (q-1)/2 occur only for q odd, while those of degree  $(q^2 - q)/2$  occur only for q a power of 2.

More precisely, if  $\omega \in \hat{\Gamma}$  and q is odd, then:

 $H_c^4(\tilde{X})_{\omega}$  is irreducible of degree 1 if  $\omega = 1$  and is 0 otherwise;

 $H_c^3(\tilde{X})_{\omega}$  is irreducible of degree q-1 if  $\omega|_{\Gamma\cap T_2^1} = 1$ ,  $\omega^2 \neq 1$ ; it is the direct sum of two irreducible representations of degree (q-1)/2 if  $\omega|_{\Gamma\cap T_2^1} = 1$ ,  $\omega^2 = 1, \omega \neq 1$ ; it is irreducible of degree q if  $\omega = 1$ ; it is 0 if  $\omega|_{\Gamma\cap T_2^1} \neq 1$ ;  $H_c^2(\tilde{X})_{\omega}$  is irreducible of degree  $q^2 - q$  if  $\omega|_{\Gamma\cap T_2^1} \neq 1$  and is 0 otherwise.

Similarly, if  $\omega \in \hat{\Gamma}$  and q is a power of 2, then:

 $H_c^4(\tilde{X})_{\omega}$  is irreducible of degree 1 if  $\omega = 1$  and is 0 otherwise;

 $H_c^3(\tilde{X})_{\omega}$  is irreducible of degree q-1 if  $\omega|_{\Gamma \cap T_2^1} = 1$ ,  $\omega \neq 1$ ; it is irreducible of degree q if  $\omega = 1$ ; it is 0 if  $\omega|_{\Gamma \cap T_2^1} \neq 1$ ;

 $H_c^2(\tilde{X})_{\omega}$  is irreducible of degree  $q^2 - q$  if  $\omega|_{\Gamma \cap T_2^1} \neq 1$ ,  $\omega^2 \neq 1$ ; it is the direct sum of two irreducible representations of degree  $(q^2 - q)/2$  if  $\omega^2 = 1$ ,  $\omega \neq 1$ ; it is 0 otherwise.

**3.5.** Let  $\gamma \in G$  be such that  $\gamma^{-1}F(\gamma) = \nu$ . We set  $T = \gamma \underline{T} \gamma^{-1}$ ,  $U = \gamma \underline{U} \gamma^{-1}$ . Then T is an F-stable maximal torus of G and U is the unipotent radical of a Borel subgroup of G containing T. Hence  $S_{T,U}$  is defined (with r = 2). Now  $g \mapsto g\gamma^{-1}$  defines an isomorphism

$$\tilde{X} \xrightarrow{\sim} S_{T,U}$$

and an isomorphism

$$\Gamma \xrightarrow{\sim} T_2^F.$$

Also  $G_2^F \times \Gamma$  acts on  $\tilde{X}$  by  $(g_1, t) : g \mapsto g_1 g t^{-1}$ . This action is compatible with the  $G_2^F \times T_2^F$ -action on  $S_{T,U}$  via the isomorphisms above. We see that the virtual representations  $\sum_{j \in \mathbf{Z}} (-1)^j H_c^j(\tilde{X})_\omega$  of  $G_2^F$  are the same as the virtual representations  $R_{T,U}^{\theta}$ .

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