A DIAGRAMMATIC APPROACH TO CATEGORIFICATION OF QUANTUM GROUPS I

MIKHAIL KHOVANOV AND AARON D. LAUDA

ABSTRACT. To each graph without loops and multiple edges we assign a family of rings. Categories of projective modules over these rings categorify $U_q^-(\mathfrak{g})$, where \mathfrak{g} is the Kac-Moody Lie algebra associated with the graph.

1. INTRODUCTION

The goal of this paper is to categorify $U^- = U_q^-(\mathfrak{g})$, for an arbitrary simplylaced Kac-Moody algebra \mathfrak{g} . Here U^- stands for the quantum deformation of the universal enveloping algebra of the "lower-triangular" subalgebra of \mathfrak{g} .

Following the discovery of quantum groups $U_q(\mathfrak{g})$ by Drinfeld [12] and Jimbo [18], Ringel [41] found a Hall algebra interpretation of the negative half U^- of the quantum group in the simply-laced Dynkin case. Lusztig [31], [32], [33] gave a geometric interpretation of U^- and produced a canonical basis there via a sophisticated approach which required the full strength of the theory of *l*-adic perverse sheaves. Kashiwara [19] defined a crystal basis of U^- at 0, a graph equipped with extra data, and in [20] constructed the so-called global crystal basis of U^- . Grojnowski and Lusztig [16] proved that the global crystal basis and the canonical basis are the same. The canonical basis \mathbf{B} of U^- gives rise to bases in all irreducible integrable U-representations. Lusztig [34] also produced an idempotent version $\dot{\mathbf{U}}$ of U and defined a basis there.

The work of Ariki [1] can be viewed as a categorification of the restricted dual of $U^{-}(\mathfrak{g})$ for $\mathfrak{g} = \mathfrak{sl}_N$ and $\mathfrak{g} = \widehat{\mathfrak{sl}}_N$ and a categorification of all irreducible integrable representations of these Lie algebras (see also [27], [2], [3], [37]). An integral version of the restricted dual of $U^{-}(\mathfrak{g})$ becomes the sum of Grothendieck groups of suitable blocks of affine Hecke algebra representations. An earlier work of Zelevinsky [47] can be understood in this context as a parametrization of basis elements of $U^{-}(\mathfrak{g})^*$ via certain irreducible representations of affine Hecke algebras. Irreducible integrable representations of $U(\mathfrak{g})$ become Grothendieck groups of Ariki-Koike cyclotomic Hecke algebras, which are certain finite-dimensional quotient algebras of affine Hecke algebras.

Grojnowski [14] found a purely algebraic way to understand these categorifications via a generalization of Kleshchev's methods for studying modular representations of the symmetric group [22], [23], [24]. This approach was further developed by Grojnowski and Vazirani [17], Vazirani [45], [46], Brundan and Kleshchev [8]

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and others. It is explained by Kleshchev in [25] in the context of degenerate affine Hecke algebras.

In this paper we introduce graded algebras categorifying $U_q^-(\mathfrak{g})$, for an arbitrary simply-laced \mathfrak{g} . We start with an unoriented graph Γ without loops and multiple edges. Let I be the set of vertices of Γ . The bilinear Cartan form on $\mathbb{N}[I]$ is given on the basis elements $i, j \in I$ by

$$i \cdot j = \begin{cases} 2 & \text{if } i = j, \\ -1 & \text{if } i \text{ and } j \text{ are joined by an edge,} \\ 0 & \text{otherwise.} \end{cases}$$

The algebra U^- over $\mathbb{Q}(q)$, the negative (or positive) half of the quantum universal enveloping algebra, has generators θ_i , $i \in I$, and defining relations

$$\theta_i \theta_j = \theta_j \theta_i \quad \text{if} \quad i \cdot j = 0,$$

$$(q + q^{-1}) \theta_i \theta_j \theta_i = \theta_i^2 \theta_j + \theta_j \theta_i^2 \quad \text{if} \quad i \cdot j = -1.$$

The algebra U^- contains a subring ${}_{\mathcal{A}}\mathbf{f}$, which is the $\mathbb{Z}[q, q^{-1}]$ -lattice generated by all products of quantum divided powers $\theta_i^{(a)}$. The canonical basis **B** is a basis of ${}_{\mathcal{A}}\mathbf{f}$ viewed as a free $\mathbb{Z}[q, q^{-1}]$ -module.

In Section 2 of this paper to each graph Γ as above we assign a family of graded rings $R(\nu)$, over $\nu \in \mathbb{N}[I]$. The rings are defined geometrically, via braid-like plane diagrams which consist of interacting strings labelled by vertices of the graph. We prove basic results about these rings, then switch from the ground ring \mathbb{Z} to a field k to simplify the study of $R(\nu)$ -modules. We show that the representation theory of $R(\nu)$ categorifies the integral form ${}_{\mathcal{A}}\mathbf{f}$ of U^- . We consider the category $R(\nu)$ -pmod of finitely-generated graded left projective $R(\nu)$ -modules and its Grothendieck group $K_0(R(\nu))$. Let $R = \bigoplus_{\nu} R(\nu)$ and define

$$K_0(R) = \bigoplus_{\nu \in \mathbb{N}[I]} K_0(R(\nu)).$$

Induction and restriction functors coming from the inclusions $R(\nu) \otimes R(\nu') \subset R(\nu + \nu')$ give rise to the multiplication and comultiplication homomorphisms

$$K_0(R) \otimes K_0(R) \longrightarrow K_0(R), \quad K_0(R) \longrightarrow K_0(R) \otimes K_0(R),$$

which satisfy the same properties as those for ${}_{\mathcal{A}}\mathbf{f}$. We define a homomorphism of $\mathbb{Z}[q, q^{-1}]$ -algebras $\gamma : {}_{\mathcal{A}}\mathbf{f} \longrightarrow K_0(R)$ that also respects comultpiplication and takes a divided powers product element $\theta = \theta_{i_1}^{(a_1)} \dots \theta_{i_r}^{(a_r)}$ to the image of a certain projective module P_{θ} in the Grothendieck group.

The quantum Gabber-Kac theorem implies that γ is injective. By mirroring for the case of rings $R(\nu)$ the methods of Kleshchev, Grojnowski, and Vazirani, who studied socles of induction and restriction applied to irreducible representations, we show that the homomorphism γ is surjective for any graph Γ and any field k. The main result of the paper is the following theorem.

Theorem 1.1. $\gamma : {}_{\mathcal{A}}\mathbf{f} \longrightarrow K_0(R)$ is an isomorphism of $\mathbb{N}[I]$ -graded twisted bialgebras.

The term "twisted bialgebras" is used above, since the comultiplication in ${}_{\mathcal{A}}\mathbf{f}$ and $K_0(R)$ becomes an algebra homomorphism only after the multiplication in the tensor squares ${}_{\mathcal{A}}\mathbf{f}^{\otimes 2}$ and $K_0(R)^{\otimes 2}$ is twisted by powers of q.

We conjecture that, when Γ is a tree and $\mathbb{k} = \mathbb{C}$, the isomorphism γ takes canonical basis elements to the images of indecomposable projective modules in $K_0(R)$. When the graph is a single vertex, this conjecture is almost trivial. We verify the conjecture in a simple case of the graph Γ with two vertices and one edge, with all canonical basis elements being monomials.

The rings $R(\nu)$ should be linked to Lusztig's geometric realization of ${}_{\mathcal{A}}\mathbf{f}$:

Conjecture 1.2. If $\mathbb{k} = \mathbb{C}$ and graph Γ is a tree, the algebra $R(\nu)$ is Morita equivalent to the algebra of equivariant ext groups $\operatorname{Ext}_{G_{\nu}}(L, L)$, where $G_{\nu} = \prod_{i \in I} GL(\nu_i)$ and L is the sum of simple perverse sheaves L_b , over all $b \in \mathbf{B}_{\nu}$, in Lusztig's geometric realization of $_{\mathcal{A}}\mathbf{f}$.

This conjecture should follow from an isomorphism between $R(\nu)$ and a suitable convolution algebra. When Γ contains cycles, it is possible to modify $R(\nu)$ by introducing "monodromies" around the cycles, and the conjecture is likely to hold for a modified version of $R(\nu)$.

Our results hint at the relation between representation theory of affine Hecke algebras for GL(n) when q is not a root of unity and representations of $R(\nu)$ when the graph Γ is a chain. We conjecture that completions of affine Hecke algebras along suitable maximal central ideals are Morita equivalent (or even isomorphic) to completions of $R(\nu)$ along the grading. The above conjectures, if true, would link Lusztig's geometrization of U^- with Ariki's categorification of U^- and its restricted dual for q = 1 and $\Gamma = A_n$.

We arrived at the definition of rings $R(\nu)$ from computations involving homomorphisms of bimodules over cohomology rings of partial flag varieties. The bimodules themselves are the cohomology groups of partial and iterated flag varieties that give correspondences for the action of generators e_i and f_i of quantum \mathfrak{sl}_N in the Beilinson-Lusztig-MacPherson geometric model [4] of quantum \mathfrak{sl}_N . This model was given a categorical interpretation by Grojnowski and Lusztig [15] and later reinterpreted, for N = 2, via translation and Zuckerman functors in [6], with various generalizations constructed in [13], [44], [48], and in a very recent striking work [49].

In Section 3.4 we define certain quotient algebras of $R(\nu)$ and conjecture that their categories of modules categorify irreducible integrable representations of $U_q(\mathfrak{g})$. A straightforward generalization of our constructions and results from algebras $R(\nu)$ and their quotient algebras in the simply-laced case to that of an arbitrary symmetrizable Kac-Moody algebra \mathfrak{g} will be presented in a follow-up paper.

In the paper [9] Chuang and Rouquier defined sl(2)-categorifications, substantiated them with many diverse examples, and applied them to the modular representation theory. Partially inspired by [9] and [10], the second author suggested and investigated a categorification of Lusztig's idempotent completion \dot{U} of quantum sl(2) in [28], [29]. A definition of a categorification of $\dot{U}_q(\mathfrak{g})$ for any simply-laced \mathfrak{g} can be obtained by combining the diagrammatic relations of $R(\nu)$ with those of \dot{U} -categorification in [28]. In his recent talk, R. Rouquier [42] defined sl(N) and affine sl(N)-categorifications and outlined a conjectural program that aims to vastly generalize his prior work with J. Chuang [9] on sl(2)-categorifications. We expect Rouquier's and our approaches to be closely related. R. Rouquier informed us that a signed version of rings $R(\nu)$ appears in his categorification [43] of $U(\mathfrak{g})$ for a simply-laced \mathfrak{g} .

2. Rings $R(\nu)$ and their properties

2.1. **Definitions.** We fix a graph Γ , not necessarily finite, with a set of vertices I and unoriented edges E_{Γ} . We require that Γ has no loops and multiple edges. By $\mathbb{N}[I]$ we denote the commutative semigroup freely generated by vertices of Γ and for $\nu \in \mathbb{N}[I]$ we write

(2.1)
$$\nu = \sum_{i \in I} \nu_i \cdot i , \quad \nu_i \in \mathbb{N}, \quad \mathbb{N} = \{0, 1, 2, \dots\}.$$

Let $|\nu| = \sum \nu_i \in \mathbb{N}$, and $\operatorname{Supp}(\nu) = \{i \mid \nu_i \neq 0\}$. We define a bilinear form on $\mathbb{Z}[I]$ by $i \cdot i = 2$, $i \cdot j = -1$ if i and j are connected by an edge, and $i \cdot j = 0$ otherwise. In the basis $\{i\}_{i \in I}$ of vertices the bilinear form is given by the Cartan matrix of Γ .

To Γ we associate a diagrammatic calculus of planar diagrams. We consider collections of arcs on the plane connecting m points on one horizontal line with mpoints on another horizontal line. The position of m points on the line is fixed once and for all (for instance, we could take points $\{1, 2, \ldots, m\} \in \mathbb{R}$). Arcs have no critical points when projected to the *y*-axis of the plane (a condition reminiscent of braids). Each arc is labelled by a vertex of Γ . Arcs can intersect, but no triple intersections are allowed. An arc can carry dots. An example of such a diagram is



where i, j, k are vertices of Γ and the label of an arc is written at the bottom of the arc. We allow isotopies that do not change the combinatorial type of the diagram and do not create critical points for the projection onto the z-axis:



We proceed by allowing finite linear combinations of these diagrams with integral coefficients, modulo the following local relations:



Fix $\nu \in \mathbb{N}[I]$. Let $\operatorname{Seq}(\nu)$ be the set of all sequences of vertices $i = i_1 \dots i_m$ where $i_k \in I$ for each k and vertex i appears ν_i times in the sequence. The length m of the sequence is equal to $|\nu|$ and the cardinality of $\operatorname{Seq}(\nu)$ is equal to $\binom{\nu}{\nu_i,\nu_j,\dots}$, taken over all $i \in I$. For instance,

$$\operatorname{Seq}(2i+j) = \{iij, iji, jii\}.$$

Each diagram D as described above determines the two sequences bot(D) and top(D) in $Seq(\nu)$ for some ν . The sequence bot(D) is given by reading the labels of arcs of D at the bottom position from left to right. We define top(D) likewise. For instance, for the diagram in (2.2), bot(D) = ijik and top(D) = jiki. We often abbreviate sequences with many equal consecutive terms, and write $i_1^{n_1} \dots i_r^{n_r}$ for $i_1 \dots i_1 i_2 \dots i_2 \dots i_r \dots i_r$, where $n_1 + \dots + n_r = m$.

Define the ring $R(\nu)$ as follows:

(2.9)
$$R(\nu) = \bigoplus_{i,j \in \text{Seq}(\nu)} {}_{j}R(\nu)_{i}$$

as an abelian group, where ${}_{j}R(\nu)_{i}$ is the abelian group of all linear combinations of diagrams with bot(D) = i and top(D) = j modulo the relations (2.3)–(2.7). The product in $R(\nu)$ is given by concatenation (see the left diagram in (2.11) below),

(2.10)
$${}_{k}R(\nu)_{j} \otimes_{j}R(\nu)_{i} \to {}_{k}R(\nu)_{i},$$

and xy = 0 for $x \in {}_{l}R(\nu)_{k}$ and $y \in {}_{j}R(\nu)_{i}$ if $k \neq j$.



By construction, $R(\nu)$ is an associative ring. For each $i \in \text{Seq}(\nu)$ the diagram $1_i \in {}_iR(\nu)_i$ shown on the right of (2.11) is an idempotent, $1_i^2 = 1_i$, $x1_i = x$ for all $x \in {}_jR(\nu)_i$ and $1_ix = x$ for all $x \in {}_iR(\nu)_j$, for all j. Furthermore, $1 = \sum_{i \in \text{Seq}(\nu)} 1_i$ is the unit element of $R(\nu)$. We turn $R(\nu)$ into a graded ring by declaring degrees of the generators to be

(2.12)
$$\deg\left(\begin{array}{c} i\\ i\end{array}\right) = 2, \quad \deg\left(\begin{array}{c} i\\ i\end{array}\right) = -i \cdot j.$$

Let

(2.13)
$$P_{i} = \bigoplus_{j \in \operatorname{Seq}(\nu)} {}_{j}R(\nu)_{i}, \quad {}_{j}P = \bigoplus_{i \in \operatorname{Seq}(\nu)} {}_{j}R(\nu)_{i}.$$

 P_i is a left graded projective $R(\nu)\text{-module}$ and $_jP$ is a right graded projective $R(\nu)\text{-module}.$

Flipping a diagram on a horizontal axis induces a grading-preserving anti-involution ψ of $R(\nu)$ which takes ${}_{j}R(\nu)_{i}$ to ${}_{i}R(\nu)_{j}$ and 1_{i} to 1_{i} . Flipping a diagram on a vertical axis and simultaneously taking



(in other words, multiplying the diagram by $(-1)^s$ where s is the number of times equally labelled strands intersect) is an involution σ of $R(\nu)$ which commutes with ψ .

Sometimes it is convenient to convert from graphical to algebraic notation. For a sequence $\mathbf{i} = i_1 i_2 \dots i_m \in \text{Seq}(\nu)$ and $1 \leq k \leq m$ we denote

$$(2.14) x_{k,i} := \left| \begin{array}{c} \dots \\ i_1 \end{array} \right|_{i_k} \dots \\ i_k \end{array} \right|_{i_k}$$

with the dot positioned on the k-th strand counting from the left, and

(2.15)
$$\delta_{k,i} := \left| \begin{array}{c} \dots \\ i_1 \\ \dots \\ i_k \\ i_{k+1} \\ \dots \\ i_n \end{array} \right|_{i_k}$$

The symmetric group S_m acts on $\text{Seq}(\nu)$, $m = |\nu|$ by permutations. Transposition $s_k = (k, k+1)$ switches entries i_k, i_{k+1} of i. Thus, $\delta_{k,i} \in {}_{s_k(i)}R(\nu)_i$. The relations (2.3) become

(2.16)
$$\delta_{k,s_k(i)}\delta_{k,i} = \begin{cases} 0 & \text{if } i_k = i_{k+1}, \\ 1_i & \text{if } i_k \cdot i_{k+1} = 0, \\ x_{k,i} + x_{k+1,i} & \text{if } i_k \cdot i_{k+1} = -1. \end{cases}$$

Other defining relations for $R(\nu)$ can be similarly rewritten.

2.2. Examples.

- 1) $\nu = 0$. We have $R(0) = \mathbb{Z}$, with the unit element given by the empty diagram.
- 2) $\nu = i$ for some vertex *i*. Then a diagram is a line with some number of dots on it. Hence, $R(i) \cong \mathbb{Z}[x_{1,i}]$, where in our notation $x_{1,i}$ denotes a line labelled *i* with one dot on it.
- 3) $\nu = mi$ for some vertex *i*. The only sequence in Seq(*mi*) is $i^m = ii \dots i$. Every strand in the diagram is labelled by *i*, and the local relations are



R(mi) is isomorphic to the nil-Hecke ring NH_m , which is the unital ring of endomorphisms of the abelian group $\mathbb{Z}[x_1, \ldots, x_m]$ generated by the endomorphisms of multiplication by x_1, \ldots, x_m and the divided difference operators

$$\partial_a(f(x)) = \frac{f(x) - s_a f(x)}{x_a - x_{a+1}}, \quad 1 \le a \le m - 1,$$

where s_a transposes x_a and x_{a+1} in the polynomial f(x). The defining relations are

$$\begin{array}{ll} x_a x_b = x_b x_a, \\ \partial_a x_b = x_b \partial_a & \text{if } |a - b| > 1, \\ \partial_a^2 = 0, \\ x_a \partial_a - \partial_a x_{a+1} = 1, \end{array} \quad \begin{array}{ll} \partial_a \partial_b = \partial_b \partial_a & \text{if } |a - b| > 1, \\ \partial_a \partial_{a+1} \partial_a = \partial_{a+1} \partial_a \partial_{a+1}, \\ \partial_a x_a - x_{a+1} \partial_a = 1. \end{array}$$

In the above equations x_a stands for the operator of multiplication by x_a . The defining relations are exactly our graphical equations on identically-colored strands, with the relations in the first two rows corresponding to isotopies of diagrams.

The nil-Hecke ring is related to the theory of Schubert varieties; see [26], [7]. The nil-Coxeter ring is the subring of NH_m generated by $\partial_1, \ldots, \partial_{m-1}$; see [39, Chapter 2], [21]. Divided differences go back to Newton; in the context of representation theory they appeared in [5], [11].

The center of NH_m is the ring of symmetric polynomials in x_1, \ldots, x_m , and NH_m is isomorphic to the ring of $m! \times m!$ matrices with coefficients in $Z(NH_m)$, see [36]. Here we consider NH_m as a graded ring, with $\deg(\partial_a) = -2$ and $\deg(x_a) = 2$. The graded nil-Hecke ring plays a fundamental role in the categorification of Lusztig's quantum \mathfrak{sl}_2 defined by the second author [28].

For each permutation $w \in S_m$ let $\partial_w = \partial_{a_1} \dots \partial_{a_r}$, where $s_{a_1} \dots s_{a_r}$ is a minimal presentation of w, so that r = l(w). This element does not depend on the choice of presentation.

Define $e_m = x_1^{m-1} x_2^{m-2} \dots x_{m-1} \partial_{w_0}$, where w_0 is the longest permutation. This element is an idempotent of degree 0. We will also use the idempotent $\psi(e_m)$ given by reflecting the diagram of e_m on the horizontal axis,

$$\psi(e_m) = \partial_{w_0} x_1^{m-1} x_2^{m-2} \dots x_{m-1}.$$

 $NH_m\psi(e_m)$ is a left NH_m -module isomorphic to the polynomial representation of NH_m . The polynomial representation is, up to isomorphism and grading shifts, the unique graded indecomposable projective NH_m -module. The module $NH_m\psi(e_m)$ is nontrivial in even nonnegative degrees only.

The regular representation of NH_m decomposes as the sum of m! copies of the polynomial representation. Taking the grading into account and denoting by P_m the module $NH_m\psi(e_m)$ with the grading shifted down by $\frac{m(m-1)}{2}$, we get a direct sum decomposition of graded modules,

$$NH_m \cong P_m^{[m]!}$$

Here [m]! = [m][m-1]...[1] is the quantum factorial, $[m] = \frac{q^m - q^{-m}}{q - q^{-1}}$, and M^f or $M^{\oplus f}$, for a graded module M and a Laurent polynomial $f = \sum f_a q^a \in \mathbb{Z}[q, q^{-1}]$, denotes the direct sum over $a \in \mathbb{Z}$, of f_a copies of $M\{a\}$.

We denote by $P_{i,m}$ the corresponding indecomposable graded projective module over R(mi) and by $e_{i,m}$ the idempotent corresponding to e_m under the isomorphism $R(mi) \cong NH_m$. As a graded abelian group, $P_{i,m}$ is nontrivial in degrees $-\frac{m(m-1)}{2} + 2\mathbb{N}$.

Letting ${}_{m}P$ be the right graded projective module $e_{m}NH_{m}\left\{-\frac{m(m-1)}{2}\right\}$, we have a decomposition of graded right NH_{m} -modules

$$NH_m \cong {}_m P^{[m]!}.$$

We denote by $_{i,m}P$ the corresponding indecomposable graded projective right R(mi)-module. Idempotents $e_{i,m}$ and $\psi(e_{i,m})$ have the following diagrammatic presentation for m = 3:



The quotient of $\mathbb{Z}[x_1, \ldots, x_m]$ by the ideal of symmetric polynomials is a representation L_m of NH_m which becomes irreducible upon tensoring with any field \Bbbk . Over \Bbbk , any graded irreducible representation of NH_m is isomorphic to L_m , up to a grading shift. We denote the corresponding irreducible representation of R(mi) by $L(i^m)$. It is nonzero in degrees $0, 2, \ldots, \frac{m(m-1)}{2}$. The representation $L(i^m)$ is isomorphic to the representation induced from the one-dimensional graded module L over $\Bbbk[x_1, \ldots, x_m]$ (on which x_1, \ldots, x_m necessarily act trivially).

Lemma 2.1. The common 0-eigenspace of operators x_1, \ldots, x_m on $L(i^m) \cong$ Ind(L) is exactly $1 \otimes L$. All Jordan blocks of x_m on $L(i^m)$ are of size m.

Proof. Due to the uniqueness of the irreducible module $L(i^m)$, it is isomorphic to the module induced from the trivial representation of the subring of NH_m generated by the divided differences $\partial_1, \ldots, \partial_m$ and symmetric polynomials in x_1, \ldots, x_m . This induced representation is isomorphic, as a $\Bbbk[x_1, \ldots, x_m]$ -module, to the quotient of $\Bbbk[x_1, \ldots, x_m]$ by the ideal generated by symmetric polynomials without the constant term, and to the cohomology ring of the full flag variety. The lemma follows from the standard facts of this quotient ring. \Box

4) $\nu = i + j$ and $i \cdot j = 0$. Seq $(i + j) = \{ij, ji\}$. The ring $R(\nu)$ is isomorphic to the ring of 2×2 matrices with coefficients in $\mathbb{Z}[x_1, x_2]$. The isomorphism is given on generators by

$$\begin{vmatrix} & & & \\ & & & \\ i & & j \\ i & & i \\ i & & j \\ i & & i \\ i & & j \\ i & & i \\ i & &$$

- 5) $\nu = i_1 + \dots + i_m$ and $i_k \cdot i_\ell = 0$ for all $k \neq \ell$. Then $R(\nu)$ is isomorphic to the ring of $m! \times m!$ matrices with coefficients in $\mathbb{Z}[x_1, \dots, x_m]$. To see the isomorphism, enumerate the rows and columns by elements of $\operatorname{Seq}(\nu)$ and send the element $j1_i$ to the elementary (j, i)-matrix.
- 6) $\nu = \nu' + \nu''$ such that $i \cdot j = 0$ for any $i \in \operatorname{Supp}(\nu')$ and $j \in \operatorname{Supp}(\nu'')$. In this case $R(\nu)$ is isomorphic to the matrix algebra of size $\binom{|\nu|}{|\nu'|,|\nu''|}$ with coefficients in $R(\nu') \otimes_{\mathbb{Z}} R(\nu'')$. Indeed, except for crossings, there are no interactions between strands from ν' and strands from ν'' . A pair $i \in \operatorname{Seq}(\nu')$, $j \in \operatorname{Seq}(\nu'')$ defines the sequence $ij \in \operatorname{Seq}(\nu' + \nu'')$ and

(2.21)
$$_{ij}R_{ij} \cong R(\nu') \otimes R(\nu''),$$

since we can pull apart the *i* and *j* strands in any diagram *D* with ij = top(D) = bot(D), using that $i_k \cdot j_\ell = 0$, for all *k* and ℓ .

7) $\nu = i + j$ and $i \cdot j = -1$. We can identify R(i + j) with the ring of 2×2 matrices with coefficients in $\mathbb{Z}[x_1, x_2]$ such that the bottom left coefficient is divisible by $x_1 + x_2$:

$$\begin{vmatrix} & & & & \\ & & & \\ i & & j \\ & & & \\ i & & j \\ i & & j \\ & & & \\ i & & j \\ & & & \\ i & & j \\ & & & \\ i & & j \\ & & & \\ i & & j \\ & & & \\ i & & \\ i$$

Remark 2.2. If $i \cdot j = -1$, then the elements



are mutually orthogonal idempotents in R(2i + j). For instance,



Equation (2.7) can be viewed as a decomposition of the idempotent 1_{iji} into the sum of two orthogonal idempotents. Equation (2.8) can be thought of as allowing triple intersections for certain ijk.

2.3. A faithful representation and a basis of $R(\nu)$. Action of $R(\nu)$ on the sum of polynomial spaces. Choose an orientation of each edge of Γ . For each $\nu \in \mathbb{N}[I]$ we define an action of $R(\nu)$ on the free abelian group

$$\mathcal{P}o\ell_{\nu} = \bigoplus_{i \in \operatorname{Seq}(\nu)} \mathcal{P}o\ell_{i}, \qquad \mathcal{P}o\ell_{i} = \mathbb{Z}[x_{1}(i), x_{2}(i), \dots, x_{m}(i)], \qquad m = |\nu|.$$

It is useful to think of the variable $x_k(\mathbf{i})$ as labelled by the vertex of Γ in the kth position in the sequence \mathbf{i} . The symmetric group S_m acts on \mathcal{Pol}_{ν} by taking $x_a(\mathbf{i})$ to $x_{w(a)}(w(\mathbf{i})), w \in S_m$. The transposition s_k maps $x_a(\mathbf{i})$ to $x_a(s_k(\mathbf{i}))$ if $a \neq k, k+1, x_k(\mathbf{i})$ to $x_{k+1}(s_k\mathbf{i})$, and $x_{k+1}(\mathbf{i})$ to $x_k(s_k\mathbf{i})$.

To define the action of $R(\nu)$, we first require that an element $x \in {}_{j}R(\nu)_{i}$ acts by 0 on $\mathcal{P}ol_{k}$ if $k \neq i$ and takes $\mathcal{P}ol_{i}$ to $\mathcal{P}ol_{j}$. We describe the action of the generators. The dot in the k-th position $x_{k,i}$ (see (2.14)) acts by sending $f \in \mathcal{P}ol_{i}$ to $x_{k}(i)f \in \mathcal{P}ol_{i}$. The idempotent 1_{i} acts by the identity on $\mathcal{P}ol_{i}$. The crossing $\delta_{k,i}$ (see (2.15)) acts on $f \in \mathcal{P}o\ell_i$ by

$$f \mapsto s_k f \quad \text{if } i_k \cdot i_{k+1} = 0,$$

$$f \mapsto \frac{f - s_k f}{x_k(i) - x_{k+1}(i)} \quad \text{if } i_k = i_{k+1},$$

$$f \mapsto s_k f \quad \text{if } i_k \longleftarrow i_{k+1},$$

$$f \mapsto (x_k(s_k i) + x_{k+1}(s_k i))(s_k f) \quad \text{if } i_k \longrightarrow i_{k+1}.$$

The notation $i_k \leftarrow i_{k+1}$ means that $i_k \cdot i_{k+1} = -1$ and this edge of Γ is oriented from i_{k+1} to i_k . Note that when $i_k = i_{k+1}$ the crossing $\delta_{k,i}$ acts by the divided difference operator. When all strands have the same label i, the action reduces to the action of the nil-Hecke algebra on its polynomial representation.

Proposition 2.3. These rules define a left action of $R(\nu)$ on $\mathcal{P}ol_{\nu}$.

Proof. We check the defining relations for $R(\nu)$. The relation (2.3) with $i \cdot j = 0$ and $i \cdot j = -1$ and relation (2.4) are trivial to verify. The relation (2.3) with i = j and relations (2.6), (2.5), and (2.8) for i = j = k are just the nil-Hecke relations. The relation (2.8) with i, j, k all distinct, or with $i \neq j = k, i \cdot j = 0$, or with $i = j \neq k, j \cdot k = 0$ is easy to check. The same relation with i = j, $i \cdot k = -1$ or $j = k, i \cdot j = -1$ follows from the fact that the divided difference operator annihilates symmetric polynomials. This leaves us with the last relation (2.7), reproduced below:



It is enough to check it on 3-stranded diagrams, with $\nu = 2i + j$. To simplify formulas, we write x, y, and z instead of $x_k(i)$, $x_{k+1}(i)$, and $x_{k+2}(i)$ for each $i = \{iji, iij, jii\}$. Assume that the ij edge is $i \leftarrow j$. The left hand side of the relation is

$$\delta_{1,jii}\delta_{2,jii}\delta_{1,iji} - \delta_{2,iij}\delta_{1,iij}\delta_{2,iji},$$

taking $\mathcal{P}ol_{iji}$ to $\mathcal{P}ol_{iji}$. We compute the action of this element on each monomial $x^u y^v z^w$, $u, v, w \in \mathbb{N}$:

$$\begin{aligned} (2.24) \qquad \delta_{1,jii}\delta_{2,jii}(x^{u}y^{v}z^{w}) \\ &= \delta_{1,jii}\delta_{2,jii}(x^{v}y^{u}z^{w}) = \delta_{1,jii}\left(\frac{x^{v}y^{u}z^{w} - x^{v}y^{w}z^{u}}{y - z}\right) \\ &= \frac{x^{u}y^{v}z^{w} - x^{w}y^{v}z^{u}}{x - z}(x + y), \\ (2.25) \qquad \delta_{2,iij}\delta_{1,iij}\delta_{2,iji}(x^{u}y^{v}z^{w}) \\ &= \delta_{2,iij}\delta_{1,iij}((y + z)x^{u}y^{w}z^{v}) \\ &= \delta_{2,iij}\left(\frac{x^{u}y^{w + 1} - x^{w + 1}y^{u}}{x - y}z^{v} + \frac{x^{u}y^{w} - x^{w}y^{u}}{x - y}z^{v + 1}\right) \\ &= \delta_{2,iij}\left(\frac{x^{u}y^{w + 1} - x^{w + 1}y^{u}z^{v} + x^{u}y^{w}z^{v + 1} - x^{w}y^{u}z^{v + 1}}{x - y}\right) \\ &= \frac{x^{u}y^{v}z^{w + 1} - x^{w + 1}y^{v}z^{u} + x^{u}y^{v + 1}z^{w} - x^{w}y^{v + 1}z^{u}}{x - y}. \end{aligned}$$

One can easily verify that the difference of (2.24) and (2.25) is $x^u y^v z^w$, proving relation (2.7) in this case. When $i \longrightarrow j$, we compute

$$(2.26) \qquad \delta_{1,jii}\delta_{2,jii}\delta_{1,iji}(x^{u}y^{v}z^{w}) \\ = \delta_{1,jii}\delta_{2,jii}((x+y)x^{v}y^{u}z^{w}) \\ = \delta_{1,jii}\left(x^{v+1}\frac{y^{u}z^{w}-y^{w}z^{u}}{y-z} + x^{v}\frac{y^{u+1}z^{w}-y^{w}z^{u+1}}{y-z}\right) \\ = \delta_{1,jii}\left(\frac{x^{v+1}y^{u}z^{w}-x^{v+1}y^{w}z^{u}+x^{v}y^{u+1}z^{w}-x^{v}y^{w}z^{u+1}}{y-z}\right) \\ = \frac{x^{u}y^{v+1}z^{w}-x^{w}y^{v+1}z^{u}+x^{u+1}y^{v}z^{w}-x^{w}y^{v}z^{u+1}}{x-z},$$

(2.27)
$$\delta_{2,iij}\delta_{1,iij}\delta_{2,iji}(x^{u}y^{v}z^{w}) = \delta_{2,iij}\delta_{1,iij}(x^{u}y^{w}z^{v}) = \delta_{2,iij}\left(\frac{x^{u}y^{w}z^{v} - x^{w}y^{u}z^{v}}{x - y}\right) = \frac{x^{u}y^{v}z^{w} - x^{w}y^{v}z^{u}}{x - z}(y + z).$$

Again, the difference of (2.26) and (2.27) is $x^u y^v z^w$. Relation (2.7) and Proposition 2.3 follow.

A spanning set. We look for a lower bound on the size of $R(\nu)$. An element of this ring is a linear combination of diagrams.

If a diagram D contains two strands that intersect more than once, relations (2.3)-(2.7) allow us to write D as a linear combination of diagrams, each with fewer intersections than D. Iterating, we can write any element of $R(\nu)$ as a linear combination of diagrams with at most one intersection between any two strands. Furthermore, we can slide all dots in a diagram D all the way to the bottom of the diagram at the cost of adding a linear combination of diagrams with fewer crossings than D. These two operations together tell us that $R(\nu)$ is spanned by diagrams

having all dots at the bottom and with each pair of strands intersecting at most once:



Such D are determined by $\mathbf{i} = \text{bot}(D)$, a minimal presentation $\widetilde{w} = s_{k_1} \dots s_{k_r}$, r = l(w) of a permutation $w \in S_m$ and the number of dots at each bottom endpoint of D. The difference of two diagrams given by the same data except for different minimal presentations of w can be written as a linear combination of diagrams with fewer crossings than each of the original two diagrams.

For each $w \in S_m$ fix its minimal presentation \widetilde{w} . For $i, j \in \text{Seq}(\nu)$ let ${}_jS_i$ be the subset of S_m consisting of permutations w that take i to j via the standard action of permutations on sequences, defined earlier. For each $w \in {}_jS_i$ we convert its minimal presentation \widetilde{w} into an element of ${}_jR(\nu)_i$ denoted \widehat{w}_i . Denote the subset $\{\widehat{w}_i\}_{w\in {}_iS_i}$ of ${}_jR(\nu)_i$ by ${}_j\widehat{S}_i$.

Example 2.4. $_{iji}S_{iji} = \{ id, (13) \}$, and



depending on whether we choose $s_2s_1s_2$ or $s_1s_2s_1$ as a minimal presentation of permutation (13).

In general, $_{j}\widehat{S}_{i}$ depends on our choices of minimal presentations for permutations. For instance, in the above example, $\widehat{(13)}_{iji} \in _{iji}\widehat{S}_{iji}$ will depend nontrivially on whether the presentation $s_{1}s_{2}s_{1}$ or $s_{2}s_{1}s_{2}$ was chosen if $i \cdot j = -1$. Let $_{j}B_{i}$ be the set $\{y \cdot x_{1,i}^{u_{1}} \dots x_{m,i}^{u_{m}}\}$ over all $y \in _{j}\widehat{S}_{i}$ and $u_{i} \in \mathbb{N}$. Here the diagrams

Let $_{j}B_{i}$ be the set $\{y \cdot x_{1,i}^{u_{1}} \dots x_{m,i}^{u_{m}}\}$ over all $y \in _{j}S_{i}$ and $u_{i} \in \mathbb{N}$. Here the diagrams in $_{j}\widehat{S}_{i}$ are multiplied by all possible monomials at the bottom. For example, the sets $_{ij}B_{ij}$ and $_{ji}B_{ij}$ consist of elements

$$u_1 \downarrow u_2$$
 and $u_1 \downarrow u_2$
 $i j$ $i j$

respectively, where we write

r

$$^{u} = \left(\begin{array}{c} \bullet \end{array} \right)^{u}.$$

Theorem 2.5. $_{i}R(\nu)_{i}$ is a free graded abelian group with a homogeneous basis $_{i}B_{i}$.

Proof. We have already observed that the set $_{j}B_{i}$ spans $_{j}R(\nu)_{i}$. This set consists of homogeneous elements relative to our grading on $R(\nu)$. To prove linear independence of elements of $_{j}B_{i}$ we check that they act on $\mathcal{P}o\ell_{\nu}$ by linearly independent operators.

Let $j1_i$ be the diagram with the fewest number of crossings with $bot(j1_i) = i$ and $top(j1_i) = j$. For example,



Note that identically colored lines do not intersect in $_{i}1_{i}$, and that $_{i}1_{i}$ is just 1_{i} .

The product $_{i}1_{jj}1_{i} = \prod(x_{a,i} + x_{b,i})$ where the product is over all pairs $1 \leq a < b \leq m$ such that the lines in $_{j}1_{i}$ ending at a and b bottom endpoints counting from the left intersect and are colored by i, j with $i \cdot j = -1$.

For instance, if $\mathbf{i} = ijj$, $\mathbf{j} = jji$ with $i \cdot j = -1$, then

$$(2.28) j1_i = j j i i j i j i i j j i j i j j i j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j j$$

and the product

(2.29)
$$i 1_{jj} 1_i = (x_{1,i} + x_{2,i})(x_{1,i} + x_{3,i}).$$

Choose a complete order on the set of vertices of Γ and orient Γ so that for each edge $i \longrightarrow j$ we have i < j relative to the order. This order induces a lexicographic order on $\text{Seq}(\nu)$. We prove linear independence of $_{j}B_{i}$ by induction on $j \in \text{Seq}(\nu)$ with respect to this order.

Base of induction: We write

(2.30)
$$\boldsymbol{j} = j_1 \dots j_1 j_2 \dots j_2 \dots j_r \dots j_r = j_1^{\nu_1} j_2^{\nu_2} \dots j_r^{\nu_r} \in \operatorname{Seq}(\nu), \qquad \nu = \sum_{k=1}^r \nu_k j_k,$$

where $j_1 < j_2 < \cdots < j_r$ and r is the cardinality of $\operatorname{Supp}(\nu)$. Clearly, \boldsymbol{j} is the lowest element in $\operatorname{Seq}(\nu)$ with respect to lexicographic order. For this \boldsymbol{j} each $w \in {}_{\boldsymbol{j}}S_i$ can be written uniquely as $w = w_1 w_0$ where $w_1 \in S_{\nu_1} \times \cdots \times S_{\nu_r}$ and w_0 is the unique minimal length element in ${}_{\boldsymbol{j}}S_i$.

Each minimal length representative $\widetilde{w_0}$ determines the same $\widehat{w_0}_i = {}_j 1_i$. Likewise, the element $\widehat{w_1}_j$ does not depend on the choice of a minimal length representative

 $\widehat{w_1}$, since in the nil-Hecke algebra the element associated to a permutation does not depend on the minimal presentation of this permutation.



The set $_{i}B_{i}$ consists of elements $\widehat{w}_{1i}\widehat{w}_{0i}x^{u}$, over all $u \in \mathbb{N}^{m}$, where

$$x^{u} = x_{1,i}^{u_{1}} x_{2,i}^{u_{2}} \dots x_{m,i}^{u_{m}}.$$

It suffices to check that induced maps

(2.31)
$$\widehat{w_{1j}}\widehat{w_{0i}}x^u \colon \mathcal{P}o\ell_i \longrightarrow \mathcal{P}o\ell_j$$

are linearly independent, over all $u \in \mathbb{N}^m$. Indeed, $\widehat{w_0}_i x^u$ takes $x^v \in \mathcal{Pol}_i$ to $x^{w_0(u+v)} \in \mathcal{Pol}_j$, where w_0 acts on v via the obvious permutation. This is due to peculiarities of our action, since the element $\delta_{k,i}$ takes $f \in \mathcal{Pol}_i$ to $s_k f$ if $i_k > i_{k+1}$. Elements $\widehat{w_1}_j$ act on the monomials by products of divided difference operators. It is known that the standard action of the nil-Hecke ring on polynomials is faithful [36], implying linear independence of all maps (2.31).

Induction step: Assume we proved that ${}_{j}B_{i}$ is independent, that $j_{k} < j_{k+1}$, and set $j' = s_{k}j = j_{1} \dots j_{k-1}j_{k+1}j_{k}j_{k+2} \dots j_{m}$. It suffices to assume that $j_{k} \cdot j_{k+1} = -1$, otherwise $j_{k} \cdot j_{k+1} = 0$ and the maps $\delta_{k,j}$, $\delta_{k,j'}$ set up bijections between ${}_{j}B_{i}$ and ${}_{j'}B_{i}$, implying linear independence of ${}_{j'}B_{i}$.

To show that $_{j'}B_i$ is independent, we examine its image under the map

(2.32)
$$\delta_{k,j'} : {}_{j'}R(\nu)_i \to {}_{j}R(\nu)_i$$

Define a partial order on $_{j}B_{i}$ by requiring that $w_{1}x^{u} < w_{2}x^{v}$ if $\ell(w_{1}) < \ell(w_{2})$, or if $w_{1} = w_{2}, u_{1} = v_{1}, \ldots, u_{t} = v_{t}, u_{t+1} < v_{t+1}$ for some t. Extend this partial order to a complete order on $_{j}B_{i}$ in some way.

Define the map $\overline{\delta}: {}_{j'}B_i \to {}_{j}B_i$ by $\overline{\delta}y = \delta_{k,j'}y$ if the strands of diagram y ending at the top endpoints numbered k, k + 1 from the left are disjoint



and $\overline{\delta}y = y' \cdot x_{\ell,i}$ if these two strands of y intersect. Here ℓ is the number, counting from the left, of the bottom endpoint of the strand with top endpoint k, and y' is

obtained from y by removing the intersection of these two strands. Graphically



We can write $y' = \widehat{s_k w_i} x^u x_{\ell,i}$ if $y = \widehat{w_i} x^u$ as above. The map $\overline{\delta}: {}_{j'}B_i \to {}_{j}B_i$ is clearly injective. It is not hard to compute that $\delta_{k,j'}y = \overline{\delta}y + \text{lower-order terms:}$

(2.33)
$$\delta_{k,j'}y = \overline{\delta}y + \sum_{z < \overline{\delta}y} n_z \cdot z, \qquad n_z \in \mathbb{Z}, \quad z \in {}_jB_i,$$

for any $y \in {}_{j'}B_i$, and by lower-order terms we mean a linear combination of elements of ${}_{i}B_i$ less than $\overline{\delta}y$ with respect to the order of ${}_{i}B_i$.

The induction step follows, since $_{j}B_{i}$ is a linearly independent set by induction hypothesis. This completes the proof of Theorem 2.5.

The representation $\mathcal{P}o\ell_{\nu}$ has a grading. Choose any $i \in \text{Seq}(\nu)$ and place the unit element $1 \in \mathcal{P}o\ell_i$ in degree 0. This uniquely determines a grading on $\mathcal{P}o\ell_{\nu}$ making it a graded module over the graded ring $R(\nu)$.

Corollary 2.6. $\mathcal{P}o\ell_{\nu}$ is a faithful graded module over the graded ring $R(\nu)$.

2.4. **Properties of** $R(\nu)$. From Theorem 2.5 we deduce several properties of $R(\nu)$. For each $\mathbf{i} \in \text{Seq}(\nu)$ the subring ${}_{i}R(\nu)_{i}$ contains the polynomial ring $\mathcal{P}o\ell(\nu, \mathbf{i}) \cong \mathbb{Z}[x_{1,i}, x_{2,i}, \ldots, x_{m,i}]$. We differentiate between the ring $\mathcal{P}o\ell(\nu, \mathbf{i})$ and the abelian group $\mathcal{P}o\ell_{\mathbf{i}}$ on which we defined the action. The direct product

(2.34)
$$\mathcal{P}o\ell(\nu) = \prod_{i \in \text{Seq}(\nu)} \mathcal{P}o\ell(\nu, i)$$

is a commutative subring of $R(\nu)$.

Proposition 2.7. $R(\nu)$ is a free $\mathcal{Pol}(\nu)$ -module of rank m! with respect to both left and right multiplication actions of $\mathcal{Pol}(\nu)$.

Proof. For each permutation $w \in S_m$ choose a minimal representative \widetilde{w} and form

$$\widehat{w} = \sum_{i \in \operatorname{Seq}(\nu)} \widehat{w}_i.$$

Theorem 2.5 implies that the set $\{\widehat{w}\}_{w\in S_n}$ is a basis of $R(\nu)$ as a free graded module over $\mathcal{P}o\ell(\nu)$ under the right multiplication action of the latter. The left multiplication case follows by applying the anti-involution ψ of $R(\nu)$.

The symmetric group S_m acts on $\mathcal{P}o\ell(\nu)$ by permuting strands (which carry labels and dots). Let $\operatorname{Sym}(\nu) = \mathcal{P}o\ell(\nu)^{S_m}$ be the subring of S_m -invariants. It is naturally isomorphic to the tensor product of rings of symmetric polynomials

(2.35)
$$\operatorname{Sym}(\nu) \cong \bigotimes_{i \in \operatorname{Supp}(\nu)} \mathbb{Z}[x_1, \dots, x_{\nu_i}]^{S_{\nu_i}},$$

over vertices i in $\operatorname{Supp}(\nu)$, with the number of variables ν_i .

Example 2.8. The following elements are generators of Sym(2i + j):



Consider the inclusions of rings

(2.36)
$$\operatorname{Sym}(\nu) \subset \mathcal{P}o\ell(\nu) \subset R(\nu).$$

Each subsequent ring is a free rank m! module over the previous ring. Therefore, $R(\nu)$ is a free module of rank $(m!)^2$ over $Sym(\nu)$. Moreover, a simple computation shows that $Sym(\nu)$ belongs to the center of $R(\nu)$. The converse is true as well.

Theorem 2.9. Sym (ν) is the center of $R(\nu)$.

Proof. The multiplication map

$$\begin{array}{cccc} {}_{i}R(\nu)_{k} & \longrightarrow & {}_{j}R(\nu)_{k} \\ y & \mapsto & {}_{j}1_{i}y \end{array}$$

by $_{j}1_{i}$ is injective, since the composition $_{i}1_{jj}1_{i}$ is injective, being a certain product of sums of $x_{a,i}$'s (use Theorem 2.5).

A central element $z \in Z(R(\nu))$ decomposes

(2.37)
$$z = \sum_{i \in \text{Seq}(\nu)} z_i, \quad z_i = 1_i z = z 1_i.$$

In particular, z_i is a central element of ${}_iR(\nu)_i$. Let $j = j_1^{\nu_1} j_2^{\nu_2} \dots j_r^{\nu_r}$, for some order $j_1 \dots j_r$ of vertices that appear in ν . The ring ${}_jR(\nu)_j$ is the tensor product of nil-Hecke rings

(2.38)
$$_{j}R(\nu)_{j} \cong \bigotimes_{t=1}^{r} NH_{\nu_{t}}$$

and its center is isomorphic to the tensor product of centers of nil-Hecke rings, which are known to be symmetric polynomials in ν_t variables. Moreover, the composition

(2.39)
$$\operatorname{Sym}(\nu) \longrightarrow Z(R(\nu)) \longrightarrow Z(jR(\nu)_j)$$

 $z \longmapsto z_j$

is an isomorphism.

Subtracting an element of $\text{Sym}(\nu)$, we can assume that a central element z has $z_j = 0$. Since for all i,

(2.40)
$$0 = z_j(j1_i) = z(j1_i) = (j1_i)z = (j1_i)z_i,$$

we get $z_i = 0$ since the multiplication by $_i 1_i$ is injective.

Corollary 2.10.

R(ν) is a free rank (m!)² module over its center Sym(ν).
 R(ν) is free as a graded module over Sym(ν).

The ring $\operatorname{Sym}(\nu)$ is \mathbb{Z}_+ -graded. Any finitely-generated free graded $\operatorname{Sym}(\nu)$ module has a graded rank invariant which lies in $\mathbb{N}[q, q^{-1}]$. The graded rank of the module $\operatorname{Sym}(\nu)\{a\}$ whose grading starts in degree a is q^a , and the graded rank is the additive under the direct sum. It is not hard to write a combinatorial formula for the graded rank of $R(\nu)$; we leave it to the reader as an exercise.

Corollary 2.11.

R(ν) is both left and right Noetherian.
 R(ν) is indecomposable.

Indecomposability is equivalent to 1 being the only central idempotent in the ring. Note that $R(\nu)$ is "almost" positively graded. Precisely, it is zero in degrees less that $-\sum_{i} \nu_i(\nu_i - 1)$.

2.5. **Representations.** In this and the following sections we assume that $R(\nu)$ is defined over a field k rather than over Z. All earlier results of $R(\nu)$ remain valid over k. We view $R(\nu)$ as a graded k-algebra with every element of k in degree 0. Let $R(\nu)$ -mod be the category of finitely-generated graded left $R(\nu)$ -modules, let $R(\nu)$ -fmod be the category of finite-dimensional graded $R(\nu)$ -modules, and let $R(\nu)$ -pmod be the category of projective objects in $R(\nu)$ -mod. The morphisms in each of these three categories are grading-preserving module homomorphisms. The first two categories are abelian. We have a diagram of categories and inclusions:

 $R(\nu)$ -fmod $\subset R(\nu)$ -mod $\supset R(\nu)$ -pmod.

From now on, by an $R(\nu)$ -module we mean a *left graded finitely-generated* $R(\nu)$ module, unless otherwise specified. For any two $R(\nu)$ -modules M, N denote by $\operatorname{Hom}(M, N)$ or $\operatorname{Hom}_{R(\nu)}(M, N)$ the k-vector space of grading-preserving homomorphisms, and by

(2.41)
$$\operatorname{HOM}(M,N) := \bigoplus_{a \in \mathbb{Z}} \operatorname{Hom}(M,N\{a\}),$$

the Z-graded k-vector space of all $R(\nu)$ -module morphisms. Here $N\{a\}$ denotes N with the grading shifted up by a. By a simple $R(\nu)$ -module we mean a simple object in the category $R(\nu)$ -mod. We denote by $\operatorname{Sym}^+(\nu)$ the unique graded maximal central ideal of $\operatorname{Sym}(\nu)$. It is spanned by S_m -invariant polynomials without the constant term.

Proposition 2.12. A simple $R(\nu)$ -module S is finite-dimensional and $\text{Sym}^+(\nu)$ acts by 0 on it. Hom $(S, S\{a\}) = 0$ if $a \neq 0$, and S remains simple when viewed as an S-module without the grading.

Proof. The first part and the first claim in the second part of the proposition are obvious. The reference for the last statement is Theorem 4.4.4(v) in [38]; see also Theorems 4.4.6 and 9.6.8 in [38].

Hence, S is a (graded) module over the finite-dimensional quotient algebra

(2.42)
$$R'(\nu) = R(\nu)/\text{Sym}^+(\nu)R(\nu).$$

Note that $\dim_{\mathbb{K}} R'(\nu) = (m!)^2$, and, up to isomorphism and grading shifts, there are only finitely many simple $R(\nu)$ -modules. We choose one representative S_b from each equivalence class, denote the set of equivalence classes by \mathbf{B}'_{ν} , and define

$$\mathbf{B}' \stackrel{\text{def}}{=} \bigsqcup_{\nu \in \mathbb{N}[I]} \mathbf{B}'_{\nu}$$

We expect a bijection between \mathbf{B}' and the Lusztig-Kashiwara canonical basis \mathbf{B} , hence we use a similar notation. Thus, any simple $R(\nu)$ -module is isomorphic to $S_b\{a\}$ for a unique $b \in \mathbf{B}'_{\nu}$ and $a \in \mathbb{Z}$ (recall that we are considering only graded modules). We do not specify the grading shift for S_b yet (but see the end of Section 3.2).

Each module in $R(\nu)$ -fmod has finite length composition series with subsequent quotients—simple modules. The Grothendieck group $G_0(R(\nu))$ of $R(\nu)$ -fmod is a free $\mathbb{Z}[q, q^{-1}]$ -module with the basis $\{[S_b]\}_{b \in \mathbf{B}'_{\nu}}$ and the multiplication by q corresponding to the grading shift up by 1.

The abelian category $R(\nu)$ -mod has the Krull-Schmidt unique direct sum decomposition property for modules. Objects P_i , $i \in \text{Seq}(\nu)$, belong to its subcategory $R(\nu)$ -pmod of projective modules.

Each simple S_b has a unique (up to isomorphism) indecomposable projective cover, denoted P_b . We have $\operatorname{HOM}(P_b, S_b) \cong \operatorname{Hom}(P_b, S_b) \cong \operatorname{End}(S_b)$. An indecomposable object of $R(\nu)$ -pmod is isomorphic to $P_b\{a\}$ for a unique $b \in \mathbf{B}'_{\nu}$ and $a \in \mathbb{Z}$. Any object of $R(\nu)$ -pmod has a unique, up to isomorphism, direct sum decomposition into indecomposables. The Grothendieck group of $R(\nu)$ -pmod is a free $\mathbb{Z}[q, q^{-1}]$ -module with the basis $\{[P_b]\}_{b \in \mathbf{B}'_{\nu}}$ given by the images $[P_b]$ of indecomposable projectives. Denote this Grothendieck group by $K_0(R(\nu))$.

Recall that for a right, respectively left, $R(\nu)$ -module M we denote by M^{ψ} the left, respectively right, $R(\nu)$ -module M with the action twisted by ψ . For $P \in R(\nu)$ -pmod, let $\overline{P} = \text{HOM}(P, R(\nu))^{\psi}$. This is a graded projective left $R(\nu)$ module and \overline{P} is a contravariant self-equivalence in $R(\nu)$ -pmod. We have $\overline{P_i} \cong P_i$ for each $i \in \text{Seq}(\nu)$, and, more generally, $\overline{P_i\{a\}} \cong P_i\{-a\}$. This self-equivalence induces a $\mathbb{Z}[q, q^{-1}]$ -antilinear involution on $K_0(R(\nu))$, also denoted \overline{P} .

There is a $\mathbb{Z}[q, q^{-1}]$ -bilinear pairing

(2.43)
$$(,): K_0(R(\nu)) \times G_0(R(\nu)) \longrightarrow \mathbb{Z}[q, q^{-1}],$$

(2.44)
$$([P], [M]) := \operatorname{gdim}_{\Bbbk}(P^{\psi} \otimes_{R(\nu)} M).$$

When the field k is algebraically closed, $\operatorname{End}(S_b) \cong k$, and the bases $\{[P_b]\}_b$ and $\{[S_b]\}_b$ are dual, possibly up to rescaling by powers of q and permutation of elements. In this case $G_0(R(\nu))$ and $K_0(R(\nu))$ are dual free $\mathbb{Z}[q, q^{-1}]$ -modules. We will show in Section 3.2 that, over any field k, simples S_b are absolutely irreducible and the above pairing is perfect without any restrictions on k.

There is a $\mathbb{Z}[q, q^{-1}]$ -bilinear form, also denoted (,),

(2.45)
$$(,): K_0(R(\nu)) \times K_0(R(\nu)) \longrightarrow \mathbb{Z}[q^{-1}, q] \cdot (\nu)_q,$$

where

(2.46)
$$(\nu)_q = \text{gdim}(\text{Sym}(\nu)) = \prod_{i \in \Gamma} \left(\prod_{a=1}^{\nu_i} \frac{1}{1 - q^{2a}} \right)$$

and

(2.47)
$$([P], [Q]) = \operatorname{gdim}_{\Bbbk}(P^{\psi} \otimes_{R(\nu)} Q).$$

Since $P^{\psi} \otimes_{R(\nu)} Q \cong Q^{\psi} \otimes_{R(\nu)} P$, the form is symmetric. It follows from Theorem 2.5 that ${}_{i}R(\nu)_{j} \cong {}_{i}P \otimes_{R(\nu)} P_{j}$ is a free graded $\operatorname{Sym}(\nu)$ -module for any i, j. Therefore, $P^{\psi} \otimes_{R(\nu)} Q$ is a free graded $\operatorname{Sym}(\nu)$ -module of finite rank for any P, Q as above, and the form takes values in $\mathbb{Z}[q^{-1}, q] \cdot (\nu)_{q}$. We have

$$([P_j], [P_i]) = \operatorname{gdim}({}_j P \otimes_{R(\nu)} P_i) = \operatorname{gdim}({}_j R(\nu)_i)$$

Define the character ch(M) of a graded finitely-generated $R(\nu)$ -module M as

$$\operatorname{ch}(M) = \sum_{i \in \operatorname{Seq}(\nu)} \operatorname{gdim}(1_i M) \cdot i.$$

The character is an element of the free $\mathbb{Z}((q))$ -module with the basis Seq (ν) ; when M is finite-dimensional, ch(M) is an element of the free $\mathbb{Z}[q, q^{-1}]$ -module with basis Seq (ν) . We abbreviate gdim (1_iM) to ch(M, i),

$$\operatorname{ch}(M) = \sum_{i \in \operatorname{Seq}(\nu)} \operatorname{ch}(M, i) \cdot i.$$

Let Seqd(ν) be the set of all expressions $i_1^{(n_1)}i_2^{(n_2)}\dots i_r^{(n_r)}$ such that $n_1,\dots,n_r \in \mathbb{N}$ and $\sum_{a=1}^r n_a i_a = \nu$. For instance,

$$Seqd(2i+j) = \{iij, iji, jij, i^{(2)}j, ji^{(2)}\}.$$

To $\mathbf{i} \in \text{Seqd}(\nu)$ we assign the idempotent

$$1_i = e_{i_1,n_1} \otimes e_{i_2,n_2} \otimes \cdots \otimes e_{i_r,n_r},$$

given by the tensor product of minimal idempotents $e_{i,n}$ in the nil-Hecke rings; see Section 2.2.

Let $\mathbf{i}! = [n_1]! \dots [n_r]!$, and $\hat{\mathbf{i}}$ be the element of Seq (ν) given by expanding \mathbf{i} ,

$$\dot{i} = i_1 \dots i_1 i_2 \dots i_2 \dots i_r \dots i_r$$

 $\hat{i} = i$ iff $i \in \text{Seq}(\nu)$. We have the equality of graded dimensions

$$\operatorname{gdim}(1_{\widehat{i}}M) = q^{-\langle i \rangle} i! \cdot \operatorname{gdim}(1_{i}M), \quad \langle i \rangle = \sum_{k=1}^{r} \frac{n_{k}(n_{k}-1)}{2},$$

which follows from the structure of the nil-Hecke algebra. Let

$$\operatorname{ch}(M, \mathbf{i}) = q^{-\langle \mathbf{i} \rangle} \cdot \operatorname{gdim}(1_{\mathbf{i}}M),$$

then

$$\operatorname{ch}(M, \widehat{i}) = i! \cdot \operatorname{ch}(M, i).$$

In particular, ch(M) determines ch(M, i) for any $i \in Seqd(\nu)$.

For $i \in \text{Seqd}(\nu)$ define the left graded projective module

$$P_{\boldsymbol{i}} = R(\nu)\psi(1_{\boldsymbol{i}})\{-\langle \boldsymbol{i}\rangle\},\$$

and the right graded projective module

$$P = 1_{i} R(\nu) \{ -\langle i \rangle \}.$$

We have $P_{\widehat{i}} \cong P_i^{i!}$ and ${}_{\widehat{i}}P \cong {}_iP^{i!}$. For instance,

$$P_{ii} \cong P_{i^{(2)}}^{[2]!} = P_{i^{(2)}}^{q+q^{-1}} = P_{i^{(2)}}\{1\} \oplus P_{i^{(2)}}\{-1\}.$$

Moreover,

(2.48)
$$\operatorname{ch}(M, i) = \operatorname{gdim}({}_{i}P \otimes_{R(\nu)} M) = \operatorname{gdim}(\operatorname{HOM}(P_{i}, M)).$$

Given two or more sequences in $\text{Seqd}(\nu)$ that differ only in several neighboring terms, we denote identical parts in them via dots. For instance, $\ldots ij \ldots$ and $\ldots ji \ldots$ denote a pair of sequences i'iji'' and i'jii'' for some sequences i', i''.

Proposition 2.13. There are isomorphisms of graded projective right $R(\nu)$ -modules

$$\begin{array}{rcl} \dots ij \dots P &\cong& \dots, ji \dots P & \mbox{if} & i \cdot j = 0, \\ \dots iji \dots P &\cong& P_{\dots i^{(2)}j \dots} \oplus P_{\dots ji^{(2)} \dots} & \mbox{if} & i \cdot j = -1, \end{array}$$

and isomorphisms of graded projective left $R(\nu)$ -modules

$$\begin{array}{rcl} P_{\dots ij\dots} &\cong& P_{\dots ji\dots}, & \mbox{if} & i\cdot j = 0, \\ P_{\dots iji\dots} &\cong& P_{\dots i^{(2)}j\dots} \oplus P_{\dots ji^{(2)}\dots} & \mbox{if} & i\cdot j = -1. \end{array}$$

Proof. It suffices to show the isomorphisms for right projective modules; application of the anti-involution ψ would imply the corresponding isomorphisms for left projective modules. Multiplication by the diagram



is a grading-preserving isomorphism between $\dots ij \dots P$ and $\dots ji \dots P$ if $i \cdot j = 0$. Consider grading-preserving maps

$$B_0 : \dots iji\dots P \longrightarrow \dots i^{(2)}j\dots P \oplus \dots ji^{(2)}\dots P,$$

$$B_1 : \dots i^{(2)}j\dots P \oplus \dots ji^{(2)}\dots P \longrightarrow \dots iji\dots P$$

given by matrices of diagrams

$$(2.49) B_0 = \left(\begin{array}{c} & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ &$$

Notice that the top entry in B_0 ends with the projector $e_{2,i}$ which takes $1_{\dots ii\dots}$ to $1_{\dots i^{(2)}\dots}$, and we view this entry as a homomorphism

$$\dots iji\dots P \longrightarrow \dots iij\dots P \longrightarrow \dots i^{(2)}j\dots P,$$

ditto for the bottom entry in B_0 . The degree of each diagram in B_0 is 1, therefore the map B_0 is grading-preserving since the grading shifts for the sequences differ by $\langle \dots i^{(2)} j \dots \rangle - \langle \dots i j i \dots \rangle = 1$.

We view the first entry in B_1 as the composition

 $\dots i^{(2)} j \dots P \subset \dots i j \dots P \longrightarrow \dots i j i \dots P,$

and there is no need to write the corresponding idempotent (the same for the second entry). We compute

$$B_0B_1 = \begin{pmatrix} & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ &$$

$$\begin{array}{c|c} (2.3) \\ \hline \end{array} \begin{pmatrix} - & \swarrow & | & 0 \\ & i & i & j \\ & 0 & & \downarrow \\ & & & j & i & i \\ \end{pmatrix} \xrightarrow{(2.3),(2.6)} \begin{pmatrix} & \swarrow & | & 0 \\ & i & i & j & 0 \\ & & & 0 & & \downarrow \\ & & & & 0 & \\ & & & & j & i & i \\ \end{pmatrix}$$

In the last matrix above the diagonal terms are idempotents $1_{i^{(2)}j}$ and $1_{ji^{(2)}}$, giving identity maps of projectives $\dots i^{(2)}j \dots P$ and $\dots j^{(2)} \dots P$, respectively.



Therefore, B_0, B_1 are isomorphisms, and the second isomorphism follows. \Box

Corollary 2.14. For any M in $R(\nu)$ -mod there are isomorphisms of graded vector spaces

$$\begin{array}{rcl} 1_{\dots ij\dots M} &\cong& 1_{\dots ji\dots M} & \mbox{if} & i \cdot j = 0, \\ 1_{\dots iji\dots M} \{1\} &\cong& 1_{\dots i^{(2)}j\dots M} \oplus 1_{\dots ji^{(2)}\dots M} & \mbox{if} & i \cdot j = -1. \end{array}$$

Corollary 2.15. The following character equalities hold for any graded finitelygenerated $R(\nu)$ -module M,

$$ch(M, \dots ij \dots) = ch(M, \dots, ji \dots) \quad if \quad i \cdot j = 0,$$

$$ch(M, \dots iji \dots) = ch(M, \dots i^{(2)}j \dots) + ch(M, \dots ji^{(2)} \dots) \quad if \quad i \cdot j = -1,$$

$$ch(M, \dots i^{(a)}i^{(b)} \dots) = \begin{bmatrix} a+b\\a \end{bmatrix} ch(M, \dots i^{(a+b)} \dots).$$

2.6. Induction and restriction. Suppose we have an inclusion of rings $\iota : B \hookrightarrow A$ which is not necessarily unital: $e = \iota(1)$ is only an idempotent in A. The induction functor between categories of *unital* modules

$$B-\operatorname{mod} \xrightarrow{\operatorname{Ind}} A-\operatorname{mod}, \quad M \longmapsto A \otimes_B M$$

is isomorphic to the functor $M \mapsto Ae \otimes_B M$. Its right adjoint

 $\operatorname{Res}: A \operatorname{-mod} \longrightarrow B \operatorname{-mod}$

takes M to eM, viewed as a B-module.

The inclusion of graded rings

$$\iota_{\nu,\nu'}$$
 : $R(\nu) \otimes R(\nu') \hookrightarrow R(\nu + \nu')$

is described by putting the diagrams next to each other. It takes the idempotent $1_i \otimes 1_j$ to 1_{ij} and the unit element to an idempotent of $R(\nu + \nu')$ denoted $1_{\nu,\nu'}$.

Proposition 2.16. $1_{\nu,\nu'}R(\nu+\nu')$ is a free graded left $R(\nu)\otimes R(\nu')$ -module.

Proof. The minimal representative w of a left $S_{|\nu|} \times S_{|\nu'|}$ -coset in $S_{|\nu|+|\nu'|}$ gives rise to the diagram

$$_{ij}\widehat{w} \in _{ij}R(\nu + \nu')_{w^{-1}(ij)}$$

of the minimal presentation of w with top ends of strands labelled by the sequence ij for $i \in \text{Seq}(\nu)$ and $j \in \text{Seq}(\nu')$:



The set of elements

$$\widehat{w} = \sum_{i \in \nu, j \in \nu'} {}_{ij} \widehat{w},$$

over all cosets, is a basis of $1_{\nu,\nu'}R(\nu + \nu')$ as a free graded left $R(\nu) \otimes R(\nu')$ -module.

We denote the restriction and induction functors for the inclusion

$$R(\nu) \otimes R(\nu') \subset R(\nu + \nu')$$

by $\operatorname{Res}_{\nu,\nu'}$ and $\operatorname{Ind}_{\nu,\nu'}$, respectively.

Corollary 2.17. The restriction functor $\operatorname{Res}_{\nu,\nu'}$ takes projectives to projectives.

Given a quadruple $(\nu, \nu', \nu'', \nu''')$ with $\nu + \nu' = \nu'' + \nu'''$, let

$$_{\nu,\nu'}R_{\nu'',\nu'''} = 1_{\nu} \otimes 1_{\nu'}R(\nu + \nu')1_{\nu''} \otimes 1_{\nu'''}.$$

Proposition 2.18. Graded $(R(\nu) \otimes R(\nu'), R(\nu'') \otimes R(\nu''))$ -bimodule $_{\nu,\nu'}R_{\nu'',\nu'''}$ has a filtration by graded bimodules isomorphic to

$$(_{\nu}R_{\nu-\lambda,\lambda}\otimes_{\nu'}R_{\nu'+\lambda-\nu''',\nu'''-\lambda})\otimes_{R'}(_{\nu-\lambda,\nu''+\lambda-\nu}R_{\nu''}\otimes_{\lambda,\nu'''-\lambda}R_{\nu'''})\{-\lambda\cdot(\nu'+\lambda-\nu''')\},$$

where $R' = R(\nu - \lambda) \otimes R(\lambda) \otimes R(\nu' + \lambda - \nu''') \otimes R(\nu''' - \lambda)$, over all $\lambda \in \mathbb{N}[I]$ such that every term above is in $\mathbb{N}[I]$.

Proof. This proposition is a version of the Mackey's induction-restriction theorem for inclusion of maximal parabolic subgroups $S_{m-n} \times S_n \subset S_m$. The statement and its proof are best illustrated by the diagram



These diagrams, over all λ (summing over all colorings of strands) will provide generators for the subquotient bimodules that appear in the proposition. The grading shift $-\lambda \cdot (\nu' + \lambda - \nu''')$ is the degree of the intersection diagram of $|\lambda|$ parallel lines colored by any $i \in \text{Seq}(\lambda)$ and $|\nu' + \lambda - \nu'''|$ parallel lines colored by any $j \in \text{Seq}(\nu' + \lambda - \nu''')$.

We have

$$\operatorname{Ind}_{\nu,\nu'}(P_i \otimes P_j) \cong P_{ij}$$

for $i \in \text{Seq}(\nu)$, $j \in \text{Seq}(\nu')$. By passing to direct summands, we see that the formula holds more generally, for $i \in \text{Seqd}(\nu)$, $j \in \text{Seqd}(\nu')$.

A shuffle \boldsymbol{k} of a pair of sequences $\boldsymbol{i} \in \text{Seq}(\nu), \boldsymbol{j} \in \text{Seq}(\nu')$ is a sequence together with a choice of subsequence isomorphic to \boldsymbol{i} such that \boldsymbol{j} is the complementary subsequence. Shuffles of $\boldsymbol{i}, \boldsymbol{j}$ are in a bijection with the minimal coset representatives of $S_{|\nu|} \times S_{|\nu'|}$ in $S_{|\nu|+|\nu'|}$. We denote by deg $(\boldsymbol{i}, \boldsymbol{j}, \boldsymbol{k})$ the degree of the diagram in $R(\nu + \nu')$ naturally associated to the shuffle; see an example below:



When the meaning is clear, we will also denote by k the underlying sequence of the shuffle k.

Proposition 2.19. For any $\mathbf{k} \in \text{Seq}(\nu + \nu')$,

$$\operatorname{Res}_{\nu,\nu'}P_{k} \cong \bigoplus_{i,j} P_{i} \otimes P_{j}\{\operatorname{deg}(i,j,k)\},$$
$$\operatorname{Res}_{\nu,\nu'}(_{k}P) \cong \bigoplus_{i \neq j = k} P \otimes_{j}P\{\operatorname{deg}(i,j,k)\},$$

the sum over all ways to represent k as a shuffle of $i \in \text{Seq}(\nu)$ and $j \in \text{Seq}(\nu')$.

The proposition follows immediately from the structure of bimodules $_{\nu,\nu'}R_{\nu+\nu'}$ and $_{\nu+\nu'}R_{\nu,\nu'}$. \Box

Given two functions f and g on sets $\operatorname{Seq}(\nu)$ and $\operatorname{Seq}(\nu')$, respectively, with values in some commutative ring which contains $\mathbb{Z}[q, q^{-1}]$, we define their (quantum) shuffle product $f \sqcup g$ (see [30] and references therein) as a function on $\operatorname{Seq}(\nu + \nu')$ given by

$$(f \sqcup g)(\boldsymbol{k}) = \sum_{\boldsymbol{i}, \boldsymbol{j}} q^{\deg(\boldsymbol{i}, \boldsymbol{j}, \boldsymbol{k})} f(\boldsymbol{i}) g(\boldsymbol{j}),$$

the sum is over all ways to represent k as a shuffle of i and j.

Lemma 2.20. For $M \in R(\nu)$ -mod and $N \in R(\nu')$ -mod we have

$$\operatorname{ch}(\operatorname{Ind}_{\nu,\nu'}(M\otimes N)) = \operatorname{ch}(M) \sqcup \operatorname{ch}(N).$$

Proof. This lemma follows at once from the last proposition and formula (2.48).

3. Quantum groups and the Grothendieck ring of R

3.1. Homomorphism γ of twisted bialgebras. For a graph Γ , we form the direct sum

$$R = \bigoplus_{\nu \in \mathbb{N}[I]} R(\nu).$$

This is a nonunital ring. By various categories of *R*-modules we will mean direct sums of corresponding categories of $R(\nu)$ -modules:

$$\begin{array}{rcl} R-\mathrm{mod} & \stackrel{\mathrm{der}}{=} & \bigoplus_{\nu \in \mathbb{N}[I]} R(\nu) - \mathrm{mod}, \\ \\ R-\mathrm{fmod} & \stackrel{\mathrm{def}}{=} & \bigoplus_{\nu \in \mathbb{N}[I]} R(\nu) - \mathrm{fmod}, \\ \\ \\ R-\mathrm{pmod} & \stackrel{\mathrm{def}}{=} & \bigoplus_{\nu \in \mathbb{N}[I]} R(\nu) - \mathrm{pmod}. \end{array}$$

The Grothendieck groups

$$K_0(R) = \bigoplus_{\nu \in \mathbb{N}[I]} K_0(R(\nu)), \quad G_0(R) = \bigoplus_{\nu \in \mathbb{N}[I]} G_0(R(\nu))$$

are the direct sums of Grothendieck groups of rings $R(\nu)$. We extend the pairings (2.43) and (2.45) to $K_0(R)$ and $G_0(R)$ by requiring that subspaces corresponding to different ν 's be orthogonal. Induction and restriction functors for the inclusion $R(\nu) \otimes R(\nu') \subset R(\nu + \nu')$, summed over all ν, ν' , give functors

$$\operatorname{Ind}: R \otimes R \operatorname{-mod} \longrightarrow R \operatorname{-mod}, \quad \operatorname{Res}: R \operatorname{-mod} \longrightarrow R \otimes R \operatorname{-mod}$$

where by $R \otimes R$ -mod we mean the direct sum of categories $R(\nu) \otimes R(\nu')$ -mod, over all ν, ν' . These functors restrict to subcategories of finite-dimensional modules and projective modules. Indeed, induction takes projectives to projectives. Restriction, in the case of these inclusions, also takes projectives to projectives, by Proposition 2.19 and the Krull-Shmidt property.

Thus, these functors induce maps [Ind], [Res] on Grothendieck groups $K_0(R)$ and $G_0(R)$. Note that [Res] is the sum of maps

$$K_0(R(\nu + \nu')) \longrightarrow K_0(R(\nu)) \otimes K_0(R(\nu')),$$

or

$$G_0(R(\nu + \nu')) \longrightarrow G_0(R(\nu)) \otimes G_0(R(\nu')),$$

over all ν, ν' ; the tensor products here and further are over $\mathbb{Z}[q, q^{-1}]$.

Proposition 3.1. [Ind] turns $K_0(R)$ and $G_0(R)$ into associative unital $\mathbb{Z}[q, q^{-1}]$ -algebras. [Res] turns $K_0(R)$ and $G_0(R)$ into coassociative counital coalgebras over $\mathbb{Z}[q, q^{-1}]$.

Proof follows from the associativity of induction and restriction. The unit element is given by inducing with the one-dimensional module over $R(\emptyset)$. The counit is given by restricting to $R(\emptyset)$ and taking the graded dimension. \Box

Denote the product $[Ind](x_1, x_2)$ for $x_1, x_2 \in K_0(R)$ simply by x_1x_2 . We equip $K_0(R) \otimes K_0(R)$ with the algebra structure via

(3.1)
$$(x_1 \otimes x_2)(x_1' \otimes x_2') = q^{-|x_2| \cdot |x_1'|} x_1 x_1' \otimes x_2 x_2'$$

for homogeneous x_1, x_2, x'_1, x'_2 , where $|x_2| \in \mathbb{N}[I]$ is the weight of x_2 , etc.

Proposition 3.2. [Res] is an algebra homomorphism from $K_0(R)$ to $K_0(R) \otimes K_0(R)$ with the above algebra structure.

Proof. This follows from Proposition 2.18.

Recall the symmetric bilinear pairing (2.45) on $K_0(R)$ taking values in $\mathbb{Z}[q, q^{-1}] \cdot (\nu)_q$.

Proposition 3.3. The pairing (,) has the following properties:

(1) (1,1) = 1, (2) $([P_i], [P_j]) = \delta_{i,j}(1-q^2)^{-1}$ for $i, j \in I$, (3) $(x, yy') = ([\operatorname{Res}](x), y \otimes y')$, for $x, y, y' \in K_0(R)$, (4) $(xx', y) = (x \otimes x', [\operatorname{Res}](y))$, for $x, x', y \in K_0(R)$.

Proof. Since $1 = [P_{\emptyset}]$, where \emptyset is the empty sequence, and $P_{\emptyset} = \mathbb{k}$ as a module over $R(\emptyset) = \mathbb{k}$, the first statement follows. When $i \neq j$, vectors $[P_i]$ and $[P_j]$ lie in mutually orthogonal subspaces $K_0(R(i))$ and $K_0(R(j))$, so that $([P_i], [P_j]) = 0$. Also,

$$([P_i], [P_i]) = \operatorname{gdim}({}_iR(i)_i) = \operatorname{gdim}(\Bbbk[x]) = (1 - q^2)^{-1}$$

Let $X \in R(\nu + \nu')$ -pmod, $Y \in R(\nu)$ -pmod, and $Y' \in R(\nu')$ -pmod. Then

$$\begin{aligned} ([X], [Y][Y']) &= ([X], [\operatorname{Ind}_{\nu,\nu'}Y \otimes Y']) \\ &= \operatorname{gdim}(X^{\psi} \otimes_{R(\nu+\nu')} (_{\nu+\nu'}R_{\nu,\nu'}) \otimes_{R(\nu) \otimes R(\nu')} Y \otimes Y') \\ &= \operatorname{gdim}(X^{\psi}(1_{\nu} \otimes 1_{\nu'}) \otimes_{R(\nu) \otimes R(\nu')} Y \otimes Y') = ([\operatorname{Res}_{\nu,\nu'}X], [Y] \otimes [Y']), \end{aligned}$$

and statement (3) follows. A similar computation establishes (4).

We next recall $\mathbb{Q}(q)$ -algebras '**f** and **f** from [34, Section 1] and $_{\mathcal{A}}\mathbf{f}$, the integral form of **f** (our q is Lusztig's v^{-1}). Algebra '**f** is a free associative $\mathbb{N}[I]$ -graded algebra on generators θ_i . The degree of θ_i is i. The tensor product '**f** \otimes ' **f** is equipped with an algebra structure using the rule (3.1), and with a coalgebra structure r : '**f** \longrightarrow '**f** \otimes ' **f**, determined by the conditions $r(\theta_i) = \theta_i \otimes 1 + 1 \otimes \theta_i$ and r being an algebra homomorphism.

'f comes equipped with a bilinear form (,) uniquely determined by the same conditions as the ones in Proposition 3.3, with comultiplication r taking the place of comultiplication [Res] in $K_0(R)$ and θ_i , θ_j taking the place of $[P_i]$, $[P_j]$. It has the weight space decomposition

$$\mathbf{f} = \bigoplus_{\nu \in \mathbb{N}[I]} \mathbf{f}_{\nu}.$$

Let \mathcal{I} be the radical of the bilinear form (,). It is a two-sided ideal of '**f** and one forms the quotient algebra $\mathbf{f} = '\mathbf{f}/\mathcal{I}$, which also has the weight decomposition

$$\mathbf{f} = igoplus_{
u \in \mathbb{N}[I]} \mathbf{f}_{
u}.$$

The bilinear form and the comultiplication r descend to the quotient algebra. 'f and f come with a $\mathbb{Q}(q)$ -antilinear involution $\bar{}$ that takes q^n to q^{-n} and θ_i to θ_i .

It is not hard to check that the elements

$$\theta_i \theta_j - \theta_i \theta_j$$
 for $i \cdot j = 0$

and

$$(q+q^{-1})\theta_i\theta_j\theta_i-\theta_i^2\theta_j-\theta_j\theta_i^2$$
 for $i\cdot j=-1$

belong to the ideal \mathcal{I} . The quantum version of the Gabber-Kac theorem says that \mathcal{I} is generated by these elements over all pairs of vertices $i \neq j$ of the graph Γ (for instance, see Theorem 33.1.3 in [34]).

Define $_{\mathcal{A}}\mathbf{f}$ as the $\mathbb{Z}[q, q^{-1}]$ -subalgebra generated by the divided powers $\theta_i^{(a)}, i \in I$, $a \in \mathbb{N}$.

Proposition 3.4. There is an injective homomorphism of $\mathbb{Z}[q, q^{-1}]$ -algebras γ : ${}_{\mathcal{A}}\mathbf{f} \longrightarrow K_0(R)$ that takes $\theta_{i_1}^{(a_1)} \dots \theta_{i_k}^{(a_k)}$ to $[P_i]$, where $\mathbf{i} = i_1^{(a_1)} \dots i_k^{(a_k)}$. This homomorphism converts the comultiplication r of ${}_{\mathcal{A}}\mathbf{f}$ into the comultiplication [Res] in $K_0(R)$. It takes the bilinear form on ${}_{\mathcal{A}}\mathbf{f}$ to the bilinear form on $K_0(R)$:

$$(x, y) = (\gamma(x), \gamma(y))$$

The bar-involution of $_{\mathcal{A}}\mathbf{f}$ goes to the bar-involution of $K_0(R)$ under γ .

Proof. Start with the homomorphism of $\mathbb{Q}(q)$ -algebras $\mathbf{f} \longrightarrow K_0(R)_{\mathbb{Q}(q)}$, where

$$K_0(R)_{\mathbb{Q}(q)} \stackrel{\text{def}}{=} K_0(R) \otimes_{\mathbb{Z}[q,q^{-1}]} \mathbb{Q}(q)$$

defined by the condition that it takes θ_i to $[P_i]$. There are equalities in $K_0(R)_{\mathbb{Q}(q)}$:

$$\begin{split} [P_{ij}] &= [P_{ji}], \quad i \cdot j = 0, \\ [P_{iji}] &= [P_{i^{(2)}j}] + [P_{ji^{(2)}}], \quad i \cdot j = -1, \end{split}$$

that come from isomorphisms of left projective modules in Proposition 2.13. These equalities match the generators of the ideal \mathcal{I} . Therefore, the above homomorphism descends to a homomorphism

$$\gamma_{\mathbb{Q}(q)}$$
 : $\mathbf{f} \longrightarrow K_0(R)_{\mathbb{Q}(q)}$.

Under this homomorphism induction of projective *R*-modules corresponds to the multiplication in $_{\mathcal{A}}\mathbf{f}$, so that

$$\gamma_{\mathbb{Q}(q)}(\theta_{i_1}\dots\theta_{i_k})=[P_{i_1\dots i_k}].$$

Passing to the divided powers shows that

$$\gamma_{\mathbb{Q}(q)}(\theta_{i_1}^{(a_1)}\dots\theta_{i_k}^{(a_k)}) = [P_{i_1^{(a_1)}\dots i_k^{(a_k)}}].$$

The bilinear forms on \mathbf{f} and $K_0(R)_{\mathbb{Q}(q)}$ satisfy the same properties, listed earlier, and these properties uniquely determine the form on \mathbf{f} . Therefore, the homomorphism $\gamma_{\mathbb{Q}(q)}$ respects the bilinear forms:

$$(\gamma_{\mathbb{Q}(q)}(x), \gamma_{\mathbb{Q}(q)}(y)) = (x, y).$$

Since the bilinear form on **f** is nondegenerate, homomorphism $\gamma_{\mathbb{Q}(q)}$ is injective. The bar-involution on **f** is q-antilinear and fixes each product element $\theta_{i_1} \dots \theta_{i_k}$. The bar-involution on $K_0(R)_{\mathbb{Q}(q)}$ is q-antilinear and fixes $[P_i]$ for each **i**. Therefore, $\gamma_{\mathbb{Q}(q)}(\overline{x}) = \overline{\gamma_{\mathbb{Q}(q)}(x)}$ for all $x \in \mathbf{f}$.

The image of the restriction of $\gamma_{\mathbb{Q}(q)}$ to ${}_{\mathcal{A}}\mathbf{f}$ lies in $K_0(R)$, therefore we get a homomorphism $\gamma : {}_{\mathcal{A}}\mathbf{f} \longrightarrow K_0(R)$ by restriction. This homomorphism is injective and satisfies all the properties stated in the proposition.

We will prove in the next section that γ is an isomorphism.

3.2. Surjectivity of γ . In this section we closely follow [25, Chapter 5]; all results there transfer directly to our case.

For M in $R(\nu)$ -mod and $i \in I$ let

$$\Delta_i M = (1_{\nu-i} \otimes 1_i) M = {}_{\nu-i,i} R_{\nu} \otimes_{R(\nu)} M,$$

and, more generally,

$$\Delta_{i^n} M = (1_{\nu - ni} \otimes 1_{ni}) M = {}_{\nu - ni, ni} R_{\nu} \otimes_{R(\nu)} M.$$

We view Δ_{i^n} as the functor into the category $R(\nu - ni) \otimes R(ni)$ -mod. There are functorial isomorphisms

(3.2) $\operatorname{HOM}_{R(\nu)}(\operatorname{Ind}_{\nu-ni,ni}N \otimes L(i^n), M) \cong \operatorname{HOM}_{R(\nu-ni) \otimes R(ni)}(N \otimes L(i^n), \Delta_{i^n}M),$

for M as above and $N \in R(\nu - ni)$ -mod. The following lemma is obvious.

Lemma 3.5.

$$\operatorname{ch}(\Delta_{i^n} M) = \sum_{\boldsymbol{j} \in \operatorname{Seq}(\nu - ni)} \operatorname{ch}(M, \boldsymbol{j}i^n) \cdot \boldsymbol{j},$$

where we view $\Delta_{i^n} M$ as a module over the subalgebra $R(\nu - ni)$ of $R(\nu - ni) \otimes R(ni)$.

Let $\varepsilon_i(M) = \max\{n \ge 0 | \Delta_{i^n} M \ne 0\}$. This number is the length of the longest tail of *i*'s in sequences \mathbf{k} with $\mathbf{1}_{\mathbf{k}} M \ne 0$.

Lemma 3.6. If $M \in R(\nu)$ -mod is irreducible, and $N \otimes L(i^n)\{r\}$ is an irreducible submodule of $\Delta_{i^n}(M)$ for some $0 \le n \le \varepsilon_i(M)$ and $r \in \mathbb{Z}$, then $\varepsilon_i(N) = \varepsilon_i(M) - n$.

Proof. This is our analogue of Lemma 5.1.2 of [25] and the proof is essentially the same. Let $\varepsilon = \varepsilon_i(M)$. Clearly, $\varepsilon_i(N) \leq \varepsilon_i(M) - n$. Isomorphisms (3.2) and the irreducibility of M imply that it is a quotient of $\operatorname{Ind}_{\nu-ni,ni}N \otimes L(i^n)\{r\}$. By exactness of $\Delta_{i^{\varepsilon}}M$, we get that $\Delta_{i^{\varepsilon}}(M) \neq 0$ is a quotient of

$$\Delta_{i^{\varepsilon}}(\mathrm{Ind}_{\nu-ni,ni}N\otimes L(i^{n}))\{r\}$$

Hence, the latter module is nonzero, and the inequality $\varepsilon_i(N) \ge \varepsilon_i(M) - n$ follows from the Shuffle lemma 2.20.

Lemma 3.7. Suppose $N \in R(\nu)$ -mod is irreducible and $\varepsilon_i(N) = 0$. Let M =Ind_{ν,ni} $N \otimes L(i^n)$. Then

(1) $\Delta_{i^n} M \cong N \otimes L(i^n),$

- (2) hdM is irreducible and $\varepsilon_i(hdM) = n$,
- (3) all other composition factors L of M have $\varepsilon_i(L) < n$.

Proof. This is the analogue of Lemma 5.1.3 in [25] for algebras $R(\nu)$.

(1) is immediate from the Shuffle lemma and Lemma 3.5.

(2) From (3.2) we see that a copy of $N \otimes L(i^n)$, possibly with a grading shift, appears in $\Delta_{i^n}Q$ for any nonzero quotient Q of M, including direct summands of hdM. Part 1, however, implies that $N \otimes L(i^n)$ appears only once in $\Delta_{i^n}M$, so that hdM is irreducible.

(3) From part (2) we have $\Delta_{i^n}(M) = \Delta_{i^n}(hdM)$, so that $\Delta_{i^n}(L) = 0$ for any other composition factor of M, since Δ_{i^n} is exact.

Lemma 3.8. Let $M \in R(\nu)$ -mod be irreducible and $\varepsilon = \varepsilon_i(M)$. Then $\Delta_{i\varepsilon}M$ is isomorphic to $N \otimes L(i^{\varepsilon})$ for some irreducible $N \in R(\nu - \varepsilon_i)$ -mod with $\varepsilon_i(N) = 0$.

Proof. The proof is identical to that of Lemma 5.1.4 in [25].

Lemma 3.9. Let $N \in R(\nu)$ -mod be irreducible and $M = \operatorname{Ind}_{\nu,ni} N \otimes L(i^n)$. Then hdM is irreducible, $\varepsilon_i(\operatorname{hd} M) = \varepsilon_i(N) + n$, and all other composition factors L of M have $\varepsilon_i(L) < \varepsilon_i(N) + n$.

Proof. The same as the proof of Lemma 5.1.5 in [25].

Proposition 3.10. For any irreducible $M \in R(\nu)$ -mod and $0 \le n \le \varepsilon_i(M)$, soc $\Delta_{i^n}M$ is an irreducible $R(\nu - ni) \otimes R(ni)$ -module of the form $L \otimes L(i^n)$ with $\varepsilon_i(L) = \varepsilon_i(M) - n$.

Proof. The same as the proof of Theorem 5.1.6 in [25]. The analogue of the Kato theorem in our framework is stated below (this theorem appears in the proof of Theorem 5.1.6). \Box

Proposition 3.11. Let μ be a composition of n.

- (1) The module $L(i^n)$ over the nil-Hecke algebra R(ni) is the only graded irreducible module, up to isomorphism and graded shifts.
- (2) All composition factors of $\operatorname{Res}_{\mu}^{n} L(i^{n})$ are isomorphic to $L(i^{\mu_{1}}) \otimes \cdots \otimes L(i^{\mu_{r}})$, up to grading shifts, and $\operatorname{soc}(\operatorname{Res}_{\mu}^{n} L(i^{n}))$ is irreducible.
- (3) $\operatorname{soc}(\operatorname{Res}_{n-1}^{n}L(i^{n})) \cong L(i^{n-1})$, up to a grading shift.

Here $\operatorname{Res}_{\mu}^{n}$ denotes the restriction to the parabolic nil-Hecke subalgebra $NH_{\mu} \cong NH_{\mu_{1}} \otimes \cdots \otimes NH_{\mu_{r}}$. The proof in [25] works in this case as well, with the equivalent of Lemma 4.3.1 being Lemma 2.1. \Box

Let $e_i = \operatorname{Res}_{\nu-i}^{\nu-i,i} \circ \Delta_i$ be the functor of composition of Δ_i with the restriction from $R(\nu-i) \otimes R(i)$ to $R(\nu-i)$. Then $\varepsilon_i(M) = \max\{n \ge 0 | e_i^n M \ne 0\}$ and

$$\operatorname{Res}_{\nu-i}^{\nu} M = \bigoplus_{i \in I} e_i M.$$

Corollary 3.12. Let $M \in R(\nu)$ -mod be irreducible with $\varepsilon_i(M) > 0$. Then $\operatorname{soc}(e_i M)$ is irreducible and $\varepsilon_i(\operatorname{soc}(e_i M)) = \varepsilon_i(M) - 1$. Socles of $e_i M$ are pairwise nonisomorphic for different $i \in I$.

The proof is the same as for Corollaries 5.1.7 and 5.1.8 in [25]. \Box For an irreducible $M \in R(\nu)$ -mod define

(3.3)
$$\widetilde{e}_i M := \operatorname{soc}(e_i M), \quad f_i M := \operatorname{hd} \operatorname{ind}_{\nu,i}^{\nu+i} M \otimes L(i).$$

The module $\tilde{f}_i M$ is irreducible by Lemma 3.9, while $\tilde{e}_i M$ is irreducible or 0 by Corollary 3.12, and

$$\varepsilon_i(M) = \max\{n \ge 0 | \widetilde{e}_i^n M \ne 0\}, \ \varepsilon_i(\widetilde{f}_i M) = \varepsilon_i(M) + 1.$$

In the statements below, isomorphisms of simple modules are allowed to be homogeneous (not necessarily degree-preserving).

Lemma 3.13. For an irreducible $M \in R(\nu)$ -mod we have

(3.4)
$$\operatorname{soc}\Delta_{i^n}M \cong (\widetilde{e}_i^n M) \otimes L(i^n),$$

(3.5) $hd \ ind_{\nu,ni}(M \otimes L(i^n)) \cong \widetilde{f}_i^n M.$

Lemma 3.14. For an irreducible $M \in R(\nu)$ -mod the socle of $e_i^n M$ is isomorphic to $\tilde{e}_i^n M^{\oplus[n]!} \{-\frac{n(n-1)}{2}\}$.

The proofs are equivalent to those of Lemmas 5.2.1 and 5.2.2 in [25]. \Box

Lemma 3.15. For irreducible modules $M \in R(\nu)$ -mod and $N \in R(\nu+i)$ -mod we have $\tilde{f}_i M \cong N$ if and only if $\tilde{e}_i N \cong M$.

The proof follows that of Lemma 5.2.3 in [25]. \Box

Corollary 3.16. Let $M, N \in R(\nu)$ -mod be irreducible. Then $\tilde{f}_i M \cong \tilde{f}_i N$ if and only if $M \cong N$. Assuming $\varepsilon_i(M), \varepsilon_i(N) > 0$, $\tilde{e}_i M \cong \tilde{e}_i N$ if and only if $M \cong N$.

The character ch(M) of a finite-dimensional representation $M \in R(\nu)$ -mod takes values in $\mathbb{Z}[q, q^{-1}]$ Seq (ν) , the free $\mathbb{Z}[q, q^{-1}]$ -module generated by Seq (ν) , and descends to a homomorphism from $G_0(R(\nu))$ to $\mathbb{Z}[q, q^{-1}]$ Seq (ν) .

Theorem 3.17. The character map

ch :
$$G_0(R(\nu)) \longrightarrow \mathbb{Z}[q, q^{-1}] \operatorname{Seq}(\nu)$$

is injective.

Equivalently, the characters of irreducible modules (one from each equivalence class up to grading shifts) are linearly independent functions on Seq(ν). The proof is identical to that of Theorem 5.3.1 in [25]. Note that in our case the character of a finite-dimensional graded module is a function on sequences with values in $\mathbb{Z}[q, q^{-1}]$, while in the nongraded case of [25] its a function on sequences taking values in \mathbb{Z} . This discrepancy has no effect on the proof. \Box

Passing to the fraction field $\mathbb{Q}(q)$ of $\mathbb{Z}[q, q^{-1}]$ and dualizing the map ch, which then becomes the composition

$$\mathbb{Q}(q)\operatorname{Seq}(\nu) \longrightarrow \mathbf{f}_{\nu} \xrightarrow{I_{\mathbb{Q}}(q)} K_0(R(\nu))_{\mathbb{Q}(q)},$$

we conclude that $\gamma_{\mathbb{Q}(q)}$, restricted to weight ν , is a surjective map of $\mathbb{Q}(q)$ -vector spaces. We have already observed that γ and $\gamma_{\mathbb{Q}(q)}$ are injective. By summing over all weights, we obtain the following result.

Proposition 3.18. $\gamma_{\mathbb{Q}(q)} : \mathbf{f} \longrightarrow K_0(R)_{\mathbb{Q}(q)}$ is an isomorphism.

Therefore, the number of isomorphism classes of (graded) simple $R(\nu)$ -modules is the same for any field k.

Corollary 3.19. A (graded) irreducible $R(\nu)$ -module is absolutely irreducible, for any Γ , \Bbbk and weight ν .

Next, assume that Γ is finite. Choose a total order on I, $i(0) < i(1) \cdots < i(k-1)$, k = |I|. For r > k define i(r) = i(r') where r' is the residue of r modulo k. Fix one representative S_b from each isomorphism class b of irreducible $R(\nu)$ -modules, up to grading shifts. Recall that we denoted this set of isomorphism classes by \mathbf{B}'_{ν} . For all ν , to each $b \in \mathbf{B}'_{\nu}$ assign the following sequence $Y_b = y_0y_1\ldots$ of nonnegative integers: $y_0 = \varepsilon_{i(0)}(M)$, and let $M_1 = \tilde{e}^{y_0}_{i(0)}M$. Inductively, $y_r = \varepsilon_{i(r)}(M_r)$, and $M_{r+1} = \tilde{e}^{y_r}_{i(r)}M_r$. Note that $y_0 + y_1 + \cdots = |\nu|$ and only finitely many terms in the sequence are nonzero. Introduce a lexicographic order on sequences of nonnegative integers: $y_0y_1\cdots > z_0z_1\ldots$ if, for some t, $y_0 = z_0$, $y_1 = z_1$, \ldots , $y_{t-1} = z_{t-1}$ and $y_t > z_t$. This order induces a total order on \mathbf{B}'_{ν} , by b > c iff $Y_b > Y_c$. To each sequence $Y_b = y_0y_1\ldots$ we assign the projective $R(\nu)$ -module $P_{Y_b^r}$ associated to the divided powers sequence $Y_b^r = \cdots i(2)^{y(2)}i(1)^{y(1)}i(0)^{(y_0)}$ (the order of y's is reversed).

Proposition 3.20. HOM $(P(Y_b), S_c) = 0$ if b < c and HOM $(P(Y_b), S_b) = \Bbbk$.

This follows from the previous results and implies that the image [P] of any (graded) projective $R(\nu)$ -module in the Grothendieck group $K_0(R(\nu))$ can be written as a linear combination, with coefficients in $\mathbb{Z}[q, q^{-1}]$, of images of divided powers projectives $[P_{\theta}]$, for divided power sequences θ of the form Y_b^{Γ} . Therefore, $\gamma : {}_{\mathcal{A}}\mathbf{f} \longrightarrow K_0(R(\nu))$ is surjective. Since, γ is also injective, it is an isomorphism. The case of an infinite Γ follows by taking the direct limit of its finite subgraphs. This concludes the proof of the Theorem 1.1 stated in the introduction.

It would be interesting to find out if the theorem remains valid for rings $R(\nu)$ over \mathbb{Z} rather than over a field \Bbbk .

For each divided power $i^{(a)}$ we have the corresponding projective $P_{i^{(a)}}$. Induction with this projective is an exact functor, denoted $\mathcal{F}_i^{(a)}$, from $R(\nu)$ -mod to $R(\nu + ai)$ -mod. Summing over all ν , form the functor

$$\mathcal{F}_i^{(a)}$$
 : $R - \text{mod} \longrightarrow R - \text{mod}$.

This functor restricts to the subcategory R-pmod of the category of projective modules. To any divided power sequence $\theta = i_1^{(a_1)} \dots i_r^{(a_r)}$ associate the functor

$$\mathcal{F}_{ heta} = \mathcal{F}_{i_1}^{(a_1)} \circ \cdots \circ \mathcal{F}_{i_r}^{(a_r)}$$

on R-mod. To a finite sum $\sum_k u_k \theta(k)$ where $u_k \in \mathbb{N}[q, q^{-1}]$ and $\theta(k)$ are divided powers sequences associate the direct sum of shifted copies of $\mathcal{F}_{\theta(k)}$:

$$\bigoplus_k \mathcal{F}_{\theta(k)}^{\oplus u_k}$$

Theorem 3.21. For any relation

$$\sum_{k} u_k \theta(k) = \sum_{\ell} v_{\ell} \theta'(\ell)$$

in $_{\mathcal{A}}\mathbf{f}$ with positive coefficients $u_k, v_\ell \in \mathbb{N}[q, q^{-1}]$ there is an isomorphism of projectives

$$\bigoplus_{k} P_{\theta(k)}^{\oplus u_{k}} \cong \bigoplus_{\ell} P_{\theta'(\ell)}^{\oplus v_{\ell}}$$

inducing an isomorphism of functors

$$\bigoplus_{k} \mathcal{F}_{\theta(k)}^{\oplus u_{k}} \cong \bigoplus_{\ell} \mathcal{F}_{\theta'(\ell)}^{\oplus v_{\ell}}.$$

This result follows immediately from the earlier ones. \Box

We conclude that any relation in $_{\mathcal{A}}\mathbf{f}$ lifts to an isomorphism of functors. It is natural to view the category R-pmod, as well as the category of induction functors on R-mod it gives rise to, as a categorification of $_{\mathcal{A}}\mathbf{f}$, the integral form of the quantum universal enveloping algebra of the negative half of the simply-laced Kac-Moody algebra associated to the graph Γ .

The semilinear "hom" form (,)' on $K_0(R)$ defined by

$$([P], [Q])' := \text{gdimHOM}(P, Q)$$

is related to the "tensor product" bilinear form (,) given by (2.45) via

$$(x,y)' = (\overline{x},y)$$

Indeed, by surjectivity of γ , it suffices to check this relation for $x = [P_i]$ and $y = [P_i]$, in which case both sides are equal to the graded dimension of ${}_i R(\nu)_i$.

The involution σ of $R(\nu)$ defined in Section 2.1 induces a self-equivalence of $R(\nu)$ -mod which takes projective $P_{i_1^{(a_1)}...i_r^{(a_r)}}$ to $P_{i_r^{(a_r)}...i_1^{(a_1)}}$. The induced map $[\sigma]$ on the Grothendieck group $K_0(R)$ coincides, under the isomorphism γ , the *q*-linear anti-involution of ${}_{\mathcal{A}}\mathbf{f}$ that fixes each $\theta_i^{(a)}$.

On the category $R(\nu)$ -fmod we have the contravariant duality functor, which takes a finite-dimensional module M to its vector space dual $M^{*\psi}$ twisted by the anti-involution ψ . This duality functor leaves invariant the character evaluated at q = 1:

$$\operatorname{ch}(M^{*\psi})_{q=1} = \operatorname{ch}(M)_{q=1}.$$

Therefore, the contravariant duality preserves simples, up to overall shift:

$$S_b^{*\psi} \cong S_b\{r\}.$$

Given $\mathbf{i} \in \operatorname{Seq}(\nu)$, we have $\operatorname{ch}(S_b, \mathbf{i}) \in \mathbb{Z}[q^2, q^{-2}]$ or $\operatorname{ch}(S_b, \mathbf{i}) \in q\mathbb{Z}[q^2, q^{-2}]$ for parity reasons (more generally, this is true for any indecomposable object of $R(\nu)$ -mod and can be used to decompose $R(\nu)$ -mod into the direct sum of two subcategories). Then $\operatorname{ch}(S_b^{*\psi}, \mathbf{i}) \in \mathbb{Z}[q^2, q^{-2}]$ if the same is true for $\operatorname{ch}(S_b, \mathbf{i})$, and $\operatorname{ch}(S_b^{*\psi}, \mathbf{i}) \in$ $q\mathbb{Z}[q^2, q^{-2}]$ if $\operatorname{ch}(S_b, \mathbf{i}) \in q\mathbb{Z}[q^2, q^{-2}]$. Hence, the shift r is an even number.

From now on we redefine S_b by shifting its grading by $\frac{r}{2}$. We have $S_b^{*\psi} \cong S_b$ as graded modules. This normalization of S_b does not depend on the choice of i. The character of S_b is bar-invariant:

$$\overline{\operatorname{ch}(S_b, \boldsymbol{i})} = \operatorname{ch}(S_b, \boldsymbol{i})$$

for all $i \in \text{Seq}(\nu)$, where $\overline{q} = q^{-1}$. Extending the bar-involution to $\mathbb{Z}[q, q^{-1}]\text{Seq}(\nu)$ by $\overline{i} = i$, we have $\overline{\text{ch}(S_b)} = \text{ch}(S_b)$.

This canonical (balanced) choice of grading for S_b allows us to fix the grading on indecomposable projective P_b so that the quotient map $P_b \longrightarrow S_b$ is gradingpreserving. In this way we obtain a basis $\{[P_b]\}$ in ${}_{\mathcal{A}}\mathbf{f}$ which depends only on the characteristic of \Bbbk . Both the multiplication and the comultiplication in this basis have coefficients in $\mathbb{N}[q, q^{-1}]$. An example below shows this basis to be different from the Lusztig-Kashiwara basis when Γ is an odd length cycle and \Bbbk has any characteristic, and when Γ is a cycle and \Bbbk has characteristic 2.

3.3. Tight monomials and indecomposable projectives. Following Lusztig [35], we say that a monomial $\theta = \theta_1^{(a_1)} \dots \theta_k^{(a_k)}$ is *tight* if it belongs to the canonical basis **B** of ${}_{\mathcal{A}}\mathbf{f}$. It follows from the properties of the canonical basis that a monomial θ is tight if and only if $(\theta, \theta) - 1 \in q\mathbb{N}[q]$ (or see [40, Proposition 3.1]).

Proposition 3.22. If a monomial θ is tight, the projective module P_{θ} is indecomposable.

Proof. Tightness of θ implies that HOM (P_{θ}, P_{θ}) is a \mathbb{Z}_+ -graded k-vector space which is one-dimensional in degree 0. Therefore, any degree 0 endomorphism of P_{θ} is a multiple of the identity, and P_{θ} is indecomposable. This argument works even over \mathbb{Z} .

Example 3.23. When the graph Γ consists of a single vertex *i*, the weight space ${}_{\mathcal{A}}\mathbf{f}_{mi}$ is a rank one free $\mathbb{Z}[q, q^{-1}]$ -module generated by $\theta_i^{(m)}$. The map γ takes it to $[P_{i(m)}]$, the generator of the Grothendieck group $K_0(R(mi))$. Projective module $P_{i(m)}$ is indecomposable.

Example 3.24. Let $\Gamma = \overset{i}{\circ} \overset{j}{\longrightarrow}$. Tight monomials $\theta_i^{(a)} \theta_j^{(b)} \theta_i^{(c)}$ $(a, b, c \in \mathbb{N}, b \ge a + c)$ and $\theta_j^{(c)} \theta_i^{(b)} \theta_j^{(a)}$ $(a, b, c \in \mathbb{N}, b \ge a + c)$, with the identification

$$\theta_i^{(a)}\theta_j^{(a+c)}\theta_i^{(c)} = \theta_j^{(c)}\theta_i^{(a+c)}\theta_j^{(a)},$$

constitute the canonical basis **B** of ${}_{\mathcal{A}}\mathbf{f}$; see [34, Example 14.5.4]. Therefore, images of indecomposable projectives $P_{i^{(a)}j^{(b)}i^{(c)}}$, $b \ge a+c$ and $P_{j^{(c)}i^{(b)}j^{(a)}}$, b > a+c, constitute a basis in the free $\mathbb{Z}[q, q^{-1}]$ -module $K_0(R)$. Any indecomposable projective in R-mod is isomorphic to one of the above, up to a grading shift. Indecomposables $P_{i^{(a)}j^{(a+c)}i^{(c)}}$ and $P_{j^{(c)}i^{(a+c)}j^{(a)}}$ are isomorphic.

Example 3.25. Let $\Gamma =$ be a cycle with $n \ge 3$ vertices. Label the vertices clockwise by $1, 2, \ldots, n$ and let $i = 12 \ldots n$. Then HOM (P_{ii}, P_{ii}) is \mathbb{Z}_+ -graded and Hom (P_{ii}, P_{ii}) is 2-dimensional with the basis $\{i_i 1_{ii}, \alpha\}$, where



A computation shows that $deg(\alpha) = 0$ and

$$\alpha^2 = \begin{cases} 0 & \text{if } n \text{ is odd,} \\ -2\alpha & \text{if } n \text{ is even;} \end{cases}$$

implying that $_{ii}1_{ii}$ is the only idempotent in P_{ii} if n is odd, or if n is even and char(\Bbbk) = 2. Under these assumptions, P_{ii} is indecomposable, but

$$([P_{ii}], [P_{ii}]) \in 2 + q\mathbb{N}[q] \neq 1 + q\mathbb{N}[q],$$

and $[P_{ii}]$ is not a canonical basis element. For Γ , an odd length cycle, we found an indecomposable projective P_{ii} whose image in the Grothendieck group is not a canonical basis vector, while being invariant under the bar involution: $\overline{[P_{ii}]} = [P_{ii}]$.

When n is even and char(\mathbb{k}) $\neq 2$, $\alpha_0 = -\frac{\alpha}{2}$ is an idempotent in Hom(P_{ii}, P_{ii}), and $P_{ii} \cong P_{ii}\alpha_0 \oplus P_{ii}(1-\alpha_0)$ is isomorphic to the direct sum of two indecomposable projectives. Furthermore, $\alpha = \beta_1 \beta_0$ where





Module homomorphisms

$$\begin{aligned} \beta_1 &: \quad P_{ii} \longrightarrow P_{1^{(2)}2^{(2)}\dots n^{(2)}}, \\ \beta_0 &: \quad P_{1^{(2)}2^{(2)}\dots n^{(2)}} \longrightarrow P_{ii}, \end{aligned}$$

induced by these elements via right multiplication have degree 0, and $\beta_0\beta_1 = -2 \cdot \text{Id}$, so that $P_{ii}\alpha_0 \cong P_{1^{(2)}2^{(2)}\dots n^{(2)}}$.

3.4. A conjecture on categorification of irreducible representations. Choose $\lambda \in \mathbb{N}[I], \lambda = \sum \lambda_i \cdot i, i \in I$. Let $R(\nu; \lambda)$ be the quotient ring of $R(\nu)$ by the ideal generated by all diagrams of the form



where $i_1 \dots i_m \in \text{Seq}(\nu)$ and the leftmost string has λ_{i_1} dots on it. The ring $R(\nu; \lambda)$ inherits a grading from $R(\nu)$. These quotient rings should be the analogues of the Ariki-Koike cyclotomic Hecke algebras in our framework. Let

$$R(*;\lambda) \stackrel{\text{def}}{=} \bigoplus_{\nu \in \mathbb{N}[I]} R(\nu;\lambda),$$

and switch from \mathbb{Z} to a field k. We expect that, for sufficiently nice Γ and k, the category of graded modules over $R(*; \lambda)$ categorifies the integrable irreducible $U_q(\mathfrak{g})$ -representation V_{λ} with the highest weight λ . Let $R(\nu; \lambda)$ -pmod be the category of finitely-generated graded projective left $R(\nu; \lambda)$ -modules and

$$R(*;\lambda)$$
-pmod $\stackrel{\text{def}}{=} \bigoplus_{\nu \in \mathbb{N}[I]} R(\nu;\lambda)$ -pmod.

There should exist an isomorphism

$$K_0(R(*;\lambda)) \cong V_{\mathbb{Z},\lambda},$$

where $V_{\mathbb{Z},\lambda}$ is an integral version of V_{λ} , a free $\mathbb{Z}[q, q^{-1}]$ -module spanned by the compositions of divided differences $F_i^{(a)}$ applied to the highest weight vector $v_{\lambda} \in V_{\lambda}$. Under this isomorphism indecomposable projectives should correspond to canonical basis vectors in V_{λ} . The action of $E_i^{(a)}$ and $F_i^{(a)}$ should lift to exact functors $\mathcal{E}_i^{(a)}$ and $\mathcal{F}_i^{(a)}$ between categories $R(\nu; \lambda)$ -pmod and $R(\nu + ai; \lambda)$ -pmod as well as the categories $R(\nu; \lambda)$ -mod and $R(\nu + ai; \lambda)$ -mod of all finitely-generated graded modules. These functors $\mathcal{E}_i^{(a)}$ and $\mathcal{F}_i^{(a)}$ will be direct summands of the induction

and restriction functors between $R(\nu; \lambda)$ and $R(\nu + ai; \lambda)$ -modules, defined \dot{a} la Ariki. We expect them to be biadjoint, up to grading shifts.

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SCHOOL OF MATHEMATICS, INSTITUTE FOR ADVANCED STUDY, PRINCETON, NEW JERSEY 08540 Current address: Department of Mathematics, Columbia University, New York, New York 10027

E-mail address: khovanov@math.columbia.edu

Department of Mathematics, Columbia University, New York, New York 10027 E-mail address: lauda@math.columbia.edu