

SIMPLE AND PROJECTIVE CORRESPONDENCE FUNCTORS

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ABSTRACT. A correspondence functor is a functor from the category of finite sets and correspondences to the category of k -modules, where k is a commutative ring. We determine exactly which simple correspondence functors are projective. We also determine which simple modules are projective for the algebra of all relations on a finite set. Moreover, we analyze the occurrence of such simple projective functors inside the correspondence functor F associated with a finite lattice and we deduce a direct sum decomposition of F .

1. INTRODUCTION

In the present paper, we continue to develop the theory of correspondence functors, namely functors from the category of finite sets and correspondences to the category of k -modules, where k is a commutative ring. Assuming that k is a field, we showed in [BT2] how to parametrize the simple correspondence functors $S_{E,R,V}$ by means of a finite set E , an order relation R on E , and a simple $k \operatorname{Aut}(E, R)$ -module V (up to isomorphism). Here, we determine which of them are projective (or equivalently injective).

We say that a poset (E, R) is a *pole poset* if it is obtained by stacking posets having either cardinality one or cardinality two with two incomparable elements (see Section 2 for details).

Theorem 1.1. *Let k be a field and let $S_{E,R,V}$ be the simple correspondence functor parametrized by a finite set E , an order relation R on E , and a simple $k \operatorname{Aut}(E, R)$ -module V . The following conditions are equivalent:*

- (a) $S_{E,R,V}$ is projective.
- (b) The poset (E, R) is a pole poset and V is a projective $k \operatorname{Aut}(E, R)$ -module.
- (c) Either (E, R) is a totally ordered poset or (E, R) is a pole poset and the characteristic of k is different from 2.

Since the group $\operatorname{Aut}(E, R)$ of automorphisms of a pole poset is a 2-group, (b) and (c) are easily seen to be equivalent. However, it requires much more work to prove that (a) implies (b), and also that (b) implies (a) (see Section 4). In the case when (E, R) is totally ordered, the projectivity of $S_{E,R,V}$ was already proved in Corollary 11.11 of [BT3].

Every simple functor $S_{E,R,V}$ has a precursor $\mathbb{S}_{E,R}$, called the fundamental functor associated with the poset (E, R) (see Proposition 3.4). This functor $\mathbb{S}_{E,R}$ has the advantage of being defined over any commutative base ring k . In analogy with the

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theorem above, we prove in Section 5 that $\mathbb{S}_{E,R}$ is projective if and only if (E, R) is a pole poset.

As in [BT4], the theory of correspondence functors has consequences for the representation theory of the monoid algebra $k\mathcal{R}_X$, where \mathcal{R}_X is the monoid of all relations on a finite set X . We show in Section 6 that, whenever $|E| \leq |X|$, the evaluation $S_{E,R,V}(X)$ is a projective $k\mathcal{R}_X$ -module if and only if the simple functor $S_{E,R,V}$ is projective. This provides a criterion, again in terms of pole posets, for the projectivity of a simple module for the monoid algebra $k\mathcal{R}_X$.

Associated with a finite lattice T , there is a correspondence functor F_T which is defined over an arbitrary commutative ring k and which plays a crucial role in the theory, see [BT3, BT4]. We know in particular that F_T is projective if and only if the lattice T is distributive, for instance if T is a pole lattice. Also, the assignment $T \mapsto F_T$ is known to be a fully faithful functor by [BT3].

If T is arbitrary, we show that F_T has direct summands corresponding to pole lattices appearing inside T , by means of suitably constructed idempotents in $\text{End}(F_T)$. Actually, most of the work is done in $\text{End}(T)$ (where morphisms between lattices are defined to be k -linear combinations of join-morphisms), and then corresponding results for $\text{End}(F_T)$ are obtained using the fully faithful functor $T \mapsto F_T$. The construction of idempotents in $\text{End}(T)$ is quite technical (see Section 7) but it provides an explicit description of the part of $\text{End}(T)$ which corresponds to pole lattices (see Section 8).

In Section 9, we analyze the special case of a pole lattice Q (see Theorem 9.1 for details).

Theorem 1.2. *Let Q be a pole lattice. Then $\text{End}(F_Q)$ is isomorphic to a direct sum of matrix algebras*

$$\text{End}(F_Q) \cong \text{End}(Q) \cong \bigoplus_P M_{n(Q,P)}(k \text{Aut}(P))$$

where P varies among pole lattices inside Q and $n(Q, P)$ is some explicit integer.

From this, we obtain a decomposition of F_Q as a direct sum of projective functors (Theorem 9.4) and each summand is also simple when k is a field (Corollary 9.11). Finally, if T is an arbitrary finite lattice, we describe a projective direct summand of F_T corresponding to all pole lattices which appear inside T (Theorem 9.12).

2. POLE POSETS, POLE LATTICES, AND OPPOSITE MORPHISMS

We first recall some standard facts about lattices and fix the terminology and the notation. If T is a finite lattice, we denote by \vee its join, \wedge its meet, and \leq_T its order relation. When the context is clear, we simply write \leq instead of \leq_T . The unique minimal element is written $\widehat{0}$ and the unique maximal element $\widehat{1}$. We let T^{op} denote the opposite lattice, such that

$$x \leq_T y \iff y \leq_{T^{op}} x.$$

A join-irreducible element in T is simply called *irreducible*. We write $\text{Irr}(T)$ for the full subset of irreducible elements of T . Recall that $\widehat{0}$ is an empty join, hence is not irreducible. Similarly $\widehat{1}$ is an empty meet. If $e \in T$ is irreducible, then the half-open interval $[\widehat{0}, e[_T$ has a unique maximal element, written $r(e)$. In other words, $r(e) = \sup\{x \in T \mid x < e\}$. Similarly, if a is meet-irreducible (i.e. irreducible in the opposite lattice T^{op}), then we define $s(a) = \inf\{x \in T \mid a < x\}$. Any finite

poset A is isomorphic to the full subposet of irreducible elements of a lattice, e.g. the lattice $I_{\downarrow}(A)$ of all subsets of A closed under taking smaller elements.

Now we introduce one of the main concepts for the present paper. Let A and B be two finite posets. Define $A * B$ to be the poset whose underlying set is the disjoint union $A \sqcup B$ and whose order relation is the union of the order relation of A , the order relation of B , and the requirement that $a \leq b$ for all $a \in A$ and $b \in B$. If A_1, \dots, A_r are finite posets, then $A_1 * A_2 * \dots * A_r$ is defined inductively.

A *pole poset* is a poset of the form $A_1 * A_2 * \dots * A_r$ where each A_i either has cardinality one, or consists of exactly two incomparable elements. If $a \in A_i$, then clearly a has height $i - 1$ (with the usual convention that the minimal elements, that is, those in A_1 , have height 0). The definition implies that there are two types of elements in a pole poset P :

- (a) If $A_i = \{a\}$ has cardinality one, then a is comparable to every element of P .
- (b) If $A_i = \{a, b\}$ has cardinality two, then a is comparable to every element of $P - \{b\}$. In that case, b will be called the *twin* of a and written \check{a} . In particular, $\check{\check{a}} = a$.

Notice that a totally ordered poset is a pole poset (with no twins). We write P_1 for the set of elements of the first type (the ‘totally ordered’ part of P) and P_2 for the set of elements of the second type (the ‘twin’ part of P).

A *pole lattice* is a lattice whose underlying poset is a pole poset. Whenever a and \check{a} are incomparable elements of height i in a pole lattice P , then they are both join-irreducible and meet-irreducible. In this case, there is a single element of height $i - 1$, namely $r(a) = a \wedge \check{a}$, and a single element of height $i + 1$, namely $s(a) = a \vee \check{a}$. Clearly $r(a) = r(\check{a})$ and $s(a) = s(\check{a})$. Also, $\widehat{0}$ is the unique element of height 0 and $\widehat{1}$ is the unique element of maximal height. Finally, we note that this discussion easily implies that any pole lattice is distributive.

Lemma 2.1. *Let P be a pole lattice and let $X = \{\widehat{0}\} \cup \{s(a) \mid a \in P_2\}$. Then $P - X$ is the set of irreducible elements of P .*

Proof. This is easy and is left to the reader. □

We aim to show that pole posets can be characterized by an internal condition which will be useful later in Section 4. Recall that a *relation* R on a set X is a subset $R \subseteq X \times X$ and that the opposite relation R^{op} is defined by :

$$(x, y) \in R^{op} \iff (y, x) \in R.$$

Moreover, the product of two relations S and T is the relation defined by

$$ST := \{(z, x) \in X \times X \mid \exists y \in X \text{ such that } (z, y) \in S \text{ and } (y, x) \in T\}.$$

Let Σ_X be the symmetric group of all permutations of X . Associated with a permutation $\sigma \in \Sigma_X$, there is the relation

$$\Delta_\sigma := \{(\sigma(x), x) \in X \times X \mid x \in X\}.$$

In particular, we write $\Delta_X := \Delta_{\text{id}}$ for the identity morphism of the object X . The map $\sigma \mapsto \Delta_\sigma$ is a monoid homomorphism and Δ_σ is invertible for every $\sigma \in \Sigma_X$. The symmetric group Σ_X acts on relations by conjugation: we write $R^\sigma = \Delta_{\sigma^{-1}} R \Delta_\sigma$ and ${}^\sigma R = \Delta_\sigma R \Delta_{\sigma^{-1}}$.

Proposition 2.2. *Let P be a finite poset and let $R \subseteq P \times P$ be its order relation (i.e. $(x, y) \in R \iff x \leq y$). Let $\overline{R} = (P \times P) - R$. The following are equivalent:*

- (a) P is pole poset.
 (b) There exists a permutation τ of P such that

$$\forall x, y \in P, \text{ if } x \not\leq y, \text{ then } y \leq \tau(x).$$

- (c) There exists a permutation τ of P such that $\overline{R}^{op} \Delta_{\tau^{-1}} \subseteq R$.

Moreover, if (b) holds, then τ can be chosen to be an automorphism of the poset P and, in that case, it is unique and it satisfies $\tau(a) = \check{a}$ for all twins $a \in P_2$.

Proof. First note that the equivalence of (b) and (c) follows immediately from the definitions, because

$$x \not\leq y \iff (y, x) \in \overline{R}^{op}$$

while we always have $(x, \tau(x)) \in \Delta_{\tau^{-1}}$.

Suppose that (a) holds and define τ to be the permutation that preserves heights and satisfies $\tau(a) = \check{a}$ for all twins $a \in P_2$. Let $x, y \in P$ such that $x \not\leq y$. If x is the unique element of its height, then x is comparable to all elements of P and $\tau(x) = x$. It follows that $y < x = \tau(x)$. If x and \check{x} are distinct elements of the same height, i.e. twins, then x is comparable to every element of $P - \{\check{x}\}$. Therefore, if $y \neq \check{x}$, then $y < x$, hence also $y < \check{x} = \tau(x)$, while if $y = \check{x}$, then $y = \tau(x)$. This proves that we get $y \leq \tau(x)$ in all cases, hence (b) holds.

We assume now that (b) holds and we want to prove (a). We proceed by induction on the size of P , starting from the obvious case when $|P| = 1$. Suppose first that P has at least two distinct maximal elements w and z . Since $w \not\leq z$, we have $z \leq \tau(w)$ by (b), hence $z = \tau(w)$ by maximality of z . Similarly, $w = \tau(z)$. Now if $x \not\leq w$, then $w \leq \tau(x)$ by (b), hence $w = \tau(x)$, so that $x = \tau^{-1}(w) = z$. In other words, if $x \neq w$ and $x \neq z$, then $x < w$. Similarly, if $x \neq w$ and $x \neq z$, then $x < z$. Therefore w and z are the unique maximal elements of P and $P = Q * \{w, z\}$, where $Q = P - \{w, z\}$.

If $x, y \in Q$ and $x \not\leq y$, then $y \leq \tau(x)$ by (b). But the permutation τ exchanges w and z , so it restricts to a permutation of Q . Therefore (b) holds for the poset Q and, by induction, Q is a pole poset. It follows that P is a pole poset, as required.

Suppose now that P has a single maximal element w . If $x \neq w$, then $w \not\leq x$ by maximality, hence $x \leq \tau(w)$ by (b). If $\tau(w) = w$, then τ restricts to a permutation of $Q = P - \{w\}$ and again we are done by induction.

So we assume now that our single maximal element w satisfies $\tau(w) \neq w$. The condition $x \leq \tau(w)$ obtained above means that $\tau(w)$ is the unique maximal element of $P - \{w\}$. Assume by induction that $w > \tau(w) > \dots > \tau^i(w)$ and that $\tau^j(w)$ is the unique maximal element of $P - \{w, \dots, \tau^{j-1}(w)\}$, for every $j = 1, \dots, i$. Then if $x \neq w, \tau(w), \dots, \tau^i(w)$, we have $\tau^i(w) \not\leq x$, hence $x \leq \tau^{i+1}(w)$ by (b). But $\tau^{i+1}(w) \neq \tau(w), \dots, \tau^i(w)$, otherwise $\tau^i(w) \in \{w, \tau(w), \dots, \tau^{i-1}(w)\}$ which is impossible by our induction assumption. Therefore, either $\tau^{i+1}(w)$ is the unique maximal element of $P - \{w, \dots, \tau^i(w)\}$ and we continue our induction argument, or $\tau^{i+1}(w) = w$.

Our induction argument must stop and we let $r \geq 2$ be the smallest integer such that $\tau^r(w) = w$. Then $w > \tau(w) > \dots > \tau^{r-1}(w)$ and $\tau^j(w)$ is the unique maximal element of $P - \{w, \dots, \tau^{j-1}(w)\}$, for every $j = 1, \dots, r-1$. Moreover, setting $Q = P - \{w, \dots, \tau^{r-1}(w)\}$, we obtain

$$P = Q * \{\tau^{r-1}(w)\} * \dots * \{\tau(w)\} * \{w\}$$

and Q must be invariant under τ . By our main induction procedure, Q is a pole poset. It follows that P is a pole poset. This proves (a) and we are done.

In order to prove our additional statement, we continue the analysis of the permutation τ , as above. In the case when P has two maximal elements w and z , then we have seen that $\tau(w) = z$. Moreover τ restricts to a permutation of $Q = P - \{w, z\}$. By induction, $\tau|_Q$ can be replaced uniquely by an automorphism α of the pole poset Q such that α exchanges all the twins of Q . Extending α to P by requiring that α exchanges w and z (as it must, as we have seen for τ), we obtain an automorphism of P having the additional required properties.

In the case when P has a single maximal element w , then we have seen that τ permutes cyclically the subset $S = \{w, \tau(w), \dots, \tau^{r-1}(w)\}$ for some $r \geq 1$, and it restricts to a permutation of $Q = P - S$. By induction, $\tau|_Q$ can be replaced uniquely by an automorphism α of the pole poset Q such that α exchanges all the twins. Extending α by the identity on S , we obtain an automorphism of P having the additional required properties. \square

A *join-morphism* from a lattice T to a lattice T' is a map $f : T \rightarrow T'$ which commutes with joins, i.e. such that

$$f\left(\bigvee_{a \in A} a\right) = \bigvee_{a \in A} f(a),$$

for any subset A of T . Similarly, a *meet-morphism* is a map which commutes with meets. It is easy to see that a join-morphism is order-preserving, by considering the join $t_1 \vee t_2$ in the case where $t_1 \leq_T t_2$ in the lattice T . Moreover, the case $A = \emptyset$ shows that a join-morphism maps $\widehat{0} \in T$ to $\widehat{0} \in T'$. The following result is well-known.

Lemma 2.3. *Let P and T be finite lattices. Suppose that P is distributive and let $E = \text{Irr}(P)$. Then any order-preserving map $\varphi : E \rightarrow T$ extends uniquely to a join-morphism $\tilde{\varphi} : P \rightarrow T$.*

Proof. For any $p \in P$, we can write uniquely

$$p = \bigvee_{\substack{e \in E \\ e \leq p}} e$$

and then define the extension of φ by

$$\tilde{\varphi}(p) = \bigvee_{\substack{e \in E \\ e \leq p}} \varphi(e).$$

To check that $\tilde{\varphi}$ is a join-morphism, we use the fact that, for any $e \in E$ and $p, p' \in P$, we have

$$e \leq p \vee p' \iff e \leq p \text{ or } e \leq p'.$$

This is because, if $e \leq p \vee p'$, then, $e = e \wedge (p \vee p') = (e \wedge p) \vee (e \wedge p')$ by distributivity, hence by irreducibility, either $e = e \wedge p$, i.e., $e \leq p$, or $e = e \wedge p'$, i.e., $e \leq p'$. \square

Notation 2.4.

- (a) We let \mathcal{L} be the category whose objects are the finite lattices and where, for any finite lattices P and T , $\text{Hom}_{\mathcal{L}}(P, T)$ is the set of all join-morphisms from P to T .

- (b) We denote by $\text{Inj}_{\mathcal{L}}(P, T)$ the set of all injective join-morphisms $P \rightarrow T$.
- (c) We denote by $\text{Sur}_{\mathcal{L}}(T, P)$ the set of all surjective join-morphisms $T \rightarrow P$.

Recall from Section 8 of [BT3] that, for any join-morphism $f : T \rightarrow P$, there is an opposite morphism $f^{op} : P^{op} \rightarrow T^{op}$ defined by

$$f^{op}(p) = \bigvee_{f(t) \leq p} t.$$

Lemma 2.5. *Let P and T be finite lattices and let $f : T \rightarrow P$ be a join-morphism.*

- (a) *$f^{op} : P^{op} \rightarrow T^{op}$ is a join-morphism. In other words, for any subset A of P ,*

$$f^{op}\left(\bigwedge_{a \in A} a\right) = \bigwedge_{a \in A} f^{op}(a)$$

(because the meet \wedge is the join in the opposite lattice).

- (b) *If $g : P \rightarrow Q$ is a join-morphism, then $(gf)^{op} = f^{op}g^{op}$.*
- (c) *$(f^{op})^{op} = f$.*
- (d) *If f is surjective, then $ff^{op} = \text{id}_P$. In particular, f^{op} is injective and, for any $p \in P$,*

$$f^{op}(p) = \bigvee_{f(t)=p} t = \sup(f^{-1}(p)).$$

- (e) *If f is injective, then $f^{op}f = \text{id}_T$. In particular, f^{op} is surjective.*
- (f) *Passing to the opposite induces bijections $\text{Inj}_{\mathcal{L}}(P, T) \rightarrow \text{Sur}_{\mathcal{L}}(T^{op}, P^{op})$ and $\text{Sur}_{\mathcal{L}}(T, P) \rightarrow \text{Inj}_{\mathcal{L}}(P^{op}, T^{op})$.*

Proof. (a), (b) and (c) are proved in Section 8 of [BT3].

(d) Let $p \in P$. The equality $\bigvee_{f(t) \leq p} t = \bigvee_{f(t)=p} t$ follows from the fact that f is surjective and order-preserving. Moreover, it is clear that $\bigvee_{f(t)=p} t = \sup(f^{-1}(p))$. Since f is a join-morphism, we get $f(\sup(f^{-1}(p))) = p$, hence $ff^{op} = \text{id}_P$.

(e) This follows from (b), (c), and (d) by passing to opposite morphisms.

(f) This follows from (d) and (e). □

For later use, we now prove a specific result in the case when P is a pole lattice.

Lemma 2.6. *Let T be a finite lattice and let P be a pole lattice. Then there is a bijection between $\text{Inj}_{\mathcal{L}}(P, T)$ and $\text{Sur}_{\mathcal{L}}(T, P)$.*

Proof. Associated with the pole lattice P , there is the set

$$E_2 = \{a_1, \check{a}_1, a_2, \check{a}_2, \dots, a_n, \check{a}_n\}$$

consisting of all the twins a_i, \check{a}_i , indexed in such a way that $a_1 < a_2 < \dots < a_n$. Here n is a positive integer (which is zero whenever P is totally ordered). We define

$$w_i = a_i \wedge \check{a}_i, \quad v_i = a_i \vee \check{a}_i, \quad (1 \leq i \leq n),$$

and we also set $v_0 = \widehat{0}$ and $w_{n+1} = \widehat{1}$. Just above the pair of twins a_i, \check{a}_i , there is a totally ordered interval $[v_i, w_{i+1}]$. Also, we have a totally ordered interval $[v_0, w_1]$ below the pair a_1, \check{a}_1 , and a totally ordered interval $[v_n, w_{n+1}]$ above the pair a_n, \check{a}_n . Note that we may have $v_i = w_{i+1}$.

Let $\lambda \in \text{Inj}_{\mathcal{L}}(P, T)$. We want to define an injective meet-morphism $\tilde{\lambda} : P \rightarrow T$ associated with λ . First we set

$$\tilde{\lambda}(a_i) = \lambda(a_i), \quad \tilde{\lambda}(\check{a}_i) = \lambda(\check{a}_i) \quad (1 \leq i \leq n).$$

Since λ is a join-morphism, we have

$$\lambda(v_0) = \widehat{0}, \quad \lambda(v_i) = \lambda(a_i) \vee \lambda(\check{a}_i) \quad (1 \leq i \leq n).$$

Note also that

$$\lambda(w_i) \leq \lambda(a_i) \wedge \lambda(\check{a}_i) \quad (1 \leq i \leq n), \quad \lambda(w_{n+1}) \leq \widehat{1}.$$

We have to define $\tilde{\lambda}$ on each interval $[v_{i-1}, w_i]$, $1 \leq i \leq n + 1$, and there are two cases for each i .

If $1 \leq i \leq n$, either $\lambda(w_i) = \lambda(a_i) \wedge \lambda(\check{a}_i)$ or $\lambda(w_i) < \lambda(a_i) \wedge \lambda(\check{a}_i)$. In the first case, we simply set

$$\tilde{\lambda}(x) = \lambda(x), \quad \forall x \in [v_{i-1}, w_i],$$

while in the second, we set

$$\tilde{\lambda}(x) = \lambda(s(x)) \quad \forall x \in [v_{i-1}, w_i[, \quad \text{and} \quad \tilde{\lambda}(w_i) = \lambda(a_i) \wedge \lambda(\check{a}_i),$$

where s denotes the shift upwards in the totally ordered interval $[v_{i-1}, w_i]$, that is, $s(x) = \inf\{y \mid x < y\}$.

Similarly, if $i = n + 1$, either $\lambda(w_{n+1}) = \widehat{1}$ or $\lambda(w_{n+1}) < \widehat{1}$. In the first case, we simply set

$$\tilde{\lambda}(x) = \lambda(x), \quad \forall x \in [v_n, w_{n+1}],$$

while in the second, we set

$$\tilde{\lambda}(x) = \lambda(s(x)) \quad \forall x \in [v_n, w_{n+1}[, \quad \text{and} \quad \tilde{\lambda}(w_{n+1}) = \widehat{1}.$$

It is easy to see that $\tilde{\lambda}$ is order-preserving and injective, and moreover

$$\tilde{\lambda}(a_i \wedge \check{a}_i) = \tilde{\lambda}(w_i) = \lambda(a_i) \wedge \lambda(\check{a}_i) = \tilde{\lambda}(a_i) \wedge \tilde{\lambda}(\check{a}_i).$$

In view of the structure of pole lattices, this means that $\tilde{\lambda} : P \rightarrow T$ is a meet-morphism, or in other words a join-morphism $\tilde{\lambda} : P^{op} \rightarrow T^{op}$. Therefore $\tilde{\lambda} \in \text{Inj}_{\mathcal{L}}(P^{op}, T^{op})$ and this defines a map

$$\Omega_{P,T} : \text{Inj}_{\mathcal{L}}(P, T) \longrightarrow \text{Inj}_{\mathcal{L}}(P^{op}, T^{op}), \quad \lambda \mapsto \tilde{\lambda}.$$

In the other direction, we proceed as follows. The same construction, applied to P^{op} and T^{op} , defines a map

$$\Omega_{P^{op},T^{op}} : \text{Inj}_{\mathcal{L}}(P^{op}, T^{op}) \longrightarrow \text{Inj}_{\mathcal{L}}(P, T)$$

and it is elementary to check that $\Omega_{P^{op},T^{op}}$ maps $\tilde{\lambda}$ to λ , because the shift upwards $x \mapsto s(x)$ in the opposite $[v, w]^{op}$ of a totally ordered interval corresponds to the shift downwards $x \mapsto r(x)$ in the original interval $[v, w]$. In other words the composite $\Omega_{P^{op},T^{op}} \circ \Omega_{P,T}$ is the identity. Similarly, $\Omega_{P,T} \circ \Omega_{P^{op},T^{op}}$ is the identity and it follows that $\Omega_{P^{op},T^{op}}$ is a bijection.

Now it suffices to compose with the bijection $\text{Inj}_{\mathcal{L}}(P^{op}, T^{op}) \rightarrow \text{Sur}_{\mathcal{L}}(T, P)$ of Lemma 2.5 to obtain a bijection between $\text{Inj}_{\mathcal{L}}(P, T)$ and $\text{Sur}_{\mathcal{L}}(T, P)$. \square

3. CORRESPONDENCE FUNCTORS

We recall the basic facts we need about correspondence functors and we refer to Sections 2–4 of [BT2] and Section 2 of [BT3] for more details. We denote by \mathcal{C} the category of finite sets and correspondences. Its objects are the finite sets and the set $\mathcal{C}(Y, X)$ of morphisms from X to Y (using a reverse notation which is convenient for left actions) is the set of all correspondences from X to Y , namely all subsets of $Y \times X$. A correspondence from X to X is also called a *relation* on X . Given two correspondences $R \subseteq Z \times Y$ and $S \subseteq Y \times X$, their composition RS is defined by

$$RS := \{(z, x) \in Z \times X \mid \exists y \in Y \text{ such that } (z, y) \in R \text{ and } (y, x) \in S\},$$

and this generalizes the product of relations, defined in Section 2.

For any commutative ring k , we let $k\mathcal{C}$ be the k -linearization of \mathcal{C} . The objects are again the finite sets and $k\mathcal{C}(Y, X)$ is the free k -module with basis $\mathcal{C}(Y, X)$. In particular, $k\mathcal{R}_X := k\mathcal{C}(X, X)$ is the monoid algebra of the monoid \mathcal{R}_X of all relations on X . A *correspondence functor* is a k -linear functor from $k\mathcal{C}$ to $k\text{-Mod}$. We let \mathcal{F}_k be the category of all correspondence functors (for some fixed commutative ring k). We define a *minimal set* for a correspondence functor F to be a finite set X of minimal cardinality such that $F(X) \neq \{0\}$. For a nonzero functor, such a minimal set always exists and is unique up to bijection.

The first instances of correspondence functors are the representable functors $k\mathcal{C}(-, E)$, where E is a finite set, and more generally the functors

$$L_{E,W} := k\mathcal{C}(-, E) \otimes_{k\mathcal{R}_E} W$$

where W is a left $k\mathcal{R}_E$ -module. Actually, the functor $W \mapsto L_{E,W}$ is left adjoint of the evaluation functor

$$\mathcal{F}_k \longrightarrow k\mathcal{R}_E\text{-Mod}, \quad F \mapsto F(E).$$

The correspondence functor $L_{E,W}$ has a subfunctor $J_{E,W}$ defined on any finite set X by

$$J_{E,W}(X) := \left\{ \sum_i \phi_i \otimes w_i \in L_{E,W}(X) \mid \forall \psi \in k\mathcal{C}(E, X), \sum_i (\psi \phi_i) \cdot w_i = 0 \right\}.$$

We shall work with the functor $L_{E,W}/J_{E,W}$ for some specific choices of $k\mathcal{R}_E$ -modules W .

Recall from Section 5 of [BT1] or Section 3 of [BT4] that, for a suitable two-sided ideal I , there is a quotient algebra $k\mathcal{P}_E = k\mathcal{R}_E/I$, called the *algebra of permuted orders* because it has a k -basis \mathcal{P}_E consisting of all relations on E of the form $\Delta_\sigma R$, where σ runs through the symmetric group Σ_E and R is an order relation on E . The product of two order relations R and S in the basis \mathcal{P}_E is the transitive closure of $R \cup S$ if this closure is an order relation, and zero otherwise. This product, together with the conjugation action of permutations on relations, describes completely the algebra structure of \mathcal{P}_E .

Among the $k\mathcal{R}_E$ -modules, there is the *fundamental module* $k\mathcal{P}_E f_R$, associated with a poset (E, R) , where E is a finite set and R denotes the order relation on E which defines the poset structure. Here f_R is a suitable idempotent in $k\mathcal{P}_E$, depending on R , and $k\mathcal{P}_E f_R$ is the left ideal generated by f_R . The main thing we need to know about the fundamental module $k\mathcal{P}_E f_R$ is its structure as a bimodule. This

is described in the next result, which combines Corollary 7.3 and Proposition 8.5 of [BT1].

Proposition 3.1. *Let E be a finite set and R an order relation on E .*

- (a) *The fundamental module $k\mathcal{P}_E f_R$ is a $(k\mathcal{R}_E, k\text{Aut}(E, R))$ -bimodule and the right action of $k\text{Aut}(E, R)$ is free.*
- (b) *$k\mathcal{P}_E f_R$ is a free k -module with a k -basis consisting of the elements $\Delta_\sigma f_R$, where σ runs through the group Σ_E of all permutations of E .*
- (c) *The action of the algebra of relations $k\mathcal{R}_E$ on the module $k\mathcal{P}_E f_R$ is given as follows. For any relation $Q \in \mathcal{R}_E$,*

$$Q \cdot \Delta_\sigma f_R = \begin{cases} \Delta_{\tau\sigma} f_R & \text{if } \exists \tau \in \Sigma_E \text{ such that } \Delta_E \subseteq \Delta_{\tau^{-1}} Q \subseteq {}^\sigma R, \\ 0 & \text{otherwise.} \end{cases}$$

Moreover, τ is unique in the first case.

Using the bimodule structure on $k\mathcal{P}_E f_R$, we define

$$T_{R,V} := k\mathcal{P}_E f_R \otimes_{k\text{Aut}(E,R)} V,$$

where V is any $k\text{Aut}(E, R)$ -module. Then $T_{R,V}$ is a left $k\mathcal{R}_E$ -module for the action induced from the action of $k\mathcal{R}_E$ on $k\mathcal{P}_E f_R$ described in Proposition 3.1 above. The main thing we need to know about $T_{R,V}$ is the following result, which is part of Theorem 8.1 in [BT1].

Proposition 3.2. *Assume that k is a field. If V is a simple $k\text{Aut}(E, R)$ -module, then $T_{R,V}$ is a simple $k\mathcal{R}_E$ -module.*

Associated with the above $k\mathcal{R}_E$ -modules, we can now define some specific correspondence functors, as in [BT2] and [BT3]. Using the fundamental module $k\mathcal{P}_E f_R$, we define

$$\mathbb{S}_{E,R} := L_{E,k\mathcal{P}_E f_R} / J_{E,k\mathcal{P}_E f_R}$$

and we call it the *fundamental functor* associated with the poset (E, R) . Using the module $T_{R,V}$, we define

$$S_{E,R,V} := L_{E,T_{R,V}} / J_{E,T_{R,V}}.$$

Note that $\mathbb{S}_{E, {}^\sigma R} \cong \mathbb{S}_{E,R}$ and $S_{E, {}^\sigma R, {}^\sigma V} \cong S_{E,R,V}$, for any permutation $\sigma \in \Sigma_E$. Our next result is Proposition 2.6 in [BT3].

Proposition 3.3.

- (a) *The set E is a minimal set for $\mathbb{S}_{E,R}$ and $\mathbb{S}_{E,R}(E) \cong k\mathcal{P}_E f_R$ as left $k\mathcal{R}_E$ -modules.*
- (b) *The set E is a minimal set for $S_{E,R,V}$ and $S_{E,R,V}(E) \cong T_{R,V}$ as left $k\mathcal{R}_E$ -modules.*
- (c) *If k is a field and V is a simple $k\text{Aut}(E, R)$ -module, then $S_{E,R,V}$ is a simple correspondence functor.*

It is proved in Theorem 4.7 of [BT2] that, when k is a field, any simple functor has the form $S_{E,R,V}$ for some triple (E, R, V) and that the set of isomorphism classes of simple correspondence functors is parametrized by the set of isomorphism classes of triples (E, R, V) where E is a finite set, R is an order relation on E , and V is a simple $k\text{Aut}(E, R)$ -module.

We note that the fundamental functor $\mathbb{S}_{E,R}$ is a precursor of $S_{E,R,V}$, in the sense of the following result.

Proposition 3.4. *Suppose that V is a simple $k \operatorname{Aut}(E, R)$ -module, hence in particular generated by a single element v .*

(a) *Consider the surjective morphism of correspondence functors*

$$\Phi : L_{E, k\mathcal{P}_E f_R} \longrightarrow L_{E, T_{R, V}}$$

induced by the surjective homomorphism of $k\mathcal{P}_E$ -modules

$$\Phi_E : k\mathcal{P}_E f_R \longrightarrow k\mathcal{P}_E f_R \otimes_{k \operatorname{Aut}(E, R)} V = T_{R, V}, \quad a \mapsto a \otimes v.$$

Then Φ induces a surjective morphism of correspondence functors

$$\mathbb{S}_{E, R} \longrightarrow S_{E, R, V}.$$

(b) *Φ induces an isomorphism*

$$\mathbb{S}_{E, R} \otimes_{k \operatorname{Aut}(E, R)} V \cong S_{E, R, V}.$$

Proof. (a) is Lemma 2.7 in [BT3], while (b), which is far from being obvious, is Theorem 7.9 in [BT4]. □

In short, it is possible to recover $S_{E, R, V}$ from $\mathbb{S}_{E, R}$ by simply tensoring with V . Consequently, the fundamental functors play a crucial role throughout our work.

Another important construction of correspondence functors is obtained from finite lattices (see [BT3] for details).

Definition 3.5. If T is a finite lattice and X is a finite set, define $F_T(X) = kT^X$, the free k -module on the set T^X of all functions $X \rightarrow T$. Given $\varphi : X \rightarrow T$ and a correspondence $S \in \mathcal{C}(Y, X)$, then $S\varphi : Y \rightarrow T$ is defined by the formula

$$(S\varphi)(y) = \bigvee_{(y, x) \in S} \varphi(x).$$

Then F_T becomes in this way a correspondence functor.

We want to recall two main properties of this construction but we first need some notation. Let \mathcal{L} be the category of finite lattices and join-morphisms, as in Notation 2.4. The k -linearization $k\mathcal{L}$ of \mathcal{L} has the same objects and $\operatorname{Hom}_{k\mathcal{L}}(T, T')$ is the free k -module $k \operatorname{Hom}_{\mathcal{L}}(T, T')$ with basis $\operatorname{Hom}_{\mathcal{L}}(T, T')$. The composition of morphisms in $k\mathcal{L}$ is the k -bilinear extension of the composition in \mathcal{L} . The following results appear in Theorems 4.8 and 4.12 of [BT3].

Theorem 3.6.

- (a) *The assignment $T \mapsto F_T$ extends to a k -linear functor $F_{\mathcal{L}} : k\mathcal{L} \rightarrow \mathcal{F}_k$. Moreover, $F_{\mathcal{L}}$ is fully faithful.*
- (b) *If T is a finite lattice, then F_T is projective in \mathcal{F}_k if and only if T is distributive. In particular, if P is a pole lattice, then F_P is projective.*

This provides a fruitful method for handling correspondence functors. Any endomorphism in $k\mathcal{L}$ induces an endomorphism in \mathcal{F}_k . In particular, any idempotent endomorphism of an object T in $k\mathcal{L}$ produces a direct summand of the correspondence functor F_T . This mechanism will be exploited in Sections 7, 8, and 9.

Our next lemma gives another realization of the functor F_T in a special case. Let E be a finite set and R an order relation on E (i.e. (E, R) is a finite poset). As in [BT3], let $I_{\downarrow}(E, R)$ be the lattice of all subsets of E closed under taking smaller elements with respect to R . Then (E, R) is isomorphic to the poset of irreducible elements of $I_{\downarrow}(E, R)$ via the map $e \mapsto E_{\leq e} = \{x \in E \mid x \leq e\}$. Notice that $r(E_{\leq e}) = E_{< e}$ in the lattice $I_{\downarrow}(E, R)$.

Lemma 3.7. *Let (E, R) be a finite poset and let $T = I_\downarrow(E, R)$. For any finite set X , define a map*

$$\rho_X : F_{T^{op}}(X) \longrightarrow k\mathcal{C}(X, E)R, \quad \rho_X(\varphi) = \{(x, e) \mid e \notin \varphi(x)\} \subseteq X \times E,$$

where $\varphi : X \rightarrow T^{op}$ is any basis element in $F_{T^{op}}(X)$. Then this induces an isomorphism of correspondence functors $\rho : F_{T^{op}} \longrightarrow k\mathcal{C}(-, E)R$.

Proof. The result can be obtained by combining Proposition 4.5 and Remark 8.7 in [BT3], using the isomorphism, via complementation, $I_\downarrow(E, R^{op}) \cong I_\downarrow(E, R)^{op}$. We provide instead a direct proof.

Since $\rho_X(\varphi)$ is a subset of $X \times E$, it is an element of $\mathcal{C}(X, E)$. It is right invariant by R because if $(x, e) \in \rho_X(\varphi)$, i.e. $e \notin \varphi(x)$, and if $(e, f) \in R$, then $(x, f) \in \rho_X(\varphi)$ because $f \notin \varphi(x)$ (otherwise we would have $e \in \varphi(x)$ since $\varphi(x)$ is closed under taking smaller elements). Hence $\rho_X(\varphi) = \rho_X(\varphi)R \in \mathcal{C}(X, E)R$. It is elementary to check that ρ is a morphism of functors. Moreover, it is an isomorphism because there is an inverse morphism mapping $S \in \mathcal{C}(X, E)R$ to the function $\varphi_S : X \rightarrow T^{op}$ defined by

$$\varphi_S(x) = \{e \in E \mid (x, e) \notin S\}.$$

The fact that S is right invariant by R implies that $\varphi_S(x)$ is closed under taking smaller elements. Details are left to the reader. \square

There is a direct connection between the functors associated with lattices and the fundamental functors. This is Theorem 6.5 in [BT3].

Theorem 3.8. *Let (E, R) be a finite poset. There is a unique surjective morphism*

$$\Theta : F_{I_\downarrow(E, R^{op})} \longrightarrow \mathbb{S}_{E, R}$$

mapping the inclusion map $j : E \rightarrow I_\downarrow(E, R^{op})$ to $f_R \in \mathbb{S}_{E, R}(E) \cong k\mathcal{P}_E f_R$.

We now recall another main result from [BT3], which will be used in Section 4 (and also in Theorem 9.7). Let $T = I_\downarrow(E, R)$ and, as in Section 9 of [BT3], consider the element

$$(3.9) \quad \gamma_T := \sum_{A \subseteq E} (-1)^{|A|} \eta_A^0 \in F_{T^{op}}(E).$$

Here $\eta_A^0 : E \rightarrow T^{op}$ is the map defined by

$$\eta_A^0(e) = \begin{cases} r(E_{\leq e}) = E_{< e} & \text{if } e \in A, \\ E_{\leq e} & \text{if } e \notin A, \end{cases}$$

with values in the lattice T , but viewed as elements of T^{op} .

Theorem 3.10. *Let (E, R) be a finite poset and let $T = I_\downarrow(E, R)$. The subfunctor of $F_{T^{op}}$ generated by γ_T is isomorphic to the fundamental functor $\mathbb{S}_{E, R}$. Moreover, the isomorphism*

$$\langle \gamma_T \rangle(E) \longrightarrow \mathbb{S}_{E, R}(E) \cong k\mathcal{P}_E f_R$$

maps γ_T to f_R .

Proof. The first statement is Theorem 9.5 in [BT3]. The second statement can be traced in the proof of that theorem. More precisely, if $j : E \rightarrow I_\downarrow(E, R^{op})$ denotes the inclusion map, it is shown that $\gamma_T \in F_{T^{op}}(E)$ is the image of $j \in F_{I_\downarrow(E, R^{op})}(E)$ under a morphism

$$\xi : F_{I_\downarrow(E, R^{op})} \longrightarrow F_{T^{op}}.$$

On the other hand, by Theorem 3.8 above, there is a surjective morphism

$$\Theta : F_{I_{\downarrow}(E,R^{op})} \longrightarrow \mathbb{S}_{E,R}$$

mapping the inclusion map j to $f_R \in \mathbb{S}_{E,R}(E) \cong k\mathcal{P}_E f_R$. Both morphisms ξ and Θ are proved to have the same kernel and this induces the required isomorphism $\langle \gamma_T \rangle \cong \mathbb{S}_{E,R}$. It follows that this isomorphism maps γ_T to f_R . \square

4. CHARACTERIZATION OF SIMPLE PROJECTIVE FUNCTORS

Throughout this section, assume that the base ring k is a field and let (E, R) be a finite poset. Our aim is to characterize the triples (E, R, V) such that the simple correspondence functor $S_{E,R,V}$ is projective.

Since $S_{E,R,V}$ is isomorphic to a quotient of the fundamental functor $\mathbb{S}_{E,R}$ (see Proposition 3.4), we shall actually work with the latter. We have $\mathbb{S}_{E,R} \cong \langle \gamma_T \rangle$ by Theorem 3.10, where $T = I_{\downarrow}(E, R)$ and γ_T is defined by (3.9). We let

$$\zeta : \langle \gamma_T \rangle \longrightarrow F_{T^{op}}$$

be the inclusion morphism. We also let

$$\rho : F_{T^{op}} \longrightarrow k\mathcal{C}(-, E)R$$

be the isomorphism of correspondence functors described in Lemma 3.7 and we define

$$\delta := \rho\zeta(\gamma_T) = \rho(\gamma_T) \in k\mathcal{C}(E, E)R.$$

In view of the isomorphism ρ , the subfunctor $\langle \delta \rangle$ of $k\mathcal{C}(-, E)R$ generated by δ is isomorphic to $\langle \gamma_T \rangle$, hence to $\mathbb{S}_{E,R}$. We shall work with δ and we first need its precise description as a linear combination of relations.

Lemma 4.1. *Let $\delta := \rho(\gamma_T) \in k\mathcal{C}(E, E)R$, where γ_T is defined by (3.9), and let A be a subset of E .*

- (a) $\rho(\eta_A^0) = \overline{R}^{op} \cup \Delta_A$, where $\overline{R} = (E \times E) - R$ and $\Delta_A = \{(a, a) \mid a \in A\} \subseteq E \times E$.
- (b) $\delta = \sum_{A \subseteq E} (-1)^{|A|} (\overline{R}^{op} \cup \Delta_A)$.
- (c) $R(\overline{R}^{op} \cup \Delta_A) = \overline{R}^{op} \cup \Delta_A$.
- (d) $R\gamma_T = \gamma_T$ and $R\delta = \delta$.

Proof. Throughout this proof, we write $x \leq y$ for $(x, y) \in R$.

(a) By Lemma 3.7, we have

$$\rho(\eta_A^0) = \{(f, e) \in E \times E \mid e \notin \eta_A^0(f)\} = \begin{cases} \{(f, e) \in E \times E \mid e \not\leq f\} & \text{if } e \in A, \\ \{(f, e) \in E \times E \mid e \not\leq f\} & \text{if } e \notin A. \end{cases}$$

But $\{(f, e) \in E \times E \mid e \not\leq f\} = \overline{R}^{op}$. If $e \in A$, we need to add to \overline{R}^{op} the element (e, e) , because $e \not\leq e$. Therefore $\rho(\eta_A^0) = \overline{R}^{op} \cup \Delta_A$, as required.

(b) This follows from (a) and the fact that $\delta = \rho(\gamma_T) = \sum_{A \subseteq E} (-1)^{|A|} \rho(\eta_A^0)$.

(c) Since $\Delta_E \subseteq R$, we have an inclusion

$$\overline{R}^{op} \cup \Delta_A = \Delta_E(\overline{R}^{op} \cup \Delta_A) \subseteq R(\overline{R}^{op} \cup \Delta_A).$$

In order to prove the reverse inclusion, we let $(a, c) \in R(\overline{R}^{op} \cup \Delta_A)$. Then there exists $b \in E$ such that $a \leq b$ and $(b, c) \in \overline{R}^{op} \cup \Delta_A$.

If $(b, c) \in \overline{R}^{op}$, that is, $c \not\leq b$, then $c \not\leq a$, otherwise we would have $c \leq a \leq b$. Therefore $(a, c) \in \overline{R}^{op}$.

If $(b, c) \in \Delta_A$, then $b = c \in A$ and there are two cases. If $a = b$, then $(a, c) = (a, a) \in \Delta_A$. If $a \neq b$, then $a < b = c$, hence $c \not\leq a$, that is, $(a, c) \in \overline{R}^{op}$.

This completes the proof that $R(\overline{R}^{op} \cup \Delta_A) \subseteq \overline{R}^{op} \cup \Delta_A$, hence equality.

(d) It follows from (b) and (c) that $R\delta = \delta$, hence also $R\gamma_T = \gamma_T$ because ρ is an isomorphism mapping γ_T to δ . The latter equality was also proved in Lemma 9.3 of [BT3]. \square

We also need some technical computations involving δ .

Lemma 4.2. *As above, consider $\delta = \sum_{A \subseteq E} (-1)^{|A|} (\overline{R}^{op} \cup \Delta_A)$. Let $S \in \mathcal{C}(E, E)R$ (that is, $S \subseteq E \times E$ and $S = SR$).*

- (a) $S\delta \neq 0$ if and only if there exists a permutation $\sigma \in \Sigma_E$ such that $S = \Delta_\sigma R$.
- (b) If $S = RS$ and $S\delta \neq 0$, then there exists an automorphism $\sigma \in \text{Aut}(E, R)$ such that $S = \Delta_\sigma R$.
- (c) If (E, R) is a pole poset and if $(\overline{R}^{op} \cup \Delta_A)\delta \neq 0$, then

$$A = E_1 \quad \text{and} \quad \overline{R}^{op} \cup \Delta_A = \Delta_\tau R,$$

where τ is the automorphism of (E, R) satisfying $\tau(a) = \check{a}$ for all $a \in E_2$ (the twin part of E) and $\tau(a) = a$ for all $a \in E_1$ (the totally ordered part of E).

Proof.

(a) The condition $S\delta \neq 0$ is equivalent to $S\gamma_T \neq 0$ (because ρ maps γ_T to δ), hence also to $Sf_R \neq 0$ by Theorem 3.10. By Proposition 3.1, we obtain

$$\begin{aligned} S\delta \neq 0 &\iff Sf_R \neq 0 \implies \exists \sigma \in \Sigma_E \text{ such that } \Delta_E \subseteq \Delta_\sigma^{-1}S \subseteq R \\ &\implies \exists \sigma \in \Sigma_E \text{ such that } R \subseteq \Delta_\sigma^{-1}SR \subseteq R^2 \\ &\implies \exists \sigma \in \Sigma_E \text{ such that } R \subseteq \Delta_\sigma^{-1}S \subseteq R \\ &\implies \exists \sigma \in \Sigma_E \text{ such that } S = \Delta_\sigma R, \end{aligned}$$

using the equalities $S = SR$ and $R^2 = R$ (by transitivity and reflexivity of R). Conversely, if $S = \Delta_\sigma R$, then, by Lemma 4.1, we obtain

$$S\delta = \Delta_\sigma R\delta = \Delta_\sigma \delta \neq 0,$$

because $\delta \neq 0$ since it generates a nonzero subfunctor.

(b) We have $S = \Delta_\sigma R$ by (a) and since $S = RS$, we obtain $R\Delta_\sigma R = \Delta_\sigma R$, or in other words $R^\sigma R = R$, where $R^\sigma = \Delta_\sigma^{-1}R\Delta_\sigma$. Since $\Delta_E \subseteq R$, we get $R^\sigma \subseteq R^\sigma R = R$, hence $R^\sigma = R$ because both relations have the same cardinality. This means that Δ_σ commutes with R , that is, σ is an automorphism of the poset (E, R) .

(c) By (b) applied to $S = \overline{R}^{op} \cup \Delta_A$ (which satisfies $S = RS$ by Lemma 4.1), we have

$$\overline{R}^{op} \cup \Delta_A = \Delta_\sigma R$$

for some automorphism $\sigma \in \text{Aut}(E, R)$. Since (E, R) is a pole poset, σ is the identity on E_1 and interchanges some of the twins $e, \check{e} \in E_2$, so in particular $\sigma = \sigma^{-1}$.

If $e \in E_2$ and \check{e} is its twin, then $\check{e} \not\leq e$, hence $(e, \check{e}) \in \overline{R}^{op} \subseteq \Delta_\sigma R$. Therefore $(e, \sigma(e)) \in \Delta_\sigma$ and $(\sigma(e), \check{e}) \in R$, that is $\sigma(e) \leq \check{e}$. This shows that $\sigma(e)$ cannot be equal to e , i.e. $\sigma(e) = \check{e}$. Thus σ interchanges all the twins, that is, it is equal to the automorphism τ of the statement.

If $e \in E_1$, then $(e, e) \in \Delta_\sigma$ and $(e, e) \in R$, so $(e, e) \in \Delta_\sigma R$. If conversely $(e, e) \in \Delta_\sigma R$, then $(e, \sigma(e)) \in \Delta_\sigma$ and $(\sigma(e), e) \in R$, that is, $\sigma(e) \leq e$. This cannot hold if $e \in E_2$, because $\sigma(e) = \check{e} \not\leq e$, and therefore $e \in E_1$. It follows that

$$e \in E_1 \iff (e, e) \in \Delta_\sigma R \iff (e, e) \in \overline{R}^{op} \cup \Delta_A \iff (e, e) \in \Delta_A \iff e \in A,$$
 the third equivalence using the fact that $(e, e) \notin \overline{R}^{op}$ because $e \leq e$. This shows that $A = E_1$ and completes the proof. \square

One of the key parts of the proof of the main result is contained in the next lemma, which will also be used again in Section 5.

Lemma 4.3. *Suppose that k is a field. Let $\mathbb{S}_{E,R}$ be the fundamental functor associated with a finite poset (E, R) and let M be a nonzero direct summand of $\mathbb{S}_{E,R}$. If M is projective, then (E, R) is a pole poset.*

Proof. Since $\mathbb{S}_{E,R} \cong \langle \gamma_T \rangle$ by Theorem 3.10, we can view M as a direct summand of $\langle \gamma_T \rangle$ and we let $\omega : M \rightarrow \langle \gamma_T \rangle$ be the inclusion morphism. As above, we let $\zeta : \langle \gamma_T \rangle \rightarrow F_{T^{op}}$ be the inclusion morphism and $\rho : F_{T^{op}} \rightarrow k\mathcal{C}(-, E)R$ be the isomorphism of correspondence functors described in Lemma 3.7. Finally let

$$\alpha : M \rightarrow k\mathcal{C}(-, E)R$$

be the composite $\alpha = \rho\zeta\omega$.

Since M is projective and the base ring k is a field, M is also injective, by Theorem 10.6 in [BT2]. Therefore the injective morphism α splits, that is, there exists a surjective morphism

$$\sigma : k\mathcal{C}(-, E)R \rightarrow M$$

such that $\sigma\alpha = \text{id}$. Thus $\alpha\sigma$ is an idempotent endomorphism of $k\mathcal{C}(-, E)R$. Since $R \in k\mathcal{C}(E, E)$ is a generator of $k\mathcal{C}(-, E)R$, its image $c := \sigma(R) \in M(E)$ is a generator of M . Now γ_T generates $\langle \gamma_T \rangle$, so we can write $\omega(c) = v\gamma_T$ for some $v \in k\mathcal{C}(E, E)$. We know that $R\gamma_T = \gamma_T$ by Lemma 4.1 and therefore $v\gamma_T = vR\gamma_T$. Replacing v by vR , we can assume that $v = vR$ and we do so. Thus $v \in k\mathcal{C}(E, E)R$. Note that $c \neq 0$, hence $v\gamma_T \neq 0$.

Now for any $u \in k\mathcal{C}(X, E)$, we have

$$\alpha\sigma(uR) = u \cdot \alpha\sigma(R) = u \cdot \alpha(c) = u \cdot \rho\zeta\omega(c) = u \cdot \rho\zeta(v\gamma_T) = uv \cdot \rho\zeta(\gamma_T) = uv\delta,$$

where $\delta = \rho\zeta(\gamma_T) = \rho(\gamma_T)$ as in Lemma 4.1. In particular, using the fact that $\delta = \delta R$ (because $\delta \in k\mathcal{C}(E, E)R$), we obtain

$$\alpha\sigma(\delta) = \alpha\sigma(\delta R) = \delta v\delta.$$

Since $v\gamma_T$ is nonzero, so is its image $v\delta = \rho\zeta(v\gamma_T)$ under the injective morphism $\rho\zeta$ and therefore

$$0 \neq v\delta = \alpha\sigma(R) = (\alpha\sigma)^2(R) = \alpha\sigma(v\delta) = v \cdot \alpha\sigma(\delta) = v\delta v\delta,$$

from which it follows that $\delta v\delta \neq 0$.

Summarizing, we have proved that, under the assumption that M is projective, the element $\delta = \rho(\gamma_T) \in k\mathcal{C}(E, E)R$ satisfies:

$$(4.4) \quad \exists v \in k\mathcal{C}(E, E)R \text{ with } \delta v\delta \neq 0.$$

Our aim is to show that (4.4) implies that (E, R) is a pole poset.

The condition $\delta v\delta \neq 0$ implies that there exists a relation S (in the expression of $v \in k\mathcal{C}(E, E)R$ as a linear combination of relations) such that $\delta S\delta \neq 0$. In

particular $S\delta \neq 0$, hence $S = \Delta_\tau R$ for some $\tau \in \Sigma_E$, by Lemma 4.2. In view of the expression of δ obtained in Lemma 4.1, there exists a subset $A \subseteq E$ such that

$$(\overline{R}^{op} \cup \Delta_A)\Delta_\tau R\delta \neq 0.$$

Again, this implies that the relation $(\overline{R}^{op} \cup \Delta_A)\Delta_\tau R$ has the form

$$(\overline{R}^{op} \cup \Delta_A)\Delta_\tau R = \Delta_\sigma R$$

for some $\sigma \in \Sigma_E$, by Lemma 4.2. Since the left hand side is invariant under left multiplication by R (by Lemma 4.1), part (b) of Lemma 4.2 implies that Δ_σ commutes with R (i.e. $\sigma \in \text{Aut}(E, R)$). It follows that

$$(\overline{R}^{op} \cup \Delta_A)\Delta_{\tau\sigma^{-1}} R = R.$$

In particular, we deduce that

$$\overline{R}^{op} \Delta_\psi \subseteq R, \quad \text{where } \psi := \tau\sigma^{-1}.$$

By the characterization of Proposition 2.2, this implies that (E, R) is a pole poset, as was to be shown. □

Theorem 4.5. *Let k be a field and let $S_{E,R,V}$ be the simple correspondence functor parametrized by a finite set E , an order relation R on E , and a simple $k \text{Aut}(E, R)$ -module V . The following conditions are equivalent.*

- (a) *The simple correspondence functor $S_{E,R,V}$ is projective.*
- (b) *(E, R) is a pole poset and V is a projective $k \text{Aut}(E, R)$ -module.*
- (c) *Either (E, R) is a totally ordered poset or (E, R) is a pole poset and the characteristic of k is different from 2.*

Proof.

(b) \iff (c). For a pole poset (E, R) , the group $\text{Aut}(E, R)$ is a 2-group (elementary abelian), generated by all the possible transpositions of twins. In case (E, R) is totally ordered, this group is trivial and the unique simple k -module k is automatically projective. In case (E, R) is a pole poset but is not totally ordered, then $\text{Aut}(E, R)$ is nontrivial and the characteristic of k comes into play. If $\text{char}(k) \neq 2$, then all simple $k \text{Aut}(E, R)$ -module V are projective (by Maschke’s theorem). If $\text{char}(k) = 2$, then the unique simple $k \text{Aut}(E, R)$ -module is the trivial module, which is not projective (by the converse of Maschke’s theorem).

(a) \implies (b). Since $S_{E,R,V}$ is projective by assumption and isomorphic to a quotient of the fundamental functor $\mathbb{S}_{E,R}$ by Proposition 3.4, it is isomorphic to a direct summand of $\mathbb{S}_{E,R}$. Therefore Lemma 4.3 can be applied and it follows that (E, R) is a pole poset.

We also have to prove that V is a projective $k \text{Aut}(E, R)$ -module. Let

$$T = T_{R,V} = k\mathcal{P}_E f_R \otimes_{k \text{Aut}(E,R)} V$$

be the simple $k\mathcal{C}(E, E)$ -module appearing in the definition $S_{E,R,V} := L_{E,T}/J_{E,T}$. By adjunction, there is an isomorphism

$$\text{End}_{\mathcal{F}_k}(L_{E,T}) \cong \text{Hom}_{k\mathcal{C}(E,E)}(T, L_{E,T}(E)) \cong \text{End}_{k\mathcal{C}(E,E)}(T)$$

and this is a skew field by Schur’s lemma (it is actually the field k). This has no nontrivial idempotent and so $L_{E,T}$ is indecomposable. But the surjective morphism

$$\pi : L_{E,T} \longrightarrow L_{E,T}/J_{E,T} = S_{E,R,V}$$

splits because $S_{E,R,V}$ is projective by assumption. Therefore π is an isomorphism, by indecomposability of $L_{E,T}$, hence $L_{E,T}$ is projective.

Evaluating this projective functor at the finite set E , we obtain a $k\mathcal{C}(E, E)$ -module

$$L_{E,T}(E) = T = k\mathcal{P}_E f_R \otimes_{k \text{Aut}(E,R)} V$$

which must be projective, by Lemma 10.1 in [BT2]. Now $k\mathcal{P}_E f_R \otimes_{k \text{Aut}(E,R)} V$ is actually a module for the quotient algebra $k\mathcal{P}_E = k\mathcal{R}_E/I$ (see Section 3). It follows that $k\mathcal{P}_E f_R \otimes_{k \text{Aut}(E,R)} V$ is a projective module for the algebra $k\mathcal{P}_E$, because of the splitting of the composition of surjective homomorphisms

$$k\mathcal{R}_E \longrightarrow k\mathcal{P}_E \longrightarrow k\mathcal{P}_E f_R \otimes_{k \text{Aut}(E,R)} V.$$

Finally, by Theorem 7.5 in [BT1], there is an isomorphism of algebras

$$k\mathcal{P}_E \cong \prod_R M_{n_R}(k \text{Aut}(E, R))$$

for some integers n_R , where R runs over all order relations on E up to isomorphism (see also Remark 3.4 in [BT4]). Thus there is a Morita equivalence

$$k\mathcal{P}_E\text{-Mod} \cong \prod_R k \text{Aut}(E, R)\text{-Mod}$$

and the bimodule inducing the equivalence is $\bigoplus_R k\mathcal{P}_E f_R$ (see Remark 7.6 in [BT1]). Therefore $k\mathcal{P}_E f_R \otimes_{k \text{Aut}(E,R)} V$ corresponds to the $k \text{Aut}(E, R)$ -module V under this equivalence. Since projectivity is preserved by a Morita equivalence, V is a projective $k \text{Aut}(E, R)$ -module, as required.

(b) \Rightarrow (a). We assume that (E, R) is a pole poset and, as before, we write $x \leq y$ for $(x, y) \in R$. Our aim is to compute δ^2 and show that it is an idempotent. In view of the expression of δ in Lemma 4.1, we have to consider terms of the form $(\overline{R}^{op} \cup \Delta_A)\delta$. By Lemma 4.2, this can be nonzero only if $A = E_1$ and $\overline{R}^{op} \cup \Delta_A = \Delta_\tau R$, where $\tau \in \text{Aut}(E, R)$ is the automorphism exchanging all twins $e, \check{e} \in E_2$ and fixing $E_1 = E - E_2$ pointwise.

Thus $\overline{R}^{op} \cup \Delta_{E_1}$ is the only term which can come into play and we now show that it is indeed equal to $\Delta_\tau R$. For any $a \in E_1$, we have $(a, \tau(a)) \in \Delta_\tau$ and $\tau(a) = a \leq a$, hence $(a, a) \in \Delta_\tau R$. Therefore $\Delta_{E_1} \subseteq \Delta_\tau R$. Since (E, R) is a pole poset, Proposition 2.2 implies that $\overline{R}^{op} \subseteq R\Delta_\tau = \Delta_\tau R$, using the fact that τ is an automorphism of (E, R) . So we obtain

$$\overline{R}^{op} \cup \Delta_{E_1} \subseteq \Delta_\tau R.$$

In order to prove the reverse inclusion, we let $(a, \tau(a)) \in \Delta_\tau$ (since $\tau = \tau^{-1}$) and $(\tau(a), b) \in R$, i.e. $\tau(a) \leq b$. If $a \in E_2$, then $\tau(a) = \check{a}$, hence $\check{a} \leq b$. Then $b \not\leq a$, that is, $(a, b) \in \overline{R}^{op}$. If $a \in E_1$, then $\tau(a) = a$, hence $a \leq b$. If $a = b$, then $(a, b) \in \Delta_{E_1}$, while if $a \neq b$, then $a < b$, hence $b \not\leq a$, that is, $(a, b) \in \overline{R}^{op}$. This shows the required reverse inclusion and therefore

$$\overline{R}^{op} \cup \Delta_{E_1} = \Delta_\tau R,$$

as claimed. In particular $(\overline{R}^{op} \cup \Delta_{E_1})\delta = \Delta_\tau R\delta = \Delta_\tau \delta$ by Lemma 4.1. Therefore

$$\delta^2 = \sum_{A \subseteq E} (-1)^{|A|} (\overline{R}^{op} \cup \Delta_A)\delta = (-1)^{|E_1|} (\overline{R}^{op} \cup \Delta_{E_1})\delta = (-1)^{|E_1|} \Delta_\tau \delta.$$

Since τ permutes all the subsets $A \subseteq E$ and preserves their cardinality, we have $\Delta_\tau \delta = \delta \Delta_\tau$. Consequently

$$((-1)^{|E_1|} \Delta_\tau \delta)^2 = (-1)^{2|E_1|} \Delta_\tau^2 \delta^2 = \delta^2 = (-1)^{|E_1|} \Delta_\tau \delta,$$

so we obtain an idempotent.

Right multiplication by this idempotent defines an idempotent endomorphism of the correspondence functor $k\mathcal{C}(-, E)R$ (notice that both Δ_τ and δ commute with R). The image of this endomorphism is the subfunctor generated by the element $(-1)^{|E_1|} \Delta_\tau \delta$, that is, the subfunctor generated by δ because Δ_τ is invertible. But we know that $\langle \delta \rangle$ is isomorphic to the fundamental functor $\mathbb{S}_{E,R}$. Therefore $\mathbb{S}_{E,R}$ is isomorphic to a direct summand of $k\mathcal{C}(-, E)R$, hence a direct summand of $k\mathcal{C}(-, E)$ because $R^2 = R$ is idempotent. Since $k\mathcal{C}(-, E)$ is a projective functor by Yoneda's lemma, we conclude that $\mathbb{S}_{E,R}$ is projective.

Our assumption (b) also says that the $k \operatorname{Aut}(E, R)$ -module V is projective. By Proposition 3.4, $S_{E,R,V}$ is isomorphic to $\mathbb{S}_{E,R} \otimes_{k \operatorname{Aut}(E,R)} V$, which is in turn a direct summand of $\mathbb{S}_{E,R} \otimes_{k \operatorname{Aut}(E,R)} k \operatorname{Aut}(E, R) \cong \mathbb{S}_{E,R}$. Therefore $S_{E,R,V}$ is projective, proving (a). \square

Another proof of the implication (b) \Rightarrow (a) will be given later in Corollary 9.11.

5. PROJECTIVITY OF FUNDAMENTAL FUNCTORS

Given a poset (E, R) , we know from Proposition 3.4 that every simple functor $S_{E,R,V}$ has a precursor $\mathbb{S}_{E,R}$, called the fundamental functor associated with the poset (E, R) . This is actually defined over any commutative base ring k . The main result of this section is analogous to Theorem 4.5.

Theorem 5.1. *Let (E, R) be a finite poset. Then $\mathbb{S}_{E,R}$ is a projective functor if and only if (E, R) is a pole poset.*

Proof. Assume first that $\mathbb{S}_{E,R}$ is a projective functor. We allow the base ring k to vary and we write a superscript (k) to emphasize that a functor belongs to the category \mathcal{F}_k of correspondence functors defined over the base ring k . Let m be a maximal ideal of k and let $C = k/m$ be the corresponding field. The scalar extension functor

$$\mathcal{F}_k \longrightarrow \mathcal{F}_C, \quad F \mapsto C \otimes_k F$$

is left adjoint of the scalar ‘restriction’ functor, which is obviously exact. Therefore, scalar extension sends projective objects to projective objects. In particular, we see that $C \otimes_k \mathbb{S}_{E,R}^{(k)}$ is projective.

By Theorem 6.6 in [BT4], the evaluation $\mathbb{S}_{E,R}^{(k)}(X)$ at a finite set X has an explicit k -basis \mathcal{B}_X . This basis is defined independently of k , so that it remains a k' -basis for any ring extension $k \rightarrow k'$. Therefore, the natural surjection

$$C \otimes_k \mathbb{S}_{E,R}^{(k)}(X) \longrightarrow \mathbb{S}_{E,R}^{(C)}(X)$$

is an isomorphism. Since this holds for any X , we have $C \otimes_k \mathbb{S}_{E,R}^{(k)} \cong \mathbb{S}_{E,R}^{(C)}$ and it follows that $\mathbb{S}_{E,R}^{(C)}$ is projective. Now the functor $M := S_{E,R}^{(C)}$ satisfies the assumptions of Lemma 4.3 and this lemma then asserts that (E, R) is a pole poset, as was to be shown.

For the converse, we use the proof of (b) \Rightarrow (a) in Theorem 4.5. This proof (except the last paragraph) tells us precisely that, whenever (E, R) is a pole poset, the fundamental functor $\mathbb{S}_{E,R}$ is projective. \square

Another proof of the projectivity of $\mathbb{S}_{E,R}$ whenever (E, R) is a pole poset will be given later (see Remark 9.9).

6. SIMPLE AND PROJECTIVE MODULES FOR THE ALGEBRA OF RELATIONS

In this section, we assume that k is a field and we use the close link between simple correspondence functors and simple modules for the monoid algebra $k\mathcal{R}_X = k\mathcal{C}(X, X)$, in order to determine all the simple $k\mathcal{R}_X$ -modules which are projective. Let us first recall this link, which is Theorem 8.1 in [BT4].

Theorem 6.1. *Let X be a finite set and let k be a field.*

- (a) *The set of isomorphism classes of simple \mathcal{R}_X -modules is parametrized by the set of isomorphism classes of triples (E, R, V) , where E is a finite set with $|E| \leq |X|$, R is an order relation on E , and V is a simple $k \operatorname{Aut}(E, R)$ -module.*
- (b) *The simple module parametrized by the triple (E, R, V) is $S_{E,R,V}(X)$, where $S_{E,R,V}$ is the simple correspondence functor corresponding to the triple (E, R, V) .*

Pole posets appear again in the main result of this section.

Theorem 6.2. *Assume that k is a field. Let X be a finite set and let (E, R, V) be a triple as in Theorem 6.1 above. The following conditions are equivalent.*

- (a) *The simple $k\mathcal{R}_X$ -module $S_{E,R,V}(X)$ is projective.*
- (b) *The simple correspondence functor $S_{E,R,V}$ is projective.*
- (c) *(E, R) is a pole poset and V is a projective $k \operatorname{Aut}(E, R)$ -module.*

Proof. By Theorem 4.5, we already know that (b) and (c) are equivalent.

(a) \Rightarrow (b). Let $W = S_{E,R,V}(X)$. By the adjunction mentioned in Section 3, the identity map $W \rightarrow S_{E,R,V}(X)$ gives rise to a morphism

$$\pi : L_{X,W} \longrightarrow S_{E,R,V},$$

which is surjective by simplicity of $S_{E,R,V}$. Since, by assumption, the $k\mathcal{R}_X$ -module W is projective and indecomposable, the functor $L_{X,W}$ is projective and indecomposable (see Lemma 2.4 in [BT2]) and is therefore the projective cover of $S_{E,R,V}$. By Theorem 10.7 in [BT2], we have

$$S_{E,R,V} \cong L_{X,W} / \operatorname{Rad}(L_{X,W}) \cong \operatorname{Soc}(L_{X,W}).$$

Suppose that $L_{X,W}$ is not simple. Then the simple functor $S_{E,R,V}$ appears at least twice in a composition series of $L_{X,W}$. Therefore, the simple $k\mathcal{R}_X$ -module $W = S_{E,R,V}(X)$ appears at least twice in a composition series of the $k\mathcal{R}_X$ -module $L_{X,W}(X)$. But $L_{X,W}(X) = k\mathcal{R}_X \otimes_{k\mathcal{R}_X} W \cong W$ is simple and we obtain a contradiction. It follows that $L_{X,W}$ must be simple and so $\pi : L_{X,W} \rightarrow S_{E,R,V}$ is an isomorphism. Since $L_{X,W}$ is projective, so is $S_{E,R,V}$, as was to be shown.

(b) \Rightarrow (a). The simple functor $S_{E,R,V}$ must be generated by its nonzero evaluation $S_{E,R,V}(X)$ and it is projective by assumption. Therefore, by Lemma 7.3 in [BT2], the $k\mathcal{R}_X$ -module $S_{E,R,V}(X)$ is projective. \square

7. MORPHISMS AND IDEMPOTENTS CORRESPONDING TO POLE LATTICES

In this section, we construct explicit families of morphisms in the category $k\mathcal{L}$ of finite lattices, where k is a commutative ring, in particular orthogonal idempotent endomorphisms of a fixed lattice T . They involve a pole lattice P and they have remarkable properties which will be used in Section 8 to obtain structural results about the endomorphism algebra $\text{End}_{k\mathcal{L}}(T)$. By means of the fully faithful functor $T \mapsto F_T$, we will then see in Section 9 how to deduce structural results about the correspondence functor F_T , and in particular about projective direct summands of F_T .

Our results generalize those obtained in [BT3] in the special case when P is a totally ordered lattice. We follow the same line of development, but with many necessary additions and technical adaptations.

We first fix a pole lattice P and a surjective join-morphism $\pi : T \rightarrow P$. Recall that P_1 denotes the subset of elements $p \in P$ such that p is comparable to every element of P , while P_2 denotes the subset consisting of all twins. Let $E = \text{Irr}(P)$ be the set of irreducible elements of P , described in Lemma 2.1. We write $E_1 = E \cap P_1$ and $E_2 = E \cap P_2$ (so that in fact $E_2 = P_2$).

Notation 7.1. We define a notation associated with the surjective join-morphism $\pi : T \rightarrow P$.

- (a) For every $p \in P$, let $b_p^\pi = \pi^{op}(p) = \sup(\pi^{-1}(p))$. Whenever π is fixed, we write simply $b_p = b_p^\pi$.
- (b) $B = \text{Im}(\pi^{op}) = \{b_p \mid p \in P\}$. Notice that B is a subposet of T^{op} which is join-closed, hence a subposet of T which is meet-closed and isomorphic to P .
- (c) For every $e \in E_1$, let $b_e^- = b_{r(e)}$ and $b_e^+ = b_e$, where $r(e) = \sup[\widehat{0}, e]_P$.
- (d) For every $e \in E_2$ and if \check{e} is the twin of e , let $b_e^- = b_e$ and $b_e^+ = b_{s(e)}$, where $s(e) = \inf[e, \widehat{1}]_P = e \vee \check{e}$.

Remark 7.2. The definition in (c) and (d) is not uniform since we have $b_e = b_e^+$ in one case and $b_e = b_e^-$ in the other. This strange behavior will be explained in Remark 7.10, where a uniform explanation will be given.

For every $e \in E$, choose $a_e \in [b_e^-, b_e^+]_T$ (where the subscript T emphasizes that the interval is taken within the lattice T). This defines a family $A = (a_e)_{e \in E}$ with the following property.

Lemma 7.3. *Let $A = (a_e)_{e \in E}$ be a family of elements of T such that $a_e \in [b_e^-, b_e^+]_T$ for every $e \in E$. Then, whenever $e, f \in E$,*

$$e <_P f \implies a_e \leq_T a_f.$$

Proof. If $e \in E_2$ and $f \in E_1$ with $e <_P f$, then $e <_P s(e) \leq_P r(f) <_P f$ and therefore

$$a_e \leq_T b_e^+ = b_{s(e)} \leq_T b_{r(f)} = b_f^- \leq_T a_f.$$

The other cases are easier and are left to the reader. □

By Lemma 2.3, since P is a distributive lattice, the order-preserving map $E \rightarrow T$, $e \mapsto a_e$, extends to a join-morphism

$$j_A^\pi : P \longrightarrow T, \quad p \mapsto a_p.$$

Explicitly, we have $a_{\widehat{0}} = \widehat{0}$ and $a_{e \vee \check{e}} = a_e \vee a_{\check{e}}$ whenever $e \in E_2$ with twin \check{e} (these are the only non-irreducible elements of P by Lemma 2.1). Note that j_A^π is not necessarily a section of π (see the beginning of the proof of Proposition 7.9).

Define the family $B^- = (b_e^-)_{e \in E}$ and write

$$\mu(B^-, A) = \prod_{e \in E} \mu(b_e^-, a_e)$$

where $\mu(-, -)$ denotes the Möbius function of the lattice T . Allowing the family A to vary (i.e. a_e varies in $[b_e^-, b_e^+]_T$ for each $e \in E$), define

$$(7.4) \quad j^\pi = (-1)^{|E_1|} \sum_A \mu(B^-, A) j_A^\pi.$$

This is a k -linear combination of join-morphisms, hence an element of $k\mathcal{L}(P, T)$. The morphisms j^π have remarkable properties, in particular when j^π is composed with the surjection π . We are going to explore those properties in a series of propositions. We first start with a lemma.

Lemma 7.5. *Let $A = (a_e)_{e \in E}$ and $\tilde{A} = (\tilde{a}_e)_{e \in E}$ be two families as above and fix some $g \in E$. Suppose that $\tilde{a}_e = a_e$ for all $e \in E - \{g\}$. Then the following are equivalent:*

- (a) $j_A^\pi(p) = j_{\tilde{A}}^\pi(p)$ for all $p \in P - \{g\}$.
- (b) If $g \in E_2$, then $\tilde{a}_g \vee a_{\check{g}} = a_g \vee a_{\check{g}}$ where \check{g} is the twin of g .

Proof. Suppose that (b) holds. If $p = e \in E - \{g\}$, then $\tilde{a}_e = a_e$ by assumption, that is, $j_A^\pi(e) = j_{\tilde{A}}^\pi(e)$. If $p = \widehat{0}$, then $j_A^\pi(\widehat{0}) = \widehat{0} = j_{\tilde{A}}^\pi(\widehat{0})$. If now $p \in P - E$ and $p \neq \widehat{0}$, then $p = u \vee \check{u}$ for some $u \in E_2$, by the definition of a pole lattice. If $g \neq u, \check{u}$, then

$$j_A^\pi(p) = j_A^\pi(u \vee \check{u}) = \tilde{a}_u \vee \tilde{a}_{\check{u}} = a_u \vee a_{\check{u}} = j_A^\pi(u \vee \check{u}) = j_{\tilde{A}}^\pi(p).$$

If $g = u$, then the assumption (b) implies that

$$j_A^\pi(p) = j_A^\pi(g \vee \check{g}) = \tilde{a}_g \vee \tilde{a}_{\check{g}} = \tilde{a}_g \vee a_{\check{g}} = a_g \vee a_{\check{g}} = j_A^\pi(g \vee \check{g}) = j_{\tilde{A}}^\pi(p),$$

proving (a).

Assume conversely that (a) holds. If $g \in E_1$, then condition (b) is empty and there is nothing to prove. So suppose that $g \in E_2$. Then

$$\tilde{a}_g \vee a_{\check{g}} = \tilde{a}_g \vee \tilde{a}_{\check{g}} = j_A^\pi(g \vee \check{g}) = j_{\tilde{A}}^\pi(g \vee \check{g}) = a_g \vee a_{\check{g}},$$

proving (b). □

Definition 7.6. Associated with the subset $E = \text{Irr}(P)$, there is a subfunctor H_P of F_P defined as follows. For any finite set X , the evaluation $H_P(X)$ is the k -submodule of $F_P(X)$ generated by all functions $\varphi : X \rightarrow P$ such that $E \not\subseteq \varphi(X)$.

This subfunctor is important in the theory of correspondence functors (see Section 5 of [BT3] for details).

Proposition 7.7. *Let $\pi \in \text{Sur}_{\mathcal{L}}(T, P)$ and let $j^\pi : P \rightarrow T$ be the morphism defined in (7.4).*

- (a) *For any finite set X and any function $\varphi : X \rightarrow P$ such that $E \not\subseteq \varphi(X)$, we have $j^\pi \varphi = 0$.*

(b) j^π induces a morphism $F_{j^\pi} : F_P \rightarrow F_T$ vanishing on H_P , hence induces in turn a morphism

$$\overline{F}_{j^\pi} : F_P/H_P \longrightarrow F_T.$$

Proof. Since (b) immediately follows from (a), it suffices to prove (a). We have

$$j^\pi \varphi = (-1)^{|E_1|} \sum_A \mu(B^-, A) j_A^\pi \varphi = \sum_{\psi: X \rightarrow T} (-1)^{|E_1|} \left(\sum_{j_A^\pi \varphi = \psi} \mu(B^-, A) \right) \psi.$$

For a fixed ψ , we have to prove that the inner sum over A is zero. If this inner sum is empty, then the sum is zero and we are done. Otherwise, we can choose A such that $j_A^\pi \varphi = \psi$. Let $g \in E$ be such that $g \notin \varphi(X)$. Then we can modify the family A into \tilde{A} , by changing only the image $j_A^\pi(g) = a_g \in [b_g^-, b_g^+]_T$ into $j_{\tilde{A}}^\pi(g) = \tilde{a}_g \in [b_g^-, b_g^+]_T$, with the extra condition that $\tilde{a}_g \vee a_{\tilde{g}} = a_g \vee a_{\tilde{g}}$ in case $g \in E_2$. The point of such a modification is that it is precisely the only kind which does not change the equality $j_A^\pi \varphi = \psi$, by Lemma 7.5. We set $A' = (a_e)_{e \in E - \{g\}}$ and $B'^- = (b_e^-)_{e \in E - \{g\}}$ and we let

$$j_{A'}^\pi : E - \{g\} \longrightarrow T, \quad e \mapsto a_e,$$

which we extend to a join morphism $j_{A'}^\pi : P - \{g\} \rightarrow T$. We obtain

$$\sum_{j_A^\pi \varphi = \psi} \mu(B^-, A) = \sum_{j_{A'}^\pi \varphi = \psi} \mu(B'^-, A') \sum_{\tilde{a}_g} \mu(b_g^-, \tilde{a}_g),$$

where the inner sum runs over all $\tilde{a}_g \in [b_g^-, b_g^+]_T$, with the extra condition that $\tilde{a}_g \vee a_{\tilde{g}} = a_g \vee a_{\tilde{g}}$ in case $g \in E_2$.

If $g \notin E_2$, then the sum runs over all $\tilde{a}_g \in [b_g^-, b_g^+]_T$ and this is zero by the definition of the Möbius function (because $b_g^- = b_{r(g)} <_T b_g = b_g^+$). If $g \in E_2$, then the extra condition is equivalent to $\tilde{a}_g \vee (b_g \vee a_{\tilde{g}}) = a_g \vee a_{\tilde{g}}$ (because $b_g = b_g^- \leq \tilde{a}_g$), so \tilde{a}_g runs over the interval $[b_g, a_g \vee a_{\tilde{g}}]_T$ with the condition that its join with the fixed element $b_g \vee a_{\tilde{g}}$ is equal to the top element $a_g \vee a_{\tilde{g}}$. By a well-known property of the Möbius function (Corollary 3.9.3 in [Sta12]), the corresponding sum

$$\sum_{\substack{\tilde{a}_g \in [b_g, a_g \vee a_{\tilde{g}}]_T \\ \tilde{a}_g \vee (b_g \vee a_{\tilde{g}}) = a_g \vee a_{\tilde{g}}}} \mu(b_g^-, \tilde{a}_g)$$

is zero, provided the fixed element $b_g \vee a_{\tilde{g}}$ is not equal to the bottom element b_g . But this is indeed the case since $b_g \vee a_{\tilde{g}} \geq_T b_g \vee b_{\tilde{g}} >_T b_g$, the latter inequality coming from the fact that $\pi(b_g \vee b_{\tilde{g}}) = g \vee \tilde{g} >_P g = \pi(b_g)$. It follows that the coefficient of every ψ is zero, hence $j^\pi \varphi = 0$. \square

Now we want to compute the composite πj^π . For any subset Y of E , we define

$$(7.8) \quad \rho_Y : E \longrightarrow P, \quad \rho_Y(e) = \begin{cases} e & \text{if } e \in Y, \\ r(e) & \text{if } e \in E_1, e \notin Y, \\ s(e) & \text{if } e \in E_2, e \notin Y. \end{cases}$$

It is easy to see that ρ_Y is order-preserving (because, if $e \in E_2, f \in E_1$, and $e <_P f$, then $e <_P s(e) \leq_P r(f) <_P f$, while the other cases are easier). Therefore, by Lemma 2.3, ρ_Y extends to a join-morphism $\rho_Y : P \longrightarrow P$ because the pole

lattice P is distributive. Note that $\rho_Y(p) = p$ for any $p \notin E$. This is clear if $p = \widehat{0}$. Otherwise $p = e \vee \check{e}$ for some $e \in E_2$ by Lemma 2.1 and

$$p = e \vee \check{e} \leq_P \rho_Y(e) \vee \rho_Y(\check{e}) \leq_P s(e) \vee s(\check{e}) = p \vee p = p,$$

forcing equality and $\rho_Y(p) = \rho_Y(e) \vee \rho_Y(\check{e}) = p$.

Proposition 7.9. *Let $\pi \in \text{Sur}_{\mathcal{L}}(T, P)$ and let $j^\pi : P \rightarrow T$ be the morphism defined in (7.4).*

- (a) $\pi j^\pi = \sum_{\emptyset \subseteq Y \subseteq E} (-1)^{|E-Y|} \rho_Y$, where ρ_Y is defined by (7.8).
- (b) If $Y \neq E$, then $E \not\subseteq \rho_Y(P)$.

Proof. For simplicity, we write $<$ instead of $<_P$ and \leq instead of \leq_P .

(a) If $e \in E_1$ and $b_{r(e)} < x$ in T , then $r(e) < \pi(x)$ because $b_{r(e)} = \sup\{t \in T \mid \pi(t) = r(e)\}$. Thus if $x \in]b_{r(e)}, b_e]_T$, we get $r(e) < \pi(x) \leq e$, hence $\pi(x) = e$. Similarly, if $e \in E_2$ and $x \in]b_e, b_{s(e)}]_T$, then $\pi(x) = s(e)$. It follows that

$$\pi j_A^\pi(e) = \begin{cases} e & \text{if } e \in E_1 \text{ and } j_A^\pi(e) \in]b_e^-, b_e^+]_T =]b_{r(e)}, b_e]_T, \\ e & \text{if } e \in E_2 \text{ and } j_A^\pi(e) = b_e^- = b_e, \\ r(e) & \text{if } e \in E_1 \text{ and } j_A^\pi(e) = b_e^- = b_{r(e)}, \\ s(e) & \text{if } e \in E_2 \text{ and } j_A^\pi(e) \in]b_e^-, b_e^+]_T =]b_e, b_{s(e)}]_T. \end{cases}$$

We see that $\pi j_A^\pi = \rho_Y$ for a suitable subset $Y \subseteq E$ and therefore

$$\pi j^\pi = \sum_{\emptyset \subseteq Y \subseteq E} (-1)^{|E_1|} \left(\sum_{\pi j_A^\pi = \rho_Y} \mu(B^-, A) \right) \rho_Y.$$

For a fixed subset Y , in order to realize the condition $\pi j_A^\pi = \rho_Y$, we have the following possibilities:

- If $e \in Y \cap E_1$, then $j_A^\pi(e)$ can run freely in $]b_e^-, b_e^+]_T$.
- If $e \in Y \cap E_2$, then $j_A^\pi(e)$ must be equal to $b_e^- = b_e$.
- If $e \in (E - Y) \cap E_1$, then $j_A^\pi(e)$ must be equal to $b_e^- = b_{r(e)}$.
- If $e \in (E - Y) \cap E_2$, then $j_A^\pi(e)$ can run freely in $]b_e^-, b_e^+]_T$.

It follows that the coefficient $(-1)^{|E_1|} \sum_{\pi j_A^\pi = \rho_Y} \mu(B^-, A)$ is equal to

$$\begin{aligned} & (-1)^{|E_1|} \prod_{e \in Y \cap E_1} \left(\sum_{a_e \in]b_e^-, b_e^+]_T} \mu(b_e^-, a_e) \right) \cdot \prod_{e \in (E-Y) \cap E_2} \left(\sum_{a_e \in]b_e^-, b_e^+]_T} \mu(b_e^-, a_e) \right) \\ &= (-1)^{|E_1|} \cdot (-1)^{|Y \cap E_1|} \cdot (-1)^{|(E-Y) \cap E_2|} \\ &= (-1)^{|(E-Y) \cap E_1|} \cdot (-1)^{|(E-Y) \cap E_2|} \\ &= (-1)^{|E-Y|}, \end{aligned}$$

using the fact that

$$0 = \sum_{a_e \in]b_e^-, b_e^+]_T} \mu(b_e^-, a_e) = 1 + \sum_{a_e \in]b_e^-, b_e^+]_T} \mu(b_e^-, a_e).$$

This shows that

$$\pi j^\pi = \sum_{\emptyset \subseteq Y \subseteq E} (-1)^{|E-Y|} \rho_Y,$$

as required.

(b) Suppose that Y is a proper subset of E and let $g \in E$ be maximal such that $g \notin Y$. We want to prove that $g \notin \rho_Y(P)$. We let $p \in P$ and we prove that $\rho_Y(p) \neq g$.

If $p > g$, then $p \in Y$ and $\rho_Y(p) = p \neq g$, while if $p = g$, then $\rho_Y(g) \neq g$.

Assume now that $p \not\geq g$ and $g \in E_1$. Then $p < g$ and $\rho_Y(p) \leq \rho_Y(g) = r(g) < g$.

Assume now that $p \not\geq g$ and $g \in E_2$. Then either $p < g$ or $p = \check{g}$, the twin of g .

If $p < g$ and $p \in E_1$, then $\rho_Y(p) \leq p < g$. If $p < g$ and $p \in E_2$, then $\rho_Y(p) \leq s(p) \leq g$. But $s(p)$ is reducible since $s(p) = p \vee \check{p}$, while g is irreducible. Therefore $s(p) \neq g$, hence $\rho_Y(p) < g$.

If $p = \check{g}$, then $p \in E_2$ and $\rho_Y(p)$ is either p or $s(p)$. But neither p nor $s(p)$ is equal to g .

We have proved that $\rho_Y(p) \neq g$ in all cases, as was to be shown. □

Remark 7.10. In the special case when $T = P$ and $\pi = \text{id}$, we find that j^{id} is a linear combination of the maps ρ_Y . It turns out that j^{id} is actually an avatar of the element $\gamma_{P^{op}} \in F_P(E^0)$ which is defined in (3.9), where $E^0 = \text{Irr}(P^{op})$. We know that the element γ_T plays an important role throughout the theory of correspondence functors (see Section 9 of [BT3] and Section 4 of the present paper). The advantage of $\gamma_{P^{op}}$ is that it has a uniform definition, contrary to j^P (as observed in Remark 7.2).

To make this explicit, let $E = \text{Irr}(P)$, viewed as a subposet of P and $E^0 = \text{Irr}(P^{op})$, viewed also as a subposet of P (so that it is actually $(E^0)^{op}$ which is the subposet of irreducible elements of P^{op}). Since P is a distributive lattice, it is isomorphic to the lattice $I_\downarrow(E)$ of all subsets of E closed under taking smaller elements. The passage to complements induces an isomorphism $I_\downarrow(E) \cong I^\uparrow(E)^{op}$, where $I^\uparrow(E)$ is the lattice of all subsets of E closed under taking greater elements. On restriction to E , this induces an order-preserving isomorphism $\alpha : E \rightarrow E^0$, which turns out to map $e \in E_1$ to $r(e) \in E_1^0$ (in the totally ordered part) and $e \in E_2$ to its twin $\check{e} \in E_2^0$ (in the twin part).

Now $\gamma_{P^{op}}$ is a linear combination of maps $E^0 \rightarrow P$ and we precompose it with $\alpha\tau$, where $\tau : E \rightarrow E$ exchanges all the twins and fixes all the other elements. We obtain a linear combination of maps $E \rightarrow P$ and, after an explicit computation, it turns out that

$$\gamma_{P^{op}} \alpha \tau = \pm j^{\text{id}},$$

the sign being actually $(-1)^{|E_1|}$. (This computation appears explicitly in the proof of Theorem 9.7, using a bijection $\omega : E^0 \rightarrow E$ which is actually the inverse of $\alpha\tau$.) The definition of ρ_Y in (7.8) was *not* uniform and, accordingly, j^{id} has a rather strange behavior. However, by means of the isomorphism $\alpha\tau$, the translation of all this in terms of $\gamma_{P^{op}}$ becomes uniform.

Unfortunately, we need to work with j^{id} rather than $\gamma_{P^{op}}$. The reason is that $\gamma_{P^{op}} \in F_P(E^0)$ is a linear combination of maps $E^0 \rightarrow P$, whereas, after composing with α , we obtain order-preserving maps $E \rightarrow P$ which are extendible to endomorphisms $P \rightarrow P$ (because P is a distributive lattice, see Lemma 2.3). The key fact is that endomorphisms are better because they can be composed, in particular it makes sense to consider idempotent endomorphisms.

We can now prove a main result concerning the composite $j^\pi\pi$ and obtain consequences for the correspondence functor F_P associated with the pole lattice P .

Proposition 7.11. *Let $\pi \in \text{Sur}_{\mathcal{L}}(T, P)$ and let $j^\pi : P \rightarrow T$ be the morphism defined in (7.4). Let $q : F_P \rightarrow F_P/H_P$ be the canonical surjection, where H_P is defined by (7.6).*

- (a) $j^\pi \pi$ is an idempotent endomorphism of T .
- (b) The composite of $\overline{F}_{j^\pi} : F_P/H_P \rightarrow F_T$ and $qF_\pi : F_T \rightarrow F_P/H_P$ is the identity morphism of F_P/H_P .
- (c) $\overline{F}_{j^\pi} : F_P/H_P \rightarrow F_T$ is injective and embeds F_P/H_P as a direct summand of F_T .
- (d) $F_{j^\pi} F_\pi$ is an idempotent endomorphism of F_T whose image is isomorphic to F_P/H_P .

Proof.

(a) This follows from (d), which is proved below, because the functor $F_\pi : k\mathcal{L} \rightarrow \mathcal{F}_k$ is fully faithful by Theorem 3.6. Alternatively, it is not difficult to compute directly

$$j^\pi \pi j^\pi = j^\pi \sum_{\emptyset \subseteq Y \subseteq E} (-1)^{|E-Y|} \rho_Y = j^\pi \text{id}_P + \sum_{Y \neq E} (-1)^{|E-Y|} j^\pi \rho_Y = j^\pi,$$

because $E \not\subseteq \rho_Y(P)$ if $Y \neq E$ by Proposition 7.9, hence $j^\pi \rho_Y = 0$ by Proposition 7.7. Then the equality $j^\pi \pi j^\pi = j^\pi$ implies that $j^\pi \pi$ is an idempotent.

(b) By Proposition 7.9, for any finite set X and any function $\varphi : X \rightarrow P$,

$$F_\pi F_{j^\pi}(\varphi) = \pi j^\pi \varphi = \sum_{\emptyset \subseteq Y \subseteq E} (-1)^{|E-Y|} \rho_Y \varphi = \varphi + \sum_{Y \neq E} (-1)^{|E-Y|} \rho_Y \varphi.$$

But $E \not\subseteq \rho_Y(P)$ if $Y \neq E$ by Proposition 7.9, hence $E \not\subseteq \rho_Y \varphi(X)$. In other words, $\rho_Y \varphi \in H_P(X)$, so that

$$F_\pi F_{j^\pi}(\varphi) = \varphi \pmod{H_P(X)}.$$

Composing with the canonical map $q : F_P(X) \rightarrow F_P(X)/H_P(X)$ and writing $q(\varphi) = \overline{\varphi}$, we obtain

$$qF_\pi \overline{F}_{j^\pi}(\overline{\varphi}) = qF_\pi F_{j^\pi}(\varphi) = q(\varphi) = \overline{\varphi},$$

as was to be shown.

(c) This follows immediately from (b).

(d) This follows immediately from (b) and the obvious equality $\overline{F}_{j^\pi} q F_\pi = F_{j^\pi} F_\pi$. \square

One of our aims is to show that the idempotents $j^\pi \pi$ are orthogonal. In order to understand the product of two idempotents $j^\theta \theta$ and $j^\pi \pi$ we need to have more information about θj^π . This is the purpose of our next three propositions, but we first need a lemma.

Lemma 7.12. *Let Q be a pole lattice, let $\theta \in \text{Sur}_{\mathcal{L}}(T, Q)$, and let $[t_1, t_2]_T$ be an interval in T . For every $q \in Q$, define*

$$U^q = \theta^{-1}(q) \cap [t_1, t_2]_T = \{a \in [t_1, t_2]_T \mid \theta(a) = q\} \subseteq [t_1, t_2]_T.$$

Let $q_1 = \theta(t_1)$ and assume that U^{q_1} is not reduced to the singleton t_1 . Then for each $q \in Q$, we have $\sum_{a \in U^q} \mu(t_1, a) = 0$.

Proof. The result is obvious if $U^q = \emptyset$, so we assume that U^q is nonempty. Since all elements of U^q have the same image under θ , so has their join and therefore U^q has a supremum

$$u^q = \sup(U^q) \in U^q.$$

Now we have $[t_1, t_2]_T = \sqcup_{q \in Q} U^q$ and, by assumption, $U^{q_1} = [t_1, u^{q_1}]_T$ is a nontrivial interval, so that

$$\sum_{a \in U^{q_1}} \mu(t_1, a) = 0.$$

This is the starting point of an induction argument. We fix $q \in Q$ and we assume by induction that $\sum_{a \in U^r} \mu(t_1, a) = 0$ for every $r \in Q$ such that $q_1 \leq r < q$. Then we obtain

$$\begin{aligned} 0 &= \sum_{a \in [t_1, u^q]_T} \mu(t_1, a) = \sum_{q_1 \leq r \leq q} \sum_{a \in U^r} \mu(t_1, a) \\ &= \sum_{a \in U^q} \mu(t_1, a) + \sum_{q_1 \leq r < q} \sum_{a \in U^r} \mu(t_1, a) \\ &= \sum_{a \in U^q} \mu(t_1, a), \end{aligned}$$

using the induction assumption. This completes the proof. □

Proposition 7.13. *Let $\pi \in \text{Sur}_{\mathcal{L}}(T, P)$ and $\theta \in \text{Sur}_{\mathcal{L}}(T, Q)$, where P and Q are pole lattices, and let $j^\pi : P \rightarrow T$ be the morphism defined in (7.4). Suppose that $\theta j^\pi \neq 0$. Then the restriction of θ to the subset $B = \text{Im}(\pi^{op})$ is injective. In particular, $|P| \leq |Q|$.*

Proof. Let $E = \text{Irr}(P)$. By the definition of j^π , we have

$$\theta j^\pi = (-1)^{|E_1|} \sum_A \mu(B^-, A) \theta j_A^\pi = \sum_{\psi: P \rightarrow Q} \left((-1)^{|E_1|} \sum_{\theta j_A^\pi = \psi} \mu(B^-, A) \right) \psi.$$

Now fix some morphism $\psi : P \rightarrow Q$ and, for every $e \in E$ and every $q \in Q$, define

$$U_e^q = \theta^{-1}(q) \cap [b_e^-, b_e^+]_T = \{a \in [b_e^-, b_e^+]_T \mid \theta(a) = q\} \subseteq [b_e^-, b_e^+]_T.$$

Here, we write $B = \{b_p \mid p \in P\}$, as before. Then, since a join-morphism from P is entirely determined on $E = \text{Irr}(P)$, we have

$$\theta j_A^\pi = \psi \iff j_A^\pi(e) \in U_e^{\psi(e)} \forall e \in E \iff a_e \in U_e^{\psi(e)} \forall e \in E.$$

In particular, if ψ appears in the expression of θj^π , then $U_e^{\psi(e)} \neq \emptyset$ for every $e \in E$. It follows now that the coefficient of ψ is, up to sign, equal to

$$\sum_{\theta j_A^\pi = \psi} \mu(B^-, A) = \prod_{e \in E} \left(\sum_{a_e \in U_e^{\psi(e)}} \mu(b_e^-, a_e) \right).$$

Suppose that $\theta|_B : B \rightarrow Q$ is not injective. Then we want to prove that the coefficient of ψ is zero. This is the case if $U_e^{\psi(e)} = \emptyset$ for some $e \in E$, because we get an empty sum, which is zero. So we assume that $U_e^{\psi(e)} \neq \emptyset$ for every $e \in E$. The noninjectivity of $\theta|_B$ implies that there exist two adjacent elements $w < y$ in P such that $\theta(b_w) = \theta(b_y)$. There are three cases.

Case 1. $y \in E_1$ and $w = r(y)$. Then $b_w = b_y^-$ and $b_y = b_y^+$. Choosing $a \in U_y^{\psi(y)}$, we obtain

$$\theta(b_w) = \theta(b_y^-) \leq_Q \theta(a) \leq_Q \theta(b_y^+) = \theta(b_y),$$

hence $\theta(b_y^-) = \theta(a) = \theta(b_y^+)$. Since $\theta(a) = \psi(y)$, it follows that the whole interval $[b_y^-, b_y^+]_T$ is mapped to $\psi(y)$ under θ , that is, $[b_y^-, b_y^+]_T = U_y^{\psi(y)}$. But then

$$\sum_{a_y \in U_y^{\psi(y)}} \mu(b_y^-, a_y) = 0,$$

by the definition of the Möbius function (because $b_y^- \neq b_y^+$). Therefore the coefficient of ψ is zero.

Case 2. $w \in E_2$ and $y = s(w)$. Then $b_w = b_w^-$ and $b_y = b_w^+$. Choosing $a \in U_w^{\psi(w)}$, we obtain

$$\theta(b_w) = \theta(b_w^-) \leq_Q \theta(a) \leq_Q \theta(b_w^+) = \theta(b_y),$$

hence $\theta(b_w^-) = \theta(a) = \theta(b_w^+)$. Since $\theta(a) = \psi(w)$, it follows that the whole interval $[b_w^-, b_w^+]_T$ is mapped to $\psi(w)$ under θ , that is, $[b_w^-, b_w^+]_T = U_w^{\psi(w)}$. But then

$$\sum_{a_w \in U_w^{\psi(w)}} \mu(b_w^-, a_w) = 0,$$

and the coefficient of ψ is zero.

Case 3. $y \in E_2$ and $w = r(y)$. Let $z = \check{y}$ be the twin of y , so that $b_z^- = b_z$. Since $w < z$, we have $b_w < b_z$ and

$$\theta(b_y \vee b_z) = \theta(b_y) \vee \theta(b_z) = \theta(b_w) \vee \theta(b_z) = \theta(b_w \vee b_z) = \theta(b_z).$$

Letting $q_1 = \theta(b_z)$, we see that $U_z^{q_1}$ contains both the minimal element $b_z = b_z^-$ of the interval $[b_z^-, b_z^+]_T$ and another element $b_y \vee b_z$, because $b_z < b_y \vee b_z \leq b_{y \vee z} = b_z^+$. Thus the assumption of Lemma 7.12 is satisfied and it follows that

$$\sum_{a_z \in U_z^{\psi(z)}} \mu(b_z^-, a_z) = 0.$$

Again the coefficient of ψ is zero and we are done.

This completes the proof of the injectivity of $\theta|_B : B \rightarrow Q$. Since π^{op} is injective (by Lemma 2.5), its image B has cardinality $|P|$ and therefore $|P| \leq |Q|$. \square

Let Q be a pole lattice and $\chi \in \text{Sur}_{\mathcal{L}}(T, Q)$. Using a slightly abusive notation, it is convenient to define $\text{Ker}(j^\chi)$ to be the kernel of left composition with j^χ . More precisely, for our fixed lattice P , we let $\text{Ker}(j^\chi)$ be the kernel of the k -linear map

$$k\mathcal{L}(P, Q) \longrightarrow k\mathcal{L}(P, T), \quad \psi \mapsto j^\chi \psi.$$

We use this notation in the rest of the present section.

Proposition 7.14. *Let $\pi \in \text{Sur}_{\mathcal{L}}(T, P)$ and $\theta, \chi \in \text{Sur}_{\mathcal{L}}(T, Q)$, where P and Q are pole lattices. Suppose that $j^\chi \theta j^\pi \neq 0$.*

(a) *There exists a unique isomorphism $\tau : P \rightarrow Q$ of lattices such that*

$$\theta j^\pi = \tau \pmod{\text{Ker}(j^\chi)}.$$

(b) *Moreover, $\theta(b_p) = \tau(p)$, for all $p \in P$ (where $b_p = b_p^\pi = \pi^{op}(p)$, as before).*

Proof. We assume that $j^\chi \theta j^\pi \neq 0$, and in particular $\theta j^\pi \neq 0$. Let $E = \text{Irr}(P)$ and write first

$$\theta j^\pi = (-1)^{|E_1|} \sum_A \mu(B^-, A) \theta j_A^\pi = \sum_{\psi: P \rightarrow Q} \left((-1)^{|E_1|} \sum_{\substack{A \\ \theta j_A^\pi = \psi}} \mu(B^-, A) \right) \psi.$$

Let $\psi : P \rightarrow Q$ be a map appearing with a nonzero coefficient in the expression of θj^π and let A be such that $\theta j_A^\pi = \psi$. Since $j^\chi \theta j^\pi \neq 0$, we can also assume that ψ is such that $j^\chi \psi \neq 0$. Proposition 7.7 implies that the function $\psi : P \rightarrow Q$ must satisfy $\text{Irr}(Q) \subseteq \psi(P)$. Since $\psi = \theta j_A^\pi$ is a join-morphism and $\text{Irr}(Q)$ generates Q , the map $\psi : P \rightarrow Q$ must be surjective. By Proposition 7.13, $\theta j^\pi \neq 0$ implies that $|P| \leq |Q|$. Therefore $|P| = |Q|$. It follows that ψ is a bijective join-morphism, hence an isomorphism of lattices.

Proposition 7.13 also asserts that the map $\theta|_B : B \rightarrow Q$ is injective. Since $|B| = |P| = |Q|$, it is a bijection and therefore there is a unique isomorphism $\tau : P \rightarrow Q$ such that

$$\theta(b_p) = \tau(p), \quad \forall p \in P.$$

For any $e \in E$, we have $\psi(e) = \theta j_A^\pi(e) = \theta(a_e)$ for some $a_e \in [b_e^-, b_e^+]_T$. If $e \in E_1$, then $b_e^+ = b_e$, hence

$$\psi(e) = \theta(a_e) \leq_Q \theta(b_e) = \tau(e).$$

Therefore $e \leq_P \psi^{-1}\tau(e)$, so that $\psi^{-1}\tau(e) = e$ because $\psi^{-1}\tau$ is an automorphism of P , hence height-preserving. Similarly, if $e \in E_2$, then $b_e^- = b_e$, hence

$$\tau(e) = \theta(b_e) \leq_Q \theta(a_e) = \psi(e),$$

so that $\psi^{-1}\tau(e) \leq_P e$ and $\psi^{-1}\tau(e) = e$. This shows that $\psi|_E = \tau|_E$, hence $\psi = \tau$. Therefore, whenever A is such that $j^\chi \theta j_A^\pi \neq 0$, then $\theta j_A^\pi = \tau$. It follows that the functions ψ which appear with a nonzero coefficient in the expression of θj^π are τ and maps $P \rightarrow Q$ lying in $\text{Ker}(j^\chi)$. \square

In the situation of Proposition 7.14, we can replace θ by $\theta' := \tau^{-1}\theta$ and j^χ by $j^{\chi'} := j^\chi \tau$. The effect of this is that we are reduced to the case where $Q = P$ and $\tau = \text{id}_P$, that is,

$$\theta(b_p) = p, \quad \forall p \in P.$$

For simplicity, we use this reduction in our final result, which is the key for understanding the composition of the morphisms we have introduced.

Proposition 7.15. *Let $\pi, \theta, \chi \in \text{Sur}_{\mathcal{L}}(T, P)$, where P is a pole lattice. Suppose that $\theta(b_p) = p$ for all $p \in P$ (where $b_p = b_p^\pi = \pi^{op}(p)$, as before).*

- (a) *If $j^\chi \theta j^\pi \neq 0$, then $\theta = \pi$.*
- (b) *We have*

$$j^\chi \theta j^\pi = \begin{cases} j^\chi & \text{if } \theta = \pi, \\ 0 & \text{if } \theta \neq \pi. \end{cases}$$

Proof.

(a) By Proposition 7.14, we have $\theta j^\pi = \text{id}_P \pmod{\text{Ker}(j^\chi)}$, because the automorphism τ is the identity by assumption. Moreover, as in the proof of the previous propositions, the coefficient of id_P in the expression of θj^π is equal to

$$(-1)^{|E_1|} \sum_{\substack{A \\ \theta j_A^\pi = \text{id}_P}} \mu(B^-, A) = (-1)^{|E_1|} \prod_{e \in E} \left(\sum_{a_e \in U_e} \mu(b_e^-, a_e) \right),$$

where we write simply

$$U_e := U_e^e = \{a \in [b_e^-, b_e^+]_T \mid \theta(a) = e\} \subseteq [b_e^-, b_e^+]_T.$$

Since the coefficient of id_P in the expression of θj^π is nonzero (it is 1), every sum $\sum_{a_e \in U_e} \mu(b_e^-, a_e)$ is nonzero, and in particular $U_e \neq \emptyset$.

As in the proof of Lemma 7.12, U_e has a supremum $u_e \in U_e$. We also define

$$V_e := \{a \in [b_e^-, b_e^+]_T \mid \theta(a) \leq_P r(e)\}$$

so that $[b_e^-, u_e]_T = V_e \sqcup U_e$, because any $a \leq_T u_e$ satisfies $\theta(a) \leq_P e$, hence either $\theta(a) \leq r(e)$ or $\theta(a) = e$. There are two cases.

Case A. $V_e = \emptyset$. Then $U_e = [b_e^-, u_e]_T$. The nonzero sum $\sum_{a_e \in U_e} \mu(b_e^-, a_e)$ forces $b_e^- = u_e$, hence $U_e = \{b_e^-\}$.

Case B. $V_e \neq \emptyset$. Then again V_e must have a supremum $v_e \in V_e$, so that $V_e = [b_e^-, v_e]_T$ and $v_e <_T u_e$. In that case, we obtain

$$0 \neq \sum_{a_e \in U_e} \mu(b_e^-, a_e) = \sum_{a_e \in [b_e^-, u_e]_T} \mu(b_e^-, a_e) - \sum_{a_e \in V_e} \mu(b_e^-, a_e) = - \sum_{a_e \in V_e} \mu(b_e^-, a_e),$$

because the sum over $[b_e^-, u_e]_T$ is zero since $b_e^- \leq_T v_e <_T u_e$. Therefore

$$\sum_{a_e \in [b_e^-, v_e]_T} \mu(b_e^-, a_e) = \sum_{a_e \in V_e} \mu(b_e^-, a_e) \neq 0$$

and this forces $b_e^- = v_e$, hence $U_e =]b_e^-, u_e]$.

By assumption, we know that $\theta(b_e) = e$ for all $e \in E$, hence $b_e \in U_e$. If $e \in E_2$, then $b_e = b_e^-$, hence $b_e^- \in U_e$. This forces to be in case A and therefore we obtain:

Case A. $U_e = \{b_e\}$ if $e \in E_2$.

If $e \in E_1$, then $b_e = b_e^+$, hence $b_e^+ \in U_e$. This forces to be in case B with moreover $u_e = b_e^+$. Since $b_e^- = b_{r(e)}$, we get:

Case B. $U_e =]b_{r(e)}, b_e]$ if $e \in E_1$.

Let $c_p = \theta^{op}(p) = \sup(\theta^{-1}(p))$ (that is, $c_p = b_p^\theta$ using Notation 7.1). Since we assume that $\theta(b_p) = p$, we have $b_p \leq_T c_p$ for all $p \in P$. We now prove that $b_p = c_p$ by descending induction in the lattice T , starting from the obvious equality $b_{\widehat{1}_P} = \widehat{1}_T = c_{\widehat{1}_P}$. For simplicity, we write $<$ and \leq for the order relation in T . Suppose now that $p \in P$ and $b_q = c_q$ for every $q > p$. We have to discuss three cases.

Assume that $p = r(e)$ with $e \in E_1$. Then $b_p \leq c_p < c_e = b_e$, hence $c_p \in [b_{r(e)}, b_e]_T = \{b_p\} \sqcup U_e$ (Case B). But $\theta(c_p) = p \neq e$, so $c_p \notin U_e$. Therefore $c_p = b_p$.

Assume that $p \in E_2$. Then

$$b_p^- = b_p \leq c_p < c_{s(p)} = b_{s(p)} = b_p^+.$$

Therefore $c_p \in [b_p^-, b_p^+]_T = U_p \sqcup]b_p^-, b_p^+]_T$ (Case A). Since $\theta(c_p) = p$, we have $c_p \in U_p = \{b_p\}$, hence $c_p = b_p$.

Assume now that $p = e \wedge \check{e}$ where $e \in E_2$ with twin \check{e} . Then $b_e = c_e$ and $b_{\check{e}} = c_{\check{e}}$. Thus we obtain

$$b_p = b_{e \wedge \check{e}} = b_e \wedge b_{\check{e}} = c_e \wedge c_{\check{e}} = c_{e \wedge \check{e}} = c_p,$$

as was to be shown. We have now covered all cases, completing the proof that $b_p = c_p$ for all $p \in P$.

Now we obtain $\theta^{op}(p) = c_p = b_p = \pi^{op}(p)$ for all $p \in P$, hence $\theta^{op} = \pi^{op}$. Passing to the opposite, it follows that $\theta = \pi$, as was to be shown.

(b) We now know by (a) that $\theta = \pi$ whenever $j^X \theta j^\pi \neq 0$. Moreover, in that case, Proposition 7.14 implies that πj^π is the sum of id_P and an element of $\text{Ker}(j^X)$,

using our assumption that $\theta(b_p) = p$, for all $p \in P$. Applying j^χ , it follows that $j^\chi \pi j^\pi = j^\chi$. □

Keeping our fixed finite lattice T , we now allow the pole lattice P to vary.

Notation 7.16.

- (a) Pol_T is a set of representatives of isomorphism classes of pole lattices P such that $\text{Sur}_{\mathcal{L}}(T, P)$ is nonempty (hence in particular $|P| \leq |T|$, so that Pol_T is finite).
- (b) For any $P \in \text{Pol}_T$, the group $\text{Aut}(P)$ acts on $\text{Sur}_{\mathcal{L}}(T, P)$ (by composition) and we let $\overline{\text{Sur}_{\mathcal{L}}(T, P)}$ be a fixed chosen set of representatives of the orbits.
- (c) If $\chi, \theta \in \text{Sur}_{\mathcal{L}}(T, P)$ and $\tau \in \text{Aut}(P)$, we define

$$f_{\chi, \tau, \theta} = j^\chi \tau \theta : T \longrightarrow T.$$

In particular, $f_{\pi, \text{id}_P, \pi} = j^\pi \pi$ is the idempotent of Proposition 7.11.

Remark 7.17.

- (a) Let $\chi' = \sigma \chi \in \text{Sur}_{\mathcal{L}}(T, P)$ be the image of χ under the action of σ , for some $\sigma \in \text{Aut}(P)$. Then $j^{\chi'} = j^\chi \sigma^{-1}$. This is proved by going back to Notation 7.1 and using the associated elements $b_p^\chi = \chi^{op}(p)$, respectively

$$b_p^{\chi'} = \chi'^{op}(p) = \chi^{op}(\sigma^{op}(p)) = \chi^{op} \sigma^{-1}(p) = b_{\sigma^{-1}(p)}^\chi,$$

from which the associated morphism $j^{\chi'}$, respectively j^χ , is constructed, as in (7.4). It is then elementary to check that $j^{\chi'} = j^\chi \sigma^{-1}$.

- (b) Changing the choice of orbit representatives has the following effect. Let $\chi' = \sigma \chi \in \text{Sur}_{\mathcal{L}}(T, P)$ and $\theta' = \rho \theta \in \text{Sur}_{\mathcal{L}}(T, P)$, where $\sigma, \rho \in \text{Aut}(P)$. It follows from (a) that we obtain $j^{\chi'} \sigma \tau \rho^{-1} \theta' = j^\chi \tau \theta$.
- (c) In particular, $f_{\pi, \text{id}_P, \pi} = j^\pi \pi$ is independent of the choice of π in its $\text{Aut}(P)$ -orbit.

Now we come to the crucial relations among the endomorphisms $f_{\chi, \tau, \theta}$.

Theorem 7.18. *Let T be a finite lattice and let $P, Q \in \text{Pol}_T$.*

- (a) *Let $\chi, \theta \in \overline{\text{Sur}_{\mathcal{L}}(T, P)}$ and $\tau \in \text{Aut}(P)$. Let also $\pi, \kappa \in \overline{\text{Sur}_{\mathcal{L}}(T, Q)}$ and $\sigma \in \text{Aut}(Q)$. Then*

$$f_{\chi, \tau, \theta} f_{\pi, \sigma, \kappa} = \begin{cases} f_{\chi, \tau \sigma, \kappa} & \text{if } P = Q \text{ and } \theta = \pi, \\ 0 & \text{otherwise.} \end{cases}$$

- (b) *When P varies in Pol_T and π varies in $\overline{\text{Sur}_{\mathcal{L}}(T, P)}$, the idempotents $f_{\pi, \text{id}_P, \pi}$ are pairwise orthogonal.*

Proof. Let $\chi' = \tau^{-1} \chi \in \text{Sur}_{\mathcal{L}}(T, P)$, so that $j^{\chi'} = j^\chi \tau$, by Remark 7.17. If $P \neq Q$, there is no isomorphism between P and Q , by our choice of Pol_T . Therefore we obtain $j^{\chi'} \theta j^\pi = 0$, by Proposition 7.14. It follows that

$$f_{\chi, \tau, \theta} f_{\pi, \sigma, \kappa} = j^\chi \tau \theta j^\pi \sigma \kappa = j^{\chi'} \theta j^\pi \sigma \kappa = 0.$$

So we now assume that $P = Q$. Suppose that $f_{\chi, \tau, \theta} f_{\pi, \sigma, \kappa} \neq 0$. In particular, $j^\chi \tau \theta j^\pi \neq 0$, that is, $j^{\chi'} \theta j^\pi \neq 0$. By Proposition 7.14, there is a unique isomorphism

$\rho : P \rightarrow P$ such that $\theta j^\pi = \rho \pmod{\text{Ker}(j^{\chi'})}$. Let $\theta' = \rho^{-1}\theta$ and $\chi'' = \rho^{-1}\chi'$, hence $j^{\chi''} = j^{\chi'}\rho = j^{\chi}\tau\rho$. Then we obtain

$$0 \neq j^{\chi'}\theta j^\pi = j^{\chi}\tau\theta j^\pi = j^{\chi}\tau\rho\rho^{-1}\theta j^\pi = j^{\chi''}\theta' j^\pi.$$

Moreover, since $\theta j^\pi = \rho + h$ with $j^{\chi'}h = 0$, we have $j^{\chi''}\rho^{-1}h = 0$. Therefore

$$\theta' j^\pi = \rho^{-1}\theta j^\pi = \text{id}_P + \rho^{-1}h = \text{id}_P \pmod{\text{Ker}(j^{\chi''})}.$$

The uniqueness of the automorphism in Proposition 7.14 also implies that we have $\theta'(b_p^\pi) = p$ for all $p \in P$ (where $b_p^\pi = \pi^{op}(p)$, as before).

We are now in the assumptions of Proposition 7.15 for π , θ' , and χ'' . We deduce that $\theta' = \pi$, so that θ and π belong to the same orbit under the action of $\text{Aut}(P)$. But θ and π belong to a chosen system of representatives $\overline{\text{Sur}_{\mathcal{L}}(T, P)}$. Thus we must have $\rho = \text{id}_P$ and $\theta = \pi$.

It now follows that we can write $\theta j^\pi = \text{id}_P + h$, where $j^{\chi'}h = 0$, that is, $j^{\chi}\tau h = 0$. Therefore

$$f_{\chi, \tau, \theta} f_{\pi, \sigma, \kappa} = j^{\chi}\tau\theta j^\pi \sigma \kappa = j^{\chi}\tau(\text{id}_P + h)\sigma \kappa = j^{\chi}\tau\sigma \kappa = f_{\chi, \tau\sigma, \kappa},$$

as was to be shown.

- (b) This follows from (a). □

8. SUBALGEBRAS CORRESPONDING TO POLE LATTICES

In this section, we show how the results of Section 7 imply some precise information about the structure of the endomorphism algebra $\text{End}_{k\mathcal{L}}(T)$ of a finite lattice T , where k is a commutative ring.

We continue to use Notation 7.16, so P is a pole lattice running through the set Pol_T and $\overline{\text{Sur}_{\mathcal{L}}(T, P)}$ denotes a set of representatives of $\text{Aut}(P)$ -orbits in $\overline{\text{Sur}_{\mathcal{L}}(T, P)}$. Let $M_{n(T, P)}(k \text{Aut}(P))$ denote the matrix algebra of size $n(T, P) = |\overline{\text{Sur}_{\mathcal{L}}(T, P)}|$, with rows and columns indexed by the set $\overline{\text{Sur}_{\mathcal{L}}(T, P)}$, and coefficients in the group algebra $k \text{Aut}(P)$. If $\chi, \theta \in \overline{\text{Sur}_{\mathcal{L}}(T, P)}$ and $\tau \in \text{Aut}(P)$, we let $m_{\chi, \tau, \theta}$ denote the elementary matrix having coefficient τ in position (χ, θ) and zero elsewhere.

With this notation, we can now state a main result, which was already obtained in [BT3] in the special case when P runs over totally ordered lattices.

Theorem 8.1. *Let T be a finite lattice. For each $P \in \text{Pol}_T$, let $\overline{\text{Sur}_{\mathcal{L}}(T, P)}$ be a set of representatives of the orbits for the action of the group $\text{Aut}(P)$ on $\overline{\text{Sur}_{\mathcal{L}}(T, P)}$ and let $n(T, P) = |\overline{\text{Sur}_{\mathcal{L}}(T, P)}|$.*

- (a) *The map*

$$\mathcal{I}_T : \bigoplus_{P \in \text{Pol}_T} M_{n(T, P)}(k \text{Aut}(P)) \longrightarrow \text{End}_{k\mathcal{L}}(T), \quad m_{\chi, \tau, \theta} \mapsto f_{\chi, \tau, \theta}$$

is an algebra homomorphism (without unit elements).

- (b) \mathcal{I}_T *is injective.*
- (c) *The image of \mathcal{I}_T is equal to the subalgebra \mathcal{E}_T (without unit element) of $\text{End}_{k\mathcal{L}}(T)$ having a k -basis consisting of all join-morphisms $T \rightarrow T$ whose image is a pole lattice.*

Proof.

(a) Let $P, Q \in \text{Pol}_T$. If $P \neq Q$, then $m_{\chi, \tau, \theta}$ and $m_{\pi, \sigma, \kappa}$ are not in the same block, so their product is 0, while the product $f_{\chi, \tau, \theta} f_{\pi, \sigma, \kappa}$ is also zero. If $P = Q$, then

the relations of Theorem 7.18 are the standard relations within a matrix algebra of size $n(T, P)$ with coefficients in the group algebra $k \text{Aut}(P)$.

(b) Since the elements $m_{\chi, \tau, \theta}$ form a k -basis of $\bigoplus_{P \in \text{Pol}_T} M_{n(T, P)}(k \text{Aut}(P))$, it suffices to prove that their images $f_{\chi, \tau, \theta}$ are k -linearly independent. Suppose that

$$\sum_{\chi, \tau, \theta} \lambda_{\chi, \tau, \theta} f_{\chi, \tau, \theta} = 0,$$

where $\lambda_{\chi, \tau, \theta} \in k$. Multiplying on the left by $f_{\pi, \text{id}_P, \kappa}$ and on the right by $f_{\pi, \sigma, \pi}$, we are left with the terms for which $\chi = \kappa$ and $\theta = \pi$. Therefore we obtain

$$\sum_{\tau} \lambda_{\kappa, \tau, \pi} f_{\pi, \text{id}_P, \kappa} f_{\kappa, \tau, \pi} f_{\pi, \sigma, \pi} = \sum_{\tau} \lambda_{\kappa, \tau, \pi} f_{\pi, \tau \sigma, \pi} = 0.$$

Now, by Definition 7.4, $f_{\pi, \tau \sigma, \pi} = j^{\pi} \tau \sigma \pi$ is a linear combination of distinct maps $T \rightarrow T$, one of them being $j^{\pi}_{B^-} \tau \sigma \pi$, appearing with coefficient ± 1 , where we use Notation 7.1 and set $B^- = (b^-_e)_{e \in E}$. We claim that the functions $j^{\pi}_{B^-} \tau \sigma \pi$ are pairwise distinct when τ varies. This implies that each coefficient $\lambda_{\kappa, \tau, \pi}$ must be zero, proving the required linear independence.

To prove the claim, we write for simplicity $\rho = \tau \sigma$ and we allow ρ to vary. The group $\text{Aut}(P)$ is isomorphic to $C_2 \times C_2 \times \dots \times C_2$, where each C_2 acts by exchanging two twin elements of E_2 and fixing the others (where $E = \text{Irr}(P)$, as before). So we consider some $e \in E_2$ and we let \check{e} be its twin. Then we get

$$j^{\pi}_{B^-} \rho \pi(b_e) = j^{\pi}_{B^-} \rho(e) = b^-_{\rho(e)} = \begin{cases} b^-_e & \text{if } \rho(e) = e, \\ b^-_{\check{e}} & \text{if } \rho(e) = \check{e}. \end{cases}$$

We see that the functions $j^{\pi}_{B^-} \rho \pi$ are pairwise distinct when ρ varies, proving the claim.

(c) It is clear that \mathcal{E}_T is a subalgebra. Moreover, every map j^{χ}_A is a join-morphism, where A is a family as in Lemma 7.3. Therefore $j^{\chi}_A \tau \theta$ is a join-morphism whose image is a pole lattice, by construction. It follows that $f_{\chi, \tau, \theta} = j^{\chi} \tau \theta$ belongs to \mathcal{E}_T and hence $\text{Im}(\mathcal{I}_T) \subseteq \mathcal{E}_T$.

Now we want to show that \mathcal{E}_T has a k -basis consisting of all morphisms $\varphi_{\lambda, \tau, \pi}$ described as follows. First we fix $P \in \text{Pol}_T$ and we let

$$\varphi_{\lambda, \tau, \pi} = \lambda \tau \pi,$$

where $\pi \in \overline{\text{Sur}_{\mathcal{L}}(T, P)}$, $\tau \in \text{Aut}(P)$, $\lambda \in \overline{\text{Inj}_{\mathcal{L}}(P, T)}$, and where $\overline{\text{Inj}_{\mathcal{L}}(P, T)}$ denotes a set of representatives of $\text{Aut}(P)$ -orbits in $\text{Inj}_{\mathcal{L}}(P, T)$. If $\varphi : T \rightarrow T$ is a join-morphism whose image is isomorphic to P , it is the composite $\varphi = \tilde{\lambda} \tilde{\pi}$ of a surjection $\tilde{\pi} \in \text{Sur}_{\mathcal{L}}(T, P)$ and an injection $\tilde{\lambda} \in \text{Inj}_{\mathcal{L}}(P, T)$. By our choice of orbit representatives, we have $\tilde{\pi} = \tau_1 \pi$ where $\pi \in \overline{\text{Sur}_{\mathcal{L}}(T, P)}$ and $\tau_1 \in \text{Aut}(P)$, and similarly $\tilde{\lambda} = \lambda \tau_2$ where $\lambda \in \overline{\text{Inj}_{\mathcal{L}}(P, T)}$ and $\tau_2 \in \text{Aut}(P)$. Then $\varphi = \lambda \tau \pi = \varphi_{\lambda, \tau, \pi}$, where $\tau = \tau_2 \tau_1$. It follows that

$$\{\varphi_{\lambda, \tau, \pi} \mid \pi \in \overline{\text{Sur}_{\mathcal{L}}(T, P)}, \lambda \in \overline{\text{Inj}_{\mathcal{L}}(P, T)}, \tau \in \text{Aut}(P)\}$$

is a k -basis of the submodule $\mathcal{E}_{T, P}$ generated by all endomorphisms whose image is isomorphic to P . Allowing P to vary in Pol_T , we deduce that

$$\mathcal{B} = \bigcup_{P \in \text{Pol}_T} \{\varphi_{\lambda, \tau, \pi} \mid \pi \in \overline{\text{Sur}_{\mathcal{L}}(T, P)}, \lambda \in \overline{\text{Inj}_{\mathcal{L}}(P, T)}, \tau \in \text{Aut}(P)\}$$

is a k -basis of $\mathcal{E}_T = \bigoplus_{P \in \text{Pol}_T} \mathcal{E}_{T, P}$.

On the other hand, it follows from (a) and (b) that

$$\mathcal{B}' = \bigcup_{P \in \text{Pol}_T} \{f_{\chi, \tau, \theta} \mid \chi, \theta \in \overline{\text{Sur}_{\mathcal{L}}(T, P)}, \tau \in \text{Aut}(P)\}$$

is a k -basis of $\text{Im}(\mathcal{I}_T)$. By Lemma 2.6, there is a bijection between $\text{Inj}_{\mathcal{L}}(P, T)$ and $\text{Sur}_{\mathcal{L}}(T, P)$. We can also choose representatives to obtain a bijection between $\overline{\text{Inj}_{\mathcal{L}}(P, T)}$ and $\overline{\text{Sur}_{\mathcal{L}}(T, P)}$, because $\text{Aut}(P)$ acts freely on each side. Therefore \mathcal{B} and \mathcal{B}' have the same cardinality. In other words $\text{Im}(\mathcal{I}_T)$ and \mathcal{E}_T are free k -modules of the same rank. We want to prove that the inclusion $\text{Im}(\mathcal{I}_T) \subseteq \mathcal{E}_T$ is an equality (which is obvious if k is a field since the dimensions are equal).

We now allow the base ring k to vary and we write a superscript (k) to emphasize the dependence on k . Thus we have an injective algebra homomorphism

$$\mathcal{I}_T^{(k)} : \bigoplus_{P \in \text{Pol}_T} M_{n(T, P)}(k \text{Aut}(P)) \longrightarrow \mathcal{E}_T^{(k)} \subseteq \text{End}_{k\mathcal{L}}(T)$$

and we let $\mathcal{X}^{(k)} := \mathcal{E}_T^{(k)} / \text{Im}(\mathcal{I}_T^{(k)})$, so that we have a short exact sequence

$$0 \longrightarrow \text{Im}(\mathcal{I}_T^{(k)}) \xrightarrow{j_k} \mathcal{E}_T^{(k)} \xrightarrow{p_k} \mathcal{X}^{(k)} \longrightarrow 0,$$

where j_k is the inclusion map and p_k the canonical surjection. In the case of the ring of integers \mathbb{Z} , we see that $\mathcal{X}^{(\mathbb{Z})}$ is a finite abelian group, because $\text{Im}(\mathcal{I}_T^{(\mathbb{Z})})$ and $\mathcal{E}_T^{(\mathbb{Z})}$ are free \mathbb{Z} -modules of the same rank. Tensoring with k is right exact, so we obtain an exact sequence

$$k \otimes \text{Im}(\mathcal{I}_T^{(\mathbb{Z})}) \xrightarrow{1 \otimes j_{\mathbb{Z}}} k \otimes \mathcal{E}_T^{(\mathbb{Z})} \xrightarrow{1 \otimes p_{\mathbb{Z}}} k \otimes \mathcal{X}^{(\mathbb{Z})} \longrightarrow 0.$$

Using the canonical bases \mathcal{B} and \mathcal{B}' of $\text{Im}(\mathcal{I}_T^{(k)})$ and $\mathcal{E}_T^{(k)}$ respectively, we see that

$$k \otimes \text{Im}(\mathcal{I}_T^{(\mathbb{Z})}) \cong \text{Im}(\mathcal{I}_T^{(k)}) \quad \text{and} \quad k \otimes \mathcal{E}_T^{(\mathbb{Z})} \cong \mathcal{E}_T^{(k)}.$$

Moreover the map $1 \otimes j_{\mathbb{Z}}$ corresponds, under these isomorphisms, to the inclusion map j_k . In particular, considering the prime field \mathbb{F}_p for any prime number p , we obtain an exact sequence

$$\text{Im}(\mathcal{I}_T^{(\mathbb{F}_p)}) \xrightarrow{j_{\mathbb{F}_p}} \mathcal{E}_T^{(\mathbb{F}_p)} \xrightarrow{1 \otimes p_{\mathbb{Z}}} \mathbb{F}_p \otimes \mathcal{X}^{(\mathbb{Z})} \longrightarrow 0.$$

Since \mathbb{F}_p is a field and the dimensions are equal, the inclusion map $j_{\mathbb{F}_p}$ is an equality. Therefore $\mathbb{F}_p \otimes \mathcal{X}^{(\mathbb{Z})} = \{0\}$ and this holds for every prime p . Thus we must have $\mathcal{X}^{(\mathbb{Z})} = \{0\}$, because $\mathcal{X}^{(\mathbb{Z})}$ is finite, so that the inclusion map $j_{\mathbb{Z}} : \text{Im}(\mathcal{I}_T^{(\mathbb{Z})}) \rightarrow \mathcal{E}_T^{(\mathbb{Z})}$ is an equality. Tensoring with k , it follows that the inclusion map $j_k : \text{Im}(\mathcal{I}_T^{(k)}) \rightarrow \mathcal{E}_T^{(k)}$ is an equality as well, as required. \square

Remark 8.2. Let \mathcal{B} and \mathcal{B}' be the two bases of $\mathcal{E}_T = \text{Im}(\mathcal{I}_T)$ described in the proof. The change of basis from \mathcal{B} to \mathcal{B}' is not obvious. By construction, every map $j_A^{\chi} \tau \theta$ belongs to \mathcal{B} , but beware of the fact that if θ and χ belong to $\text{Sur}_{\mathcal{L}}(T, P)$, then $j_A^{\chi} \tau \theta$ may be a composite $T \rightarrow P' \rightarrow T$ for some pole lattice P' smaller than P . This is because, in the construction of j_A^{χ} , the family $A = (a_e)_{e \in E}$ does not necessarily consist of distinct elements (where $E = \text{Irr}(P)$ as before).

The image under \mathcal{I}_T of the identity element of $\bigoplus_{P \in \text{Pol}_T} M_{n(T, P)}(k \text{Aut}(P))$ is an idempotent e_T of $\text{End}_{k\mathcal{L}}(T)$ and e_T is an identity element of \mathcal{E}_T . We now prove more.

Theorem 8.3. *For every finite lattice T , let $\mathcal{E}_T = \text{Im}(\mathcal{I}_T)$ be the subalgebra of $\text{End}_{k\mathcal{L}}(T)$ appearing in Theorem 8.1, and let e_T be the identity element of \mathcal{E}_T .*

- (a) $e_T = \sum_{P \in \text{Pol}_T} \sum_{\pi \in \overline{\text{Sur}_{\mathcal{L}}(T,P)}} f_{\pi, \text{id}_P, \pi}$.
- (b) *For any finite lattice T' and any morphism $\alpha \in \text{Hom}_{k\mathcal{L}}(T, T')$, we have $\alpha e_T = e_{T'} \alpha$. In other words, the family of idempotents e_T , for $T \in \mathcal{L}$, is a natural transformation of the identity functor $\text{id}_{k\mathcal{L}}$.*
- (c) e_T is a central idempotent of $\text{End}_{k\mathcal{L}}(T)$.
- (d) *The subalgebra \mathcal{E}_T is a direct product factor of $\text{End}_{k\mathcal{L}}(T)$, that is, there exists a subalgebra \mathcal{D} such that $\text{End}_{k\mathcal{L}}(T) = \mathcal{E}_T \times \mathcal{D}$ (where \mathcal{E}_T is identified with $\mathcal{E}_T \times \{0\}$ and \mathcal{D} with $\{0\} \times \mathcal{D}$, as usual).*

Proof.

- (a) The identity element of $\bigoplus_{P \in \text{Pol}_T} M_{n(T,P)}(k \text{Aut}(P))$ is equal to

$$\sum_{P \in \text{Pol}_T} \sum_{\pi \in \overline{\text{Sur}_{\mathcal{L}}(T,P)}} m_{\pi, \text{id}_P, \pi}.$$

Taking its image under \mathcal{I}_T yields the required formula.

- (b) We have seen in the proof of Theorem 8.1 that every element of the canonical basis \mathcal{B} of \mathcal{E}_T has the form $\varphi_{\lambda, \tau, \pi} = \lambda \tau \pi$, where $\pi \in \overline{\text{Sur}_{\mathcal{L}}(T, P)}$, $\lambda \in \overline{\text{Inj}_{\mathcal{L}}(P, T)}$ and $\tau \in \text{Aut}(P)$. Passing to the opposite, we obtain

$$\varphi_{\lambda, \tau, \pi}^{op} = \pi^{op} \tau^{op} \lambda^{op} = \pi^{op} \tau^{-1} \lambda^{op}$$

with $\pi^{op} \in \text{Inj}_{\mathcal{L}}(P^{op}, T^{op})$ and $\lambda^{op} \in \text{Sur}_{\mathcal{L}}(T^{op}, P^{op})$. It follows that the opposite of the canonical basis element $\varphi_{\lambda, \tau, \pi}$ of \mathcal{E}_T is the canonical basis element $\varphi_{\pi^{op}, \tau^{-1}, \lambda^{op}}$ of $\mathcal{E}_{T^{op}}$. Therefore, the opposite of the identity element e_T of \mathcal{E}_T must belong to $\mathcal{E}_{T^{op}}$. Moreover, it must be the identity element of $\mathcal{E}_{T^{op}}$, because taking opposites behaves well with respect to composition. Therefore $(e_T)^{op} = e_{T^{op}}$.

Now if $\alpha : T \rightarrow T'$ is a join-morphism (i.e. α is in \mathcal{L}), then the image of a pole sublattice of T is a pole sublattice of T' . It follows that composition with α maps e_T to a linear combination of join-morphisms with a pole lattice as an image, hence invariant under the idempotent element $e_{T'}$. In other words, we have

$$\alpha e_T = e_{T'} \alpha e_T.$$

Applying this equation to T'^{op} , T^{op} , and the morphism $\alpha^{op} : T'^{op} \rightarrow T^{op}$, we obtain $\alpha^{op} e_{T'^{op}} = e_{T^{op}} \alpha^{op} e_{T'^{op}}$. Passing to opposites and using the above equality $(e_T)^{op} = e_{T^{op}}$, we get

$$e_{T'} \alpha e_T = e_{T'} \alpha.$$

The two displayed equations yield $\alpha e_T = e_{T'} \alpha$. This holds as well if α is replaced by a k -linear combination of join-morphisms (i.e. α is in $k\mathcal{L}$), as was to be shown.

- (c) This is a special case of (b).
- (d) This follows immediately from (c). □

9. CORRESPONDENCE FUNCTORS FOR POLE LATTICES

In this section, we first consider the special case of the endomorphism algebra of a pole lattice Q . We determine completely the structure of this algebra. Applying then the fully faithful functor $T \mapsto F_T$, we deduce a direct sum decomposition of the correspondence functor F_Q , providing an explicit description of F_Q for any pole lattice Q . In particular, when k is a field of characteristic different from 2, F_Q is semi-simple. At the end of the section, we return to an arbitrary finite lattice T

and describe direct summands of F_T corresponding to pole lattices inside T . The results are generalizations of those obtained in [BT3] in the special case of totally ordered lattices.

Theorem 9.1. *Let Q be a pole lattice.*

(a) *The homomorphism of k -algebras of Theorem 8.1*

$$\mathcal{I}_Q : \bigoplus_{P \in \text{Pol}_Q} M_{n(Q,P)}(k \text{Aut}(P)) \longrightarrow \text{End}_{k\mathcal{L}}(Q), \quad m_{\chi,\tau,\theta} \mapsto f_{\chi,\tau,\theta},$$

is an isomorphism.

(b) *In particular, if k is a field and if either Q is totally ordered or if k is a field of characteristic different from 2, then $\text{End}_{k\mathcal{L}}(Q)$ is semi-simple.*

Proof.

(a) Since any join-morphism $\varphi : Q \rightarrow Q$ has an image which is a pole lattice, the subalgebra \mathcal{E}_Q of $\text{End}_{k\mathcal{L}}(Q)$ appearing in Theorem 8.1 is the whole of $\text{End}_{k\mathcal{L}}(Q)$. Therefore, the homomorphism \mathcal{I}_Q is surjective. By Theorem 8.1, \mathcal{I}_Q is injective, hence an isomorphism.

(b) If Q is totally ordered, then so is each P and $\text{Aut}(P)$ is the trivial group. Thus we get matrix algebras $M_{n(Q,P)}(k)$. If Q is not totally ordered, then each $\text{Aut}(P)$ is a 2-group (and at least one of them is nontrivial, namely $\text{Aut}(Q)$). The group algebra $k \text{Aut}(P)$ is semi-simple when the characteristic of k is different from 2 (Maschke’s theorem). Therefore any matrix algebra $M_q(k \text{Aut}(P))$ is semi-simple and it follows that the direct sum is semi-simple as well. \square

Now we consider the central idempotents of $\text{End}_{k\mathcal{L}}(Q)$ corresponding to the above decomposition into matrix algebras.

Notation 9.2. For any pole lattice $P \in \text{Pol}_Q$, set

$$\beta_{Q,P} := \sum_{\pi \in \overline{\text{Sur}_{\mathcal{L}}(Q,P)}} f_{\pi,\text{id}_P,\pi}.$$

In particular, when $P = Q$, then $\text{Sur}_{\mathcal{L}}(Q, Q) = \text{Aut}(Q)$ and $\overline{\text{Sur}_{\mathcal{L}}(Q, Q)}$ is a singleton which can be chosen to be $\{\text{id}_Q\}$. We then define

$$\varepsilon_Q := \beta_{Q,Q} = f_{\text{id}_Q,\text{id}_Q,\text{id}_Q} = j^{\text{id}_Q} = \sum_{\emptyset \subseteq Y \subseteq E} (-1)^{|E-Y|} \rho_Y,$$

using Proposition 7.9, with $E = \text{Irr}(Q)$ and $\rho_Y \in \text{End}_{\mathcal{L}}(Q)$ defined by (7.8).

Proposition 9.3. *The elements $\beta_{Q,P}$, for $P \in \text{Pol}_Q$, are orthogonal central idempotents of $\text{End}_{k\mathcal{L}}(Q)$, and their sum is equal to the identity. In particular, the central idempotent ε_Q satisfies*

$$\varepsilon_Q \text{End}_{k\mathcal{L}}(Q) \cong k \text{Aut}(Q).$$

Proof. For every $\pi \in \overline{\text{Sur}_{\mathcal{L}}(Q,P)}$, the inverse image of $f_{\pi,\text{id}_P,\pi}$ under the algebra isomorphism \mathcal{I}_Q of Theorem 9.1 is the matrix $m_{\pi,\text{id}_P,\pi}$ of the component $M_{n(Q,P)}(k \text{Aut}(P))$ indexed by P . Summing over all $\pi \in \overline{\text{Sur}_{\mathcal{L}}(Q,P)}$, it follows that the inverse image of $\beta_{Q,P}$ under \mathcal{I}_Q is the identity element of $M_{n(Q,P)}(k \text{Aut}(P))$. The first statement follows.

In the case $P = Q$, we know that $\overline{\text{Sur}_{\mathcal{L}}(Q,Q)}$ is a singleton, so that the corresponding matrix algebra has size 1. The inverse image of ε_Q under \mathcal{I}_Q is the

identity element $m_{\text{id}_Q, \text{id}_Q, \text{id}_Q}$ of the component $M_1(k \text{Aut}(Q)) \cong k \text{Aut}(Q)$. Clearly $\varepsilon_Q \text{End}_{k\mathcal{L}}(Q) \cong M_1(k \text{Aut}(Q)) \cong k \text{Aut}(Q)$. \square

We want to use the fully-faithful functor $F_\gamma : k\mathcal{L} \rightarrow \mathcal{F}_k$ (see Theorem 3.6) to deduce information on the correspondence functor F_Q . We already know that F_Q is projective, because the pole lattice Q is distributive (see Theorem 3.6). We apply the functor $F_\gamma : k\mathcal{L} \rightarrow \mathcal{F}_k$ to the map $j^\pi \in \text{Hom}_{k\mathcal{L}}(P, Q)$ defined in (7.4), where $\pi \in \overline{\text{Sur}_{\mathcal{L}}(Q, P)}$. By Proposition 7.7 we obtain a morphism

$$F_{j^\pi} : F_P \longrightarrow F_Q$$

which vanishes on H_P , where H_P is defined by (7.6). By Proposition 7.11, this induces an injective morphism

$$\overline{F}_{j^\pi} : F_P/H_P \longrightarrow F_Q$$

which embeds F_P/H_P as a direct summand of F_Q , corresponding to the idempotent $f_{\pi, \text{id}_P, \pi} = j^\pi \pi$. In particular, for $P = Q$, we have $f_{\text{id}_Q, \text{id}_Q, \text{id}_Q} = j^{\text{id}_Q} = \varepsilon_Q$ and we obtain an idempotent endomorphism F_{ε_Q} of F_Q with kernel H_Q .

Theorem 9.4. *Let Q be a pole lattice and define $\mathbb{S}_Q := F_Q/H_Q$, where H_Q is defined by (7.6).*

- (a) \mathbb{S}_Q is a projective correspondence functor.
- (b) There are isomorphisms of correspondence functors

$$\begin{aligned} F_{\varepsilon_Q} F_Q &\cong \mathbb{S}_Q, \\ F_{\beta_{Q,P}} F_Q &\cong \mathbb{S}_P^{n(Q,P)}, \text{ for each } P \in \text{Pol}_Q, \\ F_Q &\cong \bigoplus_{P \in \text{Pol}_Q} \mathbb{S}_P^{n(Q,P)}. \end{aligned}$$

Proof.

(a) Since the pole lattice Q is distributive, F_Q is projective (Theorem 3.6). Therefore so is its direct summand \mathbb{S}_Q .

(b) Since the functor $F_\gamma : k\mathcal{L} \rightarrow \mathcal{F}_k$ is fully faithful (Theorem 3.6), it induces an isomorphism of k -algebras

$$\text{End}_{k\mathcal{L}}(Q) \cong \text{End}_{\mathcal{F}_k}(F_Q).$$

Now the idempotents $f_{\pi, \text{id}_P, \pi}$ of $\text{End}_{k\mathcal{L}}(Q)$, for $\pi \in \overline{\text{Sur}_{\mathcal{L}}(Q, P)}$ and $P \in \text{Pol}_Q$, are orthogonal and their sum is equal to the identity, by Theorem 9.1. It follows that the endomorphisms $F_{f_{\pi, \text{id}_P, \pi}}$ of F_Q are orthogonal idempotents, and their sum is the identity. Hence we obtain a decomposition of correspondence functors

$$F_Q = \bigoplus_{\substack{P \in \text{Pol}_Q \\ \pi \in \overline{\text{Sur}_{\mathcal{L}}(Q, P)}}} F_{f_{\pi, \text{id}_P, \pi}}(F_Q).$$

By surjectivity of $\pi : Q \rightarrow P$, the image of $F_{f_{\pi, \text{id}_P, \pi}} = F_{j^\pi} F_\pi : F_Q \rightarrow F_Q$ is equal to the image of $F_{j^\pi} : F_P \rightarrow F_Q$. Therefore $F_{f_{\pi, \text{id}_P, \pi}}(F_Q) = F_{j^\pi}(F_P)$. By Proposition 7.11, the image $F_{j^\pi}(F_P)$ is isomorphic to $\mathbb{S}_P = F_P/H_P$ and it follows that

$$F_{f_{\pi, \text{id}_P, \pi}}(F_Q) \cong \mathbb{S}_P.$$

Taking $P = Q$ and $f_{\text{id}_Q, \text{id}_Q, \text{id}_Q} = j^{\text{id}_Q} = \varepsilon_Q$, we obtain the first isomorphism $F_{\varepsilon_Q} F_Q \cong \mathbb{S}_Q$. Summing over all $\pi \in \overline{\text{Sur}_{\mathcal{L}}(Q, P)}$ for a fixed P , we obtain the

second isomorphism. Finally, summing over all $P \in \text{Pol}_Q$ and all $\pi \in \overline{\text{Sur}_{\mathcal{L}}(Q, P)}$, we obtain the third isomorphism. \square

Corollary 9.5. *Let P and P' be pole lattices. Then*

$$\text{Hom}_{\mathcal{F}_k}(\mathbb{S}_P, \mathbb{S}_{P'}) \cong \begin{cases} \{0\} & \text{if } P \not\cong P', \\ k \text{Aut}(P) & \text{if } P \cong P'. \end{cases}$$

Proof. Since $\mathbb{S}_P \cong F_{\varepsilon_P} F_P$, the case $P = P'$ follows from Proposition 9.3. Now if $P \not\cong P'$, it is easy to choose a large enough pole lattice Q such that $\text{Sur}_{\mathcal{L}}(Q, P) \neq \emptyset$ and $\text{Sur}_{\mathcal{L}}(Q, P') = \emptyset$. Using the central idempotents $\beta_{Q,P}$ and $\beta_{Q,P'}$ of Proposition 9.3, we obtain

$$\text{Hom}_{\mathcal{F}_k}(F_{\beta_{Q,P}} F_Q, F_{\beta_{Q,P'}} F_Q) \cong \text{Hom}_{\mathcal{F}_k}(\mathbb{S}_P, \mathbb{S}_{P'})^{n(Q,P) \cdot n(Q,P')}.$$

Since $F_{\beta_{Q,P}}$ and $F_{\beta_{Q,P'}}$ are central idempotents of $\text{End}_{\mathcal{F}_k}(F_Q)$, and since they are orthogonal if $P \not\cong P'$, it follows that $\text{Hom}_{\mathcal{F}_k}(F_{\beta_{Q,P}} F_Q, F_{\beta_{Q,P'}} F_Q) = 0$ if $P \not\cong P'$, hence $\text{Hom}_{\mathcal{F}_k}(\mathbb{S}_P, \mathbb{S}_{P'}) = \{0\}$. \square

Remark 9.6. Corollary 9.5 actually holds for the fundamental functors associated with any two finite posets. This more general result will be proved in another paper.

Now we prove that the functor \mathbb{S}_Q is actually isomorphic to a fundamental functor and we compute the ranks of all its evaluations.

Theorem 9.7. *Let Q be a pole lattice and let R be the order relation on the set $E = \text{Irr}(Q)$ of irreducible elements of Q . Let $\mathbb{S}_Q = F_Q/H_Q$.*

- (a) \mathbb{S}_Q is isomorphic to the fundamental functor $\mathbb{S}_{E,R^{op}}$.
- (b) For any finite set X , the k -module $\mathbb{S}_Q(X)$ is free of rank

$$\text{rank}(\mathbb{S}_Q(X)) = \sum_{i=0}^{|E|} (-1)^i \binom{|E|}{i} (|Q| - i)^{|X|}.$$

Proof.

(a) We use the element $\gamma_{Q^{op}} \in F_Q(E^0)$ defined in (3.9), where $E^0 = \text{Irr}(Q^{op})$. By a well-known result of lattice theory (Theorem 6.2 in [Ro]), the distributive lattice Q^{op} is isomorphic to $I_{\downarrow}(E^0, R^0)$, where R^0 is the order relation on E^0 viewed as a subset of Q^{op} , so that (E^0, R^0) is the poset of irreducible elements in Q^{op} . Note that the isomorphism $Q^{op} \cong I_{\downarrow}(E^0, R^0)$ can also be checked easily and directly because Q^{op} is a pole lattice. Recall that

$$\gamma_{Q^{op}} = \sum_{A \subseteq E^0} (-1)^{|A|} \eta_A^{\circ},$$

where $\eta_A^{\circ} : E^0 \rightarrow Q$ denotes the same map as $\eta_A : E^0 \rightarrow Q^{op}$ and η_A is defined by

$$\forall e^0 \in E^0, \quad \eta_A(e^0) = \begin{cases} s(e^0) & \text{if } e^0 \in A, \\ e^0 & \text{if } e^0 \notin A, \end{cases}$$

because $r(e^0)$ in the lattice Q^{op} is equal to $s(e^0)$ in the lattice Q .

Now we define $\omega : E^0 \rightarrow Q$ by

$$\omega(e^0) = \begin{cases} s(e^0) & \text{if } e^0 \in E_1^0, \\ e^0 & \text{if } e^0 \in E_2^0, \end{cases}$$

and we notice that ω is actually a bijection between E^0 and $E = \text{Irr}(Q)$, because in a pole lattice we have $E_1 = s(E_1^0)$ and $E_2 = E_2^0$ (by an easy application of

Lemma 2.1). Then $\omega \in F_Q(E^0)$ and when we apply the idempotent F_{ε_Q} we claim that we obtain

$$(9.8) \quad F_{\varepsilon_Q}(\omega) = (-1)^{|E_1|} \gamma_{Q^{op}}.$$

The definition of ε_Q (see Notation 9.2) yields

$$F_{\varepsilon_Q}(\omega) = \varepsilon_Q \omega = \sum_{Y \subseteq E} (-1)^{|E-Y|} \rho_Y \omega.$$

The definition of ρ_Y in (7.8) splits into two cases. If $e^0 \in E_1^0$, then

$$(\rho_Y \omega)(e^0) = \rho_Y(s(e^0)) = \begin{cases} s(e^0) & \text{if } s(e^0) \in Y, \\ r(s(e^0)) = e^0 & \text{if } s(e^0) \notin Y. \end{cases}$$

If now $e^0 \in E_2^0$, then

$$(\rho_Y \omega)(e^0) = \rho_Y(e^0) = \begin{cases} e^0 & \text{if } e^0 \in Y, \\ s(e^0) & \text{if } e^0 \notin Y. \end{cases}$$

For each $Y \subseteq E$, we define $A \subseteq E^0$ by

$$Y \cap E_1 = s(A \cap E_1^0) \quad \text{and} \quad Y \cap E_2 = E_2 - (A \cap E_2).$$

Thus we have decompositions

$$Y = (Y \cap E_1) \sqcup (Y \cap E_2) \subseteq E \quad \text{and} \quad A = (A \cap E_1^0) \sqcup (A \cap E_2^0) \subseteq E^0$$

and A runs through all subsets of E^0 when Y runs through all subsets of E . If $e^0 \in E_1^0$, then

$$s(e^0) \in Y \cap E_1 \iff e^0 \in A \cap E_1^0$$

while if $e^0 \in E_2^0$, then

$$e^0 \notin Y \cap E_2 \iff e^0 \in A \cap E_2^0.$$

Therefore the two cases merge into one and we obtain

$$(\rho_Y \omega)(e^0) = \begin{cases} e^0 & \text{if } e^0 \notin A, \\ s(e^0) & \text{if } e^0 \in A, \end{cases}$$

so that $\rho_Y \omega = \eta_A^\circ$.

As far as the signs are concerned, we have

$$|E - Y| = |E_1 - (Y \cap E_1)| + |E_2 - (Y \cap E_2)| = |E_1^0 - (A \cap E_1^0)| + |A \cap E_2^0|,$$

hence

$$(-1)^{|E-Y|} = (-1)^{|E_1^0|} \cdot (-1)^{|A \cap E_1^0|} \cdot (-1)^{|A \cap E_2^0|} = (-1)^{|E_1|} \cdot (-1)^{|A|}.$$

It now follows that

$$\begin{aligned} F_{\varepsilon_Q}(\omega) &= \sum_{Y \subseteq E} (-1)^{|E-Y|} \rho_Y \omega \\ &= (-1)^{|E_1|} \sum_{A \subseteq E^0} (-1)^{|A|} \eta_A^\circ \\ &= (-1)^{|E_1|} \gamma_{Q^{op}}. \end{aligned}$$

This proves Claim 9.8 above.

Now F_Q is generated by $\omega \in F_Q(E^0)$, because it is generated by $\iota \in F_Q(E)$ (where $\iota : E \rightarrow Q$ is the inclusion), hence also by any injection from the set E^0 to Q , by composing ι with a bijection between E^0 and E . Since F_{ε_Q} is an idempotent endomorphism of the correspondence functor F_Q , we see that $F_{\varepsilon_Q} F_Q$ is generated by $F_{\varepsilon_Q}(\omega)$. In other words, in view of Claim 9.8 above, $F_{\varepsilon_Q} F_Q$ is generated by $\gamma_{Q^{op}} \in F_Q(E^0)$. Now Theorem 3.10 asserts that the subfunctor of F_Q generated by $\gamma_{Q^{op}}$

is isomorphic to \mathbb{S}_{E^0, R^0} , where (E^0, R^0) is the poset of irreducible elements in Q^{op} . But $(E^0, R^0) \cong (E, R^{op})$ via the map $\omega : E^0 \rightarrow E$ described above. Therefore, using the isomorphism of Theorem 9.4, we obtain

$$\mathbb{S}_Q \cong F_{\varepsilon_Q} F_Q = \langle \gamma_{Q^{op}} \rangle \cong \mathbb{S}_{E^0, R^0} \cong \mathbb{S}_{E, R^{op}}.$$

(b) By Definition 7.6, the canonical k -basis of $\mathbb{S}_Q(X) = F_Q(X)/H_Q(X)$ is the set $Z(X)$ of all maps $\varphi : X \rightarrow Q$ such that $E \subseteq \varphi(X) \subseteq Q$. Therefore $\mathbb{S}_Q(X)$ is free of rank $|Z(X)|$. The number of maps in $Z(X)$ has been computed in Lemma 8.1 of [BT2] and the formula is actually well-known. The formula asserts that this rank is equal to

$$|Z(X)| = \sum_{i=0}^{|E|} (-1)^i \binom{|E|}{i} (|Q| - i)^{|X|}$$

as required. □

Remark 9.9. In view of the projectivity of \mathbb{S}_Q (Theorem 9.4), the isomorphism $\mathbb{S}_Q \cong \mathbb{S}_{E, R^{op}}$ provides another proof of the projectivity of the fundamental functor $\mathbb{S}_{E, R^{op}}$ whenever (E, R^{op}) is a pole poset. This was first proved in Theorem 5.1.

Remark 9.10. The formula for the rank in Theorem 9.7 is a special case of the general formula proved in [BT4] for the rank of the evaluation of any fundamental functor. We have given here a direct proof in the case of a pole lattice because it is easy, while the proof in the general case is much more elaborate.

When k is a field, we get even more.

Corollary 9.11. *Let k be a field. Let Q be a pole lattice and let (E, R) be the poset of irreducible elements in Q .*

- (a) *For any simple $k \text{Aut}(Q)$ -module V , the functor $\mathbb{S}_Q \otimes_{k \text{Aut}(Q)} V$ is simple, isomorphic to $S_{E, R^{op}, V}$.*
- (b) *The correspondence functor \mathbb{S}_Q is projective and injective.*
- (c) *If either $\text{Aut}(Q)$ is trivial (which occurs if Q is totally ordered) or if the characteristic of k is different from 2, the correspondence functor $S_{E, R^{op}, V}$ is simple, projective, and injective.*
- (d) *Under the assumption of (c), \mathbb{S}_Q decomposes as a direct sum of simple (and projective) functors*

$$\mathbb{S}_Q \cong \bigoplus_V S_{E, R^{op}, V},$$

where V runs over simple $k \text{Aut}(Q)$ -modules up to isomorphism.

- (e) *Under the assumption of (c), F_Q decomposes as a direct sum of simple (and projective) functors*

$$F_Q \cong \bigoplus_{P \in \text{Pol}_Q} \bigoplus_{V_P} (S_{E_P, R_P^{op}, V_P})^{n(Q, P)},$$

where (E_P, R_P) denotes the poset of irreducible elements in P and where V_P runs over simple $k \text{Aut}(P)$ -modules up to isomorphism.

Proof.

(a) Using Lemma 2.1, it is easy to check that $\text{Aut}(Q) = \text{Aut}(E, R) = \text{Aut}(E, R^{op})$, so V is a $k \text{Aut}(E, R^{op})$ -module. Recall that the fundamental correspondence functor $\mathbb{S}_{E, R^{op}}$ has a right $k \text{Aut}(E, R^{op})$ -module structure (in the sense that each evaluation $\mathbb{S}_{E, R^{op}}(X)$ is a right $k \text{Aut}(E, R^{op})$ -module, in a compatible way with all

morphisms, which act on the left). Moreover, by Proposition 3.4, we know that the simple functor $S_{E,R^{op},V}$ is obtained from the fundamental functor $\mathbb{S}_{E,R^{op}}$ by simply tensoring with V :

$$S_{E,R^{op},V} \cong \mathbb{S}_{E,R^{op}} \otimes_{\text{Aut}(E,R^{op})} V, \quad \text{that is,} \quad S_{E,R^{op},V} \cong \mathbb{S}_Q \otimes_{k \text{Aut}(Q)} V,$$

as required.

(b) \mathbb{S}_Q is projective by Theorem 9.4. Since k is a field, it is also injective by Theorem 10.6 in [BT2].

(c) When either $\text{Aut}(Q)$ is trivial or the characteristic of k is different from 2, $k \text{Aut}(Q)$ is semi-simple and every simple $k \text{Aut}(Q)$ -module is projective. Moreover, every simple $k \text{Aut}(Q)$ -module has dimension 1 because $\text{Aut}(Q)$ is an elementary abelian 2-group (the only roots of unity needed are ± 1). Therefore we have an isomorphism of $k \text{Aut}(Q)$ -modules

$$k \text{Aut}(Q) \cong \bigoplus_{V \text{ simple}} V,$$

where V runs over all simple $k \text{Aut}(Q)$ -modules up to isomorphism. It follows that

$$\mathbb{S}_Q \cong \mathbb{S}_Q \otimes_{k \text{Aut}(Q)} k \text{Aut}(Q) \cong \bigoplus_{V \text{ simple}} \mathbb{S}_Q \otimes_{k \text{Aut}(Q)} V \cong \bigoplus_{V \text{ simple}} S_{E,R^{op},V}.$$

Since \mathbb{S}_Q is projective and injective by (b), so is each of its simple direct summands $S_{E,R^{op},V}$.

(d) The decomposition of \mathbb{S}_Q was proved above.

(e) The decomposition of F_Q follows immediately from (d) and Theorem 9.4. \square

In the special case of totally ordered lattices, the results of Corollary 9.11 were already obtained in Corollary 11.11 of [BT3]. Also, notice that (c) provides another proof of the implication (b) \Rightarrow (a) in Theorem 4.5.

Our last purpose in this section is to find, for any finite lattice T , all the direct summands of F_T isomorphic to a functor \mathbb{S}_P corresponding to a pole lattice P . Recall that e_T denotes the central idempotent of $\text{End}_{k\mathcal{L}}(T)$ which is an identity element for the subalgebra \mathcal{E}_T (see Theorem 8.3).

Theorem 9.12. *Let T be a finite lattice. For every finite set X , let $F_T^{\text{pole}}(X)$ be the k -submodule of $F_T(X)$ generated by all the maps $\varphi : X \rightarrow T$ such that $\varphi(X)$ is a pole subposet of T .*

(a) $F_T^{\text{pole}} = F_{e_T}(F_T)$ and this is a subfunctor of F_T .

(b) F_T^{pole} is a projective direct summand of F_T , isomorphic to

$$F_T^{\text{pole}} \cong \bigoplus_{\substack{P \in \text{Pol}_T \\ \pi \in \text{Sur}_{\mathcal{L}}(T,P)}} \mathbb{S}_P = \bigoplus_{P \in \text{Pol}_T} \mathbb{S}_P^{n(T,P)}.$$

(c) If Q is a pole lattice, the image of any morphism $F_Q \rightarrow F_T$ in \mathcal{F}_k is contained in F_T^{pole} . In particular, any subfunctor of F_T isomorphic to the functor \mathbb{S}_Q is contained in F_T^{pole} .

(d) $\text{Hom}_{\mathcal{F}_k}(F_T^{\text{pole}}, F_{\text{id}-e_T}(F_T)) = \{0\}$ and $\text{Hom}_{\mathcal{F}_k}(F_{\text{id}-e_T}(F_T), F_T^{\text{pole}}) = \{0\}$.

(e) The splitting of the surjection $F_{e_T} : F_T \rightarrow F_T^{\text{pole}}$ is natural in T .

Proof.

(a) Let $\varphi : X \rightarrow T$ be a map such that $\varphi(X)$ is a pole subposet of T . Let Q be the join-closure of $\varphi(X)$, so that $\varphi = j\psi$, where $\psi : X \rightarrow Q$ and where $j : Q \rightarrow T$ is the inclusion map. It is easy to see that Q is a join-closed pole lattice. Thus $j \in \text{Hom}_{k\mathcal{L}}(Q, T)$ and so $j = je_Q$ because $e_Q \in \text{End}_{k\mathcal{L}}(Q)$ is the identity morphism by Theorem 9.1. Now $je_Q = e_T j$ by Theorem 8.3, hence $j = e_T j$. Therefore

$$\varphi = j\psi = e_T j\psi = e_T \varphi = F_{e_T}(\varphi),$$

proving that $\varphi \in (F_{e_T}(F_T))(X)$.

Conversely, if $\varphi \in (F_{e_T}(F_T))(X)$, then we can write $\varphi = F_{e_T}(\psi) = e_T \psi$ where ψ is a k -linear combination of maps $X \rightarrow T$. Since e_T is, by construction, a linear combination of maps whose image is a pole poset, so is $e_T \psi$, proving that $\varphi \in F_T^{\text{pole}}(X)$.

This shows that $F_T^{\text{pole}} = F_{e_T}(F_T)$ and the latter is a subfunctor of F_T .

(b) As in the proof of Theorem 9.4, we apply the fully faithful functor $k\mathcal{L} \rightarrow \mathcal{F}_k$ defined by $T \mapsto F_T$. There is a direct sum decomposition of functors

$$F_T = F_{e_T}(F_T) \oplus F_{\text{id} - e_T}(F_T) = F_T^{\text{pole}} \oplus F_{\text{id} - e_T}(F_T).$$

The idempotent e_T is the sum of the orthogonal idempotents $f_{\pi, \text{id}_P, \pi}$ of $\text{End}_{k\mathcal{L}}(T)$, for $\pi \in \text{Sur}_{\mathcal{L}}(T, P)$ and $P \in \text{Pol}_T$. It follows that the endomorphisms $F_{f_{\pi, \text{id}_P, \pi}}$ of F_T are orthogonal idempotents with sum F_{e_T} . Hence we obtain a direct sum decomposition of correspondence functors

$$F_T^{\text{pole}} = F_{e_T}(F_T) = \bigoplus_{\substack{P \in \text{Pol}_T \\ \pi \in \text{Sur}_{\mathcal{L}}(T, P)}} F_{f_{\pi, \text{id}_P, \pi}}(F_T).$$

By Proposition 7.11, the image of $F_{f_{\pi, \text{id}_P, \pi}} = F_{j\pi}$ is isomorphic to $F_P/H_P = \mathbb{S}_P$ and is projective by Theorem 9.4, proving the result.

(c) Let $\alpha : F_Q \rightarrow F_T$ be a morphism of correspondence functors where Q is a pole lattice. Since the functor $T \mapsto F_T$ is full, α is the image of a morphism $Q \rightarrow T$ in $k\mathcal{L}$, which is in turn a linear combination of join-morphisms $f : Q \rightarrow T$. Any such f has an image which is a pole subposet of T . Therefore, for any function $\varphi : X \rightarrow Q$, the image of $f\varphi$ is a pole subposet of T . It follows that the image of the map $F_f(X) : F_Q(X) \rightarrow F_T(X)$ is contained in $F_T^{\text{pole}}(X)$. Therefore, the image of the map F_f is contained in F_T^{pole} and so the image of α is contained in F_T^{pole} .

The special case follows from the fact that \mathbb{S}_Q is a subfunctor of F_Q , by Theorem 9.4.

(d) The first statement is a consequence of (b) and (c), while the second one follows from a dual argument. Details are left to the reader.

(e) By Theorem 8.3, the family of idempotents e_T , for $T \in \mathcal{L}$, is a natural transformation of the identity functor $\text{id}_{k\mathcal{L}}$. Therefore the family of idempotents F_{e_T} , for $T \in \mathcal{L}$, is a natural transformation of the identity functor $\text{id}_{\mathcal{F}_k}$. \square

Corollary 9.13. *Let F be a correspondence functor and let F^{pole} be the sum of all the images of morphisms $F_P \rightarrow F$, where P varies among pole lattices.*

- (a) *The subfunctor F^{pole} is the image of an idempotent natural transformation $\varepsilon_F : F \rightarrow F$, so that F^{pole} is a direct summand of F .*
- (b) $\text{Hom}_{\mathcal{F}_k}(F^{\text{pole}}, (\text{id} - \varepsilon_F)(F)) = \{0\}$.

- (c) *The idempotent ε_F is natural in F . In other words, when F varies among correspondence functors, the family of idempotents ε_F is a natural transformation of the identity functor $\mathcal{F}_k \rightarrow \mathcal{F}_k$.*

Proof. We only sketch the main arguments of the proof. By Yoneda's lemma applied to a set of generators of F , there is some index set I and a surjective morphism from a direct sum of representable functors

$$\pi : \bigoplus_{i \in I} k\mathcal{C}(-, E_i) \longrightarrow F$$

and each $k\mathcal{C}(-, E_i)$ is projective. Moreover, $k\mathcal{C}(-, E_i)$ is isomorphic to F_{T_i} for some distributive lattice T_i (by Lemma 3.7). It follows that there is an exact sequence

$$\bigoplus_{j \in J} F_{U_j} \longrightarrow \bigoplus_{i \in I} F_{T_i} \xrightarrow{\pi} F \longrightarrow 0$$

where U_j is again a distributive lattice for each j in some index set J . Let us write ε for the direct sum of the idempotent endomorphisms of Theorem 9.12, independently of the lattices involved. Thus we have a commutative diagram

$$\begin{array}{ccccccc} \bigoplus_{j \in J} F_{U_j} & \longrightarrow & \bigoplus_{i \in I} F_{T_i} & \xrightarrow{\pi} & F & \longrightarrow & 0 \\ \downarrow \varepsilon & & \downarrow \varepsilon & & \downarrow \varepsilon_F & & \\ \bigoplus_{j \in J} F_{U_j} & \longrightarrow & \bigoplus_{i \in I} F_{T_i} & \xrightarrow{\pi} & F & \longrightarrow & 0 \end{array}$$

where $\varepsilon_F : F \rightarrow F$ is induced by ε . It is easy to check that ε_F is an idempotent morphism and that $\text{Im}(\varepsilon_F) \subseteq F^{\text{pole}}$, because $\text{Im}(\varepsilon_F) = \text{Im}(\pi\varepsilon)$ and this is the image under π of correspondence functors associated to pole lattices, by Theorem 9.12. Moreover, any pole lattice P is distributive, so F_P is projective. Therefore any morphism $F_P \rightarrow F$ lifts to a morphism $F_P \rightarrow \bigoplus_{i \in I} F_{T_i}$ whose image must be contained in $\text{Im}(\varepsilon)$. Thus F^{pole} is contained in $\pi(\text{Im}(\varepsilon)) = \text{Im}(\varepsilon_F)$.

The proofs of (b) and (c) are similar. □

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