# DESCRIPTION OF UNITARY REPRESENTATIONS OF THE GROUP OF INFINITE p-ADIC INTEGER MATRICES

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ABSTRACT. We classify irreducible unitary representations of the group of all infinite matrices over a p-adic field ( $p \neq 2$ ) with integer elements equipped with a natural topology. Any irreducible representation passes through a group GL of infinite matrices over a residue ring modulo  $p^k$ . Irreducible representations of the latter group are induced from finite-dimensional representations of certain open subgroups.

## 1. Introduction

### 1.1. Notations and definitions.

(a) RINGS. Let p be a prime,

$$p > 2$$
.

Let  $\mathbb{Z}_{p^n} := \mathbb{Z}/p^n\mathbb{Z}$  be a residue ring,  $\mathbb{F}_p := \mathbb{Z}_p$  be the field with p elements. The ring of p-adic integers  $\mathbb{O}_p$  is the projective limit

$$\mathbb{O}_p = \lim_{\stackrel{\longleftarrow}{\longleftarrow} n} \mathbb{Z}_{p^n}$$

of the following chain (see, e.g., [32]):

$$\cdots \longleftarrow \mathbb{Z}_{p^{n-1}} \longleftarrow \mathbb{Z}_{p^n} \longleftarrow \mathbb{Z}_{p^{n+1}} \longleftarrow \ldots,$$

we have  $\mathbb{Z}_{p^n} = \mathbb{O}_p/p^n\mathbb{O}_p$ . Denote by  $\mathbb{Q}_p$  the field of p-adic numbers.

(b) The infinite symmetric group and oligomorphic groups. Let  $\Omega$  be a countable set. Denote by  $S(\Omega)$  the group of all permutations of  $\Omega$ ; denote  $S_{\infty} := S(\mathbb{N})$ . The topology on the *infinite symmetric group*  $S(\Omega)$  is determined by the condition: stabilizers of finite subsets are open subgroups and these subgroups form a fundamental system of neighborhoods of the unit. Equivalently, a sequence  $g^{(\alpha)}$  converges to g if for each  $\omega \in \Omega$  we have  $\omega g^{(\alpha)} = \omega g$  for sufficiently large  $\alpha$ .

A closed subgroup G of  $S(\Omega)$  is called *oligomorphic* if for each k it has only a finite number of orbits on the product  $\Omega \times \cdots \times \Omega$  of k copies of  $\Omega$ ; see [5].

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<sup>&</sup>lt;sup>1</sup>Thus we get a structure of a Polish group. Moreover this topology is a unique separable topology on the infinite symmetric group; see [13]. In particular, this means that a unitary representation of  $S_{\infty}$  in a separable Hilbert space is automatically continuous.

(c) Modules  $\mathfrak{l}(\mathbb{Z}_{p^n})$  and groups  $\mathrm{GL}(\infty,\mathbb{Z}_{p^n})$ . Define the module  $\mathfrak{l}(\mathbb{Z}_{p^n})$  as the set of all sequences  $v=(v_1,v_2,\ldots)$ , where  $v_j\in\mathbb{Z}_{p^n}$  and  $v_j=0$  for sufficiently large j. The set  $\mathfrak{l}(\mathbb{Z}_{p^n})$  is countable; we equip it with a discrete topology. Denote by  $e_j$  the standard basis elements, i.e.,  $e_j$  has a unit on j-th place, other elements are 0.

Define groups  $GL(\infty, \mathbb{Z}_{p^n})$  as groups of infinite invertible matrices g over  $\mathbb{Z}_{p^n}$  such that:

- each row of g contains only a finite number of nonzero elements;
- each column contains only a finite number of nonzero elements;
- the inverse matrix  $g^{-1}$  satisfies the same conditions.

Notice that rows of a matrix g are precisely vectors  $e_i g$ , and columns are  $e_j g^t$  (we denote by  $g^t$  a transposed matrix).

Actually, the topic of this paper is representations of  $GL(\infty, \mathbb{Z}_{p^n})$ .

This group is continual and we must define a topology on  $GL(\infty, \mathbb{Z}_{p^n})$ . A sequence  $g^{(\alpha)} \in GL(\infty, \mathbb{Z}_{p^n})$  converges to g if all sequences  $e_i g^{(\alpha)}$  and  $e_i (g^{(\alpha)})^t$  are eventually constant and their limits are  $e_i g$  and  $e_j g^t$  respectively. Thus we get a structure of a totally disconnected topological group.

The group  $\mathrm{GL}(\infty,\mathbb{Z}_{p^n})$  acts on the countable set  $\mathfrak{l}(\mathbb{Z}_{p^n})\oplus\mathfrak{l}(\mathbb{Z}_{p^n})$  by transformations

$$(v, w) \mapsto (vg, w(g^t)^{-1}).$$

In particular, this defines an embedding of  $GL(\infty, \mathbb{Z}_{p^n})$  to a symmetric group  $S(\mathfrak{l}(\mathbb{Z}_{p^n}) \oplus \mathfrak{l}(\mathbb{Z}_{p^n}))$ . The image of the group  $GL(\infty, \mathbb{Z}_{p^n})$  is a closed subgroup of  $S(\mathfrak{l}(\mathbb{Z}_{p^n}) \oplus \mathfrak{l}(\mathbb{Z}_{p^n}))$  and the induced topology coincides with the natural topology on  $GL(\infty, \mathbb{Z}_{p^n})$ . By [27, Lemma 3.7], the group  $GL(\infty, \mathbb{Z}_{p^n})$  is oligomorphic.

(d) Modules  $\mathfrak{l}(\mathbb{O}_p)$  and groups  $\mathrm{GL}(\infty,\mathbb{O}_p)$ . Denote by  $\mathfrak{l}(\mathbb{O}_p)$  the set of all sequences  $r=(r_1,r_2,\ldots)$ , where  $r_j\in\mathbb{O}_p$  and  $|r_j|\to 0$  as  $j\to\infty$ . The space  $\mathfrak{l}(\mathbb{O}_p)$  is a projective limit,

$$\mathfrak{l}(\mathbb{O}_p) = \lim_{\longleftarrow n} \mathfrak{l}(\mathbb{Z}_{p^n}),$$

we equip it with the topology of the projective limit. In other words, a sequence  $r^{(j)} \in \mathfrak{l}(\mathbb{O}_p)$  converges if for any  $p^n$  the reduction of  $r^{(j)}$  modulo  $p^n$  is eventually constant in  $\mathbb{Z}_{p^n}$ .

We define  $GL(\infty, \mathbb{O}_p)$  as the group of all infinite matrices g over  $\mathbb{O}_p$  such that:

- each row of g is an element of  $\mathfrak{l}(\mathbb{O}_p)$ ;
- each column of g is an element of  $\mathfrak{l}(\mathbb{O}_p)$ ;
- the matrix g has an inverse and  $g^{-1}$  satisfies the same conditions.

We say that a sequence  $g^{(\alpha)} \in GL(\infty, \mathbb{O}_p)$  converges to g if for any i the sequence  $e_i g^{(\alpha)}$  converges to  $e_i g$  and for any j the sequence  $e_i (g^{(\alpha)})^t$  converges to  $e_j g^t$ . This determines a structure of a totally disconnected topological group on  $GL(\infty, \mathbb{O}_p)$ .

We have obvious homomorphisms  $GL(\infty, \mathbb{Z}_{p^n}) \to GL(\infty, \mathbb{Z}_{p^{n-1}})$ , the group  $GL(\infty, \mathbb{O}_p)$  is the projective limit

$$\operatorname{GL}(\infty, \mathbb{O}_p) = \lim_{\longleftarrow n} \operatorname{GL}(\infty, \mathbb{Z}_{p^n})$$

and its topology is the topology of projective limit.

# 1.2. **Preliminary remarks.** A priori we know the following statement:

#### Theorem 1.1.

- (a) The group  $GL(\infty, \mathbb{O}_p)$  is a type I group; it has a countable number of irreducible unitary representations. Any unitary representation  $GL(\infty, \mathbb{O}_p)$  is a sum of irreducible representations. Any irreducible unitary representation of  $GL(\infty, \mathbb{O}_p)$  is in fact a representation of some group  $GL(\infty, \mathbb{Z}_{p^n})$ .
- (b) Each irreducible representation of  $GL(\infty, \mathbb{Z}_{p^n})$  is induced from a finite-dimensional representation of an open subgroup. More precisely, for any irreducible unitary representation of  $GL(\infty, \mathbb{Z}_{p^n})$  there exists an open subgroup  $\widehat{Q} \subset GL(\infty, \mathbb{Z}_{p^n})$ , a normal subgroup  $Q \subset \widehat{Q}$  of finite index and an irreducible representation  $\nu$  of  $\widehat{Q}$ , which is trivial on Q, such that  $\rho$  is induced from  $\nu$ .

This is a special case of a theorem of Tsankov about unitary representations of oligomorphic groups and projective limits of holomorphic groups; see [34, Theorem 1.3].<sup>2</sup> It seems that [34], [2] are not sufficient to give a precise answer in our case.

Let us give a definition of an induced representation (see, e.g., [33, Sect. 7] and [15, Sect. 13]) which is appropriate in our case. Let G be a totally disconnected separable group, Q its open subgroup. Let  $\nu$  be a unitary representation of Q in a Hilbert space V. Consider the space H of V-valued functions f on a countable homogeneous space  $Q \setminus G$  such that

$$\sum_{x \in Q \setminus G} \|f(x)\|^2 < \infty.$$

Equip H with the inner product

$$\langle f_1, f_2 \rangle_H := \sum_{x \in Q \setminus G} \langle f_1(x), f_2(x) \rangle_V.$$

Let U be a function on  $G \times (Q \setminus G)$  taking values in the group of unitary operators in V such that:

• Formula

$$\rho(g)f(x) = U(g,x)f(xg)$$

determines a representation of G in H.

• Let  $x_0$  be the initial point of  $Q \setminus G$ , i.e.,  $x_0Q = x_0$ . Then for  $q \in Q$  we have  $U(q, x_0) = \nu(q)$ .

The first condition implies that the function U(g,x) satisfies the functional equation

$$U(x, g_1g_2) = U(x, g_1) U(xg_1, g_2).$$

It can be shown that U(g,x) is uniquely defined up to a natural calibration

$$U(g,x) \sim A(gx)^{-1}U(g,x)A(x),$$

where A is a function on  $Q \setminus G$  taking values in the unitary group of V (see, e.g., [15, Sect 13.1]). For this reason, an induced representation  $\rho(g) = \operatorname{Ind}_Q^G(\nu)$  is canonically defined up to a unitary equivalence.

 $<sup>^2</sup>$ A reduction of representations of  $\mathrm{GL}(\infty,\mathbb{O}_p)$  to representations of quotients  $\mathrm{GL}(\infty,\mathbb{Z}_{p^{\mu}})$  easily follows from [20, Proposition VII.1.3]; see [27, Corollary 3.5]. In our proof of Theorem 1.5 Tsankov's theorem is used in the proof of Proposition 2.1, which was done in [27].

We also can choose U(g,x) in the following way. For any  $x \in Q \setminus G$  we choose an element  $s(x) \in G$  such that  $x_0s(x) = x$ . Then  $U(g,x) = \nu(q)$ , where q is determined from the condition s(x)g = q s(xg).

1.3. **The statement.** The result of the paper is Theorem 1.5, which claims that irreducible representations of  $\mathbb{G}$  are induced from finite dimensional representations of certain family of subgroups  $\mathbb{G}^{\circ}[L;M]$ ; these subgroups are described in Lemma 1.3.

Thus we fix a ring  $\mathbb{Z}_{p^{\mu}}$  and examine the group

$$\mathbb{G} := \mathrm{GL}(\infty, \mathbb{Z}_{p^{\mu}}).$$

We consider two right actions of  $\mathbb{G}$  on  $\mathfrak{l}(\mathbb{Z}_{p^{\mu}}), g: v \mapsto vg, g: v \mapsto v(g^t)^{-1}$ . Define a pairing

$$\mathfrak{l}(\mathbb{Z}_{p^{\mu}}) \times \mathfrak{l}(\mathbb{Z}_{p^{\mu}}) \to \mathbb{Z}_{p^{\mu}}$$

by

$$(1.1) {v,w} := \sum v_j w_j = vw^t,$$

our action preserves this pairing, i.e.,

$$\{vg, v(g^t)^{-1}\} = \{v, w\}.$$

Let  $L \subset \mathfrak{l}(\mathbb{Z}_{p^{\mu}})$ ,  $M \subset \mathfrak{l}(\mathbb{Z}_{p^{\mu}})$  be finitely generated  $\mathbb{Z}_{p^{\mu}}$ -submodules. Denote by  $\widehat{\mathbb{G}}[L;M]$  the subgroup of  $\mathbb{G}$  consisting of g such that Lg = L and  $M(g^t)^{-1} = M$ . By  $\mathbb{G}^{\circ}[L;M] \subset \widehat{\mathbb{G}}[L;M]$  we denote group of matrices fixing L and M pointwise. Obviously, the quotient group  $\widehat{\mathbb{G}}[L;M]/\mathbb{G}^{\circ}[L;M]$  is finite; it acts on the direct sum  $L \oplus M$  preserving the pairing  $\{f,g\}$ . Any irreducible representation  $\tau$  of  $\widehat{\mathbb{G}}[L;M]/\mathbb{G}^{\circ}[L;M]$  can be regarded as a representation  $\widehat{\tau}$  the group  $\widehat{\mathbb{G}}[L;M]$ , which is trivial on  $\mathbb{G}^{\circ}[L;M]$ . For given  $L,M,\tau$  we consider the representation

$$\operatorname{Ind}_{\widehat{\mathbb{G}}[L:M]}^{\mathbb{G}}(\widehat{\tau})$$

of  $\mathbb{G}$  induced from the representation  $\widehat{\tau}$  of the group  $\widehat{\mathbb{G}}[L; M]$ . Ol'shanski [30] obtained the following statement<sup>3</sup> for the group  $GL(\infty, \mathbb{F}_p) = GL(\infty, \mathbb{Z}_p)$ .

# Theorem 1.2.

- (a) Any irreducible unitary representation of the group  $GL(\infty, \mathbb{F}_p)$  has this form.
- (b) Two irreducible representations can be equivalent only for a trivial reason, i.e.,

$$\operatorname{Ind}_{\widehat{\mathbb{G}}[L_1:M_1]}^{\mathbb{G}}(\tau_1) \sim \operatorname{Ind}_{\widehat{\mathbb{G}}[L_2:M_2]}^{\mathbb{G}}(\tau_2)$$

if and only if there exists  $h \in \mathbb{G}$  such that  $L_1h = L_2h$ ,  $M_1(h^t)^{-1} = M_2$  and  $\tau_2(q) = \tau_1(hqh^{-1})$ .

For groups  $\mathrm{GL}(\infty, \mathbb{Z}_{p^{\mu}})$  with  $\mu > 1$  the situation is more delicate. Let L, M actually be contained in  $(\mathbb{Z}_{p^{\mu}})^m \subset \mathfrak{l}(\mathbb{Z}_{p^{\mu}})$ . Fix a matrix b such that  $b \in L$  and a matrix c such that  $b \in L$  and a matrix c such that  $b \in L$  and

<sup>&</sup>lt;sup>3</sup>A proof in [30] is only sketched; other proofs were given by Dudko [8] and Tsankov [34].

 $<sup>^4</sup>$ We assume that each row of b and each column of c contain only a finite number of nonzero elements.

**Lemma 1.3.** The group  $\mathbb{G}^{\circ}[L; M]$  consists of all invertible matrices admitting the following representation as a block matrix of size  $m + \infty$ :

$$(1.2) g = \begin{pmatrix} a & bv \\ wc & z \end{pmatrix},$$

where the block 'a' can be written in both forms

$$a = 1 - bS$$
,  $a = 1 - Tc$ .

Next, define a subgroup  $\mathbb{G}^{\bullet}[L;M] \subset \mathbb{G}^{\circ}[L;M]$  consisting of matrices having the form

$$(1.3) g = \begin{pmatrix} 1 - buc & bv \\ wc & z \end{pmatrix}.$$

**Proposition 1.4.** The group  $\mathbb{G}^{\bullet}[L;M]$  is the minimal subgroup of finite index in  $\widehat{\mathbb{G}}[L;M]$ , i.e., it is contained in any subgroup of finite index in  $\widehat{\mathbb{G}}[L;M]$ .

## Theorem 1.5.

- (a) Any irreducible unitary representation of  $\mathbb{G}$  is induced from a representation  $\tau$  of some group  $\widehat{\mathbb{G}}[L;M]$  that is trivial on the subgroup  $\mathbb{G}^{\bullet}[L;M]$ .
- (b) Two irreducible representations of this kind can be equivalent only for the trivial reason as in Theorem 1.2.

Remark. Recall that  $p \neq 2$ . In several places of our proof we divide elements of residue rings  $\mathbb{Z}_{p^{\mu}}$  by 2. Usually, this division can be replaced by longer considerations. But in Lemma 6.8 this seems crucial.

Remark. Let  $L, M \subset p \cdot \mathfrak{l}(\mathbb{Z}_{p^{\mu}})$ . Then  $\mathbb{G}[L;M]$  contains a congruence subgroup N consisting of elements of  $\mathbb{G}$  that are equal 1 modulo  $p^{\mu-1}$ . Since N is a normal subgroup in  $\mathbb{G}$ , it is normal in  $\widehat{\mathbb{G}}[L;M]$ . Let  $\tau$  be trivial on N. Then the induced representation  $\mathrm{Ind}_{\mathbb{G}[L;M]}^{\mathbb{G}}(\widehat{\tau})$  is trivial on the congruence subgroup N and actually we get representations of  $\mathrm{GL}(\infty,\mathbb{Z}_{p^{\mu-1}})$ .

Remark. The statement (b) is a general fact for oligomorphic groups; see [34, Proposition 4.1(ii)]. So we omit a proof (in our case this can be easily established by examination of intertwining operators).

- 1.4. Remarks: Infinite-dimensional p-adic groups. Now there exists a well-developed representation theory of infinite symmetric groups and of infinite-dimensional real classical groups. Parallel development in the p-adic case meets some difficulties. However, infinite dimensional p-adic groups were a topic of sporadic attacks since late 1980s; see [19], [36], [18]. We indicate some works on p-adic groups and their parallels with nontrivial constructions for real and symmetric groups.
- (a) An extension of the Weil representation of the infinite-dimensional symplectic group  $\mathrm{Sp}(2\infty,\mathbb{C})$  to the semigroup of lattices (Nazarov [19], [18]; see a partial exposition in [22, Sect. 11.1-11.2]).
- (b) A construction of projective limits of p-adic Grassmannians and quasiinvariant actions of p-adic  $GL(\infty)$  on these Grassmannians [24]. This is an analog of virtual permutations (or Chinese restaurant process, see, e.g., [1, 11.19]; they are a base of harmonic analysis related to infinite symmetric group, see [14]), and of projective limits of compact symmetric spaces (see [31], [21]); they are a standpoint for a harmonic analysis related to infinite-dimensional classical groups; see [3].

- (c) An attempt to describe a multiplication of double cosets (see the next section) for p-adic classical groups in [25]. In any case this leads to a strange geometric construction, namely to simplicial maps of Bruhat–Tits buildings whose boundary values are rational maps of p-adic Grassmannians.
- (d) The work [4] contains a *p*-adic construction in the spirit of exchangeability,<sup>5</sup> namely, descriptions of invariant ergodic measures on spaces of infinite *p*-adic matrices. By the Wigner–Mackey trick (see, e.g., [15, Sect. 13.3]), such kind of statements can be translated to a description of spherical functions on certain groups.

So during last years new elements of a nontrivial picture related to infinite-dimensional p-adic groups appeared. For this reason, understanding of representations  $GL(\infty, \mathbb{O}_p)$  becomes necessary.

- 1.5. Another completion of a group of infinite matrices over  $\mathbb{Z}_{p^n}$ . Define a group  $\mathfrak{G}$  consisting of infinite matrices g over  $\mathbb{Z}_{p^n}$  such that:
  - $\bullet$  g contains only a finite number of elements in each column;
  - $g^{-1}$  exists and satisfies the same property.

A sequence  $g^{(\alpha)}$  converges to g if for each j we have a convergence of  $e_i g^{(\alpha)}$ .

Clearly,  $\mathfrak{G} \supset \mathbb{G}$ . Classification of irreducible unitary representations of  $\mathfrak{G}$  is the following. For each finitely generated submodule in  $\mathfrak{l}(\mathbb{Z}_{p^n})$  we consider the subgroup  $\widehat{\mathfrak{G}}[L]$  consisting of transformations sending L to itself and the subgroup  $\mathfrak{G}^{\circ}[L]$  fixing L pointwise.

**Proposition 1.6.** Any irreducible unitary representation of  $\mathfrak{G}$  is induced from a representation of some group  $\widehat{\mathfrak{G}}[L]$  trivial on  $\mathfrak{G}^{\circ}[L]$ .

This follows from Theorem 1.5; on the other hand this can be deduced in a straightforward way from Tsankov's result [34].

#### 2. Preliminaries: The category of double cosets

2.1. Multiplication of double cosets and the category  $\mathcal{K}$ . Here we discuss a version of a general construction of multiplication of double cosets (see [29], [30], [20], [26], [27]).

Denote by  $\mathbb{G}_{\mathrm{fin}} \subset \mathbb{G}$  the subgroup of *finitary* matrices, i.e., matrices g such that g-1 has only a finite number of nonzero elements. For  $\alpha=0,\ 1,\ldots$  denote by  $\mathbb{G}(\alpha)\subset \mathbb{G}$  the subgroups consisting of matrices having the form  $\begin{pmatrix} 1_{\alpha} & 0 \\ 0 & u \end{pmatrix}$ , where  $1_{\alpha}$  denotes the unit matrix of size  $\alpha$  and u is an arbitrary invertible matrix over  $\mathbb{Z}_{p^{\mu}}$ . Obviously,  $\mathbb{G}(\alpha)$  is isomorphic to  $\mathbb{G}$ . Consider double coset spaces  $\mathbb{G}(\alpha)\setminus \mathbb{G}/\mathbb{G}(\beta)$ ; their elements are matrices determined up to the equivalence

$$(2.1) \qquad \begin{pmatrix} a & b \\ c & d \end{pmatrix} \sim \begin{pmatrix} 1_{\alpha} & 0 \\ 0 & u \end{pmatrix} \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} 1_{\beta} & 0 \\ 0 & v \end{pmatrix} = \begin{pmatrix} a & bv \\ uc & udv \end{pmatrix},$$

where a matrix g is represented as a block matrix of size  $(\alpha + \infty) \times (\beta + \infty)$ . For a matrix g we write the corresponding double coset as

$$\left[\begin{array}{c|c} a & b \\ \hline c & d \end{array}\right]_{\alpha\beta},$$

<sup>&</sup>lt;sup>5</sup>i.e., of higher analogs of the de Finetti theorem; see [1]

we will omit subscript  $\alpha\beta$  if it is not necessary to indicate a size. We wish to define a natural multiplication

$$\mathbb{G}(\alpha) \setminus \mathbb{G}/\mathbb{G}(\beta) \times \mathbb{G}(\beta) \setminus \mathbb{G}/\mathbb{G}(\gamma) \to \mathbb{G}(\alpha) \setminus \mathbb{G}/\mathbb{G}(\gamma).$$

Let  $\mathfrak{g}_1 \in \mathbb{G}(\alpha) \setminus \mathbb{G}/\mathbb{G}(\beta)$ ,  $\mathfrak{g}_2 \in \mathbb{G}(\beta) \setminus \mathbb{G}/\mathbb{G}(\gamma)$  be double cosets. By [27, Lemma 4.1], any double coset has a representative in  $\mathbb{G}_{\text{fin}}$ . Choose such representatives  $g_1$  and  $g_2$  for  $\mathfrak{g}_1$ ,  $\mathfrak{g}_2$ ,

(2.2) 
$$g_1 = \begin{bmatrix} a & b \\ \hline c & d \\ \hline & 1_{\infty} \end{bmatrix}_{\alpha\beta}, \ g_1 = \begin{bmatrix} p & q \\ \hline r & t \\ \hline & 1_{\infty} \end{bmatrix}_{\beta\gamma}.$$

Let sizes of submatrices  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$ ,  $\begin{pmatrix} p & q \\ r & t \end{pmatrix}$ , be  $N \times N$ . Denote by  $\theta^{\beta}(j)$  the following matrix

$$\theta^{\beta}(j) := \begin{pmatrix} 1_{\beta} & & & \\ & 0 & 1_{j} & & \\ & 1_{j} & 0 & & \\ & & & 1_{\infty} \end{pmatrix} \in \mathbb{G}(\beta).$$

Consider the sequence

$$\mathbb{G}(\alpha) \cdot g_1 \theta^{\beta}(j) g_2 \cdot \mathbb{G}(\gamma) \in \mathbb{G}(\alpha) \setminus \mathbb{G}/\mathbb{G}(\gamma).$$

It is more or less obvious that this sequence is eventually constant and its limit is (2.3)

$$\begin{array}{lll} \mathfrak{g}_1 \circ \mathfrak{g}_2 = & \\ = \left[ \begin{pmatrix} \frac{a & b & & \\ \hline c & d & & \\ & & 1_L & \\ & & & 1_\infty \end{pmatrix} \begin{pmatrix} \frac{1_\beta}{\phantom{a}} & & & \\ & 0 & 1_L & \\ & & 1_L & 0 \\ & & & & 1_\infty \end{pmatrix} \begin{pmatrix} \frac{p & q}{r & t} \\ & t & \\ & & & 1_L \\ & & & & 1_\infty \end{pmatrix} \right]_{\alpha \alpha},$$

where  $L \geqslant N - \beta$ . The final expression is

$$(2.4) \mathfrak{g}_1 \circ \mathfrak{g}_2 = \begin{bmatrix} ap & aq & b \\ cp & cq & d \\ r & t & 0 \\ & & & 1_{\infty} \end{bmatrix}_{\alpha\gamma} \sim \begin{bmatrix} ap & b & aq \\ cp & d & cq \\ r & 0 & t \\ & & & & 1_{\infty} \end{bmatrix}_{\alpha\gamma}.$$

In calculations below we use the last expression for  $\circ$ -product.

It is easy to verify that this multiplication is associative, i.e., for any

$$\mathfrak{g}_1 \in \mathbb{G}(\alpha) \setminus \mathbb{G}/\mathbb{G}(\beta), \quad \mathfrak{g}_2 \in \mathbb{G}(\beta) \setminus \mathbb{G}/\mathbb{G}(\gamma), \quad \mathfrak{g}_3 \in \mathbb{G}(\gamma) \setminus \mathbb{G}/\mathbb{G}(\delta),$$

we have

$$(\mathfrak{g}_1 \circ \mathfrak{g}_2) \circ \mathfrak{g}_3 = \mathfrak{g}_1 \circ (\mathfrak{g}_2 \circ \mathfrak{g}_3).$$

In other words, we get a category. Objects of this category are numbers  $\alpha = 0, 1, 2, \dots$  Sets of morphisms are

$$Mor(\beta, \alpha) := \mathbb{G}(\alpha) \setminus \mathbb{G}/\mathbb{G}(\beta).$$

The multiplication is given by formula (2.4). Denote this *category* by  $\mathcal{K}$ .

The group of automorphisms  $\operatorname{Aut}_{\mathcal{K}}(\alpha)$  is  $\operatorname{GL}(\alpha, \mathbb{Z}_{p^{\mu}})$ ; it consists of double cosets of the form  $\begin{bmatrix} a & 0 \\ \hline 0 & 1_{\infty} \end{bmatrix}$ .

Next, the map  $g \mapsto g^{-1}$  induces maps

$$\mathbb{G}(\alpha) \setminus \mathbb{G}/\mathbb{G}(\beta) \to \mathbb{G}(\beta) \setminus \mathbb{G}/\mathbb{G}(\alpha),$$

denote these maps by  $\mathfrak{g} \mapsto \mathfrak{g}^*$ . It is easy to see that we get an *involution in the* category  $\mathfrak{K}$ , i.e.,

$$(\mathfrak{g}_1 \circ \mathfrak{g}_2)^* = \mathfrak{g}_2^* \circ \mathfrak{g}_1^*.$$

The map  $g \mapsto (g^t)^{-1}$  determines an automorphism of the category  $\mathcal{K}$ ; denote it by  $\mathfrak{g} \mapsto \mathfrak{g}^{\bigstar}$ . It sends objects to themselves and

$$(\mathfrak{g}_1 \circ \mathfrak{g}_2)^{\bigstar} = \mathfrak{g}_2^{\bigstar} \circ \mathfrak{g}_1^{\bigstar}.$$

Remarks on notation.

(1) In formulas (2.2), (2.3), (2.4), the last columns, the last rows, and the blocks  $1_{\infty}$  contain no information and only enlarge sizes of matrices. For this reason, below we will omit them. Precisely, for a matrix  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$  of finite size we denote

$$\begin{bmatrix} \begin{array}{c|c} a & b \\ \hline c & d_{\star} \end{array} \end{bmatrix} := \begin{bmatrix} \begin{array}{c|c} a & b & 0 \\ \hline c & d & 0 \\ 0 & 0 & 1_{\infty} \end{array} \end{bmatrix} \qquad \begin{pmatrix} \begin{array}{c|c} a & b \\ \hline c & d_{\star} \end{pmatrix} := \begin{pmatrix} \begin{array}{c|c} a & b & 0 \\ \hline c & d & 0 \\ 0 & 0 & 1_{\infty} \end{array} \end{pmatrix}.$$

(2) We will denote a multiplication of [g] by an automorphism A as  $A \cdot [g]$ ,

$$\begin{split} A \cdot \left[ \begin{array}{c|c} a & b \\ \hline c & d_{\star} \end{array} \right] &:= \left[ \begin{array}{c|c} A & 0 \\ \hline 0 & 1 \end{array} \right] \circ \left[ \begin{array}{c|c} a & b \\ \hline c & d_{\star} \end{array} \right] = \left[ \begin{array}{c|c} Aa & Ab \\ \hline c & d_{\star} \end{array} \right]; \\ \left[ \begin{array}{c|c} a & b \\ \hline c & d_{\star} \end{array} \right] \cdot A' &:= \left[ \begin{array}{c|c} a & b \\ \hline c & d_{\star} \end{array} \right] \circ \left[ \begin{array}{c|c} A' & 0 \\ \hline 0 & 1 \end{array} \right] = \left[ \begin{array}{c|c} aA' & b \\ \hline cA' & d_{\star} \end{array} \right]. \end{split}$$

2.2. The multiplicativity theorem. Consider a unitary representation  $\rho$  of the group  $\mathbb{G}$  in a Hilbert space H. Denote by  $H_{\alpha} \subset H$  the space of  $\mathbb{G}(\alpha)$ -fixed vectors. Denote by  $P_{\alpha}$  the operator of orthogonal projection to  $H_{\alpha}$ .

#### Proposition 2.1.

- (a) For any  $\beta$  the sequence  $\rho(\theta^{\beta}(j))$  converges to  $P_{\beta}$  in the weak operator topology.
  - (b) The space  $\cup H_{\alpha}$  is dense in H.

The first statement is Lemma 1.1 from [27]; the claim (b) is a special case of Proposition VII.1.3 from [20].

Let  $g \in \mathbb{G}$ ,  $\alpha, \beta \in \mathbb{Z}_+$ . Consider the operator

$$\widetilde{\rho}_{\alpha\beta}(q): H_{\beta} \to H_{\alpha}$$

given by

$$\widetilde{\rho}_{\alpha\beta}(g) := P_{\alpha}\rho(g)\Big|_{H_{\alpha}}$$

It is easy to see that for  $h_1 \in \mathbb{G}(\alpha)$ ,  $h_2 \in \mathbb{G}(\beta)$  we have

$$\widetilde{\rho}_{\alpha\beta}(g) = \widetilde{\rho}_{\alpha\beta}(h_1 g h_2),$$

i.e.,  $\widetilde{\rho}_{\alpha\beta}(g)$  actually depends on the double coset  $\mathfrak{g}$  containing g.

#### Theorem 2.2.

(a) The map  $g \mapsto \widetilde{\rho}_{\alpha\beta}(\mathfrak{g})$  is a representation of the category  $\mathfrak{K}$ , i.e., for any  $\alpha$ ,  $\beta$ ,  $\gamma$  for any  $\mathfrak{g}_1 \in \operatorname{Mor}(\beta, \alpha)$ ,  $\mathfrak{g}_2 \in \operatorname{Mor}(\gamma, \beta)$  we have

$$\widetilde{\rho}_{\alpha\beta}(\mathfrak{g}_1)\,\widetilde{\rho}_{\beta\gamma}(\mathfrak{g}_2)=\widetilde{\rho}_{\alpha\gamma}(\mathfrak{g}_1\circ\mathfrak{g}_2).$$

(b)  $\widetilde{\rho}$  is a \*-representation, i.e.,

$$\widetilde{\rho}_{\alpha\beta}(\mathfrak{g})^* = \widetilde{\rho}_{\beta\alpha}(\mathfrak{g}^*).$$

The statement (a) is an automatic corollary of Proposition 2.1; see [27, Theorem 2.1]. The statement (b) is obvious.

Remark. The considerations of Subsections 2.1, 2.2 are one-to-one repetitions of similar statements for real classical groups and symmetric groups; see [30], [28], [23], [26]. Further considerations drastically differ from these theories.

2.3. Structure of the paper. We derive the classification of unitary representations of  $\mathbb{G}$  from the multiplicativity theorem and the following argumentation. The semigroups  $\Gamma(m) := \operatorname{End}_{\mathcal{K}}(m)$  are finite. It is known that a finite semigroup with an involution has a faithful \*-representation in a Hilbert space if and only if it is an inverse semigroup (see discussion below, Subsection 3.3). More generally, if a category having finite sets of morphisms acts faithfully in Hilbert spaces, then it must be an inverse category; see [12]. However, semigroups  $\operatorname{End}_{\mathcal{K}}(\alpha)$  are not inverse, 6 and \*-representations of  $\mathcal{K}$  pass through a smaller category.

Section 3 contains preliminary remarks on inverse semigroup and construction of an inverse category  $\mathcal{L}$ , which is a quotient of  $\mathcal{K}$ . This provides us lower estimate of maximal inverse semigroup quotients of semigroups  $\Gamma(m)$ .

In Section 4 we examine idempotents in maximal inverse semigroup quotients  $\operatorname{inv}(\Gamma(m))$  of  $\Gamma(m)$ . In Section 5 we show that some of idempotents of  $\operatorname{inv}(\Gamma(m))$  act by the same operators in all representations of  $\mathbb{G}$ . Next, for any representation of  $\mathbb{G}$  there is a minimal m such that  $H_m \neq 0$ . In Section 6 we examine the image of  $\Gamma(m)$  in such representation.

In Section 7 we discuss properties of the groups  $\mathbb{G}^{\circ}[L; M]$  and  $\mathbb{G}^{\bullet}[L; M]$ . The final part of the proof is contained in Section 8.

#### 3. The reduced category and inverse semigroups

3.1. **Notation.** Below we work only with the group  $\mathbb{G} := \mathrm{GL}(\infty, \mathbb{Z}_{p^{\mu}})$ . To simplify notation, we write

$$GL(m) := GL(m, \mathbb{Z}_{p^{\mu}}), \qquad \Gamma(m) := End_{\mathcal{K}}(m), \qquad \mathfrak{l}^m := (\mathbb{Z}_{p^{\mu}})^m.$$

For a unitary representation  $\rho$  of a  $\mathbb{G}$  we define the height  $h(\rho)$  as the minimum of  $\alpha$  such that  $H_{\alpha} \neq 0$ .

By  $x \pmod{p}$  we denote a reduction of an object (a scalar, a vector, a matrix) defined over  $\mathbb{Z}_{p^{\mu}}$  modulo p, i.e. to the field  $\mathbb{F}_p$ . Notice that a square matrix A of finite size over  $\mathbb{Z}_{p^{\mu}}$  is invertible if and only if  $A \pmod{p}$  is invertible. A matrix B is nilpotent (i.e.,  $B^N = 0$  for sufficiently large N) if and only if  $B \pmod{p}$  is nilpotent. Indeed, if  $B^k = 0 \pmod{p}$ , then  $B^k$  has the form pC for some matrix C. Hence,  $(B^k)^{\mu+1} = p^{\mu+1}C^{\mu+1} = 0$ .

<sup>&</sup>lt;sup>6</sup>This was observed by Ol'shanski [30] for  $GL(\infty, \mathbb{F}_p)$ .

We use several symbols for equivalences in  $\operatorname{Mor}_{\mathcal{K}}(\beta,\alpha)$ ; the  $\sim$  was defined by (2.1); the symbols

$$\equiv$$
,  $\approx$ ,  $\approx_m$ 

are defined in the next two subsections.

3.2. The reduced category  $\operatorname{red}(\mathcal{K})$ . Let  $\mathfrak{g}_1$ ,  $\mathfrak{g}_2 \in \operatorname{Mor}(\beta, \alpha)$ . We say that they are  $\approx$ -equivalent if for any unitary representation of  $\mathbb{G}$  we have  $\widetilde{\rho}_{\alpha\beta}(\mathfrak{g}_1) = \widetilde{\rho}_{\alpha\beta}(\mathfrak{g}_2)$ . The reduced category  $\operatorname{red}(\mathcal{K})$  is the category whose objects are nonnegative integers and morphisms  $\beta \to \alpha$  are  $\approx$ -equivalence classes of  $\operatorname{Mor}(\beta, \alpha)$ . Denote by  $\operatorname{red}(\Gamma(m))$  semigroups of endomorphisms of  $\operatorname{red}(\mathcal{K})$ .

Also we define a weaker equivalence,  $\mathfrak{g}_1 \approx_m \mathfrak{g}_2$  if  $\widetilde{\rho}_{\alpha\beta}(\mathfrak{g}_1) = \widetilde{\rho}_{\alpha\beta}(\mathfrak{g}_1)$  for all  $\rho$  of height  $\geqslant m$ . Denote by  $\operatorname{red}_m(\mathcal{K})$  the corresponding m-reduced category.

Our proof of Theorem 1.5 is based on an examination of the categories  $\operatorname{red}(\mathcal{K})$  and  $\operatorname{red}_m(\mathcal{K})$ . We obtain an information sufficient for a classification of representations of  $\mathbb{G}$ . However, the author does not know an answer to Question 3.1.

**Question 3.1.** Find a transparent description of the category  $red(\mathcal{K})$ .

- 3.3. Inverse semigroups. Let  $\mathcal{P}$  be a finite semigroup with an involution  $x \mapsto x^*$ . Then the following conditions are equivalent.
  - (A)  $\mathcal{P}$  admits a faithful representation in a Hilbert space.
- (B)  $\mathcal{P}$  admits an embedding to a semigroup of partial bijections<sup>7</sup> of a finite set compatible with the involutions in  $\mathcal{P}$  and in partial bijections.
  - (C)  $\mathcal{P}$  is an inverse semigroup (see [6], [17], [16]), i.e., for any x we have

$$(3.1) xx^*x = x, x^*xx^* = x^*$$

and any two idempotents in  $\mathcal P$  commute.

Discuss briefly some properties of inverse semigroups. Any idempotent in  $\mathcal{P}$  is self-adjoint, and for any x, the element  $x^*x$  is an idempotent. Since idempotents commute, a product of idempotents is an idempotent. The semigroup of idempotents has a natural partial order,

$$x \leq y$$
 if  $xy = x$ .

We have  $xy \leq x$ . If  $x \leq y$  and  $u \leq v$ , then  $xu \leq yv$ . Since our semigroup is finite, the product of all idempotents is a *minimal idempotent*  $\mathbf{0}$ ; we have  $\mathbf{0}x = x\mathbf{0} = \mathbf{0}$  for any x.

Let  $\mathcal{R}$  be a finite semigroup with involution. Then there exists an inverse semi-group inv( $\mathcal{R}$ ) and epimorphism  $\pi: \mathcal{R} \to \operatorname{inv}(\mathcal{R})$  such that any homomorphism  $\psi$  from  $\mathcal{R}$  to an inverse semigroup  $\mathcal{Q}$  has the form  $\psi = \varkappa \pi$  for some homomorphism  $\varkappa : \operatorname{inv}(\mathcal{R}) \to \mathcal{Q}$ . We say that  $\operatorname{inv}(\mathcal{R})$  is the maximal inverse semigroup quotient of  $\mathcal{R}$ .

**Lemma 3.1.** The semigroups  $\Gamma(m)$  are finite.

This is a corollary of the following statement; see [27, Lemma 4.1.a].

**Lemma 3.2.** Any double coset in  $\mathbb{G}(m) \setminus \mathbb{G}/\mathbb{G}(m)$  has a representative in  $\mathrm{GL}(3m)$ .

<sup>&</sup>lt;sup>7</sup>Recall that a partial bijection  $\sigma$  from a set A to a set B is a bijection from a subset S of A to a subset T of B; see e.g., [17] or [20, Sect. VIII.1]. The adjoint partial bijection  $\sigma^*: B \to A$  is the inverse bijection T to S.

We consider the following quotients of  $\Gamma(m)$ :

- (1)  $\operatorname{inv}(\Gamma(m))$  is the maximal inverse semigroup quotient of  $\Gamma(m)$ ;
- (2)  $\operatorname{red}(\Gamma(m)) := \operatorname{End}_{\operatorname{red} \mathcal{K}}(m);$
- (3)  $\operatorname{red}_m(\Gamma(m)) := \operatorname{End}_{\operatorname{red}_m(\mathcal{K})}(m)$ .

We have the following sequence of epimorphisms<sup>8</sup>:

$$\Gamma(m) \to \operatorname{inv}(\Gamma(m)) \to \operatorname{red}(\Gamma(m)) \to \operatorname{red}_m(\Gamma(m)).$$

For  $g \in \mathbb{G}_{fin}$  we denote by  $[g]_{mm}$  the corresponding element of  $\Gamma(m)$  and by  $[[g]]_{mm}$  the corresponding element of  $\operatorname{inv}(\Gamma(m))$ . The equality in  $\Gamma(m)$  we denote by  $\sim$ , in  $\operatorname{inv}\Gamma(m)$  by  $\equiv$ , in  $\operatorname{red}(\Gamma(m))$  by  $\approx$ , in  $\operatorname{red}_m(\Gamma(m))$  by  $\approx_m$ . Denote by  $[[g_1]] \diamond [[g_2]]$  the product in  $\operatorname{inv}(\Gamma(m))$ .

Our next purpose is to present some (non-maximal) inverse semigroup quotients of  $\Gamma(m)$ .

3.4. The category  $\mathcal{L}$  of partial isomorphisms. Let V, W be modules over  $\mathbb{Z}_{p^{\mu}}$ . A partial isomorphism  $p:V\to W$  is an isomorphism of a submodule  $A\subset V$  to a submodule  $B\subset W$ . We denote dom p:=A, im p:=B. By  $p^*$  we denote the inverse map  $B\to A$ . Let  $p:V\to W,$   $q:W\to Y$  be partial isomorphisms. Then the product pq is defined in the following way:

$$\operatorname{dom} pq := p^*(\operatorname{dom} q) \cap \operatorname{dom} p,$$

for  $v \in \text{dom } pq$  we define v(pq) = (vp)q.

A partial isomorphism p is an idempotent if dom p = im p and p is an identical map.

Objects of the category  $\mathcal{L}$  are modules

$$\mathfrak{l}_+^{\alpha} \oplus \mathfrak{l}_-^{\alpha} := (\mathbb{Z}_{p^{\mu}})^{\alpha} \oplus (\mathbb{Z}_{p^{\mu}})^{\alpha}$$

equipped with the following pairing

$$\{v_+; v_-\} := \sum_j v_+^j v_-^j = v_+(v_-)^t,$$

where  $v_{\pm} \in \mathfrak{l}_{+}^{\alpha}$ . We say that two partial isomorphisms

$$\xi_{+}: \mathfrak{l}_{+}^{\alpha} \to \mathfrak{l}_{+}^{\beta}, \qquad \xi_{-}: \mathfrak{l}_{-}^{\alpha} \to \mathfrak{l}_{-}^{\beta}$$

are *compatible* if for any  $y_{+} \in \text{dom } \xi_{+}$  and  $y_{-} \in \text{dom } \xi_{-}$ , we have

$$\{\xi_+(y_+), \xi_-(y_-)\} = \{y_+, y_-\}.$$

Next, we define a category  $\mathcal{L}$ . Its objects are spaces  $\mathfrak{l}_+^{\alpha} \oplus \mathfrak{l}_-^{\alpha}$  and morphisms are pairs of compatible partial isomorphisms  $\xi_+ : \mathfrak{l}_+^{\alpha} \to \mathfrak{l}_+^{\beta}, \ \xi_- : \mathfrak{l}_-^{\alpha} \to \mathfrak{l}_-^{\beta}$ .

The category  $\mathcal{L}$  is equipped with an involution

$$(\xi_+, \xi_-)^* = (\xi_+^*, \xi_-^*)$$

and an automorphism

$$(\xi_+, \xi_-)^{\bigstar} = (\xi_-, \xi_+).$$

**Lemma 3.3.** The semigroups  $\operatorname{End}_{\mathcal{L}}(m)$  are inverse.

Indeed,  $\operatorname{End}_{\mathcal{L}}(m)$  is a semigroup of partial bijections of a finite set  $\mathfrak{l}_{+}^{m} \oplus \mathfrak{l}_{-}^{m}$ . The whole category  $\mathcal{L}$  is inverse for the same reason.

<sup>&</sup>lt;sup>8</sup>All these semigroups are different.

3.5. The functor  $\Pi: \mathcal{K} \to \mathcal{L}$ . Consider  $g \in \mathbb{G}_{fin}$ . Let actually g be contained in GL(N). Represent g as a block  $(\beta + (N - \beta)) \times (\alpha + (N - \alpha))$  matrix and  $g^{-1}$  as an  $(\alpha + (N - \alpha)) \times (\beta + (N - \beta))$ -matrix,

$$g = \begin{pmatrix} a & b \\ c & d_\star \end{pmatrix}, \qquad g^{-1} = \begin{pmatrix} A & B \\ C & D_\star \end{pmatrix}.$$

Define maps  $\xi_{\pm}: \mathfrak{l}_{\pm}^{\alpha} \to \mathfrak{l}_{\pm}^{\beta}$  by:

- dom  $\xi_+ := \ker b$  and  $\xi_+$  is the restriction of a to  $\ker b$ ;
- dom  $\xi_{-} := \ker C^{t}$  and  $\xi_{-}$  is the restriction of  $A^{t}$  to  $\ker C^{t}$ .

#### Proposition 3.4.

- (a) The pair  $\xi_+$ ,  $\xi_-$  depends only on the double coset containing  $\mathfrak{g}$ .
- (b) Partial isomorphisms  $\xi_+$ ,  $\xi_-$  are compatible.
- (c) The map  $\mathfrak{g} \mapsto (\xi_+, \xi_-)$  determines a functor from the category  $\mathfrak{K}$  to the category  $\mathcal{L}$ .

Denote this functor by  $\Pi$ . By  $\Pi(\mathfrak{g})$  we denote the morphism of  $\mathcal L$  corresponding to  $\mathfrak{g}$ . We have

(3.2) 
$$\Pi(\mathfrak{g}^*) = (\Pi(\mathfrak{g}))^*, \qquad \Pi(\mathfrak{g}^*) = (\Pi(\mathfrak{g}))^*.$$

*Proof.* For any invertible matrix v we have,  $\ker b = \ker bv$ . Therefore  $\xi_+$  depends only on a double coset. For  $\xi_-$  we apply (3.2).

(b) Let  $v \in \ker b$ ,  $w \in \ker C^t$ . Then

$$\{v, w\} = vw^t = v(aA + bC)w^t = va \cdot (wA^t)^t + vb \cdot (wC^t)^t = \{va, wA^t\} + 0.$$

(c) We look to formula (2.4) for a product in  $\mathcal{K}$ . The new  $\xi_+$  is a restriction of ap to  $\ker b \cap \ker aq$ . This is the product of two  $\xi$ -es.

Remark. According Ol'shanski [30], for the case  $\mathrm{GL}(\infty,\mathbb{F}_p)$  the functor  $\Pi:\mathcal{K}\to\mathcal{L}$  determines an isomorphism of categories  $\mathrm{red}(\mathcal{K})\to\mathcal{L}$ . However, for  $\mu>1$  the maps  $\Pi:\mathrm{red}(\Gamma(m))\to\mathrm{Mor}_{\mathcal{L}}(m)$  are neither surjective nor injective. However we will observe that  $\Pi$  induces isomorphisms of semigroups of idempotents; this provides us an important argument for the proof of Proposition 6.1.

4. Idempotents in 
$$inv(\Gamma(m))$$

Here we examine idempotents in the semigroup inv( $\Gamma(m)$ ). The main statement of the section is Proposition 4.10.

4.1. **Projectors**<sup>9</sup>:  $P_{\alpha}$ . Consider an irreducible representation  $\rho$  of  $\mathbb{G}$ ; let subspaces  $H_m \subset H$  and orthogonal projectors  $P_m : H \to H_m$  be as above.

#### Lemma 4.1.

(a) The projector

$$P_{\alpha}\Big|_{H_m}: H_m \to H_{\alpha}$$

 $<sup>^9</sup>$ This subsection contains generalities;  $\mathcal{K}$  is an ordered category in the sense of [20, Sect. III.4]; this implies all statements of the subsection.

is given by the operator  $\widetilde{\rho}_{mm}(\Theta^{\alpha}_{[m]})$ , where

(4.1) 
$$\Theta_{[m]}^{\alpha} := \begin{bmatrix} 1_{\alpha} & 0 & 0 & 0\\ 0 & 0 & 1_{m-\alpha} & 0\\ \hline 0 & 1_{m-\alpha} & 0 & 0\\ 0 & 0 & 0 & 1_{\infty} \end{bmatrix}_{mm} \in \Gamma(m).$$

(b) The tautological embedding  $H_{\alpha} \to H_m$  is defined by the operator  $\widetilde{\rho}_{m\alpha}(\Lambda_{[m]}^{\alpha})$ , where

$$\Lambda^{\alpha}_{[m]} := \begin{bmatrix} 1_{\alpha} & 0 & 0 \\ \hline 0 & 1_{m\alpha} & 0 \\ 0 & 0 & 1_{\infty} \end{bmatrix}_{\alpha m} \in \operatorname{Mor}_{\mathcal{K}}(\alpha, m).$$

(c) The orthogonal projector  $H_m \to H_\alpha$  is given by  $\widetilde{\rho}_{\alpha m} \left( (\Lambda_{[m]}^{\alpha})^* \right)$ 

$$(\Lambda_m^{\alpha})^* := \begin{bmatrix} 1_{\alpha} & 0 & 0 \\ 0 & 1_{m-\alpha} & 0 \\ \hline 0 & 0 & 1_{\infty} \end{bmatrix}_{m\alpha} \in \operatorname{Mor}_{\mathcal{K}}(m, \alpha).$$

*Proof.* (a) We apply Proposition 2.1(a). For  $j > m - \alpha$  we have  $[\theta^{\alpha}(j)]_{mm} = \Theta^{\alpha}_{[m]}$ . The same argument proves (b) and (c).

# Lemma 4.2.

(a) The map

$$\iota_{m}^{\alpha}: \left[\begin{array}{c|ccc} a & b \\ \hline c & d_{\star} \end{array}\right]_{\alpha\alpha} \mapsto \left[\begin{array}{c|ccc} a & 0 & b & 0 \\ \hline 0 & 0 & 0 & 1_{m-\alpha} \\ \hline c & 0 & d & 0 \\ \hline 0 & 1_{m-\alpha} & 0 & 0_{\star} \end{array}\right]_{mm}$$

is a homomorphism  $\Gamma(\alpha) \to \Gamma(m)$ .

(b) We have

$$\iota_m^{\alpha}(\mathfrak{g}) \sim \Lambda_n^{\alpha} \circ \mathfrak{g} \circ (\Lambda_n^{\alpha})^*$$
.

This follows from a straightforward calculation.

Corollary 4.3. The map  $\iota_m^{\alpha}$  is compatible with representations  $\widetilde{\rho}$  of  $\Gamma(\alpha)$  and  $\Gamma(m)$ . Namely, operators  $\widetilde{\rho}_{mm}(\iota_m^{\alpha}(\mathfrak{g}))$  have the following block structure with respect to the decomposition  $H_m = H_{\alpha} \oplus (H_m \ominus H_{\alpha})$ :

$$\widetilde{\rho}_{mm}(\iota_m^{\alpha}(\mathfrak{g})) = \begin{pmatrix} \widetilde{\rho}_{\alpha\alpha}(\mathfrak{g}) & 0 \\ 0 & 0 \end{pmatrix}.$$

4.2. **Idempotents in** inv( $\Gamma(m)$ ). Here we formulate several lemmas (their proofs occupy Subsections 4.3–4.7); as a corollary we get Proposition 4.10.

Lemma 4.4. Let for

$$[g] = \begin{bmatrix} a & b \\ c & d_{\star} \end{bmatrix}_{mm} \in \Gamma(m)$$

one of the blocks a, d be degenerate. Then  $[[g]]_{mm} \in \operatorname{inv}(\Gamma(m))$  has a representative [g'], for which both blocks a, d are degenerate.

Denote by

$$\Gamma^{\circ}(m)$$

the subsemigroup in  $\Gamma(m)$  consisting of all [g], for which both blocks  $a,\ d$  are nondegenerate.

**Lemma 4.5.** Any idempotent in  $inv(\Gamma(m))$  has a representative of the form  $q \cdot [[R]] \cdot q^{-1}$  with q ranging GL(m) and R having the form

(4.2) 
$$[R] := \begin{bmatrix} 1_{\alpha} & 0 & \varphi & 0 \\ 0 & 0 & 0 & 1_{m-\alpha} \\ \hline \psi & 0 & \varkappa & 0 \\ 0 & 1_{m-\alpha} & 0 & 0_{\star} \end{bmatrix}_{mm} \in \Gamma(m),$$

where

$$\left[\begin{array}{c|c} 1 & \varphi \\ \hline \psi & \varkappa_{\star} \end{array}\right] \in \Gamma(\alpha)$$

represents an idempotent in  $inv(\Gamma^{\circ}(\alpha))$ . The parameter  $\alpha$  ranges in the set 0, 1, 2, ..., m.

Remark. Denote

$$R^{\square} := \begin{bmatrix} 1_{\alpha} & 0 & \varphi \\ 0 & 1_{m-\alpha} & 0 \\ \hline \psi & 0 & \varkappa_{\star} \end{bmatrix}_{mm}.$$

Then the following elements of  $\Gamma(m)$  coincide:

$$(4.3) R = R^{\square} \Theta_m^{\alpha} = \Theta_m^{\alpha} R^{\square} = \Theta_m^{\alpha} R^{\square} \Theta_m^{\alpha}.$$

Denote

$$X(b,c) := \begin{pmatrix} 1_m & b & 0 \\ \hline 0 & 1 & 0 \\ c & 0 & 1_{\star} \end{pmatrix} \in \mathbb{G}_{\text{fin}}.$$

**Lemma 4.6.** Elements of the form [X(b,c)] are idempotents in  $\Gamma^{\circ}(m)$ . They depend only on ker b and ker  $c^{t} \subset \mathfrak{l}^{m}$ .

Let  $L := \ker b$  and  $M := \ker c^t$ . Denote

$$\chi[L,M] := [X(b,c)].$$

Lemma 4.7. We have

$$\mathfrak{X}[L_1, M_1] \ \mathfrak{X}[L_2, M_2] = \mathfrak{X}[L_1 \cap L_2, M_1 \cap M_2].$$

**Lemma 4.8.** Any idempotent in  $\operatorname{inv}(\Gamma^{\circ}(m))$  has the form  $\mathfrak{X}[L,M]$ .

Corollary 4.9. Idempotents  $\mathfrak{X}[L,M]$  are pairwise distinct in  $\operatorname{inv}(\Gamma^{\circ}(m))$ .

*Proof.* Indeed,  $\operatorname{End}_{\mathcal{L}}(m)$  is an inverse semigroup; therefore we have a chain of maps

$$\Gamma^{\circ}(m) \to \operatorname{inv}(\Gamma^{\circ}(m)) \to \operatorname{inv}(\Gamma(m)) \to \operatorname{Mor}_{\mathcal{L}}(m).$$

The image of X(b,c) in  $\operatorname{Mor}_{\mathcal{L}}(m)$  is precisely the pair of identical partial isomorphisms  $M \to M, L \to L$ . Therefore for nonequivalent X(b,c) we have different images.

**Proposition 4.10.** Any idempotent in  $inv(\Gamma(m))$  has a representative of the form

$$q \cdot \begin{bmatrix} 1_{\alpha} & 0 & b & 0 & 0 \\ 0 & 0 & 0 & 0 & 1_{m-\alpha} \\ \hline 0 & 0 & 1 & 0 & 0 \\ c & 0 & 0 & 1 & 0 \\ 0 & 1_{m-\alpha} & 0 & 0 & 0_{\star} \end{bmatrix}_{mm} \cdot q^{-1},$$

where  $q \in GL(m) = Aut_{\mathcal{K}}(m)$ .

*Proof.* Lemma 4.2 defines a canonical embedding  $i_m^{\alpha}: \Gamma(\beta) \to \Gamma(m)$  for  $\alpha < m$ . By Lemma 4.5 any idempotent in  $\operatorname{inv}(\Gamma(m))$  is equivalent to an idempotent lying in some  $i_m^{\alpha}(\Gamma^{\circ}(\alpha))$ . Lemma 4.8 gives us a canonical form of this idempotent.

Now we start proofs of Lemmas 4.4–4.8,

4.3. **Proof of Lemma 4.4.** Clearly  $\Gamma(m) \setminus \Gamma^{\circ}(m)$  is a two-sided ideal in  $\Gamma(m)$ . Since  $[[g \circ (g^{-1} \circ g)]]_{mm} = [[g]]_{mm}$ , it is sufficient to prove the statement for idempotents.

Let

$$(4.5) g = \begin{pmatrix} a & b \\ c & d_{\star} \end{pmatrix}, g^{-1} =: \begin{pmatrix} A & B \\ C & D_{\star} \end{pmatrix}.$$

Then

$$[[g]] \diamond [[g]]^* \equiv [[g \circ g^{-1}]] \equiv \left[ \left[ \begin{array}{c|c} aA & * \\ \hline * & * \end{array} \right] \right].$$

If a is degenerate, then aA is degenerate. Now let a be non-degenerate, d degenerate. Since the matrices (4.5) are inverse one to another, we have

$$aA = 1 - bC$$
,  $Dd = 1 - Cb$ .

We see that  $(1-Cb) \pmod{p}$  is degenerate,  $(1-bC) \pmod{p}$  also is degenerate, and therefore aA is degenerate.

# 4.4. Proof of Lemma 4.5.

Step 1.

**Lemma 4.11.** Let x be an idempotent in  $inv(\Gamma(m))$ . Then it can be represented as [[u]], where  $u = u^{-1}$ .

*Proof.* Let x = [[g]]. Then

$$x = [[g]] \diamond [[g]]^* = [[g \circ g^{-1}]] = [[g\theta^m(j)g^{-1}]]$$

for sufficiently large j. We set  $u := g\theta^m(j)g^{-1}$ .

**Lemma 4.12.** Let  $g = g^{-1} \in \mathbb{G}_{fin}$ . For any N > 0 there exists a representative  $r \in \mathbb{G}_{fin}$  of  $[g]^{\circ 2N}$  such that  $r = r^{-1}$ .

*Proof.* Let actually  $g \in GL(m+l)$ . Then we choose the following representative of  $[g]^{\circ 8}$ :

$$r = g \ \theta^{m}(l) \ g \ \theta^{m}(2l) \ g \ \theta^{m}(4l) \ g \ \theta^{m}(8l) \ g\theta^{m}(4l) \ g \ \theta^{m}(2l) \ g \ \theta^{m}(l) \ g.$$

Step 2.

**Lemma 4.13.** Let  $g = g^{-1} = \begin{pmatrix} a & b \\ c & d_{\star} \end{pmatrix} \in \mathbb{G}$ . Then there exists a matrix

$$Z = \begin{pmatrix} \zeta & 0 \\ \hline 0 & 1_{\star} \end{pmatrix} \in \operatorname{Aut}_{\mathcal{K}}(m), \quad where \ \zeta \in \operatorname{GL}(m),$$

and N such that

$$[[Z \cdot g \cdot Z^{-1}]]^{\diamond N} = \left[ \begin{bmatrix} \begin{pmatrix} \zeta & 0 \\ 0 & 1_{\star} \end{pmatrix} \begin{pmatrix} a & b \\ c & d_{\star} \end{pmatrix} \begin{pmatrix} \zeta & 0 \\ 0 & 1_{\star} \end{pmatrix}^{-1} \right] \right]^{\diamond N}$$

has a form

$$r = \left[ \begin{bmatrix} 0 & 0 & * \\ 0 & 1_k & * \\ \hline * & * & * \end{bmatrix} \right],$$

where k is the rank of the reduced matrix  $a^m \pmod{p}$ .

Clearly our lemma is a corollary of the following statement:

**Lemma 4.14.** For any  $m \times m$  matrix a over  $\mathbb{Z}_{p^{\mu}}$  there exists  $\zeta \in GL(m)$  and N such that

$$(\zeta a \zeta^{-1})^N = \begin{pmatrix} 0 & 0 \\ 0 & 1_k \end{pmatrix}.$$

*Proof.* We split the operator  $a \pmod{p}$  over the field  $\mathbb{F}_p$  as a direct sum of a nilpotent part S and an invertible part T. For sufficiently large M the matrix  $\begin{pmatrix} S & 0 \\ 0 & T \end{pmatrix}^M$ 

has the form  $\begin{pmatrix} 0 & 0 \\ 0 & P \end{pmatrix}$  with a nondegenerate P. Since the group  $\mathrm{GL}(k,\mathbb{F}_p)$  is finite,  $P^L=1_k$  for some L.

Thus without a loss of generality, we can assume that a has a form

$$a = \begin{pmatrix} p\alpha & p\beta \\ p\gamma & 1 + p\delta \end{pmatrix},$$

where  $\alpha$ ,  $\beta$ ,  $\gamma$ ,  $\delta$  are matrices over  $\mathbb{Z}_{p^{\mu}}$ . We conjugate it as follows

$$\begin{pmatrix} 1 & pu \\ 0 & 1 \end{pmatrix} \begin{pmatrix} p\alpha & p\beta \\ p\gamma & 1+p\delta \end{pmatrix} \begin{pmatrix} 1 & -pu \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} * & \boxed{-p^2(\alpha u + u\gamma u) + p(\beta + u(1+p\delta))} \\ * & * \end{pmatrix}.$$

We wish to choose u to make zero in the boxed block. It is sufficient to find a matrix u satisfying the following equation:

$$(4.6) \quad u = (-\beta + p(\alpha u + u\gamma u))(1 + p\delta)^{-1} = -\beta + p(-\delta + \alpha u + u\gamma u)(1 + p\delta)^{-1}.$$

We look for a solution in the form

$$u = \sum_{k=0}^{\mu} p^k S_k.$$

First, we consider  $S_k$  as formal noncommutative variables. Then we get a system of equations of the form

$$S_0 = -\beta,$$
  $S_k = F_k(\alpha, \beta, \gamma, \delta; S_0, S_1, \dots, S_{k-1}),$ 

where  $F_k$  are polynomial expressions with integer coefficients. These equations can be regarded as recurrence formulas for  $S_k$ . In this way we get a solution u.

Thus without a loss of generality we can assume that a has the form

$$a = \begin{pmatrix} p\alpha' & 0 \\ p\gamma' & 1 + p\delta' \end{pmatrix}.$$

Raising it to  $\mu$ -th power, we come to a matrix of the form

$$a = \begin{pmatrix} 0 & 0 \\ p\gamma'' & 1 + p\delta'' \end{pmatrix}.$$

We conjugate it as

$$\begin{pmatrix} 1 & 0 \\ pv & 1 \end{pmatrix} \begin{pmatrix} 0 & 0 \\ p\gamma^{\prime\prime} & 1+p\delta^{\prime\prime} \end{pmatrix} \begin{pmatrix} 1 & 0 \\ -pv & 1 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ p(\gamma^{\prime\prime}-(1+p\delta^{\prime\prime})v) & (1+\delta^{\prime\prime}v) \end{pmatrix}.$$

Taking  $v = (1 + p\delta'')^{-1}\gamma''$  we kill the left lower block and come to a matrix of the form  $\begin{pmatrix} 0 & 0 \\ 0 & 1 + p\delta''' \end{pmatrix}$ . Raising it in  $p^{\mu-1}$ -th power we come to  $\begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$ .

Step 3. Thus the element  $[[g]]^{\diamond 2N}$  from Lemma 4.13 has a representative of the following block  $(m-k)+k+(m-k)+\infty$  form:

$$r = r^{-1} = \begin{pmatrix} 0 & 0 & \beta_{11} & \beta_{12} \\ 0 & 1_k & \beta_{21} & \beta_{22} \\ \hline \gamma_{11} & \gamma_{12} & \delta_{11} & \delta_{12} \\ \gamma_{21} & \gamma_{22} & \delta_{21} & \delta_{22\star} \end{pmatrix}.$$

**Lemma 4.15.** There is a matrix  $U = \begin{pmatrix} 1_m & 0 \\ \hline 0 & u_{\star} \end{pmatrix}$  such that  $UrU^{-1}$  has the form

(4.7) 
$$\widetilde{r} = \begin{pmatrix} 0 & 0 & 1_{m-k} & 0 \\ 0 & 1_k & 0 & \varphi \\ \hline 1_{m-k} & 0 & 0 & 0 \\ 0 & \psi & 0 & \varkappa_{\star} \end{pmatrix}.$$

Recall that  $[r] \sim [UrU^{-1}].$ 

Proof. Since the matrix  $(\beta_{11} \quad \beta_{12})$  is nondegenerate (otherwise r is degenerate), we can choose a conjugation of r by matrices  $U = \begin{pmatrix} 1_m & 0 \\ 0 & * \end{pmatrix}$  reducing this block to the form  $(1 \quad 0)$ . We have  $r^2 = 1$ ; evaluating  $r^2$  we get  $\gamma_{11}$  in the left upper block. Therefore  $\gamma_{11} = 1$ . Thus we come to new r,

$$r^{\sim} = \begin{pmatrix} 0 & 0 & 1 & 0\\ 0 & 1_k & \beta_{21} & \beta_{22}\\ \hline 1 & \gamma_{12} & \delta_{11} & \delta_{12}\\ \gamma_{21} & \gamma_{22} & \delta_{21} & \delta_{22*} \end{pmatrix}$$

with new  $\beta$ ,  $\gamma$ ,  $\delta$ . Next, we conjugate this matrix by

$$\begin{pmatrix}
1_m & 0 & 0 \\
0 & 1_{m-k} & 0 \\
0 & -\gamma_{21} & 1_{\star}
\end{pmatrix}$$

and kill  $\gamma_{21}$ . Thus we come to new r,

$$r^{\sim \sim} = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 1_k & \beta_{21} & \beta_{22} \\ \hline 1 & \gamma_{12} & \delta_{11} & \delta_{12} \\ 0 & \gamma_{22} & \delta_{21} & \delta_{22*} \end{pmatrix}.$$

But  $(r^{\sim \sim})^2 = 1$ . Looking to third row and third column of  $(r^{\sim \sim})^2$  we observe that  $\beta_{21}, \delta_{11}, \delta_{21}, \gamma_{12}, \delta_{12}$  are zero.

Thus,  $r^{\sim}$  has the desired form.

#### 4.5. **Proof of Lemma 4.6.** Denote

$$[X_{+}(A)] := \left[ \begin{array}{cc} 1 & A \\ 0 & 1_{\star} \end{array} \right].$$

We can conjugate this matrix by  $\begin{pmatrix} 1 & 0 \\ 0 & u_{\star} \end{pmatrix}$ . Therefore a matrix A is defined up to multiplications  $A \sim Au$ , where u is an invertible matrix. The invariant of this action is ker A (this is more or less clear; formally we can refer to Lemma 7.3 proved below).

Next,

$$[X_{+}(A)] \circ [X_{+}(A)] = \begin{bmatrix} 1 & A & A \\ 0 & 1 & 0 \\ 0 & 0 & 1_{\star} \end{bmatrix}.$$

We have  $\ker \begin{pmatrix} A & A \end{pmatrix} = \ker A$  and therefore  $[X_+(A)]$  is an idempotent. In the same way,  $[X_-(B)] := \begin{bmatrix} 1 & 0 \\ B & 1_\star \end{bmatrix}$  is an idempotent. It remains to notice that

$$[X(A,B)] = [X_{+}(A)] \diamond [X_{-}(B)].$$

Thus [X(A,B)] is an idempotent.

# 4.6. **Proof of Lemma 4.6.** In notation of the previous subsection

$$X_{-}(A_1) \circ X_{-}(A_2) \sim X((A_1 \ A_2)),$$

i.e.,

$$\mathfrak{X}[\ker A_1, 0] \diamond \mathfrak{X}[\ker A_2, 0] \equiv \mathfrak{X}[\ker A_1 \cap \ker A_2, 0],$$

or

$$\mathfrak{X}[L_1,0] \diamond \mathfrak{X}[L_2,0] \equiv \mathfrak{X}[L_1 \cap L_2,0].$$

On the other hand, we have

$$\mathfrak{X}[L,0] \diamond \mathfrak{X}[0,M] \equiv \mathfrak{X}[L,M],$$

and now the statement becomes obvious.

Proof of Lemma 4.7. Indeed,

$$[X(b_1, c_1)] \circ [X(b_1, c_1)] \sim \left[ X \begin{pmatrix} b_1 & b_2 \end{pmatrix}, \begin{pmatrix} c_1 \\ c_2 \end{pmatrix} \right]$$

and  $\ker (b_1 \quad b_2) = \ker b_1 \cap \ker b_2$ .

#### 4.7. Proof of Lemma 4.8.

Step 1. Any idempotent  $[[g]] \in \operatorname{inv}(\Gamma^{\circ}(m))$  has a representative of the form  $\begin{pmatrix} 1 & a \\ b & 1_{\star} \end{pmatrix}$ , where ab = 0.

Let  $[[g]] = \begin{bmatrix} \alpha & \beta \\ \gamma & \delta_{\star} \end{bmatrix}$  be an idempotent; let  $\alpha$ ,  $\delta$  be nondegenerate. By Lemma 4.11 without loss of generality we can assume  $g = g^{-1}$ . Taking an appropriate power  $[r] = [g]^{\circ 2N}$ , we can achieve  $\alpha = 1$ . By Lemma 4.12, we can assume  $r = r^{-1}$ .

Set  $r = \begin{pmatrix} 1 & -a \\ b & c_{\star} \end{pmatrix}$ . Evaluating  $r^2 = 1$  we get the following collection of conditions

$$ab = 0$$
,  $ac = -a$ ,  $cb = -b$ ,  $c^2 - ba = 1$ .

We replace r by an equivalent matrix

$$r \sim \begin{pmatrix} 1 & -a \\ b & c_\star \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & c_\star^{-1} \end{pmatrix} = \begin{pmatrix} 1 & -ac^{-1} \\ b & 1_\star \end{pmatrix} = \begin{pmatrix} 1 & a \\ b & 1_\star \end{pmatrix},$$

here we used the identity  $-ac^{-1} = a$ .

Step 2. We evaluate  $[r]^{\circ 2}$ ,

$$[r]^{\circ 2} = \left[ \begin{pmatrix} \frac{1}{b} & \frac{a}{1} & 0 \\ 0 & 0 & 1_{\star} \end{pmatrix} \begin{pmatrix} \frac{1}{0} & \frac{0}{1} & 0 \\ 0 & 1 & 0 \\ b & 0 & 1_{\star} \end{pmatrix} \right] = \left[ \begin{array}{c|c} \frac{1}{b} & \frac{a}{1} & \frac{a}{b} \\ \hline b & 1 & ba \\ b & 0 & 1_{\star} \end{array} \right] \\ \sim \left[ \begin{pmatrix} \frac{1}{b} & \frac{a}{1} & \frac{a}{b} \\ b & 0 & 1_{\star} \end{pmatrix} \begin{pmatrix} \frac{1}{0} & 0 & 0 \\ 0 & 1 & -ba \\ 0 & 0 & 1_{\star} \end{pmatrix} \right] = \left[ \begin{array}{c|c} \frac{1}{b} & \frac{a}{1} & -aba \\ \hline b & 1 & 0 \\ b & 0 & 1_{\star} \end{array} \right].$$

But ab = 0 and therefore aba = 0. Repeating the same reasoning, we get

$$(4.8) [[r]]^{\diamond N} \equiv [[q]] \equiv \begin{bmatrix} 1_m & a & \dots & a \\ \hline b & 1 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ b & 0 & \dots & 1_{\star} \end{bmatrix}.$$

Step 3. Next, we set  $N = p^{\mu}$  in formula (4.8). Consider the following block matrix u of size  $p^{\mu}$ ,

We conjugate the matrix q defined by (4.8) as

$$\begin{pmatrix} 1 & 0 \\ 0 & u_{\star} \end{pmatrix} q \begin{pmatrix} 1 & 0 \\ 0 & u_{\star}^{-1} \end{pmatrix}.$$

We have

$$u \begin{pmatrix} b \\ b \\ b \\ \vdots \end{pmatrix} = \begin{pmatrix} b \\ 0 \\ 0 \\ \vdots \end{pmatrix}, \qquad (a \quad a \quad a \quad a \quad \dots) \ u^{-1} = \begin{pmatrix} 0 & -a & -2a & -3a & \dots \end{pmatrix},$$

and we get a matrix of the form X(A, B).

5. Idempotents in 
$$red(\Gamma(m))$$

Here the main statement is Proposition 5.1, which shows that all idempotents in  $\operatorname{red}(\Gamma(m))$  have representatives in  $\operatorname{red}(\Gamma^{\circ}(m))$ ; therefore they have the form  $\mathfrak{X}[L,M]$ ), where  $L\subset \mathfrak{l}^m$ ,  $M\subset \mathfrak{l}^m$ . The second fact (Proposition 5.3), which is important for the proof below, is a coherence of elements  $\mathfrak{X}[L,M]$  in different semigroups  $\operatorname{red}(\Gamma(n))$ .

# 5.1. Coincidence of idempotents.

**Proposition 5.1.** The following idempotents in  $inv(\Gamma(m))$  coincide as elements of  $red(\Gamma(m))$ :

$$\begin{split} [[X_{\alpha}^{\bigcirc}(b,c)]] := & \left[ \left[ X \left( \begin{pmatrix} b & 0 \\ 0 & 1_{m-\alpha} \end{pmatrix}, \begin{pmatrix} c & 0 \\ 0 & 1_{m-\alpha} \end{pmatrix} \right) \right] \right] \\ = & \left[ \begin{bmatrix} 1_{\alpha} & 0 & b & 0 & 0 & 0 \\ 0 & 1_{m-\alpha} & 0 & 1_{m-\alpha} & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ c & 0 & 0 & 0 & 1 & 0 \\ 0 & 1_{m-\alpha} & 0 & 0 & 0 & 1_{\star} \end{bmatrix} \right]_{mm}, \end{split}$$

and

$$[[X_{\alpha}^{\square}(b,c)]] := \left[ \begin{bmatrix} 1_{\alpha} & 0 & b & 0 & 0 \\ 0 & 0 & 0 & 0 & 1_{m-\alpha} \\ \hline 0 & 0 & 1 & 0 & 0 \\ c & 0 & 0 & 1 & 0 \\ 0 & 1_{m-\alpha} & 0 & 0 & 0_{\star} \end{bmatrix} \right]_{mm}$$

Corollary 5.2. Any idempotent in red( $\Gamma(m)$ ) has the form  $\mathfrak{X}[L,M]$ .

Proof of corollary. The semigroup red  $\Gamma(m)$ ) is a quotient of  $\operatorname{inv}(\Gamma(m))$ ; the semigroup of idempotents also is a quotient of the semigroup of idempotents. By Proposition 4.10 all idempotents in  $\operatorname{inv}(\Gamma(m))$  have  $[[X_{\alpha}^{\bigcirc}[b,c]]$ . By Proposition 5.1, they also can be written as  $[[X_{\alpha}^{\square}[b,c]]]$ .

Proposition will be proved in Subsection 5.3.

Remarks.

- (a) The idempotents  $[[X_{\alpha}^{\bigcirc}(b,c)]]$  and  $[[X_{\alpha}^{\square}(b,c)]]$  are different in  $\operatorname{inv}(\Gamma(m))$ . Indeed, we have the following homomorphism from  $\Gamma(m)$  to the inverse semigroup  $\operatorname{End}_{\mathcal{L}}(m)$ . On  $\Gamma^{\circ}(m)$  we define it as the map  $\Pi$  described in Subsection 3.5. On the other hand, we send  $\Gamma(m) \setminus \Gamma^{\circ}(m)$  to  $\mathbf{0}$ , i.e., to a pair of partial bijections with empty domains of definiteness. This map separates our idempotents.
- (b) Idempotents  $\mathfrak{X}[L,M]$  are pairwise different in  $\operatorname{red}(\Gamma(m))$ . To verify this, consider the representation of  $\mathbb{G}$  in  $\ell^2(\mathbb{G}/\mathbb{G}[L;M])$ . It is easy to show that  $\mathfrak{X}(L,M)$  is the minimal idempotent of  $\operatorname{red}(\Gamma(m))$  acting in this representation nontrivially.
- 5.2. Coherence. Let  $L, M \subset \mathfrak{l}^m$  be submodules. Formula (4.4) defines the idempotent  $\mathfrak{X}[L,M]=X(b,c)$  as an element of  $\Gamma(m)$ ; recall that  $L=\ker b, M=\ker c^t$ . However, for n>m we can regard  $L, M\subset \mathfrak{l}^m$  as submodules L in  $\mathfrak{l}^n\supset \mathfrak{l}^m$ . In the larger space we have

$$L = \ker \begin{pmatrix} b & 0 \\ 0 & 1_{n-m} \end{pmatrix}, \qquad M = \ker \begin{pmatrix} c & 0 \\ 0 & 1_{n-m} \end{pmatrix}.$$

Consider a unitary representation  $\rho$  of  $\mathbb G$  in a Hilbert space H. For any  $n\geqslant m$  we have an operator

(5.1) 
$$\widetilde{\rho}_{nn}\left(X\left(\begin{pmatrix} b & 0 \\ 0 & 1_{n-m}\end{pmatrix}, \begin{pmatrix} c & 0 \\ 0 & 1_{n-m}\end{pmatrix}\right)\right): H_n \to H_n.$$

We claim that these operators as operators  $H \to H$  depend only on L, M and not on n. Precisely, we have the following statement.

# Proposition 5.3.

(a) Let  $n \ge m$ . Then a block matrix structure of the operator (5.1) with respect to the orthogonal decomposition  $H_n = H_m \oplus (H_n \ominus H_m)$  is

$$(5.2) \qquad \widetilde{\rho}_{nn}\left(X\left(\begin{pmatrix} b & 0 \\ 0 & 1_{n-m} \end{pmatrix}, \begin{pmatrix} c & 0 \\ 0 & 1_{n-m} \end{pmatrix}\right)\right) = \begin{pmatrix} \widetilde{\rho}_{mm}(X(b,c)) & 0 \\ 0 & 0 \end{pmatrix}.$$

(b) For any L,  $M \subset \mathfrak{l}^m$  we have a well-defined operator  $\widetilde{\rho}(\mathfrak{X}[L,M])$  in H, which sends  $H_m$  to  $H_m$  as  $\widetilde{\rho}_{mm}(\mathfrak{X}[L,M])$  and is zero on the orthocomplement  $H \ominus H_m$ .

*Proof.* According Corollary 4.3, the right hand side of (5.2) is  $\widetilde{\rho}_{nn}(X_m^{\square}(b,c))$ . By Proposition 5.1, this operator coincides with  $\widetilde{\rho}_{nn}(X_m^{\square}(b,c))$ .

## 5.3. Proof of Proposition 5.1.

**Lemma 5.4.** Let  $\mathfrak{g} \in \operatorname{red}(\Gamma(m))$  be an idempotent. Let g be a representative of  $\mathfrak{g}$  in  $\mathbb{G}_{\operatorname{fin}}$ . Then for any unitary representation  $\rho$  of  $\mathbb{G}$  in a Hilbert space H the image of the orthogonal projector  $\widetilde{\rho}_{mm}(\mathfrak{g})$  coincides with the space of fixed points of the subgroup in  $\mathbb{G}$  generated by  $\mathbb{G}(m)$  and g.

*Proof.* Let  $v \in \operatorname{im} \widetilde{\rho}_{mm}(\mathfrak{g})$ , i.e.,

$$P_m \rho(g) P_m v = v.$$

This happens if and only if  $P_m v = v$ ,  $\rho(g)v = v$ . The condition  $P_m v = v$  means that  $\rho(h)v = v$  for all  $h \in \mathbb{G}(m)$ .

Therefore, it is sufficient to show that the group generated by  $\mathbb{G}(m)$  and  $X^{\bigcirc}(b,c)$  coincides with the group generated by  $\mathbb{G}(m)$  and  $X^{\square}(b,c)$ .

**Lemma 5.5.** The group generated by the subgroup  $\mathbb{G}(\beta)$  and the matrix

$$X(1,1) = \begin{pmatrix} 1_{\beta} & 1_{\beta} & 0\\ 0 & 1_{\beta} & 0\\ 1_{\beta} & 0 & 1_{\beta\star} \end{pmatrix}$$

coincides with G.

*Proof.* Denote by G the group generated by X(1,1) and  $\mathbb{G}(\beta)$ . Conjugating X(1,1) by block diagonal matrices we can get any matrix of the form X(A,B) with non-degenerate A, B. Multiplying such matrices we observe that elements of the form  $X(A_1+A_2,B_1+B_2)$  are contained in G. In particular,  $X(0,2)\in G$ . Since  $p\neq 2$ , conjugating X(0,2) by a block scalar matrix we come to  $X(0,1)\in G$ . In the same way  $X(1,0)\in G$ . Now the statement became more-or-less obvious.

**Lemma 5.6.** The group generated by  $\mathbb{G}(\beta)$  and the matrix

$$\left(\begin{array}{c|c}
0 & 1_{\beta} \\
\hline
1_{\beta} & 0_{\star}
\end{array}\right)$$

coincides with  $\mathbb{G}$ .

*Proof.* Denote this group by G. Denote  $S_{\infty}(\beta) := S_{\infty} \cap \mathbb{G}(\beta)$ . Multiplying the matrix (5.3) from the left and right by elements of  $S_{\infty}(\beta)$  we can get an arbitrary matrix of the form  $\begin{pmatrix} 0 & \sigma_1 \\ \hline \sigma_2 & 0_{\star} \end{pmatrix}$  with  $\sigma_1, \ \sigma_2 \in S_{\beta}$ . Multiplying two matrices of

this type we can get any matrix  $\left(\begin{array}{c|c} \sigma & 1 \\ \hline 0 & 1_{\star} \end{array}\right)$ , where  $\sigma \in S_{\beta}$ . Therefore our group

contains the subgroup  $S_{\beta} \times S_{\infty}(\beta)$ , which is maximal in  $S_{\infty}$ . Therefore  $G \supset S_{\infty}$ . But  $S_{\infty}$  and  $\mathbb{G}(\beta)$  generate  $\mathbb{G}$ ; see [27, Lemma 3.6].

Proof of Proposition 5.1. Denote by

- $G^{\bigcirc}$  the group generated by  $\mathbb{G}(m)$  and  $X_{\alpha}^{\bigcirc}(b,c)$ ;
- $G^{\square}$  the group generated by  $\mathbb{G}(m)$  and  $X_{\alpha}^{\square}(b,c)$ ;
- G the group generated by  $\mathbb{G}(\alpha)$  and the matrix  $X_{\diamond}(b,c)$  defined by

$$X_{\diamond}(b,c) := \begin{pmatrix} 1_{\alpha} & 0 & b & 0\\ 0 & 1_{m-\alpha} & 0 & 0\\ \hline 0 & 0 & 1 & 0\\ c & 0 & 0 & 1_{\star} \end{pmatrix}.$$

Obviously,  $G \supset G^{\bigcirc}$ ,  $G \supset G_{\square}$ . Let us verify the opposite inclusions.

The inclusion  $G^{\bigcirc} \supset G$ . Clearly  $X_{\alpha}(-b, -c) \in G^{\bigcirc}$ . Therefore  $G^{\bigcirc}$  contains

$$X_{\alpha}(b,c)X_{\alpha}(-b,-c) = X\left(\begin{pmatrix}0&0\\0&2\end{pmatrix},\begin{pmatrix}0&0\\0&2\end{pmatrix}\right) \sim X\left(\begin{pmatrix}0&0\\0&1\end{pmatrix},\begin{pmatrix}0&0\\0&1\end{pmatrix}\right) =: Y.$$

By Lemma 5.5, the group generated by Y and  $\mathbb{G}(m)$  is  $\mathbb{G}(\alpha)$ . On the other hand,  $Y^{-1}X^{\bigcirc}(b,c) \sim X_{\diamond}(b,c).$ 

5.3.1. The inclusion  $G^{\square} \supset G$ . We have

$$X_{\alpha}^{\square}(b,c)^2 \sim X_{\diamond}(2b,2c) \sim X_{\diamond}(b,c).$$

Next,  $X_{\diamond}(b,c)^{-1}X_{\alpha}^{\square}(b,c)\sim X_{\alpha}(0,0)$  and we refer to Lemma 5.6. Thus,  $G^{\bigcirc}=G^{\square}$ . By Lemma 5.4, for any unitary representation  $\rho$  of  $\mathbb G$  we have

$$\widetilde{\rho}_{mm}(X^{\bigcirc}(b,c))) = \widetilde{\rho}_{mm}(X^{\square}(b,c))$$

and this completes the proof of Proposition 5.1.

# 6. The semigroup $\operatorname{red}_m(\Gamma(m))$

6.1. Structure of the semigroup  $\operatorname{red}_m(\Gamma(m))$ . Denote by 0 the minimal idempotent of the semigroup  $\operatorname{red}_m(\Gamma(m))$ .

**Proposition 6.1.** Any element  $\neq 0$  in red<sub>m</sub>( $\Gamma(m)$ ) has a representative of a form aX(b,c), where  $a \in GL(m)$ .

The proof occupies the rest of the section. As a byproduct of Lemma 6.3 we will get the following statement.

**Lemma 6.2.** Any idempotent [X(b,c)] by a conjugation by  $a \in GL(m)$  can be reduced to a form

$$\left[X\begin{pmatrix} \begin{pmatrix} 0 & \beta \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ \gamma & 0 \end{pmatrix} \right)\right],$$

where  $\gamma\beta = 0 \pmod{p}$ ,  $\beta\gamma = 0 \pmod{p}$ .

# 6.2. Proof of Proposition 6.1.

Step 1.

#### Lemma 6.3.

(a) Let B be an  $m \times N$  matrix over  $\mathbb{Z}_{p^{\mu}}$ , C an  $N \times m$  matrix. Then transformations

$$B \mapsto u^{-1}Bv, \qquad C \mapsto v^{-1}Cu$$

allow to reduce them to the form

(6.1) 
$$\widetilde{B} = \begin{pmatrix} 0 & b_{12} \\ b_{21} & b_{22} \end{pmatrix}, \qquad \widetilde{C} = \begin{pmatrix} 0 & c_{12} \\ c_{21} & c_{22} \end{pmatrix},$$

where  $b_{12}$ ,  $c_{21}$  are square nondegenerate matrices of the same size, products  $b_{21}c_{12}$ ,  $c_{12}b_{21}$  are nilpotent and  $b_{22} = 0 \pmod{p}$ ,  $c_{22} = 0 \pmod{p}$ .

(b) The transformations

$$B \mapsto u^{-1}Bv$$
,  $C \mapsto w^{-1}Cu$ ,

where u, v, w are invertible, allow to reduce a pair (B, C) to the form

$$\widetilde{B} = \begin{pmatrix} 0 & b_{12} \\ 1 & 0 \end{pmatrix}, \qquad \widetilde{C} = \begin{pmatrix} 0 & 1 \\ c_{21} & 0 \end{pmatrix},$$

where  $c_{21}b_{12} = 0 \pmod{p}$ ,  $b_{12}c_{21} = 0 \pmod{p}$ .

*Proof.* (a) Reduce our matrices modulo p. A canonical form of a pair of counter operators  $P: \mathbb{F}_p^m \to \mathbb{F}_p^N$  and  $Q: \mathbb{F}_p^N \to \mathbb{F}_p^m$  is a standard problem of linear algebra; see, e.g., [7], [11]. In particular, such operators in some bases admit block decompositions  $P = \begin{pmatrix} P_r & 0 \\ 0 & P_n \end{pmatrix}$ ,  $Q = \begin{pmatrix} Q_r & 0 \\ 0 & Q_n \end{pmatrix}$ , where  $P_rQ_r$ ,  $Q_rP_r$  are nondegenerate and  $P_nQ_n$ ,  $Q_nP_n$  are nilpotent.

Thus the matrices B, C can be reduced to the form

$$B' = \begin{pmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{pmatrix}, \qquad C' = \begin{pmatrix} c_{11} & c_{12} \\ c_{21} & c_{22} \end{pmatrix},$$

where

- (1)  $b_{21}$ ,  $c_{12}$  are invertible matrices of the same size;
- (2) products  $b_{12}c_{21}$ ,  $c_{21}b_{12}$  are nilpotent;
- (3) the matrices  $b_{11}$ ,  $b_{22}$ ,  $c_{11}$ ,  $c_{22}$  reduced (mod p) are zero.

Šet

$$u_1 := \begin{pmatrix} 1 & b_{11}b_{12}^{-1} \\ 0 & 1 \end{pmatrix},$$

notice that  $u_1 \pmod{p}$  is 1. We pass to new matrices

$$B'' = u_1^{-1}B', \qquad C'' = C''u_1.$$

For new B the block  $b_{11} = 0$ ; other properties (1)–(3) of matrices B, C are preserved. Next, we take a unique matrix of the form  $u_2 = \begin{pmatrix} 1 & 0 \\ * & 1 \end{pmatrix}$  such that  $C''u_2$  has zero block  $c_{12}$ . On the other hand the block  $b_{11}$  of  $u_2^{-1}B''$  is zero. We come to a desired form.

(b) We apply statement (a) and reduce (B, C) to the form (6.1). Next, we multiply  $\widetilde{B}$  from right by  $\begin{pmatrix} b_{21} \\ 1 \end{pmatrix}^{-1}$  and get 1 on the place of  $b_{21}$ . After this, we

multiply new B from right by  $\begin{pmatrix} 1 & -b_{22} \\ 0 & 1 \end{pmatrix}$  and kill  $b_{22}$ . Finally, we repeat the same transformations with  $\widetilde{C}$ .

Now the problem is reduced to the same question for a pair  $b_{12}$ ,  $c_{21}$ . If  $c_{21}b_{12} \neq 0 \pmod{p}$ , then we choose an invertible matrix U such that  $b_{12}Uc_{21}$  is not nilpotent and again repeat (a). Etc.

Step 2.

**Lemma 6.4.** Let  $[g] \in \Gamma(m)$  have the form

$$[g] = \left[ \begin{array}{c|c} 1 & b \\ \hline c & 1_{\star} \end{array} \right]_{mm}$$

and  $[[g]] \not\approx_m \mathbf{0}$ . Then be and cb are nilpotent.

*Proof.* We apply the previous lemma and represent [g] as

$$[g] = \begin{bmatrix} 1_{\alpha} & 0 & 0 & b_{12} \\ 0 & 1_{m-\alpha} & b_{21} & b_{22} \\ \hline 0 & c_{12} & 1_{m-\alpha} & 0 \\ c_{21} & c_{22} & 0 & 1_{\star} \end{bmatrix} .$$

Set

$$[h_m^{\alpha}] := \begin{bmatrix} 1_{\alpha} & 0 & 0 & 0\\ 0 & 1_{m-\alpha} & 1_{m-\alpha} & 0\\ 0 & 0 & 1_{m-\alpha} & 0\\ 0 & 1_{m-\alpha} & 0 & 1_{m-\alpha\star} \end{bmatrix}.$$

Let us show that

$$[g] \circ [h_m^{\alpha}] \sim [g].$$

Indeed,

$$(6.3) \quad [g] \circ [h_m^{\alpha}] = \begin{bmatrix} 1_{\alpha} & 0 & 0 & b_{12} & 0 & 0 \\ 0 & 1_{m-\alpha} & b_{21} & b_{22} & 1 & 0 \\ \hline 0 & c_{12} & 1_{m-\alpha} & 0 & c_{12} & 0 \\ c_{21} & c_{22} & 0 & 1 & c_{22} & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 & 0 & 1_{\star} \end{bmatrix}_{mm}$$

$$\sim \begin{bmatrix} 1_{\alpha} & 0 & 0 & b_{12} & 0 & 0 \\ 0 & 1_{m-\alpha} & b_{21} & b_{22} & 1_{m-\alpha} & 0 \\ \hline 0 & c_{12} & 1_{m-\alpha} & 0 & 0 & 0 \\ c_{21} & c_{22} & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1_{\star} \end{bmatrix}_{mm} =: r,$$

to establish the equivalence we multiply  $[g] \circ [h_m^{\alpha}]$  from the left by

$$\begin{pmatrix} 1_m & & & & \\ & 1 & 0 & -c_{12} & & \\ & 0 & 1 & -c_{22} & & \\ & 0 & 0 & 1 & & \\ & & & 1_{\star} & \end{pmatrix}.$$

Next, denote

$$v_1 := \begin{pmatrix} \begin{array}{c|cccc} 1_m & & & & \\ & 1 & 0 & -b_{21}^{-1} & \\ & & 1 & 0 & \\ & & & 1 & \\ & & & & 1_\star \\ \end{pmatrix}, \qquad v_2 := \begin{pmatrix} \begin{array}{c|cccc} 1_m & & & \\ & 1 & & \\ & & 1 & \\ & & 0 & 1 \\ & & -c_{12}^{-1} & 0 & 1_\star \\ \end{pmatrix}.$$

We have

$$[r] \sim [v_2 v_1^{-1} r v_1 v_2^{-1}],$$

the latter matrix is obtained from r, see (6.3), by removing two boxed blocks  $\boxed{1_{m-\alpha}}$ ; all other blocks are the same. Thus  $[r] \sim [g]$ , i.e., we established (6.2).

Suppose that  $\alpha \neq m$ . Then by Proposition 5.1,

$$[g] \sim [g] \circ [h_m^{\alpha}] \approx [g] \circ \Theta_{[m]}^{\alpha}.$$

But  $\Theta_{[m]}^{\alpha} \approx_m \mathbf{0}$ ; therefore  $[[g]] \cong_m \mathbf{0}$ .

Step 3. Thus it is sufficient to prove Proposition 6.1 for [g] having the form

$$[g] = \begin{bmatrix} 1 & b \\ \hline c & 1_{\star} \end{bmatrix}_{mm}$$
, where  $bc$ ,  $cb$  are nilpotent.

**Lemma 6.5.** Let  $[g] = \begin{bmatrix} 1 & b \\ \hline c & 1_{\star} \end{bmatrix}_{mm}$  be invertible. Then

$$[g^{-1}] \circ [g] \equiv X(b,c).$$

Proof. By (3.1),

$$[g]\circ ([g^{-1}]\circ [g])\equiv [g], \qquad \text{and } [g^{-1}]\circ [g] \text{ is an idempotent}.$$

We have (see, e.g., [9, Sect. 2.5])

$$[g^{-1}] = \left[ \begin{array}{c|c} (1-bc)^{-1} & -(1-bc)^{-1}b \\ \hline -c(1-bc)^{-1} & (1-cb)_{\star}^{-1} \end{array} \right]_{mm} \sim \left[ \begin{array}{c|c} (1-bc)^{-1} & b \\ \hline c(1-bc)^{-1} & 1_{\star} \end{array} \right]_{mm}.$$

We also keep in mind the identity

(6.4) 
$$c(1-bc)^{-1} = (1-cb)^{-1}c,$$

to establish it, we multiply both sides from the left by (1-cb) and from the right by (1-bc).

Next,

$$[g^{-1}] \circ [g] = \begin{bmatrix} (1-bc)^{-1} & b & (1-bc)^{-1}b \\ \hline c(1-bc)^{-1} & 1 & c(1-bc)^{-1}b \\ c & 0 & 1_{\star} \end{bmatrix}_{mm} \sim \begin{bmatrix} (1-bc)^{-1} & b & b \\ \hline c & 1 & 0 \\ c(1-bc)^{-1} & 0 & 1_{\star} \end{bmatrix}_{mm}.$$

This matrix defines an idempotent in  $\operatorname{inv}(\Gamma^{\circ}(m))$ . We must verify the following statement:

**Lemma 6.6.** Under our conditions,

$$[g^{-1}] \circ [g] \equiv X(b,c).$$

<sup>&</sup>lt;sup>10</sup>This is equivalent to invertibility of  $(1 - bc)^{-1}$  or invertibility of  $(1 - cb)^{-1}$ . Here we do not need a nilpotency of bc.

*Proof.* By Corollary 4.9 we can identify an idempotent in  $\operatorname{inv}(\Gamma^{\circ})$  evaluating its image in  $\operatorname{Mor}_{\mathcal{L}}(m)$ . So we get

$$[g] \circ [g^{-1}] \equiv [[X(B,C)]],$$

where

$$B := \begin{pmatrix} b & b \end{pmatrix}, \qquad C := \begin{pmatrix} c \\ (1 - cb)^{-1}c \end{pmatrix}.$$

We have  $\ker B = \ker b$ ,  $\ker C^t = \ker c^t$ ; therefore by Lemma 4.6 we have  $[[X(B,C)]] \equiv [[X(b,c)]]$ .

# Corollary 6.7. Let

$$[g] = \begin{bmatrix} 1 & b \\ \hline c & 1_{\star} \end{bmatrix}_{mm}, \qquad [g'] = \begin{bmatrix} 1 & bu \\ \hline c & 1_{\star} \end{bmatrix}_{mm}$$

be invertible and u also be invertible. Then

$$[g^{-1}] \circ [g] \equiv [(g')^{-1}] \circ [g'].$$

*Proof.* Indeed,  $\ker bu = \ker b$ . So both sides are [[X(b,c)]].  $\Box$  Step 4.

**Lemma 6.8.** Let  $[g] = \begin{bmatrix} 1 & b \\ \hline c & 1_{\star} \end{bmatrix}_{mm}$ ; let be and cb be nilpotent. Then there exists u having the form

(6.5) 
$$u = -\frac{1}{2} + \sum_{j>0} \frac{\sigma_j}{2^{n_j}} (cb)^j, \quad \text{where } \sigma_j \in \mathbb{Z}, \ n_j \in \mathbb{Z}_+,$$

such that

$$(6.6) \quad \left( \left[ \left( \begin{array}{c|c} 1 & bu \\ \hline c & 1_{\star} \end{array} \right)^{-1} \right]_{mm} \circ \left[ \begin{array}{c|c} 1 & bu \\ \hline c & 1_{\star} \end{array} \right]_{mm} \right) \circ [g^{-1}]$$

$$\equiv \left[ \begin{array}{c|c} (1 - buc)^{-1} (1 - bc)^{-1} & b & 0 \\ \hline 0 & 1 & 0 \\ c & 0 & 1_{\star} \end{array} \right]_{mm}.$$

*Proof.* The product is

$$\begin{bmatrix} \frac{(1-buc)^{-1}(1-bc)^{-1}}{c(1-bc)^{-1}} & bu & bu & (1-buc)^{-1}b \\ \hline c(1-buc)^{-1} & 1 & 0 & cb \\ c(1-buc)^{-1} & 0 & 1 & c(1-buc)^{-1} \\ c(1-bc)^{-1} & 0 & 0 & 1_{\star} \end{bmatrix}_{mm} \\ \sim \begin{bmatrix} \frac{(1-buc)^{-1}(1-bc)^{-1}}{c} & bu & bu & (1-buc)^{-1}b \\ \hline c & 1 & 0 & 0 \\ c(1-buc)^{-1} & 0 & 1 & 0 \\ c(1-bc)^{-1} & 0 & 0 & 1_{\star} \end{bmatrix}_{mm} = : \begin{bmatrix} \frac{A}{qc} & br \\ \hline qc & 1_{\star} \end{bmatrix}_{mm},$$

here

$$r := (u \quad u \quad (1 - cbu)^{-1}), \qquad q := \begin{pmatrix} 1 \\ (1 - cbu)^{-1} \\ (1 - cb)^{-1} \end{pmatrix}.$$

We claim that there exists a unique u such that rq = 0. A straightforward calcula-

tion shows that

$$rq = 2u - ucbu + (1 - cb)^{-1}$$
.

Since cb is nilpotent, we can write the equation rq = 0 as

$$2u + 1 = ucbu - \sum_{j>0} (cb)^j,$$

the sum actually is finite. Clearly we can find a solution in the form  $u = -1/2 + \sum_{j>0} s_j(cb)^j$ , where  $s_j$  are dyadic rationals; for coefficients  $s_j$  we have a system of recurrent equations. This u is invertible (since we can write a finite series for  $u^{-1}$ ).

Next, we must show that the matrix  $\left(\begin{array}{c|c} 1 & bu \\ \hline c & 1_{\star} \end{array}\right)$  is invertible. Indeed, this is equivalent to existence of  $(1-cbu)^{-1}$  and this is clear since by (6.5) cbu is nilpotent.

Next we wish to simplify the matrix  $\begin{pmatrix} A & br \\ \hline qc & 1_{\star} \end{pmatrix}$  by conjugations by matrices of

the form  $\begin{pmatrix} 1 & 0 \\ 0 & D_{\star} \end{pmatrix}$ . In fact, we have transformations

$$r \mapsto r' = pD^{-1}, \qquad q \mapsto q' = Dq.$$

For such transformations we have r'q' = rq. Set

$$D = \begin{pmatrix} 1 & 1 & u^{-1}(1 - cbu)^{-1} \\ 0 & 1 & 0 \\ 0 & 0 & 1_{\star} \end{pmatrix}.$$

Then  $r' = \begin{pmatrix} u & 0 & 0 \end{pmatrix}$ . But u is invertible and r'q' = 0. Therefore q' has the form  $\begin{pmatrix} 0 \\ * \\ * \end{pmatrix}$ ; on the other hand multiplication  $q \mapsto Dq$  does not change the second and third elements of the column q. Thus we came to the matrix

$$R := \begin{bmatrix} (1 - buc)^{-1}(1 - bc)^{-1} & b u & 0 & 0 \\ \hline 0 & 1 & 0 & 0 \\ \hline (1 - cbu)^{-1}c & 0 & 1 & 0 \\ \hline (1 - cb)^{-1}c & 0 & 0 & 1_{\star} \end{bmatrix}_{mm}.$$

Consider the following matrices:

$$S := \begin{bmatrix} \boxed{1} & & & & \\ & u & & \\ & 1-cbu & & \\ & & (1-cb)_\star \end{bmatrix}, \qquad T := \begin{bmatrix} \boxed{1} & & & \\ & 1 & & \\ & & 1 & \\ & & -1 & 1_\star \end{bmatrix}.$$

The conjugation  $R \mapsto TRT^{-1}$  kills boxed elements of R. The conjugation  $R \mapsto STRT^{-1}S^{-1}$  reduces the matrix to the desired form.

*Proof.* Proof of Proposition 6.1 Thus we have

$$\left[ \left( \frac{1 \mid b}{c \mid 1_{\star}} \right)^{-1} \right]_{mm} \equiv (1 - buc)^{-1} (1 - bc)^{-1} \cdot \left[ \left[ X \left( (1 - bc)(1 - buc)b, c \right) \right] \right].$$

The second factor is

$$[[X(b(1-cb)(1-cbu),c)]] \equiv [[X(b,c)]].$$

Passing to adjoint elements we get

It remains to notice that

$$\left[\begin{array}{c|c} a & b \\ \hline c & 1 \end{array}\right] = a \cdot \left[\begin{array}{c|c} 1 & a^{-1}b \\ \hline c & 1 \end{array}\right].$$

# 6.3. **Proof of Lemma 6.2.** We refer to Lemma 6.3.

7. The groups 
$$\mathbb{G}^{\bullet}[L;M]$$

In this section we examine subgroups  $\mathbb{G}^{\circ}[L;M]$ ,  $\mathbb{G}^{\bullet}[L;M] \subset \mathbb{G}$  defined in Subsection 1.3. We prove that  $\mathbb{G}^{\bullet}[L;M]$  is well-defined. Lemma 7.5 shows that it is generated by  $\mathbb{G}(m)$  and the element X(b,c). Also we prove that it is a minimal subgroup of finite index in  $\mathbb{G}[L;M]$  (equivalently,  $\mathbb{G}^{\bullet}[L;M]$  has no subgroups of finite index, Proposition 7.11).

# 7.1. Several remarks on submodules in $l^k$ .

**Lemma 7.1.** Let  $L \subset \mathfrak{l}^k$  be a submodule. Then there exists a basis  $e_j \in \mathfrak{l}^k$  such that  $M := \oplus p^{s_j} \mathbb{Z}_{p^{\mu}} e_j$ . The collection  $s_1, s_2, \ldots$  is a unique GL(m)-invariant of a submodule L.

This is equivalent to a classification of sublattices in  $(\mathbb{O}_p)^k$  under the action of  $GL(k,\mathbb{O}_p)$  or equivalently to a classification of pairs of lattices in  $\mathbb{Q}_p^k$  under  $GL(k,\mathbb{Q}_p)$ ; the latter question is standard; see, e.g., [35, Theorem I.2.2].

Corollary 7.2. Any submodule  $L \subset \mathfrak{l}^k$  is a kernel of some endomorphism  $\mathfrak{l}^k \to \mathfrak{l}^k$ .

Indeed, we pass to a canonical basis  $e_j$  as in the lemma and consider the map sending  $e_j$  to  $p^{\mu-s_j}e_j$ .

## Lemma 7.3.

- (a) Let L be a submodule in  $\mathfrak{l}^m$ . Let b,  $b':\mathfrak{l}^m\to\mathfrak{l}^N$  be morphisms of modules such that  $L=\ker b=\ker b'$ . Then there is a transformation  $u\in\mathrm{GL}(N)$  such that b'=bu.
- (b) Let  $\ker b = L$ ,  $\ker b' = L' \supset L$ . Then there is an endomorphism  $u: \mathfrak{l}^N \to \mathfrak{l}^N$  such that b' = bu.
- *Proof.* (a) The modules im  $b \simeq \operatorname{im} b' \simeq \mathfrak{l}^m/L$  are isomorphic. By the previous lemma there is an automorphism of  $\mathfrak{l}^N$  identifying these submodules.
- (b) L is a submodule of L'; therefore im b' is a quotient module of im b. Therefore there is a projection map  $\pi : \text{im } b \to \text{im } b'$ ; orders of elements do not increase under this map. By Lemma 7.1 we have a basis  $e_j \in \mathfrak{l}^N$  such that  $p^{s_j}e_j$ , where  $j=1,\ldots,m$ , is the system of generators of im b. Choose arbitrary vectors  $v_j$  such that  $p^{s_j}v_j=\pi(p^{s_j}e_j)$  and consider the map sending  $e_j$  to  $v_j$ .

7.2. The group  $\mathbb{G}^{\bullet}$ . Here we show that  $\mathbb{G}^{\bullet}[L;M]$  is a group, and its definition does not depend on the choice of matrices b, c.

## Lemma 7.4.

- (a) Fix a matrix B of size  $l \times N$ . Then the set of invertible matrices g of the form 1 BS, where S ranges in the set of  $N \times l$  matrices, is a group.
- (b) Fix matrices B, C of sizes  $l \times N$  and  $N \times l$  respectively. Then the set of invertible matrices g of the form g = 1 BuC is a group.

*Proof.* Clearly, both sets are closed with respect to multiplication. We must show that  $g^{-1}$  satisfies the same property. In the first case,

$$1 - g^{-1} = 1 - (1 - BS)^{-1} = -BS(1 - BS)^{-1}.$$

In the second case,

$$1 - g^{-1} = 1 - (1 - BuC)^{-1} = -BuC(1 - BuC)^{-1} = -Bu(1 - CBu)^{-1}C.$$

**Lemma 7.5.** Fix matrices b, c of sizes  $m \times N$  and  $N \times m$  respectively.

- (a) The set of invertible matrices  $g = \begin{pmatrix} a & bv \\ wc & z \end{pmatrix}$  such that the block 'a' admits representations a = 1 bS, a = 1 Tc is a group.
- (b) The set  $\mathbb{G}^{\bullet}[L; M]$ , i.e., the set of all invertible matrices of the form  $g = \begin{pmatrix} 1 buc & bv \\ wc & z \end{pmatrix}$ , is a group.

*Proof.* In the first case we write

$$g = \begin{pmatrix} 1 - bS & bv \\ wc & z \end{pmatrix} = \begin{pmatrix} 1 \\ 1 \end{pmatrix} - \begin{pmatrix} bS & -bv \\ -wc & 1 - z \end{pmatrix}$$
$$= \begin{pmatrix} 1 \\ 1 \end{pmatrix} - \begin{pmatrix} b \\ 1 \end{pmatrix} \begin{pmatrix} S & -v \\ -wc & 1 - z \end{pmatrix},$$

and reduce the statement to the previous lemma.

In the second case we write

$$g = \begin{pmatrix} 1 & \\ & 1 \end{pmatrix} - \begin{pmatrix} b & \\ & 1 \end{pmatrix} \begin{pmatrix} u & -v \\ -w & 1-z \end{pmatrix} \begin{pmatrix} c & \\ & 1 \end{pmatrix},$$

and again we apply the previous lemma.

7.3. The group  $\mathbb{G}^{\circ}[L;M]$ .

Proof of Lemma 1.3. Let  $g = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta_{\star} \end{pmatrix} \in \mathbb{G}^{\circ}[L, M]$ , i.e., g fix pointwise  $L \subset \mathfrak{l}^m$  and  $g^t$  fix pointwise of  $M \subset \mathfrak{l}^m$ . Then  $L \subset \ker \beta$  and by Lemma 7.3(b) we have  $\beta = bv$  for some matrix v. Also  $L \subset \ker(1 - \alpha)$  and therefore  $\alpha = 1 - bS$  for some S.

#### 7.4. Changes of coordinates.

**Lemma 7.6.** Let  $L, M \subset \mathfrak{l}^m$ . Let  $a \in \operatorname{GL}(m)$ . Then

$$a\mathbb{G}^{\circ}[L;M]a^{-1}=\mathbb{G}^{\circ}[aL,(a^{t})^{-1}M], \qquad a\mathbb{G}^{\bullet}[L;M]a^{-1}=\mathbb{G}^{\bullet}[aL,(a^{t})^{-1}M].$$

The first statement is an immediate consequence of the definition; the second is straightforward.

7.5. Generators of  $\mathbb{G}^{\bullet}[L; M]$ . Let m, b, c be as in Subsection 1.3, i.e.,  $L = \ker b$ ,  $M = \ker c^t \subset \mathfrak{l}^m$ .

**Proposition 7.7.** The group  $\mathbb{G}^{\bullet}[L; M]$  is generated by  $\mathbb{G}(m)$  and the matrix X(b, c).

*Proof.* Consider the group G generated by  $\mathbb{G}(m)$  and X(b,c). Clearly,  $\mathbb{G}^{\bullet}[L,M] \supset G$ . Let us prove the converse.

(1) Conjugating X(b,c) by block diagonal matrices  $\in \mathbb{G}(m)$  we get arbitrary matrices of the form X(bv,wc), where v,w are invertible matrices. Consider products

(7.1) 
$$X(bv, wc) X(b'v, wc') = X((b+b')v, w(c+c')).$$

We set b = -b'; for any matrix  $\sigma$  we can find invertible matrices c, c' such that  $c + c' = \sigma$ . Thus G contains all matrices of the form

(7.2) 
$$\begin{pmatrix} 1_m & bv \\ & 1_{m\star} \end{pmatrix}, \qquad \begin{pmatrix} 1_m \\ wc & 1_{m\star} \end{pmatrix},$$

where v, w are arbitrary matrices.

(2) In virtue of Lemma 6.2, conjugating the matrices (7.2) by elements of GL(m) and multiplying from the left and the right by elements of G(m) we can reduce the matrices (7.2) to the forms

$$Y[\beta] := \begin{pmatrix} 1_{m-\alpha} & 0 & 0 & \beta \\ 0 & 1_{\alpha} & \boxed{1_{\alpha}} & 0 \\ 0 & 0 & 1_{\alpha} & 0 \\ 0 & 0 & 0 & 1_{m-\alpha\star} \end{pmatrix}, Z[\gamma] := \begin{pmatrix} 1_{m-\alpha} & 0 & 0 & 0 \\ 0 & 1_{\alpha} & 0 & 0 \\ 0 & \boxed{1_{\alpha}} & 1_{\alpha} & 0 \\ \gamma & 0 & 0 & 1_{m-\alpha\star} \end{pmatrix},$$

where  $\gamma\beta = 0 \pmod{p}$ ,  $\beta\gamma = 0 \pmod{p}$ . Multiplying  $Y[\beta]$  from right by elements of  $\mathbb{G}(m+\alpha)$  we can get any matrix  $Y[\beta r]$  with invertible r. The condition of invertibility of r can be removed, because

$$Y[\beta r_1] Y[\beta r_2]^{-1} Y[\beta r_3] = Y[\beta (r_1 - r_2 + r_3)],$$

and we can represent any matrix r as a sum of 3 invertible matrices.

In the same way we get that G contains all elements of the form  $Z[q\gamma]$ .

Take r = 0, q = 0. Then the matrices  $Y[0] = Y[\beta \cdot 0]$ ,  $Z[0] = Z[0 \cdot \gamma]$  together with  $\mathbb{G}(m)$  generate the group  $\mathbb{G}(m - \alpha)$ .

Next, G contains matrices  $Y[\beta]Y[0]^{-1}$  and  $Z[\gamma]Z[0]^{-1}$ . They are matrices of the form (7.3), where boxed blocks are replaced by zeroes.

Therefore our problem is reduced to a description of the subgroup generated by  $\mathbb{G}(m-\alpha)$  and  $X(\beta,\gamma)$ .

Thus, without loss of generality, we can assume that  $\alpha = 0$  and  $cb = 0 \pmod{p}$ ,  $bc = 0 \pmod{p}$ .

(3) Multiplying the matrices (7.2), we get

$$\begin{pmatrix} 1 - bvwc & bv \\ wc & 1_{\star} \end{pmatrix} \in G \quad \text{for any } v, w.$$

<sup>&</sup>lt;sup>11</sup>It is sufficient to verify this statement for matrices over  $\mathbb{F}_p$ . Without loss of generality we can assume that  $\sigma$  is diagonal. For  $p \neq 2$  any element of  $\mathbb{F}_p$  is a sum of two nonzero elements, where  $\sigma$  can be represented as a sum of two diagonal matrices.

Since  $cb = 0 \pmod{p}$ , the bvwc is nilpotent, and therefore 1 - bvwc is invertible. We represent our matrix as

$$\begin{pmatrix} 1 & 0 \\ wc(1 - bvwc)^{-1} & 1_{\star} \end{pmatrix} \begin{pmatrix} 1 - bvwc & 0 \\ 0 & 1_{\star} \end{pmatrix} \times \begin{pmatrix} 1 & 0 \\ 0 & 1 - wc(1 - bvwc)^{-1}bv_{\star} \end{pmatrix} \begin{pmatrix} 1 & (1 - bvwc)^{-1}bv \\ 0 & 1_{\star} \end{pmatrix}.$$

Since the whole product and three factors are contained in G, the fourth factor also is contained in G,

$$\begin{pmatrix} 1 - bvwc & 0 \\ 0 & 1_{\star} \end{pmatrix} \in G$$

for any v, w.

(4) Now consider an arbitrary element of  $\mathbb{G}^{\bullet}[L; M]$ ,

$$\begin{pmatrix} 1 - buc & bv \\ wc & z_{\star} \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ wc(1 - buc)^{-1} & 1_{\star} \end{pmatrix} \begin{pmatrix} 1 - buc & 0 \\ 0 & 1_{\star} \end{pmatrix} \times \begin{pmatrix} 1 & 0 \\ 0 & z - wc(1 - buc)^{-1}bv_{\star} \end{pmatrix} \begin{pmatrix} 1 & (1 - buc)^{-1}bv \\ 0 & 1_{\star} \end{pmatrix}.$$

All factors of the right hand side are contained in G, and therefore  $\mathbb{G}^{\bullet}[L;M]$  is contained in G.

Corollary 7.8. The group  $\mathbb{G}^{\bullet}[L;M]$  does not depend on a choice of m.

*Proof.* Let  $L, M \subset \mathfrak{l}^m$ ; let  $L = \ker b, M = \ker c^t$ . Let us regard L, M as submodules L', M' of  $\mathfrak{l}^m \oplus \mathfrak{l}^k$ . Then

$$L' = \ker b', M' = \ker(c')t$$
, where  $b' = \begin{pmatrix} b & 0 \\ 0 & 1 \end{pmatrix}, c' = \begin{pmatrix} c & 0 \\ 0 & 1 \end{pmatrix}$ .

Clearly the subgroup  $G_m$  generated by  $\mathbb{G}(m)$  and X(b,c) and the subgroup  $G_{m+k}$  generated by  $\mathbb{G}(m+k)$  and X(b',c') coincide. Formally, we must repeat the first two steps of the previous proof.

# 7.6. The quotient $\mathbb{G}^{\circ}/\mathbb{G}^{\bullet}$ .

**Lemma 7.9.** A group  $\mathbb{G}^{\bullet}[L;M]$  has finite index in  $\mathbb{G}^{\circ}[L;M]$ .

*Proof.* Without loss of generality we can assume that  $cb = 0 \pmod{p}$ ,  $bc = 0 \pmod{p}$ . Denote by  $A^{\circ} \subset \operatorname{GL}(m)$  the subgroup consisting of matrices a admitting representations a = 1 - bS, a = 1 - Tc. Notice that 1 - a is a nilpotent, since  $TcbS = 0 \pmod{p}$ . Therefore a is invertible. Denote by  $A^{\bullet}$  the subgroup consisting of elements of the form 1 - buc.

The subgroup  $A^{\bullet}$  is normal in  $A^{\circ}$ . Indeed, let  $a \in A^{\circ}$ , a = 1 - bS,  $a^{-1} = 1 - Tc$ . Then

$$a(1 - buc)a^{-1} = 1 - abuca^{-1} = 1 - (1 - bS)buc(1 - Tc) = 1 - b(1 - Sb)u(1 - cT)c.$$

Let  $g = \begin{pmatrix} a & bv \\ wc & z \end{pmatrix} \in \mathbb{G}^{\circ}[L; M]$ . Let us show that the map  $g \mapsto a$  induces a homomorphism from  $\mathbb{G}^{\circ}[L; M] \to A^{\circ}/A^{\bullet}$ . Indeed,

$$g_1g_2 = \begin{pmatrix} a_1 & bv_1 \\ w_1 & z_1 \end{pmatrix} \begin{pmatrix} a_2 & bv_2 \\ w_2c & z_2 \end{pmatrix} = \begin{pmatrix} a_1a_2 + bv_1w_2c & * \\ * & * \end{pmatrix}.$$

In the left upper block we have

$$a_1a_2(1+a_2^{-1}a_1^{-1}bv_1w_2c).$$

We represent  $a_1^{-1} = 1 - bS_1$ ,  $a_2^{-1} = 1 - bS_2$  and get

$$a_1 a_2 (1 + (1 - bS_2)(1 - bS_1)bv_1 w_2 c) = a_1 a_2 \{1 + b(1 - S_2 b)(1 - S_1 b)v_1 w_2 c\}.$$

The expression in the curly brackets is contained in  $A^{\bullet}$ .

Clearly, the kernel of the homomorphism is  $\mathbb{G}^{\bullet}[L, M]$ . Thus we have an isomorphism of quotient groups,

$$\mathbb{G}^{\circ}[L;M]/\mathbb{G}^{\bullet}[L;M] \simeq A^{\circ}[L;M]/A^{\bullet}[L;M].$$

The group on the right-hand side is finite.

# 7.7. Absence of subgroups of finite index.

**Lemma 7.10.** The group  $\mathbb{G}$  has not proper open subgroups of finite index.

*Proof.* Let P be a proper open subgroup. Then it contains some group  $\mathbb{G}(\nu)$ . On the other hand  $\mathbb{G}$  contains a complete infinite symmetric group  $S_{\infty}$ , and  $S_{\infty}$  has no subgroups of finite index. Therefore P contains  $S_{\infty}$ . But the subgroup in  $\mathbb{G}$  generated by  $\mathbb{G}(\nu)$  and  $S_{\infty}$  is the whole group  $\mathbb{G}$ ; see [27, Lemma 3.6].

**Proposition 7.11.** The subgroup  $\mathbb{G}^{\bullet}[L;M]$  has no proper open subgroups of finite index.

*Proof.* Let Q be such subgroup. By the previous lemma,  $\mathbb{G}(m)$  has not open subgroups of finite index; we have  $Q \supset \mathbb{G}(m)$ . Hence Q contains a minimal normal subgroup R containing  $\mathbb{G}(m)$ . The quotient Q/R is generated by the image  $\xi$  of X(b,c); therefore Q/R is a cyclic group. But

$$X(b,c)^2 = X(2b,2c) = \begin{pmatrix} 1 & & & \\ & 1/2 & & \\ & & 2_\star \end{pmatrix} \begin{pmatrix} 1 & b & 0 \\ 0 & 1 & 0 \\ c & 0 & 1_\star \end{pmatrix} \begin{pmatrix} 1 & & \\ & 2 & \\ & & 1/2_\star \end{pmatrix}.$$

Since  $p \neq 2$  the elements  $X(b,c)^2$  and X(b,c) have the same images in Q/R. Therefore the image of X[b,c] is 1.

Corollary 7.12. Any subgroup of finite index in  $\mathbb{G}[L,M]$  contains  $\mathbb{G}^{\bullet}[L,M]$ .

#### 8. End of the proof

This section contains the end of the proof of Theorem 1.5. We know that all idempotents in semigroups  $\operatorname{red}(\Gamma(n))$  have the form  $\mathfrak{X}[L,M]$ , see Corollary 5.2, for different n they can be identified in a natural way; see Proposition 5.3. We also know that any non-zero element of  $\operatorname{red}_m(\Gamma(m))$  is a product of an invertible element and an idempotent  $\mathfrak{X}[L,M]$ ; see Proposition 6.1. This implies that all irreducible representations of  $\mathbb G$  are induced from representations  $\tau$  of groups  $\mathbb G[L;M]$ . Proposition 7.11 implies that such  $\tau$  must be trivial on  $\mathbb G^{\bullet}[L;M]$ .

#### 8.1. A preliminary remark.

**Lemma 8.1.** Consider an irreducible \*-representation  $\sigma$  of the category K in a sequence of Hilbert spaces  $H_j$ . Let  $\xi \in H_m$  be a nonzero vector. Then the matrix element

$$c(\mathfrak{g}) = \langle \sigma(\mathfrak{g})\xi, \xi \rangle_{H_m}, \quad \text{where } \mathfrak{g} \text{ ranges in } \mathrm{End}_{\mathfrak{K}}(m),$$

determines  $\sigma$  up to equivalence.

This is a general statement on \*-representations of categories (and a copy of a similar statement for unitary representations of groups); we give a proof for completeness.

*Proof.* For each  $\mathfrak{g} \in \operatorname{Mor}_{\mathcal{K}}(m,\alpha)$  we define a vector

$$\omega_{\mathfrak{g}}^{\alpha} = \sigma(\mathfrak{g})\xi \in H_{\alpha}.$$

Since  $\sigma$  is irreducible, vectors  $\omega_{\mathfrak{g}}^{\alpha}$ , where  $\mathfrak{g}$  ranges in  $\operatorname{Mor}_{\mathfrak{K}}(m,\alpha)$ , generate the space  $H_{\alpha}$ . Their inner products are determined by the function c:

$$\langle \omega_{\mathfrak{g}_1}^{\alpha}, \omega_{\mathfrak{g}_2}^{\alpha} \rangle_{H_{\alpha}} = \langle \sigma(\mathfrak{g}_1) \xi, \sigma(\mathfrak{g}_2) \xi \rangle_{H_{\alpha}} = \langle \sigma(\mathfrak{g}_2^* \circ \mathfrak{g}_1) \xi, \xi \rangle_{H_m} = c(\mathfrak{g}_2^* \circ \mathfrak{g}_1).$$

Next, let  $\mathfrak{h} \in \operatorname{Mor}_{\mathcal{K}}(\alpha, \beta)$ . Let  $\mathfrak{g}$ ,  $\mathfrak{f}$  range respectively in  $\operatorname{Mor}_{\mathcal{K}}(m, \alpha)$ ,  $\operatorname{Mor}_{\mathcal{K}}(m, \beta)$ . Then

$$\langle \sigma(\mathfrak{h})\omega_{\mathfrak{g}},\omega_{\mathfrak{f}}\rangle_{H_{\beta}}=\langle \sigma(\mathfrak{h})\sigma(\mathfrak{g})\xi,\sigma(\mathfrak{f})\xi\rangle_{H_{\beta}}=\langle \sigma(\mathfrak{f}^{*}\circ\mathfrak{h}\circ\mathfrak{g})\xi,\xi\rangle_{H_{m}}=c(\mathfrak{f}^{*}\circ\mathfrak{h}\circ\mathfrak{g}).$$

Clearly an operator  $\sigma(\mathfrak{h})$  is uniquely determined by such inner products.  $\square$ 

8.2. Representations of the semigroup  $\operatorname{red}_m(\Gamma(m))$ . Consider an irreducible representation of  $\mathcal{K}$  of height m and the corresponding representation  $\lambda$  of the semigroup  $\operatorname{End}_{\mathcal{K}}(m)$  in  $H_m$ . Recall that  $\tau$  passes through semigroup  $\operatorname{red}_m(\Gamma(m))$ . By Proposition 6.1, any nonzero element of the latter semigroup can be represented as  $a \cdot \mathcal{X}[L, M]$ , where  $a \in \operatorname{GL}(m)$ . Denote

$$\widehat{\mathbb{G}}_n[L,M] = \operatorname{GL}(n) \cap \widehat{\mathbb{G}}[L,M], \qquad \widehat{\mathbb{G}}_{\operatorname{fin}}[L,M] = \mathbb{G}_{\operatorname{fin}} \cap \widehat{\mathbb{G}}_m[L,M].$$

Lemma 8.2 is a special case of general description of representations of finite inverse semigroups; see, e.g., [10]. However, due to Proposition 6.1 our case is simpler than general inverse semigroups. We show that the representation of GL(m) in  $H_m$  is induced from an irreducible representation of some subgroup  $\widehat{\mathbb{G}}_m[L,M]$  and idempotents  $\mathfrak{X}[N,K]$  act in the induced representation as multiplications by indicator functions of certain sets. Precisely,

**Lemma 8.2.** Let  $\mathfrak{X}[L,M]$  be the minimal idempotent in  $\operatorname{red}_m(\Gamma(m))$  such that  $\lambda(\mathfrak{X}[L,M]) \neq 0$ . Then there is an irreducible representation  $\tau_m$  of  $\widehat{\mathbb{G}}_m[L,M]$  in a space V such that  $H_m$  can be identified with the space  $\ell_2$  of V-valued functions on the homogeneous space  $\widehat{\mathbb{G}}_m[L,M] \setminus \operatorname{GL}(m)$  and

(1) The group GL(m) acts by transformations of the form

$$\lambda(g)f(x) = R(g, x)f(xg),$$

and for  $q \in \widehat{\mathbb{G}}_m[L, M]$  we have  $R(p, x_0) = \tau_m(q)$  (where  $x_0$  denotes the initial point of  $\widehat{\mathbb{G}}_m[L, M] \setminus \operatorname{GL}(m)$ ).

(2) The semigroup of idempotents acts by multiplications by indicator functions. Namely  $\mathfrak{X}[K, N]$  acts by multiplication by the function

$$I_{K,N}(x_0a) = \begin{cases} 1, & \text{if } K \supset aL, \ N \supset (a^t)^{-1}M; \\ 0, & \text{otherwise.} \end{cases}$$

*Proof.* Consider the image V of the projector  $\lambda(\mathfrak{X}[L,M])$ . The idempotent  $\mathfrak{X}[L,M]$  commutes with  $\widehat{\mathbb{G}}_m[L,M]$ . Indeed, for  $q \in \widehat{\mathbb{G}}_m[L,M]$  we have

$$q \cdot \mathcal{X}[L, M] \cdot q^{-1} = \mathcal{X}[Lq, M(q^t)^{-1}] = \mathcal{X}[L, M].$$

Therefore the subspace V is  $\widehat{\mathbb{G}}_m[L,M]$ -invariant. Denote by  $\tau_m$  the representation of the group  $\widehat{\mathbb{G}}_m[L,M]$  in V. We need Lemma 8.3:

**Lemma 8.3.** For any  $\mathfrak{g} \in \operatorname{red}_m(\Gamma(m))$  we have  $\lambda(\mathfrak{g})V = V$  or  $\lambda(\mathfrak{g})V \perp V$ .

Proof of Lemma 8.3. Let us apply an arbitrary element of  $\operatorname{red}_m(\Gamma(m))$  to  $v \in V$ ,

$$\lambda \left( a \cdot \mathfrak{X}[K,N] \right) v = \lambda(a) \cdot \lambda \left( \mathfrak{X}[K,N] \, \mathfrak{X}[L,M] \right) v = \lambda(a) \cdot \lambda \left( \mathfrak{X}[K \cap L, N \cap M] \right) v.$$

We have the following cases:

(1) If  $K \not\supset L$  or  $N \not\supset M$ , then by our choice of  $\mathfrak{X}[L,M]$ , we have

$$\lambda \left( \mathfrak{X}[K \cap L, N \cap M] \right) = 0.$$

- (2) Otherwise we come to  $\lambda(a)\lambda(\mathfrak{X}[L,M])v = \lambda(a)v$ .
  - (2.1) If  $a \in \widehat{\mathbb{G}}_m[L, M]$ , we get  $\lambda(a)v \in V$ .
  - (2.2) Let  $a \notin \widehat{\mathbb{G}}_m[L, M]$ . Then

(8.1)

$$\lambda (\mathfrak{X}[L,M]) \lambda(a) \lambda (\mathfrak{X}[L,M]) v = \lambda(a) \Big\{ \lambda(a^{-1}) \lambda (\mathfrak{X}[L,M]) \lambda(a) \Big\} \lambda (\mathfrak{X}[L,M]) v$$

$$= \lambda(a) \lambda \left( \mathfrak{X}[La,M(a^t)^{-1}] \right) \lambda (\mathfrak{X}[L;M]) v$$

$$= \lambda(a) \lambda \left( \mathfrak{X}[La \cap L,M(a^t)^{-1} \cap M] \right) v = 0.$$

Since an idempotent  $\mathfrak{X}[a^{-1}L \cap L, a^tM \cap M]$  is strictly smaller than  $\mathfrak{X}[L, M]$ , the  $\lambda(\mathfrak{X}[\ldots]) = 0$ .

End of proof of Lemma 8.2. Thus  $H_m$  is an orthogonal direct sum of spaces  $V_x$ , where x ranges in the homogeneous space  $\widehat{\mathbb{G}}_m[L,M] \setminus \mathrm{GL}(m)$ , and  $\lambda(a)$  sends each  $V_x$  to  $V_{xa}$ . This means that the representation  $\lambda$  of  $\mathrm{GL}(m)$  is induced from the representation of  $\widehat{\mathbb{G}}_m[L,M]$  in V; see, e.g., [33, Sect.7.1].

Operators

$$\lambda \big( \mathfrak{X}[La^{-1}, a^t M] \big) = \lambda(a) \lambda(\mathfrak{X}[L, M]) \lambda(a^{-1})$$

act as orthogonal projectors to  $V_{x_0a}$ . A projector  $\lambda(\mathfrak{X}[K,N])$  is identical on  $V_{x_0a}$  if and only if  $\mathfrak{X}[K,N]\mathfrak{X}[La^{-1},Ma^t]=\mathfrak{X}[La^{-1},Ma^t]$  and this gives us the action of the semigroup of idempotents.

It remains to show the representation of  $\widehat{\mathbb{G}}_m[L,M]$  in V is irreducible. Assume that it contains a  $\widehat{\mathbb{G}}_m[L,M]$ -invariant subspace W; then each  $V_x$  contains a copy  $W_x$  of W and  $\bigoplus_x W_x$  is a  $\mathrm{GL}(m)$ -invariant subspace in the whole  $H_m$ .

**Corollary 8.4.** Let  $\lambda(\mathfrak{g})$  be a nonzero operator leaving V invariant. Then there is  $b \in \widehat{\mathbb{G}}_m[L,M]$  such that

$$\lambda(\mathfrak{g})\Big|_{V} = \rho(b)\Big|_{V}.$$

*Proof.* This operator can be represented as  $\lambda(a)\lambda(\mathfrak{X}[N,K])$ . An operator  $\lambda(\mathfrak{X}[N,K])$  restricted to V is 0 or 1. Let this operator be 1. Then  $\lambda(a)$  preserves V only if  $a \in \widehat{\mathbb{G}}_m[L,M]$ . In this case we set b=a.

Keeping in mind Lemma 8.1 we get the following statement:

Corollary 8.5. An irreducible \*-representation of the category  $\mathcal{K}$  is determined by its height m, a minimal idempotent  $\mathfrak{X}[L,M]$  acting nontrivially in  $H_m$  and an irreducible representation  $\tau$  of the group  $\widehat{\mathbb{G}}_m[L,M]$ .

We do not claim an existence of representation corresponding to given data of this kind.

8.3. **End of proof.** Let  $\rho$  be an irreducible unitary representation of  $\mathbb{G}$  of height m in a Hilbert space H. Then we have a chain of subspaces in H:

$$H_m \longrightarrow H_{m+1} \longrightarrow H_{m+2} \longrightarrow \dots$$

Lemma 4.2 defines a chain of semigroups

$$\Gamma(m) \longrightarrow \Gamma(m+1) \longrightarrow \Gamma(m+2) \longrightarrow \dots$$

Each semigroup  $\Gamma(n)$  acts in H as follows: in  $H_n$  it acts by operators  $\widetilde{\rho}_{nn}(\cdot)$ ; on  $H_n^{\perp}$  these operators are zero (see Lemma 4.1).

On the other hand, we have a chain of groups

$$GL(m) \longrightarrow GL(m+1) \longrightarrow GL(m+2) \longrightarrow \dots$$

acting by unitary operators; their inductive limit is the group  $\mathbb{G}_{\text{fin}}$ . Each group  $\mathrm{GL}(n)$  preserves the subspace  $H_n$ ; on this subspace the action of  $\mathrm{GL}(n)$  coincides with the action of the group  $\mathrm{Aut}_{\mathcal{K}}(n) = \mathrm{GL}(n)$ .

Consider the data listed in Corollary 8.5. We regard the subspace

$$V = \operatorname{im} \widetilde{\rho}_{mm}(\mathfrak{X}[L, M]) \subset H_m$$

as a subspace in H. Denote the GL(n)-cyclic of V by  $W_n$ ; it is a subspace in  $H_n$ .

**Lemma 8.6.** Let  $g \in GL(n)$ . If  $g \in \widetilde{\mathbb{G}}_n[L;M]$ , then  $\rho(g)V = V$ . Otherwise,  $\rho(g)V \perp V$ .

*Proof.* In the first case, we have

$$\widetilde{\rho}_{nn}(\mathfrak{X}[L,M])\,(\widetilde{\rho}_{nn}(g))^{-1}\,\widetilde{\rho}_{nn}(\mathfrak{X}[Lg,M(g^t)^{-1}])=\widetilde{\rho}_{nn}(\mathfrak{X}[L,M])$$

and therefore the image V of  $\widetilde{\rho}_{nn}(\mathfrak{X}[L,M])$  is invariant with respect to  $\rho(g)$ . In the second case we repeat the line (8.1).

Thus the representation of GL(n) in  $W_n$  is induced from the subgroup  $\widehat{\mathbb{G}}_n[L, M]$ . If k > n, then we have embeddings

$$GL(n) \to GL(k), \quad \widehat{\mathbb{G}}_n[L,M] \to \widehat{\mathbb{G}}_k[L,M]$$

and therefore the map of homogeneous spaces

$$\Xi_{n,k}:\widehat{\mathbb{G}}_n[L,M]\setminus \mathrm{GL}(n)\to\widehat{\mathbb{G}}_k[L,M]\setminus \mathrm{GL}(k).$$

On the other hand, we have an embedding  $W_n \to W_k$  regarding the orthogonal decompositions of these spaces into copies of V; therefore the map  $\Xi_{n,k}$  is an embedding.

Finally, we get a representation of  $\mathbb{G}_{\text{fin}}$  induced from the subgroup  $\widehat{\mathbb{G}}_{\text{fin}}[L,M]$ . By continuity,  $\mathbb{G}$  acts regarding the same orthogonal decomposition  $\oplus V_{xa}$ . Hence a representation of  $\mathbb{G}$  is induced from closure<sup>12</sup> of  $\widehat{\mathbb{G}}_{\text{fin}}[L,M]$ , i.e.,  $\widehat{\mathbb{G}}[L,M]$ .

**Lemma 8.7.** The image of  $\widehat{\mathbb{G}}_{fin}[L,M]$  in the group of operators in V coincides with the image of  $\widehat{\mathbb{G}}_m[L,M]$ .

*Proof.* Let  $u \in \widehat{\mathbb{G}}_n[L,M]$ . Then  $\rho(u)$  preserves  $V \subset H_m$ . Therefore

$$\rho(u)\Big|_{V} = P_{m}\rho(u)P_{m}\Big|_{V} = \widetilde{\rho}([u]_{mm})\Big|_{V}.$$

By Corollary 8.4, this operator has the form  $\rho(u')\Big|_{U}$ , where  $u' \in \widehat{\mathbb{G}}_m[L, M]$ .

Thus the representation  $\tau$  of  $\widehat{\mathbb{G}}_{\text{fin}}[L;M]$  in V has a finite image. Its continuous extension to  $\mathbb{G}[L;M]$  has the same image. The kernel of the representation  $\tau$  is a closed subgroup. Since it has a finite index, it is open. By Proposition 7.11,  $\tau$  is trivial on the subgroup  $\mathbb{G}^{\bullet}[L;M]$ .

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<sup>&</sup>lt;sup>12</sup>This closure contains  $\mathbb{G}(m)$  and we refer to Lemma 3.2.

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