

## REPRESENTATIONS OF 2-TRANSITIVE LOCALLY COMPACT GROUPS

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ABSTRACT. We show that noncompact representations of 2-transitive locally compact groups are irreducible.

### INTRODUCTION

Let  $G$  be a locally compact group acting on a topological space  $X$  such that the map  $G \times X \rightarrow X$  is continuous. Then there exists a Radon measure  $\mu$  on  $X$  such that the action of  $G$  on  $X$  can be extended to a continuous unitary representation of  $G$  on the Hilbert space  $L_2(X, \mu)$ . See Folland [5].

The action of  $G$  is transitive if for  $x$  and  $y$  in  $X$  there exists  $g$  in  $G$  such that  $gx = y$ . The action of  $G$  is 2-transitive if for  $x_1 \neq x_2$  and  $y_1 \neq y_2$  in  $X$  there exists  $g$  in  $G$  such that  $gx_1 = y_1$  and  $gx_2 = y_2$ .

Assume that  $G$  acts 2-transitively on  $X$ . If  $G$  is finite, using Burnside's Lemma, the unitary representation of  $G$  on  $X$  splits into two subrepresentations, the identity representation and an irreducible representation orthogonal to the identity representation; see Serre [6, Section 2.3, problem 2.6]. For infinite discrete  $G$  and  $X$ , Chernoff [2] showed that the unitary representation of  $G$  on  $X$  is irreducible. The purpose of this paper is to show that for noncompact  $G$  and  $X$  the unitary representation of  $G$  on  $X$  is irreducible.

### 1. NONCOMPACT $G$ AND $X$

In this section we prove Theorem 1:

**Theorem 1.** *Let  $G$  be a noncompact nondiscrete locally compact and  $\sigma$ -compact topological transformation group acting faithfully and 2-transitively on a locally compact noncompact not totally disconnected space  $X$ . Then the unitary representation of  $G$  on the Hilbert space  $L_2(X, \mu)$  is irreducible.*

Throughout this section  $G$  and  $X$  satisfy the hypothesis of Theorem 1 and all group operations are written multiplicatively.

Let  $H$  be the stabilizer of a point  $o \in X$ . Then  $H$  acts transitively on  $X \setminus \{o\}$ . By Theorem C in Kramer [5],  $X$  carries the structure of a finite dimensional vector space, with basepoint  $o = 0$ . The group  $H$  is a matrix group, acting transitively on the set of nonzero vectors. The group  $G$  is then the semi-direct product  $G = H \ltimes X$ , in its natural action on  $X$ .

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Let  $f$  be a continuous function  $X$  with compact support and let  $h \in H$ . Define  $\pi(h)f$  on  $X$  by  $\pi(h)f(x) = \sqrt{\rho(h)^{-1}}f(h^{-1}x)$  where  $\rho(h)$  is the absolute value of the determinant of  $h$  acting on  $X$ . Then  $\pi(h)$  can be extended to a unitary operator on the Hilbert space  $L_2(X, \mu)$  and the map  $h \rightarrow \pi(h)$  to a continuous unitary representation of  $H$  on  $L_2(X, \mu)$ . See Folland [4, Section 6.1, pg. 154]. Since  $G = H \times X$  we can extend  $\rho$  to all of  $G$  by  $\rho(hx) = \rho(h)$  and so  $\rho(g_1g_2) = \rho(g_1)\rho(g_2)$  for  $g_1, g_2 \in G$ . The unitary representation  $\pi$  can then be extended to all of  $G$  by  $\pi(g)f(x) = \sqrt{\rho(g)^{-1}}f(g^{-1}x)$ .

**Lemma 1.** *Let  $x_1 \neq x_2$  in  $X$ . Then there exist  $x_3, x_4, \dots$  and  $\delta > 0$  such that the  $x_i$  are all distinct and for  $i \neq j$  there exists  $g \in G$  with  $gx_i = x_1, gx_j = x_2$ , and  $\rho(g) \leq \delta$ .*

*Proof.* Let  $\pi$  be the unitary representation of  $G$  on  $L_2(X, \mu)$  defined above. Since  $G$  is 2-transitive, there exists a  $g_0$  such that  $g_0x_2 = x_1$  and  $g_0x_1 = x_2$ . Let  $\delta = \rho(g_0)$ . Suppose we have  $x_1, x_2, x_3, \dots, x_n$  such that for  $i \neq j$  there is  $g \in G$  with  $gx_i = x_1, gx_j = x_2$ , and  $\rho(g) \leq \delta$ . Choose  $x_{n+1}$  as follows: For each  $1 \leq i < n$  let  $H_i$  be the stabilizer of  $x_i$ . Since  $G$  is 2-transitive,  $X = \{gx_n \mid g \in H_i\}$ . Let  $A = \{gx_n \mid \rho(g) \geq 1\}$ . Then  $A$  has nonempty interior and so  $\mu(A) > 0$ . Therefore also  $\mu(A \setminus \{x_1, \dots, x_n\}) > 0$ . Choose  $x_{n+1} \in A \setminus \{x_1, \dots, x_n\}$ . Then for each  $1 \leq i < n$  there exists  $g_i$  such that  $x_{n+1} = g_ix_n, g_ix_i = x_i$ , and  $\rho(g_i) \geq 1$ . So  $g_i^{-1}x_{n+1} = x_n, g_i^{-1}x_i = x_i$ , and  $\rho(g_i) \geq 1$ . Therefore  $\rho(g_i^{-1}) = \rho(g_i)^{-1} \leq 1$ . By the choice of  $x_1, x_2, x_3, \dots, x_n$ , there exists  $g'$  such that  $g'x_n = x_2, g'x_i = x_1$ , and  $\rho(g') \leq \delta$ . Then setting  $g = g'g_i^{-1}$  we get  $gx_{n+1} = x_2, gx_i = x_1$ , and  $\rho(g) = \rho(g'g_i^{-1}) = \rho(g')\rho(g_i^{-1}) \leq \delta$ . Therefore the set  $x_1, x_2, x_3, \dots, x_n, x_{n+1}$  has the desired property. □

*Proof of Theorem 1.* Let  $\langle \cdot, \cdot \rangle$  be the inner product on  $L_2(X, \mu)$ . Let  $U$  be a measurable set in  $X$  with compact closure. Then  $\mu(U) < \infty$ . Let  $x_1$  and  $x_2$  be such that  $x_1U \simeq x_1 + U$  and  $x_2U \simeq x_2 + U$  are disjoint. Using Lemma 1 we get distinct  $x_1, x_2, x_3, \dots$  in  $X$  and  $\delta > 0$  such that for  $i \neq j$  there exists  $g_{ij} \in G$  with  $g_{ij}x_i = x_1, g_{ij}x_j = x_2$  and  $\rho(g_{ij}) \leq \delta$ . Therefore  $g_{ij}(x_iU) = (g_{ij}x_i)U = x_1U, g_{ij}(x_jU) = (g_{ij}x_j)U = x_2U$ , and so  $\{x_iU\}$  is a sequence of disjoint subsets.

For any subset  $W$  of  $X$  let  $\xi_W$  denote the characteristic function of  $W$ . Let  $f_n = \sum_{i=1}^n c_i \xi_{x_iU}$  with  $c_i \geq 0$ . Since  $X$  acts transitively on itself, there exists  $v_i \in X$  such that  $v_ix_i = x_1$ . Since  $\rho \equiv 1$  on  $X, \mu(x_iU) = \mu(x_1U)$ . Therefore  $\langle f_n, f_n \rangle = \sum_{i=1}^n c_i^2 \mu(x_1U)$ . Let  $T$  be a positive intertwining operator for the action  $\pi$  of  $G$  on  $X$ . Then

$$\begin{aligned} \langle T\xi_{x_iU}, \xi_{x_iU} \rangle &= \langle \pi(v_i)T\xi_{x_iU}, \pi(v_i)\xi_{x_iU} \rangle \\ &= \langle T\xi_{v_ix_iU}, \xi_{v_ix_iU} \rangle \\ &= \langle T\xi_{x_1U}, \xi_{x_1U} \rangle \end{aligned}$$

and for  $i \neq j$ ,

$$\begin{aligned} \langle T\xi_{x_iU}, \xi_{x_jU} \rangle &= \langle \pi(g_{ij})T\xi_{x_iU}, \pi(g_{ij})\xi_{x_jU} \rangle \\ &= \rho(g_{ij})^{-1} \langle T\xi_{g_{ij}x_iU}, \xi_{g_{ij}x_jU} \rangle \\ &= \rho(g_{ij})^{-1} \langle T\xi_{x_1U}, \xi_{x_2U} \rangle. \end{aligned}$$

Therefore

$$\begin{aligned}
 \langle Tf_n, f_n \rangle &= \sum_{i=1}^n c_i^2 \langle T\xi_{x_i U}, \xi_{x_i U} \rangle + \sum_{i \neq j} c_i c_j \langle T\xi_{x_i U}, \xi_{x_j U} \rangle \\
 (1) \qquad &= \sum_{i=1}^n c_i^2 \langle T\xi_{x_1 U}, \xi_{x_1 U} \rangle + \sum_{i \neq j} c_i c_j \rho(g_{ij})^{-1} \langle T\xi_{x_1 U}, \xi_{x_2 U} \rangle.
 \end{aligned}$$

Since  $T$  is positive  $\langle T\xi_{x_1 U}, \xi_{x_2 U} \rangle$  is real.

If  $\langle T\xi_{x_1 U}, \xi_{x_2 U} \rangle \geq 0$ , from (1) we get

$$\begin{aligned}
 \langle Tf_n, f_n \rangle &\geq \sum_{i \neq j} c_i c_j \rho(g_{ij})^{-1} \langle T\xi_{x_1 U}, \xi_{x_2 U} \rangle \\
 (2) \qquad &\geq \left[ \sum_{i \neq j} c_i c_j \delta^{-1} \right] \langle T\xi_{x_1 U}, \xi_{x_2 U} \rangle \\
 &= \left[ \left[ \sum_{i=1}^n c_i \right]^2 - \sum_{i=1}^n c_i^2 \right] \delta^{-1} \langle T\xi_{x_1 U}, \xi_{x_2 U} \rangle.
 \end{aligned}$$

Now let  $c_i = \frac{1}{i}$  and  $f = \sum_{i=1}^{\infty} c_i \xi_{x_i U}$ . Then since  $\sum_{i=1}^{\infty} c_i^2 < \infty$  we have  $f \in L_2(X, \mu)$  and  $\lim_{n \rightarrow \infty} \langle Tf_n, f_n \rangle = \langle Tf, f \rangle < \infty$ . Since  $\sum_{i=1}^{\infty} c_i = \infty$ , letting  $n \rightarrow \infty$  in (2) we must have  $\langle T\xi_{x_1 U}, \xi_{x_2 U} \rangle = 0$ .

If  $\langle T\xi_{x_1 U}, \xi_{x_2 U} \rangle \leq 0$ , from (1) we get

$$\begin{aligned}
 \langle Tf_n, f_n \rangle &= \sum_{i=1}^n c_i^2 \langle T\xi_{x_1 U}, \xi_{x_1 U} \rangle + \sum_{i \neq j} c_i c_j \rho(g_{ij})^{-1} \langle T\xi_{x_1 U}, \xi_{x_2 U} \rangle \\
 (3) \qquad &\leq \sum_{i=1}^n c_i^2 \langle T\xi_{x_1 U}, \xi_{x_1 U} \rangle + \sum_{i \neq j} c_i c_j \delta^{-1} \langle T\xi_{x_1 U}, \xi_{x_2 U} \rangle \\
 &= \sum_{i=1}^n c_i^2 \langle T\xi_{x_1 U}, \xi_{x_1 U} \rangle + \left[ \left[ \sum_{i=1}^n c_i \right]^2 - \sum_{i=1}^n c_i^2 \right] \delta^{-1} \langle T\xi_{x_1 U}, \xi_{x_2 U} \rangle
 \end{aligned}$$

As above, let  $c_i = \frac{1}{i}$ . Then  $\sum_{i=1}^{\infty} c_i^2 < \infty$ ,  $\sum_{i=1}^{\infty} c_i = \infty$ , and  $\lim_{n \rightarrow \infty} \langle Tf_n, f_n \rangle = \langle Tf, f \rangle < \infty$ . So with  $\langle T\xi_{x_1 U}, \xi_{x_2 U} \rangle \leq 0$ , letting  $n \rightarrow \infty$  in (3) we must have  $\langle T\xi_{x_1 U}, \xi_{x_2 U} \rangle = 0$ . Therefore if  $\mu(U) < \infty$ ,  $\langle T\xi_{x_1 U}, \xi_{x_2 U} \rangle = 0$  when  $x_1 U$  and  $x_2 U$  are disjoint.

Since  $X$  is homeomorphic with  $\mathbb{R}^n$ , there is a sequence  $\{U_k\}_{k=1}^{\infty}$  of subsets of  $X$  with  $\mu(U_k) \rightarrow 0$  such that each  $U_k$  is the disjoint union of  $U_{k+1}$  and a translate of  $U_{k+1}$  and finite linear combinations of characteristic functions of disjoint translates of  $U_k$ ,  $k \geq 1$ , are dense in  $L_2(X, \mu)$ .

Now suppose  $W = U \cup xU$  where  $0 < \mu(U) < \infty$  and  $U$  and  $xU$  are disjoint. Then by the above argument,  $\langle T\xi_U, \xi_{xU} \rangle = \langle T\xi_{xU}, \xi_U \rangle = 0$ . There exists  $v$  in  $X$  such that  $v(xU) = U$ . Since  $\rho \equiv 1$  on  $X$ , we also get

$$\langle T\xi_{xU}, \xi_{xU} \rangle = \langle \pi(v)T\xi_{xU}, \pi(v)\xi_{xU} \rangle = \langle T\xi_{v(xU)}, \xi_{v(xU)} \rangle = \langle T\xi_U, \xi_U \rangle.$$

Let

$$\lambda = \frac{\langle T\xi_W, \xi_W \rangle}{\mu(W)}.$$

Then

$$\lambda = \frac{\langle T\xi_U, \xi_U \rangle + \langle T\xi_{xU}, \xi_{xU} \rangle}{2\mu(U)} = \frac{\langle T\xi_U, \xi_U \rangle}{\mu(U)}.$$

So for any such decomposition,  $\lambda$  is independent of  $U$  and  $W$  and so  $\langle T\xi_W, \xi_W \rangle = \lambda \langle \xi_W, \xi_W \rangle$  and  $\langle T\xi_U, \xi_U \rangle = \lambda \langle \xi_U, \xi_U \rangle$ . Therefore  $\langle T\xi_{U_k}, \xi_{U_k} \rangle = \lambda \langle \xi_{U_k}, \xi_{U_k} \rangle$  for all  $k$  and so  $T = \lambda I$ . It then follows that the representation  $\pi$  is irreducible.  $\square$

By Theorem 1, the noncompact representations of the 2-transitive groups classified by Tits in [7] are all irreducible. For a complete classification see Kramer [5, Theorem 5.14 and 6.17].

**Examples.** Let  $G$  be the  $ax + b$  group acting on  $\mathbb{R}$  by  $x \mapsto ax + b$  where  $a \neq 0$ . If  $x_1 \neq x_2$  and  $y_1 \neq y_2$  the system  $\begin{bmatrix} x_1 & 1 \\ x_2 & 1 \end{bmatrix} \begin{bmatrix} a \\ b \end{bmatrix} = \begin{bmatrix} y_1 \\ y_2 \end{bmatrix}$  has a solution. Therefore the action of  $G$  is 2-transitive on  $\mathbb{R}$ , see Conrad [3, example 4.3]. By Theorem 1, the unitary representation of  $G$  on  $L_2(\mathbb{R}, \mu)$  is irreducible. This result also follows from the representation theory of semi-direct products, see Folland [4, Section 6.7, pg. 189].

Some examples from Kramer [5, Theorem 5.14 and 6.17] of groups acting 2-transitively and hence irreducibly on  $X \cong \mathbb{R}^n$ , are  $G = \text{SO}(n) \cdot \mathbb{R}_{>0} \times \mathbb{R}^n$  and  $G = \text{SL}_n(\mathbb{R}) \times \mathbb{R}^n$  with  $n \geq 3$ , and  $G = \text{Sp}(n) \cdot \mathbb{C}^* \times \mathbb{R}^n$  and  $G = \text{Sp}_{2n}(\mathbb{R}) \times \mathbb{R}^n$  with  $n \geq 2$ .

The argument in the proof of Theorem 1 simplifies for infinite discrete groups acting on an infinite discrete set  $X$ . To prove irreducibility, start by selecting distinct  $x_1, x_2, \dots$  in  $X$  and replacing  $x_i U$  by the singleton  $\{x_i\}$  and  $W$  with  $\{x_i, x_1\}$ . This case is proved in Chernoff [2].

For example let  $G$  be the group of permutations on  $\mathbb{Z}$  that move only a finite number of integers. Then  $G$  acts 2-transitively and so the unitary representation of  $G$  on  $l_2(\mathbb{Z}, \mu)$  is irreducible.

## 2. COMPACT $X$

Suppose  $G$  is a locally compact group acting 2-transitively on a compact topological space  $X$ . Let  $\pi$  be the unitary representation of  $G$  on the Hilbert space  $L_2(X, \mu)$ . Unlike the situation for finite groups,  $\pi$  restricted to the orthogonal complement of the constant functions in  $L_2(X, \mu)$  may not be irreducible as the following example illustrates.

**Example.** Let  $G = \text{SL}_2(\mathbb{R})$  and  $X = \mathbb{RP}^1$ , the real projective line. Then it is shown in Conrad [3, Theorem 4.21] that the action of  $G$  on  $X$  is 2-transitive. It follows from Casselman [1, page 16] that  $X \cong G/B$  where  $B$  is the Borel subgroup of  $G$  and the representation on  $X$  is, via normalized induction,  $\text{Ind}_B^G \delta_B^{-1/2}$  where  $\delta \begin{bmatrix} t & x \\ 0 & t^{-1} \end{bmatrix} = t^2$ . By Casselman [1, Proposition 8.7] with  $s = -1, m = 1$ , and  $n = 0$ , the orthogonal complement of the projection onto the space of constant functions on  $X$  splits into two infinite dimensional subrepresentations.

If  $G$  is compact, let  $H$  be the stabilizer of a point  $o \in X$ . Then  $H$  is also compact. But  $H$  acts transitively on the open set  $X \setminus \{o\}$ , so  $X \setminus \{o\}$  is clopen. Therefore  $\{o\}$  is open and so  $X$  is discrete and hence finite.

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