

the same manner, we obtain as the image of V_k^μ a $V_k^{\mu^2}$ which is of the same nature as that obtained by means of the r^2 -ic transformation as the image of an S_{r-1} .

THE UNIVERSITY OF CALIFORNIA

ON SOME FUNCTIONS CONNECTED WITH $\phi(n)$

BY S. SIVASANKARANARAYANA PILLAI*

Let $\phi(n)$ denote, as usual, the number of numbers not greater than and prime to n . Let $N(x)$ be the number of distinct numbers less than x , which can be the ϕ function of some number; and let $R(n)$ be the number of solutions of the equation

$$n = \phi(x),$$

n being given. The object of this note is to prove some results concerning the magnitude of $N(n)$ and to apply them to prove that

$$\overline{\lim}_{n=\infty} R(n) = \infty .$$

Since there is no reference to such results in Dickson's *History of the Theory of Numbers*, I believe that the last result in particular is new.

THEOREM I. *We have*

$$N(n) > \frac{a \cdot n}{\log n},$$

where a is a constant.

PROOF. For each prime p , $\phi(p) = p - 1$; hence, if we denote by $\pi(n)$ the number of primes not exceeding n , then

$$N(n) \geq \pi(n).$$

* I take this opportunity to express my deep gratitude to K. Ananda Rao for his invaluable guidance and encouragement.

This paper was read before the conference of the Indian Mathematical Society held in December 1928.

But by elementary methods it has been proved that*

$$\pi(n) > \frac{a \cdot n}{\log n}$$

where $a > 0$ is a constant. Therefore

$$N(n) > \frac{a \cdot n}{\log n}.$$

THEOREM II. *We have*

$$N(n) = O\left\{\frac{n}{(\log n)^t}\right\},$$

where $t = (\log 2)/e$.

PROOF. If p is an odd prime, $\phi(p^\alpha)$ is even; and $\phi(m \cdot n) = \phi(m)\phi(n)$ when m and n are prime to each other. Therefore, if any number m is composed of more than r different odd prime factors, then $\phi(m)$ is divisible by 2^{r+1} . So, if a number of the form $2^r \cdot h$ (where h is odd) should be a $\phi(m)$, then m may contain at most r different odd prime factors. Consequently, in the set $2^s \cdot h_s$, where s takes the values $0, 1, 2, \dots, r$, and h_s runs through all odd numbers not exceeding $n/2^s$, the number of numbers which can be the ϕ of some numbers, is not greater than

$$\pi_1(n) + \pi_2(n) + \dots + \pi_{r+1}(n),$$

where $\pi_r(x)$ is the number of numbers not exceeding x , which are composed of r different prime factors. But the number of numbers in the set $2^s \cdot h_s$ considered above, is

$$= \sum_{0 \leq s \leq r} \left[\frac{n}{2^{s+1}} \right] = \sum_{0 \leq s \leq r} \frac{n}{2^{s+1}} + O(r) = n - \frac{n}{2^{r+1}} + O(r).$$

Hence, of the numbers $\leq n$, at least

$$n - \frac{n}{2^{r+1}} + O(r) - \sum_{1 \leq s \leq r+1} \pi_s(n)$$

numbers cannot be the ϕ function of any number. Therefore

* Ramanujan's *Collected Papers*, pp. 208–209. Landau, *Vorlesungen über Zahlentheorie*, vol. 1; Theorem 112. etc.

$$\begin{aligned} N(n) &\leq n - \left\{ n - \frac{n}{2^{r+1}} + O(r) - \sum_{1 \leq s \leq r+1} \pi_s(n) \right\} \\ &= \frac{n}{2^{r+1}} + O(r) + \sum_{1 \leq s \leq r+1} \pi_s(n). \end{aligned}$$

By elementary methods, Hardy and Ramanujan have proved that*

$$\pi^\gamma(n) < \frac{kn(\log \log n + c)^{r-1}}{(y-1)! \log n},$$

where k and c are constants.

Hence, if $r+1 < \log \log n$,

$$\begin{aligned} \sum_{1 \leq s \leq r+1} \pi_s(n) &= O\left(\sum_{1 \leq s \leq r+1} \frac{n(\log \log n + c)^{s-1}}{(s-1)! \log n} \right) \\ &= O\left(\sum_{1 \leq s \leq r+1} \frac{n(\log \log n)^{s-1}}{(s-1)! \log n} \right), \end{aligned}$$

for

$$\begin{aligned} \left(1 + \frac{c}{\log \log n}\right)^{s-1} &\leq \left(1 + \frac{c}{\log \log n}\right)^{\log \log n} \\ &= e^{\log \log n \log(1+c/\log \log n)} \leq e^c = O(1). \end{aligned}$$

But, since $r+1 < \log \log n$,

$$\frac{(\log \log n)^{s-1}}{(s-1)!} < \frac{(\log \log n)^s}{s!}.$$

Therefore, if $r+1 < \log \log n$,

$$\sum_{1 \leq s \leq r+1} \pi_s(n) = O\left(\frac{r \cdot n}{\log n} \frac{(\log \log n)^r}{r!} \right).$$

Therefore, if $r+1 < \log \log n$,

$$\begin{aligned} N(n) &= O\left(\frac{n}{2^{r+1}} \right) + O(r) + O\left(\frac{n}{\log n} \frac{(\log \log n)^r}{(r-1)!} \right) \\ &= O(s_1) + O(s_2) + O(s_3), \text{ say.} \end{aligned}$$

Put

* Ramanujan's *Collected Papers*. Paper No. 35, 2.2, Lemma A.

$$r = \left[\frac{\log \log n}{e} \right].$$

Then, by Stirling's theorem,

$$\begin{aligned} \log s_3 &= \log n - \log \log n + r \log \log \log n - (r-1) \log(r-1) \\ &\quad - \frac{1}{2} \log(r-1) + r - 1 + O(1) \\ &\leq \log \log n - \log \log n + \frac{\log \log n \log \log \log n}{e} \\ &\quad - \frac{\log \log n}{e} \log \frac{\log \log n}{e} - \frac{1}{2} \log \frac{\log \log n}{e} \\ &\quad + \frac{\log \log n}{e} + O(1) \\ &= \log n - \log \log n \left(1 - \frac{1}{e} - \frac{1}{e} \right) - \frac{1}{2} \log \log \log n \\ &\quad + O(1) \leq \log n - \log \log n \left(\frac{e-2}{e} \right) + O(1) \\ &\leq \log n - \frac{\log 2}{e} \log \log n + O(1) \\ &= \log n - t \log \log n + O(1). \end{aligned}$$

Therefore

$$\begin{aligned} s_3 &= O\left(\frac{n}{(\log n)^t}\right), \\ s_2 &= O(r) = O(\log \log n) = O\left(\frac{n}{\log^t n}\right), \\ s_1 &= O\left(\frac{n}{2^{\log \log n/e}}\right) = O\left(\frac{n}{\log^t n}\right). \end{aligned}$$

Therefore

$$\begin{aligned} N(n) &= O(s_1 + s_2 + s_3) \\ &= O\left(\frac{n}{\log^t n}\right). \end{aligned}$$

Now we shall apply the above result to prove the following theorem.

THEOREM III. *We have*

$$R(n) \neq o(\log^t n)$$

and in particular,

$$\overline{\lim}_{n=\infty} R(n) = \infty .$$

PROOF. Let

$$s(n) = \sum_{1 \leq m \leq n} R(m) .$$

If possible, let

$$R(m) = o(\log m)^t .$$

Then

$$\begin{aligned} s(n) &\leq N(n) (\text{Max}_{1 \leq m \leq n} R(m)) \\ &= N(n) \{o(\log^t n)\} \\ &= o\left(\frac{n}{\log^t n}\right) \{O(\log^t n)\} \\ &= o(n) , \end{aligned}$$

from Theorem II.

Now, $S(n)$ is the number of numbers whose ϕ functions are $\leq n$. But, since $\phi(m) < m$, $S(n) \geq n$, which contradicts $S(n) = o(n)$. The theorem is therefore proved.

ANNAMALAI UNIVERSITY,
CHIDAMBARAM, SOUTH INDIA