

ON A LINKAGE THEOREM BY L. CESARI

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In connection with his work on Lebesgue area of surfaces, Lamberto Cesari (*Su di un problema di analysis situs dello spazio ordinario*, R. Istituto Lombardo di Scienze e Lettere, Classe di Scienze Matematiche e Naturali, Rendiconti (3) vol. 6 (1942) pp. 267–291) has stated and proved a linkage theorem (see below), which reveals a rather interesting property of Euclidean 3-space. In view of the simplicity of the theorem and the laboriousness of Cesari's proof, the following concise proof may be of some interest.

In Euclidean three space E^3 consider the set M consisting of the three axes X, Y, Z . Let δ be a positive number and let N be the set consisting of the four lines

$$\begin{aligned} X_\delta: (y = 0, z = -\delta), & \quad Y_\delta: (x = 0, z = \delta), \\ Z'_\delta: (x = \delta, y = \delta), & \quad Z''_\delta: (x = -\delta, y = -\delta). \end{aligned}$$

THEOREM OF CESARI. *Any closed path in $E^3 - M$ that has a distance greater than δ from M and is contractible in $E^3 - N$ also is contractible in $E^3 - M$.*

Let \mathcal{A} be the fundamental group of $E^3 - M$ and \mathcal{B} the fundamental group of $E^3 - N$. We shall assume that the same point of E^3 is used as base point in the definition of both \mathcal{A} and \mathcal{B} and that this point has a distance greater than δ from M .

Given any element $a \in \mathcal{A}$ select a closed path in $E^3 - M$ in the class a with distance greater than δ from M . Such a path lies also in $E^3 - N$ and determines an element $\phi(a)$ of \mathcal{B} . It is easy to see that ϕ is single-valued and yields a homomorphism $\phi: \mathcal{A} \rightarrow \mathcal{B}$.

Cesari's theorem can now be reformulated as follows:

THEOREM. *ϕ maps \mathcal{A} isomorphically into a subgroup of \mathcal{B} .*

To prove the theorem we draw projections of M and N and establish generators and relations for \mathcal{A} and \mathcal{B} . In terms of these generators the homomorphism ϕ is given an explicit form. The problem thus translates into a problem on free groups which is solved algebraically.

In looking at the diagrams one should consider the eye as the base point of the fundamental group. To each line of the diagram corresponds then an element of the group represented by an arrow which corresponds to the path leading rectilinearly from the eye to the be-

Received by the editors April 18, 1947.

ginning of the arrow, following the arrow and returning rectilinearly from the end of the arrow to the eye. Each crossing or ramification

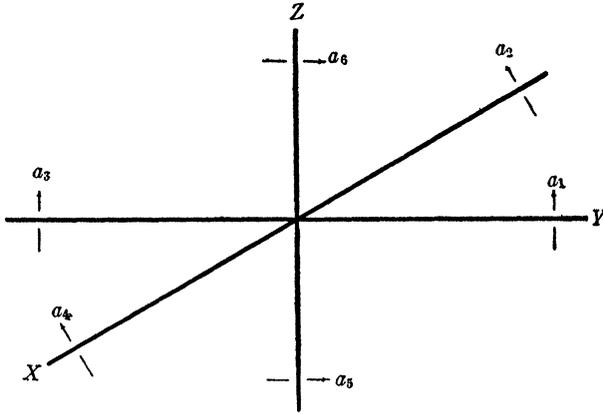


FIG. 1

gives a relation. See K. Reidemeister, *Knotentheorie*, Berlin, 1932, chap. 3.

From Fig. 1 we deduce that \mathcal{A} is generated by six generators a_1, \dots, a_6 with the single relation $a_5 a_1 a_2 = a_4 a_3 a_6$ derived from the ramification point. Thus $a_6 = a_3^{-1} a_4^{-1} a_5 a_1 a_2$ and \mathcal{A} is the free group generated by a_1, \dots, a_5 .

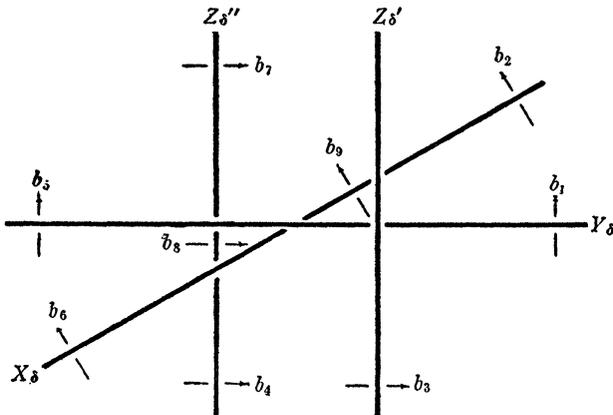


FIG. 2

From Fig. 2 we deduce that \mathcal{B} is generated by the elements b_1, \dots, b_9 and the five relations derived from the five crossings

$$\begin{aligned}
 b_5 b_3 b_1^{-1} b_3^{-1} &= 1, & b_9 b_3 b_2^{-1} b_3^{-1} &= 1, & b_6 b_5 b_9^{-1} b_5^{-1} &= 1, \\
 b_8 b_6^{-1} b_4^{-1} b_6 &= 1, & b_7 b_5^{-1} b_8^{-1} b_5 &= 1,
 \end{aligned}$$

which are equivalent with the following:

$$\begin{aligned}
 b_5 &= b_3 b_1 b_3^{-1}, \\
 b_6 &= b_3 b_1 b_2 b_1^{-1} b_3^{-1}, \\
 b_7 &= b_3 b_2^{-1} b_1^{-1} b_3^{-1} b_4 b_3 b_1 b_2 b_3^{-1}, \\
 b_8 &= b_3 b_1 b_2^{-1} b_1^{-1} b_3^{-1} b_4 b_3 b_1 b_2 b_1^{-1} b_3^{-1}, \\
 b_9 &= b_3 b_2 b_3^{-1}.
 \end{aligned}$$

Thus \mathcal{B} is the free group generated by b_1, \dots, b_4 .

The values of the homomorphism $\phi: \mathcal{A} \rightarrow \mathcal{B}$ on the generators a_1, \dots, a_5 are

$$\begin{aligned}
 \phi(a_1) &= b_1, \\
 \phi(a_2) &= b_2, \\
 \text{(I)} \quad \phi(a_3) &= b_5 = b_3 b_1 b_3^{-1}, \\
 \phi(a_4) &= b_6 = b_3 b_1 b_2 b_1^{-1} b_3^{-1}, \\
 \phi(a_5) &= b_4 b_3.
 \end{aligned}$$

We also have $\phi(a_6) = b_7 b_3$ but this is a consequence of (I) since $a_6 = a_3^{-1} a_4^{-1} a_5 a_1 a_2$.

We introduce new bases in \mathcal{A} and \mathcal{B} as follows

$$\begin{aligned}
 A_1 &= a_1, & B_1 &= b_1, \\
 A_2 &= a_2, & B_2 &= b_2, \\
 A_3 &= a_3, & B_3 &= b_3, \\
 A_4 &= a_3^{-1} a_4 a_3, & B_4 &= b_4 b_3, \\
 A_5 &= a_5.
 \end{aligned}$$

It follows from a theorem of F. Levi (Math. Zeit. vol. 37 (1933) p. 95) that A_1, \dots, A_5 are free generators for \mathcal{A} and that B_1, \dots, B_4 are free generators for \mathcal{B} . In terms of the new generators we have

$$\begin{aligned}
 \phi(A_1) &= B_1, \\
 \phi(A_2) &= B_2, \\
 \text{(II)} \quad \phi(A_3) &= B_3 B_1 B_3^{-1}, \\
 \phi(A_4) &= B_3 B_2 B_3^{-1}, \\
 \phi(A_5) &= B_4.
 \end{aligned}$$

Since A_5 and B_4 occur only in the last equation we can suppress these two generators and consider the group \mathcal{A}' generated by A_1, \dots, A_4 and the group \mathcal{B}' generated by B_1, B_2, B_3 .

Every element $A \in \mathcal{A}'$ can uniquely be written as

$$A = \dots W_i(A_1, A_2)V_i(A_3, A_4)W_{i+1}(A_1, A_2)V_{i+1}(A_3, A_4) \dots$$

where W_i is a word in A_1, A_2 in normal form (that is one in which no element stands next to its inverse) and V_i is similarly a word in A_3, A_4 . The expression for A may begin and end with either a W or a V . In view of relations (II) we have

$$\begin{aligned} \phi W_i(A_1, A_2) &= W_i(B_1, B_2), \\ \phi V_i(A_3, A_4) &= B_3 V_i(B_1, B_2) B_3^{-1}. \end{aligned}$$

Thus

$$\begin{aligned} \phi(A) &= \dots W_i(B_1, B_2) B_3 V_i(B_1, B_2) B_3^{-1} \\ &\quad \cdot W_{i+1}(B_1, B_2) B_3 V_{i+1}(B_1, B_2) B_3^{-1} \dots \end{aligned}$$

and the expression on the right is in normal form. Thus $\phi(A) = 1$ implies $W_i = 1$ and $V_i = 1$ and therefore $A = 1$. This shows that ϕ is an isomorphism as desired.

It may be worth noting that the analogous theorem is false if the line Z_δ' is removed. Indeed the removal of this line has the effect of setting $b_4 = 1$ in the group \mathcal{B} . Then $B_3 = B_4$ and $W = A_3 A_5 A_1^{-1} A_5^{-1}$ is an element in \mathcal{A} with $\phi(W) = 1$. On the other hand the theorem remains valid if both lines X and X_δ are removed. The effect of this removal is to set $a_2 = a_4 = 1$ or equivalently $A_2 = A_4 = 1$ in the group \mathcal{A} , and $B_2 = b_2 = 1$ in the group \mathcal{B} . The group \mathcal{A} is then freely generated by A_1, A_3, A_5 while \mathcal{B} is similarly generated by B_1, B_3, B_4 . The homomorphism ϕ is defined by

$$\begin{aligned} \phi(A_1) &= B_1, \\ \phi(A_3) &= B_3 B_1 B_3^{-1}, \\ \phi(A_5) &= B_4. \end{aligned}$$

An argument completely analogous to the preceding one shows that ϕ is an isomorphism of \mathcal{A} into a subgroup of \mathcal{B} .