

INVARIANT EIGENDISTRIBUTIONS ON SEMISIMPLE LIE GROUPS

BY HARISH-CHANDRA¹

Communicated by Raoul Bott, September 13, 1962.

1. Let M be an oriented separable differentiable manifold of dimension n . (We do not assume that M is connected.) Let $C_c^\infty(M)$ denote the space of all complex-valued C^∞ functions on M with compact support. A distribution T on M is a linear mapping $T: C_c^\infty(M) \rightarrow \mathbb{C}$ which is continuous in the topology of Schwartz. More explicitly, this means the following. Let U be any open and relatively compact set in M . Then we can select differential operators² D_1, \dots, D_r on M such that

$$|T(f)| \leq \sum_i \sup |D_i f| \quad (f \in C_c^\infty(U)).$$

Let G be a group acting on M . We denote by x^g the transform of $x \in M$ by $g \in G$. We assume that, for a fixed g , the mapping $x \rightarrow x^g$ of M is of class C^∞ . Then for any $f \in C_c^\infty(M)$, the function $f^g: x \rightarrow f(x^{g^{-1}})$ is again in $C_c^\infty(M)$ and if T is a distribution, the mapping $T^g: f \rightarrow T(f^{g^{-1}})$ ($f \in C_c^\infty(M)$) is also a distribution. We say T is invariant (under G) if $T^g = T$ for all $g \in G$.

Now G operates in a natural way on the spaces² of differential operators and differential forms on M . For example if D is a differential operator, $D^g f = (Df^{g^{-1}})^g$ ($f \in C_c^\infty(M)$, $g \in G$). Fix a (real) differential form ω on M of degree n which is invariant under G and which is everywhere positive (with respect to the given orientation of M). Then for every differential operator D on M , we define its adjoint D^* to be the (unique) differential operator satisfying the relation

$$\int_M Df \cdot \phi \omega = \int_M f D^* \phi \cdot \omega$$

for all $f, \phi \in C_c^\infty(M)$. If T is a distribution, the mapping $f \rightarrow T(D^* f)$ ($f \in C_c^\infty(M)$) is also a distribution which we denote by DT . Now ω defines a positive Borel measure μ on M . For example if U is an open set in M ,

¹ This work was supported by a grant from the Sloan foundation and a contract with the U. S. Army.

² All differential operators and differential forms are meant to be C^∞ unless explicitly mentioned otherwise.

$$\mu(U) = \int_U \omega.$$

Let F be a function on M which is locally summable (with respect to μ). Then corresponding to F , we get a distribution

$$T_F: f \rightarrow \int fF d\mu = \int_M fF \cdot \omega \quad (f \in C_c^\infty(M)).$$

If T is a distribution, we say $T = F$ if $T = T_F$.

2. Let G be a connected semisimple Lie group. Take $M = G$, $x^g = gxg^{-1}$ ($x, g \in G$) and ω the invariant differential form corresponding to the Haar measure dx on G . Let \mathfrak{Z} be the algebra of all differential operators on G which are invariant under both left and right translations of G . Then \mathfrak{Z} is abelian. Let $l = \text{rank } G$. t being an indeterminate, we denote by $D(x)$ the coefficient of t^l in $\det(t+1 - \text{Ad}(x))$ ($x \in G$). Then D is an analytic function on G and an element $x \in G$ is called regular if $D(x) \neq 0$. Let G' be the set of all regular elements in G . Then G' is an open and dense subset of G whose complement is of measure zero.

Let Θ be a distribution on G . We say that it is invariant if $\Theta^x = \Theta$ ($x \in G$) and that it is an eigendistribution of \mathfrak{Z} if $z\Theta = \chi(z)\Theta$ ($z \in \mathfrak{Z}$) for some homomorphism χ of \mathfrak{Z} into \mathbf{C} .

THEOREM 1. *Let Θ be an invariant eigendistribution of \mathfrak{Z} on G . Then Θ is a locally summable function which is analytic on G' .*

This answers, in particular, a question raised in [3, p. 396].

3. Now assume that the center of G is finite. Fix a maximal compact subgroup K of G and let \mathfrak{E}_K denote the set of all equivalence classes of irreducible finite-dimensional representations of K . For any $\mathfrak{b} \in \mathfrak{E}_K$, let $\xi_{\mathfrak{b}}$ be the character of \mathfrak{b} and \mathfrak{b}^* the class contragradient to \mathfrak{b} so that³ $\xi_{\mathfrak{b}^*}(k) = \text{conj } \xi_{\mathfrak{b}}(k)$ ($k \in K$). For any $f \in C_c^\infty(G)$, define

$$f_{\mathfrak{b}}(x) = d(\mathfrak{b}) \int_K \xi_{\mathfrak{b}}(k)f(kx)dk \quad (x \in G),$$

where $d(\mathfrak{b})$ is the degree of any representation in the class \mathfrak{b} and dk is the normalized Haar measure of K . Then $f_{\mathfrak{b}} \in C_c^\infty(G)$ and the series $\sum_{\mathfrak{b} \in \mathfrak{E}_K} f_{\mathfrak{b}}$ converges in $C_c^\infty(G)$ to f . If T is any distribution on G , the mapping $f \rightarrow T(f_{\mathfrak{b}^*})$ ($f \in C_c^\infty(G)$) is also a distribution, which we denote by $T_{\mathfrak{b}}$. Since

³ conj c stands for the complex conjugate for $c \in \mathbf{C}$.

$$T(f) = \sum_{\mathfrak{b} \in \mathfrak{E}_K} T_{\mathfrak{b}}(f) \quad (f \in C_c^\infty(G)),$$

it is clear that $T_{\mathfrak{b}} \neq 0$ for some $\mathfrak{b} \in \mathfrak{E}_K$, if $T \neq 0$.

Now suppose T is an eigendistribution of \mathfrak{Z} on G . Then the same holds for $T_{\mathfrak{b}}$ ($\mathfrak{b} \in \mathfrak{E}_K$). But since $T_{\mathfrak{b}}$ transforms, under left translations by elements of K , according to \mathfrak{b} , it follows easily that it satisfies an elliptic differential equation on G with analytic coefficients. Therefore $T_{\mathfrak{b}}$ is an analytic function.

4. Let \mathfrak{g} be the Lie algebra of G and \mathfrak{g}_c its complexification. Let G_c be the simply connected complex-analytic group corresponding to \mathfrak{g}_c . Assume that G is the real analytic subgroup of G_c corresponding to \mathfrak{g} and $\text{rank } G = \text{rank } K$. Fix a maximal connected abelian subgroup A of K and let \mathfrak{a} denote its Lie algebra. Then A is a Cartan subgroup of G and $A' = A \cap G'$ is open and dense in A . Let \mathfrak{a}_c denote the complexification of \mathfrak{a} , P the set of all positive roots (under some fixed order) and W the Weyl group of $(\mathfrak{g}_c, \mathfrak{a}_c)$. Then there exists an analytic function Δ on A such that

$$\Delta(\exp H) = \prod_{\alpha \in P} (e^{\alpha(H)/2} - e^{-\alpha(H)/2}) \quad (H \in \mathfrak{a}).$$

Let \hat{A} denote the character group of A . For any $\hat{a} \in \hat{A}$, define

$$\sigma(\hat{a}) = \prod_{\alpha \in P} \langle \alpha, \lambda \rangle$$

where λ is the linear function on \mathfrak{a}_c such that $\hat{a}(\exp H) = e^{\lambda(H)}$ ($H \in \mathfrak{a}$) and $\langle \alpha, \lambda \rangle$ denotes the usual scalar product defined under the Killing form of \mathfrak{g}_c . W operates on \hat{A} in a natural way by duality. An element $\hat{a} \in \hat{A}$ is called regular if its transforms \hat{a}^s ($s \in W$) are all distinct. Then \hat{a} is singular or regular according as $\sigma(\hat{a}) = 0$ or not. Moreover $\sigma(\hat{a}^s) = \epsilon(s)\sigma(\hat{a})$ ($s \in W, \hat{a} \in \hat{A}$), where $\epsilon(s) = 1$ or -1 and is independent of \hat{a} .

If Θ is an invariant eigendistribution of \mathfrak{Z} on G , one can, in view of Theorem 1, speak of the value $\Theta(x)$ of Θ at any point $x \in G'$. Define the function D as in §2.

THEOREM 2. *Fix a regular element $\hat{a} \in \hat{A}$. Then there exists exactly one invariant eigendistribution $\Theta_{\hat{a}}$ of \mathfrak{Z} on G such that:*

- (1) *The function $|D|^{1/2}\Theta_{\hat{a}}$ remains bounded on G' ;*
- (2) *$\Theta_{\hat{a}} = (-1)^q \sigma(\hat{a}) \Delta^{-1} \sum_{s \in W} \epsilon(s) \hat{a}^s$ pointwise on A' .*

Here $q = \frac{1}{2}(\dim G - \dim K)$.

For $f, g \in C_c^\infty(G)$, let $f * g$ denote their convolution product so that

$$(f * g)(x) = \int_G f(y)g(y^{-1}x)dy \quad (x \in G).$$

Also let $\tilde{f}(x) = \text{conj}(f(x^{-1}))$.

THEOREM 3. Put $\Theta = \Theta_{\hat{a}}$ for a fixed regular element \hat{a} in \hat{A} . Then $\Theta(\tilde{f} * f) \geq 0$ for every $f \in C_c^\infty(G)$. Moreover the analytic functions $\Theta_{\mathfrak{b}}$ ($\mathfrak{b} \in \mathfrak{E}_K$) all lie in $L_2(G)$.

It is obvious from its definition that $\Theta \neq 0$. Hence we can choose $\mathfrak{b} \in \mathfrak{E}_K$ such that $\Theta_{\mathfrak{b}} \neq 0$. Let V be the smallest closed subspace of $L_2(G)$ containing $\Theta_{\mathfrak{b}}$, which is invariant under the left-regular representation λ of G . Then $V \neq \{0\}$ and it is easy to show that V is the orthogonal sum of a finite number of subspaces which are all invariant and irreducible under λ . This shows that each of the corresponding irreducible representations belongs to the discrete series.

Define $\Theta_{\hat{a}} = 0$ if \hat{a} is a singular element of \hat{A} and let \mathfrak{S} be the smallest closed subspace of $L_2(G)$ which contains every C^∞ eigenfunction of \mathfrak{Z} lying in $L_2(G)$. For any $f \in C_c^\infty(G)$ and $x \in G$, let f_x denote the function $y \rightarrow f(yx)$ ($y \in G$).

THEOREM 4. The series

$$\sum_{\hat{a} \in \hat{A}} \Theta^{\wedge}(f) \quad (f \in C_c^\infty(G))$$

converges absolutely and the function

$$f^{\#}: x \rightarrow \sum_{\hat{a} \in \hat{A}} \theta_{\hat{a}}^{\wedge}(f_x) \quad (x \in G)$$

lies in \mathfrak{S} . Moreover the Haar measure of G can be so normalized that $f - f^{\#}$ is orthogonal to \mathfrak{S} for every $f \in C_c^\infty(G)$.

Theorem 4 shows that our method gives the entire discrete series.

5. The proofs of these results are rather long. We shall only give a brief outline of the main steps in the proofs of Theorems 1 and 2. As before, let \mathfrak{g}_c be the complexification of the Lie algebra \mathfrak{g} of G and $S(\mathfrak{g}_c)$ the symmetric algebra over \mathfrak{g}_c . G operates on \mathfrak{g}_c by means of the adjoint representation. Let $I(\mathfrak{g}_c)$ be the subalgebra of all invariants of G in $S(\mathfrak{g}_c)$. Now we take (in the set up of §1) $M = \mathfrak{g}$ and ω the differential form corresponding to the Euclidean measure dX on \mathfrak{g} . For $p \in S(\mathfrak{g}_c)$, define the differential operator $\partial(p)$ on \mathfrak{g} as in [4, §2] and identify \mathfrak{g}_c with its dual under the Killing form Ω given by $\Omega(X) = \text{tr}(\text{ad } X)^2 (X \in \mathfrak{g}_c)$. Let \mathfrak{g}' be the set of all regular elements of \mathfrak{g} . Then \mathfrak{g}' is open and dense in \mathfrak{g} and its complement is of measure zero.

A subset U of \mathfrak{g} is called completely invariant, if it satisfies the following condition. C being any compact subset of U , $\text{Cl}(C^G) \subset U$. Here $C^G = \bigcup_{x \in G} C^x$ and Cl denotes closure. If U is an open and completely invariant subset of \mathfrak{g} , we can take $M = U$ in §1.

LEMMA 1. *Let T be a distribution on a completely invariant open subset U of \mathfrak{g} such that:*

- (1) $T^x = T(x \in G)$,
- (2) *There exists an ideal \mathfrak{u} in $I(\mathfrak{g}_c)$ such that $\dim I(\mathfrak{g}_c)/\mathfrak{u} < \infty$ and $\partial(u)T = 0$ for $u \in \mathfrak{u}$.*

Then T is a locally summable function on U , which is analytic on $U' = U \cap \mathfrak{g}'$.

This is proved by induction on $\dim \mathfrak{g}$. Let \mathfrak{X} be the set of all $X \in \mathfrak{g}$ such that $\text{ad } X$ is nilpotent. The most important step in the proof of Lemma 1 is the following result.

LEMMA 2. *Let T be an invariant distribution on \mathfrak{g} such that⁴ $\text{Supp } T \subset \mathfrak{X}$ and $\partial(\Omega)T = 0$. Then $T = 0$.*

The proof of this makes use of a result of Kostant [6, Corollary 3.7 and Lemma 5.1] from which it follows (see [2, 2.3]) that \mathfrak{X} is the union of a finite number of G -orbits.

In order to obtain Theorem 1, we have now to lift the result of Lemma 1 to the group. For this one needs the following fact.

LEMMA 3. *Let D be a polynomial differential operator [4, §2] on \mathfrak{g} such that $D^x = D$ ($x \in G$) and $Dp = 0$ for $p \in I(\mathfrak{g}_c)$. Then $DT = 0$ for every invariant distribution T on \mathfrak{g} .*

The proof again proceeds by induction on $\dim \mathfrak{g}$. The crucial part is the following lemma.

LEMMA 4. *Let T be a distribution and D a polynomial differential operator on \mathfrak{g} . We assume that:*

- (1) $T^x = T$ ($x \in G$),
- (2) $D^x = D$ and $Dp = 0$ ($x \in G$, $p \in I(\mathfrak{g}_c)$),
- (3) $\text{Supp } DT \subset \mathfrak{X}$.

Then $DT = 0$.

First one shows that it is sufficient to consider the case when T is tempered. (This requires a result of Borel, according to which, we can always find a discrete subgroup Γ of G such that G/Γ is compact. See Remark (2) at the bottom of p. 582 of [1].) Now we use the

⁴ $\text{Supp } T$ denotes the support of T .

theory of Fourier transforms. Put $B(X, Y) = \text{tr}(\text{ad } X \text{ ad } Y)(X, Y \in \mathfrak{g})$ and define

$$\hat{f}(Y) = \int e^{iB(Y, X)} f(X) dX \quad (f \in C_c^\infty(\mathfrak{g}), Y \in \mathfrak{g}).$$

Then for any tempered distribution τ , its Fourier transform $\hat{\tau}$ is defined by $\hat{\tau}(f) = \tau(\hat{f})$ ($f \in C_c^\infty(\mathfrak{g})$). Let J be the ideal of $I(\mathfrak{g}_c)$ spanned by all homogeneous elements of degree ≥ 1 . Then \mathfrak{X} is exactly the set of zeros of J in \mathfrak{g} . Let p_1, \dots, p_r be an ideal basis for J . Then for every j ($1 \leq j \leq r$), we can choose an integer $m_j \geq 0$ such that $p_j^{m_j} DT = 0$ around the origin. Since $\text{Supp } DT \subset \mathfrak{X}$ and DT is invariant, it follows that $p_j^{m_j} DT = 0$. Let \mathfrak{u} be the ideal in $I(\mathfrak{g}_c)$ generated by $p_j^{m_j}$ ($1 \leq j \leq r$). Then $\dim I(\mathfrak{g}_c)/\mathfrak{u} < \infty$ and $uDT = 0$ for $u \in \mathfrak{u}$. Hence we conclude from Lemma 1 that $(DT)^\wedge$ is a locally summable function. Now define \hat{D} as in [4, p. 91]. Then $(DT)^\wedge = \hat{D}\hat{T}$ and it is easy to see that \hat{D} also verifies condition (2) of Lemma 4. From this it follows without difficulty that $\hat{D}\sigma = 0$ on \mathfrak{g}' for any invariant distribution σ on \mathfrak{g} . Hence $\hat{D}\hat{T} = 0$ on \mathfrak{g}' . But since $\hat{D}\hat{T}$ is a locally summable function, this implies that $\hat{D}\hat{T} = 0$ and therefore $DT = 0$.

6. Now we come to Theorem 2. So assume that $\text{rank } \mathfrak{g} = \text{rank } \mathfrak{k}$ where \mathfrak{k} is the Lie algebra of K . Put $\alpha' = \alpha \cap \mathfrak{g}'$ and $\pi = \prod_{\alpha \in P} \alpha$. Then π is a polynomial function on \mathfrak{a}_c .

LEMMA 5. Fix $H_0 \in \alpha'$ and let T be a tempered and invariant distribution on \mathfrak{g} such that

$$\partial(p)T = p(iH_0)T \quad (p \in I(\mathfrak{g}_c)).$$

Then if⁵ $T(H) = 0$ for $H \in \alpha'$, we can conclude that $T = 0$.

LEMMA 6. Fix $H_0 \in \alpha'$. Then there exists exactly one tempered and invariant distribution T on \mathfrak{g} such that:

- (1) $\partial(p)T = p(iH_0)T \quad (p \in I(\mathfrak{g}_c))$,
- (2) $T(H) = \pi(H)^{-1} \sum_{s \in W} \epsilon(s) e^{iB(H_0, sH)} \quad (H \in \alpha')$.

The uniqueness of T follows from Lemma 5. The existence is proved as follows. Put

$$\tau(f) = \pi(H_0) \sum_{s \in W} \int_{\mathfrak{g}} \hat{f}((sH_0)^x) dx \quad (f \in C_c^\infty(\mathfrak{g})).$$

Then τ is a tempered and invariant distribution and $\partial(p)\tau = p(iH_0)\tau$

⁵ In view of Lemma 1, we can speak of the value $T(X)$ of T at any point X in \mathfrak{g}' .

for $p \in I(\mathfrak{g}_e)$ (see [5, pp. 225–226]). Moreover it can be shown that τ satisfies condition (2) of Lemma 6 up to a nonzero constant factor.

Theorem 2 is obtained by lifting the result of Lemma 6 to the group.

REFERENCES

1. A. Borel and Harish-Chandra, *Arithmetic subgroups of algebraic groups*, Bull. Amer. Math. Soc. **67** (1961), 579–583.
2. ———, *Arithmetic subgroups of algebraic groups*, Ann. of Math. (2) **75** (1962), 485–535.
3. Harish-Chandra, *On the characters of a semisimple Lie group*, Bull. Amer. Math. Soc. **61** (1955), 389–396.
4. ———, *Differential operators on a semisimple Lie algebra*, Amer. J. Math. **79** (1957), 87–120.
5. ———, *Fourier transforms on a semisimple Lie algebra. I*, Amer. J. Math. **79** (1957), 193–257.
6. B. Kostant, *The principal three-dimensional subgroup and the Betti numbers of a complex simple Lie group*, Amer. J. Math. **81** (1959), 973–1032.

COLUMBIA UNIVERSITY

CORRECTION TO ABSTRACT CLASS FORMATIONS¹

BY K. GRANT AND G. WHAPLES

Professor Yukiyoji Kawada has kindly pointed out to us that our construction for an abstract class formation $\{E(K)\}$ is wrong. Namely, we defined $E(K)$ to be a direct limit of a family of groups $\{M(K, N)\}$ under a mapping system $\{\eta_{N',N}^K\}$. These maps $\eta_{N',N}^K$ induce on the second cohomology groups homomorphism whose kernel is not in general 0; hence it is in general not true that $H^2(F, E(k)) = Z(\#F)Z$. For details, see Theorem 2 of a paper by Kawada, forthcoming in Boletim da Sociedade de Matemática de São Paulo.

Our main theorem that a class formation does exist for every G_∞ , is however true: this is proved by Kawada in the paper just mentioned, using the same family of groups $M(K, N)$ but taking an inverse limit.

After seeing Kawada's work, one of us has found a correct construction using a direct limit and replacing the $\{\eta_{N',N}^K\}$ by a different system of maps. This will be explained in a paper to be published elsewhere.

Received by the editors September 11, 1962.

¹ Bull. Amer. Math. Soc. **67** (1961), 393–395.