

## SOME RESULTS ON DIFFERENTIABLE ACTIONS

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Communicated by W. S. Massey, September 7, 1965

In this note, we shall announce some results on differentiable actions of  $SO(n)$ ,  $SU(n)$  and  $Sp(n)$  on manifolds. Since the detailed proofs are too long to be included here, we shall publish them elsewhere.

**THEOREM 1.** *Let  $\phi$  be a differentiable action of  $SO(n)$ , ( $SU(n)$ ,  $Sp(n)$ ) on an  $m$ -dim manifold  $M^m$  where  $n \geq 11$  and  $m \leq (n-1)^2/4$  ( $n \geq 8$  and  $m \leq (n-1)^2/2$ ,  $n \geq 8$  and  $m \leq (n-1)^2$ ). If the first rational Pontrjagin class of  $M^m$ ,  $P_1(M^m)$ , vanishes, then the identity component of any isotropy subgroup,  $(G_x)_0$  for  $x \in M^m$  is always conjugate to  $SO(k)$ , ( $SU(k)$ ,  $Sp(k)$ ) under the standard inclusion for some  $k \geq \frac{2}{3}n$ .*

**THEOREM 2.** *For a given differentiable action  $\phi$  of  $SO(n)$ , ( $SU(n)$ ,  $Sp(n)$ ) on a homotopy sphere  $\Sigma^m$  (respectively Euclidean space  $R^m$ , respectively disc  $D^m$ ) where  $n \geq 11$  and  $m \leq (n-1)^2/4$  ( $n \geq 8$  and  $m \leq (n-1)^2/2$ ,  $n \geq 8$  and  $m \leq (n-1)^2$ ), we have that*

- (i) *all orbits are real (complex, quaternionic) Stiefel manifolds,*
- (ii) *if  $SO(n)/SO(k)$ , ( $SU(n)/SU(k)$ ,  $Sp(n)/Sp(k)$ ) is the principal orbit and  $F$  is the fixed point set, then*

$$\begin{aligned} H^*(F; A) &\simeq H^*(S^\gamma; A) \\ (\text{respectively } H^*(F; A) &\simeq H^*(R^\gamma; A) \\ \text{respectively } H^*(F; A) &\simeq H^*(D^\gamma; A)) \end{aligned}$$

where

$$\begin{aligned} \gamma &= \dim F = m - n(n - k) \quad \text{for the } SO(n) \text{ case} \\ &= m - 2n(n - k) \quad \text{for the } SU(n) \text{ case} \\ &= m - 4n(n - k) \quad \text{for the } Sp(n) \text{ case} \end{aligned}$$

and

$$\begin{aligned} A &= Z_2 \quad \text{for the } SO(n) \text{ case } (n \text{ odd}) \\ &= Z \quad \text{for the other cases.} \end{aligned}$$

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<sup>1</sup> During the preparation of this paper, the first named author was partially supported by NSF grant NSF-GP-4037 and the second named author was partially supported by NSF grant NSF-GP-3422.

(iii) the orbit space  $\Sigma^m/\phi$  is a  $(k-1)$ -connected ( $(2k-1)$ -connected,  $(4k-1)$ -connected) differentiable manifold with boundary and the boundary is the image of singular orbits.

Let  $(H_1), (H_2)$  be two conjugate classes of connected subgroups of  $G$  such that  $H_2/H_1 \simeq S^k$  ( $k \neq 1, 3$ ). We shall give a complete set of invariants for differentiable actions of  $G$  on compact connected manifolds with  $(H_1), (H_2)$  as the isotropy subgroup types. Recall that  $H_2/H_1 \simeq S^k$  ( $k \neq 1, 3$ ). In fact, it follows from the Slice Theorem [1], [11] that  $H_2/H_1$  must be diffeomorphic to  $S^k$  for some  $k$  if there exists an action of  $G$  with  $(H_1), (H_2)$  as the isotropy subgroups.

A pre- $G$ -space with  $(H_1), (H_2)$  as the isotropy subgroup types is an object

$$\Phi = \{B, \xi, \zeta, (f: \hat{\eta} \rightarrow \hat{\xi} | L), (g: \hat{\eta} \rightarrow \hat{\zeta})\}$$

satisfying the following four conditions:

(i)  $B$  is an  $n$ -dim compact connected manifold with boundary and  $\xi$  is a differentiable  $G/H_1$ -bundle over  $B$  with  $N(H_1)/H_1$  as the structural group.  $\hat{\xi}$  is the principal  $N(H_1)/H_1$ -bundle associated with  $\xi$ .

(ii)  $\zeta$  is a differentiable  $G/H_2$ -bundle over a  $(n-1)$ -dim regular compact submanifold  $L$  of  $\partial B$  ( $L$  may be disconnected) with  $N(H_2)/H_2$  as the structural group.  $\hat{\zeta}$  is the principal  $N(H_2)/H_2$ -bundle associated with  $\zeta$ .

(iii) The structural group of  $\hat{\xi}|L$  is reduced to  $(N(H_1) \cap N(H_2))/H_1$  and  $f: \hat{\eta} \rightarrow \hat{\xi}$  is the reduction.

(iv) There is a bundle map  $g: \hat{\eta} \rightarrow \hat{\zeta}$  which induces the natural homomorphism  $(N(H_1) \cap N(H_2))/H_1 \rightarrow N(H_2)/H_2$  on the fibre and identity on the base  $L$ .

Notice that for a given principal  $(N(H_1) \cap N(H_2))/H_1$ -bundle  $\hat{\eta}$ ,  $g$  is unique if it exists. We define isomorphism classes of pre- $G$ -spaces in the natural way and only consider isomorphism classes of pre- $G$ -spaces.

**THEOREM 3.** *To each differentiable action  $\phi$  of  $G$  on a compact connected manifold  $M$  with  $(H_1), (H_2)$  as isotropy subgroups, there corresponds a unique isomorphism class of pre- $G$ -space*

$$\Phi = \{B, \xi, \zeta, (f: \hat{\eta} \rightarrow \hat{\xi} | L), (g: \hat{\eta} \rightarrow \hat{\zeta})\}$$

such that

- (i) the orbit space  $M/\phi$  is diffeomorphic to  $B$ ,
- (ii)  $\hat{\xi}|(B-L)$  is the differentiable fibre bundle

$$\frac{G}{H_1} \rightarrow M_{(H_1)} \rightarrow (B-L)$$

where  $M_{(H_1)}$  is the union of all principal orbits,  
 (iii)  $\zeta$  is the differentiable fibre bundle

$$\frac{G}{H_2} \rightarrow M_{(H_2)} \rightarrow L$$

where  $M_{(H_2)}$  is the union of all singular orbits.

Conversely, for a given pre- $G$ -space  $\Phi$ , there is a unique equivalence class  $\phi$  of differentiable action of  $G$  on a compact connected manifold  $M$  such that the above conditions (i), (ii) and (iii) are verified.

Consider the set of all equivalence classes of differentiable actions of  $G$  on compact connected manifolds with  $(H_1)$ ,  $(H_2)$  as the isotropy subgroup types such that the associated pre- $G$ -spaces have isomorphic  $\xi$ ,  $\zeta$  and diffeomorphic  $B$ ,  $L$ . Since  $g$  is completely determined by  $f$  as we remarked before, the actions are completely distinguished by the reductions  $f: \hat{\eta} \rightarrow \hat{\xi} | L$ .

Under these circumstances, the reduction  $f: \hat{\eta} \rightarrow \hat{\xi} | L$  is called the "twist invariant" of a differentiable  $G$ -action  $\phi$ . By [14], the "twist invariant" is represented as a homotopy class of cross-sections of the fibre bundle

$$N(H_1)/(N(H_1) \cap N(H_2)) \rightarrow E(\hat{\xi} | L)/(N(H_1) \cap N(H_2)) \rightarrow L.$$

Applying Theorem 3 to fixed point free actions of  $SO(n)$  ( $SU(n)$ ,  $Sp(n)$ ) on  $(2n-1)$ — $((4n-1)$ —,  $(8n-1)$ — $1$ ) spheres, we generalize the classification theorem of [5].

**THEOREM 4.** *Let  $\phi$  be a fixed point free differentiable action of  $SO(n)$ , ( $SU(n)$ ,  $Sp(n)$ ) on a homotopy sphere  $\Sigma^{2n-1}$  ( $\Sigma^{4n-1}$ ,  $\Sigma^{8n-1}$ ) for  $n \geq 11$  ( $n \geq 8$ ). Then the orbit space is always a  $D^2$  (3-dim compact contractible manifold, 5-dim compact contractible manifold). Moreover, the  $SU(n)$  and  $Sp(n)$  actions are completely determined by the orbit spaces while all such  $SO(n)$  actions are distinguished by their "twist invariants" which may be identified as elements in  $H^1(S^1; Z)$ . For the latter case, the "twist invariant" is always a generator of  $H^1(S^1; Z)$  if  $n$  is even and it can be any odd multiple of a generator of  $H^1(S^1; Z)$  if  $n$  is odd.*

Now, let us study differentiable actions of compact connected classical groups on spheres with three types of orbits such that one of them is fixed point.

Let  $\phi$  be a differentiable action of  $SO(n)$  ( $SU(n)$ ,  $Sp(n)$ ) on a homotopy sphere  $\Sigma^m$  for  $m < 3n-6$  ( $m < 6n-9$ ,  $m < 12n-15$ ). By Theorem 2 and Theorem 4, the only remaining case is that where  $2n-1 < m < 3n-6$  ( $4n-1 < m < 6n-9$ ,  $8n-1 < m < 12n-15$ ) and

$V_{n,2}(W_{n,2}, X_{n,2})$  is the principal orbit type. In this case, the fixed point set is nonempty and of dimension  $m-2n$  ( $m-4n$ ,  $m-8n$ ). It again follows from Theorem 2 that the orbit space of  $\phi$  naturally consists of a triple of manifolds  $(B, \partial B, L)$  such that

- (i)  $B$  is contractible of dim  $m-2n+3$  ( $m-4n+4$ ,  $m-8n+6$ ),
- (ii)  $\partial B$  is the image of singular orbits,
- (iii)  $L$  is the fixed point set which is a closed manifold of codimension 2 (3, 5) in  $\partial B$ .  $(B, \partial B, L)$  is called the orbit triple of  $\phi$ .

**THEOREM 5.** *The differentiable action  $\phi$  of  $SO(n)$  ( $SU(n)$ ,  $Sp(n)$ ) on a homotopy sphere  $\Sigma^m$  for  $n \geq 11$  ( $n \geq 8$ ),  $2n-1 < m < 3n-6$  ( $4n-1 < m < 6n-9$ ,  $8n-1 < m < 12n-15$ ) with  $V_{n,2}(W_{n,2}, X_{n,2})$  as the principal orbit is completely determined by the "orbit triple"  $(B, \partial B, L)$  of  $\phi$ .*

Combining the results of [5], [7], [9] with Theorems 4 and 5, we have theoretically completed the classification of differentiable actions of  $SO(n)$ ,  $SU(n)$  and  $Sp(n)$  on homotopy spheres in the following range:

- $SO(n)$  actions on  $m$ -spheres for  $n \geq 11$  and  $m < 3n - 6$ ,
- $SU(n)$  actions on  $m$ -spheres for  $n \geq 8$  and  $m < 6n - 9$ ,
- $Sp(n)$  actions on  $m$ -spheres for  $n \geq 8$  and  $m < 12n - 15$ .

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