

# CURVATURE STRUCTURES AND CONFORMAL TRANSFORMATIONS

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Communicated by O. Zariski, August 9, 1968

1. The notion of a "curvature structure" was introduced in §8, Chapter 1 of [1]. In this note we shall consider some of its applications. The details will be presented elsewhere.

Let  $(M, g)$  be a Riemann manifold. Whenever convenient, we shall denote the inner product defined by  $g$ , by  $\langle \rangle$ .

DEFINITION. A curvature structure on  $(M, g)$  is a  $(1, 3)$  tensor field  $T$  such that, for any vector fields  $X, Y, Z, W$  on  $M$ ,

- (1)  $T(X, Y) = -T(Y, X)$
- (2)  $\langle T(X, Y)Z, W \rangle = \langle T(Z, W)X, Y \rangle$
- (3)  $T(X, Y)Z + T(Y, Z)X + T(Z, X)Y = 0$ .

Such a curvature structure naturally defines the corresponding "sectional curvature"  $K_T$  which is a real valued function on  $G_2(M)$ , the Grassmann bundle of 2-planes on  $M$ ; namely, for  $x \in M, \sigma = \{X, Y\}$  a 2-plane at  $x$ ,

$$K_T(\sigma) = \frac{\langle T(X, Y)X, Y \rangle}{\langle X, X \rangle \langle Y, Y \rangle - \langle X, Y \rangle^2}.$$

As the following results show, these sectional curvature functions are of considerable geometric interest.

## 2. Examples of curvature structures.

(a) *A trivial curvature structure.* Consider the  $(1, 3)$  tensor field  $I$  given by

$$I(X, Y)Z = \langle X, Z \rangle Y - \langle Y, Z \rangle X.$$

In this case,  $K_I \equiv \text{constant}$ .

(b) *Riemann curvature structure.* This is the usual curvature structure defined by the metric  $g$ ; namely, if  $\nabla$  denotes the corresponding covariant derivative,

$$R(X, Y)Z = \nabla_{[X, Y]}Z - [\nabla_X, \nabla_Y]Z.$$

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<sup>1</sup> This research was supported by National Science Foundation grant GP-6585.

We shall denote the corresponding sectional curvature  $K_R$  simply by  $K$ .

Call two Riemann manifolds  $(M, g), (\bar{M}, \bar{g})$  "isocurved" if there exists a sectional curvature-preserving diffeomorphism, i.e., there exists a diffeomorphism  $f: M \rightarrow \bar{M}$  such that for every  $x \in M$ , for every  $\sigma \in G_2(M)_x, K(\sigma) = \bar{K}(f_*\sigma)$ . ( $K$ , resp.  $\bar{K}$ , are sectional curvatures canonically defined by  $g$ , resp.  $\bar{g}$ .)

In [2] and [3] we have shown the following converse of the "theorema egregium."

**THEOREM 1.** *Suppose that  $(M, g), (\bar{M}, \bar{g})$  are isocurved,  $\dim M \geq 4$ ,  $g$  analytic and  $K \neq \text{constant}$ . Then  $(M, g), (\bar{M}, \bar{g})$  are isometric.*

The methods developed in the proof of Theorem 1 are used in the following.

(c) *Ricci curvature structure.* Recall that the Riemann curvature tensor  $R$  defines the Ricci tensor via  $-$  for  $x \in M$  and  $X, Y \in T_x(M)$ , the tangent space at  $x$ ,

$$\text{Ric}(X, Y) = \text{trace: } Z \rightarrow R(X, Z)Y.$$

We shall denote by  $\text{Ric}_0$ , the corresponding linear transformation defined by  $\langle \text{Ric}_0 X, Y \rangle = \text{Ric}(X, Y)$ .

Consider the following tensor:

$$\begin{aligned} &\text{Ric}(X, Y)Z \\ &= \{ \text{Ric}(X, Z)Y - \text{Ric}(Y, Z)X + \langle X, Z \rangle \text{Ric}_0 Y - \langle Y, Z \rangle \text{Ric}_0 X \}. \end{aligned}$$

This defines a curvature structure which we shall call the Ricci curvature structure. It is easily seen that for a 2-plane  $\sigma$ ,

$$K_{\text{Ric}}(\sigma) = \text{trace Ric}|_{\sigma}.$$

It is also evident that if  $\dim M \geq 3$ , then  $K_{\text{Ric}} = \text{constant}$  if and only if  $(M, g)$  is an Einstein manifold (i.e.,  $\text{Ric}(X, Y) = \alpha \langle X, Y \rangle$  for some constant  $\alpha$ ).

Call two manifolds  $(M, g), (\bar{M}, \bar{g})$  "iso-Ricci-curved" if there exists a  $K_{\text{Ric}}$ -preserving diffeomorphism  $f: M \rightarrow \bar{M}$ . We have the following

**THEOREM 2.** *Suppose that  $(M, g), (\bar{M}, \bar{g})$  are iso-Ricci-curved,  $\dim M \geq 3$ ,  $g$  analytic and  $K_{\text{Ric}} \neq \text{constant}$ . Then  $(M, g), (\bar{M}, \bar{g})$  are conformal (i.e.,  $g = h \cdot f^*g$ , where  $h$  is a positive real valued function on  $M$ ).*

As yet we have not been able to replace "conformal" by "isometric," except under further hypotheses.

(d) *Conformal curvature structure.* Consider the tensor field defined by

$$C = R - \frac{1}{n - 2} \text{Ric} + \frac{\text{Sc}}{(n - 1)(n - 2)} I.$$

(Here,  $n = \dim M$ , and  $R$ ,  $\text{Ric}$ ,  $I$  as defined above, and  $\text{Sc} = \text{scalar curvature} = \text{trace Ric}_0$ ). This tensor was first written down by Weyl. We shall call  $K_C$ , the “conformal curvature” and denote it by  $K_{\text{con}}$ .

A manifold  $(M, g)$  is called conformally flat, if locally we can write  $g = h \cdot g_0$  where  $g_0 = \text{Euclidean metric}$ , and  $h$ , a positive real valued function on  $M$ . A well-known theorem of Weyl is that: if  $\dim M \geq 4$ , then  $(M, g)$  is conformally flat if and only if  $C = 0$ . Using this theorem, we can prove

**THEOREM 3.** *Let  $(M, g)$  be a Riemann manifold of  $\dim \geq 4$ . Then the following conditions are equivalent:*

- (1)  $(M, g)$  is conformally flat,
- (2)  $K_{\text{con}} \equiv 0$ ,
- (3)  $K_{\text{con}} \equiv \text{constant}$ ,
- (4) for every orthogonal 4-tuple of tangent vectors  $\{e_1, e_2, e_3, e_4\}$ ,

$$K(e_1, e_2) + K(e_3, e_4) = K(e_1, e_4) + K(e_2, e_3).$$

Note that (4) is a characterization of a conformally flat space purely in terms of sectional curvature.

Call two Riemann manifolds  $(M, g)$ ,  $(\bar{M}, \bar{g})$  “*isoconformally curved*” if there exists a  $K_{\text{con}}$ -preserving diffeomorphism among them. We have

**THEOREM 4.** *Let  $(M, g)$ ,  $(\bar{M}, \bar{g})$  be isoconformally curved,  $g$  analytic,  $\dim M \geq 4$ , and  $K_{\text{con}} \neq \text{constant}$ . Then  $(M, g)$ ,  $(\bar{M}, \bar{g})$  are isometric.*

**3. Conformal transformations.** Consideration of  $K_{\text{con}}$  leads to some interesting results about conformal maps of Riemann manifolds. For convenience, we shall restrict to conformal maps of a Riemann manifold onto itself. A natural question is: when does  $(M, g)$  admit non-trivial conformal maps?

In this direction, a classical theorem of Liouville says that every conformal map of the Euclidean space  $R^n$ ,  $n \geq 3$ , with the standard metric, is either an isometry or a homothety.

A significant partial generalization of this theorem was obtained by Yano and Nagano [4]: a complete Einstein space of  $\dim \geq 3$ , admitting a 1-parameter group of nonhomothetic, conformal transformations is compact and in fact isometric with a standard sphere.

We have been able to generalize this theorem by weakening the hypothesis, where “1-parameter group of nonhomothetic conformal transformations” is replaced by a “single nonhomothetic conformal

transformation." Moreover we have shown that even "completeness" (at least *generically*) is not necessary. A typical result is the following.

**THEOREM 5.** *Let  $(M, g)$  be an Einstein manifold of  $\dim \leq 4$ ,  $g$  analytic and  $K \neq \text{constant}$ . Then every conformal map of  $(M, g)$  onto itself is a homothety.*

**REMARKS.** (1) In the above situation a conformal map is in fact an isometry if  $Sc \neq 0$ .

(2) The local results (like Theorem 5) do not use positive definiteness of the metric. In particular Theorem 5 applies to the space of general relativity where the energy momentum tensor vanishes.

(3) Theorem 5 also holds if we replace the hypothesis " $\dim M \leq 4$ ," by " $\dim M \geq 5$ " and a *generic* hypothesis about  $K$ , e.g., the set

$$\{x \in M \mid K|_{G_2(M)_x} \text{ has only nondegenerate critical points}\}$$

is dense in  $M$ .

Various results which were based on the result of Yano and Nagano—(e.g., an important result due to Goldberg and Kobayashi [5]), and also the results with a different flavor depending on the sign of sectional curvature—(e.g., Lichnerowicz [6, §83]) can also be improved in a similar way.

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