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## HOMOLOGY OF ALGEBRAIC VARIETIES: AN INTRODUCTION TO THE WORKS OF SUSLIN AND VOEVODSKY

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ABSTRACT. We give an overview of the ideas Suslin and Voevodsky have introduced in their works on algebraic cycles and their relation to the mod- $n$  homology of algebraic varieties.

### 1. INTRODUCTION

The recent series of papers by Suslin, Voevodsky, Suslin-Voevodsky and Friedlander-Voevodsky ([49], [50], [51], [52], [53] and [20]) has developed a remarkable new viewpoint in the study of algebraic cycles. A new “topology” defined by Voevodsky, the qfh-topology, relates algebraic cycles to certain representing sheaves for this topology, and thus allows a systematic application of the powerful methods of sheaf-theory in areas which heretofore have been approached by essentially algebro-geometric or homotopy theoretic means. We hope to give here, not a full overview, but rather a sample of these new techniques and the results they have made possible, concentrating on the applications to the mod- $n$  theory.

### 2. HISTORICAL BACKGROUND

Let  $X$  be a CW-complex. The topological  $K_0$  (see [2], [3]) of  $X$ ,  $K_0^{\text{top}}(X)$ , is defined as an abelian group via generators and relations: the generators are the isomorphism classes  $[E]$  of complex vector bundles  $E \rightarrow X$ , with relations given by *stable equivalence*:

$$[E] \equiv [E'] \iff E \oplus e^n \cong E' \oplus e^n \text{ for some } n,$$

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where  $e^n$  is the trivial rank  $n$  bundle on  $X$ . For  $X$  a finite CW complex, this group is also given as the homotopy classes of maps of  $X$  to the classifying space  $BGL_{\mathbb{C}}$  of the topological group

$$GL_{\mathbb{C}} := \varinjlim_N GL_{N, \mathbb{C}},$$

where  $GL_{N, \mathbb{C}}$  is the topological group of invertible  $n$  by  $n$  matrices over  $\mathbb{C}$ . The higher topological  $K$ -theory of a finite CW-complex  $X$  can then be defined as the homotopy groups of a function space:

$$K_n^{\text{top}}(X) := \pi_n(\text{Hom}(X, BGL_{\mathbb{C}})).$$

The filtration of  $X$  via its  $k$ -skeleta, together with Bott periodicity:

$$K_n^{\text{top}}(X) \cong K_{n+2}^{\text{top}}(X),$$

gives rise to the *Atiyah-Hirzebruch spectral sequence* relating singular cohomology and topological  $K$ -theory; this spectral sequence degenerates rationally, giving the isomorphisms

$$\begin{aligned} K_{\text{even}}^{\text{top}}(X) \otimes \mathbb{Q} &\cong \bigoplus_n H^{2n}(X, \mathbb{Q}) \\ K_{\text{odd}}^{\text{top}}(X) \otimes \mathbb{Q} &\cong \bigoplus_n H^{2n+1}(X, \mathbb{Q}). \end{aligned}$$

The algebraic  $K_0$  of an algebraic variety  $X$  is defined as the abelian group with generators the isomorphism classes  $[E]$  of algebraic vector bundles  $E$  on  $X$ , with relations given by setting

$$[E] = [E'] + [E'']$$

if there exists an exact sequence

$$0 \rightarrow E' \rightarrow E \rightarrow E'' \rightarrow 0.$$

If  $X$  is affine, all such exact sequences split, and one gets the same group by imposing the relation of stable equivalence as in the topological case; but in general, stable equivalence is a weaker relation (they agree, however, in the topological setting). In fact, the algebraic  $K_0$  was defined (by Grothendieck) *before* the topological case, but the higher  $K$ -theory in the topological setting was defined before the algebraic case.

Pursuing the analogy with the topological situation, Karoubi and Villamayor [31] gave a definition of higher algebraic  $K$ -theory of a ring  $R$  by means of the *discrete* group  $GL(R)$ , where the topology of  $GL_{\mathbb{C}}$  is replaced by a certain simplicial structure. As this idea is central to our whole discussion, we give a description in a somewhat more general setting.

The algebraic version of homotopy is gotten by replacing the unit interval with the affine line  $\mathbb{A}^1$ . Following this further, one considers the cosimplicial variety  $\Delta^*$ , with  $n$ -cosimplices  $\Delta^n$  given as the hyperplane in  $n+1$ -space defined by the linear equation

$$\sum_{i=0}^n t_i = 1.$$

If one takes the  $t_i$  to be real numbers, with  $0 \leq t_i \leq 1$ , this is the usual  $n$ -simplex; as the usual expressions for the co-face and co-degeneracy maps between the  $n$ -simplex and the  $n-1$ -simplex are linear functions of the  $t_i$ , one obtains the structure of a cosimplicial variety on  $\Delta^*$  by using the same formulas as in the real case.

Starting with the map

$$\begin{aligned} \mathbb{A}^1 \times \mathbb{A}^1 &\rightarrow \mathbb{A}^1 \\ (s, t) &\mapsto st \end{aligned}$$

one can construct a map

$$(2.1) \quad H: \mathbb{A}^1 \times \Delta^* \rightarrow (\mathbb{A}^1 \times \Delta^*)^{[0,1]},$$

having the formal properties of a “homotopy” between the identity map on  $\mathbb{A}^1 \times \Delta^*$  and the map

$$(x, t) \mapsto (0, t).$$

Now, suppose we have a functor (here **Sch** is the category of schemes)

$$F: \mathbf{Sch}^{\text{op}} \rightarrow \mathbf{Sets}.$$

We may form the new functor

$$(2.2) \quad F_h: \mathbf{Sch}^{\text{op}} \rightarrow \mathbf{Simplicial Sets}$$

by

$$F_h(X) = F(X \times \Delta^*).$$

The simplicial set  $F_h(X)$  then satisfies the *homotopy property*: by applying  $F$  to (2.1), one shows that the natural map

$$F_h(X) \rightarrow F_h(X \times \mathbb{A}^1)$$

is a homotopy equivalence on the geometric realization. Similarly, if we have a functor

$$F: \mathbf{Sch}^{\text{op}} \rightarrow \mathbf{Ab},$$

we may form the new functor with values in chain complexes

$$(2.3) \quad F_{h\mathbb{Z}}: \mathbf{Sch}^{\text{op}} \rightarrow \mathbf{C}(\mathbf{Ab})$$

by taking the chain complex associated to the simplicial abelian group  $F_h$ . The functor  $F_{h\mathbb{Z}}$  is also homotopy invariant: the natural map

$$F_{h\mathbb{Z}}(X) \rightarrow F_{h\mathbb{Z}}(X \times \mathbb{A}^1)$$

is a quasi-isomorphism.

Now back to Karoubi-Villamayor  $K$ -theory. Suppose  $X$  is an affine variety with ring of functions  $R$ . The group  $\text{GL}_N(R)$  is the group of algebraic maps

$$X \rightarrow \text{GL}_N,$$

where  $\text{GL}_N$  is the open subscheme of affine  $N^2$  space defined as the locus where the determinant function is non-zero. Replacing  $X$  with  $X \times \Delta^*$  gives the simplicial ring  $\Delta_*(R)$  with

$$\begin{aligned} \Delta_n(R) &= R[t_0, \dots, t_n] / \left( \sum_i t_i - 1 \right) \\ \text{Spec } \Delta_*(R) &= X \times \Delta^*, \end{aligned}$$

and the simplicial group  $\text{GL}_N(\Delta_*(R))$ :

$$\text{GL}_N(\Delta_n(R)) = \text{Hom}_{\text{alg}}(X \times \Delta^n, \text{GL}_N).$$

One then takes the geometric realization  $|\mathrm{GL}_N(\Delta_*(R))|$  of this simplicial set, passes to the limit over  $N$ ,  $|\mathrm{GL}(\Delta_*(R))|$ , and defines the *Karoubi-Villamayor K-theory of  $R$*  by

$$KV_n(R) = \pi_{n-1}(|\mathrm{GL}(\Delta_*(R))|).$$

One can extend this definition to an arbitrary scheme by a sheafification process.

Quillen gave another definition of higher algebraic  $K$ -theory, first for rings by using his plus-construction [38], then for arbitrary exact categories via his categorical  $Q$ -construction [39]; this definition gained wide acceptance as the “correct” one in the general setting. It turns out that, for a regular scheme  $X$  (the algebro-geometric version of a manifold), the Karoubi-Villamayor  $K$ -theory agrees with the Quillen  $K$ -theory, although for singular schemes, the two definitions are not in general the same.

In contrast with the topological case, the algebraic version of singular cohomology, the so-called “motivic cohomology”, was not completely defined until some fifteen years after the definition of algebraic  $K$ -theory. The first step towards the full definition of motivic cohomology was, however, taken quite a bit earlier, arising in the 50’s with the construction of the Chow ring of algebraic cycles modulo rational equivalence (see e.g. [56], [45], [8], [9], [7], [12] and [25]). What emerged from these constructions was an algebraic homology-like theory built out of the free abelian group on the algebraic subvarieties of  $X$ , the *algebraic cycles on  $X$* . Replacing the unit interval with the affine line as above leads to the relation of *rational equivalence*, an algebraic version of homology. More precisely, a cycle is *rationally equivalent to zero* if it is of the form

$$\mathrm{pr}_X(W \cdot (X \times 0) - W \cdot (X \times 1))$$

for  $W$  a cycle on  $X \times \mathbb{A}^1$ , where  $\mathrm{pr}_X$  is the projection on  $X$ , and  $\cdot$  is the intersection product (one requires that  $W$  contains no component of the form  $W_0 \times t$ , so that the intersection product is defined). One then defines the *Chow group of  $X$*  as the group of algebraic cycles modulo rational equivalence.

For smooth quasi-projective varieties, this homology-like theory has a cohomological flavor as well: the intersection of subvarieties extends to the *intersection product* on the group of cycles mod rational equivalence, defining the *Chow ring*

$$\mathrm{CH}^*(X) := \bigoplus_q \mathrm{CH}^q(X),$$

with the grading by codimension. In addition, the Chow ring admits functorial pull-back maps

$$f^*: \mathrm{CH}^*(X) \rightarrow \mathrm{CH}^*(Y)$$

for arbitrary maps  $f: Y \rightarrow X$  between smooth, quasi-projective varieties. Grothendieck’s theory of Chern classes with values in the Chow ring [25], together with the Grothendieck-Riemann-Roch theorem [7], gives the isomorphism

$$\sum_q c_q: K_0(X) \otimes \mathbb{Q} \cong \bigoplus_q \mathrm{CH}^q(X) \otimes \mathbb{Q}.$$

The major inadequacy of both the algebraic  $K_0$  and the Chow ring is the lack of good *localization sequence*, relating the theory for  $X$ , an open subscheme  $U$ , and the closed complement  $Z := X \setminus U$ . Both theories have the beginning (or end, depending on your point of view) of such a sequence; Quillen’s uniform definition

of the higher  $K$ -groups, together with his localization theorem (see [39]), filled this gap on the  $K$ -theory side, giving the long exact sequence

$$\dots \rightarrow K_p(Z) \rightarrow K_p(X) \rightarrow K_p(U) \rightarrow K_{p-1}(Z) \rightarrow \dots \rightarrow K_0(X) \rightarrow K_0(U) \rightarrow 0$$

(this is for  $X$  and  $Z$  smooth; there is a similar sequence in general).

The first successful definition of motivic cohomology was supplied by Bloch in 1985, with his construction of the *higher* Chow groups [4]. The idea is to make sense of the algebraic cycles on the co-simplicial variety  $X \times \Delta^*$ . The technical problem here is that an arbitrary codimension  $q$  subvariety of  $X \times \Delta^p$  may not intersect a face  $X \times \Delta^{p'}$  in codimension  $q$ , and thus the intersection product with this face is not defined; Bloch solves this by considering the subgroup generated by subvarieties which *do* intersect all faces in the correct codimension. This gives the homological complex  $\mathcal{Z}^q(X, *)$ , with  $\mathcal{Z}^q(X, p)$  being the subgroup of the cycles on  $X \times \Delta^p$  just described, and differential given by the pull-back via the coboundary maps in  $X \times \Delta^*$  (i.e., intersection with the codimension one faces  $X \times \Delta^{p-1}$  of  $X \times \Delta^p$ ). The higher Chow groups are then defined as the homology

$$\mathrm{CH}^q(X, p) := H_p(\mathcal{Z}^q(X, *)).$$

This extends the definition of the Chow ring  $\mathrm{CH}^q(X)$ , via the identity

$$\mathrm{CH}^q(X) = \mathrm{CH}^q(X, 0).$$

It wasn't until around 1992 that the localization property for  $\mathrm{CH}^q(X, *)$  was proved (see [5]), and this only for varieties over a field of characteristic 0. We do know, however (see e.g. [32]), that there is a close relation between the higher algebraic  $K$ -theory and the higher Chow groups of a variety  $X$  which is smooth over a field, so the higher Chow groups do seem to be the “correct” groups for motivic cohomology.

### 3. THE QUILLEN-LICHTENBAUM CONJECTURE

Let  $X$  be a variety defined over  $\mathbb{C}$ ,  $X(\mathbb{C})$  the topological space of solutions to the equations defining  $X$ . Passing from algebraic maps to continuous maps defines the comparison map from algebraic to topological  $K$ -theory

$$K_p^{\mathrm{alg}}(X) \rightarrow K_p^{\mathrm{top}}(X(\mathbb{C})).$$

In general, this map is far from being an isomorphism. However, the situation seems to get quite a bit simpler if one takes  $K$ -theory with mod  $n$  coefficients (defined via mod  $n$  homotopy groups of the appropriate spaces):

$$K_p^{\mathrm{alg}}(X; \mathbb{Z}/n) \rightarrow K_p^{\mathrm{top}}(X(\mathbb{C}); \mathbb{Z}/n).$$

The so-called *Quillen-Lichtenbaum conjecture* asserts (in one of its forms) that this map is an isomorphism for  $p \geq 2 \dim_{\mathbb{C}}(X)$ .

One can extend this to varieties over more general fields as follows. Grothendieck *et al.* [26] have defined the *étale cohomology*,  $H_{\mathrm{ét}}^p(X, \mathbb{Z}/n)$ , of a scheme  $X$ , which (for  $n$  invertible on  $X$ ) has many of the formal properties of the mod  $n$  singular cohomology of a space (see §5 for more information). In fact, the *comparison theorem* of Artin gives a natural isomorphism between the étale cohomology  $H_{\mathrm{ét}}^p(X, \mathbb{Z}/n)$  of a scheme  $X$  defined over  $\mathbb{C}$ , and the singular cohomology  $H^p(X(\mathbb{C}), \mathbb{Z}/n)$  of the analytic space of solutions  $X(\mathbb{C})$ . Building on the methods of Grothendieck, Friedlander [14], [15], and Dwyer-Friedlander [13] constructed an algebro-geometric version of mod  $n$  topological  $K$ -theory, the *étale  $K$ -theory*  $K_*^{\mathrm{ét}}(X; \mathbb{Z}/n)$ . There is an

Atiyah-Hirzebruch spectral sequence in the étale case as well, relating  $H_{\text{ét}}^*(X, \mathbb{Z}/n)$  and  $K_*^{\text{ét}}(X; \mathbb{Z}/n)$ .

The Quillen-Lichtenbaum conjecture then asserts that the natural map of mod- $n$  algebraic  $K$ -theory to mod- $n$  étale  $K$ -theory of a variety  $X$  over a field  $k$ :

$$K_p^{\text{alg}}(X; \mathbb{Z}/n) \rightarrow K_p^{\text{ét}}(X; \mathbb{Z}/n)$$

is an isomorphism for  $p \geq 2\dim_k(X) + \text{c.d.}(k)$ , where  $\text{c.d.}(k)$  is the mod  $n$  cohomological dimension of the Galois group of  $\bar{k}/k$ . Via the theory of Chern classes to étale cohomology, this can be interpreted as stating that the Chern class maps induce isomorphisms (for  $F$  a field)

$$\sum_{2q-p=m} c_{q,p}: K_m(F; \mathbb{Z}/n) \rightarrow \bigoplus_{2q-p=m} H_{\text{ét}}^p(F, \mathbb{Z}/n(q)); \quad 2q \geq p,$$

for  $n$  prime to “small primes” (depending on  $m$ ) and prime to the characteristic of  $F$ .

We should mention here that the original conjecture of Quillen and Lichtenbaum (see e.g. [34]) concerned itself only with the  $K$ -groups and étale cohomology of *number rings*, and that the conjecture stated above is a slight modification of Conjecture 3.9 in [15].

Thus, one hopes for natural isomorphisms,

$$(3.1) \quad H_{\mu}^p(X, \mathbb{Z}/n(q)) \rightarrow H_{\text{ét}}^p(X, \mathbb{Z}/n(q)); \quad 2q \geq p, \quad q \geq \dim_k(X),$$

where  $H_{\mu}^p(X, \mathbb{Z}/n(q))$  is the mod- $n$  motivic cohomology of a smooth  $k$ -scheme  $X$ ; at least provisionally, this can be defined via Bloch’s cycle complex as

$$H_{\mu}^p(X, \mathbb{Z}/n(q)) = H_{2q-p}(\mathcal{Z}^q(X, *) \otimes \mathbb{Z}/n).$$

One main result of Suslin and Voevodsky ([49] and [48]) is that, for varieties over an algebraically closed field, such isomorphisms exist.

In fact, the major player in the proof is not Bloch’s cycle complex, but a homological version introduced by Suslin (in a 1988 lecture at Luminy). The *Suslin homology* of a scheme  $X$  is defined in terms of families of zero cycles on  $X$ , parametrized by the co-simplicial scheme  $\Delta^*$ . There was no serious progress in the study or application of Suslin homology until Voevodsky introduced his qfh-topology and h-topology in 1992; this provided the needed breakthrough by allowing an interpretation of the mod  $n$  Suslin cohomology (the dual of Suslin homology) as a cohomology theory arising from sheaves on a Grothendieck site.

#### 4. RELATIVE 0-CYCLES AND SUSLIN HOMOLOGY OF SCHEMES

A pointed topological space  $(X, *)$  freely generates a commutative monoid with  $*$  acting as identity, the *pointed infinite symmetric product*  $Sp^{\infty}X$ , whose points are the finite formal sums  $\sum_i x_i$  with  $x_i \in X$ , modulo the relation

$$n \cdot * + \sum_i x_i \sim \sum_i x_i.$$

If  $(X, *)$  is a connected CW complex, the theorem of Dold and Thom [11] shows that  $Sp^{\infty}X$  represents the homology of  $X$ , via a natural isomorphism

$$\pi_n(Sp^{\infty}X) \cong H_n(X; \mathbb{Z}).$$

One can mimick this in the algebraic setting. Rather than attempting to deal with the infinite dimensional scheme  $Sp^{\infty}X$ , Suslin considers a functor which is

in principle the one represented by the group completion of  $Sp^\infty X$ . As in §2, one replaces the topology on  $Sp^\infty X$  induced by that of  $X$  with the simplicial structure induced by  $\Delta^*$ .

DEFINITION 4.1. Let  $X$  and  $S$  be  $k$ -schemes, with  $S$  smooth and irreducible. Define the group  $C_0(S; X)$  to be the free abelian group on the subvarieties  $W$  of  $X \times S$  such that the projection  $p_2: W \rightarrow S$  is finite and surjective (recall that a map  $f: Y \rightarrow Z$  is finite if  $f$  is proper and each fiber of  $f$  is a finite set). Set

$$C_n(S; X) = C_0(S \times \Delta^n; X).$$

The group  $C_0(S; X)$  is covariantly functorial in  $X$  and contravariant in  $S$ ; thus, we may form the complex (see (2.2))

$$C_*(S; X) := C_0(S \times \Delta^*; X) = C_0(S; X)_{h\mathbb{Z}},$$

and the homology

$$H_p^{\text{sing}}(S; X) := H_p(C_*(S; X)).$$

The groups  $H_p^{\text{sing}}(S; X)$  are thus covariant in  $X$  and contravariant in  $S$ .

EXAMPLE 4.2. Take  $S = \text{Spec } k$ . Then  $H_p^{\text{sing}}(k; X)$  is the *Suslin homology* of  $X$ . Sending  $X$  to  $H_p^{\text{sing}}(X; \mathbb{Z}) := H_p^{\text{sing}}(k; X)$  defines the functor

$$H_p^{\text{sing}}(-; \mathbb{Z}): \mathbf{Sch}/k \rightarrow \mathbf{Ab}.$$

Let  $Y$  be a smooth irreducible  $k$ -scheme, and define  $C_0(X)(Y)$  by

$$(4.1) \quad C_0(X)(Y) := C_0(Y; X).$$

This defines the (contravariant) functor  $C_0(X)$  on the category  $\mathbf{Sm}/k$  of smooth, finite-type  $k$ -schemes.

### 5. GROTHENDIECK TOPOLOGIES

Grothendieck topologies play a central role in the arguments of Suslin-Voevodsky; we give here a brief overview. For more details see, e.g., [1], [35], [10], and [26].

Grothendieck introduced the notion of a Grothendieck topology, and the associated category of sheaves for the topology, in the construction of étale cohomology, the algebro-geometric replacement of singular cohomology. To form a topology on a set  $X$ , one selects a collection of subsets of  $X$ , the *open* subsets for the topology, subject to certain axioms. Grothendieck extended this notion by considering the inclusion of an open subset as a special case of a morphism in a category and considering, for a category  $\mathcal{C}$ , families of morphisms in  $\mathcal{C}$

$$f_\alpha: U_\alpha \rightarrow X,$$

satisfying axioms which generalize the notion of an open cover.

More specifically, for each object  $U$  of  $\mathcal{C}$ , one must define when a family of morphisms

$$\{U_\alpha \rightarrow U \mid \alpha \in A\}$$

in  $\mathcal{C}$  is a *cover* of  $U$ ; one requires

1. *stability under base-change*: if

$$\{U_\alpha \rightarrow U \mid \alpha \in A\}$$

is a cover of  $U$ , and  $V \rightarrow U$  is a morphism in  $\mathcal{C}$ , then the fiber product  $U_\alpha \times_U V$  exists for each  $\alpha$ , and the family

$$\{p_2: U_\alpha \times_U V \rightarrow V \mid \alpha \in A\}$$

is a cover of  $V$ .

2. *stability under composition*: if

$$\{U_\alpha \xrightarrow{f_\alpha} U \mid \alpha \in A\}$$

is a cover of  $U$ , and if

$$\{V_\beta \xrightarrow{g_{\alpha\beta}} U_\alpha \mid \beta \in B_\alpha\}$$

is a cover of  $U_\alpha$  for each  $\alpha$ , then

$$\{V_\beta \xrightarrow{f_\alpha \circ g_{\alpha\beta}} U \mid \beta \in B_\alpha, \alpha \in A\}$$

is a cover of  $U$ .

3. each isomorphism

$$U' \rightarrow U$$

forms a cover of  $U$ .

A category together with a Grothendieck topology is called a *Grothendieck site*.

We have actually defined above the notion of a Grothendieck *pre-topology* on  $\mathcal{C}$ ; this suffices to define the primary objects of interest: presheaves and sheaves. A Grothendieck pre-topology generates a Grothendieck topology, just as a basis for a topology generates a topology, so we will freely confuse the two notions in what follows.

One can view a topology in the usual sense on a set  $X$  as a Grothendieck topology, by defining  $\mathcal{C}$  to be the category formed by the inclusion maps  $V \rightarrow U$  among the open subsets of  $X$ , and defining the covers of  $U \subset X$  to be collections

$$\{U_\alpha \rightarrow U\},$$

with

$$\cup_\alpha U_\alpha = U,$$

i.e., open covers of  $U$ .

Conversely, if one has a Grothendieck topology on a category  $\mathcal{C}$ , one can consider the morphisms

$$f: V \rightarrow U$$

which occur in some cover  $\{f_\alpha: U_\alpha \rightarrow U\}$  as an “open subset” of  $U$ . If the category  $\mathcal{C}$  has a final object  $X$ , and if each morphism  $V \rightarrow U$  occurs in some cover of  $U$ , then one may consider the Grothendieck topology on  $\mathcal{C}$  as a “Grothendieck topology on  $X$ ”, and the objects of  $\mathcal{C}$  as the opens for the Grothendieck topology.

In the general case, a Grothendieck topology  $\mathfrak{T}$  on a category  $\mathcal{C}$  induces the Grothendieck topology  $\mathfrak{T}_X$  on each object  $X$  of  $\mathcal{C}$  by forming the category  $\mathcal{C}_X$  of maps  $U \rightarrow X$  which occur in a cover of  $X$ , where a map  $(U \rightarrow X) \rightarrow (V \rightarrow X)$  is a commutative triangle

$$\begin{array}{ccc} U & \rightarrow & V \\ & \searrow & \swarrow \\ & X & \end{array}$$

and using the covers in  $\mathfrak{T}$  to define the covers in  $\mathfrak{T}_X$ . The operation of restricting to an object of  $\mathcal{C}$  is like taking the induced topology on a subset of a topological

space. One can consider a Grothendieck topology on a category  $\mathcal{C}$  as being defined by giving a Grothendieck topology on  $X$  for each object  $X$  of  $\mathcal{C}$ , with this assignment being natural in  $X$ .

Once one has a Grothendieck topology  $\mathfrak{T}$  on a category  $\mathcal{C}$ , one defines a presheaf  $F$  (say of abelian groups) for the topology as a contravariant functor from  $\mathcal{C}$  to  $\mathbf{Ab}$ . A sheaf is a presheaf  $F$  that satisfies the sheaf axiom: if

$$\{i_\alpha: U_\alpha \rightarrow U\}$$

is a cover of  $U$ , then the sequence

$$0 \rightarrow F(U) \xrightarrow{\prod_\alpha F(i_\alpha)} \prod_\alpha F(U_\alpha) \xrightarrow{F(p_2)-F(p_1)} \prod_{\alpha,\beta} F(U_\alpha \times_V U_\beta)$$

is exact. The category  $\text{Sh}_{\mathfrak{T}}$  of sheaves of abelian groups for the topology  $\mathfrak{T}$  is then an abelian category, so one can perform the usual operations of homological algebra in this setting. If  $X$  is in  $\mathcal{C}$ , using the topology  $\mathfrak{T}_X$  on  $\mathcal{C}_X$ , one has the notions of a pre-sheaf or a sheaf on  $X$ , giving the category of sheaves on  $X$  for the topology  $\mathfrak{T}$ ,  $\text{Sh}_{\mathfrak{T}}(X)$ .

There is also the operation of sheafifying a presheaf, so one has in particular the “constant” sheaf  $A_X$  on  $X$  for each abelian group  $A$ . As one has the canonical isomorphism

$$\text{Hom}_{\text{Sh}_{\mathfrak{T}}(X)}(\mathbb{Z}_X, \mathcal{F}) \cong \mathcal{F}(X),$$

the groups  $\text{Ext}^p(\mathbb{Z}_X, \mathcal{F})$  are the higher derived functors of the global sections functor; one can therefore define the cohomology of a sheaf  $\mathcal{F}$  on  $X$  for the topology  $\mathfrak{T}$  by

$$(5.1) \quad H_{\mathfrak{T}}^p(X; \mathcal{F}) = \text{Ext}_{\text{Sh}_{\mathfrak{T}}(X)}^p(\mathbb{Z}_X, \mathcal{F}).$$

EXAMPLE 5.1.

1. The *Zariski* topology on a scheme  $X$  is a topology in the classical sense, with opens being complements of algebraic subsets  $F$  of  $X$ . One makes the Zariski topology into a Grothendieck topology as described above.
2. For  $X$  a smooth scheme of finite type over an algebraically closed field  $k$ , an étale map is a morphism  $i: U \rightarrow X$  whose differential  $di_u$  is an isomorphism for all  $k$  points  $u \in U$ . For example, if  $X$  is a smooth variety over  $\mathbb{C}$ , and  $f: Y \rightarrow X$  is *proper*, then  $f$  is étale if and only if the map of complex manifolds  $f(\mathbb{C}): Y(\mathbb{C}) \rightarrow X(\mathbb{C})$  is a covering space (necessarily finite). More generally, if  $X$  is still over  $\mathbb{C}$ , but not necessarily smooth, then a map  $f: Y \rightarrow X$  is étale if and only if, for each point  $y$  of  $Y$ , there are neighborhoods  $U$  of  $y \in Y(\mathbb{C})$  and  $V$  of  $f(y) \in X(\mathbb{C})$  (in the  $\mathbb{C}$ -topology) such that  $f$  gives an isomorphism

$$f(\mathbb{C}): U \rightarrow V.$$

We omit the general definition of an étale morphism.

The étale topology on a scheme  $X$  is given by taking the category of “opens of  $X$ ” to be the étale maps  $U \rightarrow X$  (of finite type), and saying that a cover of  $U \rightarrow X$  is a collection of étale maps

$$\{f_\alpha: U_\alpha \rightarrow U\}$$

such that

$$U = \cup_\alpha f_\alpha(U_\alpha).$$

Using the methods discussed above, one has the étale cohomology of a scheme  $X$  with coefficients in an étale sheaf  $\mathcal{F}$ ,  $H_{\text{ét}}^*(X, \mathcal{F})$ .

REMARK 5.2. Let  $x$  be a point of a variety  $X$ . The *henselization*  $X_x^h$  of  $X$  at  $x$  plays the role, for the étale topology, of a small neighborhood of  $x$  in  $X$  in the classical topology. The henselization is gotten by taking the inverse limit over the the collection of pointed étale map

$$(Y, y) \rightarrow (X, x).$$

For instance, if the base field  $k$  is algebraically closed, and  $x$  is a smooth  $k$ -point of a smooth  $k$ -variety  $X$  of dimension  $d$ , then  $X_x^h$  is isomorphic to the henselization  $(\mathbb{A}^d)_0^h$  of affine  $d$ -space at the origin.

The examples in 5.1 describe the so-called *small* site: giving a Grothendieck topology for a single scheme  $X$ ; giving a Grothendieck topology on the category  $\mathbf{Sch}/k$  of schemes over a fixed base field  $k$  defines what is known as a *big* site.

For example, the étale topology on a scheme  $X$  is natural in  $X$ : if  $U \rightarrow X$  is étale, and  $f: Y \rightarrow X$  is a morphism of schemes, then  $U \times_X Y \rightarrow Y$  is étale. This gives us the big étale site on  $\mathbf{Sch}/k$ . The big Zariski site is defined similarly.

Now, suppose we have a sheaf  $\mathcal{F}$  on  $\mathbf{Sch}/k$  for some topology  $\mathfrak{T}$ . For a  $k$ -scheme  $X$ , one can restrict  $\mathcal{F}$  to  $X$  to give the sheaf  $\mathcal{F}_X$  for the topology  $\mathfrak{T}_X$ , and the cohomology  $H^*(X, \mathcal{F}_X)$ , defined as in (5.1) as the Ext groups

$$H^*(X, \mathcal{F}_X) = \text{Ext}_{\text{Sh}_{\mathfrak{T}_X}(X)}^*(\mathbb{Z}_X, \mathcal{F}_X).$$

One can also define the cohomology on  $X$  entirely in the category  $\text{Sh}_{\mathfrak{T}}/k$  of sheaves on  $\mathbf{Sch}/k$  in the following way: Let

$$\text{Hom}_k(-, X): \mathbf{Sch}/k^{\text{op}} \rightarrow \mathbf{Sets}$$

be the functor represented by  $X$ :

$$Y \mapsto \text{Hom}_k(Y, X).$$

Form the free abelian group on  $\text{Hom}_k(-, X)$ , giving the presheaf

$$\mathbb{Z}[\text{Hom}_k(-, X)]: \mathbf{Sch}/k^{\text{op}} \rightarrow \mathbf{Ab}.$$

Let  $\mathbb{Z}_{\mathfrak{T}}(X)$  denote the sheafification of  $\mathbb{Z}[\text{Hom}_k(-, X)]$  for the topology  $\mathfrak{T}$ . It follows from the Yoneda lemma that there is a natural isomorphism

$$(5.2) \quad H_{\mathfrak{T}_X}^*(X, \mathcal{F}_X) = \text{Ext}_{\text{Sh}_{\mathfrak{T}_X}(X)}^*(\mathbb{Z}_X, \mathcal{F}_X) \cong \text{Ext}_{\text{Sh}_{\mathfrak{T}}/k}^*(\mathbb{Z}_{\mathfrak{T}}(X), \mathcal{F}),$$

which is the interpretation of sheaf cohomology on  $X$  we wanted.

We shall see in the next section that two new topologies, both finer than the étale topology, provide via (5.2) the link between mod  $n$  étale cohomology and Suslin homology.

## 6. THE h-TOPOLOGY AND THE qfh-TOPOLOGY

Voevodsky's qfh-topology allows one to use the machinery of sheaf cohomology in the study of algebraic cycles; the h-topology is perhaps a more natural construction, but the resulting sheaf cohomology is not so obviously related to cycles. As we shall later see, the mod- $n$  cohomologies in the h- and qfh-topologies agree, so the two points of view complement each other.

We fix a base field  $k$ , and write  $\mathbf{Sch}/k$  for the category of schemes of finite type over  $k$ .

DEFINITION 6.1.

1. Let  $f: X \rightarrow Y$  be a map of  $k$ -schemes.  $f$  is a *topological epimorphism* if  $f$  is surjective on points and if  $Y$  has the quotient topology; i.e., a subset  $U$  of  $Y$  is open if and only if  $f^{-1}(U)$  is open in  $X$ .  $f$  is a *universal topological epimorphism* if, for each map of schemes  $Z \rightarrow Y$ , the projection

$$Z \times_Y X \rightarrow Z$$

is a topological epimorphism.

2. The *h-topology* on  $\mathbf{Sch}/k$  is the Grothendieck topology for which an h-cover of  $Y$  is a universal topological epimorphism  $X \rightarrow Y$ .
3. The *qfh-topology* on  $\mathbf{Sch}/k$  is the Grothendieck topology for which a qfh-cover of  $Y$  is a universal topological epimorphism  $X \rightarrow Y$  which is quasi-finite over  $Y$  (the inverse image of each point of  $Y$  is a finite set).

The following structure theorem gives a more concrete idea of the h-topology and qfh-topology.

**Theorem 6.2.** *i) Let  $V \rightarrow Y$  be an h-cover. There is a refinement of  $V$ ,*

$$\begin{array}{ccc} U & \rightarrow & V \\ & \searrow & \swarrow \\ & Y & \end{array}$$

and a factorization of  $U \rightarrow Y$  as

$$(6.1) \quad U = \coprod_i U_i \xrightarrow{j} X \xrightarrow{p} Z \xrightarrow{q} Y$$

where  $p$  is a finite morphism,  $q$  is the blow-up of a closed subscheme of  $Y$ , and  $j$  is a Zariski open cover. Conversely, each morphism  $U \rightarrow Y$  which factors as in (6.1) is an h-cover.

*ii) Let  $V \rightarrow Y$  be a qfh-cover. There is a refinement of  $V$ ,*

$$\begin{array}{ccc} U & \rightarrow & V \\ & \searrow & \swarrow \\ & Y & \end{array}$$

and a factorization of  $U \rightarrow Y$  as

$$(6.2) \quad U = \coprod_i U_i \xrightarrow{j} X \xrightarrow{p} Y$$

where  $p$  is a finite morphism and  $j$  is a Zariski open cover. Conversely, each morphism  $U \rightarrow Y$  which factors as in (6.2) is a qfh-cover.

REMARK 6.3. i) Clearly the h-topology is finer than the qfh-topology. The qfh-topology is finer than the étale topology.

ii) If the characteristic of  $k$  is zero, one may use Hironaka's resolution of singularities to show that each h cover of a  $k$ -scheme  $Y$  has a refinement  $U \rightarrow Y$  with  $U$  smooth over  $k$ . In characteristic  $p > 0$ , the recent work of de Jong [29] gives the same result. This is certainly *not* the case for qfh-covers, even of a smooth  $k$ -scheme. This points out an important technical advantage of the h-topology over the qfh-topology: every  $k$ -scheme is locally smooth in the h-topology, while only 0 and 1-dimensional  $k$ -schemes are locally smooth in the qfh-topology.

## 7. FAMILIES OF 0-CYCLES AND THE qfh-TOPOLOGY

We have seen in (5.2) that the sheafification  $\mathbb{Z}_{\mathfrak{X}}(X)$  of the abelian group generated by the representing presheaf  $\text{Hom}(-, X)$  plays a central role in understanding sheaf cohomology. We have the “family of 0-cycles on  $X$ ” functor of example 4.1:

$$C_0(X): \mathbf{Sm}/k^{\text{op}} \rightarrow \mathbf{Ab}.$$

The qfh-topology links sheaf cohomology with algebraic cycles via

**Theorem 7.1.** *Let  $p$  be the exponential characteristic of the base field  $k$  ( $p = \text{char}(k)$  if  $\text{char}(k) > 0$ ,  $p = 1$  if  $\text{char}(k) = 0$ ). The functor  $C_0(X)[1/p]$  on  $\mathbf{Sm}/k$  extends uniquely to a qfh-sheaf (denoted  $c_0(X)$ ) on  $\mathbf{Sch}/k$ . Moreover,  $c_0(X)$  is naturally isomorphic to the “representing” qfh-sheaf  $\mathbb{Z}_{\text{qfh}}(X)[1/p]$ .*

The map relating  $\mathbb{Z}_{\text{qfh}}(X)[1/p]$  and  $C_0(X)[1/p]$  is gotten by sending a morphism

$$f: Y \rightarrow X$$

to the transpose of the graph in  $X \times Y$ . The proof of the first part of Theorem 7.1 can be divided into two steps: the first step consists in extending  $C_0(X)[1/p]$  to normal schemes. This is accomplished in [48] by Galois-theoretic methods, and in [50] by a type of limit process, reminiscent of the method of Weil [56] for defining intersection multiplicities. The extension from normal schemes to arbitrary schemes of finite type is then a fairly formal process, using the fact that a reduced scheme of finite type is a direct limit of normal schemes.

Another crucial property of qfh-sheaves is that they admit *transfers*. Recall that a map of schemes  $f: X \rightarrow Y$  is *finite* if  $f$  is proper and quasi-finite ( $f^{-1}(y)$  is a finite set for each  $y \in Y$ ). For instance, a finite extension of field  $K \rightarrow L$  defines a finite morphism  $\text{Spec } L \rightarrow \text{Spec } K$ . If  $P$  is a presheaf on  $\mathbf{Sch}/k$ , a transfer on  $P$  is gotten by giving a map

$$\text{Tr}_{X/S} = \text{Tr}_p: P(X) \rightarrow P(S),$$

for each finite morphism  $p: X \rightarrow S$  with  $X$  and  $S$  reduced and irreducible, and  $S$  smooth. These maps should be compatible with pull-back in cartesian squares in a sense which we will leave vague. Now, in the qfh-topology, each finite map  $X \rightarrow S$  is an open cover, and the sheaf axiom shows that, at least if  $X \rightarrow S$  is Galois with group of automorphisms  $G$ , taking the trace

$$\begin{aligned} \text{Tr}: P(X) &\rightarrow P(X) \\ x &\mapsto \sum_{g \in G} x^g \end{aligned}$$

defines a map  $P(X) \rightarrow P(S)$ . The general case follows with a bit of additional work.

## 8. THE h-TOPOLOGY AND THE RIGIDITY THEOREM

As the h-topology is finer than the qfh-topology, an h-sheaf also has transfers. The crucial property of sheaves for the h-topology is expressed by the *rigidity theorem*.

The original precursor of the rigidity theorem may be found in Roitman’s work on zero-cycles. The work [42] considers the torsion subgroup of the group of zero-cycles

modulo rational equivalence on a smooth projective variety  $X$  over an algebraically closed field  $k$ , and the behavior of this group under the *Albanese mapping*:

$$\alpha_X: \text{CH}_0(X) \rightarrow \text{Alb}(X).$$

Here  $\text{Alb}(X)$  is the Albanese variety of  $X$ , a finite dimensional projective algebraic group. Roitman [41], extending the work of Mumford [37] for surfaces, had shown that the map  $\alpha_X$  has a “huge” kernel, assuming that  $X$  admits a global algebraic  $p$ -form with  $p$  at least 2 (and that  $k = \mathbb{C}$ ). In contrast with this, Roitman shows in [42] that  $\alpha_X$  induces an isomorphism on the torsion subgroups (prime to the characteristic of  $k$ ).

The main point in his argument is the rigidity result: Suppose we have a family of zero-cycles on  $X$ , parametrized by an algebraic curve  $C$ , which are  $n$ -torsion mod rational equivalence, with  $n$  prime to the characteristic of  $k$ . Then the family is *constant* mod rational equivalence. The argument is quite simple: Let  $C(k)$  be the set of  $k$ -points of  $C$  and let  $\text{CH}_0(X)_n$  be the  $n$ -torsion subgroup of  $\text{CH}_0(X)$ . Sending  $x \in C(k)$  to the corresponding 0-cycle gives the map

$$\rho: C(k) \rightarrow \text{CH}_0(X)_n;$$

one easily shows that  $\rho$  extends to a group homomorphism from the  $k$ -points of the Jacobian variety

$$J(\rho): J(C)(k) \rightarrow \text{CH}_0(X)_n.$$

Since  $k$  is algebraically closed, the group  $J(C)(k)$  is  $n$ -divisible; hence  $J(\rho)$  is the zero map. Since  $J(C)(k)$  is generated by the differences  $x - y$ , where  $x$  and  $y$  are  $k$ -points of  $C$ , this shows that  $\rho(x) = \rho(y)$  for all  $x, y \in C(k)$ . The fundamental rigidity result, Theorem 8.2 below, is essentially a formal version of this result; various other extensions, with application to  $K$ -theory, had been given by Suslin [46], [47], Gillet-Thomason [27] and Gabber [22].

DEFINITION 8.1. A presheaf  $\mathcal{F}$  on  $\mathbf{Sch}/k$  is called *homotopy invariant* if the map

$$p_1^*: \mathcal{F}(X) \rightarrow \mathcal{F}(X \times \mathbb{A}^1)$$

is an isomorphism for all  $X$ .

**Theorem 8.2.** *Let  $\mathcal{F}$  be presheaf on  $\mathbf{Sch}/k$  which*

1. *is homotopy invariant,*
2. *has transfers,*
3. *is  $n$ -torsion:  $n\mathcal{F}(T) = 0$  for all  $k$ -schemes  $T$ , where  $n$  is prime to the exponential characteristic of  $k$ .*

*Let  $x$  be a smooth point on a  $k$ -variety  $X$ ,  $X_x^h$  the henselization of  $X$  at  $x$  (see Remark 5.2) and let*

$$i_x: \text{Spec } k \rightarrow X_x^h$$

*be the inclusion. Then the map*

$$i_0^*: \mathcal{F}(X_x^h) \rightarrow \mathcal{F}(\text{Spec } k)$$

*is an isomorphism.*

It follows from Theorem 8.2 and Remark 6.3 that the h-sheaf associated to a homotopy invariant presheaf with transfers  $\mathcal{F}$  and the constant h-sheaf with value  $\mathcal{F}(\text{Spec } k)$  have the same  $n$ -torsion and  $n$ -cotorsion, assuming that  $k$  is algebraically closed. This implies the fundamental cohomological rigidity theorem

**Theorem 8.3.** *Let  $k$  be an algebraically closed field, and let  $\mathcal{F}$  be a homotopy invariant presheaf on  $\mathbf{Sch}/k$  which admits transfers. Denote by  $\tilde{\mathcal{F}}_h$  the sheafification of  $\mathcal{F}$  for the  $h$ -topology. Then for all  $n$  prime to  $\text{char}(k)$ , there is a canonical isomorphism*

$$\text{Ext}_h^*(\tilde{\mathcal{F}}_h, \mathbb{Z}/n) \rightarrow \text{Ext}_{\mathbf{Ab}}^*(\mathcal{F}(\text{Spec } k), \mathbb{Z}/n).$$

REMARK 8.4. The proof in [49] assumes characteristic zero to allow the use of resolution of singularities, which enables one to show that each  $k$ -scheme of finite type admits an  $h$ -cover which is smooth over  $k$ ; the result of de Jong noted in Remark 6.3 allows the proof to go through in arbitrary characteristic.

## 9. CHANGE OF TOPOLOGY

Suppose we have two Grothendieck topologies on  $\mathbf{Sch}/k$ ,  $\mathfrak{T}$  and  $\mathfrak{T}'$ , such that  $\mathfrak{T}$  is finer than  $\mathfrak{T}'$ ; i.e., each cover in  $\mathfrak{T}'$  is a cover in  $\mathfrak{T}$ .

We may take a sheaf for the topology  $\mathfrak{T}'$  and sheafify it for the topology  $\mathfrak{T}$ , defining the functor

$$i^*: \text{Sh}_{\mathfrak{T}'} \rightarrow \text{Sh}_{\mathfrak{T}}.$$

For example, we may compare the  $h$ -,  $qfh$ - and étale topologies,

$$\mathbf{Sch}/k_h \xrightarrow{\alpha} \mathbf{Sch}/k_{qfh} \xrightarrow{\beta} \mathbf{Sch}/k_{\text{ét}}.$$

The main comparison result is

**Theorem 9.1.** *Let  $\mathcal{F}$  be an étale sheaf and let  $\mathcal{G}$  be a  $qfh$ -sheaf on  $\mathbf{Sch}/k$ . Then*

$$\begin{aligned} \text{Ext}_{\text{ét}}^*(\mathcal{F}, \mathbb{Z}/n) &= \text{Ext}_{qfh}^*(\beta^* \mathcal{F}, \mathbb{Z}/n) \\ \text{Ext}_{qfh}^*(\mathcal{G}, \mathbb{Z}/n) &= \text{Ext}_h^*(\alpha^* \mathcal{G}, \mathbb{Z}/n). \end{aligned}$$

The proof is fairly straightforward: the comparison of the étale and  $qfh$ -cohomology relies on the structure of  $qfh$ -covers (Theorem 6.2(ii)) and some elementary facts on finite covers of strictly hensel schemes. One then compares the  $h$ -cohomology with étale cohomology, using the structure of  $h$ -covers (Theorem 6.2(i)) and the Künneth formula for étale cohomology.

## 10. SUSLIN COHOMOLOGY OF $qfh$ -SHEAVES

We now have all the main ingredients needed to relate Suslin homology and étale cohomology: the representability theorem (Theorem 7.1) and the rigidity theorem (Theorem 8.3). Helped along by the comparison theorem (Theorem 9.1), a straightforward spectral sequence argument completes the proof. It is technically useful to work in a somewhat more general setting, working with an arbitrary presheaf rather than the constant presheaf  $\mathbb{Z}$ .

Let  $\mathcal{F}$  be a presheaf on  $\mathbf{Sch}/k$ , and let  $X$  be in  $\mathbf{Sch}/k$ . Applying  $\mathcal{F}$  to the cosimplicial scheme  $X \times \Delta^*$  (as in §2) gives the simplicial abelian group  $\mathcal{F}(X \times \Delta^*)$ , and the associated (homological) complex  $\mathcal{F}_*(X)$ :

$$\mathcal{F}_n(X) = \mathcal{F}(X \times \Delta^n).$$

This forms the presheaves  $\mathcal{F}_n$  on  $\mathbf{Sch}/k$ , and the complex of presheaves  $\mathcal{F}_*$ . For an abelian group  $A$ , set

$$\begin{aligned} C_*(\mathcal{F}) &:= \mathcal{F}_*(\text{Spec } k) = \mathcal{F}(\Delta^*) \\ H_*^{\text{sing}}(\mathcal{F}, A) &:= H_*(C_*(\mathcal{F}) \otimes^L A) \\ H_{\text{sing}}^*(\mathcal{F}, A) &:= H^*(\text{RHom}(C_*(\mathcal{F}), A)). \end{aligned}$$

Let  $\tilde{\mathcal{F}}_n$  denote the qfh-sheaf associated to  $\mathcal{F}_n$  and  $\tilde{\mathcal{F}}_{\text{qfh}}$  the qfh-sheaf associated to  $\mathcal{F}$ . We also write  $C_*(\mathcal{F})$  for the complex of constant sheaves.

**Theorem 10.1.** *Let  $k$  be an algebraically closed field, and let  $n > 0$  be prime to  $\text{char}(k)$ . Let  $\mathcal{F}$  be a presheaf on  $\mathbf{Sch}/k$  which admits transfers. Then there is a canonical isomorphism*

$$H_{\text{sing}}^*(\mathcal{F}, \mathbb{Z}/n) \cong \text{Ext}_{\text{qfh}}^*(\tilde{\mathcal{F}}_{\text{qfh}}, \mathbb{Z}/n).$$

Using Theorem 9.1, and the fact that qfh-sheaves admit transfers (§7), Theorem 10.1 implies

**Corollary 10.2.** *Let  $\mathcal{F}$  be a qfh-sheaf on  $\mathbf{Sch}/k$ , with  $k$  algebraically closed. Then there is a canonical isomorphism*

$$H_{\text{sing}}^*(\mathcal{F}, \mathbb{Z}/n) \cong \text{Ext}_{\text{ét}}^*(\mathcal{F}, \mathbb{Z}/n)$$

for all  $n$  prime to  $\text{char}(k)$ .

Taking  $\mathcal{F} = \mathbb{Z}_{\text{qfh}}(X)$  and using Theorem 7.1 and formula (5.2) gives the main result:

**Corollary 10.3.** *Let  $X$  be a scheme of finite type over an algebraically closed field  $k$ , and let  $n$  be prime to  $\text{char}(k)$ . Then there is a natural isomorphism*

$$H_{\text{sing}}^*(X, \mathbb{Z}/n) \cong H_{\text{ét}}^*(X, \mathbb{Z}/n).$$

The proof of Theorem 10.1 starts by considering the two spectral sequences

$$I_1^{p,q} = \text{Ext}_{\text{qfh}}^p((\tilde{\mathcal{F}}_q)_{\text{qfh}}, \mathbb{Z}/n) \implies \text{Ext}^{p-q}((\tilde{\mathcal{F}}_*)_{\text{qfh}}, \mathbb{Z}/n),$$

$$II_2^{p,q} = \text{Ext}_{\text{qfh}}^p(H_q((\tilde{\mathcal{F}}_*)_{\text{qfh}}), \mathbb{Z}/n) \implies \text{Ext}^{p-q}((\tilde{\mathcal{F}}_*)_{\text{qfh}}, \mathbb{Z}/n).$$

The comparison of qfh-cohomology and étale cohomology (Theorem 9.1), and the homotopy invariance of étale cohomology

$$H_{\text{ét}}^*(X, \mathbb{Z}/n) \cong H_{\text{ét}}^*(X \times \mathbb{A}^1, \mathbb{Z}/n)$$

lead to a proof that the projections  $X \times \Delta^p \rightarrow X$  induce isomorphisms

$$\text{Ext}_{\text{qfh}}^p(\tilde{\mathcal{F}}_{\text{qfh}}, \mathbb{Z}/n) \cong \text{Ext}_{\text{qfh}}^p((\tilde{\mathcal{F}}_q)_{\text{qfh}}, \mathbb{Z}/n).$$

This implies the degeneration of the spectral sequence  $I$  at  $E_1$ , giving the isomorphism

$$\text{Ext}_{\text{qfh}}^*(\tilde{\mathcal{F}}_{\text{qfh}}, \mathbb{Z}/n) \cong \text{Ext}_{\text{qfh}}^*((\tilde{\mathcal{F}}_*)_{\text{qfh}}, \mathbb{Z}/n).$$

By the homotopy invariance of the functors (2.3), the homology presheaf

$$H_q((\tilde{\mathcal{F}}_*)_{\text{qfh}})$$

forms a homotopy invariant presheaf on  $\mathbf{Sch}/k$ ; the transfers for the qfh-sheaves  $(\tilde{\mathcal{F}}_q)_{\text{qfh}}$  give transfers for  $H_q((\tilde{\mathcal{F}}_*)_{\text{qfh}})$ . This allows one to use the comparison with h-cohomology (Theorem 9.1) and the rigidity theorem (Theorem 8.3) to compare the spectral sequence  $II$  with the spectral sequence

$$III_2^{p,q} = \text{Ext}_{\mathbf{Ab}}^p(H_q(\mathcal{F}_*(\text{Spec } k)), \mathbb{Z}/n) \implies \text{Ext}_{\mathbf{Ab}}^{p-q}(\mathcal{F}_*(\text{Spec } k), \mathbb{Z}/n),$$

giving the isomorphism

$$\text{Ext}_{\mathbf{Ab}}^*(\mathcal{F}_*(\text{Spec } k), \mathbb{Z}/n) \cong \text{Ext}_{\text{qfh}}^*((\tilde{\mathcal{F}}_*)_{\text{qfh}}, \mathbb{Z}/n)$$

and completing the proof.

11. SUSLIN HOMOLOGY AND BLOCH'S HIGHER CHOW GROUPS

To complete the discussion, it is necessary to relate Suslin homology and Bloch's higher Chow groups.

For  $X$  in  $\mathbf{Sch}/k$ , and  $Y$  normal, let  $Z_0(X)(Y)$  denote the free abelian group on the subvarieties  $W$  of  $X \times Y$  which are *quasi-finite* over  $Y$ . As in §4, sending a smooth  $Y$  to  $Z_0(X)(Y)$  defines a contravariant functor on  $\mathbf{Sm}/k$ . Taking  $Y$  to be the cosimplicial scheme  $\Delta^*$  and taking the associated homological complex define the complex  $Z_*(X)$ , with

$$Z_n(X) = Z_0(X)(\Delta^n).$$

Suppose  $X$  has pure dimension  $d$  over  $k$ . As a subvariety  $W$  of  $X \times \Delta^p$  which is quasi-finite over  $\Delta^p$  obviously has the proper intersection with all faces, there is the inclusion of complexes

$$(11.1) \quad Z_*(X) \rightarrow \mathcal{Z}^d(X, *).$$

Suslin [48] has shown

**Theorem 11.1.** *Suppose that  $X$  is affine. Then the inclusion (11.1) is a quasi-isomorphism.*

Let  $\mathrm{CH}^q(X, p; \mathbb{Z}/n)$  denote the homology

$$\mathrm{CH}^q(X, p; \mathbb{Z}/n) = H_p(\mathcal{Z}^q(X, *) \otimes \mathbb{Z}/n) = H_p((\mathcal{Z}^q(X, *) \otimes^L \mathbb{Z}/n)).$$

There is an analog of Theorem 7.1:

**Theorem 11.2.** *There is a unique extension of the functor  $Z_0(X)[1/p]$  on  $\mathbf{Sm}/k$  to a qfh-sheaf  $z_0(X)$  on  $\mathbf{Sch}/k$ .*

Applying Theorem 10.1 gives

**Proposition 11.3.** *Let  $n$  be prime to  $\mathrm{char}(k)$  and suppose  $X$  is affine of dimension  $d$  over  $k$ . There is a natural isomorphism*

$$\mathrm{CH}^d(X, *; \mathbb{Z}/n)^\vee = H_*^{\mathrm{sing}}(z_0(X), \mathbb{Z}/n)^\vee \cong \mathrm{Ext}_{\mathrm{qfh}}^*(z_0(X), \mathbb{Z}/n).$$

Here  $M^\vee$  is the dual

$$M^\vee := \mathrm{Hom}_{\mathbb{Z}/n}(M, \mathbb{Z}/n)$$

of a  $\mathbb{Z}/n$ -module  $M$ .

The qfh-sheaves  $z_0(X)$  are contravariantly functorial in  $X$  for open immersions, and covariantly functorial for proper maps. If  $Y$  is a closed subscheme of  $X$  with complement  $U$ , the following sequence

$$(11.2) \quad 0 \rightarrow z_0(Y) \rightarrow z_0(X) \rightarrow z_0(U)$$

is easily seen to be exact. Letting  $\tilde{z}_0(X)_h$  denote the h-sheaf associated to  $z_0(X)$ , there is the

**Lemma 11.4.** *The sequence*

$$(11.3) \quad 0 \rightarrow \tilde{z}_0(Y)_h \rightarrow \tilde{z}_0(X)_h \rightarrow \tilde{z}_0(U)_h \rightarrow 0$$

*induced from the sequence (11.2) is an exact sequence of h-sheaves on  $\mathbf{Sch}/k$ .*

REMARK 11.5. As mentioned in §4, the Suslin homology of the functor  $C_0(X)$  is an algebraic version of homology; the Suslin homology of the functor  $Z_0(X)$  can similarly be viewed as an algebraic version of *Borel-Moore homology*. In fact, recall from Theorem 7.1 that the functor  $C_0(X)[1/p]$  extends to the qfh-sheaf  $c_0(X)$  on  $\mathbf{Sch}/k$ . The h-sheaf  $\tilde{c}_0(-)_h$  associated to the qfh-sheaf  $c_0(-)$  satisfies a Mayer-Vietoris property, giving the exact sequence

$$(11.4) \quad 0 \rightarrow \tilde{c}_0(U \cap V)_h \rightarrow \tilde{c}_0(U)_h \oplus \tilde{c}_0(V)_h \rightarrow \tilde{c}_0(U \cup V)_h \rightarrow 0.$$

By Theorem 10.1, the sequences (11.3) and (11.4) give rise to a localization sequence for the mod  $n$  Suslin homology  $H_*^{\text{sing}}(Z_0(-), \mathbb{Z}/n)$  and a Mayer-Vietoris sequence for the mod  $n$  Suslin homology  $H_*^{\text{sing}}(-, \mathbb{Z}/n)$  (assuming  $n$  prime to the characteristic). In [50], modifications of the sequences (11.3) and (11.4) give rise to a localization sequence for  $H_*^{\text{sing}}(Z_0(-), \mathbb{Z})$  of  $Z_0(-)$  and a Mayer-Vietoris sequence for  $H_*^{\text{sing}}(-, \mathbb{Z})$  (assuming characteristic zero).

If  $X$  is proper over  $k$ , we have

$$z_0(X) = c_0(X).$$

By Theorem 9.1, formula (5.2) and Corollary 10.2, we have the isomorphisms

$$(11.5) \quad \text{Ext}_h^*(\tilde{z}_0(X)_h, \mathbb{Z}/n) \cong H_{\text{ét}}^*(X, \mathbb{Z}/n)$$

for  $X$  proper. Using the long exact Ext-sequence arising from the exact sequence of Lemma 11.4, and the corresponding long exact Gysin sequence for étale cohomology with compact supports, one extends the isomorphism (11.6) to the isomorphism

$$(11.6) \quad \text{Ext}_h^*(\tilde{z}_0(X)_h, \mathbb{Z}/n) \cong H_c^*(X, \mathbb{Z}/n)$$

to arbitrary  $X$ ; here  $H_c^*$  is the étale cohomology with compact supports.

With the help of (11.6), one arrives at the following comparison of the mod- $n$  higher Chow groups, and étale cohomology for affine  $X$ :

**Theorem 11.6.** *Let  $X$  be an affine variety over an algebraically closed field  $k$ , and let  $n > 0$  be an integer prime to  $\text{char}(k)$ . Assume that  $q > d := \dim(X)$ . Then there is a natural isomorphism*

$$CH^q(X, p; \mathbb{Z}/n) \cong H_c^{2(d-q)+p}(X, \mathbb{Z}/n(d-q))^\vee.$$

The proof uses the homotopy property to replace  $X$  with  $X \times \mathbb{A}^{q-d}$ , which reduces to the case  $q = d$ ; one then applies Proposition 11.3 and the isomorphism (11.6). Using Poincaré duality, Theorem 8.3 implies

**Corollary 11.7.** *Let  $X$  be a smooth affine variety over an algebraically closed field  $k$ , and let  $n > 0$  be an integer prime to  $\text{char}(k)$ . Assume that  $q \geq d := \dim(X)$ . Then there is a natural isomorphism*

$$CH^q(X, p; \mathbb{Z}/n) \cong H_{\text{ét}}^{2d-p}(X, \mathbb{Z}/n(q)).$$

Theorem 11.6 (resp. Corollary 11.7) extends to quasi-projective  $X$  (resp. smooth quasi-projective  $X$ ) if  $\text{char}(k) = 0$ , using the localization property for the higher Chow groups [5] and the analogous Gysin sequence for étale cohomology with compact supports.

## 12. EPILOGUE

We have not described the beautiful constructions and results in the fundamental papers [50], [52] and [20], which form the foundations for many of the constructions we have described. We omitted as well mention of the works on Lawson homology and related topics ([17], [18], and [16]), which formed a large part of the motivation for many of the constructions of [50] and [20]. We have also not described the various constructions of triangulated motivic categories and motivic complexes, in [23], [28], [34], [36], [53] and [33]. Presumably, the categorical constructions all lead to equivalent categories and the complexes all yield equivalent cohomologies, but this is at present not known.

More recently, Suslin and Voevodsky [51] have reduced the Quillen-Lichtenbaum conjectures for motivic cohomology to the *Bloch-Kato conjecture*, which asserts that the *Galois symbol* on Milnor  $K$ -theory of a field  $F$  defines an isomorphism

$$K_q^M(F)/n \rightarrow H_{\text{ét}}^q(F, \mu_n^{\otimes q})$$

for all  $n$  prime to the characteristic of  $F$ . Relying on results of Rost [43], [44], Voevodsky has given a proof of the mod 2 Bloch-Kato conjecture (see [54]), which thus completely solves the mod 2 Quillen-Lichtenbaum conjecture for motivic cohomology. Using the Bloch-Lichtenbaum spectral sequence [6] relating  $K$ -theory and motivic cohomology, Weibel [55] has shown how to compute the mod 2  $K$ -theory of  $\mathbb{Z}$ ; this has recently been extended by Kahn [30] to a computation of the mod 2  $K$ -theory of all number rings, verifying that mod 2 algebraic  $K$ -theory agrees with mod 2 étale  $K$ -theory for all number rings. There is a whole host of unanswered questions in the area of motivic cohomology and algebraic  $K$ -theory; the new viewpoint offered by Voevodsky, Suslin and others will surely lead to further breakthroughs.

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