

MATING A SIEGEL DISK WITH THE JULIA SET OF A REAL QUADRATIC POLYNOMIAL

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ABSTRACT. In this work, we show that it is possible to construct the mating between a quadratic polynomial with a Siegel disk and a real quadratic polynomial possessing a postcritical orbit that is semi-conjugate to a rigid rotation with the same rotation number as the Siegel disk.

1. INTRODUCTION

The mating of two quadratic polynomials is a topological construction, suggested by Douady and Hubbard, that consists of gluing their filled Julia sets along their boundaries, via an equivalence relation, to get a quadratic rational map where it is possible to observe the dynamics of both polynomials [DH]. Explicitly, we take two monic quadratic polynomials P_1 and P_2 whose filled Julia sets $K_i = K(P_i)$ are locally-connected, where the filled Julia set $K(P_i)$ is the set of $z \in \mathbb{C}$ for which the orbit under P_i is bounded. By Böttcher's Theorem there exists a conformal isomorphism Φ_i between the basin of infinity $\widehat{\mathbb{C}} \setminus K_i$ and $\widehat{\mathbb{C}} \setminus \overline{\mathbb{D}}$, with $\Phi_i(\infty) = \infty$ and $\Phi_i'(\infty) = 1$, such that Φ_i conjugates P_i to the map $z \mapsto z^2$; that is, the following diagram commutes:

$$\begin{array}{ccc} \widehat{\mathbb{C}} \setminus K_i & \xrightarrow{\Phi_i} & \widehat{\mathbb{C}} \setminus \overline{\mathbb{D}} \\ P_i \downarrow & & \downarrow z \rightarrow z^2 \\ \widehat{\mathbb{C}} \setminus K_i & \xrightarrow{\Phi_i} & \widehat{\mathbb{C}} \setminus \overline{\mathbb{D}}. \end{array}$$

By Carathéodory's Theorem the inverse map Φ_i^{-1} has a continuous extension to the closure of $\widehat{\mathbb{C}} \setminus \overline{\mathbb{D}}$. This extension induces a parametrization of the Julia set $J_i = \partial K_i$, defined by

$$\Gamma_i(t) = \Phi_i^{-1}(e^{2\pi it}) : \mathbb{T} = \mathbb{R}/\mathbb{Z} \rightarrow J_i,$$

and it is commonly referred to as the *Carathéodory loop* of J_i . By definition, $\Gamma_i(2t) = P_i(\Gamma_i(t))$ for all $t \in \mathbb{T}$ and $i = 1, 2$.

Let X be the topological space obtained by the disjoint union of K_1 and K_2 , gluing the two filled Julia sets along their Carathéodory loops in reverse directions,

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i.e.,

$$X = (K_1 \sqcup K_2) / (\Gamma_1(t) \sim \Gamma_2(-t)).$$

If X is homeomorphic to the 2-sphere \mathbf{S}^2 , then the pair of polynomials (P_1, P_2) is called *topologically mateable* and the induced map of \mathbf{S}^2 ,

$$P_1 \perp_\tau P_2 = (P_1|_{K_1} \sqcup P_2|_{K_2}) / (\Gamma_1(t) \sim \Gamma_2(-t))$$

is the *topological mating* of P_1 and P_2 . In particular, there are canonical semiconjugacies $K_1 \rightarrow K_1 \perp_\tau K_2$ and $K_2 \rightarrow K_1 \perp_\tau K_2$, from P_1 and P_2 to $P_1 \perp_\tau P_2$, respectively.

In general, X is not homeomorphic to \mathbf{S}^2 [TL]. However, we will see below that in many cases X is a topological sphere and $P_1 \perp_\tau P_2$ is a degree 2 branched covering of the sphere. Then, it will be natural to ask whether it possesses an invariant conformal structure.

Definition 1.1. A quadratic rational map $R : \widehat{\mathbb{C}} \rightarrow \widehat{\mathbb{C}}$ is called a mating of P_1 and P_2 ,

$$R = P_1 \perp P_2,$$

if it is conjugate to the topological mating $P_1 \perp_\tau P_2$ by an homeomorphism which is conformal in the interiors of K_1 and K_2 . If such R is unique up to conjugation by a Möbius transformation, then we refer to it as the mating of (P_1, P_2) .

We mention that there are other equivalent methods to formulate the mating [M1, YaZa].

1.1. Examples of matings. Let $c_i \in \mathbb{C}$ and $P_i(z) = z^2 + c_i$. The Mandelbrot set M is the set of $c_i \in \mathbb{C}$ for which $K_i = K(P_i)$ is connected. Let W_0 be the *main hyperbolic component* of M and let ∂W_0 (*the main cardioid*) be the boundary of W_0 . It is known that there exists a conformal isomorphism between W_0 and \mathbb{D} , this isomorphism can be extended continuously to ∂W_0 and this defines the *internal argument* γ for all c in the main cardioid [D]. If $c \in \partial W_0$ has a rational internal argument $\frac{p}{q}$, $(p, q) = 1$, then c is a root of a hyperbolic component of period q . In this case, $M \setminus \{c\}$ has two components, the one that does not contain W_0 is called the $\frac{p}{q}$ -limb and we denote it by $W_{\frac{p}{q}}$. If $\frac{p_1}{q_1}$ and $\frac{p_2}{q_2}$ are two positive rational numbers such that $\frac{p_1}{q_1} + \frac{p_2}{q_2} = 1$, then we call $W_{\frac{p_1}{q_1}}, W_{\frac{p_2}{q_2}}$ a pair of conjugate limbs of the Mandelbrot set.

Theorem 1.1 (Lei, Rees, and Shishikura [TL, Re, Sh]). *Let c_1, c_2 be two parameter values in M not in conjugate limbs of the Mandelbrot set such that P_{c_1} and P_{c_2} have postcritically finite sets. Then P_{c_1} and P_{c_2} are topologically mateable. Moreover, their mating $F = P_{c_1} \perp P_{c_2}$ exists.*

If the critical point is pre-periodic, the Julia set is a dendrite with no interior and the mating of two dendrites is a Lattès map. An example of this case was studied by Milnor in [M1].

Using quasiconformal surgery, Theorem 1.1 can be extended to any pair P_{c_1}, P_{c_2} , where the c_i belong to hyperbolic components W_1, W_2 of the Mandelbrot set which do not belong to conjugate limbs. This procedure gives an isomorphism between the product $W_1 \times W_2$ and a hyperbolic component in the parameter space of quadratic rational maps. This isomorphism, however, does not necessarily extend continuously to the product of closures $\overline{W_1} \times \overline{W_2}$, [Ep].

One of the first examples of mating for parameters in the boundary of the Mandelbrot set has been given by Yampolsky and Zakeri in [YaZa]. They consider the mating between quadratic polynomials with a Siegel disk in their filled Julia sets. For this, we consider an irrational number $0 < \theta < 1$ and let $[a_1, a_2, a_3, \dots]$ be its continued fraction; that is,

$$\theta = \frac{1}{a_1 + \frac{1}{a_2 + \frac{1}{a_3 + \dots}}}$$

We say that θ is of *bounded type* if the sequence $\{a_n\}$ is bounded. Let $f_\theta(z) = e^{2\pi i\theta}z + z^2$.

Theorem 1.2 (Yampolsky and Zakeri [YaZa]). *Let $0 < \theta, \nu < 1$ be two irrational numbers of bounded type. If $\theta \neq 1 - \nu$, then the polynomials f_θ and f_ν are topologically mateable. Moreover, there exists a quadratic rational map F such that $F = f_\theta \perp f_\nu$.*

1.2. Parameters for the mating. Since the Mandelbrot set is locally-connected at every point $c \in \partial W_0$, we have an external ray of the Mandelbrot set $R_M(\theta)$ which lands at c , for all $c \in \partial W_0$. We define the following set:

$$\text{Arg}(W_0) = \{\theta \in [0, 1/3] : R_M(\theta) \text{ lands at } c \in \partial W_0\}.$$

Let c be a parameter in the main cardioid with irrational internal argument γ and external argument $\theta \in [0, 1/3]$. If P_c is linearizable near the α_c fixed point, it has a Siegel disk Δ . When J_c is locally connected, we have that $0 \in \partial\Delta$ and the dynamical external ray of argument θ lands at $c \in \partial\Delta$ [D2].

Notice that the orbit of θ under the angle doubling map does not meet the interval $[\frac{1}{4} + \frac{\theta}{4}, \frac{1}{2} + \frac{\theta}{4}]$ since the boundary of Δ is invariant under P_c , the angles $\frac{1}{4} + \frac{\theta}{4}$ and $\frac{1}{2} + \frac{\theta}{4}$ are the external arguments of the first pre-image in $\partial\Delta$ of the critical point and $P_c|_{J_c}$ is semi-conjugate to $t \mapsto 2t$. In particular, the orbit of θ does not meet the interval $[\frac{1}{2} - \frac{\theta}{4}, \frac{1}{2} + \frac{\theta}{4}]$. Since this property is satisfied by all the external rays landing at the critical value of any real parameter c' on the boundary of M , Douady conjectured that if θ belongs to $\text{Arg}(W_0)$ and

$$T(\theta) = \frac{1}{2} + \frac{\theta}{4},$$

then $T(\theta)$ is an external argument of a real parameter in the Mandelbrot set.

In [Bl], it is shown that the external ray with angle $T(\theta)$ lands at $\partial M \cap \mathbb{R}$ for all $\theta \in \text{Arg}(W_0)$. Moreover, if c is in the main cardioid with irrational internal argument $\gamma \in \mathbb{T}$ and external argument $\theta \in \text{Arg}(W_0)$, the following theorem is proved.

Theorem 1.3 (Ble [Bl]). *Let $0 < \gamma < 1$ be an irrational number. Then the external ray $R_M(T(\theta))$ lands at the real parameter c' ; and,*

- (1) $P_{c'}|_{\mathcal{O}_{c'}(0)}$ is semi-conjugate to a rigid rotation of angle γ and
- (2) the Mandelbrot set is locally connected at c' ,

where $\mathcal{O}_{c'}(0)$ denotes the postcritical orbit of $P_{c'}(z) = z^2 + c'$.

In this work, we prove the following result.

Main Theorem. *Let γ be an irrational number and let $c \in \partial W_0$ be a parameter with internal argument γ . If γ is of bounded type, then the mating between P_c and $P_{c'}$ exists, where c' is the parameter given by Theorem 1.3.*

When γ is an irrational number, the real quadratic polynomial $P_{c'}$, obtained via the transformation T , has the property that $K_{c'} = J_{c'}$ and the critical point is strongly recurrent. Thus, the Main Theorem gives a family of examples of mating between quadratic polynomials with Siegel disks and quadratic polynomials with strongly recurrent critical point. Using the following theorem of Petersen–Zakeri, the Main Theorem can be extended to a set of irrational numbers with full Lebesgue measure in $[0, \frac{1}{2}]$.

Theorem 1.4 ([PeZa]). *Let $\gamma = [a_1, a_2, \dots]$ be an irrational number which satisfies the arithmetical condition*

$$\log a_n = \mathcal{O}(\sqrt{n}) \quad \text{as } n \rightarrow \infty.$$

Then, $J(P_c)$ is locally connected and it has Lebesgue measure zero.

In the proof of the Main Theorem, we will use the condition of bounded type in order to have a quasimetric conjugation in \mathbb{T} which can be quasiconformally extended to the unit disk. In order to prove Theorem 1.4, Petersen and Zakeri use the model in [Pe] and construct the quasiconformal conjugation in the unit disk using David's Theorem, neglecting the condition of bounded type. Hence, the proof of the Main Theorem implies the following.

Theorem. *Let $\gamma = [a_1, a_2, \dots]$ be an irrational number. Suppose that γ satisfies the arithmetical condition*

$$\log a_n = \mathcal{O}(\sqrt{n}) \quad \text{as } n \rightarrow \infty.$$

Then, the mating between P_c and $P_{c'}$ exists, where $c \in \partial W_0$ has internal argument γ and c' is the parameter given by Theorem 1.3.

2. BACKGROUND MATERIAL

2.1. Notations and terminology.

- The unit disk in the complex plane will be denoted by \mathbb{D} and its boundary, the unit circle, by \mathbb{T} . The upper-half plane will be denoted by \mathbb{H} .
- For a set X in the plane, we denote by \overline{X} , $\text{int}(X) = \overset{\circ}{X}$ and ∂X , the closure, the interior and the boundary of X , respectively.
- We will denote by $D_r(p)$ the disk of radius r with center p .
- We denote by $[a, b]$ the closed interval with end-points a and b in \mathbb{R} , without specifying their order.
- For two points a, b on the circle which are not diagonally opposite, $[a, b]$ will denote the shorter of the two closed arcs connecting them.
- For $K > 1$, we say that two real numbers a and b are K -commensurable if

$$K^{-1} \leq |a|/|b| \leq K.$$

- Let $R_t : \mathbb{T} \rightarrow \mathbb{T}$ be the rigid rotation $x \mapsto x + t \pmod{\mathbb{Z}}$.
- We will denote the iteration of a function f with itself n times by

$$f^n = f^{\circ n} = \underbrace{f \circ \dots \circ f}_n.$$

- Let $\mathcal{O}_f(z_0)$ denote the orbit of the point z_0 under f ; that is,

$$\mathcal{O}_f(z_0) = \{z_0, f(z_0) = z_1, f(z_1) = z_2, \dots\}.$$

- We set $P_c(z) = z^2 + c$.
- Let $K_c = K(P_c) = \{z \in \mathbb{C} : \mathcal{O}_{P_c}(z) \text{ is bounded}\}$ denote the filled Julia set of P_c .
- Let $J_c = J(P_c) = \partial K_c$ denote the Julia set of P_c .

2.2. Critical circle map. In this paper we identify the affine manifold $\mathbb{T} = \mathbb{R}/\mathbb{Z}$ with the unit circle

$$\mathbf{S}^1 = \{z \in \mathbb{C} : |z| = 1\},$$

using the canonical projection from the real line given by $x \rightarrow e^{2\pi ix}$.

Definition 2.1. A critical circle map is an orientation-preserving homeomorphism $f : \mathbb{T} \rightarrow \mathbb{T}$ of class \mathcal{C}^3 with at least one critical point.

A family of examples of these maps is provided by the restriction to \mathbb{T} of the family of degree 3 Blaschke products

$$Q_t(z) = e^{2\pi it} z^2 \left(\frac{z - 3}{1 - 3z} \right).$$

These maps have a single critical point at $1 \in \mathbb{T}$. However, we will consider maps with two critical points in \mathbb{T} for our mating model.

Let f be an orientation-preserving homeomorphism of the circle and let $\hat{f} : \mathbb{R} \rightarrow \mathbb{R}$ be a lift of f with critical points at integer translates of \hat{c} , where c is a critical point of f . The quantity

$$\rho(f) = \lim_{n \rightarrow \infty} \frac{\hat{f}^{on}(x)}{n} \pmod{1},$$

is independent both of the choice of $x \in \mathbb{R}$ and the lift \hat{f} of a critical circle map f , and is referred to as the *rotation number* of f . Moreover, the rotation number is rational of the form $\rho(f) = p/q$ if and only if f has an orbit of period q [dMvS].

Proposition 2.1. *Let f_λ be a continuous family of critical circle maps. Then, the map $\lambda \mapsto \rho(f_\lambda)$ is continuous.*

To illustrate the connection between the number-theoretic properties of $\rho(f)$ and the dynamics of f , let us introduce the notion of a closest return of the critical point c . The iterate $f^{on}(c)$ is a *closest return*, or equivalently, n is a *closest return moment*, if the interior of the arc $[f^{on}(c), c]$ contains no iterates $f^{oj}(c)$ with $j < n$. Consider the representation of $\rho(f)$ as a (possibly finite) continued fraction

$$\rho(f) = \frac{1}{a_1 + \frac{1}{a_2 + \frac{1}{a_3 + \dots}}},$$

where the numbers a_i are positive integers, and we write as before

$$\rho(f) = [a_1, a_2, a_3, \dots].$$

The n th convergent of the continued fraction of $\rho(f)$ is the rational number

$$\frac{p_n}{q_n} = [a_1, a_2, \dots, a_n]$$

written in reduced form. We set $p_0 = 0$, $q_0 = 1$. One can easily see the recursive relations

$$\begin{aligned} p_n &= a_n p_{n-1} + p_{n-2}, \\ q_n &= a_n q_{n-1} + q_{n-2}, \end{aligned}$$

for $n \geq 2$ [HW]. In this notation, the iterates $\{f^{\circ q_n}(c)\}$ are the consecutive closest returns of the critical point c .

Theorem 2.1 (Yoccoz [Y]). *Let f be an analytic critical circle map with irrational rotation number $\rho(f) = t$. Then there exists a homeomorphism $h : \mathbb{T} \rightarrow \mathbb{T}$ such that $h \circ f = R_t \circ h$.*

Definition 2.2. A homeomorphism $g : \mathbb{R} \rightarrow \mathbb{R}$ is called K -quasisymmetric if

$$0 < K^{-1} \leq \frac{|h(x+t) - h(x)|}{|h(x) - h(x-t)|} \leq K < +\infty$$

for all $x \in \mathbb{R}$ and all $t > 0$.

A homeomorphism $f : \mathbb{T} \rightarrow \mathbb{T}$ is K -quasisymmetric if its lift \hat{f} is K -quasisymmetric. In the following result of Herman and Świątek, we suppose that f has a finite number of critical points and we further assume that all the critical points are of cubic type.

Theorem 2.2 (Herman–Świątek [Pe1]). *A critical circle map f is conjugate to a rigid rotation by a quasisymmetric homeomorphism h if and only if the rotation number $\rho(f)$ is irrational of bounded type.*

The proof for this result when f has a unique critical point has been given by Petersen in [Pe1], but the proof also holds for critical circle maps with a finite number of critical points.

The above result is based on a set of estimates on the small-scale geometry of critical circle maps. These *Herman–Świątek real a priori bounds* became a key element of renormalization and rigidity results for critical circle maps, and will play an important role in the proof of the Main Theorem.

For a critical circle map with an irrational rotation number and a critical point c , we denote by I_n the n th closest return interval $[c, f^{\circ q_n}(c)]$.

Remark 2.1. For every $n > 1$, the closed intervals

$$I_{n-1}, f(I_{n-1}), \dots, f^{\circ q_n-1}(I_{n-1}), I_n, f(I_n), \dots, f^{\circ q_n-1}(I_n)$$

cover the entire circle and have disjoint interiors.

From this, we obtain a partition of \mathbb{T} , which is called the *dynamical partition of level n* associated to f . This partition can be done with respect to any critical point c of f .

Remark 2.2. The consecutive closest returns $f^{\circ q_n}(c)$ and $f^{\circ q_{n+1}}(c)$ occur on different sides of the critical point c ; that is, $c \in (f^{\circ q_n}(c), f^{\circ q_{n+1}}(c))$.

Herman–Świątek real a priori bounds. *There exists $K > 1$ such that, for every critical circle map f with an irrational rotation number, the following holds: There exists $N = N(f) > 0$ such that, for every $n > N$, the adjacent elements of the dynamical partition of level n are K -commensurable. In particular,*

$$K^{-1}|I_n| \leq |I_{n+1}| \leq K|I_n|.$$

As a consequence, for every $M > 0$ there exists a universal constant $K_M > 1$ such that the following holds: For all sufficiently large n , the arcs

$$[f^{\circ q_{n-1}+(j-1)q_n}(c), f^{\circ q_{n-1}+jq_n}(c)], [f^{-(j-1)q_n}(c), f^{-jq_n}(c)] \quad \text{and} \quad [c, f^{\circ q_{n-1}}(c)]$$

are K_M -commensurable, for $1 \leq j \leq a_{n+1} - 1$ with $\min(j, a_{n+1} - j) < M$, where $[a_1, a_2, \dots]$ is the continued fraction of $\rho(f)$ and $\frac{p_n}{q_n}$ is the n th convergent [Pe1].

2.3. Prime ends. Certain concepts and results about the theory of prime ends are necessary for this work. Let D denote a bounded simply-connected domain in \mathbb{C} . A simple Jordan arc with one end-point on ∂D and all its other points in D is called an *end-cut* of D . A *cross-cut* of D is a Jordan arc that lies in D except for its two end-points or a Jordan curve that lies in D except for one point.

A point in the boundary of D is *accessible* from D if it is an end-point of an end-cut in D .

Definition 2.3. A sequence $q_1, q_2, \dots, q_n, \dots$ of cross-cuts of D is called a *chain* if the following conditions are satisfied:

- (1) They are pairwise disjoint, even if they are considered with their end-points.
- (2) Any cross-cut q_n separates D into two domains, one of which contains q_{n-1} and the other q_{n+1} .
- (3) The diameter of q_n tends to zero as n goes to infinity.

It follows from (2) that q_n determines two subdomains of D ; hence, we will denote by d_n the one that contains all the cross-cuts q_m , with $m > n$.

Definition 2.4. Two chains $C_1 = \{q_n\}$ and $C_2 = \{q'_n\}$ are *equivalent* if for all $n \in \mathbb{N}$, the domain d_n contains all but a finite number of the cross-cuts q'_n and the domain d'_n defined by q'_n contains all but a finite number of the cross-cuts q_n .

A *prime end* of D is an equivalent class of chains in D . A chain belonging to such class is said to belong to the prime end, which we shall generally denote by P .

Let P be a prime end of D , $\{q_n\}$ a chain belonging to P , d_n the subdomain of D defined by q_n and containing q_{n+1} . If q'_n is an equivalent chain to q_n , then

$$\bigcap \bar{d}_n = \bigcap \bar{d}'_n.$$

The set

$$I(P) = \bigcap \bar{d}_n$$

is called the *impression* of the prime end P .

Note that $I(P)$ is either a continuum or a single point and $I(P)$ is contained in ∂D [CL].

A point $p \in I(P)$ is a *principal point* relative to the prime end P of D if every neighborhood $D_r(p)$ contains a cross-cut q of D belonging to a chain $\{q_n\}$ belonging to P . Since every chain belonging to P has at least one limit point in $I(P)$, the set $\Pi(P)$ of principal points of $I(P)$ is not empty and is closed.

Let $L = L(t)$, $0 \leq t \leq 1$, be a continuous curve in D converging to P ; that is, given any chain $\{q_n\}$ belonging to P and any large N , there exists $t_N < 1$ such that $L(t) \subset d_N$ for $t \in (t_N, 1)$, where $\{d_n\}$ is the nested sequence of domains defined by $\{q_n\}$. When L converges to P , we write L cgt P .

Definition 2.5. We say that a point $w \in I(P)$ is an accessible point of ∂D relative to P , if there is an end-cut $L(t)$ cgt P with

$$w = \lim_{t \rightarrow 1} L(t)$$

as its end-point.

Remark 2.3. If the impression $I(P)$ is a single point b , then every curve L cgt P is an end-cut to the accessible point b .

Theorem 2.3 ([CL]). *A prime end P , whose impression $I(P)$ contains an accessible point relative to P , has only one principal point. Moreover, there can be at most one accessible point relative to P which, if it exists, is the only principal point.*

2.4. Quadratic rational maps. In this part, we give a summary of some relevant results about the space \mathcal{Rat}_2 of quadratic rational maps, which can be consulted for more detail in [M2]. A quadratic rational map $R \in \mathcal{Rat}_2$ has the form

$$R(z) = \frac{p(z)}{q(z)} = \frac{a_0z^2 + a_1z + a_2}{b_0z^2 + b_1z + b_2},$$

where a_0 and b_0 are not zero at the same time and $p(z), q(z)$ are two co-prime polynomials. This gives us a natural identification of the space \mathcal{Rat}_2 with an open set in the Zariski topology of $\mathbb{C}\mathbb{P}^5$. It consists of all points

$$(a_0 : a_1 : a_2 : b_0 : b_1 : b_2) \in \mathbb{C}\mathbb{P}^5$$

for which

$$\text{res}(p/q) = \text{Det} \begin{Bmatrix} a_0 & a_1 & a_2 & 0 \\ 0 & a_0 & a_1 & a_2 \\ b_0 & b_1 & b_2 & 0 \\ 0 & b_0 & b_1 & b_2 \end{Bmatrix} \neq 0.$$

We know that the group of Möbius transformations $\mathcal{Rat}_1 \cong \mathbf{PSL}(2, \mathbb{C})$ acts on the space \mathcal{Rat}_2 by conjugation; that is, for $g \in \mathcal{Rat}_1$ and $R \in \mathcal{Rat}_2$, we associate $g \circ R \circ g^{-1} \in \mathcal{Rat}_2$.

Definition 2.6. We say that $R_1 \in \mathcal{Rat}_2$ is holomorphically conjugate to $R_2 \in \mathcal{Rat}_2$ if they are in the same orbit under \mathcal{Rat}_1 .

We denote by \mathcal{M}_2 the space of all holomorphic conjugacy classes $\langle R \rangle$.

Remark 2.4. The action of $\mathbf{PSL}(2, \mathbb{C})$ on \mathcal{Rat}_2 is not free. For instance, the Möbius map $g(z) = -z$ acts trivially on the set of odd quadratic rational maps.

By this remark, we have that the space \mathcal{M}_2 has singularities. However, this space can be identified with the complex affine space \mathbb{C}^2 [M2]. To describe this affine structure, we consider $R \in \mathcal{Rat}_2$ and its three fixed points $z_1, z_2, z_3 \in \widehat{\mathbb{C}}$ (which are not necessarily distinct). We denote by ρ_i the multiplier of R at the point z_i and by

$$\sigma_1 = \rho_1 + \rho_2 + \rho_3, \quad \sigma_2 = \rho_1\rho_2 + \rho_1\rho_3 + \rho_2\rho_3, \quad \sigma_3 = \rho_1\rho_2\rho_3,$$

the elementary symmetric functions.

Proposition 2.2. *The three multipliers determine R up to a Möbius conjugacy and verify the following relation:*

$$\sigma_3 = \sigma_1 - 2.$$

Thus, the space \mathcal{M}_2 is canonically isomorphic to \mathbb{C}^2 with coordinates σ_1 and σ_2 .

Remark 2.5. For the parameters ρ_1, ρ_2 , and ρ_3 , we have:

- (1) If $\rho_1\rho_2 \neq 1$, there exists a unique quadratic rational map F , up to a Möbius conjugacy, with three different fixed points and $\rho_3 = (2 - \rho_1 - \rho_2)/(1 - \rho_1\rho_2)$.
- (2) If $\rho_1\rho_2 = 1$, then $z_1 = z_2$ and ρ_3 is arbitrary.

This implies that two parameters suffice to describe all the equivalence classes of quadratic rational maps up to a Möbius conjugacy.

We consider as a special case, the two-parameter family of quadratic rational maps that have one fixed point at zero with multiplier λ and critical points at 1 and -1 . An element of this family can be written as:

$$R_{\lambda,a}(z) = \frac{\lambda z}{z^2 + az + 1}.$$

In particular, we are interested in the case when $\lambda = e^{2\pi i\gamma}$ and γ is an irrational number of bounded type.

3. PETERSEN'S MODEL

In order to show some properties of the filled Julia sets K_c with Siegel disks and bounded rotation numbers, Herman and others have used as a model, the Julia set of the following Blaschke product [D2, Pe, Ya, YaZa]:

$$Q_t : z \mapsto e^{2\pi it} z^2 \left(\frac{z - 3}{1 - 3z} \right).$$

This map has the following properties, proved in [Pe]:

- (1) Q_t leaves \mathbb{T} invariant. The restriction of Q_t to \mathbb{T} is a critical circle map with critical point of cubic type at 1 and critical value $e^{2\pi it} \in \mathbb{T}$.
- (2) For each irrational number $0 < \gamma < 1$, there exists a unique value $t(\gamma)$ such that the rotation number $\rho(Q_{t(\gamma)}|_{\mathbb{T}})$ is γ . Let us set $Q_\gamma = Q_{t(\gamma)}$.
- (3) Q_γ has two super-attracting fixed points at 0 and ∞ .
- (4) Let $A_\gamma(0)$ and $A_\gamma(\infty)$ be the basins of attraction of 0 and ∞ , respectively. Then there exists a unique isomorphism ϕ of $A_\gamma(\infty)$ onto $\widehat{\mathbb{C}} \setminus \overline{\mathbb{D}}$ such that $\phi(\infty) = \infty$, tangent to the identity at infinity, which conjugates Q_γ on $A_\gamma(\infty)$ to $z \mapsto z^2$ on $\widehat{\mathbb{C}} \setminus \overline{\mathbb{D}}$.
- (5) The isomorphism ϕ allows us to define the external rays and the equipotentials of the compact and full set $\widehat{\mathbb{C}} \setminus A_\gamma(\infty)$.
- (6) $A_\gamma(\infty) = \widehat{\mathbb{C}} \setminus \overline{\bigcup_{n \geq 0} Q_\gamma^{-n}(\mathbb{D})}$.

3.1. Quasi-conformal surgery. By Yoccoz's Theorem 2.1, there exists a unique homeomorphism $h : \mathbb{T} \rightarrow \mathbb{T}$ that conjugates $Q_\gamma|_{\mathbb{T}}$ to the rigid rotation R_γ of angle γ . Moreover, if γ is of bounded type and $h(1) = 1$, it follows by Herman–Świątek's Theorem 2.2, that h is unique and quasisymmetric. By Douady–Earle's Theorem,

h can be extended to the unit disk as a quasiconformal map H_p (see [DE]). It is possible to modify the Blaschke product Q_γ to obtain a new map

$$\tilde{Q}_\gamma(z) = \begin{cases} Q_\gamma(z), & |z| > 1, \\ H_p^{-1} \circ R_\gamma \circ H_p(z), & |z| \leq 1. \end{cases}$$

This map is a quasiregular degree 2 branched covering of $\widehat{\mathbb{C}}$ and it is holomorphic in the complement of the unit disk \mathbb{D} . Since the point at infinity is a super-attracting fixed point for \tilde{Q}_γ , we can define the “filled Julia set” of \tilde{Q}_γ by

$$K(\tilde{Q}_\gamma) = \{z \in \mathbb{C} : \mathcal{O}_{\tilde{Q}_\gamma}(z) \text{ is bounded}\}$$

and the “Julia set” of \tilde{Q}_γ as $J(\tilde{Q}_\gamma) = \partial K(\tilde{Q}_\gamma)$. By property (6) of Q_γ , $K(\tilde{Q}_\gamma)$ is equal to $\widehat{\mathbb{C}} \setminus A_\gamma(\infty)$, then $J(\tilde{Q}_\gamma) = \partial A_\gamma(\infty)$.

We will perform a quasiconformal surgery that changes the standard conformal structure σ_0 of $\widehat{\mathbb{C}}$ in such a way that the map \tilde{Q}_γ becomes holomorphic for the new conformal structure. That is, we define a \tilde{Q}_γ -invariant conformal structure σ_γ on the plane as follows. In a first step, we define on \mathbb{D} the structure $\sigma_\gamma = H_p^*(\sigma_0)$, the pullback of the standard structure. For each $n \geq 1$, we define $\sigma_\gamma = (\tilde{Q}_\gamma^{\circ n})^*(\sigma_\gamma|_{\mathbb{D}})$ on each component U_n of $\{\tilde{Q}_\gamma^{-n}(\mathbb{D})\}$. Since \tilde{Q}_γ is holomorphic on $\widehat{\mathbb{C}} \setminus \overline{\mathbb{D}}$, this procedure does not increase the dilatation of σ_γ . Finally, let $\sigma_\gamma = \sigma_0$ on the complement of $\bigcup_{n \geq 0} \tilde{Q}_\gamma^{-n}(\mathbb{D})$.

Since R_γ preserves σ_0 , \tilde{Q}_γ will preserve σ_γ on \mathbb{D} , therefore σ_γ is invariant under \tilde{Q}_γ on all $\widehat{\mathbb{C}}$. Moreover, the map \tilde{Q}_γ is holomorphic on $\widehat{\mathbb{C}} \setminus \overline{\mathbb{D}}$. Hence σ_γ defines a Beltrami form μ with $\|\mu\|_\infty < 1$.

By the Measurable Riemann Mapping Theorem [Ah, LV], there exists a unique quasiconformal homeomorphism $\psi_\gamma : \widehat{\mathbb{C}} \rightarrow \widehat{\mathbb{C}}$, normalized by $\psi_\gamma(\infty) = \infty, \psi_\gamma(1) = 0$ and $\psi_\gamma(H_p^{-1}(0)) = \frac{e^{2\pi i \gamma}}{2}$, such that $\psi_\gamma^* \sigma_0 = \sigma_\gamma$. We define

$$F_\gamma = \psi_\gamma \circ \tilde{Q}_\gamma \circ \psi_\gamma^{-1},$$

then F_γ is holomorphic. Since the map ψ_γ fixes the point at infinity, we can consider $F_\gamma : \mathbb{C} \rightarrow \mathbb{C}$, which is a proper map of degree 2. Thus F_γ is a quadratic polynomial.

By construction, F_γ is quasiconformally equivalent to the rotation R_γ on $\psi_\gamma(\mathbb{D})$, therefore $\psi_\gamma(\mathbb{D})$ belongs to a Siegel disk Δ of F_γ . Since the orbit of zero under F_γ is dense on the boundary of $\psi_\gamma(\mathbb{D})$, it follows that $\psi_\gamma(\mathbb{D}) = \Delta$. Moreover, by the normalization of ψ_γ there is a unique value $c(\gamma)$ such that $F_\gamma = P_{c(\gamma)}$. In summary, the following result holds.

Theorem 3.1 (Herman). *Let $c \in M$ such that P_c has a fixed Siegel disk Δ with rotation number γ . If γ is of bounded type, then the boundary of Δ is a quasicircle that contains 0.*

To prove that the Julia set of F_γ is locally connected, Petersen introduced an address system in the set of preimages of \mathbb{D} under \tilde{Q}_γ .

3.2. Addresses of the drops. The address system that we are going to define on the components of the interior of $K(\tilde{Q}_\gamma)$, induces an address system in $\text{int}(K_{c(\gamma)})$ using the isomorphism ψ_γ [Pe, YaZa].

A *drop* is a component $U \subset \text{int}(K(\tilde{Q}_\gamma))$ and it has order n if $U \in \{\tilde{Q}_\gamma^{-n}(\mathbb{D})\} \setminus \mathbb{D}$.

Definition 3.1. If U is a drop of order n , we define:

- (1) the center $c(U)$ of U as the unique point that is sent by \tilde{Q}_γ^n to $H_p^{-1}(0)$,
- (2) the root of U as the unique point $x(U) \in \partial U$ such that $\tilde{Q}_\gamma^{n-1}(x(U)) = 1$.

Note that there is only one component U_1 of order 1, which is called 1-drop that has the point 1 as a root and $\overline{U_1} \cap \overline{\mathbb{D}} = \{1\}$. Moreover, the boundary is a real analytic Jordan curve except at the root point, where it forms an angle of $\pi/3$. The same property is true for every component V of higher order; that is, ∂V is a real analytic curve except in $x(V)$, for all n .

Proposition 3.1 ([YaZa]). *Let U and V be two drops of orders m and n , respectively.*

- (1) *If $m < n$ and $\overline{U} \cap \overline{V} \neq \emptyset$, then $\overline{U} \cap \overline{V} = x(V)$.*
- (2) *If $p \in \bigcup_{n \geq 0} \{\tilde{Q}_\gamma^{-n}(1)\}$, then there exists a unique drop U such that $p = x(U)$.*

If U and V satisfy property (1), we say that U is the parent of V or that V is a child of U . By our definition, \mathbb{D} is said to be of generation zero and all its children are of generation 1. In general, a drop U is of generation k if its parent is of generation $k - 1$. We set $U_0 = \mathbb{D}$. For $n \geq 1$, let $x_n = \tilde{Q}_\gamma^{-n+1}(1) \cap \overline{\mathbb{D}}$ and let U_n be the n -drop with root x_n . Now, let us associate to every multi-index $i = i_1 i_2 \cdots i_k$ of positive integers, the m -drop U of generation k with root $x_{i_1 \dots i_k}$, where $m = i_1 + \cdots + i_k$. Once we define an index for all drops of generation 1, we proceed by induction over k to define the general case. Suppose that we have defined $x_{i_1 \dots i_k}$ for all multi-index $i = i_1 i_2 \cdots i_k$ of length $m - 1$. Then, for an index $i = i_1 i_2 \cdots i_j$ such that $m = i_1 + \cdots + i_j$, we define

$$x_{i_1 i_2 \dots i_j} = \begin{cases} \tilde{Q}_\gamma^{-1}(x_{(i_1-1)i_2 \dots i_j}) \cap \partial U_{i_1 i_2 \dots i_{j-1}} & \text{if } i_1 > 1, \\ \tilde{Q}_\gamma^{-1}(x_{i_2 \dots i_j}) \cap \partial U_{i_1 i_2 \dots i_{j-1}} & \text{if } i_1 = 1. \end{cases}$$

We denote by $U_{i_1 i_2 \dots i_k}$, the component with root $x_{i_1 i_2 \dots i_k}$ (Proposition 3.1).

3.3. Limbs and chains.

Definition 3.2. Let $U_{i_1 i_2 \dots i_k}$ be a drop of order n . We define the limb $L_{i_1 i_2 \dots i_k}$ as the closure of the union of $U_{i_1 i_2 \dots i_k}$ and all its descendants

$$L_{i_1 i_2 \dots i_k} = \overline{\bigcup U_{i_1 i_2 \dots i_k \dots}}$$

Note that $L_0 = K(\tilde{Q}_\gamma)$. In order to have a useful partition of the filled Julia set $K(\tilde{Q}_\gamma)$, we expect that the boundary of a limb $\neq L_0$ is not the whole $J(\tilde{Q}_\gamma)$, which follows by the next key lemma of Petersen [Pe].

Lemma 3.1 (Only two rays). *Suppose that $0 < \gamma < 1$ is an irrational number. Then the critical point $z = 1$ of \tilde{Q}_γ is the landing point of two and only two external rays $R_K(t)$ and $R_K(s)$ in $A_\gamma(\infty)$.*

Let W_1 denote the connected component of $\mathbb{C} \setminus (R_K(t) \cup R_K(s) \cup \{1\})$ containing the drop U_1 . We call W_1 the wake with root x_1 . Given an arbitrary multi-index $i_1 \cdots i_k$, we define the wake $W_{i_1 \dots i_k}$ as the appropriate pull-back of W_1 . In fact, it follows that

$$L_{i_1 \dots i_k} = \overline{W_{i_1 \dots i_k}} \cap K(\tilde{Q}_\gamma).$$

Proposition 3.2 ([YaZa]). *Consider \tilde{Q}_γ for an irrational number $0 < \gamma < 1$. Then:*

- (1) *If a drop U is contained in the limb L , then all the children of U are also contained in L .*
- (2) *Any two limbs and any two wakes are either disjoint or nested.*
- (3) *For every limb $L_{i_1 i_2 \dots i_k}$, we have*

$$\tilde{Q}_\gamma(L_{i_1 i_2 \dots i_k}) = \begin{cases} L_{(i_1-1) i_2 \dots i_k} & \text{if } i_1 > 1, \\ L_{i_2 \dots i_k} & \text{if } i_1 = 1. \end{cases}$$

Theorem 3.2 ([Pe, Ya]). *If $\gamma \in \mathbb{T}$ is an irrational number, then*

$$\lim_{k \rightarrow \infty} \text{Diam}(L_{i_1 i_2 \dots i_k}) = 0.$$

Corollary 3.1. *Let $\gamma \in \mathbb{T}$ be an irrational number. For the Hausdorff topology,*

$$\lim_{k \rightarrow \infty} \overline{L_{i_1 i_2 \dots i_k}} = \{p\}.$$

Theorem 3.3 ([Pe, Ya]). *Let $\gamma \in \mathbb{T}$ be an irrational number. Then $J(\tilde{Q}_\gamma)$ and $J(Q_\gamma)$ are locally connected.*

Definition 3.3. Let $\{U_0 = \mathbb{D}, U_{i_1}, U_{i_1 i_2}, \dots\}$ be a sequence of drops such that $U_{i_1 i_2 \dots i_k}$ is the parent of $U_{i_1 i_2 \dots i_{k+1}}$. We define a *drop-chain* as

$$\mathcal{C} = \overline{\bigcup_k U_{i_1 i_2 \dots i_k}}.$$

Consider the corresponding limbs

$$K(\tilde{Q}_\gamma) = L_0 \supset L_{i_1} \supset L_{i_1 i_2} \supset L_{i_1 i_2 i_3} \supset \dots$$

which are nested by Proposition 3.2. The intersection of these limbs must be a unique point which we denote by $p(\mathcal{C})$:

$$p(\mathcal{C}) = \bigcap_k L_{i_1 i_2 \dots i_k}.$$

By Corollary 3.1, for every chain \mathcal{C} , the point $p(\mathcal{C})$ is the limit point of $L_{i_1 i_2 \dots i_k}$ as k goes to infinity, with the Hausdorff topology. Thus,

$$\mathcal{C} = \bigcup_k \overline{U_{i_1 i_2 \dots i_k}} \cup \{p(\mathcal{C})\},$$

is compact, connected and locally connected.

For every drop $U \subset \text{int } K(\tilde{Q}_\gamma)$, we have defined its center $c(U)$. By a *ray* in a drop U we mean a hyperbolic geodesic which connects some boundary point $p \in \partial U$ to the center $c(U)$. This ray is denoted by $[p, c(U)] = [c(U), p]$. For two distinct points $p, q \in \partial U$, we denote by $[p, q]$ the union of the ray $[p, c(U)]$ with the ray $[c(U), q]$.

Given any drop-chain \mathcal{C} , there exists a unique “shortest” path $R = R(\mathcal{C})$ in \mathcal{C} which connects 0 to $p(\mathcal{C})$. In fact, if \mathcal{C} is of the form $\overline{\bigcup_k U_{i_1 i_2 \dots i_k}}$, we define

$$R(\mathcal{C}) = [0, x_{i_1}] \cup \bigcup_{k \geq 1} [x_{i_1 \dots i_k}, x_{i_1 \dots i_{k+1}}] \cup p(\mathcal{C}).$$

It is easy to see that $R(\mathcal{C})$ is a piecewise analytic embedded arc in the plane. We call $R(\mathcal{C})$ the *drop-ray* associated with \mathcal{C} . We often say that $R(\mathcal{C})$ or \mathcal{C} lands at $p(\mathcal{C})$.

Proposition 3.3 ([YaZa]). *Let $\gamma \in \mathbb{T}$ be an irrational number.*

- (1) *If $x \in K(\tilde{Q}_\gamma)$, then either it belongs to the closure of an interior component U of $K(\tilde{Q}_\gamma)$, or it is the limit point of a chain.*
- (2) *The map $\mathcal{C} \mapsto p(\mathcal{C})$ is one-to-one.*

4. MATING’S MODEL

The goal of this section is to describe the dynamics of a family of cubic rational maps that will be used as a model for the mating. This family leaves \mathbb{T} invariant and every element of the family has two critical points of cubic type on \mathbb{T} . We will modify this family, using quasiconformal surgery, to obtain a family of quadratic rational maps, where one of its elements will be the mating of P_c and $P_{c'}$.

4.1. There is a good family. In this part we show the following proposition.

Proposition 4.1. *There exists $C > 0$ such that if $\gamma \in (0, 1/2)$ is irrational, then there is a unique $\lambda = \lambda(\gamma) \in (-1, C)$ such that the rational map*

$$B_\lambda = \zeta \circ \xi_\lambda \circ \zeta^{-1}$$

leaves \mathbb{T} invariant, where

$$\zeta(z) = \frac{z - i}{z + i} \quad \text{and} \quad \xi_\lambda(z) = \frac{\lambda z^3 + 1}{-z^3 + 1}.$$

The rotation number of $B_\lambda|_{\mathbb{T}}$ is γ and $B_\lambda|_{\mathbb{T}}$ is a critical circle map with two critical points at -1 and 1 .

Proof. First, note the following properties of the map ξ_λ :

- (1) For all $\lambda \in \mathbb{R}$, $\xi_\lambda(\mathbb{R}) = \mathbb{R}$.
- (2) If $\lambda > -1$, then ξ_λ is increasing on $(-\infty, 1)$ and on $(1, \infty)$.
- (3) It has two critical points of cubic type at 0 and ∞ .

Since $\zeta : \mathbb{H} \rightarrow \mathbb{D}$ is a \mathbb{C} -analytic isomorphism and $\zeta(0) = -1$, using (1), (2) and (3), we have that for all $\lambda > -1$, the restriction of the map B_λ to \mathbb{T} is a homeomorphism with two critical points of cubic type at 1 and -1 .

It remains to show that there exists an interval contained in $[-1, +\infty)$ where B_λ realizes all the rotation numbers. However, by the definition of a rotation number, we have the following.

Remark 4.1. Let $f : \mathbb{T} \rightarrow \mathbb{T}$ be a homeomorphism. If there is $x \in \mathbb{T}$ such that $\hat{f}^2(x) < x + 1$, then the rotation number of f is less than or equal to $1/2$.

We will look for an interval of the form $(-1, C)$ that realizes all the rotation numbers. Since -1 satisfies Remark 4.1, we cannot expect that B_λ realizes rotation numbers greater than $1/2$.

First of all, we will find a value λ_0 such that B_{λ_0} has rotation number 0 . This is equivalent to finding λ for which ξ_λ has a real fixed point. This is done by considering the roots of the polynomial

$$p_\lambda(z) = z^4 + \lambda z^3 - z + 1.$$

Since $p'_\lambda(z) = 4z^3 + 3\lambda z^2 - 1$ has only one real root r_0 when $\lambda \in (-1, 0)$ and the degree of $p_\lambda(z)$ is even, we have that $p_\lambda(z)$ has a global minimum in r_0 . Moreover, if $p_\lambda(r_0) > 0$, then ξ_λ does not have real fixed points for $\lambda \in (-1, 0)$. Thus, there

exists $\lambda_0 > 0$ minimum such that the polynomial $p_{\lambda_0}(z)$ has a root with multiplicity two; therefore,

$$\lambda_0 = \frac{2-a}{a^3} - 2a \quad \text{where} \quad a = (\sqrt[3]{-37 + \sqrt{1377}} - \sqrt[3]{37 + \sqrt{1377}} - 1)/3,$$

$\lambda_0 \cong 2.23407602$ and ξ_{λ_0} has a negative fixed point x_0 with multiplicity 2.

Since the rotation number of B_λ is different from 0 for every $\lambda \in (-1, \lambda_0)$, by Proposition 2.1 and the following lemma, we complete the proof. \square

Lemma 4.1. *The rotation number of B_λ converges to $1/2$ as λ goes to -1 by the right.*

Proof. Since the rotation number is invariant under conjugation, we can conjugate the family ξ_λ by the family of affine maps

$$M_\lambda(z) = \sqrt{\frac{\lambda+1}{3}}z + 1,$$

to obtain

$$\varphi_\lambda = M_\lambda^{-1} \circ \xi_\lambda \circ M_\lambda(z) = -\frac{\sqrt{3\lambda+3}(\lambda+1)z^3 + 9(\lambda+1)z^2 + 9}{((\lambda+1)z^2 + 3\sqrt{3\lambda+3}z + 9)z}.$$

Then

$$\lim_{\lambda \rightarrow -1^+} \varphi_\lambda(z) = -\frac{1}{z}.$$

Since this limit is uniform in λ and $\varphi_{-1}(z) = -\frac{1}{z}$ on $\overline{\mathbb{R}}$, it has a periodic point of period 2 and the rotation number of B_λ goes to $1/2$ when λ goes to -1 . \square

4.2. Quasi-conformal surgery for B_λ . Let us fix $\gamma \in (0, 1/2)$ and set $B_\gamma = B_{\lambda(\gamma)}$.

Lemma 4.2. *If γ is an irrational number, then $J(B_\gamma) = \widehat{\mathbb{C}}$.*

Proof. By Sullivan’s theorem on non-wandering domains, it is sufficient to show that B_γ does not have attracting cycles, parabolic cycles or rotation domains (Siegel disks or Herman rings)[B, CG, S].

Since γ is irrational, the orbits under B_γ of -1 and 1 are dense in \mathbb{T} , and since 1 and -1 are the only critical points, B_γ cannot have attracting cycles, parabolic cycles or Herman rings [F, D2]. On the other hand, B_γ cannot have Siegel disks since the boundary of a Siegel disk is contained in the closure of the critical orbit and the sets $\mathbb{D}, \mathbb{C} \setminus \mathbb{D}$ are not invariant under B_γ . \square

By Yoccoz’s Theorem 2.1, if γ is irrational, there exists a homeomorphism $h : \mathbb{T} \rightarrow \mathbb{T}$ that conjugates B_γ to R_γ . Moreover, if γ is of bounded type and $h(1) = 1$, by Herman–Świątek’s Theorem 2.2, there is a unique quasimetric homeomorphism h that realizes the conjugation. By Douady–Earle’s Theorem, h can be extended as a quasiconformal map H of the unit disk (see [DE]). We define,

$$\tilde{B}_\gamma = \begin{cases} B_\gamma(z), & |z| > 1, \\ H^{-1} \circ R_\gamma \circ H(z), & |z| \leq 1. \end{cases}$$

This new map has two critical points at 1 and -1 of order 2 and it is holomorphic on the complement of the unit disk.

Now, we define on $\widehat{\mathbb{C}}$ a new conformal structure invariant under \tilde{B}_γ . Let σ_0 be the standard structure of $\widehat{\mathbb{C}}$. On \mathbb{D} , we define $\sigma_\gamma = H^*(\sigma_0)$ and on every drop

$U_n \neq \mathbb{D}$ of $\tilde{B}_\gamma^{-n}(\mathbb{D})$, we define $\sigma_\gamma = (\tilde{B}_\gamma^{\circ n})^*(\sigma_\gamma|_{\mathbb{D}})$. Finally, we define $\sigma_\gamma = \sigma_0$ on the complement of $\bigcup_{n \geq 0} \tilde{B}_\gamma^{-n}(\mathbb{D})$ (by Lemma 4.2 it has an empty interior).

By construction, σ_γ is invariant under \tilde{B}_γ on all $\hat{\mathbb{C}}$. Since the map \tilde{B}_γ is holomorphic on $\hat{\mathbb{C}} \setminus \overline{\mathbb{D}}$, σ_γ defines a Beltrami form μ and $\|\mu\|_\infty < 1$.

By the Measurable Riemann Mapping Theorem [Ah, LV], there exists a unique quasiconformal homeomorphism $\varsigma_\gamma : \hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}$ normalized by $\varsigma_\gamma(1) = 1$, $\varsigma_\gamma(-1) = -1$ and $\varsigma_\gamma(H^{-1}(0)) = 0$, such that $\varsigma_\gamma^* \sigma_0 = \sigma_\gamma$. We define

$$F_\gamma = \varsigma_\gamma \circ \tilde{B}_\gamma \circ \varsigma_\gamma^{-1},$$

then F_γ is holomorphic, $F_\gamma(0) = 0$ and it has a domain $\varsigma_\gamma(\mathbb{D})$ that contains 0, where it is conjugated to R_γ . Since the orbit of -1 is dense in the boundary of $\varsigma_\gamma(\mathbb{D})$, the Siegel disk Δ of F_γ in 0 is equal to $\varsigma_\gamma(\mathbb{D})$. Thus, if $\eta = e^{2\pi i \gamma}$, there exists a unique $a(\eta) \in \mathbb{C}$ such that

$$F_\gamma = R_{\eta, a(\eta)}.$$

Hence, we have the following result.

Theorem 4.1. *If γ is an irrational number of bounded type and $\eta = e^{2\pi i \gamma}$, then there exists a unique value $a(\eta)$ such that $R_{\eta, a(\eta)}$ has a fixed Siegel disk Δ whose boundary is a quasicircle that contains 1, -1 and it verifies $R_{\eta, a(\eta)}^2(-1) = 1$.*

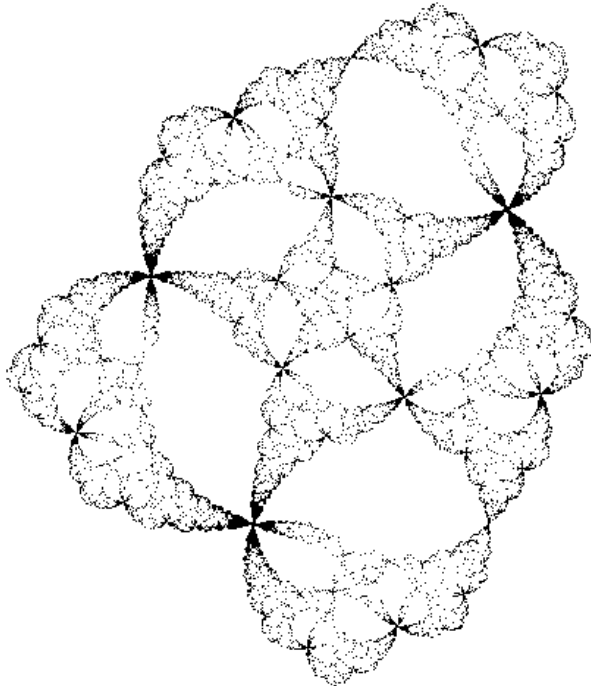


FIGURE 1. Fatou set of $R_{\eta, a(\eta)}$ with $\eta = e^{2\pi i \gamma}$ and $\gamma = [2, 1, 1, 1, \dots]$

The value $a(\eta)$ can be calculated from the condition

$$(1) \quad R_{\eta,a(\eta)}^2(-1) = 1.$$

This equation has two solutions,

$$a(\eta) = \frac{1}{2} \frac{\eta^2 + 4 + \sqrt{\eta^4 - 8\eta^3}}{\eta + 1}$$

and its complex conjugated $c(\eta)$. One can discard $c(\eta)$, since the boundary of the Siegel disk associated to $R_{\eta,c(\eta)}$ does not contain -1 which is the other critical point (see Figure 1).

5. ADDRESS IN THE MATING’S MODEL

We will define on the components of $\tilde{B}_\gamma^{-n}(\mathbb{D})$, a similar address system to the one we have defined for Petersen’s model.

First, we recall that a drop U has order n , or it is an n -drop if it is a component of $\tilde{B}_\gamma^{-n}(\mathbb{D}) \setminus \mathbb{D}$.

Definition 5.1. Let U be an n -drop. We define the following:

- (1) the root of U as the unique point $x(U) \in \partial U$ such that $\tilde{B}_\gamma^{n-1}(x(U)) = 1$;
- (2) the pre-root of U as the unique point $y(U) \in \partial U$ such that $\tilde{B}_\gamma^{n-1}(y(U)) = -1$;
- (3) the center of U as $c(U) = \tilde{B}_\gamma^{-n}(H^{-1}(0)) \in U$.

We set $U_0 = \mathbb{D}$ and let U_1 be the 1-drop, that is, the immediate preimage of \mathbb{D} and $\overline{U_1} \cap \overline{\mathbb{D}} = \{1, -1\}$. The problem that appears in the study of the set of components of $\tilde{B}_\gamma^{-n}(\mathbb{D})$ is that the roots do not determine, in a unique way, the components. For instance, the point -1 is the root of at least two components. In order to associate an index to each component, we will need some additional definitions. Let

$$\mathbf{R}_\gamma = \{p \in \mathbb{C} : \text{if } \tilde{B}_\gamma^n(p) = 1 \text{ for } n \geq 0\},$$

$$\mathbf{PR}_\gamma = \{p \in \mathbb{C} : \text{if } \tilde{B}_\gamma^n(p) = -1 \text{ for } n \geq 0\}.$$

Proposition 5.1. *The following holds:*

- (1) *If $p \in \mathbf{R}_\gamma \setminus \mathbf{PR}_\gamma$, then there exists a unique drop U that has root p .*
- (2) *If $p \in \mathbf{PR}_\gamma$, there exist two and only two drops with root p .*

Proof. (1) If $p \in \mathbf{R}_\gamma \setminus \mathbf{PR}_\gamma$, then the first time that an iterate of p hits the boundary of the unit disk is at the point 1. Hence, it is sufficient to show that there exists a unique drop with root 1. Suppose that there is another drop $U \neq U_1$ of order $n > 1$, with 1 as a root point. Then,

$$\tilde{B}_\gamma^{n-1}(U) = U_1 \text{ implies } \tilde{B}_\gamma^{n-1}(1) = 1.$$

This is a contradiction since γ is irrational.

(2) Since γ is irrational, $1 \notin \mathbf{PR}_\gamma$, and since \tilde{B}_γ is a local isomorphism for every point $p \in \mathbf{PR}_\gamma \setminus \{-1\}$, it is sufficient to show that there are only two components with root point -1 .

We recall that $\tilde{B}_\gamma^{\circ 2}(-1) = 1$ and that there is only one component U_2 of order two with root point $-i$. Since $-i$ is a critical value, there are two components U_3, U'_3 of order 3 with root -1 , which are mapped on U_2 in one iteration and that finally arrive to U_1 after two iterations.

Suppose that there is another drop U of order $n > 3$ with root point -1 . Then

$$\tilde{B}_\gamma^{\circ n-2}(U) = \tilde{B}_\gamma(U_3) = U_2 \text{ implies } \tilde{B}_\gamma^{\circ n-2}(-1) = \tilde{B}_\gamma(-1).$$

We obtain a contradiction since \tilde{B}_γ has no periodic points in \mathbb{T} . □

Corollary 5.1. *Let U and V be two drops of order n and m , respectively.*

- (1) *If $m = n > 2$ and $\partial U \cap \partial V \neq \emptyset$, then $\partial U \cap \partial V = x(U) = x(V)$ and there exists a drop W of order $m - 2$ such that $y(W) = x(U)$.*
- (2) *If $m > n$, and $\partial U \cap \partial V \neq \emptyset$, then $\partial U \cap \partial V \subset \{x(V), y(V)\}$.*

Remark 5.1. We have the following properties:

- (1) If we give a positive oriented parametrization $J : [0, 1] \rightarrow \mathbb{T}$ of \mathbb{T} , such that $J(0) = 1$ and $J(\frac{1}{2}) = -1$, it induces an orientation in the boundary of any drop.
- (2) The boundary of U_1 is a real analytic Jordan curve, except at the points 1 and -1 , where it forms an angle of $\pi/3$ with the boundary of the disk.
- (3) The parametrization induced in the boundary of the component U of order n , sends 0 in $x(U)$, $1/2$ in $y(U)$ and the boundary is \mathbb{R} -analytic on $(0, 1) \setminus \{1/2\}$.

Definition 5.2. Let U and V be two drops of order n and m , respectively, with $m > n$. If $x(V) \in \partial U$, we define the angle of tangency between V and U at the point $x(V)$ as the angle between the two tangents $T_U(x(V))$ and $T_V(x(V))$, where

$$T_U(x(V)) = \lim_{t \rightarrow t_0^-} J'_U(t) \quad \text{and} \quad T_V(x(V)) = \lim_{t \rightarrow 0^+} J'_V(t)$$

and t_0 is such that $J_U(t_0) = x(V)$.

Definition 5.3. Let U and V be two drops of order n and m , respectively, with $m > n$. We say that U is the parent of V (V is the child of U) if $x(V) \in \partial U$ and the angle of tangency between V and U at the point $x(V)$ is equal to $\pi/3$.

Since \tilde{B}_γ is holomorphic and it does not have critical points in $\hat{\mathbb{C}} \setminus \overline{\mathbb{D}}$, it preserves the angles and therefore every drop has a unique parent. Since we want to associate a multi-index $i_1 \cdots i_k$ to every component U , we will proceed in the following way. First, we denote by U_m the unique m -drop whose parent is \mathbb{D} . Next, we define, in a recurrent way, the index for all n -drops.

Suppose that we have associated to every component of order $k < n$, a multi-index $i_1 \cdots i_k$. If U is a component of order n , then its parent V is a component of order less than n , then there exists k such that $V = U_{i_1 \cdots i_{k-1}}$. We associate to U the multi-index $i_1 \cdots i_k$; that is, $U = U_{i_1 \cdots i_k}$ where $i_k = n - \sum_{j=1}^{k-1} i_j$.

We deduce directly,

$$\tilde{B}_\gamma(U_{i_1 i_2 \cdots i_k}) = \begin{cases} U_{(i_1-1) i_2 \cdots i_k} & \text{if } i_1 > 1, \\ U_{i_2 \cdots i_k} & \text{if } i_1 = 1. \end{cases}$$

Proposition 5.2. *Let $U = \mathbb{D}$ and let V be a drop such that $\partial U \cap \partial V = \{x(V), y(V)\}$.*

- (1) *If $\gamma < 1/3$, then $V = U_1$.*
- (2) *If $1/3 < \gamma < 1/2$, then V is equal to either U_1 , U_2 or U_{12} .*

Proof. (1) If $\gamma < 1/3$, the preimage of -1 under \tilde{B}_γ that belongs to \mathbb{T} is in the upper half plane and the other preimage, that is, the pre-root of U_2 , is on the boundary of U_1 . Therefore ∂U_2 does not intersect \mathbb{T} , except at $-i$. If U is a drop

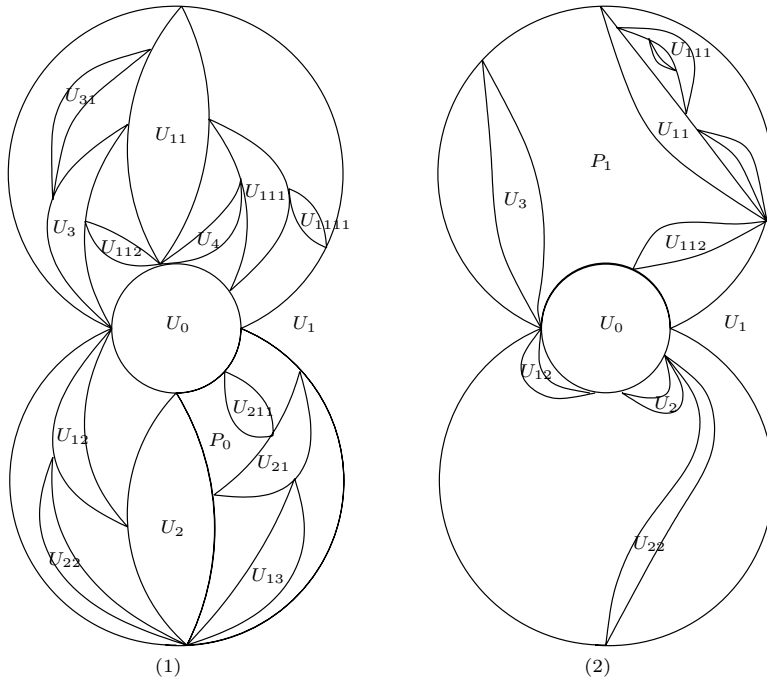


FIGURE 2. (1) shows the first address for $\gamma < \frac{1}{3}$ and (2) for $\gamma > \frac{1}{3}$

different from U_1 and $\bar{U} \cap \mathbb{D} = \{x(U), y(V)\}$, then all the iterates of U intersect \mathbb{T} in two points. In particular, there exists $n \in \mathbb{N}$ such that $U_2 = \tilde{B}_\gamma^n(U)$. But this is a contradiction, since $\partial U_2 \cap \mathbb{T} = \{-i\}$.

(2) By the same argument as in (1), it is sufficient to show that the boundary of a component of order $n > 3$ cannot intersect \mathbb{T} except in one point (see Figure 2).

When $\gamma > 1/3$, the preimage of -1 is on the lower half plane, hence the drop U_2 intersects \mathbb{T} at the points $x(U_2)$ and $y(U_2)$. The same happens for U_{12} , which is the drop with root -1 that is contained in the lower half plane. However, there are no drops of period 4 whose boundary intersects \mathbb{T} in two points, because the only two candidates are the preimages of U_{12} ; that is, U_{112} and U_{22} , but they are different, and the boundary of each of them cannot intersect \mathbb{T} in two points, since the preimages under \tilde{B}_γ of $x(U_{12})$ and $y(U_{12})$, which are x_{-3} and x_{-5} , respectively, belong to two different half planes. \square

Definition 5.4. Let $U_{i_1 i_2 \dots i_k}$ be a drop of order n . We define the limb $L'_{i_1 i_2 \dots i_k}$ as the closure of the union of $U_{i_1 i_2 \dots i_k}$ and all its descendants

$$L'_{i_1 i_2 \dots i_k} = \overline{\bigcup U_{i_1 i_2 \dots i_k \dots}}$$

By definition we have the following properties.

Proposition 5.3. *The following holds:*

- (1) *If U is a drop contained in a limb L' , then every child of U is also contained in L' .*
- (2) *If L'_1, L'_2 are two limbs, then they are either disjoint or nested.*
- (3) *We have, for every limb, $L'_{i_1 i_2 \dots i_k}$,*

$$\tilde{Q}_\gamma(L'_{i_1 i_2 \dots i_k}) = \begin{cases} L'_{(i_1-1) i_2 \dots i_k} & \text{if } i_1 > 1, \\ L'_{i_2 \dots i_k} & \text{if } i_1 = 1. \end{cases}$$

Definition 5.5. Let $\{U_0 = \mathbb{D}, U_{i_1}, U_{i_1 i_2}, \dots\}$ be a sequence of drops such that $U_{i_1 i_2 \dots i_k}$ is the parent of $U_{i_1 i_2 \dots i_{k+1}}$. We define a drop-chain as

$$\mathcal{C} = \overline{\bigcup_k U_{i_1 i_2 \dots i_k}}.$$

In the following section, we will show that the limit of $L'_{i_1 i_2 \dots i_k}$ exists when k goes to infinity. In fact, we will prove an equivalent result to Theorem 3.2.

6. LOCAL CONNECTIVITY

The goal of this section is to prove the following result.

Theorem 6.1. *If $\gamma \in \mathbb{T}$ is an irrational number, then*

$$\lim_{k \rightarrow \infty} \text{Diam}(\overline{L'_{i_1 i_2 \dots i_k}}) = 0.$$

By this theorem, we have that $p(\mathcal{C}) = \bigcap_k L'_{i_1 i_2 \dots i_k}$ is a single point and we will say that $R(\mathcal{C})$ or \mathcal{C} lands at $p(\mathcal{C})$.

6.1. Puzzle pieces of \tilde{B}_γ . We consider $0 < \gamma < 1/2$ irrational, and we denote by $\frac{p_n}{q_n}$ the n th convergent of the continued fraction of γ . Let $J_\gamma = J(\tilde{B}_\gamma)$ and $x_k = \tilde{B}_\gamma^k|_{\mathbb{T}}(1)$, for all $k \in \mathbb{Z}$.

We define the puzzle pieces of level zero, $Y_1^{(0)}, Y_2^{(0)}, Y_3^{(0)}, Y_4^{(0)}$, as the components of $\mathbb{C} \setminus \{\mathbb{D} \cup U_1 \cup U_2 \cup U_{11}\}$. Then, we define the pieces of level n , $Y_j^{(n)}$ as the components of $\tilde{B}_\gamma^{-n}(Y_i^{(0)})$ for $i = 1, 2, 3, 4$.

We define P_n , the critical pieces around 1, in the following way. Let P_0 be the puzzle piece of level 0 that contains 1 and x_{q_1} . We inductively define P_n as the closed set which is mapped homeomorphically onto P_{n-1} by $\tilde{B}_\gamma^{q_n}$ and which contains 1 and $x_{q_{n+1}}$ (see Figure 3).

Remark 6.1. The following properties hold.

- (1) The boundary of the piece $Y_j^{(n)}$ of level n is contained in the union of the boundaries of the components of order $k \leq n + 2$.
- (2) For all n , $J_\gamma \subset \bigcup_j Y_j^{(n)}$.
- (3) $Y_j^{(n)}$ is bounded for all $j, n \in \mathbb{N} \cup \{0\}$.

In what follows, to obtain a univalent preimage of the puzzle piece P_0 , we use the holomorphic inverse branches of \tilde{B}_γ . These preimages have the following nesting property.

Lemma 6.1. *Let A_1 and A_2 be two distinct univalent preimages of the puzzle piece P_0 such that $\text{int } A_1 \cap \text{int } A_2 \neq \emptyset$. Then, either $A_1 \subset A_2$ or $A_2 \subset A_1$.*

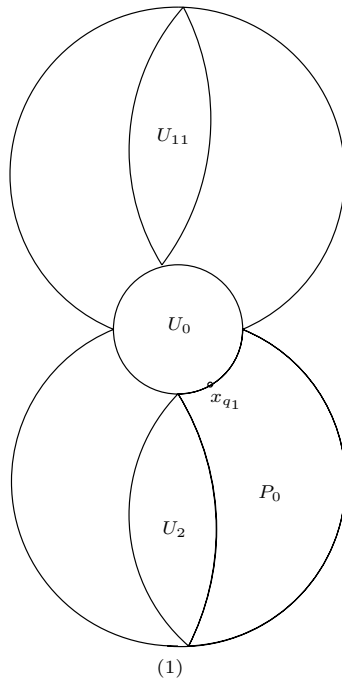


FIGURE 3. First piece of the puzzle P_0

Proof. Suppose that $A_1 \cap A_2 \neq \emptyset$ where A_1 is not contained in A_2 and A_2 is not contained in A_1 . Let $\gamma = \partial P_0$, $\gamma_1 = \partial A_1$ and $\gamma_2 = \partial A_2$. Then $\gamma_1 \cap \text{int } A_2 \neq \emptyset$ and $\gamma_1 \cap \mathbb{C} \setminus \overline{A_2} \neq \emptyset$. We can assume that there exist m and n , with $m \leq n$ such that $A_1 = \tilde{B}_\gamma^{-m}(P_0)$ and $A_2 = \tilde{B}_\gamma^{-n}(P_0)$. Since $\gamma_2 \cap \text{int } A_1 \neq \emptyset$ and $\gamma_2 \cap \mathbb{C} \setminus \overline{A_1} \neq \emptyset$, we have that $\tilde{B}_\gamma^m(\gamma_2) \cap \text{int } P_0 \neq \emptyset$ and $\tilde{B}_\gamma^m(\gamma_2) \cap \text{int}(\mathbb{C} \setminus P_0) \neq \emptyset$.

Since $\tilde{B}_\gamma^m(\gamma_2) \cap \gamma \subset \gamma$, $\tilde{B}_\gamma^m(\gamma_2)$ should intersect γ in a drop with root x in \mathbb{D} , $\overline{U_1}$ or $\overline{U_2}$. Under the function $\tilde{B}_\gamma^{\circ n - m}$ a small subarc $\tilde{\gamma}$ of $\tilde{B}_\gamma^{\circ m}(\gamma_2)$ containing x is mapped homeomorphically to a subarc δ around $\tilde{B}_\gamma^{\circ n - m}(x)$. Since $x, \tilde{B}_\gamma(x), \dots, \tilde{B}_\gamma^{\circ n - m}(x)$ do not pass through 1, we have that δ intersects γ transversally in $\tilde{B}_\gamma^{\circ n - m}(x)$, which is impossible since $\tilde{B}_\gamma^{\circ n}(A_2) = P_0$. \square

By the definition of puzzle piece and the previous lemma, we deduce the following result.

Proposition 6.1. *Let $Y_i^{(n)}$ and $Y_j^{(m)}$ be two puzzle pieces such that $\text{int } Y_i^{(n)} \cap \text{int } Y_j^{(m)} \neq \emptyset$.*

- (1) *If $m = n$, then $Y_i^{(n)} = Y_j^{(m)}$.*
- (2) *If $m \neq n$, then $Y_i^{(n)} \subset Y_j^{(m)}$ or $Y_j^{(m)} \subset Y_i^{(n)}$.*

Proposition 6.2. *The following statements hold.*

- (1) *For all n and j , $Y_j^{(n)} \cap J_\gamma$ is connected.*
- (2) *If $U_{i_1 \dots i_k} \subset \text{int } Y_j^{(n)}$, then $L'_{i_1 \dots i_k} \subset \text{int } Y_j^{(n)}$.*

Proof. The first part follows by Proposition 6.1 and Remark 6.1. For the second part, suppose that there exists a drop $U_{i_1 \dots i_k \dots i_{k+m_0}} \subset L'_{i_1 \dots i_k}$ such that $U_{i_1 \dots i_k \dots i_{k+m_0}}$ is not contained in $\text{int } Y_j^{(n)}$. Let \mathcal{C} be the drop-chain associated to the following sequence of drops

$$U_{i_1 \dots i_k}, U_{i_1 \dots i_k i_{k+1}}, \dots, U_{i_1 \dots i_k \dots i_{k+m_0}}, \dots$$

in the limb $L'_{i_1 \dots i_k}$. Then, the ray $R(\mathcal{C})$ intersects the boundary of $\text{int } Y_j^{(n)}$ transversally. Since $\text{int } Y_j^{(n)}$ is an univalent pull-back of P_0 , there exists $m \in \mathbb{N}$ such that $\tilde{B}_\gamma^{\circ m}(\text{int } Y_j^{(n)}) = P_0$. Hence, $\tilde{B}_\gamma(R(\mathcal{C}))$ intersects the boundary of P_0 transversally, which is a contradiction. \square

By Proposition 6.2, it is sufficient to show that the diameter of the pieces $Y_j^{(n)}$ goes to 0 as n goes to infinity in order to prove Theorem 6.1.

Proposition 6.3. *If $n \geq 1$, the following holds.*

- (1) $P_n \cap \partial \mathbb{D} = [1, x_{-q_n}]$.
- (2) $\tilde{B}_\gamma^{\circ q_n}(P_n) = P_{n-1}$.
- (3) $\tilde{B}_\gamma^{\circ q_n}(P_n \cap \partial U_1) = [x_{q_n}, x_{-q_{n-1}}]$.
- (4) P_n contains the component $U_{q_{n+2}+1}$.

Proof. By definition, P_1 is the piece of order q_1 that belongs to $\overline{\mathbb{H}}$ and contains 1. We have that x_{-q_1} is the closest preimage to 1 of order $k \leq q_1$, and $x_{q_2} \in [x_{-q_1}, 1]$, then $P_1 \cap \partial \mathbb{D} = [x_{-q_1}, 1]$. Since x_{-q_n} is the closest preimage to 1 of order $k \leq q_n$, we have (1).

Since $\tilde{B}_\gamma^{q_n} : (x_{-q_n}, x_{-q_n - q_{n-1}}) \rightarrow (x_{-q_{n-1}}, 1)$ is a homeomorphism, $\tilde{B}_\gamma^{-q_n}$ sends P_{n-1} on P_n and the arc $[1, x_{-q_n}]$ on the union of the arc $[1, x_{-q_n}]$ and one arc of ∂U_1 , we deduce (2) and (3). Since $\partial U_{q_{n+2}+1} \cap \overline{\mathbb{D}} = x_{-q_{n+2}} \in [1, x_{-q_n}]$, then (4) holds. \square

Since the intersection of P_{n+2} with \mathbb{T} is contained properly in $P_n \cap \mathbb{T}$, by Lemma 6.1 and Proposition 6.3 it follows that:

Corollary 6.1. *For all $n \geq 0$ we have $P_{n+2} \subsetneq P_n$.*

6.2. Complex bounds. Let us fix an irrational number $\gamma \in (0, 1/2)$ of bounded type, and set $B = B_{\lambda(\gamma)}$, $\tilde{B} = \tilde{B}_{\lambda(\gamma)}$ and $\xi = \xi_\lambda$. Recall that $B_\lambda = \zeta \circ \xi_\lambda \circ \zeta^{-1}$, where

$$\zeta(z) = \frac{z-i}{z+i} \quad \text{and} \quad \xi_\lambda(z) = \frac{\lambda z^3 + 1}{-z^3 + 1}.$$

We define the following Möbius transformation that sends the upper-half plane into the interior of the unit disk

$$\psi(z) = \frac{z+1-i}{-iz+1-i},$$

and set $\varphi = \psi^{-1}$.

Set $B(1) = e^{2\pi i \tau}$ with $0 < \tau < 1/2$. Observe that

$$\frac{B(z) - B(1)}{(z-1)^3}$$

is a bounded holomorphic function in the domain $\mathbb{C} \setminus \overline{(\mathbb{D} \cup U_1)}$. Thus, there exists some positive constant C such that

$$(2) \quad C^{-1}|z - 1|^3 < |B(z) - B(1)| < C|z - 1|^3$$

in this domain. We denote $S = \mathbb{C} \setminus \overline{\varphi(U_1)}$ and by S_J the domain obtained by removing from S the points of the real line that do not belong to the open interval $J \subset \mathbb{R}$,

$$S_J = (S \setminus \mathbb{R}) \cup J.$$

Let us fix $n \geq 2$ and consider the backward orbit of open intervals

$$(3) \quad (1, B^{\circ q_n}(1)), (B^{-1}(1), B^{\circ q_n-1}(1)), \dots, (B^{-q_n}(1), 1).$$

Let us set $\phi = \psi^{-1} \circ B_\lambda^{-1} \circ \psi$, where we choose the corresponding branch of ξ^{-1} in such a way that the preimage of a real interval under the map z^3 is contained in the real line. Set $J_{-i} = \varphi((B^{-i}(1), B^{\circ q_n-i}(1)))$ and consider the ϕ orbit

$$(4) \quad J_0, J_{-1}, J_{-2}, \dots, J_{-q_n}.$$

Using the combinatorics of closest returns (see section 2.2), it is not hard to see that $B^{\circ 2}(1) \notin (B^{-k}(1), B^{\circ q_n-k}(1))$ for $0 \leq k \leq q_n$. By its definition, the map $\phi : J_{-k} \rightarrow J_{-k-1}$ for $0 \leq k \leq q_n - 1$ has univalent extension to $S_{J_{-k}}$ and the range of this univalent map is a subset of $S_{J_{-k-1}}$, hence the composition $\phi^{\circ l} : J_{-k} \rightarrow J_{-k-l}$ for $0 \leq k < k + l \leq q_n$ univalently extends to the entire $S_{J_{-k}}$.

Consider the univalent extensions of the iterates $\phi^{\circ k} : J_0 \rightarrow J_{-k}$ to the region S_{J_0} for $1 \leq k \leq q_n$. Applying these univalent branches to a point $z \in S_{J_0}$, we obtain the *backward orbit of z corresponding to the orbit (4)*:

$$(5) \quad z = z_0, z_{-1}, z_{-2}, \dots, z_{q_n}, \text{ where } z_{-k} = \phi^{\circ k}(z_0).$$

A corresponding backward orbit of a subset of S_{J_0} is similarly defined.

Let $\mathbb{C}_J \supset S_J$ denote the slit plane $(\mathbb{C} \setminus \mathbb{R}) \cup J$. Since there is a conformal mapping of this domain to the upper-half plane, it is easy to verify that the hyperbolic neighborhood $\{z \in \mathbb{C}_J : \text{dist}_{\mathbb{C}_J}(z, J) < r\}$ for $r > 0$ is the union $D_\theta(J)$ of two Euclidean disks of equal radii with common chord J intersecting the real axis at an outer angle $\theta = \theta(r)$ [dMvS]. In this case, a computation yields

$$r = \log \tan \left(\frac{\pi}{2} - \frac{\theta}{4} \right).$$

A standard argument shows that the hyperbolic neighborhood

$$\{z \in S_J : \text{dist}_{S_J}(z, J) < r\}$$

also forms angles $\theta = \theta(r)$ with \mathbb{R} . We choose the notation $G_\theta(J)$ for this neighborhood. The Schwarz Lemma implies that $G_\theta(J) \subset D_\theta(J)$.

For the rest of this section we adopt the following notation:

$$(6) \quad \begin{aligned} I_m &= \varphi([1, B^{q_m}(1)]), & T_m &= \varphi([1, B^{q_m-q_{m+1}}(1)]), \\ G_m &= G_{m,\alpha} = G_\alpha(\varphi([B^{q_{m+1}}(1), B^{q_m-q_{m+1}}(1)])), \end{aligned}$$

where in the definition of the hyperbolic neighborhood G_m we fix an angle $0 < \alpha < \pi/2$ which will be specified below. Note that $I_m \subset T_m \subset \varphi([B^{q_{m+1}}(1), B^{q_m-q_{m+1}}(1)])$ and, by real a priori bounds, the three intervals have

commensurable lengths. Before giving a result by Yampolsky, let us make the following selections.

- *The lifted puzzle pieces \hat{P}_n .* We denote by \hat{P}_n the preimage under ψ of P_n .
- *The integer N .* By Remark 6.1, we may choose some $N \geq 1$ such that \hat{P}_n is bounded.
- *The angle α .* We choose $0 < \alpha < \pi/2$ such that

$$\hat{P}_{N+2} \cup \hat{P}_{N+3} \subset G_\alpha(\varphi([B^{\circ q_{N+2}}(1), B^{q_{N+1}-q_{N+2}}(1)])) = G_{N+1,\alpha}$$

and we set $G_n = G_{n,\alpha}$ as in (6).

Note that by Corollary 6.1, $P_{n+2} \subseteq P_n$ for all n , hence $\hat{P}_n \subset G_{N+1}$ for all $n \geq N+2$. Since B is the composition of a cubic map with a Möbius transformation, it belongs to an Epstein class [Ya]. Then, the Main Lemma in [Ya] can be written in our notation as follows.

Proposition 6.4 (Yampolsky). *Let P_n denote the n th critical puzzle piece and let N be as above. Then, there exist constants $K_1, K_2 > 1$ such that for every $n \geq N + 3$ and every $z \in \hat{P}_{n-1}$ with the corresponding backward orbit $\{z_{-i}\}$ as in (5),*

$$(7) \quad \frac{\text{dist}(z_{-(q_n-1)}, J_{-(q_n-1)})}{|J_{-(q_n-1)}|} \leq K_1 \frac{\text{dist}(z, J_0)}{|J_0|} + K_2.$$

As a consequence, there exist positive constants A_1, A_2 such that for all $n \geq N + 3$,

$$(8) \quad \frac{\text{diam } P_n}{|[1, B^{-q_n}(1)]|} \leq A_1 \sqrt[3]{\frac{\text{diam } P_{n-1}}{|[B^{-q_{n-1}}(1), 1]|}} + A_2.$$

In the proof of the Proposition 6.4, Yampolsky makes use of the following remark ([Ya], Lemma 4.4):

Remark 6.2. Either

- (1) there exists a moment i of the form $i = jq_{l+1}$ for some $1 \leq j \leq a_{l+2} + 1$ and $m \leq l \leq n - 2$ such that $\widehat{\text{dist}}(z_{-i}, J_{-i}) > \epsilon^*$ and $\text{dist}(z_{-i}, J_{-i}) < C^*|I_l|$, or
- (2) $z_{-q_n} \in G_S([\varphi(B^{(q_{n-1}-q_{n-2})}(1)), 0])$ for some S independent of n ,

where ϵ^* and C^* depend on the Epstein class of B .

The estimate (8) implies that if $\frac{\text{diam } P_{n-1}}{|[B^{-q_{n-1}}(1), 1]|} > K$ for a large $K > 0$, then

$$1 \leq \frac{\text{diam } P_n}{|[B^{-q_n}(1), 1]|} \leq \frac{1}{2} \cdot \frac{\text{diam } P_{n-1}}{|[B^{-q_{n-1}}(1), 1]|}.$$

It follows that for large n the puzzle piece P_n is commensurable with its base arc $[B^{-q_n}(1), 1]$. By Remark 6.2, combined with the Schwarz Lemma, there exists a constant $\rho > 0$ independent of n such that $\hat{P}_n \subset G_\sigma([\varphi(B^{(q_{n-1}-q_{n-2})}(1)), 0])$. By the combinatorics of the closest returns, the number of times the pullback of

$$G_\sigma([0, \varphi(B^{(q_{n-2}-q_{n-3})}(1))]) \supset \hat{P}_{n-1}$$

along the backward orbit of \hat{P}_n ,

$$\Pi_0 = \hat{P}_{n-1}, \Pi_{-1}, \dots, \Pi_{-q_n} = \hat{P}_n,$$

hits 0 is bounded by a constant independent of n . By the Schwarz Lemma and the elementary properties of the cube root map we have the following.

Corollary 6.2. *There exists an angle $0 < \gamma < \pi/2$ such that for all n ,*

$$\hat{P}_n \subset G_\gamma(\varphi([B^{-q_n}(1), 1])).$$

Moreover, by Proposition 6.4, the sequence $\{P_n\}$ induces a fundamental system of connected neighborhoods of 1 in $J(\tilde{B}_\gamma)$ and we have:

Corollary 6.3. *If γ is an irrational number, then $J(\tilde{B}_\gamma)$ is locally connected at 1.*

By Propositions 6.4 and 6.2, and Remark 2.3, it follows that:

Corollary 6.4 (Only two drop-chains). *There are exactly two drop-chains of the form $D_1 = \bigcup_k \overline{U_{i_1 \dots i_k}}$ and $D_2 = \bigcup_k \overline{U_{i'_1 \dots i'_k}}$ accumulating at the critical point 1. Moreover, both of these drop-chains land at 1, and they separate U_1 from \mathbb{D} , in the sense that U_1 and \mathbb{D} belong to different components of $\hat{\mathbb{C}} \setminus (D_1 \cup D_2)$.*

Thus, directly, we have the following result.

Corollary 6.5. *If γ is an irrational number of bounded type, then $J(\tilde{B}_\gamma)$ and $J(F_\gamma)$ are locally connected.*

Proof (Theorem 6.1). The first step is to prove it for limbs of generation 1.

Lemma 6.2. *Let L'_i be the limb of generation 1 with root $x_i \in \mathbb{T}$. Then $\text{diam } L'_i \rightarrow 0$ as i goes to infinity.*

Proof. Let P_n be the n th critical puzzle piece. We have that

$$\mathbb{T} \subset \bigcup_{j=0}^{q_n-1} B_\gamma^{\circ q_n-j}(P_n) \cup \bigcup_{j=0}^{q_{n-1}-1} B_\gamma^{\circ q_{n+1}-j}(P_{n+1})$$

by the dynamical partition of level n for the homeomorphism $(B_\gamma|_{\mathbb{T}})^{-1}$.

By Corollary 6.2 and the Schwarz Lemma, each piece in the above union has diameter commensurable to its base arc, which uniformly tends to 0 as n goes to infinity, by real a priori bounds. By Proposition 6.2, every limb L'_i with $i \geq q_{n-1} + q_n$ is contained in the above union, then $\text{diam } L'_i \rightarrow 0$ as i goes to infinity. \square

To finish the proof, observe that for limbs of generation greater than 1, we have two cases. In the first case, the root of the limb is in the boundary of some drop $U_{i_1 \dots i_k}$ contained in some limb L'_{i_1} , with $i_1 \neq 1$, hence we are in the case of the previous lemma. For the other case, when the limb has its root in a drop $U_{1i_2 \dots i_k}$, applying the Koebe Distortion Theorem, we have the result which completes the proof.

Corollary 6.6. *If $\gamma \in \mathbb{T}$ is an irrational number, then*

$$\lim_{k \rightarrow \infty} \overline{L'_{i_1 i_2 \dots i_k}} = p.$$

7. THE PROOF OF THE MAIN THEOREM

Let $\gamma \in [0, 1/2]$ be an irrational number of bounded type and let $c \in \partial W_0$ be a parameter with internal argument γ . Since P_c is quasiconformally conjugate to \tilde{Q}_γ in the corresponding Julia sets and F_γ is quasiconformally conjugate to \tilde{B}_γ in the corresponding Julia sets, to prove the Main Theorem it is enough to construct a semi-conjugacy between \tilde{Q}_γ and \tilde{B}_γ in the corresponding filled Julia sets. Also, we need to show that the Julia set of P_c lives in $J(\tilde{B}_\gamma)$ and that satisfies the condition of the mating.

7.1. Semi-conjugacy between the models. To show that it is possible to see the filled Julia set $K(P_c)$ in the Fatou set of the quadratic rational map F_γ , it is enough to prove the following result.

Theorem 7.1. *Let $\gamma \in [0, 1/2]$ be an irrational number of bounded type. Then, there exists a continuous map φ_γ from $K(\tilde{Q}_\gamma)$ onto $\hat{\mathbb{C}}$ such that*

$$\varphi_\gamma \circ \tilde{Q}_\gamma = \tilde{B}_\gamma \circ \varphi_\gamma$$

and it can be chosen quasiconformal in the interior of $K(\tilde{Q}_\gamma)$.

Proof. To define the map on \mathbb{D} , we look at the following commutative diagram:

$$\begin{array}{ccccc} \mathbb{D} & \xrightarrow{H_p} & \mathbb{D} & \xleftarrow{H} & \mathbb{D} \\ \tilde{Q}_\gamma \downarrow & & \downarrow R_\gamma & & \downarrow \tilde{B}_\gamma \\ \mathbb{D} & \xrightarrow{H_p} & \mathbb{D} & \xleftarrow{H} & \mathbb{D}. \end{array}$$

Since H and H_p are two quasiconformal homeomorphisms and they can be extended to the boundary of the unit disk, we can define $\varphi_\gamma(z) = H^{-1} \circ H_p(z)$, for every $z \in \overline{\mathbb{D}}$. If z belongs to a drop $U_{i_1 \dots i_k}$ of order m , that is, $z \in \tilde{Q}_\gamma^{-m}(\mathbb{D})$, then $\varphi_\gamma(z)$ is the only point inside the drop $U'_{i_1 \dots i_k}$ of $\tilde{B}_\gamma^{-m}(\mathbb{D})$, that satisfies

$$(9) \quad \varphi_\gamma(z) = \tilde{B}_\gamma^{-m} \circ \varphi_\gamma|_{\overline{\mathbb{D}}} \circ \tilde{Q}_\gamma^{\circ m}(z).$$

Since φ_γ is defined in the closure of the unit disk, using (9), we can extend φ_γ to the closure of any drop. By Proposition 3.3, a point $x \in K(\tilde{Q}_\gamma)$ is either in the closure of a drop or is the limit point of a drop-chain \mathcal{C} . Since φ_γ is already defined on $\bigcup_k \bigcup_{i_1 \dots i_k} \overline{U}_{i_1 \dots i_k}$, it suffices to define it at the landing points of drop-chains of \tilde{Q}_γ .

Let $\mathcal{C} = \overline{\bigcup_k U_{i_1 \dots i_k}}$ be a drop-chain of \tilde{Q}_γ which lands at p , and consider the corresponding drop-chain of \tilde{B}_γ , $\mathcal{C}' = \overline{\bigcup_k U'_{i_1 \dots i_k}}$ whose drops have the same addresses. By Theorem 6.1, the diameters of the corresponding limbs $L'_{i_1 \dots i_k}$ go to zero as k goes to infinity, hence \mathcal{C}' lands at a well-defined point $p' \in K(\tilde{B}_\gamma)$. We define $\varphi_\gamma(p) = p'$. By definition φ_γ sends any limb $L_{i_1 \dots i_k}$ of \tilde{Q}_γ onto the limb $L'_{i_1 \dots i_k}$ of \tilde{B}_γ with the same address. Next, we will show that φ_γ is a continuous map from $K(\tilde{Q}_\gamma)$ onto $\hat{\mathbb{C}}$.

Let $p \in K(\tilde{Q}_\gamma)$ and let $\{p_n\}$ be a sequence converging to p . If p belongs to the interior of $K(\tilde{Q}_\gamma)$, then φ_γ is continuous at p . Then, we can assume that p is in the boundary of $K(\tilde{Q}_\gamma)$. By Proposition 3.3, we have two cases:

- *Case 1.* The point p is the landing point of a drop-chain $\mathcal{C} = \overline{\bigcap_k U_{i_1 \dots i_k}}$. Let us fix a multi-index $i_1 \dots i_k$ and observe that p belongs to the wake $W_{i_1 \dots i_k}$. In particular, $p_n \in L_{i_1 \dots i_k}$ which implies $\varphi_\gamma(p_n) \in L'_{i_1 \dots i_k}$. Thus, $\text{dist}(\varphi_\gamma(p), \varphi_\gamma(p_n)) \leq \text{diam}(L'_{i_1 \dots i_k})$. By Theorem 6.1, $\text{diam}(L'_{i_1 \dots i_k}) \rightarrow 0$ as k goes to infinity, therefore $\varphi_\gamma(p_n)$ converge to $\varphi_\gamma(p)$ as n goes to infinity.
- *Case 2.* The point p is in the boundary of a drop $U_{i_1 \dots i_k}$ of \tilde{Q}_γ of smallest possible generation. It might be the case that p is the root of a child $U_{i_1 \dots i_k i_{k+1}}$, in that case $\partial U_{i_1 \dots i_k} \cap \partial U_{i_1 \dots i_k i_{k+1}} = \{p\}$. If for all sufficiently large n , p_n belongs to $\overline{U}_{i_1 \dots i_k}$ or p_n belongs to $\overline{U}_{i_1 \dots i_k} \cup \overline{U}_{i_1 \dots i_k i_{k+1}}$, then we have that $\varphi_\gamma(p_n)$ converges to $\varphi_\gamma(p)$.

Therefore, the case when $p_n \notin \overline{U_{i_1 \dots i_k}}$ (or $p_n \notin \overline{U_{i_1 \dots i_k}} \cup \overline{U_{i_1 \dots i_k i_{k+1}}}$ if p is the root of $U_{i_1 \dots i_k i_{k+1}}$) remains. Since $p_n \rightarrow p$, there exists a limb $L(n)$ with root $x_n \in \partial U_{i_1 \dots i_k}$ such that $x_n \rightarrow p$ as n goes to infinity. Hence $\varphi_\gamma(p_n)$ belongs to a limb $L'(n)$ of \tilde{B}_γ with the same address as $L(n)$ and whose root $x'_n = \varphi_\gamma(x_n)$ converges to $\varphi_\gamma(p)$ as n goes to infinity. By Theorem 6.1, $\text{diam}(L'(n)) \rightarrow 0$, then $\varphi_\gamma(p_n) \rightarrow \varphi_\gamma(p)$ as n goes to infinity.

By definition $\varphi_\gamma(z)$ is quasiconformal in the interior of $K(\tilde{Q}_\gamma)$ and it is surjective by Lemma 4.2. □

Since $\varphi_\gamma(K(\tilde{Q}_\gamma)) = \widehat{\mathbb{C}}$, to finish the proof of the Main Theorem it is enough to show that the Julia set of $P_{c'}$ also lives in $J(F_\gamma)$ and satisfies the condition of gluing in the mating. We have chosen $c' \in \mathbb{R}$ such that the critical value $c' \in J_{c'}$ of $P_{c'}$ has external arguments $\frac{1}{2} - \frac{\theta}{4}$ and $\frac{1}{2} + \frac{\theta}{4}$, where θ is the external argument of $c \in J_c$.

If $R_c(t)$ and $R_{c'}(t)$ denote the external rays of angles $t \in \mathbb{T}$ for K_c and $K_{c'}$, respectively, then in the mating $R_c(t) \sim R_{c'}(-t)$. In particular, c and $P_{c'}^{\circ 2}(c')$ are equivalent and

$$P_{c'}^{\circ 2+k}(c') \sim P_c^{\circ k}(c), \quad k \in \mathbb{N},$$

which is a dense set in the boundary of the Siegel disk of K_c .

Since we have defined φ_γ in $K(\tilde{Q}_\gamma)$ and \tilde{Q}_γ is quasiconformally equivalent to P_c , we have a quasiconformal homeomorphism $\Phi = \varphi_\gamma \circ \psi_\gamma$ that conjugates P_c to \tilde{Q}_γ in K_c ; therefore, we can extend Φ to $X = (K_{c'} \sqcup K_c) / \sim$.

To see $J_{c'}$ in $J(\tilde{Q}_\gamma)$, we send 0 in -1 under Φ . Thus $\Phi(P_{c'}^{\circ k}(0)) = \tilde{B}_\gamma^{\circ k}(-1) = \tilde{B}_\gamma^{\circ k-2}(1)$, which is the condition required in the mating. Hence Φ is well defined in the postcritical orbit and it is continuous because $P_{c'}$, restricted to the postcritical orbit, is semi-conjugate to the rigid rotation of angle γ .

To define Φ in all $J_{c'}$, first of all, we will define it at all the preimages of the critical point -1 which is a dense subset of $J(\tilde{B}_\gamma)$.

In $K(\tilde{Q}_\gamma)$, we consider the two drop-chains

$$\mathcal{C} = \overline{U_0 \cup U_1 \cup U_{11} \cup \dots}, \quad \mathcal{C}' = \overline{U_0 \cup U_2 \cup U_{21} \cup \dots}$$

with $\tilde{Q}_\gamma(\mathcal{C}') = \mathcal{C}$.

By construction, \mathcal{C}' and \mathcal{C} land at the repelling fixed point β_c and its preimage β'_c , respectively [YaZa]. We define the *spine* of \tilde{Q}_γ as the union of the drop-rays

$$S_\gamma = R(\mathcal{C}) \cup R(\mathcal{C}'),$$

and $S'_\gamma = \psi_\gamma(S_\gamma) \subset K_{c'}$. For $c' \in \mathbb{R}$, the *spine* for $K_{c'}$ is the interval $[-\beta_{c'}, \beta_{c'}]$.

Taking the union of $R_c(0)$, $R_c(\frac{1}{2})$ and S'_γ , we get a curve that decomposes the dynamical plane of P_c in two components; this decomposition allows us to write the external argument of any $z \in J_c$ in binary expansion [YaZa]. We call the upper component the one that contains the points $z \in J_c$ with external argument in the interval $(0, \frac{1}{2})$. In a similar way, we have that the union of $R_{c'}(0)$, $R_{c'}(\frac{1}{2})$ and the spine of $K_{c'}$ is the whole real line, and the upper component is the upper-half plane.

Since c and $P_{c'}^{\circ 2}(c')$ belong to the same class, using the above decomposition, we can choose a preimage of c and c' , under P_c and $P_{c'}$, respectively, in one of the two components and they are mapped by Φ to the same point. Then we have that in the topological mating $P_{c'}^{\circ 2-k}(c')$ is equivalent to $P_c^{\circ -k}(c)$. Note that $K(\tilde{B}_\gamma)$ has a Siegel disk that contains two critical points -1 and 1 in the boundary. Since the

map Φ sends c to 1, and $\tilde{B}_\gamma^2(-1) = 1$, we have that \tilde{B}_γ satisfies the condition of the mating in c .

Since $\{P_c^{\circ-k}(c)\}$ is a dense set in J_c , it follows that the condition of the mating between K_c and $K_{c'}$ is satisfied in a dense set of $J(\tilde{B}_\gamma)$. By the continuity of φ_γ in $K(\tilde{Q}_\gamma)$, we have the mating condition. In order to finish, taking $\Theta(z) = \varsigma_\gamma \circ \Phi$, we have the conjugation on $K_c \sqcup K_{c'}/\sim$. Since $\Theta = \varsigma_\gamma \circ \varphi_\gamma \circ \psi_\gamma$, this conjugation is conformal in the interior of K_c . \square

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