

## ON MINUSCULE REPRESENTATIONS AND THE PRINCIPAL $SL_2$

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ABSTRACT. We study the restriction of minuscule representations to the principal  $SL_2$ , and use this theory to identify an interesting test case for the Langlands philosophy of liftings.

In this paper, we review the theory of minuscule co-weights  $\lambda$  for a simple adjoint group  $G$  over  $\mathbf{C}$ , as presented by Deligne [D]. We then decompose the associated irreducible representation  $V_\lambda$  of the dual group  $\hat{G}$ , when restricted to a principal  $SL_2$ . This decomposition is given by the action of a Lefschetz  $SL_2$  on the cohomology of the flag variety  $X = G/P_\lambda$ , where  $P_\lambda$  is the maximal parabolic subgroup of  $G$  associated to the co-weight  $\lambda$ . We reinterpret a result of Vogan and Zuckerman [V-Z, Prop 6.19] to show that the cohomology of  $X$  is mirrored by the bigraded cohomology of the  $L$ -packet of discrete series with infinitesimal character  $\rho$ , for a real form  $G_0$  of  $G$  with a Hermitian symmetric space.

We then focus our attention on those minuscule representations with a non-zero linear form  $t : V \rightarrow \mathbf{C}$  fixed by the principal  $SL_2$ , such that the subgroup  $\hat{H} \subset \hat{G}$  fixing  $t$  acts irreducibly on the subspace  $V_0 = \ker(t)$ . We classify them in §10; since  $\hat{H}$  turns out to be reductive, we have a decomposition

$$V = \mathbf{C}e + V_0$$

where  $e$  is fixed by  $\hat{H}$ , and satisfies  $t(e) \neq 0$ . We study  $V$  as a representation of  $\hat{H}$ , and give an  $\hat{H}$ -algebra structure on  $V$  with identity  $e$ .

The rest of the paper studies representations  $\pi$  of  $G$  which are lifted from  $H$ , in the sense of Langlands. We show this lifting is detected by linear forms on  $\pi$  which are fixed by a certain subgroup  $L$  of  $G$ . The subgroup  $L$  descends to a subgroup  $L_0 \rightarrow G_0$  over  $\mathbf{R}$ ; both have Hermitian symmetric spaces  $\mathcal{D}$  with  $\dim_{\mathbf{C}}(\mathcal{D}_L) = \frac{1}{2} \dim_{\mathbf{C}}(\mathcal{D}_G)$ . We hope this will provide cycle classes in the Shimura varieties associated to  $G_0$ , which will enable one to detect automorphic forms in cohomology which are lifted from  $H$ .

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### 1. MINUSCULE CO-WEIGHTS

Let  $G$  be a simple algebraic group over  $\mathbf{C}$ , of adjoint type. Let  $T \subset B \subset G$  be a maximal torus contained in a Borel subgroup, and let  $\Delta$  be the corresponding set of simple roots for  $T$ . Then  $\Delta$  gives a  $\mathbf{Z}$ -basis for  $\text{Hom}(T, \mathbf{G}_m)$ , so a co-weight  $\lambda$  in  $\text{Hom}(\mathbf{G}_m, T)$  is completely determined by the integers  $\langle \lambda, \alpha \rangle$ , for  $\alpha$  in  $\Delta$ , which may be arbitrary. Let  $P_+$  be the cone of dominant co-weights, where  $\langle \lambda, \alpha \rangle \geq 0$  for all  $\alpha \in \Delta$ .

A co-weight  $\lambda : \mathbf{G}_m \rightarrow T$  gives a  $\mathbf{Z}$ -grading  $\mathfrak{g}_\lambda$  of  $\mathfrak{g} = \text{Lie}(G)$ , defined by

$$\mathfrak{g}_\lambda(i) = \{X \in \mathfrak{g} : \text{Ad } \lambda(a)(X) = a^i \cdot X\}$$

We say  $\lambda$  is minuscule provided  $\lambda \neq 0$  and the grading  $\mathfrak{g}_\lambda$  satisfies  $\mathfrak{g}_\lambda(i) = 0$  for  $|i| \geq 2$ . Thus

$$(1.1) \quad \mathfrak{g} = \mathfrak{g}_\lambda(-1) + \mathfrak{g}_\lambda(0) + \mathfrak{g}_\lambda(1).$$

The Weyl group  $N_G(T)/T = W$  of  $T$  acts on the set of minuscule co-weights, and the  $W$ -orbits are represented by the dominant minuscule co-weights. These have been classified.

**Proposition 1.2** ([D, 1.2]). *The element  $\lambda$  is a dominant, minuscule co-weight if and only if there is a single simple root  $\alpha$  with  $\langle \lambda, \alpha \rangle = 1$ , the root  $\alpha$  has multiplicity 1 in the highest root  $\beta$ , and all other simple roots  $\alpha'$  satisfy  $\langle \lambda, \alpha' \rangle = 0$ .*

Thus, the  $W$ -orbits of minuscule co-weights correspond bijectively to simple roots  $\alpha$  with multiplicity 1 in the highest root  $\beta$ . If  $\lambda$  is minuscule and dominant,  $\mathfrak{g}_\lambda(1)$  is the direct sum of the positive root spaces  $\mathfrak{g}_\gamma$ , where  $\gamma$  is a positive root containing  $\alpha$  with multiplicity 1. Hence the dimension  $N$  of  $\mathfrak{g}_\lambda(1)$  is given by the formula

$$(1.3) \quad N = \dim \mathfrak{g}_\lambda(1) = \langle \lambda, 2\rho \rangle,$$

where  $\rho$  is half the sum of the positive roots.

The subgroup  $W_\lambda \subset W$  fixing  $\lambda$  is isomorphic to the Weyl group of  $T$  in the subalgebra  $\mathfrak{g}_\lambda(0)$ , which has root basis  $\Delta - \{\alpha\}$ . We now tabulate the  $W$ -orbits of minuscule co-weights by listing the simple  $\alpha$  occurring with multiplicity 1 in  $\beta$  in the numeration of Bourbaki [B]. We also tabulate  $N = \dim \mathfrak{g}_\lambda(1)$  and  $(W : W_\lambda)$ ; a simple comparison shows that  $(W : W_\lambda) \geq N + 1$  in all cases; we will explain this inequality later.

TABLE 1.4.

$G$	$\alpha$	$(W : W_\lambda)$	$N$
$A_\ell$	$\alpha_k$ $1 \leq k \leq \ell$	$\binom{\ell+1}{k}$	$k(\ell + 1 - k)$
$B_\ell$	$\alpha_1$	$2^\ell$	$2\ell - 1$
$C_\ell$	$\alpha_\ell$	$2^\ell$	$\frac{\ell(\ell+1)}{2}$
$D_\ell$	$\alpha_1$	$2^\ell$	$2\ell - 2$
	$\alpha_{\ell-1}, \alpha_\ell$	$2^{\ell-1}$	$\frac{\ell(\ell-1)}{2}$
$E_6$	$\alpha_1, \alpha_6$	27	16
$E_7$	$\alpha_1$	56	27

2. THE REAL FORM  $G_0$

We henceforth fix  $G$  and a dominant minuscule co-weight  $\lambda$ . Let  $G_c$  be the compact real form for  $G$ , so  $G = G_c(\mathbf{C})$  and  $G_c(\mathbf{R})$  is a maximal compact subgroup of  $G$ . Let  $g \mapsto \bar{g}$  be the corresponding conjugation of  $G$ .

Let  $T_c \subset G_c$  be a maximal torus over  $\mathbf{R}$ . We have an identification of co-character groups

$$\text{Hom}_{\text{cont}}(S^1, T_c(\mathbf{R})) = \text{Hom}_{\text{alg}}(\mathbf{G}_m, T).$$

We view  $\lambda$  as a homomorphism  $S^1 \rightarrow T_c(\mathbf{R})$ , and define

$$(2.1) \quad \theta = \text{ad } \lambda(-1) \quad \text{in } \text{Inn}(G).$$

Then  $\theta$  is a Cartan involution, which gives another descent  $G_0$  of  $G$  to  $\mathbf{R}$ . The group  $G_0$  has real points

$$G_0(\mathbf{R}) = \{g \in G : \bar{g} = \theta(g)\},$$

and a maximal compact subgroup  $K$  of  $G_0(\mathbf{R})$  is given by

$$\begin{aligned} K &= \{g \in G : g = \bar{g} \quad \text{and} \quad g = \theta(g)\} \\ &= G_0(\mathbf{R}) \cap G_c(\mathbf{R}). \end{aligned}$$

The corresponding decomposition of the complex Lie algebra  $\mathfrak{g}$  under the action of  $K$  is given by  $\mathfrak{g} = \mathfrak{k} + \mathfrak{p}$ , with

$$(2.2) \quad \begin{cases} \mathfrak{k} = \text{Lie}(K) \otimes \mathbf{C} = \mathfrak{g}_\lambda(0) \\ \mathfrak{p} = \mathfrak{g}_\lambda(-1) + \mathfrak{g}_\lambda(1). \end{cases}$$

The torus  $\lambda(S^1)$  lies in the center of the connected component of  $K$ , and the element  $\lambda(i)$  gives the symmetric space

$$\mathcal{D} = G_0(\mathbf{R})/K$$

a complex structure, with

$$(2.3) \quad N = \dim_{\mathbf{C}}(\mathcal{D}).$$

**Proposition 2.4** ([D, 1.2]). *The real Lie groups  $G_0(\mathbf{R})$  and  $K$  have the same number of connected components, which is either 1 or 2. Moreover, the following are all equivalent:*

- 1)  $G_0(\mathbf{R})$  has 2 connected components.
- 2) The symmetric space  $\mathcal{D}$  is a tube domain.

- 3) *The vertex of the Dynkin diagram of  $G$  corresponding to the simple root  $\alpha$  is fixed by the opposition involution of the diagram.*
- 4) *The subgroup  $W_\lambda$  fixing  $\lambda$  has a nontrivial normalizer in  $W$ , consisting of those  $w$  with  $w\lambda = \pm\lambda$ .*

In fact, the subgroup  $W_c \subset W$  which normalizes  $W_\lambda$  is precisely the normalizer of the compact torus  $T_c(\mathbf{R})$  in  $G_0(\mathbf{R})$ . When  $W_\lambda \neq W_c$ , it is generated by  $W_\lambda$  and the longest element  $w_0$ , which satisfies  $w_0\lambda = -\lambda$ .

As an example, let  $G = SO_3$  and

$$\lambda(t) = \begin{pmatrix} t & & \\ & 1 & \\ & & t^{-1} \end{pmatrix}$$

Then  $\theta$  is conjugation by

$$\lambda(-1) = \begin{pmatrix} -1 & & \\ & 1 & \\ & & -1 \end{pmatrix}$$

and  $G_0 = SO(1, 2)$  has 2 connected components. We have  $K \simeq O(2)$ ,  $W_c = W$  has order 2 in this case, and  $W_\lambda = 1$ . The tube domain  $\mathcal{D} = G_0(\mathbf{R})/K$  is isomorphic to the upper half plane.

### 3. THE WEYL GROUP (cf. [H])

The Weyl group  $W$  is a Coxeter group, with generating reflections  $s$  corresponding to the simple roots in  $\Delta$ . Recall that  $\rho$  is half the sum of the positive roots and  $W_\lambda \subset W$  is the subgroup fixing  $\lambda$ .

**Proposition 3.1.** *Each coset  $wW_\lambda$  of  $W_\lambda$  in  $W$  has a unique representative  $y$  of minimal length. The length  $d(y)$  of the minimal representative is given by the formula*

$$d(y) = \langle \lambda, \rho \rangle - \langle w\lambda, \rho \rangle,$$

where  $w$  is any element in the coset.

*Proof.* Let  $R^\pm$  be the positive and negative roots, let  $R_\lambda^\pm$  be the subsets of positive and negative roots which satisfy  $\langle \lambda, \gamma \rangle = 0$ . Then  $R^+ - R_\lambda^+$  consists of the roots with  $\langle \lambda, \gamma \rangle = 1$ , and  $R^- - R_\lambda^-$  consists of the roots with  $\langle \lambda, \gamma \rangle = -1$ . These sets are stable under the action of  $W_\lambda$  on  $R$ . On the other hand, if  $w \in W_\lambda$  stabilizes  $R_\lambda^+$  (or  $R_\lambda^-$ ), then  $w = 1$ , as  $W_\lambda$  is the Weyl group of the root system  $R_\lambda = R_\lambda^+ \cup R_\lambda^-$ .

Since the length  $d(y)$  of  $y$  in  $W$  is given by

$$(3.2) \quad d(y) = \#\{\gamma \text{ in } R^+ : y^{-1}(\gamma) \text{ is in } R^-\},$$

the set

$$(3.3) \quad Y = \{y \in W : y(R_\lambda^+) \subset R^+\}$$

gives coset representatives for  $W_\lambda$  of minimal length. Moreover, for  $y \in Y$  the set  $y^{-1}(R^+)$  contains  $d(y)$  elements of  $R_\lambda^-$ , and hence  $N - d(y)$  elements of  $R_\lambda^+$ . Hence,

if  $wW_\lambda = yW_\lambda$ , we find

$$\begin{aligned} \langle w\lambda, \rho \rangle &= \langle y\lambda, \rho \rangle = \langle \lambda, y^{-1}\rho \rangle \\ &= \frac{1}{2}((N - d(y)) - d(y)) \\ &= \frac{1}{2}N - d(y). \end{aligned}$$

Since

$$\langle \lambda, \rho \rangle = \frac{1}{2}N,$$

we obtain the desired formula. □

As an example of Proposition 3.1, the minimal representative of  $W_\lambda$  is  $y = 1$ , with  $d(y) = 0$ , and the minimal representative of  $s_\alpha W_\lambda$  is  $y = s_\alpha$ , with  $d(y) = 1$ . If  $w_0$  is the longest element in the Weyl group, then  $w_0(R^\pm) = R^\mp$ , so  $w_0^2 = 1$ , and  $w_0\rho = -\rho$ . Hence

$$\langle w_0\lambda, \rho \rangle = \langle \lambda, w_0^{-1}\rho \rangle = -\langle \lambda, \rho \rangle = -N/2.$$

Consequently, the length of the minimal representative  $y$  of  $w_0W_\lambda$  is  $d(y) = N$ . This is the maximal value of  $d$  on  $W/W_\lambda$ , and we will soon see that  $d$  takes all integral values in the interval  $[0, N]$ .

Assume  $\lambda$  is fixed by the opposition involution  $-w_0$ , so  $w_0\lambda = -\lambda$ . Then  $\mathcal{D}$  is a tube domain, and  $W_\lambda$  has nontrivial normalizer  $W_c = \langle W_\lambda, w_0 \rangle$  in  $W$  by Proposition 2.4. The 2-group  $W_c/W_\lambda$  acts on the set  $W/W_\lambda$  by  $wW_\lambda \mapsto ww_0W_\lambda$ , and this action has no fixed points. Hence we get a fixed point-free action  $y \mapsto y^*$  on the set  $Y$ , and find that

$$(3.4) \quad d(y) + d(y^*) = N.$$

#### 4. THE FLAG VARIETY

Associated to the dominant minuscule co-weight  $\lambda$  is a maximal parabolic subgroup  $P$ , which contains  $B$  and has Lie algebra

$$(4.1) \quad \text{Lie}(P) = \mathfrak{g}_\lambda(0) + \mathfrak{g}_\lambda(1).$$

The flag variety  $X = G/P$  is projective, of complex dimension  $N$ .

The cohomology of  $X$  is all algebraic, so  $H^{2n+1}(X) = 0$  for all  $n \geq 0$ . Let

$$(4.2) \quad f_X(t) = \sum_{n \geq 0} \dim H^{2n}(X) \cdot t^n$$

be the Poincaré polynomial of  $H^*(X)$ . Then we have the following consequence of Chevalley-Bruhat theory, which also gives a convenient method of computing the values of the function  $d : W/W_\lambda \rightarrow \mathbf{Z}$ .

**Proposition 4.3.** 1) We have  $f_X(t) = \sum_Y t^{d(y)}$ .

2) If  $\underline{G}$  is the split adjoint group over  $\mathbf{Z}$  with the same root datum as  $G$ , and  $\underline{P}$  is the standard parabolic corresponding to  $\lambda$ , then

$$f_X(q) = \#\underline{G}(F)/\underline{P}(F)$$

for all finite fields  $F$ , with  $q = \#F$ .

3) The Euler characteristic of  $X$  is given by

$$\chi = f_X(1) = \#(W : W_\lambda).$$

*Proof.* We have the decomposition

$$G = \bigcup_Y ByP,$$

where we have chosen a lifting of  $y$  from  $W$  to  $N_G(T)$ . If  $U$  is the unipotent radical of  $B$ , then  $B = UT$ . Since  $y$  normalizes  $T$ ,

$$UyP = ByP.$$

This gives a cell decomposition

$$X = \bigcup_Y Uy/P \cap y^{-1}Uy$$

where the cell corresponding to  $y$  is an affine space of dimension  $d(y)$ . This gives the first formula.

The formula for  $f_X(q)$  follows from the Bruhat decomposition, which can be used to prove the Weil conjectures for  $X$ . Formula 3) for  $f_X(1)$  follows immediately from 1).  $\square$

For example, let  $G = Sp_{2n}$  be of type  $C_n$ . Then  $P$  is the Siegel parabolic subgroup, with Levi factor  $GL_n/\mu_2$ . From the orders of  $Sp_{2n}(q)$  and  $GL_n(q)$ , we find that

$$\begin{aligned} \#\underline{G}(F)/\underline{P}(F) &= \frac{(q^2 - 1)(q^4 - 1) \dots (q^{2n} - 1)}{(q - 1)(q^2 - 1) \dots (q^n - 1)} \\ &= (1 + q)(1 + q^2) \dots (1 + q^n). \end{aligned}$$

Hence we find

$$(4.4) \quad f_X(t) = (1 + t)(1 + t^2) \dots (1 + t^n).$$

The fact that  $X = G/P$  is a Kähler manifold imposes certain restrictions on its cohomology. For example, if  $\omega$  is a basis of  $H^2(X)$ , then  $\omega^k \neq 0$  in  $H^{2k}(X)$  for all  $0 \leq k \leq N$ . Hence we find that

**Corollary 4.5.** *The function  $d : W/W_\lambda \rightarrow \mathbf{Z}$  takes all integral values in  $[0, N]$ , and  $(W : W_\lambda) \geq N + 1$ .*

For  $0 \leq k \leq N$ , let

$$m(k) = \#\{y \in Y : d(y) = k\}.$$

We have seen that  $m(0) = m(1) = 1$  in all cases. By Poincaré duality

$$(4.6) \quad m(k) = m(N - k).$$

Finally, the Lefschetz decomposition into primitive cohomology shows that

$$(4.7) \quad m(k - 1) \leq m(k)$$

whenever  $2k \leq N$ . Indeed, the representation of the Lefschetz  $SL_2$  on  $H^*(G/P)$  has weights  $N - 2d(y)$  for the maximal torus  $\begin{pmatrix} t & 0 \\ 0 & t^{-1} \end{pmatrix}$ .

5. THE REPRESENTATION  $V$  OF THE DUAL GROUP  $\hat{G}$

Let  $\hat{G}$  be the Langlands dual group of  $G$ , which is simply-connected of the dual root type. This group comes (in its construction) with subgroups  $\hat{T} \subset \hat{B} \subset \hat{G}$ , and an identification of the positive roots for  $\hat{B}$  in  $\text{Hom}(\hat{T}, \mathbf{G}_m)$  with the positive co-roots for  $B$  in  $\text{Hom}(\mathbf{G}_m, T)$  (cf. [G]). Hence, the dominant co-weights for  $T$  give dominant weights for  $\hat{T}$ , which are the highest weights for  $\hat{B}$  on irreducible representations of  $\hat{G}$ .

Let  $V$  be the irreducible representation of  $\hat{G}$ , whose highest weight for  $\hat{B}$  is the dominant, minuscule co-weight  $\lambda$ .

**Proposition 5.1.** *The weights of  $\hat{T}$  on  $V$  consist of the elements in the  $W$ -orbit of  $\lambda$ . Each has multiplicity 1, so  $\dim V = (W : W_\lambda)$ .*

*The central character  $\chi$  of  $V$  is given by the image of  $\lambda$  in  $\text{Hom}(\hat{T}, \mathbf{G}_m) / \bigoplus_{\Delta} \mathbf{Z}\alpha^\vee$ , and is nontrivial.*

*Proof.* For  $\mu$  and  $\lambda$  dominant, we write  $\mu \leq \lambda$  if  $\lambda - \mu$  is a sum of positive co-roots. These are precisely the other dominant weights for  $\hat{T}$  occurring in  $V_\lambda$ . When  $\lambda$  is minuscule,  $\mu \leq \lambda$  implies  $\mu = \lambda$ , so only the  $W$ -orbit of  $\lambda$  occur as weights. Each has the same multiplicity as the highest weight, which is 1. Since  $\mu = 0$  is dominant,  $\lambda$  is not in the span of the co-roots, and  $\chi \neq 1$ .

This result gives another proof of the inequality of Corollary 4.5:  $(W : W_\lambda) \geq N + 1$ . Indeed, let  $L$  be the unique line in  $V_\lambda$  fixed by  $\hat{B}$ . The fixer of  $L$  is the standard parabolic  $\hat{P}$  dual to  $P$ . This gives an embedding of projective varieties:

$$\hat{G}/\hat{P} \hookrightarrow \mathbf{P}(V_\lambda).$$

Since  $\hat{G}/\hat{P}$  has dimension  $N$ , and  $\mathbf{P}(V_\lambda)$  has dimension  $(W : W_\lambda) - 1$ , this gives the desired inequality.

The real form  $G_0$  defined in §2 has Langlands  $L$ -group

$$(5.2) \quad {}^L G = \hat{G} \rtimes \text{Gal}(\mathbf{C}/\mathbf{R}).$$

The action of  $\text{Gal}(\mathbf{C}/\mathbf{R})$  on  $\hat{G}$  exchanges the irreducible representation  $V$  with dominant weight  $\lambda$  with the dual representation  $V^*$  with dominant weight  $-w_0\lambda$ . Hence the sum  $V + V^*$  always extends to a representation of  ${}^L G$ . The following is a simple consequence of Proposition 2.4.  $\square$

**Proposition 5.3.** *The following are equivalent:*

- 1) *We have  $w_0\lambda = -\lambda$ .*
- 2) *The symmetric space  $\mathcal{D}$  is a tube domain.*
- 3) *The representation  $V$  is isomorphic to  $V^*$ .*
- 4) *The central character  $\chi$  of  $V$  satisfies  $\chi^2 = 1$ .*
- 5) *The representation  $V$  of  $\hat{G}$  extends to a representation of  ${}^L G$ .*

6. THE PRINCIPAL  $SL_2 \rightarrow \hat{G}$

The group  $\hat{G}$  also comes equipped with a principal  $\varphi : SL_2 \rightarrow \hat{G}$ ; see [G]. The co-character  $\mathbf{G}_m \rightarrow \hat{T}$  given by the restriction of  $\varphi$  to the maximal torus  $\begin{pmatrix} t & 0 \\ 0 & t^{-1} \end{pmatrix}$

of  $SL_2$  is equal to  $2\rho$  in  $\text{Hom}(\mathbf{G}_m, \hat{T}) = \text{Hom}(T, \mathbf{G}_m)$ . From this, and Proposition 5.1, we conclude the following:

**Proposition 6.1.** *The restriction of the minuscule representation  $V$  to the principal  $SL_2$  in  $\hat{G}$  has weights*

$$\bigoplus_{w/W_\lambda} t^{\langle w\lambda, 2\rho \rangle}$$

for the maximal torus  $\begin{pmatrix} t & 0 \\ 0 & t^{-1} \end{pmatrix}$  in  $SL_2$ .

On the other hand, by Proposition 3.1, we have

$$(6.2) \quad \langle w\lambda, 2\rho \rangle = \langle \lambda, 2\rho \rangle - 2d(y) = N - 2d(y)$$

where  $d(y)$  is the length of the minimal representative  $y$  in the coset  $wW_\lambda$ . Hence the weights for the principal  $SL_2$  acting on  $V$  are the integers

$$(6.3) \quad N - 2d(y) \quad y \in Y$$

in the interval  $[-N, N]$ . Since these are also the weights of the Lefschetz  $SL_2$  acting on the cohomology  $H^*(G/P)$  by §4, we obtain the following:

**Corollary 6.4.** *The representation of the principal  $SL_2$  of  $\hat{G}$  on  $V$  is isomorphic to the representation of the Lefschetz  $SL_2$  on the cohomology of the flag variety  $X = G/P$ .*

## 7. EXAMPLES

We now give several examples of the preceding theory, using the notation for roots and weights of [B].

If  $G$  is of type  $A_\ell$  and  $\alpha = \alpha_1$  we have  $\lambda = e_1$ . The flag variety  $G/P$  is projective space  $\mathbf{P}^N$ , with  $N = \ell$ , and the Poincaré polynomial is  $1 + t + t^2 + \cdots + t^N$ . The dual group  $\hat{G}$  is  $SL_{N+1}$ , and  $V$  is the standard representation. The restriction of  $V$  to a principal  $SL_2$  is irreducible, isomorphic to  $S^N = \text{Sym}^N(\mathbf{C}^2)$ .

A similar result holds when  $G$  is of type  $B_\ell$ , so  $\alpha = \alpha_1$  and  $\lambda = e_1$ . Here  $G/P$  is a quadric of dimension  $N = 2\ell - 1$ , with  $P(t) = 1 + t + \cdots + t^N$  as before. The dual group is  $\hat{G} = \text{Sp}_{2\ell}$ , the representation  $V$  is the standard representation, and its restriction to the principal  $SL_2$  is the irreducible representation  $S^N$ .

Next, suppose  $G$  is of type  $D_\ell$  and  $\alpha = \alpha_1$ , so  $\lambda = e_1$ . Then  $G/P$  is a quadric of dimension  $N = 2\ell - 2$ , and we have  $P(t) = 1 + t + \cdots + 2t^{\ell-1} + \cdots + t^N$ . The dual group  $\hat{G}$  is  $\text{Spin}_{2\ell}$ , and  $V$  is the standard representation of the quotient  $SO_{2\ell}$ . Its restriction to the principal  $SL_2$  is a direct sum  $S^N + S^0$ , where  $S^0$  is the trivial representation.

A more interesting case is when  $G$  is of type  $C_\ell$ , so  $\alpha = \alpha_\ell$  and  $\lambda = \frac{e_1 + e_2 + \cdots + e_\ell}{2}$ . Here  $G/P$  is the Lagrangian Grassmanian of dimension  $N = \frac{\ell(\ell+1)}{2}$ , and  $P(t) = (1+t)(1+t^2)\cdots(1+t^\ell)$  was calculated in (4.4). The dual group  $\hat{G}$  is  $\text{Spin}_{2\ell+1}$ , and  $V$  is the spin representation of dimension  $2^\ell$ . Its decomposition to a



principal  $SL_2$  is given by §6, and we find the following representations, for  $\ell \leq 6$ :

$$(7.1) \quad \begin{array}{ll} S^1 & \ell = 1, \\ S^3 & \ell = 2, \\ S^6 + S^0 & \ell = 3, \\ S^{10} + S^4 & \ell = 4, \\ S^{15} + S^9 + S^5 & \ell = 5, \\ S^{21} + S^{15} + S^{11} + S^9 + S^3 & \ell = 6. \end{array}$$

As the last example, suppose  $G$  is of type  $E_6$ . Then  $G/P$  has dimension 16 and Poincaré polynomial

$$P(t) = 1 + t + t^2 + t^3 + 2t^4 + 2t^5 + 2t^6 + 2t^7 + 3t^8 + 2t^9 + 2t^{10} + 2t^{11} + 2t^{12} + t^{13} + t^{14} + t^{15} + t^{16}.$$

The representation  $V$  has dimension 27, and its restriction to a principal  $SL_2$  is the representation

$$(7.2) \quad S^{16} + S^8 + S^0.$$

**Proposition 7.3.** *The representation  $V$  of the principal  $SL_2$  is irreducible, hence isomorphic to  $S^N$ , if and only if  $G$  is of type  $A_\ell$  or  $B_\ell$  and  $\alpha = \alpha_1$ .*

*The representation  $V$  of the principal  $SL_2$  is isomorphic to  $S^N + S^0$  if and only if  $G$  is of type  $D_\ell$  and  $\alpha = \alpha_1$ , or  $G$  is of type  $D_4$  and  $\alpha = \alpha_3$  or  $\alpha_4$ , or  $G$  is of type  $C_3$  and  $\alpha = \alpha_3$ .*

*Proof.* The condition  $V = S^N$  as a representation of  $SL_2$  is equivalent to the equality

$$\dim V = (W : W_\lambda) = N + 1.$$

The condition  $V = S^N + S^0$  as a representation of  $SL_2$  is equivalent to the equality

$$\dim V = (W : W_\lambda) = N + 2.$$

One obtains all the above cases by a consideration of the columns in Table 1.4.  $\square$

### 8. DISCRETE SERIES AND A MIRROR THEOREM

Let  $G_0$  be the real form of  $G$  described in §2, and let  $G_0(\mathbf{R})^+$  be the connected component of  $G_0(\mathbf{R})$ . The  $L$ -packet of discrete series representations  $\pi^+$  of  $G_0(\mathbf{R})^+$  with infinitesimal character the  $W$ -orbit of  $\rho$  is in canonical bijection with the coset space  $W_\lambda \backslash W$ . Indeed,  $W_\lambda$  is the compact Weyl group of the simply-connected algebraic cover  $G_0^{sc}$  of  $G_0$ , and any discrete series for  $G_0^{sc}(\mathbf{R})$  with infinitesimal character  $\rho$  has trivial central character, so it descends to the quotient group  $G_0(\mathbf{R})^+$ . On the other hand, such discrete series for  $G_0^{sc}(\mathbf{R})$  are parameterized by their Harish-Chandra parameters in  $\text{Hom}(T_c^{sc}(\mathbf{R}), S^1)/W_\lambda$ , which lie in the  $W$ -orbit of  $\rho$ . The coset  $W_\lambda \rho$  corresponds to the holomorphic discrete series, and the coset  $W_\lambda w_0 \rho = W_\lambda w_0^{-1} \rho$  corresponds to the anti-holomorphic discrete series.

**Proposition 8.1** ([V-Z, Prop. 6.19]). *Assume the discrete series  $\pi^+$  of  $G_0(\mathbf{R})^+$  has Harish-Chandra parameter  $W_\lambda w^{-1} \rho$ . Then  $\pi^+$  has bigraded cohomology*

$$H^{p,q}(\mathfrak{g}, K^+; \pi^+) \simeq \mathbf{C}$$

for  $p + q = N$  and  $q = d(y)$ , the length of the minimal representative of  $wW_\lambda$ . The cohomology of  $\pi$  vanishes in all other bidegrees  $(p', q')$ .

*Proof.* The bigrading of the  $(\mathfrak{g}, K^+)$  cohomology of any  $\pi^+$  in the  $L$ -packet is discussed in [V-Z, (6.18)(a-c)]. The cohomology has dimension 1 for degree  $N$ , and dimension 0 otherwise, so we must have  $p + q = N$ .

On the other hand, Arthur (cf. [A, pp. 62–63]) interprets the calculation of [V-Z, Prop. 6.19] to obtain the formula

$$-\frac{1}{2}(p - q) = \langle \lambda, w^{-1}\rho \rangle = \langle w\lambda, \rho \rangle.$$

Since  $\frac{1}{2}(p + q) = \langle \lambda, \rho \rangle$ , we find that  $q = \langle \lambda, \rho \rangle - \langle w\lambda, \rho \rangle = d(y)$ , by Proposition 3.1. □

If  $G_0(\mathbf{R}) \neq G_0(\mathbf{R})^+$ , the discrete series  $\pi$  for  $G_0(\mathbf{R})$  with infinitesimal character  $\rho$  correspond to the coset space  $W_c \backslash W$ , where  $W_c$  is the (nontrivial) normalizer of  $W_\lambda$  in  $W$ . We find that the bigraded cohomology of  $\pi$  with Harish-Chandra parameter  $W_c w^{-1}\rho$  is the direct sum of two lines of type  $(p, q)$  and  $(q, p)$ , with  $p + q = N$  and  $q = d(y)$ .

The 2-group  $K/K^+$  acts on  $H^N(\mathfrak{g}, K^+, \pi)$ , switching the two lines. When  $p = q = N/2$ , there is a unique line in  $H^{p,p}(\mathfrak{g}, K^+, \pi)$  fixed by  $K/K^+$ .

A suggestive way to restate the calculation of the bigraded cohomology is the following.

**Corollary 8.2.** *The Hodge structure on the sum  $H^N(G_0) = \bigoplus_{\pi} H^{*,*}(\mathfrak{g}, K^+, \pi)$  over the  $L$ -packet of discrete series for  $G_0(\mathbf{R})$  with infinitesimal character  $\rho$  mirrors the Hodge structure on  $H^*(G/P)$ . That is,*

$$\dim H^{q,q}(G/P) = \dim H^{N-q,q}(G_0).$$

Indeed, both dimensions are equal to the number of classes  $wW_\lambda$  in  $W/W_\lambda$  with  $d(w, W_\lambda) = q$ .

### 9. DISCRETE SERIES FOR $SO(2, 2n)$

Assume that  $G$  is of type  $D_{n+1}$  with  $n \geq 2$ , and that  $\alpha = \alpha_1$ . The group  $G_0(\mathbf{R})$  is then isomorphic to  $PSO(2, 2n) = SO(2, 2n)/\langle \pm 1 \rangle$ , and  $\mathcal{D}$  is a tube domain of complex dimension  $N = 2n$ . There are  $n + 1$  discrete series representations  $\pi$  of  $G_0(\mathbf{R})$  with infinitesimal character  $\rho$ . We will describe these as representations of  $SO(2, 2n)$ , with trivial central character, and will calculate their minimal  $K^+$ -types and Hodge cohomology.

Let  $V$  be a 2-dimensional real vector space, with a positive definite quadratic form, and write  $-V$  for the same space, with the negative form. For  $k = 0, 1, \dots, n$  define the quadratic space

$$W_k = V_0 + V_1 + \dots + (-V_k) + \dots + V_n,$$

so  $SO(W_k) \simeq SO(2, 2n)$ , a maximal compact torus  $T_c$  in  $SO(W_k)$  is given by  $\prod_{i=0}^n SO(V_i)$ , and a maximal compact, connected subgroup  $K^+$  containing  $T_c$  is given by  $SO(V_k) \times SO(V_k^\perp)$ . If  $e_i$  is a generator of  $\text{Hom}(SO(V_i), S^1)$ , then the character group of  $T_c$  is  $\bigoplus_{i=0}^n \mathbf{Z}e_i$ , and the roots of  $T_c$  on  $\mathfrak{g}$  are the elements

$$\gamma_{ij} = \pm e_i \pm e_j \quad i \neq j.$$

The compact roots of  $T_c$  on  $k$  are those roots  $\gamma_{ij}$  with  $i \neq k + 1$  and  $j \neq k + 1$ , so the  $(k + 1)$ st coordinate of  $\gamma$  is zero.

A set of positive roots is given by

$$R^+ = \{e_i \pm e_j : i < j\}.$$

This has root basis

$$\Delta = \{e_0 - e_1, e_1 - e_2, \dots, e_{n-1} - e_n, e_{n-1} + e_n\}$$

and

$$\rho = (n, n - 1, n - 2, \dots, 1, 0).$$

On the other hand, half the sum  $\rho_c$  of the compact positive roots is given by

$$\rho_c = (n - 1, n - 2, \dots, n - k, 0, n - k - 1, k, \dots, 1, 0).$$

At the two extremes, we find that

$$\begin{aligned} k = 0 & \quad \rho_c = (0, n - 1, n - 2, \dots, 1, 0), \\ k = n & \quad \rho_c = (n - 1, n - 2, \dots, 1, 0, 0). \end{aligned}$$

The lowest  $K^+$ -type of a discrete series  $\pi^+$  for  $SO(2, 2n)^+$  with Harish-Chandra parameter  $\lambda = \rho$  is given by Schmid's formula:

$$\lambda + \rho - 2\rho_c = 2(\rho - \rho_c).$$

For the realizations  $SO(2, 2n) \simeq SO(W_k)$  above, we obtain  $n + 1$  discrete series  $\pi_k^+$  with minimal  $K^+ \simeq SO(2) \times SO(2n)$  type

$$\chi^{2(n-k)} \otimes \underset{\substack{\uparrow \\ k \text{ times}}}{(2, 2, 2, \dots, 2, 0, 0 \dots 0)}$$

where  $\chi$  is the fundamental character of  $SO(2)$ , giving the action on  $\mathfrak{p}^+$ . The irreducible representation of  $SO(2n)$  with highest weight  $2(e_1 + \dots + e_k)$  appears with multiplicity 1 in  $\text{Sym}^2(\wedge^k \mathbf{C}^{2n})$ , and the minimal  $K^+$ -type appears with multiplicity 1 in the representation  $\wedge^k \mathfrak{p}_- \otimes \wedge^{2n-k} \mathfrak{p}_+$ . Hence the Hodge type of  $\pi_k^+$  is  $(2n - k, k)$ .

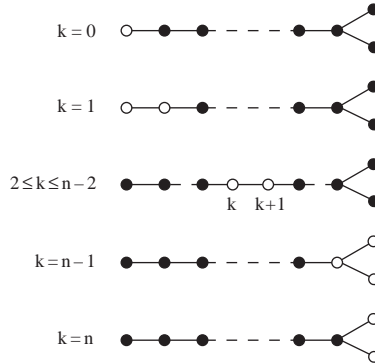
Each discrete series  $\pi_k$  of  $SO(2, 2n)$  with infinitesimal character  $\rho$  decomposes as  $\pi_k = \pi_k^+ + \pi_k^-$  when restricted to  $SO(2, 2n)^+$  with  $\pi_k^+$  as above, and  $\pi_k^-$  its conjugate by  $G_0(\mathbf{R})/G_0(\mathbf{R})^+$ . The minimal  $K^+$ -type of  $\pi_k^-$  is

$$\chi^{2(k-n)} \otimes \underset{\substack{\uparrow \\ k \text{ times}}}{(2, 2, 2, \dots, 2, 0, 0 \dots 0)}$$

so  $\pi_k^-$  has Hodge type  $(k, 2n - k)$ , and  $\pi_k$  has Hodge type  $(k, 2n - k) + (2n - k, k)$ .

If we label the simple roots in the Dynkin diagram for  $G$ , white for non-compact roots, black for compact roots, then the discrete series  $\pi_k$  of  $SO(2, 2n)$  gives the labelled diagram below.

In the case  $k = 0$ ,  $\pi_k$  is the sum of holomorphic and anti-holomorphic discrete series, and is an admissible representation of the subgroup  $SO(2) \subset K^+$ . In the case  $k = n$ ,  $\pi_n$  is admissible for the subgroup  $SO(2n) \subset K^+$ , and has Hodge type  $(n, n) + (n, n)$ .



10. A CLASSIFICATION THEOREM:  $V = \mathbf{C}e + V_0$

We now return to the restriction of a minimal representation  $V$  of  $\hat{G}$  to a principal  $SL_2$  in  $\hat{G}$ . Since  $V$  will be fixed, we will replace the simply-connected group  $\hat{G}$  by its quotient which acts *faithfully* on  $V$ , and will henceforth use the symbol  $\hat{G}$  for this subgroup of  $GL(V)$ . The group  $G$  is therefore no longer necessarily of adjoint type. We have

$$(10.1) \quad X_\bullet(T) = \mathbf{Z}\lambda + \bigoplus_{\text{co-roots}} \mathbf{Z}\alpha^\vee$$

and  $\ell\lambda$  lies in the sublattice  $\bigoplus \mathbf{Z}\alpha^\vee$ , with  $\ell$  the order of the (cyclic) center of  $\hat{G}$ . Since  $\langle \alpha^\vee, \rho \rangle$  is an integer for all co-roots, we find that  $\rho$  is in  $X^\bullet(T)$  if and only if  $\langle \lambda, \rho \rangle$  is an integer. By (1.3) this occurs precisely when the integer  $N = \dim_{\mathbf{C}}(\mathcal{D})$  is even. Since the center  $\langle \pm 1 \rangle$  of a principal  $SL_2$  in  $\hat{G}$  acts on  $V$  by the character  $(-1)^N$ , we see that  $\rho$  is in  $X^\bullet(T)$  precisely when principal homomorphism  $SL_2 \rightarrow \hat{G}$  factors through the quotient group  $PGL_2$ .

**Proposition 10.2.** *Assume that there is a non-zero linear form  $t : V \rightarrow \mathbf{C}$  which is fixed by the principal  $SL_2 \rightarrow \hat{G}$ , and that the subgroup  $\hat{H}$  of  $\hat{G}$  fixing  $t$  acts irreducibly on the hyperplane  $V_0 = \ker(t)$ .*

*Then (up to the action of the outer automorphism group of the simply-connected cover of  $\hat{G}$ ) the representation  $V$  is given by the following table:*

$\hat{G}$	$V$	$\hat{H}$
$SL_{2n}/\mu_2$	$\bigwedge^2 \mathbf{C}^{2n}$	$Sp_{2n}/\mu_2$
$SO_{2n}$	$\mathbf{C}^{2n}$	$SO_{2n-1}$
$E_6$	$\mathbf{C}^{27}$	$F_4$
$Spin_7$	$\mathbf{C}^8$	$G_2$

*Proof.* By definition,  $\hat{H}$  contains the image of the principal  $SL_2$  (which is isomorphic to  $PGL_2$ ). These subgroups of simple  $\hat{G}$  have been classified by de Siebenthal

[dS]. One has the chains:

$$\begin{aligned}
 SL_2 &\rightarrow SO_{2n+1} \rightarrow SL_{2n+1}, \\
 SL_2 &\rightarrow Sp_{2n} \rightarrow SL_{2n}, \\
 SL_2 &\rightarrow SO_{2n-1} \rightarrow SO_{2n}, \\
 SL_2 &\rightarrow F_4 \rightarrow E_6, \\
 SL_2 &\rightarrow G_2 \rightarrow Spin_7 \rightarrow SO_8, \\
 SL_2 &\rightarrow G_2 \rightarrow SO_7 \rightarrow SL_7.
 \end{aligned}$$

It is then a simple matter to check, for any  $V$ , whether an  $\hat{H}$  containing the principal  $SL_2$  can act irreducibly on  $V_0$ .

Beyond the examples given in Proposition 10.2, we have one semi-simple example with the same properties:

$$(10.3) \quad \hat{G} = SL_n^2/\Delta\mu_n \quad V = \mathbf{C}^n \otimes (\mathbf{C}^n)^* \quad \hat{H} = PGL_n.$$

In all cases,  $\hat{H}$  is a group of adjoint type. □

**Proposition 10.4.** *For the groups  $\hat{G}$  in Proposition 10.2, the center is cyclic of order  $\ell \geq 2$ . The integer  $\ell$  is the number of irreducible representations in the restriction of  $V$  to a principal  $SL_2$ .*

*The  $\hat{G}$ -invariants in the symmetric algebra on  $V^*$  form a polynomial algebra, on one generator  $d : V \rightarrow \mathbf{C}$  of degree  $\ell$ . The group  $\hat{G}$  has an open orbit on the projective space of lines in  $V$ , with connected stabilizer  $\hat{H}$ , consisting of the lines where  $d(v) \neq 0$ .*

*Proof.* The first assertion is proved by an inspection of the following table. We derive the decomposition of  $V$  from §6.

TABLE 10.5.

$\hat{G}$	$\ell =$ order of center	decomp. of $V$
$SL_{2n}/\mu_2$	$n \geq 2$	$S^{4n-4} + S^{4n-8} + \dots + S^4 + S^0$
$SO_{2n}$	2	$S^{2n-2} + S^0$
$E_6$	3	$S^{16} + S^8 + S^0$
$Spin_7$	2	$S^6 + S^0$
$SL_n^2/\Delta\mu_n$	$n \geq 2$	$S^{2n-2} + S^{2n-4} + \dots + S^2 + S^0$

The calculation of  $S^\bullet(V^*)^{\hat{G}}$  follows from [S-K], which also identifies the connected component of the stabilizer with  $\hat{H}$ . Note that the degree of any invariant is divisible by  $\ell$ , as the center acts faithfully on  $V^*$ . □

### 11. THE REPRESENTATION $V$ OF $\hat{H}$

Recall that  $\ell \geq 2$  is the order of the cyclic center of  $\hat{G}$ , tabulated in 10.5. Since the subgroup  $\hat{H} \subset \hat{G}$  fixing the linear form  $t : V \rightarrow \mathbf{C}$  is reductive, we have a splitting of  $\hat{H}$ -modules

$$(11.1) \quad V = \mathbf{C}e + V_0$$

with  $V_0 = \ker(t)$ , and  $e$  a vector fixed by  $\hat{H}$  satisfying  $t(e) \neq 0$ . Once  $t$  has been chosen, we may normalize  $e$  by insisting that

$$(11.2) \quad t(e) = \ell.$$

**Proposition 11.3.** *The representation  $V_0$  of  $\hat{H}$  is orthogonal. Its weights consist of the short roots of  $\hat{H}$  and the zero weight. The zero weight space for  $\hat{H}$  in  $V$  has dimension  $\ell$ , and  $V$  is a polar representation of  $\hat{H}$  of type  $A_{\ell-1}$ : the  $\hat{H}$ -invariants in the symmetric algebra of  $V \simeq V^*$  form a polynomial algebra, with primitive generators in degrees  $1, 2, 3, \dots, \ell$ .*

*Proof.* The fact that  $V_0$  is orthogonal, and its weights, are obtained from a consideration of the table in Proposition 10.2. Since

$$\dim V = \ell + \#\{\text{short roots of } \hat{H}\},$$

this gives the dimension of the zero weight space.

Let  $\hat{S} \subset \hat{H}$  be a maximal torus, with normalizer  $\hat{N}$ . The image of  $\hat{N}/\hat{S}$  in  $GL(V^{\hat{S}}) = GL_{\ell}$  is the symmetric group  $\Sigma_{\ell}$ . The fact that  $V$  is polar follows from the tables in [D-K], which also gives an identification of algebras:  $S^{\bullet}(V)^{\hat{H}} \simeq S^{\bullet}(V^{\hat{S}})^{\hat{N}/\hat{S}}$ . The latter algebra is generated by the elementary symmetric functions, of degrees  $1, 2, 3, \dots, \ell$ .  $\square$

*Note 11.4.* The integer  $\ell$  is also the number of distinct summands in the restriction of  $V$  to a principal  $SL_2$ . Since each summand is an orthogonal representation of  $SL_2$ ,  $\ell = \dim V^{\hat{S}_0}$ , where  $\hat{S}_0 \subset SL_2$  is a maximal torus. Hence  $V^{\hat{S}_0} = V^{\hat{S}}$ .

We will now define an  $\hat{H}$ -algebra structure on  $V$ , with identity element  $e$ , in a case by case manner. Although the multiplication law  $V \otimes V \rightarrow V$  is not in general associative, it is power associative, and for  $v \in V$  and  $k \geq 0$  we can define  $v^k$  in  $V$  unambiguously. The primitive  $\hat{H}$ -invariants in  $S^{\bullet}(V^*)$  can then be given by

$$(11.5) \quad v \mapsto t(v^k) \quad 1 \leq k \leq \ell.$$

In (11.5),  $t: V \rightarrow \mathbf{C}$  is the  $\hat{H}$ -invariant linear form, normalized by the condition that

$$t(e) = \ell.$$

We will also identify the  $\hat{G}$ -invariant  $\ell$ -form  $\det: V \rightarrow \mathbf{C}$ , normalized by the condition that

$$\det(e) = 1.$$

The simplest case, when the algebra structure on  $V$  is associative, is when  $\hat{H} = PGL_n$  and  $V$  is the adjoint representation (of  $GL_n$ ) on  $n \times n$  matrices. The algebra structure is matrix multiplication,  $e$  is the identity matrix,  $t$  is the trace, and  $\det$  is the determinant (which is invariant under the larger group  $\hat{G} = SL_n \times SL_n / \Delta\mu_n$  acting by  $v \mapsto AvB^{-1}$ ).

Another algebra structure on  $V$ , with the same powers  $v^k$ , is given by the Jordan multiplication  $A \circ B = \frac{1}{2}(AB + BA)$ . This algebra is isomorphic to the Jordan algebra of Hermitian symmetric  $n \times n$  matrices over the quadratic  $\mathbf{C}$ -algebra  $\mathbf{C} + \mathbf{C}$ , with involution  $\overline{(z, w)} = (w, z)$ .

The representation  $V$  has a similar Jordan algebra structure when  $\hat{H} = PSp_{2n}$  and when  $\hat{H} = F_4$ . In the first case,  $V$  is the algebra of Hermitian symmetric  $n \times n$

matrices over the complex quaternion algebra  $M_2(\mathbf{C})$ , and in the second  $V$  is the algebra of Hermitian symmetric  $3 \times 3$  matrices over the complex octonion algebra.

When  $\hat{H} = SO_{2n-1}$ , the representation  $V = \mathbf{C}e + V_0$  has a Jordan multiplication given by the quadratic form  $\langle, \rangle$  on  $V$ . We normalize this bilinear paring to satisfy  $\langle e, e \rangle = 2$ , so  $\det(v) = \frac{\langle v, v \rangle}{2}$  is the  $\hat{G}$ -invariant 2-form on  $V$ . The multiplication is defined, with  $e$  as identity, by giving the product of two vectors  $v, w$  in  $V_0$  :  $v \circ w = -\frac{1}{2}\langle v, w \rangle e$ .

Finally, when  $\hat{H} = G_2$ , the representation  $V$  of dimension 8 has the structure of an octonion algebra, with  $t(v) = v + \bar{v}$  and  $\det(v) = v\bar{v}$ . In all cases but this one  $\hat{G}$  is the connected subgroup of  $GL(V)$  preserving  $\det$ , and  $\hat{H}$  is the subgroup of  $GL(V)$  preserving all the forms  $t(v^k)$  for  $1 \leq k \leq \ell$ . In the octonionic case, the subgroup  $SO_8 \subset GL_8$  preserves  $\det$ , and the subgroup  $SO_7 \subset SO_8$  preserves  $t(v)$  and  $t(v^2)$ .

In general,  $\det: V \rightarrow \mathbf{C}$  is a polynomial in the  $\hat{H}$ -invariants  $t(v^k)$ , given by the Newton formulae. The expression for  $\ell! \cdot \det$  has integral coefficients; for example,

$$(11.6) \quad \begin{cases} 2 \det(v) = t(v)^2 - t(v^2) & \ell = 2 \\ 6 \det(v) = t(v)^3 - 3t(v^2)t(v) + 2t(v^3) & \ell = 3. \end{cases}$$

## 12. REPRESENTATIONS OF $G$ LIFTED FROM $H$

We now describe the finite dimensional irreducible holomorphic representations  $\pi$  of  $G$  which are lifted from irreducible representations  $\pi'$  of  $H$ . This notion of lifting is due to Langlands: the parameter of  $\pi$ , which is a homomorphism  $\varphi: \mathbf{C}^* \rightarrow \hat{G}$  up to conjugacy, should factor through a conjugate of  $\hat{H}$ .

We can parameterize the finite dimensional irreducible holomorphic representations  $\pi$  of  $G$  by their highest weights  $\omega$  for  $B$ . The weight  $\omega$  is a positive, integral combination of the fundamental weights  $\omega_i$  of the simply-connected cover of  $G$ , so we may write (using the numeration of [B])

$$(12.1) \quad \omega = \sum_{i=1}^{\text{rank}(G)} b_i \omega_i \quad b_i \geq 0.$$

For  $\omega$  to be a character of  $G$ , there are some congruences which must be satisfied by the coefficients  $b_i$ . (The group  $G$  is *not* simply connected, as its dual  $\hat{G}$  acts faithfully on the minuscule representation  $V$ .)

Since

$$(12.2) \quad \text{rank}(G) = \text{rank}(H) + (\ell - 1),$$

there are  $(\ell - 1)$  linear conditions on the coefficients  $b_i$  which are necessary and sufficient for  $\pi$  to be lifted from  $\pi'$  of  $H$ . These conditions refine the congruences, and we tabulate them in Table 12.3 below.

When  $G = SL_{2n}/\mu_n$ ,  $SO_{2n}$ , or  $Sp_6/\mu_2$  there are more classical descriptions of  $\omega$  in the weight spaces  $\mathbf{R}^{2n}$ ,  $\mathbf{R}^n$ , and  $\mathbf{R}^3$ , respectively. We describe, in this language, which representations are lifted from  $H$ .

TABLE 12.3.

$G$	$H$	$\omega = \sum b_i \omega_i$ of $G$	$\omega$ lifted from $H$
$SL_{2n}/\mu_n$	$Spin_{2n+1}$	$\sum_{i=1}^{n-1} i(b_i - b_{2n-i}) \equiv 0(n)$	$b_i = b_{2n-i}$ $1 \leq i \leq n-1$
$SO_{2n}$	$Sp_{2n-2}$	$b_{n-1} - b_n \equiv 0(2)$	$b_{n-1} = b_n$
$E_6/\mu_3$	$F_4$	$(b_1 - b_6) + 2(b_2 - b_5) \equiv 0(3)$	$b_1 = b_6$ $b_2 = b_5$
$Sp_6/\mu_2$	$G_2$	$b_1 - b_3 \equiv 0(2)$	$b_1 = b_3$
$SL_n \times SL'_n/\Delta\mu_n$	$SL_n$	$\sum_{i=1}^{n-1} i(b_i - b'_{n-1}) \equiv 0(n)$	$b_i = b'_{n-1}$ $1 \leq i \leq n-1$

For  $G = SL_{2n}/\mu_n$ , a dominant weight  $\omega$  is a vector  $(a_1, a_2, \dots, a_{2n})$  in  $\mathbf{R}^{2n}$  with

$$\begin{aligned} a_1 &\geq a_2 \geq \dots \geq a_{2n}, \\ a_i &\text{ in } 1/2 \mathbf{Z} \quad 1 \leq i \leq 2n, \\ a_i &\equiv a_j \pmod{\mathbf{Z}}, \\ \sum a_i &= 0. \end{aligned}$$

The representations lifted from  $Spin_{2n+1}$  give dominant weights  $\omega$  with

$$a_i + a_{2n+1-i} = 0 \quad 1 \leq i \leq n.$$

In particular,  $a_n \geq 0 \geq a_{n+1}$ , as  $a_n + a_{n+1} = 0$ .

For  $G = SO_{2n}$ , a dominant weight  $\omega$  is a vector  $(a_1, \dots, a_n)$  in  $\mathbf{Z}^n$  with

$$a_1 \geq a_2 \geq \dots \geq a_{n-1} \geq |a_n|.$$

The representations lifted from  $Sp_{2n-2}$  satisfy  $a_n = 0$ .

Finally, for  $G = Sp_6/\mu_2$ , a dominant weight is given classically as a vector  $(a_1, a_2, a_3)$  in  $\mathbf{Z}^3$  with  $a_1 \geq a_2 \geq a_3 \geq 0$  and  $a_1 \equiv a_2 + a_3 \pmod{2}$ . The representations lifted from  $G_2$  are those with  $a_1 = a_2 + a_3$ .

Define a connected, reductive subgroup  $L$  of  $G$  as follows:

$G = SL_{2n}/\mu_n$	$L = SL_n^2/\Delta\mu_n$	fixing a decomposition of the standard representation of $SL_{2n} : \mathbf{C}^{2n} = \mathbf{C}^n + \mathbf{C}^n$ , and having determinant 1 on each factor
$G = SO_{2n}$	$L = SO_{n+1}$	fixing a non-degenerate subspace $\mathbf{C}^{n-1}$ in the standard representation $\mathbf{C}^{2n}$
$G = E_6/\mu_3$	$L = SL_6/\mu_3$	fixing the highest and lowest root spaces in the adjoint representation
$G = Sp_6/\mu_2$	$L = SL_2^3/\Delta\mu_2$	fixing a decomposition of the standard representation of $Sp_6 : \mathbf{C}^6 = \mathbf{C}^2 + \mathbf{C}^2 + \mathbf{C}^2$ into three non-degenerate, orthogonal subspaces
$G = SL_n^2/\Delta\mu_n$	$L = PGL_n$	fixing the identity matrix in the representation on $M_n(\mathbf{C})$



**Proposition 12.4.** *The finite dimensional irreducible representation  $\pi$  of  $G$  is lifted from  $H$  if and only if the space  $\text{Hom}_L(\pi, \mathbf{C})$  of  $L$ -invariant linear forms on  $\pi$  is non-zero. In this case, the dimension of the space of  $L$ -invariant linear forms is given by the following table:*

TABLE 12.5.

$G$	$\omega$ lifted from $H$	$\dim \text{Hom}_L(\pi, \mathbf{C})$
$SL_{2n}/\mu_n$	$b_1(\omega_1 + \omega_{2n-1}) + b_2(\omega_2 + \omega_{2n-2}) + \cdots$ $\cdots + b_{n-1}(\omega_{n-1} + \omega_{n+1}) + b_n\omega_n$	$b_n + 1$
$SO_{2n}$	$b_1\omega_1 + b_2\omega_2 + \cdots + b_{n-2}\omega_{n-2}$ $+ b_{n-1}(\omega_{n-1} + \omega_n)$	$\prod_{1 \leq i < j \leq n-2} \frac{b_1 + b_2 + \cdots + b_{j-1} + j - i}{j - i}$
$E_6/\mu_3$	$b_1(\omega_1 + \omega_6) + b_3(\omega_3 + \omega_5)$ $+ b_2\omega_2 + b_4\omega_4$	$\frac{(b_2+1)(b_4+1)(b_2+b_4+2)}{2}$
$Sp_6/\mu_2$	$b_1(\omega_1 + \omega_3) + b_2\omega_2$	$b_2 + 1$
$SL_n^2/\Delta\mu_n$	$V \otimes V^*$	1

13. THE PROOF OF PROPOSITION 12.4

The only easy case is when  $G = SL_n^2/\Delta\mu_n$ , so an irreducible  $\pi$  has the form  $V \otimes V'$ , where  $V$  and  $V'$  are irreducible representations of  $SL_n$  with inverse central characters. We have

$$\text{Hom}_L(\pi, \mathbf{C}) = \text{Hom}_{SL_n}(V \otimes V', \mathbf{C})$$

This space is non-zero if and only if  $V' \simeq V^*$ , when it has dimension 1 by Schur's lemma. These are exactly the  $\pi$  lifted from  $H$ .

When  $G = Sp_6/\mu_2$  and  $L = SL_2^3/\mu_2$ , the space  $\text{Hom}_L(\pi, \mathbf{C})$  was considered in [G-S]. In the other cases, the subgroup  $L$  may be obtained as follows. Let  $G_{\mathbf{R}}$  be the quasi-split inner form of  $G$  with non-trivial Galois action on the Dynkin diagram, and let  $K_{\mathbf{R}}$  be a maximal compact subgroup of  $G_{\mathbf{R}}$ . We have

$G$	$G_{\mathbf{R}}$	$K_{\mathbf{R}}$
$SL_{2n}/\mu_n$	$SU_{n,n}/\mu_n$	$S(U_n \times U_n)/\mu_n$
$SO_{2n}$	$SO_{n+1,n-1}$	$S(O_{n+1} \times O_{n-1})$
$E_6/\mu_3$	${}^2E_{6,4}/\mu_3$	$(SU_2 \times SU_6/\mu_3)/\Delta\mu_2$

Note that in each case we have a homomorphism


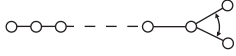
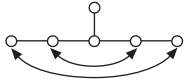
$$L \hookrightarrow K = \text{complexification of } K_{\mathbf{R}}.$$

The image is a normal subgroup, and the connected component of the quotient is isomorphic to  $SO_2$ ,  $SO_{n-1}$ , and  $SO_3$ , respectively.

There is a real parabolic  $P_{\mathbf{R}}$  in  $G_{\mathbf{R}}$  associated to the fixed vertices of the Galois action on the Dynkin diagram. The derived subgroup of a Levi factor of  $P_{\mathbf{R}}$  is given in the diagram below.

Let  $B_{\mathbf{R}}$  be the Borel subgroup of  $G_{\mathbf{R}}$  contained in  $P_{\mathbf{R}}$ , and let  $T_{\mathbf{R}}$  be a Levi factor of  $B_{\mathbf{R}}$ .

In the Cartan-Helgason theorem, one uses the Cartan decomposition  $G_{\mathbf{R}} = K_{\mathbf{R}} \cdot B_{\mathbf{R}}$  to show that  $K$  has an open orbit on the complex flag variety

$G_{\mathbf{R}}$	diagram	derived subgroup of Levi
$SU_{n,n}/\mu_n$		$SL_2$
$SO_{n+1,n-1}$		$SL_{n-1}$
$E_6/\mu_3$		$SL_3$

$G/B$ , with stabilizer the subgroup  $T^\theta$  of  $T$  fixed by the Cartan involution. The representations  $\pi$  of  $G$  with  $\text{Hom}_K(\pi, \mathbf{C}) \neq 0$  are those whose highest weight  $\chi$  is trivial on  $T^\theta$ , in which case  $\text{Hom}_K(\pi, \mathbf{C})$  has dimension 1. This is proved in [G-W, 12.3], where the subgroup  $T^\theta$  is also calculated.

Similarly, one shows that the subgroup  $L$  of  $K$  has an open orbit on the flag variety  $G/P$ , with stabilizer the connected component  $(T^\theta)^0$  of  $T^\theta$ , which is a torus. The representations  $\pi$  of  $G$  with  $\text{Hom}_L(\pi, \mathbf{C}) \neq 0$  are those whose highest weight  $\chi$  is trivial on  $(T^\theta)^0$ . We find that these, after a brief calculation, are those lifted from  $H$ . The space  $\text{Hom}_L(\pi, \mathbf{C})$  is isomorphic, as a representation of  $K/L$ , to the irreducible representation of the Levi factor of  $P$  which has highest weight  $\chi$ . This completes the proof.

14. THE REAL FORM OF  $L$

We now descend the subgroup  $L \rightarrow G$  defined before Proposition 12.4 to a subgroup  $L_0 \rightarrow G_0$  over  $\mathbf{R}$ , by using minuscule co-weights. Let  $S$  be a maximal torus in  $L$ , and let  $\lambda : \mathbf{G}_m \rightarrow T$  be a minuscule co-weight which occurs in the representation  $V$  of  $\hat{G}$ .

**Proposition 14.1.** *There is an inclusion  $\alpha : L \rightarrow G$  mapping  $S$  into  $T$ , and a minuscule co-weight  $\mu : \mathbf{G}_m \rightarrow S$  of  $L$ , such that the following diagram commutes:*

$$\begin{array}{ccccc}
 \mathbf{G}_m & \xrightarrow{\mu} & S & \longrightarrow & L \\
 \parallel & & \downarrow \alpha & & \downarrow \alpha \\
 B\mathbf{G}_m & \xrightarrow{\lambda} & T & \longrightarrow & G
 \end{array}$$

*Proof.* If  $\alpha_0 : L \rightarrow G$  is any inclusion, the image of  $S$  is contained in a maximal torus  $T_0$  of  $G$ . Since  $T$  and  $T_0$  are conjugate, we may conjugate  $\alpha_0$  to an inclusion  $\alpha : L \rightarrow G$  mapping  $S$  into  $T$ .

The co-character group  $X_\bullet(S)$  then injects into  $X_\bullet(T)$ . To finish the proof, we must identify the image, and show that it intersects the  $W$ -orbit of  $\lambda$  in a single  $W_L$ -orbit of minuscule co-weights for  $L$ . We check this case by case. For example, if  $G = E_6/\mu_3$  and  $L = SL_6/\mu_3$ , the group  $X_\bullet(T)$  is the dual  $E_6^\vee$  of the  $E_6$ -root lattice, and  $X_\bullet(S)$  is the subgroup orthogonal to a root  $\beta$ . One checks, using the tables in Bourbaki [B], that precisely 15 of the 27 elements in the orbit  $W\lambda$  are orthogonal to each  $\beta$ , and that these give a single  $W_\beta = W_{SL_6}$  orbit.

In each case, we tabulate the dimension of  $T/S$ , and the size of the  $W_L$ -orbit  $W\lambda \cap X_\bullet(S) = W_L\mu$

TABLE 14.2.

$G$	$L$	$\dim(T/S)$	$\#W\lambda$	$\#W_L\mu$	
$SL_{2n}/\mu_n$	$SL_n^2/\mu_n$	1	$2n^2 - n$	$n^2$	
$SO_{2n}$	$SO_{n+1}$	$\frac{n+1}{2}$ $n$ odd	$2n$	$n + 1$	$n$ odd
		$\frac{n}{2}$ $n$ even		$n$	$n$ even
$E_6/\mu_3$	$SL_6/\mu_3$	1	27	15	
$Sp_6/\mu_2$	$SL_2^3/\mu_2$	0	8	8	
$SL_n^2/\mu_n$	$PGL_n$	$n - 1$	$n^2$	$n$	

□

**Corollary 14.3.** *If  $L_0$  is the real form of  $L$  with Cartan involution  $\theta = \text{ad } \mu(-1)$ , then  $L_0$  embeds as a subgroup of  $G_0$  over  $\mathbf{R}$ . The symmetric space  $\mathcal{D}_L = L_0(\mathbf{R})/K_{L_0}$  has an invariant complex structure, and embeds analytically into  $\mathcal{D}$ . Moreover,*

$$\dim_{\mathbf{C}} \mathcal{D}_L = \frac{1}{2} \dim_{\mathbf{C}} \mathcal{D}.$$

The last inequality is checked, case by case. We tabulate  $G_0$ ,  $L_0$ ,  $\dim \mathcal{D}$ , and  $\dim \mathcal{D}_L$  below

TABLE 14.4.

$G_0$	$L_0$	$\dim \mathcal{D}$	$\dim \mathcal{D}_L$
$SU_{2,2n-2}/\mu_n$	$SU_{1,n-1}^2/\mu_n$	$4n - 4$	$2n - 2$
$SO_{2,2n-2}$	$SO_{2,n-1}$	$2n - 2$	$n - 1$
${}^2E_{6,2}/\mu_3$	$SU_{2,4}/\mu_3$	16	8
$Sp_6/\mu_2$	$SL_2^3/\mu_2$	6	3
$SU_{1,n-1}^2/\mu_m$	$PU_{1,n-1}$	$2n - 2$	$n - 1$

Since  $\dim \mathcal{D}_L = \frac{1}{2} \dim \mathcal{D}$ , this suggests the following problem. Let  $G_{\mathbf{Q}}$  and  $L_{\mathbf{Q}}$  be descents of  $G_0$  and  $L_0$  to  $\mathbf{Q}$ , with  $L_{\mathbf{Q}} \hookrightarrow G_{\mathbf{Q}}$ . This gives a morphism of Shimura varieties

$$S_L \rightarrow S_G$$

over  $\mathbf{C}$ , with  $\dim(S_L) = \frac{1}{2} \dim S_G$ . The algebraic cycles corresponding to  $S_L$  contribute to the middle cohomology  $H^{\dim S_G}(S_G, \mathbf{C})$ . Can these Hodge classes detect the automorphic forms lifted from  $H$ ?

### 15. THE GROUP $\hat{G}$ IN A LEVI FACTOR

Recall that the center  $\mu_\ell$  of  $\hat{G}$  is cyclic. Let

$$(15.1) \quad \hat{J} = \mathbf{G}_m \times \hat{G}/\Delta\mu_\ell,$$

which is a group with connected center. We first observe that  $\hat{J}$  is a Levi factor in a maximal parabolic subgroup  $\hat{P}$  of a simple group of adjoint type  $\hat{M}$ . The minuscule

representation  $V$  occurs as the action of  $\hat{J}$  on the abelianization of the unipotent radical  $\hat{U}$  of  $\hat{P}$ .

Recall that the maximal parabolic subgroups  $\hat{P}$  of  $\hat{M}$  are indexed, up to conjugacy, by the simple roots  $\alpha$ . We tabulate  $\hat{M}$ , the simple root  $\alpha$  corresponding to  $\hat{P}$ , and the representation  $\hat{U}^{ab} = V$  below:

TABLE 15.2.

$\hat{G}$	$\hat{M}$	$\alpha$ of $\hat{P}$	$V = U^{ab}$
$SL_{2n}/\mu_2$	$PSO_{4n}$	$\alpha_{2n}$	$\bigwedge^2 \mathbf{C}^{2n}$
$SO_{2n}$	$PSO_{2n+2}$	$\alpha_1$	$\mathbf{C}^{2n}$
$E_6$	$E_7$	$\alpha_7$	$\mathbf{C}^{27}$
$\text{Spin}_7$	$F_4$	$\alpha_4$	$\mathbf{C}^8$
$SL_n^2/\mu_n$	$PGL_{2n}$	$\alpha_n$	$\mathbf{C}^n \otimes \mathbf{C}^n$

**Proposition 15.2.** *The centralizer of  $\hat{H}$  in  $\hat{M}$  is  $SO_3$ , and  $\hat{H} \times SO_3$  is a dual reductive pair in  $\hat{M}$ .*

This is checked case by case, and we list the pairs obtained below:

$$\begin{aligned} SO_3 \times PSp_{2n} &\subset PSO_{4n}, \\ SO_3 \times SO_{2n-1} &\subset PSO_{2n+2}, \\ SO_3 \times F_4 &\subset E_7, \\ SO_3 \times G_2 &\subset F_4, \\ SO_3 \times PGL_n &\subset PGL_{2n}. \end{aligned}$$

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