

## REMARKS ON SPRINGER'S REPRESENTATIONS

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ABSTRACT. We give an explicit description of a set of irreducible representations of a Weyl group which parametrizes the nilpotent orbits in the Lie algebra of a connected reductive group in arbitrary characteristic. We also answer a question of Serre concerning the conjugacy class of a power of a unipotent element in a connected reductive group.

### INTRODUCTION

**0.1.** Let  $\mathbf{k}$  be an algebraically closed field of characteristic exponent  $p \geq 1$ . Let  $G$  be a connected reductive algebraic group over  $\mathbf{k}$  and let  $\mathfrak{g}$  be the Lie algebra of  $G$ . Let  $\mathcal{U}_G$  be the variety of unipotent elements of  $G$  and let  $\mathcal{N}_{\mathfrak{g}}$  be the variety of nilpotent elements of  $\mathfrak{g}$  (we say that  $x \in \mathfrak{g}$  is nilpotent if for some/any closed imbedding  $G \subset GL(\mathbf{k}^n)$ , the image of  $x$  under the induced map of Lie algebras  $\mathfrak{g} \rightarrow \text{End}(\mathbf{k}^n)$  is nilpotent as an endomorphism). Note that  $G$  acts on  $G$  and  $\mathfrak{g}$  by the adjoint action. Let  $\mathcal{X}_G$  (resp.  $\mathcal{X}_{\mathfrak{g}}$ ) be the set of  $G$ -orbits on  $\mathcal{U}_G$  (resp. on  $\mathcal{N}_{\mathfrak{g}}$ ). We fix a prime number  $l, l \neq p$ . Let  $\hat{\mathcal{X}}_G$  (resp.  $\hat{\mathcal{X}}_{\mathfrak{g}}$ ) be the set of pairs  $(\mathcal{O}, \mathcal{L})$  where  $\mathcal{O} \in \mathcal{X}_G$  (resp.  $\mathcal{O} \in \mathcal{X}_{\mathfrak{g}}$ ) and  $\mathcal{L}$  is an irreducible  $G$ -equivariant  $\bar{\mathbf{Q}}_l$ -local system on  $\mathcal{O}$  up to isomorphism. Let  $\mathbf{W}$  be the Weyl group of  $G$ . For any Weyl group  $W$  let  $\text{Irr}(W)$  be the set of isomorphism classes of irreducible representations of  $W$  over  $\bar{\mathbf{Q}}$ . In [Sp], Springer defined (assuming that  $p = 1$  or  $p \gg 0$ ) natural injective maps  $S_G : \text{Irr}(\mathbf{W}) \rightarrow \hat{\mathcal{X}}_G, S_{\mathfrak{g}} : \text{Irr}(\mathbf{W}) \rightarrow \hat{\mathcal{X}}_{\mathfrak{g}}$  (each of these two maps determines the other since in this case we have canonically  $\hat{\mathcal{X}}_G = \hat{\mathcal{X}}_{\mathfrak{g}}$ ). In [L2] a new definition of the map  $S_G$  (based on intersection homology) was given which applies without restriction on  $p$ . A similar method can be used to define  $S_{\mathfrak{g}}$  without restriction on  $p$  (see [X1], [X2] and 2.2 below); note that in general  $\hat{\mathcal{X}}_G, \hat{\mathcal{X}}_{\mathfrak{g}}$  cannot be identified. Now for any  $\mathcal{O} \in \mathcal{X}_G$  (resp.  $\mathcal{O} \in \mathcal{X}_{\mathfrak{g}}$ ),  $(\mathcal{O}, \bar{\mathbf{Q}}_l)$  is in the image of  $S_G$  (resp.  $S_{\mathfrak{g}}$ ); hence there is a well-defined injective map  $S'_G : \mathcal{X}_G \rightarrow \text{Irr}(\mathbf{W})$  (resp.  $S'_{\mathfrak{g}} : \mathcal{X}_{\mathfrak{g}} \rightarrow \text{Irr}(\mathbf{W})$ ) such that for any  $\mathcal{O} \in \mathcal{X}_G$  (resp.  $\mathcal{O} \in \mathcal{X}_{\mathfrak{g}}$ ) we have  $S'_G(\mathcal{O}) = E$  (resp.  $S'_{\mathfrak{g}}(\mathcal{O}) = E$ ) where  $E \in \text{Irr}(\mathbf{W})$  is given by  $S_G(E) = (\mathcal{O}, \bar{\mathbf{Q}}_l)$  (resp.  $S_{\mathfrak{g}}(E) = (\mathcal{O}, \bar{\mathbf{Q}}_l)$ ). Let  $\mathfrak{S}_G$  be the image of  $S'_G : \mathcal{X}_G \rightarrow \text{Irr}(\mathbf{W})$ . Let  $\mathfrak{S}_{\mathfrak{g}}$  be the image of  $S'_{\mathfrak{g}} : \mathcal{X}_{\mathfrak{g}} \rightarrow \text{Irr}(\mathbf{W})$ .

In [L5], we gave an a priori definition (in the framework of Weyl groups) of the subset  $\mathfrak{S}_G$  of  $\text{Irr}(\mathbf{W})$  which parametrizes the unipotent  $G$ -orbits in  $G$ . In this paper we give an a priori definition (in a similar spirit) of the subset  $\mathfrak{S}_{\mathfrak{g}}$  of  $\text{Irr}(\mathbf{W})$  which parametrizes the nilpotent  $G$ -orbits in  $\mathfrak{g}$ . (See Proposition 3.2.) This relies heavily on work of Spaltenstein [S2], [S3] and on [HS]. As an application we define a

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natural injective map from the set of unipotent  $G$ -orbits in  $G$  to the set of nilpotent  $G$ -orbits in  $\mathfrak{g}$  (see 3.3); this map preserves the dimension of an orbit.

In [Se], Serre asked whether a power  $u^n$  (where  $n$  is an integer not divisible by  $p$ ,  $p \geq 2$ ) of a unipotent element  $u \in G$  is conjugate to  $u$  under  $G$ . This is well known to be true when  $p \gg 0$ . In §2 we answer positively this question in general using the theory of Springer's representations; we also discuss an analogous property of nilpotent elements.

## 1. COMBINATORICS

**1.1.** For  $k \in \mathbf{N}$  let  $\mathcal{E}_k = \{a_* = (a_0, a_1, \dots, a_k) \in \mathbf{N}^{k+1}; a_0 \leq a_1 \leq \dots \leq a_k\}$ . For  $a_* \in \mathcal{E}_k$  let  $|a_*| = \sum_i a_i$ . For  $a_*, a'_* \in \mathcal{E}_k$  we set  $a_* + a'_* = (a_0 + a'_0, a_1 + a'_1, \dots, a_k + a'_k)$ . For any  $n \in \mathbf{N}$  let  $\mathcal{E}_k^n = \{a_* \in \mathcal{E}_k; |a_*| = n\}$ . We have an imbedding  $\mathcal{E}_k^n \rightarrow \mathcal{E}_{k+1}^n$ ,  $(a_0, a_1, \dots, a_k) \mapsto (0, a_0, a_1, \dots, a_k)$ . This is a bijection if  $k$  is sufficiently large with respect to  $n$ . For  $n \in \mathbf{N}$  let

$$\begin{aligned} \mathcal{C}_k^n &= \{(a_*, a'_*) \in \mathcal{E}_k \times \mathcal{E}_k; |a_*| + |a'_*| = n\}, \\ \mathcal{D}_k^n &= \{(a_*, a'_*) \in \mathcal{C}_k^n; \text{either } |a_*| > |a'_*| \text{ or } a_* = a'_*\}. \end{aligned}$$

Here  $k$  is large (relative to  $n$ ), fixed. Let

$$\begin{aligned} {}^b\mathcal{C}_k^n &= \{(a_*, a'_*) \in \mathcal{C}_k^n; a'_i \leq a_i + 2 \quad \forall i \in [0, k]\}, \\ {}^{b1}\mathcal{C}_k^n &= \{(a_*, a'_*) \in \mathcal{C}_k^n; a'_i \leq a_i + 2 \quad \forall i \in [0, k], a_i \leq a'_{i+1} \quad \forall i \in [0, k-1]\}, \\ {}^{b2}\mathcal{C}_k^n &= \{(a_*, a'_*) \in \mathcal{C}_k^n; a'_i \leq a_i + 2 \quad \forall i \in [0, k], a_i \leq a'_{i+1} + 2 \quad \forall i \in [0, k-1]\}, \\ {}^{c1}\mathcal{C}_k^n &= \{(a_*, a'_*) \in \mathcal{C}_k^n; a_i \leq a'_{i+1} + 1 \quad \forall i \in [0, k-1], a'_i \leq a_i + 1 \quad \forall i \in [0, k]\}, \\ {}^d\mathcal{D}_k^n &= \{(a_*, a'_*) \in \mathcal{D}_k^n; a'_i \leq a_i \quad \forall i \in [0, k]\}, \\ {}^{d1}\mathcal{D}_k^n &= \{(a_*, a'_*) \in \mathcal{D}_k^n; a'_i \leq a_i \quad \forall i \in [0, k], a_i \leq a'_{i+1} + 2 \quad \forall i \in [0, k-1]\}, \\ {}^{d2}\mathcal{D}_k^n &= \{(a_*, a'_*) \in \mathcal{D}_k^n; a'_i \leq a_i \quad \forall i \in [0, k], a_i \leq a'_{i+1} + 4 \quad \forall i \in [0, k-1]\}. \end{aligned}$$

Note that

$$\begin{aligned} {}^{b1}\mathcal{C}_k^n &\subset {}^{b2}\mathcal{C}_k^n \subset {}^b\mathcal{C}_k^n, \\ {}^{c1}\mathcal{C}_k^n &\subset {}^{b2}\mathcal{C}_k^n \subset \mathcal{C}_k^n, \\ {}^{d1}\mathcal{D}_k^n &\subset {}^{d2}\mathcal{D}_k^n \subset {}^d\mathcal{D}_k^n. \end{aligned}$$

The following statements are obvious. If  $(a_*, a'_*) \in \mathcal{C}_k^m$ ,  $(b_*, b'_*) \in \mathcal{C}_k^{m'}$ , then  $(a_* + b_*, a'_* + b'_*) \in \mathcal{C}_k^{m+m'}$ . If  $(a_*, a'_*) \in {}^b\mathcal{C}_k^m$ ,  $(b_*, b'_*) \in {}^d\mathcal{D}_k^{m'}$ , then  $(a_* + b_*, a'_* + b'_*) \in {}^b\mathcal{C}_k^{m+m'}$ . If  $(a_*, a'_*) \in {}^d\mathcal{D}_k^m$ ,  $(b_*, b'_*) \in {}^d\mathcal{D}_k^{m'}$ , then  $(a_* + b_*, a'_* + b'_*) \in {}^d\mathcal{D}_k^{m+m'}$ .

In the following result we assume that  $k$  is large relative to  $n$ .

**Proposition 1.2.** (a) Let  $(c_*, c'_*) \in \mathcal{C}_k^n$ . Then either  $(c_*, c'_*) \in {}^{c1}\mathcal{C}_k^n$  or there exist  $m \geq 1, m' \geq 1$  such that  $m + m' = n$  and  $(a_*, a'_*) \in \mathcal{C}_k^m$ ,  $(b_*, b'_*) \in \mathcal{C}_k^{m'}$  such that  $(c_*, c'_*) = (a_* + b_*, a'_* + b'_*)$ .

(b) Let  $(c_*, c'_*) \in {}^b\mathcal{C}_k^n$ . Then either  $(c_*, c'_*) \in {}^{b1}\mathcal{C}_k^n$  or there exist  $m \geq 0, m' \geq 2$  such that  $m + m' = n$  and  $(a_*, a'_*) \in {}^b\mathcal{C}_k^m$ ,  $(b_*, b'_*) \in {}^d\mathcal{D}_k^{m'}$ , such that  $(c_*, c'_*) = (a_* + b_*, a'_* + b'_*)$ .

(c) Let  $(c_*, c'_*) \in {}^d\mathcal{D}_k^n$ . Then either  $(c_*, c'_*) \in {}^{d1}\mathcal{D}_k^n$  or there exist  $m \geq 2, m' \geq 2$  such that  $m + m' = n$  and  $(a_*, a'_*) \in {}^d\mathcal{D}_k^m$ ,  $(b_*, b'_*) \in {}^d\mathcal{D}_k^{m'}$  such that  $(c_*, c'_*) = (a_* + b_*, a'_* + b'_*)$ .

We prove (a). Assume first that  $c_s < c_{s+1}$  for some  $s \in [0, k - 1]$ . Define  $(b_*, b'_*) \in \mathcal{C}_r^k$ ,  $r = k - s > 0$ , by  $b_i = 1$  for  $i \in [s + 1, k]$ ,  $b_i = 0$  for  $i \in [0, s]$ ,  $b'_i = 0$  for  $i \in [0, k]$ . Define  $(a_*, a'_*) \in \mathcal{C}_{n-r}^k$  by  $a_i = c_i - 1$  for  $i \in [s + 1, k]$ ,  $a_i = c_i$  in  $[0, s]$ ,  $a'_i = c'_i$ . We have  $a_* + b_* = c_*$ ,  $a'_* + b'_* = c'_*$ . If  $r < n$  we see that (a) holds. If  $r = n$ , then  $(c_*, c'_*) = (b_*, b'_*) \in {}^{c_1}\mathcal{C}_k^n$  and (a) holds again.

Next we assume that  $c'_s < c'_{s+1}$  for some  $s \in [0, k - 1]$ . Define  $(b_*, b'_*) \in \mathcal{C}_r^k$ ,  $r = k - s > 0$ , by  $b_i = 0$  for  $i \in [0, k]$ ,  $b'_i = 1$  for  $i \in [s + 1, k]$ ,  $b'_i = 0$  for  $i \in [0, s]$ . Define  $(a_*, a'_*) \in \mathcal{C}_{n-r}^k$  by  $a_* = c_*$ ,  $a'_i = c'_i - 1$  for  $i \in [s + 1, k]$ ,  $a'_i = c'_i$  for  $i \in [0, s]$ . We have  $a_* + b_* = c_*$ ,  $a'_* + b'_* = c'_*$ . If  $r < n$  we see that (a) holds. If  $r = n$ , then  $(c_*, c'_*) = (b_*, b'_*) \in {}^{c_1}\mathcal{C}_k^n$  and (a) holds again.

Finally, we assume that  $c_0 = c_1 = \dots = c_r$ ,  $c'_0 = c'_1 = \dots = c'_r$ . Since  $k$  is large we can assume that  $c_0 = 0$ ,  $c'_0 = 0$ . Then  $n = 0$  and  $(c_*, c'_*) \in {}^{c_1}\mathcal{C}_k^n$ .

We prove (b). If  $n = 0$  we have clearly  $(c_*, c'_*) \in {}^{b_1}\mathcal{C}_k^n$ . Hence we can assume that  $n > 0$  and that the result is true when  $n$  is replaced by  $n' \in [0, n - 1]$ .

Assume first that we can find  $0 < t \leq s \leq k$  such that  $c'_j = c_j + 2$  for  $j \in [s + 1, k]$ ,  $c'_j < c_j + 2$  for  $j \in [t, s]$ ,  $c_{t-1} < c_t$ . Note that if  $s < k$ , then  $c'_s < c'_{s+1}$ ; indeed,  $c'_s < c_s - 2 \leq c_{s+1} - 2 = c'_{s+1}$ . Define  $(b_*, b'_*) \in {}^d\mathcal{D}_r^k$ ,  $r = 2k - t - s + 1 > 0$  by  $b_i = 1$  for  $i \in [t, k]$ ,  $b_i = 0$  for  $i \in [0, t - 1]$ ,  $b'_i = 1$  for  $i \in [s + 1, k]$ ,  $b'_i = 0$  for  $i \in [0, s]$ . Define  $(a_*, a'_*) \in {}^b\mathcal{C}_{n-r}^k$  by  $a_i = c_i - 1$  for  $i \in [t, k]$ ,  $a_i = c_i$  for  $i \in [0, t - 1]$ ,  $a'_i = c'_i - 1$  for  $i \in [s + 1, k]$ ,  $a'_i = c'_i$  for  $i \in [0, s]$ . We have  $a_* + b_* = c_*$ ,  $a'_* + b'_* = c'_*$ . If  $r \geq 2$ , we see that (b) holds. If  $r = 1$ , then  $t = s = k$  and  $a_k = c_k - 1$ ,  $a_i = c_i$  for  $i \in [0, k - 1]$ ,  $a'_i = c'_i$  for  $i \in [0, k]$ . The induction hypothesis is applicable to  $(a_*, a'_*) \in {}^b\mathcal{C}_{n-1}^k$ . If  $(a_*, a'_*) \in {}^{b_1}\mathcal{C}_{n-1}^k$ , then clearly  $(c_*, c'_*) \in {}^{b_1}\mathcal{C}_{n-1}^k$  and (b) holds. If  $(a_*, a'_*) \notin {}^{b_1}\mathcal{C}_{n-1}^k$ , then we can find  $m \geq 0, m' \geq 2$  such that  $m + m' = n - 1$  and  $(\tilde{a}_*, \tilde{a}'_*) \in {}^b\mathcal{C}_k^m$ ,  $(\tilde{b}_*, \tilde{b}'_*) \in {}^d\mathcal{D}_k^{m'}$  such that  $(a_*, a'_*) = (\tilde{a}_* + \tilde{b}_*, \tilde{a}'_* + \tilde{b}'_*)$ . Then  $(c_*, c'_*) = (\tilde{a}_* + \tilde{b}_* + b_*, \tilde{a}'_* + \tilde{b}'_* + b'_*)$  where  $(\tilde{a}_*, \tilde{a}'_*) \in {}^b\mathcal{C}_k^m$ ,  $(\tilde{b}_* + b_*, \tilde{b}'_* + b'_*) \in {}^d\mathcal{D}_k^{m'+1}$  so that (b) holds.

Next we assume that  $c_i > 0$  for some  $i$ . Then we have  $0 = c_0 = c_1 = \dots = c_{l-1} < c_l$  for some  $l \in [0, k]$ . If  $c'_s < c_s + 2$  for some  $s \in [l, k]$ , then we can assume that  $s$  is maximum possible with this property and there are two possibilities. Either  $c'_i < c_i + 2$  for all  $i \in [l, s]$  and then by the previous paragraph (with  $t = l$ ) we see that (b) holds; or  $c'_i = c_i + 2$  for some  $i \in [l, s]$  and letting  $t - 1$  be the largest such  $i$  we have  $0 < t \leq s$ ,  $c'_j < c_j + 2$  for  $j \in [t, s]$ ,  $c'_j = c_j + 2$  for  $j = t - 1$  and  $c_{t-1} = c'_{t-1} - 2 \leq c'_t - 2 < c_t$ ; using again the previous paragraph we see that (b) holds. Thus we may assume that  $c'_i = c_i + 2$  for all  $i \in [l, k]$ . Assume, in addition, that  $c'_s < c'_{s+1}$  for some  $s \in [l, k - 1]$ . We can assume that  $s$  is maximum possible so that  $c'_s < c'_{s+1} = \dots = c'_k$ . We have  $c_{s+1} = c'_{s+1} - 2 > c'_s - 2 = c_s$ ; hence  $c_s < c_{s+1}$ . Define  $(b_*, b'_*) \in {}^d\mathcal{D}_r^k$ ,  $r = 2k - 2s \geq 2$ , by  $b_i = 1$  for  $i \in [s + 1, k]$ ,  $b_i = 0$  for  $i \in [0, s]$ ,  $b'_i = 1$  for  $i \in [s + 1, k]$ ,  $b'_i = 0$  for  $i \in [0, s]$ . Define  $(a_*, a'_*) \in {}^b\mathcal{C}_{n-r}^k$  by  $a_i = c_i - 1$  for  $i \in [s + 1, k]$ ,  $a_i = c_i$  for  $i \in [0, s]$ ,  $a'_i = c'_i - 1$  for  $i \in [s + 1, k]$ ,  $a'_i = c'_i$  for  $i \in [0, s]$ . We have  $a_* + b_* = c_*$ ,  $a'_* + b'_* = c'_*$ . We see that (b) holds. Thus we can assume that  $c'_i = c'_{i+1} = \dots = c'_k = N + 2$  so that  $c_l = c_{l+1} = \dots = c_k = N$ . Note that  $c'_i \leq 2$  for  $i \in [0, l - 1]$ . We have  $(c_*, c'_*) \in {}^{b_1}\mathcal{C}_k^n$  so that (b) holds.

Finally, we assume that  $c_0 = c_1 = \dots = c_k = 0$ . Then  $c'_i \leq 2$  for  $i \in [0, k]$  and  $(c_*, c'_*) \in {}^{b_1}\mathcal{C}_k^n$  so that (b) holds. This completes the proof of (b).

We prove (c). If  $n = 0$  we have clearly  $(c_*, c'_*) \in {}^{d_1}\mathcal{D}_k^n$ . Hence we can assume that  $n > 0$  and that the result is true when  $n$  is replaced by  $n' \in [0, n - 1]$ .

Assume first that we can find  $0 < t \leq s \leq k$  such that  $c'_j = c_j$  for  $j \in [s + 1, k]$ ,  $c'_j < c_j$  for  $j \in [t, s]$ ,  $c_{t-1} < c_t$ . Note that if  $s < k$ , then  $c'_s < c'_{s+1}$ ; indeed,  $c'_s < c_s \leq c_{s+1} = c'_{s+1}$ . Define  $(b_*, b'_*) \in {}^d\mathcal{D}_r^k$ ,  $r = 2k - t - s + 1 > 0$  by  $b_i = 1$  for  $i \in [t, k]$ ,  $b_i = 0$  for  $i \in [0, t - 1]$ ,  $b'_i = 1$  for  $i \in [s + 1, k]$ ,  $b'_i = 0$  for  $i \in [0, s]$ . Define  $(a_*, a'_*) \in {}^d\mathcal{D}_{n-r}^k$  by  $a_i = c_i - 1$  for  $i \in [t, k]$ ,  $a_i = c_i$  for  $i \in [0, t - 1]$ ,  $a'_i = c'_i - 1$  for  $i \in [s + 1, k]$ ,  $a'_i = c'_i$  for  $i \in [0, s]$ . We have  $a_* + b_* = c_*$ ,  $a'_* + b'_* = c'_*$ . If  $n - 2 \geq r \geq 2$  we see that (c) holds. If  $r = 1$ , then  $t = s = k$  and  $a_k = c_k - 1$ ,  $a_i = c_i$  for  $i \in [0, k - 1]$ ,  $a'_i = c'_i$  for  $i \in [0, k]$ . The induction hypothesis is applicable to  $(a_*, a'_*) \in {}^d\mathcal{D}_{n-1}^k$ . If  $(a_*, a'_*) \in {}^{d1}\mathcal{D}_{n-1}^k$ , then clearly  $(c_*, c'_*) \in {}^{d1}\mathcal{D}_{n-1}^k$  and (c) holds. If  $(a_*, a'_*) \notin {}^{d1}\mathcal{D}_{n-1}^k$ , then we can find  $m \geq 2, m' \geq 2$  such that  $m + m' = n - 1$  and  $(\tilde{a}_*, \tilde{a}'_*) \in {}^d\mathcal{D}_k^m$ ,  $(\tilde{b}_*, \tilde{b}'_*) \in {}^d\mathcal{D}_k^{m'}$  such that  $(a_*, a'_*) = (\tilde{a}_* + \tilde{b}_*, \tilde{a}'_* + \tilde{b}'_*)$ . Then  $(c_*, c'_*) = (\tilde{a}_* + \tilde{b}_* + b_*, \tilde{a}'_* + \tilde{b}'_* + b'_*)$  where  $(\tilde{a}_*, \tilde{a}'_*) \in {}^d\mathcal{D}_k^m$ ,  $(\tilde{b}_* + b_*, \tilde{b}'_* + b'_*) \in {}^d\mathcal{D}_k^{m'+1}$  so that (c) holds. If  $r = n - 1$ , then  $a_i = 0$  for  $i \in [0, k - 1]$ ,  $a_k = 0$ ,  $a'_i = 0$  for  $i \in [0, k]$ ; hence  $c_i = 1$  for  $i \in [t, k - 1]$ ,  $c_k = 2$ ,  $c_i = 0$  for  $i \in [0, t - 1]$ ,  $c'_i = 1$  for  $i \in [s + 1, k]$ ,  $c'_i = 0$  for  $i \in [0, s]$ . Hence  $(c_*, c'_*) \in {}^d\mathcal{D}_k^n$  so that (c) holds. If  $r = n$ , then  $(c_*, c'_*) = (b_*, b'_*) \in {}^d\mathcal{D}_k^n$  so that (c) holds.

Next we assume that  $c_i > 0$  for some  $i$ . Then we have  $0 = c_0 = c_1 = \dots = c_{l-1} < c_l$  for some  $l \in [0, k]$ . If  $c'_s < c_s$  for some  $s \in [l, k]$ , then we can assume that  $s$  is maximum possible with this property and there are two possibilities. Either  $c'_i < c_i$  for all  $i \in [l, s]$  and then by the previous paragraph (with  $t = l$ ) we see that (c) holds; or  $c'_i = c_i$  for some  $i \in [l, s]$  and letting  $t - 1$  be the largest such  $i$  we have  $0 < t \leq s$ ,  $c'_j < c_j$  for  $j \in [t, s]$ ,  $c'_j = c_j$  for  $j = t - 1$  and  $c_{t-1} = c'_{t-1} \leq c'_t < c_t$ ; using again the previous paragraph we see that (c) holds. Thus we may assume that  $c'_i = c_i$  for all  $i \in [l, k]$ . Assume, in addition, that  $c'_s < c'_{s+1}$  for some  $s \in [l, k - 1]$ . We can assume that  $s$  is maximum possible so that  $c'_s < c'_{s+1} = \dots = c'_k$ . We have  $c_{s+1} = c'_{s+1} > c'_s = c_s$  hence  $c_s < c_{s+1}$ . Define  $(b_*, b'_*) \in {}^d\mathcal{D}_r^k$ ,  $r = 2k - 2s \geq 2$ , by  $b_i = 1$  for  $i \in [s + 1, k]$ ,  $b_i = 0$  for  $i \in [0, s]$ ,  $b'_i = 1$  for  $i \in [s + 1, k]$ ,  $b'_i = 0$  for  $i \in [0, s]$ . Define  $(a_*, a'_*) \in {}^d\mathcal{D}_{n-r}^k$  by  $a_i = c_i - 1$  for  $i \in [s + 1, k]$ ,  $a_i = c_i$  for  $i \in [0, s]$ ,  $a'_i = c'_i - 1$  for  $i \in [s + 1, k]$ ,  $a'_i = c'_i$  for  $i \in [0, s]$ . We have  $a_* + b_* = c_*$ ,  $a'_* + b'_* = c'_*$ . If  $r \leq n - 2$ , we see that (c) holds. If  $r = n - 1$ , then  $a_i = 0$  for  $i \in [0, k - 1]$ ,  $a_k = 0$ ,  $a'_i = 0$  for  $i \in [0, k]$ ; hence  $c_i = 1$  for  $i \in [s + 1, k - 1]$ ,  $c_k = 2$ ,  $c_i = 0$  for  $i \in [0, s]$ ,  $c'_i = 1$  for  $i \in [s + 1, k]$ ,  $c'_i = 0$  for  $i \in [0, s]$ . Hence  $(c_*, c'_*) \in {}^d\mathcal{D}_k^n$  so that (c) holds. If  $r = n$ , then  $(c_*, c'_*) = (b_*, b'_*) \in {}^d\mathcal{D}_k^n$  so that (c) holds. Thus we can assume that  $c'_l = c'_{l+1} = \dots = c'_k = N$  so that  $c_l = c_{l+1} = \dots = c_k = N$ . Note that  $c'_i = 0$  for  $i \in [0, l - 1]$ . We have  $(c_*, c'_*) \in {}^{d1}\mathcal{D}_k^n$  so that (c) holds.

Finally, we assume that  $c_0 = c_1 = \dots = c_k = 0$ . Then  $c'_i = 0$  for  $i \in [0, k]$ . In this case we have  $n = 0$  and  $(c_*, c'_*) \in {}^{d1}\mathcal{D}_k^n$  so that (c) holds. This completes the proof of (c).

## 2. ON SERRE'S QUESTIONS

**2.1.** For any affine algebraic group  $H$  over  $\mathbf{k}$  we denote by  $\text{Lie}H$  the Lie algebra of  $H$ . For any  $\mathcal{O} \in \mathcal{X}_G$  (or  $\mathcal{O} \in \mathcal{X}_{\mathfrak{g}}$ ) we set  $d_{\mathcal{O}} = 2 \dim \mathcal{B} - \dim \mathcal{O}$ .

**2.2.** We recall the definition of Springer's representations following [L2]. Let  $\mathcal{B}$  be the variety of Borel subgroups of  $G$ . Let  $\tilde{\mathcal{B}} = \{(g, B) \in G \times \mathcal{B}; g \in B\}$  and let  $f : \tilde{\mathcal{B}} \rightarrow G$  be the first projection. Let  $K = f_! \mathbf{Q}_l$ . In [L2] it was observed that  $K$  is an intersection cohomology complex on  $G$  coming from a local system on the open dense subset of  $G$  consisting on regular semisimple elements. Moreover,  $\mathbf{W}$

acts naturally on this local system and hence, by “analytic continuation”, on  $K$ . In particular, if  $\mathcal{O} \in \mathcal{X}_G$  and  $i \in \mathbf{Z}$ , then  $\mathbf{W}$  acts naturally on the  $i$ -th cohomology sheaf  $\mathcal{H}^i K|_{\mathcal{O}}$  of  $K|_{\mathcal{O}}$ , an irreducible  $G$ -equivariant local system on  $\mathcal{O}$ ; hence if  $\mathcal{L}$  is an irreducible  $G$ -equivariant local system on  $\mathcal{O}$ , then  $\mathbf{W}$  acts naturally on the  $\bar{\mathbf{Q}}_l$ -vector space  $\text{Hom}(\mathcal{L}, \mathcal{H}^i K|_{\mathcal{O}})$ . We denote this  $\mathbf{W}$ -module (with  $i = d_{\mathcal{O}}$ ) by  $V_{\mathcal{O}, \mathcal{L}}$ . As shown in [L4],  $V_{\mathcal{O}, \mathcal{L}}$  is either 0 or of the form  $\bar{\mathbf{Q}}_l \otimes E$  where  $E \in \text{Irr}(\mathbf{W})$ ; moreover, any  $E \in \text{Irr}(\mathbf{W})$  arises in this way from a unique  $(\mathcal{O}, \mathcal{L})$  and  $E \mapsto (\mathcal{O}, \mathcal{L})$  is an injective map

$$S_G : \text{Irr}(\mathbf{W}) \rightarrow \hat{\mathcal{X}}_G.$$

We would like to define a similar map from  $\text{Irr}(\mathbf{W})$  to  $\hat{\mathcal{X}}_{\mathfrak{g}}$ . Let  $\tilde{\mathcal{B}}' = \{(x, B) \in \mathfrak{g} \times \mathcal{B}; x \in \text{Lie}B\}$  and let  $f' : \tilde{\mathcal{B}}' \rightarrow \mathfrak{g}$  be the first projection. Let  $K' = f'_! \bar{\mathbf{Q}}_l$ . Now if  $p$  is small the set of regular semisimple elements in  $\mathfrak{g}$  may be empty (this is the case for example if  $G = SL_2(\mathbf{k})$ ,  $p = 2$ ) so the method of [L4] cannot be used directly. However, T. Xue [X1], [X2] has observed that the method of [L4], [L2] can be applied if  $G$  is a classical group of adjoint type and  $p = 2$  (in that case the set of regular semisimple elements in  $\mathfrak{g}$  is open dense in  $\mathfrak{g}$ ). More generally, for any  $G$  which is adjoint, the set of regular semisimple elements in  $\mathfrak{g}$  is open dense in  $\mathfrak{g}$ . (Here is a proof. We must only check that if  $T$  is a maximal torus of  $G$  and  $\mathfrak{t} = \text{Lie}T$ , then the set  $\mathfrak{t}_{reg}$  of regular semisimple elements in  $\mathfrak{t}$  is open dense in  $\mathfrak{t}$ . Let  $Y = \text{Hom}(\mathbf{k}^*, T)$ . We have  $\mathfrak{t} = \mathbf{k} \otimes Y$ . Now  $\mathfrak{t}_{reg}$  is the set of all  $x \in \mathfrak{t}$  such that for any root  $\alpha : \mathfrak{t} \rightarrow \mathbf{k}$  we have  $\alpha(x) \neq 0$ . It is enough to show that any root  $\alpha : \mathfrak{t} \rightarrow \mathbf{k}$  is  $\neq 0$ . We have  $\alpha = 1 \otimes \alpha_0$  where  $\alpha_0 : Y \rightarrow \mathbf{Z}$  is a well-defined homomorphism. It is enough to show that  $\alpha_0$  is surjective. This follows from the adjointness of  $G$ .) As in the group case it now follows that  $K'$  is an intersection cohomology complex on  $\mathfrak{g}$  coming from a local system on  $\mathfrak{g}_{reg}$ . Moreover,  $\mathbf{W}$  acts naturally on this local system and hence, by “analytic continuation”, on  $K'$ . In particular, if  $\mathcal{O} \in \mathcal{X}_{\mathfrak{g}}$  and  $i \in \mathbf{Z}$ , then  $\mathbf{W}$  acts naturally on the  $i$ -th cohomology sheaf  $\mathcal{H}^i K'|_{\mathcal{O}}$  of  $K'|_{\mathcal{O}}$ , an irreducible  $G$ -equivariant local system on  $\mathcal{O}$ ; hence if  $\mathcal{L}$  is an irreducible  $G$ -equivariant local system on  $\mathcal{O}$ , then  $\mathbf{W}$  acts naturally on the  $\bar{\mathbf{Q}}_l$ -vector space  $\text{Hom}(\mathcal{L}, \mathcal{H}^i K'|_{\mathcal{O}})$ . We denote this  $\mathbf{W}$ -module (with  $i = d_{\mathcal{O}}$ ) by  $V_{\mathcal{O}, \mathcal{L}}$ . As in [L4], [X1],  $V_{\mathcal{O}, \mathcal{L}}$  is either 0 or of the form  $\bar{\mathbf{Q}}_l \otimes E$  where  $E \in \text{Irr}(\mathbf{W})$ ; moreover, any  $E \in \text{Irr}(\mathbf{W})$  arises in this way from a unique  $(\mathcal{O}, \mathcal{L})$  and  $E \mapsto (\mathcal{O}, \mathcal{L})$  is an injective map

$$S_{\mathfrak{g}} : \text{Irr}(\mathbf{W}) \rightarrow \hat{\mathcal{X}}_{\mathfrak{g}}.$$

If  $G$  is not assumed to be adjoint, let  $G_{ad}$  be the adjoint group of  $G$  and let  $\mathfrak{g}_{ad} = \text{Lie}G_{ad}$ . The obvious map  $\pi : \mathfrak{g} \rightarrow \mathfrak{g}_{ad}$  induces a bijective morphism  $\mathcal{N}_{\mathfrak{g}} \rightarrow \mathcal{N}_{\mathfrak{g}_{ad}}$  and a bijection  $\mathcal{X}_{\mathfrak{g}} \rightarrow \mathcal{X}_{\mathfrak{g}_{ad}}$ . Now any  $G_{ad}$ -equivariant irreducible  $\bar{\mathbf{Q}}_l$ -local system on a  $G_{ad}$ -orbit in  $\mathcal{N}_{\mathfrak{g}_{ad}}$  can be viewed as an irreducible  $G$ -equivariant  $\bar{\mathbf{Q}}_l$ -local system on the corresponding  $G$ -orbit in  $\mathcal{N}_{\mathfrak{g}}$ . This yields an injective map  $\hat{\mathcal{X}}_{\mathfrak{g}_{ad}} \rightarrow \hat{\mathcal{X}}_{\mathfrak{g}}$ . We define an injective map  $S_{\mathfrak{g}} : \text{Irr}(\mathbf{W}) \rightarrow \hat{\mathcal{X}}_{\mathfrak{g}}$  as the composition of the last map with  $S_{\mathfrak{g}_{ad}}$ .

**2.3.** For any  $u \in \mathcal{U}_G$ , let  $\mathcal{B}_u = \{B \in \mathcal{B}; u \in B\}$  and let  $\mathcal{O}$  be the  $G$ -orbit of  $u$  in  $\mathcal{U}_G$ . Note that  $\mathcal{B}_u$  is a non-empty subvariety of  $\mathcal{B}$  of dimension  $d_{\mathcal{O}}/2$ ; see [S1]. Using this and the definition of  $S_G$  we see that  $(\mathcal{O}, \bar{\mathbf{Q}}_l)$  is in the image of  $S_G$ . Hence there is a well-defined injective map  $S'_G : \mathcal{X}_G \rightarrow \text{Irr}(\mathbf{W})$  such that for any  $\mathcal{O} \in \mathcal{X}_G$  we have  $S'_G(\mathcal{O}) = E$  where  $E \in \text{Irr}(\mathbf{W})$  is given by  $S_G(E) = (\mathcal{O}, \bar{\mathbf{Q}}_l)$ .

Similarly, for any  $x \in \mathcal{N}_{\mathfrak{g}}$  let  $\mathcal{B}_x = \{B \in \mathcal{B}; x \in \text{Lie}B\}$  and let  $\mathcal{O}$  be the  $G$ -orbit of  $x$  in  $\mathcal{N}_{\mathfrak{g}}$ . Note that  $\mathcal{B}_x$  is a non-empty subvariety of  $\mathcal{B}$  of dimension  $d_{\mathcal{O}}/2$ ; see [HS]. Using this and the definition of  $S_{\mathfrak{g}}$  we see that  $(\mathcal{O}, \bar{\mathbf{Q}}_l)$  is in the image of  $S_{\mathfrak{g}}$ . Hence there is a well-defined injective map  $S'_{\mathfrak{g}} : \mathcal{X}_{\mathfrak{g}} \rightarrow \text{Irr}(\mathbf{W})$  such that for any  $\mathcal{O} \in \mathcal{X}_{\mathfrak{g}}$  we have  $S'_{\mathfrak{g}}(\mathcal{O}) = E$  where  $E \in \text{Irr}(\mathbf{W})$  is given by  $S_{\mathfrak{g}}(E) = (\mathcal{O}, \bar{\mathbf{Q}}_l)$ .

The maps  $S'_G, S'_{\mathfrak{g}}$  can be described directly as follows. For  $i \in \mathbf{Z}$ , we may identify  $H^i(\mathcal{B})$  ( $l$ -adic cohomology) with the stalk of  $\mathcal{H}^i K$  at  $1 \in G$ ; hence the  $\mathbf{W}$ -action on  $K$  induces a  $\mathbf{W}$ -action on the vector space  $H^i(\mathcal{B})$ . If  $\mathcal{O} \in \mathcal{X}_G$  and  $u \in \mathcal{O}$ , then the inclusion  $\mathcal{B}_u \rightarrow \mathcal{B}$  induces a linear map  $f_u : H^{d_{\mathcal{O}}}(\mathcal{B}) \rightarrow H^{d_{\mathcal{O}}}(\mathcal{B}_u)$  whose kernel is  $\mathbf{W}$ -stable; hence there is an induced action of  $\mathbf{W}$  on the image  $I_u$  of  $f_u$ . The  $\mathbf{W}$ -module  $I_u$  is of the form  $\mathbf{Q}_l \otimes E$  for a well-defined  $E \in \text{Irr}(\mathbf{W})$ . We have  $S'_G(\mathcal{O}) = E$ . Similarly, if  $\mathcal{O} \in \mathcal{X}_{\mathfrak{g}}$  and  $x \in \mathcal{O}$ , then the inclusion  $\mathcal{B}_x \rightarrow \mathcal{B}$  induces a linear map  $\phi_x : H^{d_{\mathcal{O}}}(\mathcal{B}) \rightarrow H^{d_{\mathcal{O}}}(\mathcal{B}_x)$  whose kernel is  $\mathbf{W}$ -stable; hence there is an induced action of  $\mathbf{W}$  on the image  $I_x$  of  $\phi_x$ . The  $\mathbf{W}$ -module  $I_x$  is of the form  $\mathbf{Q}_l \otimes E$  for a well-defined  $E \in \text{Irr}(\mathbf{W})$ . We have  $S'_{\mathfrak{g}}(\mathcal{O}) = E$ .

Let  $\mathfrak{S}_G$  be the image of  $S'_G : \mathcal{X}_G \rightarrow \text{Irr}(\mathbf{W})$ . Let  $\mathfrak{S}_{\mathfrak{g}}$  be the image of  $S'_{\mathfrak{g}} : \mathcal{X}_{\mathfrak{g}} \rightarrow \text{Irr}(\mathbf{W})$ .

**2.4.** Any automorphism  $a : G \rightarrow G$  induces a Lie algebra automorphism  $a' : \mathfrak{g} \rightarrow \mathfrak{g}$  and an automorphism  $\underline{a}$  of  $\mathbf{W}$  as a Coxeter group. Now  $a$  (resp.  $a'$ ) induces a permutation  $\mathcal{O} \mapsto a(\mathcal{O})$  (resp.  $\mathcal{O} \mapsto a'(\mathcal{O})$ ) of  $\mathcal{X}_G$  (resp.  $\mathcal{X}_{\mathfrak{g}}$ ) denoted again by  $a$  (resp.  $a'$ ). Also  $\underline{a}$  induces in an obvious way a permutation of  $\text{Irr}(W)$  denoted again by  $\underline{a}$ . From the definitions we see that  $\underline{a}S'_G = S'_G a$ ,  $\underline{a}S'_{\mathfrak{g}} = S'_{\mathfrak{g}} a'$ .

Let  $x \mapsto x^p$  be the  $p$ -th power map  $\mathfrak{g} \rightarrow \mathfrak{g}$  (if  $p > 1$ ) and the 0 map  $\mathfrak{g} \rightarrow \mathfrak{g}$  (if  $p = 1$ ). The  $r$ -th iteration of this map is denoted by  $x \mapsto x^{p^r}$ ; this restricts to a map  $\mathcal{N}_{\mathfrak{g}} \rightarrow \mathcal{N}_{\mathfrak{g}}$  which is 0 for  $r \gg 0$ . The following result answers questions of Serre [Se].

**Proposition 2.5.** (a) Let  $u \in \mathcal{U}_G$  and let  $n \in \mathbf{Z}$  be such that  $nn' = 1$  in  $\mathbf{k}$  for some  $n' \in \mathbf{Z}$ . Then  $u^n$  and  $u$  are  $G$ -conjugate.

(b) Let  $x \in \mathcal{N}_{\mathfrak{g}}$  and let  $x' = a_0x + a_1x^p + a_2x^{p^2} + \dots$  where  $a_0, a_1, a_2, \dots \in \mathbf{k}$ ,  $a_0 \neq 0$  (so that  $x' \in \mathcal{N}_{\mathfrak{g}}$ ). Then  $x', x$  are  $G$ -conjugate.

We prove (a). Let  $\mathcal{O}$  be the  $G$ -orbit of  $u$  and let  $\mathcal{O}'$  be the  $G$ -orbit of  $u' := u^n$ . Clearly,  $\mathcal{B}_u \subset \mathcal{B}_{u'}$ . Since  $u'$  is a power of  $u$  we have also  $\mathcal{B}_{u'} \subset \mathcal{U}$ ; hence  $\mathcal{B}_{u'} = \mathcal{B}_u$ . From  $\dim \mathcal{B}_u = \dim \mathcal{B}_{u'}$  we see that  $d_{\mathcal{O}} = d_{\mathcal{O}'}$ . The map  $f_u : H^{d_{\mathcal{O}}}(\mathcal{B}) \rightarrow H^{d_{\mathcal{O}}}(\mathcal{B}_u)$  in 2.3 remains the same if  $u$  is replaced by  $u'$ . From the description of  $S'_G$  given in 2.3 we deduce that  $S'_G(\mathcal{O}) = S'_G(\mathcal{O}')$ . Since  $S'_G$  is injective we deduce that  $\mathcal{O} = \mathcal{O}'$ . This proves (a).

We prove (b). Let  $\mathcal{O}$  be the  $G$ -orbit of  $x$  and let  $\mathcal{O}'$  be the  $G$ -orbit of  $x'$ . Clearly,  $\mathcal{B}_x \subset \mathcal{B}_{x'}$ . Since  $x = a'_0x' + a'_1x'^p + a'_2x'^{p^2} + \dots$  with  $a'_0, a'_1, a'_2, \dots \in \mathbf{k}$ ,  $a'_0 = a_0^{-1}$ , we have  $\mathcal{B}_{x'} \subset \mathcal{B}_x$ ; hence  $\mathcal{B}_{x'} = \mathcal{B}_x$ . From  $\dim \mathcal{B}_x = \dim \mathcal{B}_{x'}$  we see that  $d_{\mathcal{O}} = d_{\mathcal{O}'}$ . The map  $\phi_x : H^{d_{\mathcal{O}}}(\mathcal{B}) \rightarrow H^{d_{\mathcal{O}}}(\mathcal{B}_x)$  in 2.3 remains the same if  $x$  is replaced by  $x'$ . From the description of  $S'_G$  given in 2.3 we deduce that  $S'_G(\mathcal{O}) = S'_G(\mathcal{O}')$ . Since  $S'_{\mathfrak{g}}$  is injective we deduce that  $\mathcal{O} = \mathcal{O}'$ . This proves (b).

Parts (a), (b) of the following result answer questions of Serre [Se]; the proof of (b) below (assuming (a)) is due to Serre [Se].

**Proposition 2.6.** *Let  $c : G \rightarrow G$  be an automorphism such that for some maximal torus  $T$  of  $G$  we have  $c(t) = t^{-1}$  for all  $t \in T$ . Let  $\tilde{c} : \mathfrak{g} \rightarrow \mathfrak{g}$  be the automorphism of  $\mathfrak{g}$  induced by  $c$ .*

- (a) *For any  $u \in \mathcal{U}_G$ ,  $c(u), u$  are  $G$ -conjugate.*
- (b) *For any  $g \in G$ ,  $c(g), g^{-1}$  are  $G$ -conjugate.*
- (c) *For any  $x \in \mathcal{N}_{\mathfrak{g}}$ ,  $\tilde{c}(x), x$  are  $G$ -conjugate.*
- (d) *For any  $x \in \mathfrak{g}$ ,  $\tilde{c}(x), -x$  are  $G$ -conjugate.*

We prove (a). Let  $\underline{c} : \mathbf{W} \rightarrow \mathbf{W}$  be the automorphism induced by  $c$ . If  $B \in \mathcal{B}$  contains  $T$ , then  $T \subset c(B)$  and  $B, c(B)$  are in relative position  $w_0$ , the longest element of  $\mathbf{W}$ . Hence if  $B, B'$  in  $\mathcal{B}$  contain  $T$  and are in relative position  $w \in \mathbf{W}$ , then  $c(B), c(B')$  contain  $T$  and are in relative position  $w_0 w w_0^{-1}$ . They are also in relative position  $\underline{c}(w)$ . It follows that  $\underline{c}(w) = w_0 w w_0^{-1}$  for all  $w \in \mathbf{W}$ . Hence the induced permutation  $\underline{c} : \text{Irr}(\mathbf{W}) \rightarrow \text{Irr}(\mathbf{W})$  is the identity map. Let  $\mathcal{O}$  be the  $G$ -orbit of  $u \in \mathcal{U}_G$ . Then  $c(\mathcal{O})$  is the  $G$ -orbit of  $c(u)$ . By 2.4 we have  $S'_G(c(\mathcal{O})) = \underline{c}(S'_G(\mathcal{O})) = S'_G(\mathcal{O})$ . Since  $S'_G$  is injective it follows that  $\mathcal{O} = c(\mathcal{O})$ . This proves (a).

Following [Se], we prove (b) by induction on  $\dim(G)$ . If  $\dim G = 0$  the result is trivial. Now assume that  $\dim G > 0$ . Write  $g = su = us$  with  $s$  semisimple,  $u$  unipotent. If the result holds for  $g_1 \in G$ , then it holds for any  $G$ -conjugate of  $g_1$ . Hence by replacing  $g$  by a conjugate we can assume that  $s \in T$  so that  $c(s) = s^{-1}$ . Let  $Z(s)^0$  be the connected centralizer of  $s$ , a connected reductive subgroup of  $G$  containing  $T$ . Note that  $c$  restricts to an automorphism of  $Z(s)^0$  of the same type as  $c : G \rightarrow G$ . Moreover, we have  $g \in Z(s)^0$ . If  $Z(s)^0 \neq G$ , then by the induction hypothesis we see that  $c(g), g^{-1}$  are conjugate under  $Z(s)^0$ ; hence they are conjugate under  $G$ . If  $Z(s)^0 = G$ , then by (a),  $c(u), u$  are conjugate in  $G$ . By 2.5(a),  $u, u^{-1}$  are conjugate in  $G$ . Hence  $c(u), u^{-1}$  are conjugate in  $G$ . In other words, for some  $h \in G$  we have  $c(u) = hu^{-1}h$ . Since  $s$  is central in  $G$  and  $c(s) = s^{-1}$  we have  $c(s) = hs^{-1}h^{-1}$ . It follows that  $c(g) = c(s)c(u) = hs^{-1}h^{-1}hu^{-1}h = hs^{-1}u^{-1}h^{-1} = hg^{-1}h^{-1}$ . This proves (b).

The proof of (c) is completely similar to that of (a); it uses  $S'_g$  instead of  $S_G$ . The proof of (d) is completely similar to that of (b); it uses (c) and 2.5(b) instead of (b) and 2.5(a).

### 3. A PARAMETRIZATION OF THE SET OF NILPOTENT $G$ -ORBITS IN $\mathfrak{g}$

**3.1.** Let  $V$  be a finite dimensional  $\mathbf{Q}$ -vector space. Let  $R \subset V^* = \text{Hom}(V, \mathbf{Q})$  be a (reduced) root system and let  $W \subset GL(V)$  be the Weyl group of  $R$ . Let  $\Pi$  be a set of simple roots for  $R$ . Let  $\Theta = \{\beta \in R; \beta - \alpha \notin R \text{ for all } \alpha \in \Pi\}$ . For any integer  $r \geq 1$  let  $\mathcal{A}_r$  (resp.  $\mathcal{A}'_r$ ) be the set of all  $J \subset \Theta$  such that  $J$  is linearly independent in  $V^*$  and  $\sum_{\alpha \in \Pi} \mathbf{Z}\alpha / \sum_{\beta \in J} \mathbf{Z}\beta$  is finite of order  $r^k$  for some  $k \in \mathbf{N}$  (resp.  $k \in \mathbf{Z}_{>0}$ ). For  $J \in \mathcal{A}_r$  let  $W_J$  be the subgroup of  $W$  generated by the reflections with respect to the roots in  $J$ . For any  $E \in \text{Irr}(W)$  let  $b_E$  be the smallest integer  $\geq 0$  such that  $E$  appears with multiplicity  $m_E > 0$  in the  $b_E$ -th symmetric power of  $V$  regarded as a  $W$ -module. Let  $\text{Irr}(W)^\dagger = \{E \in \text{Irr}(W); m_E = 1\}$ . Replacing here  $(V, W)$  by  $(V, W_J)$  with  $J \in \mathcal{A}_r$  we see that  $b_E$  is defined for any  $E \in \text{Irr}(W_J)$  and that  $\text{Irr}(W_J)^\dagger$  is defined. For  $J \in \mathcal{A}_r$  and  $E \in \text{Irr}(W_J)^\dagger$  there is a unique  $\tilde{E} \in \text{Irr}(W)$  such that  $\tilde{E}$  appears with multiplicity 1 in  $\text{Ind}_{W_J}^W E$  and  $b_E = b_{\tilde{E}}$ ; moreover, we have  $\tilde{E} \in \text{Irr}(W)^\dagger$ . We set  $\tilde{E} = j_{W_J}^W E$ . Define  $\mathcal{S}_W^1 \subset \text{Irr}(W)^\dagger$  as in [L5, 1.3].

Replacing  $(V, W)$  by  $(V, W_J)$  with  $J \in \mathcal{A}_r$  we obtain a subset  $\mathcal{S}_{W_J}^1 \subset \text{Irr}(W_J)^\dagger$ . For any integer  $r \geq 1$  let  $\mathcal{S}_W^r$  be the set of all  $E \in \text{Irr}(W)$  such that  $E = j_{W_J}^W E_1$  for some  $J \in \mathcal{A}_r$  and some  $E_1 \in \mathcal{S}^1(W_J)$  (see [L5, 1.3]). If  $r = 1$  this agrees with the earlier definition of  $\mathcal{S}_W^1$  since in this case  $W_J = W$  for any  $J \in \mathcal{A}'_r$ . For any integer  $r \geq 1$  we define a subset  $\mathcal{T}_W^r$  of  $\text{Irr}(W)^\dagger$  by induction on  $|W|$  as follows. If  $W = \{1\}$ , we set  $\mathcal{T}_W^r = \text{Irr}(W)$ . If  $W \neq \{1\}$ , then  $\mathcal{T}_W^r$  is the set of all  $E \in \text{Irr}(W)$  such that either  $E \in \mathcal{S}_W^1$  or  $E = j_{W_J}^W E_1$  for some  $J \in \mathcal{A}'_r$  and some  $E_1 \in \mathcal{T}^r(W_J)$ . From the definition it is clear that

$$\mathcal{S}_W^1 \subset \mathcal{S}_W^r \subset \mathcal{T}_W^r.$$

When  $r = 1$  we have  $\mathcal{S}_W^1 = \mathcal{T}_W^r$ .

We apply these definitions in the case where  $r = p$ ,  $V = \mathbf{Q} \otimes \mathbf{Y}_G$  (with  $\mathbf{T}$  being “the maximal torus” of  $G$  and  $\mathbf{Y}_G = \text{Hom}(\mathbf{k}^*, \mathbf{T})$ ),  $R$  is “the root system” of  $G$  (a subset of  $V^*$ ) with its canonical set of simple roots and  $W = \mathbf{W}$  viewed as a subgroup of  $GL(V)$ . Then the subsets  $\mathcal{S}_{\mathbf{W}}^1 \subset \mathcal{S}_{\mathbf{W}}^p \subset \mathcal{T}_{\mathbf{W}}^p$  of  $\text{Irr}(\mathbf{W})$  are defined. We can now state the following result.

**Proposition 3.2.** (a) We have  $\mathfrak{S}_G = \mathcal{S}_{\mathbf{W}}^p$ .

(b) We have  $\mathfrak{S}_{\mathfrak{g}} = \mathcal{T}_{\mathbf{W}}^p$ .

For (a) see [L5, 1.4]. The proof of (b) is given in 3.5.

**Corollary 3.3.** There is a unique (injective) map  $\tau : \mathcal{X}_G \rightarrow \mathcal{X}_{\mathfrak{g}}$  such that  $S'_G(\xi) = S'_{\mathfrak{g}}(\tau(\xi))$  for all  $\xi \in \mathcal{X}_G$ .

The existence and uniqueness of  $\tau$  follows from  $\mathfrak{S}_G \subset \mathfrak{S}_{\mathfrak{g}}$  which in turn follows from 3.2 and the inclusion  $\mathcal{S}_{\mathbf{W}}^p \subset \mathcal{T}_{\mathbf{W}}^p$ .

It is known that when  $p \neq 2$  we have  $\text{card}\mathfrak{S}_G = \text{card}\mathfrak{S}_{\mathfrak{g}}$ ; hence in this case  $\tau$  is a bijection.

**3.4.** For  $n \in \mathbf{N}$  let  $W_n$  be the group of all permutations of the set

$$\{1, 2, \dots, n, n', \dots, 2', 1'\}$$

which commute with the involution  $i \mapsto i', i' \mapsto i$ ; let  $W'_n$  be the subgroup of  $W_n$  consisting of the even permutations. Assume that  $k \in \mathbf{N}$  is large relative to  $n$ . When  $G$  is adjoint simple of type  $B_n$  or  $C_n$  ( $n \geq 2$ ) we identify  $\mathbf{W} = W_n$  in the standard way; we have a bijection  $[a_*, a'_*] \leftrightarrow (a_*, a'_*)$ ,  $\text{Irr}(\mathbf{W}) = \text{Irr}(W_n) \leftrightarrow \mathcal{C}_k^n$  as in [L1, 2.3]; moreover,  $\text{Irr}(\mathbf{W}) = \text{Irr}(\mathbf{W})^\dagger$ ; see [L1, 2.4]. When  $G$  is adjoint simple of type  $D_n$  ( $n \geq 4$ ) we identify  $\mathbf{W} = W'_n$  in the standard way; we have a surjective map  $\zeta : \text{Irr}(\mathbf{W})^\dagger = \text{Irr}(W'_n)^\dagger \rightarrow \mathcal{D}_n^k$  such that for any  $\rho \in \text{Irr}(W'_n)$  we have  $\zeta(\rho) = (a_*, a'_*)$  where  $(a_*, a'_*) \in \mathcal{D}_n^k$  is such that  $\rho$  appears in the restriction of  $[a_*, a'_*]$  from  $W_n$  to  $W'_n$  (the set  $\text{Irr}(W'_n)^\dagger$  is determined by [L1, 2.5]); note that  $|\zeta^{-1}(a_*, a'_*)|$  is 2 if  $a_* = a'_*$  and is 1 otherwise.

**3.5.** In this subsection we prove 3.2(b). We can assume that  $G$  is adjoint, simple. If  $p = 1$  or  $p$  is a good prime for  $G$ , then  $\mathfrak{S}_{\mathfrak{g}} = \mathfrak{S}_G$  hence using 3.2(a) we have  $\mathfrak{S}_{\mathfrak{g}} = \mathcal{S}_{\mathbf{W}}^p$ ; in our case we have  $\mathbf{W}_J = \mathbf{W}$  for any  $J \in \mathcal{A}_p$  hence from the definitions we have  $\mathcal{S}_{\mathbf{W}}^p = \mathcal{S}_{\mathbf{W}}^1 = \mathcal{T}_{\mathbf{W}}^p$  and the result follows. In the rest of this subsection we assume that  $p$  is a bad prime for  $G$ . In this case  $\mathfrak{S}_{\mathfrak{g}}$  has been described explicitly by Spaltenstein [S2], [S3], [HS] as follows (assuming that the theory of Springer correspondence holds; this assumption can be removed in view of [X1], [X2] and the remarks in 2.2.)



If  $G$  is of type  $C_n$ ,  $n \geq 2$  ( $p = 2$ ), then we have  $\mathfrak{S}_{\mathfrak{g}} = \text{Irr}(\mathbf{W})$ . If  $G$  is of type  $B_n$ ,  $n \geq 2$  ( $p = 2$ ), then, according to [S1],  $\mathfrak{S}_{\mathfrak{g}} = \{[a_*, a'_*] \in \text{Irr}(\mathbf{W}); (a_*, a'_*) \in {}^b C_k^n\}$ . (Here  $k$  is large and fixed.) If  $G$  is of type  $D_n$ ,  $n \geq 4$  ( $p = 2$ ), then  $\mathfrak{S}_{\mathfrak{g}} = \zeta^{-1}({}^d \mathcal{D}_k^n)$ . If  $G$  is of type  $G_2$  ( $p = 2$  or  $3$ ), of type  $F_4$  ( $p = 3$ ), of type  $E_6$  ( $p = 2$  or  $3$ ), of type  $E_7$  ( $p = 3$ ), or of type  $E_8$  ( $p = 3$  or  $5$ ), then  $\mathfrak{S}_{\mathfrak{g}} = \mathfrak{S}_G$ . If  $G$  is of type  $F_4$  ( $p = 2$ ), then  $\mathfrak{S}_{\mathfrak{g}} = \mathfrak{S}_G \sqcup \{1_3, 2_3\}$  (notation as in [L3, 4.10]); note that  $b_{1_3} = 12$ ,  $b_{2_3} = 4$ . If  $G$  is of type  $E_7$  ( $p = 2$ ), then  $\mathfrak{S}_{\mathfrak{g}} = \mathfrak{S}_G \sqcup \{84'_a\}$  (notation as in [L3, 4.12]; we have  $b_{84'_a} = 15$ ). If  $G$  is of type  $E_8$  ( $p = 2$ ), then  $\mathfrak{S}_{\mathfrak{g}} = \mathfrak{S}_G \sqcup \{50_x, 700_{xx}\}$  (notation as in [L3, 4.13]; we have  $b_{50_x} = 8$ ,  $b_{700_{xx}} = 16$ ).

On the other hand, for types  $B, C, D$ ,  $\mathcal{T}_{\mathbf{W}}^2$  is computed by induction using 1.2, the formulas for the maps  $j_{\mathbf{W}_J}^{\mathbf{W}}()$  given in [L6, 4.5, 5.3, 6.3] and the known description of  $\mathcal{S}_{\mathbf{W}}^1$ ; for exceptional types,  $\mathcal{T}_{\mathbf{W}}^p$  is computed by induction using the tables in [A] and the known description of  $\mathcal{S}_{\mathbf{W}}^1$ .

In each case, the explicitly described subset  $\mathfrak{S}_{\mathfrak{g}}$  of  $\text{Irr}(\mathbf{W})$  coincides with the explicitly described subset  $\mathcal{T}_{\mathbf{W}}^p$ . This completes the proof of 3.2(b).

To illustrate the inclusion  $\mathfrak{S}_{\mathfrak{g}} \subset \mathcal{T}_{\mathbf{W}}^p$  we note that:

if  $G$  is of type  $E_8$  ( $p = 2$ ) then  $50_x, 700_{xx}$  in  $\mathfrak{S}_{\mathfrak{g}} - \mathfrak{S}_G$  are obtained by applying  $j_{\mathbf{W}_J}^{\mathbf{W}}$  (where  $\mathbf{W}_J$  is of type  $E_7 \times A_1$ ) to  $15'_a \boxtimes \text{sgn}$ ,  $84'_a \boxtimes \text{sgn}$  (which belong to  $\mathcal{T}_{\mathbf{W}_J}^2 - \mathcal{S}_{\mathbf{W}_J}^2$ ,  $\mathcal{S}_{\mathbf{W}_J}^2 - \mathcal{S}_{\mathbf{W}_J}^1$  respectively);

if  $G$  is of type  $F_4$  ( $p = 2$ ) then  $1_3, 2_3$  in  $\mathfrak{S}_{\mathfrak{g}} - \mathfrak{S}_G$  are obtained by applying  $j_{\mathbf{W}_J}^{\mathbf{W}}$  (where  $\mathbf{W}_J$  is of type  $B_4, C_3 \times A_1$ ) to an object in  $\mathcal{S}_{\mathbf{W}_J}^2 - \mathcal{S}_{\mathbf{W}_J}^1$ .

**3.6.** If  $G$  is of type  $B_n$  or  $C_n$ ,  $n \geq 2$  ( $p = 2$ ), then, according to [LS],  $\mathfrak{S}_G = \{[a_*, a'_*] \in \text{Irr}(\mathbf{W}); (a_*, a'_*) \in {}^b C_k^n\}$ . (Here  $k$  is large and fixed.) If  $G$  is of type  $D_n$ ,  $n \geq 4$  ( $p = 2$ ), then according to [LS],  $\mathfrak{S}_G = \zeta^{-1}({}^d \mathcal{D}_k^n)$ .

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