

## THE SATAKE ISOMORPHISM FOR SPECIAL MAXIMAL PARAHORIC HECKE ALGEBRAS

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ABSTRACT. Let  $G$  denote a connected reductive group over a nonarchimedean local field  $F$ . Let  $K$  denote a special maximal parahoric subgroup of  $G(F)$ . We establish a Satake isomorphism for the Hecke algebra  $\mathcal{H}_K$  of  $K$ -bi-invariant compactly supported functions on  $G(F)$ . The key ingredient is a Cartan decomposition describing the double coset space  $K \backslash G(F) / K$ . As an application we define a transfer homomorphism  $t : \mathcal{H}_{K^*}(G^*) \rightarrow \mathcal{H}_K(G)$  where  $G^*$  is the quasi-split inner form of  $G$ . We also describe how our results relate to the treatment of Cartier [Car], where  $K$  is replaced by a special maximal compact open subgroup  $\tilde{K} \subset G(F)$  and where a Satake isomorphism is established for the Hecke algebra  $\mathcal{H}_{\tilde{K}}$ .

### 1. INTRODUCTION

The Satake isomorphism plays an important role in automorphic forms and in representation theory of  $p$ -adic groups. For global applications, one may often work with unramified groups. We begin by recalling the Satake isomorphism in this context. Let  $G$  denote an unramified group over a nonarchimedean local field  $F$ . Let  $v_F$  denote a special vertex in the Bruhat-Tits building  $\mathcal{B}(G_{\text{ad}}(F))$ . Let  $\tilde{K} = \tilde{K}_{v_F}$  denote a special maximal compact open subgroup of  $G(F)$  which fixes  $v_F$ . Let

$$\mathcal{H}_{\tilde{K}} = C_c^\infty(\tilde{K} \backslash G(F) / \tilde{K})$$

denote the Hecke algebra of  $\tilde{K}$ -bi-invariant compactly-supported complex-valued functions on  $G(F)$ . Let  $A$  denote a maximal  $F$ -split torus in  $G$  whose corresponding apartment in  $\mathcal{B}(G_{\text{ad}}(F))$  contains  $v_F$ . Let  $W = W(G, A)$  denote the relative Weyl group. Then the Satake isomorphism is a  $\mathbb{C}$ -algebra isomorphism

$$\mathcal{H}_{\tilde{K}} \xrightarrow{\sim} \mathbb{C}[X_*(A)]^W.$$

(See [Car].) A key ingredient is the Cartan decomposition

$$\tilde{K} \backslash G(F) / \tilde{K} \cong W(G, A) \backslash X_*(A).$$

Now let  $G$  denote an arbitrary connected reductive group over  $F$  and let  $\tilde{K}, v_F$  and so on have the same meaning as above. A form of the Satake isomorphism for

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such  $G$  was described by Cartier [Car], but it is less explicit than that above. It identifies  $\mathcal{H}_{\tilde{K}}$  with the ring of functions

$$\mathbb{C}[M(F)/M(F)^1]^W,$$

where  $M := \text{Cent}_G(A)$  is a minimal  $F$ -Levi subgroup of  $G$  and  $M(F)^1$  is the unique maximal compact open subgroup of  $M(F)$ . The quotient  $M(F)/M(F)^1$  is a free abelian group  $\tilde{\Lambda}_M$  which contains  $X_*(A)$  and has the same rank. (In [Car], our  $\tilde{\Lambda}_M$  is denoted  $\Lambda(M)$  or simply  $\Lambda$ .) As Cartier explains, in this general context we have a Satake isomorphism

$$\mathcal{H}_{\tilde{K}} \cong \mathbb{C}[\tilde{\Lambda}_M]^W,$$

and a Cartan decomposition

$$\tilde{K} \backslash G(F) / \tilde{K} \cong W(G, A) \backslash \tilde{\Lambda}_M.$$

However, Cartier does not identify  $\tilde{\Lambda}_M$  explicitly, except in special cases.

Now let  $K = K_{v_F}$  denote the special maximal parahoric subgroup of  $G(F)$  corresponding to  $v_F$ ; it is a normal subgroup of  $\tilde{K}_{v_F}$  having finite index (see Section 8). This paper concerns the Hecke algebra  $\mathcal{H}_K = C_c^\infty(K \backslash G(F) / K)$ . In several situations, it is more appropriate to consider  $\mathcal{H}_K$  instead of  $\mathcal{H}_{\tilde{K}}$ , for example, in relation to Shimura varieties having parahoric level structure (see [Rap] and [H05]).

Let  $M(F)_1 \subset M(F)$  denote the unique parahoric subgroup of  $M(F)$ ; it is a finite-index normal subgroup of  $M(F)^1$ . Our main result is the following theorem.

**Theorem 1.0.1.** *Let  $\Lambda_M := M(F)/M(F)_1$ . There is a canonical isomorphism*

$$\mathcal{H}_K \xrightarrow{\sim} \mathbb{C}[\Lambda_M]^W.$$

*The group  $\Lambda_M$  is a finitely generated abelian group which can be explicitly described and which has the property that  $\tilde{\Lambda}_M = \Lambda_M / \text{torsion}$ . Moreover,  $\tilde{K} / K \cong \Lambda_{M, \text{tor}}$ , the torsion subgroup of  $\Lambda_M$ .*

When  $G$  is unramified over  $F$  or when  $G$  is semi-simple and simply connected, it turns out that  $\tilde{K} = K$  and  $\tilde{\Lambda}_M \cong \Lambda_M$  (see Section 11) so that our theorem does not give any new information in those cases. However, our results are new in the case  $\tilde{K} \neq K$ , and different methods from [Car] are needed to prove them. For ramified groups, in particular, our results are expected to play some role in the study of Shimura varieties with parahoric level structure at  $p$ . For more about ramified groups and Shimura varieties with parahoric level the reader should consult [Rap], [PR], and [Kr].

In order to describe  $\Lambda_M$ , we need to recall some notation and results of Kottwitz [Ko97]. Let  $F^s$  denote a separable closure of  $F$ , and let  $F^{\text{un}}$  denote the maximal unramified extension of  $F$  in  $F^s$ . Let  $L = \widehat{F^{\text{un}}}$  denote the completion of  $F^{\text{un}}$  with respect to the valuation on  $F^{\text{un}}$  which extends the normalized valuation on  $F$ . Let  $I = \text{Gal}(F^s / F^{\text{un}}) \cong \text{Gal}(L^s / L)$  denote the inertia subgroup of  $\text{Gal}(F^s / F)$ , and let  $\sigma \in \text{Aut}(L / F)$  denote the Frobenius automorphism. In [Ko97] Kottwitz defined a surjective homomorphism

$$\kappa_G : G(L) \rightarrow X^*(Z(\widehat{G}))_I,$$

and in loc. cit. §7.7 he also proved that this induces a surjective homomorphism

$$\kappa_G : G(F) \rightarrow X^*(Z(\widehat{G}))_I^\sigma$$

of the groups of  $\sigma$ -invariants. Set  $G(L)_1 := \ker(\kappa_G)$  and  $G(F)_1 := G(F) \cap G(L)_1$ . (When  $G = M$ , this is consistent with our definition of  $M(F)_1$  above; see Lemmas 4.1.1, 4.2.1.)

The Iwahori-Weyl group  $\widetilde{W}$  (defined in §2.3) for  $G$  carries a natural action under  $\sigma$  and contains a  $\sigma$ -invariant abelian subgroup  $\Omega_G$  (the subgroup of *length-zero elements*). By choosing representatives in the normalizer of  $A$  we may embed  $\widetilde{W}^\sigma$  set-theoretically into  $G(F)$ , and then  $\Omega_G^\sigma$  is mapped by  $\kappa_G$  isomorphically onto  $X^*(Z(\widehat{G}))_I^\sigma$  (see Section 2). The following is the sought-after explicit description of  $\Lambda_M$ :

**Proposition 1.0.2.** *The Kottwitz homomorphism induces an isomorphism*

$$\Lambda_M = M(F)/M(F)_1 \cong X^*(Z(\widehat{M}))_I^\sigma.$$

*Via the Kottwitz isomorphism  $\kappa_M : \Omega_M^\sigma \xrightarrow{\sim} X^*(Z(\widehat{M}))_I^\sigma$ , we can also identify  $\Lambda_M$  with  $\Omega_M^\sigma$ .*

As before, the main step in the proof of Theorem 1.0.1 is an appropriate Cartan decomposition.

**Theorem 1.0.3.** *The embedding  $\Omega_M^\sigma \subset \widetilde{W}^\sigma \hookrightarrow G(F)$  determines a bijection*

$$W(G, A) \backslash \Omega_M^\sigma \cong K \backslash G(F) / K.$$

*Equivalently, via the isomorphism  $\kappa_M : \Omega_M^\sigma \xrightarrow{\sim} X^*(Z(\widehat{M}))_I^\sigma$ , we have a bijection*

$$W(G, A) \backslash X^*(Z(\widehat{M}))_I^\sigma \xrightarrow{\sim} K \backslash G(F) / K.$$

We give additional information about the finitely generated abelian group  $\Lambda_M$  in Section 11. For example, we prove that if  $G$  is an inner form of a split group, then  $\Lambda_M = X^*(Z(\widehat{M})) = X_*(T)_\sigma$  (see Corollary 11.3.2).

Finally, let  $G^*$  denote the quasi-split inner form of  $G$ , and consider special maximal parahoric subgroups  $K^* \subset G^*(F)$  and  $K \subset G(F)$ . In Section 12, we define a canonical transfer homomorphism  $t : \mathcal{H}_{K^*}(G^*) \rightarrow \mathcal{H}_K(G)$ , and we establish some of its basic properties.

This article relies heavily on the ideas of Kottwitz, especially as they are manifested in the article [HR]. The main theorems of [HR] provide the starting points for the proof of Theorem 1.0.3.

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## 2. NOTATION

**2.1. Ring-theoretic notation.** Let  $\mathcal{O} = \mathcal{O}_F$  (resp.  $\mathcal{O}_L$ ) denote the ring of integers in the field  $F$  (resp.  $L$ ). Let  $\varpi$  denote a uniformizer of  $F$  (resp.  $L$ ), and let  $k_F$  denote the residue field of  $F$ . We may identify the residue field  $k_L$  with an algebraic closure of  $k_F$ . Let  $\Gamma := \text{Gal}(F^s/F)$ .

Throughout this paper, if  $J \subset G(F)$  denotes a compact open subgroup, we make

$$\mathcal{H}_J := C_c^\infty(J \backslash G(F) / J)$$

a convolution algebra by using the Haar measure on  $G(F)$  which gives  $J$  volume 1.

**2.2. Buildings notation.** Let  $\mathcal{B}(G(L))$  (resp.  $\mathcal{B}(G(F))$ ) denote the Bruhat-Tits building of  $G(L)$  (resp.  $G(F)$ ). The building  $\mathcal{B}(G(L))$  carries an action of  $\sigma$ . By [BT2], 5.1.25, we have an identification  $\mathcal{B}(G(F)) = \mathcal{B}(G(L))^\sigma$ . Moreover, there is a bijection  $\mathbf{a}_J \mapsto \mathbf{a}_J^\sigma$  from the set of  $\sigma$ -stable facets in  $\mathcal{B}(G(L))$  to facets in  $\mathcal{B}(G(F))$  ([BT2], 5.1.28). This bijection sends alcoves to alcoves ([BT2], 5.1.14). It also follows from loc. cit. that every  $\sigma$ -stable facet  $\mathbf{a}_J$  in  $\mathcal{B}(G(L))$  is contained in the closure  $\bar{\mathbf{a}}$  of a  $\sigma$ -stable alcove  $\mathbf{a}$ .

Let  $v_F$  denote a special vertex in  $\mathcal{B}(G_{\text{ad}}(F))$  ([Tits], 1.9). Let  $A$  denote a maximal  $F$ -split torus in  $G$  whose corresponding apartment in  $\mathcal{B}(G_{\text{ad}}(F))$  contains  $v_F$ . Let  $\mathcal{A}$  (resp.  $\mathcal{A}_{\text{ad}}$ ) denote the apartment in  $\mathcal{B}(G(F))$  (resp.  $\mathcal{B}(G_{\text{ad}}(F))$ ) corresponding to  $A$ . Let  $V_{G(F)}$  denote the real vector space  $X_*(Z(G))_\Gamma \otimes \mathbb{R}$ . There exists a simplicial isomorphism ([Tits], 1.2)

$$\mathcal{A} \cong \mathcal{A}_{\text{ad}} \times V_{G(F)}.$$

Therefore, there is a minimal dimensional facet  $\mathbf{a}_0^\sigma$  in  $\mathcal{A}$  associated to a  $\sigma$ -stable facet  $\mathbf{a}_0 \subset \mathcal{B}(G(L))$ , such that

$$\mathbf{a}_0^\sigma \cong \{v_F\} \times V_{G(F)}.$$

We consider parahoric (or Iwahori) subgroups in the sense of [BT2], 5.2. That is, to a facet  $\mathbf{a}_J \subset \mathcal{B}(G(L))$  we associate an  $\mathcal{O}_L$ -group scheme  $\mathcal{G}_{\mathbf{a}_J}^\circ$  with connected geometric fibers, whose group of  $\mathcal{O}_L$ -points fixes identically the points of  $\mathbf{a}_J$ . We often write  $J(L) := \mathcal{G}_{\mathbf{a}_J}^\circ(\mathcal{O}_L)$ . By [BT2], 5.2, if  $\mathbf{a}_J$  is  $\sigma$ -stable, we get a parahoric subgroup  $J(F) := J(L)^\sigma$  in  $G(F)$  and this is associated to the facet  $\mathbf{a}_J^\sigma$  in  $\mathcal{B}(G(F))$ . Moreover, every parahoric subgroup of  $G(F)$  is of this form for a unique  $\sigma$ -stable facet  $\mathbf{a}_J$ .

Now fix a  $\sigma$ -stable alcove  $\mathbf{a}$  whose closure contains  $\mathbf{a}_0$ . Let  $I(L)$  (resp.  $K(L)$ ) denote the Iwahori (resp. parahoric) subgroup of  $G(L)$  corresponding to the  $\sigma$ -stable alcove  $\mathbf{a}$  (resp. facet  $\mathbf{a}_0$ ). Then  $I := I(F) = I(L)^\sigma$  is the Iwahori subgroup of  $G(F)$  corresponding to  $\mathbf{a}^\sigma$ . Also,  $K := K(F) = K(L)^\sigma$  is a special maximal parahoric subgroup of  $G(F)$  corresponding to  $\mathbf{a}_0^\sigma$  (or equivalently, to  $v_F$ ).

**2.3. Weyl groups and Iwahori-Weyl groups.** For a torus  $S$  in  $G$ , let  $N_G(S) = \text{Norm}_G(S)$  denote its normalizer and  $C_G(S) = \text{Cent}_G(S)$  its centralizer. Let  $W(G, S) := N_G(S)/C_G(S)$  denote its Weyl group.

Fix the torus  $A$  as before. From now on, let  $S$  be a maximal  $L$ -split torus that is defined over  $F$  and contains  $A$  ([BT2], 5.1.12). Let  $T := C_G(S)$ , a maximal torus of  $G$  (defined over  $F$ ) since  $G_L$  is quasi-split by Steinberg's theorem.

We need to recall definitions and facts about Iwahori-Weyl groups; we refer the reader to [HR] for details. Let  $T(L)_1 := \ker(\kappa_T)$ , a normal subgroup of  $N_G(S)(L)$ . Let  $\widetilde{W} := N_G(S)(L)/T(L)_1$  denote the *Iwahori-Weyl* group for  $G$ . It carries an obvious action of  $\sigma$ . Let  $\mathcal{A}_L$  denote the apartment of  $\mathcal{B}(G(L))$  corresponding to  $S$ , which we may assume contains the alcove  $\mathbf{a}$  we fixed above. We let  $W_{\text{aff}}$  denote the *affine Weyl group*, which is a Coxeter group generated by the reflections through the walls of  $\mathbf{a}$ . The group  $\widetilde{W}$  acts on the set of all alcoves in the apartment of  $\mathcal{B}(G(L))$  corresponding to  $S$ ; let  $\Omega_G = \Omega_{G, \mathbf{a}}$  denote the stabilizer of  $\mathbf{a}$ . There exists a  $\sigma$ -equivariant decomposition

$$\widetilde{W} = W_{\text{aff}} \rtimes \Omega_G.$$

We extend the Bruhat order  $\leq$  and the length function  $\ell$  from  $W_{\text{aff}}$  to  $\widetilde{W}$  in the usual way: if  $x, x' \in \widetilde{W}$  are written as  $w \cdot \tau$  and  $w' \cdot \tau'$  via the preceding decomposition, then we set  $\ell(x) := \ell(w)$  and declare that  $x \leq x'$  if and only if both  $w \leq w'$  and  $\tau = \tau'$ . We can identify  $W_{\text{aff}}$  with the Iwahori-Weyl group associated to the pair  $G_{\text{sc}}, S_{\text{sc}}$ , where  $S_{\text{sc}}$  is the pull-back of  $(S \cap G_{\text{der}})^\circ$  via  $G_{\text{sc}} \rightarrow G_{\text{der}}$ .

We can embed  $\widetilde{W}$  *set-theoretically* into  $G(L)$  by choosing a set-theoretic section of the surjective homomorphism  $N_G(S)(L) \rightarrow \widetilde{W}$ . Since  $T(L)_1 \subset \ker(\kappa_G)$ , we easily see that the restriction of  $\kappa_G$  to  $\widetilde{W} \hookrightarrow G(L)$  gives a *homomorphism*

$$\kappa_G : \widetilde{W} \rightarrow X^*(Z(\widehat{G}))_I,$$

which is surjective and  $\sigma$ -equivariant and whose kernel is  $W_{\text{aff}}$ .

### 3. CARTAN DECOMPOSITION: REDUCTION TO THE KEY LEMMA

Changing slightly the notation of [HR], we set

$$\widetilde{W}_K := (N_G(S)(L) \cap K(L))/T(L)_1.$$

We write  $\widetilde{W}_K^\sigma := (\widetilde{W}_K)^\sigma$ .

Our starting point is the following fact (see [HR], esp. Remark 9): the map  $K(L)nK(L) \mapsto n \in \widetilde{W}$  induces a bijection

$$K(L) \backslash G(L) / K(L) \cong \widetilde{W}_K \backslash \widetilde{W} / \widetilde{W}_K,$$

and taking fixed-points under  $\sigma$  yields a bijection

$$(3.0.1) \quad K(F) \backslash G(F) / K(F) \cong \widetilde{W}_K^\sigma \backslash \widetilde{W}^\sigma / \widetilde{W}_K^\sigma.$$

The Cartan decomposition follows immediately from the key lemma below, which allows us to describe the right-hand side of (3.0.1) in the desired way. To state this we note that the  $\sigma$ -stable alcove  $\mathfrak{a}$  is contained in a unique  $\sigma$ -stable alcove  $\mathfrak{a}^M$  in the apartment  $\mathcal{A}_L^M \subset \mathcal{B}(M(L))$  corresponding to  $S$ . As before, we define  $\Omega_M \subset \widetilde{W}_M$  to be the stabilizer of  $\mathfrak{a}^M$  under the action of  $\widetilde{W}_M$  on the alcoves in  $\mathcal{A}_L^M$ .

- Lemma 3.0.1.** (I) *There is a tautological isomorphism  $\widetilde{W}_K^\sigma \xrightarrow{\sim} W(G, A)$  which allows us to view  $W(G, A)$  as a subgroup of  $\widetilde{W}^\sigma$ .*  
 (II) *There is a decomposition  $\widetilde{W}^\sigma = \widetilde{W}_M^\sigma \cdot W(G, A)$ , and  $W(G, A)$  normalizes  $\widetilde{W}_M^\sigma$ .*  
 (III) *We have  $W_{M, \text{aff}}^\sigma = 1$ , and hence because of the  $\sigma$ -equivariant decomposition*

$$\widetilde{W}_M = W_{M, \text{aff}} \rtimes \Omega_M$$

*we have  $\widetilde{W}^\sigma = \Omega_M^\sigma \rtimes W(G, A)$ .*

The Kottwitz homomorphism gives an isomorphism

$$\kappa_M : \Omega_M^\sigma \xrightarrow{\sim} X^*(Z(\widehat{M}))_I^\sigma$$

(cf. [Ko97], 7.7). Putting this together with the lemma we get Theorem 1.0.3.

The proof of Lemma 3.0.1 will occupy the next four sections.

4. SOME INGREDIENTS ABOUT PARAHORIC SUBGROUPS

4.1. **Parahoric subgroups of  $F$ -Levi subgroups.** As before, let  $A$  denote a maximal  $F$ -split torus in  $G$ , let  $S \supseteq A$  be a maximal  $L$ -split torus which is defined over  $F$ , and let  $T = C_G(S)$  be a maximal torus of  $G$  which is defined over  $F$ .

Let  $A_M$  denote any subtorus of  $A$ , and let  $M = C_G(A_M)$ . Thus  $M$  is a semi-standard  $F$ -Levi subgroup of  $G$ . The extended buildings  $\mathcal{B}(M(L))$  and  $\mathcal{B}(G(L))$  share an apartment (which corresponds to  $S$ ), but the affine hyperplanes in the apartment  $\mathcal{A}_L^M$  for  $M(L)$  form a subset of those in the apartment  $\mathcal{A}_L$  for  $G(L)$ . Hence any facet  $\mathbf{a}_J$  in  $\mathcal{A}_L$  is contained in a unique facet in  $\mathcal{A}_L^M$ , which we will denote by  $\mathbf{a}_J^M$ .

The following result was proved in [H09] in the special case where  $G$  splits over  $L$ .

**Lemma 4.1.1.** *Suppose  $J(L) \subset G(L)$  is the parahoric subgroup corresponding to a facet  $\mathbf{a}_J \subset \mathcal{A}_L$ . Then  $J(L) \cap M$  is a parahoric subgroup of  $M(L)$ , and corresponds to the facet  $\mathbf{a}_J^M \subset \mathcal{A}_L^M$ .*

*Proof.* The main result of [HR] is the following characterization of parahoric subgroups:

$$J(L) = \text{Fix}(\mathbf{a}_J) \cap G(L)_1$$

where  $\text{Fix}(\mathbf{a}_J)$  is the set of elements of  $G(L)$  that fixes every point of  $\mathbf{a}_J$ . Applying this for the groups  $M$  and  $G$ , we only need to show that

$$\text{Fix}(\mathbf{a}_J) \cap G(L)_1 \cap M(L) = \text{Fix}(\mathbf{a}_J^M) \cap M(L)_1.$$

The functoriality of the Kottwitz homomorphisms shows  $M(L)_1 \subset G(L)_1$ , and then the inclusion “ $\supseteq$ ” is evident. Let  $\mathbf{a}^M$  denote an alcove in  $\mathcal{A}_L^M$  whose closure contains  $\mathbf{a}_J^M$ . Let  $I_M$  denote the Iwahori subgroup of  $M(L)$  corresponding to  $\mathbf{a}^M$ .

Let  $S_{\text{sc}}^M$ , resp.,  $T_{\text{sc}}^M$  denote the pull-back of the torus  $(S \cap M_{\text{der}})^\circ$ , resp.,  $T \cap M_{\text{der}}$  along the homomorphism  $M_{\text{sc}} \rightarrow M_{\text{der}}$ . To prove the inclusion “ $\subseteq$ ” it is enough to prove the following claim, since  $N_{M_{\text{sc}}}(S_{\text{sc}}^M)(L)$  and  $I_M$  belong to  $M(L)_1$ . Here and in what follows, we abuse notation slightly by writing  $N_{M_{\text{sc}}}(S_{\text{sc}}^M)(L)$  where we really mean its image in  $M(L)$ .

*Claim.* Any element  $m \in M(L) \cap G(L)_1$  which fixes a point in  $\mathbf{a}_J^M$  belongs to

$$I_M N_{M_{\text{sc}}}(S_{\text{sc}}^M)(L) I_M$$

and fixes every point of  $\mathbf{a}_J^M$ .

*Proof.* Recall the decomposition

$$(4.1.1) \quad I_M \backslash M(L) / I_M \cong N_M(S)(L) / T(L)_1$$

of [HR], Prop. 8. Using this we may assume  $m \in N_M(S)(L)$ .

We will show that for such an element  $m$  which fixes a point of  $\mathbf{a}_J^M$  we have  $m \in T(L)_1 N_{M_{\text{sc}}}(S_{\text{sc}}^M)(L)$ , which will prove the first statement of the claim. It will also prove the second statement, since then  $m$  determines a type-preserving automorphism of the apartment  $\mathcal{A}_L^M$ , hence fixes every point of  $\mathbf{a}_J^M$  if it fixes any of its points.

Choose a special vertex  $\mathbf{a}_0^M$  contained in the closure of  $\mathbf{a}^M$ , and let  $K_0$  denote the corresponding special maximal parahoric subgroup of  $M(L)$ . We may write  $m = tn$ , where  $t \in T(L)$  and  $n \in N_M(S)(L) \cap K_0$  (cf. [HR], Prop. 13). Define  $\nu \in X_*(T)_I$  to be  $\kappa_T(t)$  and  $w \in W(M, S)$  to be the image of  $n$  under the projection

$N_M(S)(L) \rightarrow W(M, S)$ . Thus  $m$  maps to the element  $t_\nu w \in X_*(T)_I \rtimes W(M, S) \cong \widetilde{W}_M$ , the Iwahori-Weyl group for  $M$ .

Let  $\Sigma^\vee$  denote the coroots associated to the unique reduced root system  $\Sigma$  such that the set of affine roots  $\Phi_{\text{af}}(G(L), S)$  on  $\mathcal{A}_L$  are given by  $\Phi_{\text{af}} = \{\alpha + k \mid \alpha \in \Sigma, k \in \mathbb{Z}\}$ ; cf. [HR]. Let  $\Sigma_M^\vee$  denote the coroots for the corresponding root system  $\Sigma_M$  for  $\Phi_{\text{af}}(M(L), S)$  on  $\mathcal{A}_L^M$ . Let  $Q^\vee(\Sigma)$ , resp.,  $Q^\vee(\Sigma_M)$  denote the lattice spanned by  $\Sigma^\vee$ , resp.,  $\Sigma_M^\vee$ . Recall from [HR] that we have identifications  $Q^\vee(\Sigma) \cong X_*(T_{\text{sc}})_I$  and  $Q^\vee(\Sigma_M) \cong X_*(T_{\text{sc}}^M)_I$ . Also, we have  $\Phi_{\text{af}}(M(L), S) \subseteq \Phi_{\text{af}}(G(L), S)$ , and therefore  $Q^\vee(\Sigma_M) \subseteq Q^\vee(\Sigma)$ .

Clearly,  $w$  is the image of an element from  $N_{M_{\text{sc}}}(S_{\text{sc}}^M)(L) \cap K_0$ , since the latter also surjects onto  $W(M, S)$ . Thus we need only show that  $\nu \in Q^\vee(\Sigma_M)$ , since  $Q^\vee(\Sigma_M)$  is also in the image of  $N_{M_{\text{sc}}}(S_{\text{sc}}^M)(L) \rightarrow \widetilde{W}_M$ .

First, we will prove that  $\nu \in Q^\vee(\Sigma)$ . Indeed, by construction  $t \in G(L)_1$ , and using

$$X_*(T)_I / X_*(T_{\text{sc}})_I \cong X^*(Z(\widehat{G}))_I$$

(cf. [HR]) we see that  $\nu \in X_*(T_{\text{sc}})_I = Q^\vee(\Sigma)$ .

Next, let  $r$  denote the order of  $w \in W(M, S)$ . The element  $m^r$  maps to  $(t_\nu w)^r \in \widetilde{W}_M$ , which is the translation by the element  $\mu := \sum_{i=0}^{r-1} w^i \nu \in Q^\vee(\Sigma)$ . But as this translation fixes a point of  $\mathfrak{a}_J^M$ , we must have  $\mu = 0$ . Since  $w^i \nu \equiv \nu$  modulo  $Q^\vee(\Sigma_M)$ , it follows that

$$\nu \in Q^\vee(\Sigma_M)_{\mathbb{Q}} \cap Q^\vee(\Sigma) = Q^\vee(\Sigma_M).$$

This completes the proof of the claim, and thus the lemma. □

**4.2. Parahoric subgroups of minimal  $F$ -Levi subgroups.** Now we return to the usual notation, where  $M := C_G(A)$  is a minimal  $F$ -Levi subgroup of  $G$ . In this case  $M_{\text{ad}}$  is anisotropic over  $F$ , so the semi-simple building  $\mathcal{B}(M_{\text{ad}}(F)) = \mathcal{B}(M_{\text{ad}}(L))^\sigma$  is a singleton and the apartment  $(\mathcal{A}_L^M)^\sigma$  contains no affine hyperplanes. Therefore,  $M(F)$  has only one parahoric subgroup.

**Lemma 4.2.1.** *Let  $J$  be any parahoric subgroup of  $G(L)$  corresponding to a  $\sigma$ -invariant facet  $\mathfrak{a}_J$  in  $\mathcal{A}_L$ . Then  $J(L) \cap M(F) = M(F)_1$ .*

*Proof.* By Lemma 4.1.1, the inclusion “ $\subseteq$ ” is clear. Let  $m \in M(F)_1$ . Since  $m$  acts trivially on the apartment  $\mathcal{A}_L^\sigma$  in the building  $\mathcal{B}(G(F)) = \mathcal{B}(G(L))^\sigma$ , it fixes a point of the  $\sigma$ -invariant facet  $\mathfrak{a}_J$  (e.g. its barycenter). But then since  $m \in G(F)_1$ , by the Claim in the proof of Lemma 4.1.1 (taking  $M = G$ ),  $m$  fixes every point in  $\mathfrak{a}_J$ . Clearly, then  $m \in \text{Fix}(\mathfrak{a}_J) \cap G(L)_1 \cap M(F) = J(L) \cap M(F)$ . □

**Lemma 4.2.2.** *Let  $K(L)$  denote the parahoric subgroup of  $G(L)$  whose  $\sigma$ -fixed subgroup  $K = K(L)^\sigma$  is the special maximal compact subgroup of  $G(F)$  we fixed earlier. Then*

$$K \cap N_G(S)(L) \cap M(F) = T(F)_1.$$

*Proof.* Fix an Iwahori subgroup  $I \subset G(L)$  corresponding to a  $\sigma$ -invariant alcove in  $\mathcal{A}_L$ . Note that by Lemma 4.2.1, we have  $K \cap M(F) = I \cap M(F)$  and hence

$$K \cap N_G(S)(L) \cap M(F) = I \cap N_G(S)(L) \cap M(F).$$

By [HR], Lemma 6, the right-hand side is  $T(L)_1 \cap M(F) = T(F)_1$ . □

5. THE ISOMORPHISM  $\widetilde{W}_K^\sigma \cong W(G, A)$

By [HR], Remark 9, any element of  $\widetilde{W}_K^\sigma$  is represented by an element of  $N_G(S)(F)$ . Let  $x \in N_G(S)(F)$ . Then  $xSx^{-1} = S$  contains  $xAx^{-1}$  and  $A$ , which being maximal  $F$ -split tori in  $S$ , must coincide. Thus, there is a tautological homomorphism

$$N_G(S)(F) \rightarrow N_G(A)(F).$$

By Lemma 4.2.2, this factors to give an injective homomorphism

$$\widetilde{W}_K^\sigma \hookrightarrow W(G, A).$$

The next statement furnishes the proof of Lemma 3.0.1, (I).

**Lemma 5.0.1.** *The homomorphism  $\widetilde{W}_K^\sigma \rightarrow W(G, A)$  is an isomorphism. This allows us to regard  $W(G, A)$  as a subgroup of  $\widetilde{W}^\sigma$ .*

*Proof.* It is enough to prove the domain and codomain have the same order. Let  $k_L$  denote the residue field of  $\mathcal{O}_L$ , which can be identified with an algebraic closure of  $k_F$ . Consider the special fiber  $\overline{\mathcal{G}}_{\mathfrak{a}_0}^\circ = \mathcal{G}_{\mathfrak{a}_0}^\circ \times_{\mathcal{O}_L} k_L$  of the Bruhat-Tits group scheme  $\mathcal{G}_{\mathfrak{a}_0}^\circ$  over  $\mathcal{O}_L$  which is associated to the facet  $\mathfrak{a}_0$  in the building  $\mathcal{B}(G(L))$ . Let  $\overline{\mathcal{G}}_{\mathfrak{a}_0}^{\circ, \text{red}}$  denote the maximal reductive quotient of  $\overline{\mathcal{G}}_{\mathfrak{a}_0}^\circ$ . By [HR], Prop. 12,  $\widetilde{W}_K$  is the Weyl group of  $\overline{\mathcal{G}}_{\mathfrak{a}_0}^{\circ, \text{red}}$ . The group  $\overline{\mathcal{G}}_{\mathfrak{a}_0}^{\circ, \text{red}}$  is defined over  $k_F$ , and in fact we have  $\overline{\mathcal{G}}_{\mathfrak{a}_0}^{\circ, \text{red}} = \overline{\mathcal{G}}_{v_F}^{\circ, \text{red}} \times_{k_F} k_L$ , where  $\overline{\mathcal{G}}_{v_F}^\circ$  is the special fiber of  $\mathcal{G}_{v_F}^\circ$  (cf. [Land], Cor. 10.10). Since  $k_F$  is finite,  $\overline{\mathcal{G}}_{v_F}^{\circ, \text{red}}$  is automatically quasi-split over  $k_F$ , and it follows that  $\widetilde{W}_K^\sigma$  is the Weyl group of  $\overline{\mathcal{G}}_{v_F}^{\circ, \text{red}}$  (this is well known, but one can also use the argument which yields Remark 6.1.3 below).

On the other hand, by [Tits], 3.5.1, the root system of  $\overline{\mathcal{G}}_{v_F}^{\circ, \text{red}}$  is  $\Phi_{v_F}$ , the root system consisting of the vector parts of the affine roots for  $A$  which vanish on  $v_F$  (loc. cit. 1.9). Because  $v_F$  is special,  $\Phi_{v_F} = \Phi(G, A)$ , the relative root system. Thus the Weyl group of  $\overline{\mathcal{G}}_{v_F}^{\circ, \text{red}}$  is isomorphic to  $W(G, A)$ .

These remarks imply that  $\widetilde{W}_K^\sigma$  and  $W(G, A)$  are abstractly isomorphic groups and, in particular, they have the same order.  $\square$

6. A DECOMPOSITION OF THE IWAHORI WEYL GROUP

The goal here is to prove Lemma 3.0.1, (II).

**6.1. A lemma on finite Weyl groups.** Let  $w \in W(G, A)$  and choose a representative  $g \in N_G(A)(F)$  for  $w$ ; write  $[g] = w$ . The tori  $gSg^{-1}$  and  $S$  are both maximal  $L$ -split tori in  $M$ , hence there exists  $m \in M(L)$  such that  $mgSg^{-1}m^{-1} = S$ . We claim that the map

$$\begin{aligned} W(G, A) &\rightarrow W(G, S)/W(M, S) \\ w &\mapsto [mg] \cdot W(M, S) \end{aligned}$$

is well defined and injective. Indeed, suppose  $g_0 \in N_G(A)(F)$  represents an element  $w_0 \in W(G, A)$  and that  $m_0 \in M(L)$  satisfies  $m_0g_0Sg_0^{-1}m_0^{-1} = S$ . To show the map is well defined, we suppose  $w = w_0$  and we show that  $(mg)^{-1}m_0g_0 \in N_M(S)$ . It will suffice to show  $(mg)^{-1}m_0g_0$  belongs to  $M(L)$ . Since  $g$  normalizes  $M = C_G(A)$  and  $g^{-1}g_0 \in M$ , this is obvious. To show the map is injective we suppose  $[mg]W(M, S) = [m_0g_0]W(M, S)$ , that is,  $(mg)^{-1}m_0g_0 \in N_M(S)$ . Arguing



as before, we deduce that  $g^{-1}g_0 \in M$ . This shows that  $w = w_0$  and so we get the injectivity.

*Remark 6.1.1.* Here is another way to describe the map. For an element  $w \in W(G, A)$ , using Lemma 5.0.1 choose an element  $x \in N_G(S)(F) \cap K$  whose image in  $\widetilde{W}_K^\sigma$  maps to  $w$  under the isomorphism  $\widetilde{W}_K^\sigma \xrightarrow{\sim} W(G, A)$ . Then the map sends  $w$  to the coset  $[x]W(M, S)$ .

**Lemma 6.1.2.** *The above map induces a bijection*

$$W(G, A) \xrightarrow{\sim} [W(G, S)/W(M, S)]^\sigma.$$

*Proof.* First we prove the image  $[mg]W(M, S)$  is  $\sigma$ -invariant. This follows because the element  $(mg)^{-1}\sigma(m)g$  belongs to  $M$ , hence to  $N_M(S)$ .

Next, we prove the surjectivity. Suppose  $x \in N_G(S)$  projects to an element in  $W(G, S)$  which represents a  $\sigma$ -fixed coset  $C$  in  $W(G, S)/W(M, S)$ , that is,  $x^{-1}\sigma(x) \in M$ . Then the subtorus  $xAx^{-1} \subset S$  is defined over  $F$ . The inner automorphism  $\text{Int}(x) : S \rightarrow S$ , restricted to  $A$  gives an isomorphism  $\text{Int}(x) : A \xrightarrow{\sim} xAx^{-1}$  which is defined over  $F$ . It follows that  $xAx^{-1}$  is  $F$ -split. Since  $A$  and  $xAx^{-1}$  are maximal  $F$ -split tori in  $S$ , they coincide. Thus  $x \in N_G(A)$ , and the image of  $x$  is the coset  $C$ .  $\square$

*Remark 6.1.3.* If  $G$  is quasi-split over  $F$ , then  $M = T$  and we recover the well-known result that  $W(G, A) = W(G, S)^\sigma$ .

**6.2. Proof of the decomposition.** We keep the notation of the previous subsection. There is a commutative diagram of exact sequences with  $\sigma$ -equivariant morphisms and injective vertical maps

$$\begin{array}{ccccccc} 0 & \longrightarrow & X_*(T)_I & \longrightarrow & \widetilde{W}_M & \longrightarrow & W(M, S) \longrightarrow 0 \\ & & \downarrow = & & \downarrow & & \downarrow \\ 0 & \longrightarrow & X_*(T)_I & \longrightarrow & \widetilde{W} & \longrightarrow & W(G, S) \longrightarrow 0 \end{array}$$

(see [HR], Prop. 13). The canonical map  $\widetilde{W}_M \backslash \widetilde{W} \rightarrow W(M, S) \backslash W(G, S)$  is bijective and  $\sigma$ -equivariant, so we get

$$[\widetilde{W}_M \backslash \widetilde{W}]^\sigma \cong [W(M, S) \backslash W(G, S)]^\sigma.$$

Using the map  $W(G, A) \hookrightarrow \widetilde{W}^\sigma$  constructed in Lemma 5.0.1 we get a commutative diagram

$$\begin{array}{ccc} W(G, A) & \longrightarrow & \widetilde{W}_M^\sigma \backslash \widetilde{W}^\sigma \\ & \searrow & \downarrow \\ & & (\widetilde{W}_M \backslash \widetilde{W})^\sigma. \end{array}$$

The commutativity of this diagram follows using Remark 6.1.1. Since the diagonal arrow is a bijection by the above discussion, and the vertical arrow is obviously an injection, it follows that all arrows in the diagram are bijections. The decomposition

$$\widetilde{W}^\sigma = \widetilde{W}_M^\sigma \cdot W(G, A)$$

follows. It is clear that  $W(G, A)$  normalizes  $\widetilde{W}_M^\sigma$ . This completes the proof of Lemma 3.0.1, (II) .

7. END OF PROOF OF THE CARTAN DECOMPOSITION

7.1. Invariants in the affine Weyl group of  $M$ .

**Lemma 7.1.1.** *Let  $M$  again denote a minimal  $F$ -Levi subgroup, and let  $W_{M,\text{aff}}$  denote the affine Weyl group associated to  $M$ . Then  $W_{M,\text{aff}}^\sigma = 1$ .*

*Proof.* We identify  $W_{M,\text{aff}}$  with the Iwahori-Weyl group  $N_{M_{\text{sc}}}(S_{\text{sc}}^M(L))/T_{\text{sc}}^M(L)_1$ . Let  $I_{M_{\text{sc}}}$  denote the Iwahori subgroup of  $M_{\text{sc}}(L)$  corresponding to a  $\sigma$ -invariant alcove  $\mathfrak{a}^{M_{\text{sc}}}$  in the apartment  $\mathcal{A}_L^{M_{\text{sc}}} = X_*(S_{\text{sc}}^M)_{\mathbb{R}}$  of  $\mathcal{B}(M_{\text{sc}}(L))$  associated to the torus  $S_{\text{sc}}^M$ . By [HR], Remark 9, the set  $W_{M,\text{aff}}^\sigma$  is in bijective correspondence with

$$I_{M_{\text{sc}}}(F) \backslash M_{\text{sc}}(F) / I_{M_{\text{sc}}}(F).$$

Therefore, it is enough to prove that  $M_{\text{sc}}(F) = I_{M_{\text{sc}}}(F)$ . But  $M_{\text{sc}}(F) = M_{\text{sc}}(F)_1 = I_{M_{\text{sc}}}$ . (For the second equality, use Lemma 4.2.1 with  $G = M_{\text{sc}}$ .)  $\square$

**7.2. Conclusion of the proof of Theorem 1.0.3.** We have fixed the  $\sigma$ -stable alcove  $\mathfrak{a}$  and this determines the  $\sigma$ -stable alcove  $\mathfrak{a}^M$  and the corresponding subgroup  $\Omega_M \subset \widetilde{W}_M$ . There is a canonical  $\sigma$ -equivariant decomposition  $\widetilde{W}_M = W_{M,\text{aff}} \rtimes \Omega_M$ , so in view of the above lemma, we deduce that

$$\widetilde{W}_M^\sigma = \Omega_M^\sigma.$$

This completes the proof of the last part, namely (III), of Lemma 3.0.1. Since the Theorem 1.0.3 is a consequence of Lemma 3.0.1, we have proved Theorem 1.0.3.  $\square$

8. CHARACTERIZATION OF SPECIAL MAXIMAL COMPACT SUBGROUPS

Let

$$v_G : G(L) \rightarrow X^*(Z(\widehat{G}))_I / \text{torsion}$$

denote the homomorphism derived from the Kottwitz homomorphism

$$\kappa_G : G(L) \rightarrow X^*(Z(\widehat{G}))_I$$

in the obvious way. Denote its kernel by  $G(L)^1$  and let  $G(F)^1 = G(L)^1 \cap G(F)$  (cf. [BT2], 5.1.29). Note that if  $M$  is a minimal  $F$ -Levi subgroup of  $G$ , then  $M(F)^1$  is the unique maximal compact open subgroup of  $M(F)$ , consistent with the notation used in the introduction.

Let  $K := \mathcal{G}_{v_F}^\circ(\mathcal{O}_F)$ , the maximal parahoric subgroup of  $G(F)$  corresponding to  $v_F$ . By [HR], Prop. 3 and Remark 9, we have the equality

$$K = G(F)_1 \cap \text{Fix}(\mathfrak{a}_0).$$

Using the Claim from the proof of Lemma 4.1.1 in the case  $M = G$ , we derive the equality

$$(8.0.1) \quad K = G(F)_1 \cap \text{Fix}(v_F).$$

Our goal is to prove the analogous description of  $\widetilde{K}$ .

**Lemma 8.0.1.** *The special maximal compact subgroups of  $G(F)$  are precisely the subgroups of the form*

$$(8.0.2) \quad \widetilde{K} = G(F)^1 \cap \text{Fix}(v_F),$$

where  $v_F$  ranges over the special vertices in the building  $\mathcal{B}(G_{\text{ad}}(F))$ .

*Proof.* A compact subgroup of  $G(F)$  is automatically contained in  $G(F)^1$ . This follows from the alternative description of  $G(L)^1$  as the intersection of the kernels of the homomorphisms  $|\chi| : G(L) \rightarrow \mathbb{R}_{>0}$ , where  $\chi$  ranges over  $L$ -rational characters on  $G$ .

Thus, using [BT1], Cor. (4.4.1), every maximal compact subgroup  $\tilde{K}$  of  $G(F)$  (equiv., of  $G(F)^1$ ) is the stabilizer in  $G(F)^1$  of a well-defined facet in the building  $\mathcal{B}(G_{\text{der}}(F))$ . By definition, such a  $\tilde{K}$  is special if and only if the facet it stabilizes is a special vertex  $v_F$ . In that case, we have  $\tilde{K} = G(F)^1 \cap \text{Fix}(v_F)$ .

To show the converse, we must check that  $G(F)^1 \cap \text{Fix}(v_F)$  is compact (the argument above will then show it is (special) maximal compact). Recall  $K = \mathcal{G}_{v_F}^\circ(\mathcal{O}_F)$  is compact and is given by (8.0.1). Since  $G(F)_1 \cap \text{Fix}(v_F)$  has finite index in  $G(F)^1 \cap \text{Fix}(v_F)$ , and since the former is compact, so is the latter. This completes the proof.  $\square$

*Remark 8.0.2.* Equation (8.0.1) can be generalized. Let  $\mathfrak{a}_J$  denote any  $\sigma$ -stable alcove in  $\mathcal{B}(G(L))$ . Then

$$\mathcal{G}_{\mathfrak{a}_J}^\circ(\mathcal{O}_F) = G(F)_1 \cap \text{Fix}(\mathfrak{a}_J^\sigma).$$

However, if  $\mathcal{G}_{\mathfrak{a}_J}^\circ$  is replaced with the “full-fixer” group scheme  $\widehat{\mathcal{G}}_{\mathfrak{a}_J}$  (cf. [BT2], 4.6.28, 5.1.29), the corresponding statement

$$\widehat{\mathcal{G}}_{\mathfrak{a}_J}(\mathcal{O}_F) = G(F)^1 \cap \text{Fix}(\mathfrak{a}_J^\sigma)$$

is false. Indeed, the right-hand side, a general analogue of our  $\tilde{K}$  above, can be strictly larger than the left-hand side. For example, consider the anisotropic group  $G = D^\times/F^\times$  of Remark 11.1.3, and let  $\mathfrak{a}_J^\sigma = v_F$ , and  $\mathfrak{a}_J = \mathfrak{a}$ . Then the right-hand side is  $G(F)$ , but the left-hand side is a subgroup of index  $n = \sqrt{\dim_F(D)}$ , namely  $\mathcal{O}_D^\times/\mathcal{O}_F^\times$ .

### 9. STATEMENT OF THE SATAKE ISOMORPHISM

In this section, let  $P = MN$  denote any  $F$ -rational parabolic subgroup of  $G$  with unipotent radical  $N$ , which has  $M$  as a Levi factor.

**9.1. Iwasawa decomposition.** In light of Lemma 8.0.1, the following version of the Iwasawa decomposition can be derived easily from similar statements in the literature (cf. [BT1], Rem. (4.4.5) or Prop. (7.3.1)):

**Proposition 9.1.1.** *There is an equality of sets*

$$G(F) = P(F) \cdot \tilde{K}(F).$$

We need the variant of this where  $\tilde{K}(F)$  is replaced by  $K(F)$ . It will be enough to prove that

$$\tilde{K}(F) = (\tilde{K} \cap M(F)) \cdot K(F).$$

Using (3.0.1) together with Lemma 3.0.1, we see that any element  $\tilde{k} \in \tilde{K}(F)$  satisfies

$$\tilde{k} \in K(F)mK(F)$$

for some  $m \in \Omega_M^\sigma \subset M(F)$ . It follows that  $m \in \tilde{K}(F)$ , and then since  $\tilde{K}(F)$  normalizes  $K(F)$  (cf. e.g. Lemma 8.0.1), we see that  $\tilde{k} \in mK(F)$  as desired.

We have thus proved the first part of the following corollary.

**Corollary 9.1.2** (Iwasawa decomposition). *There is an equality of sets*

$$G(F) = P(F) \cdot K(F).$$

Moreover,  $P(F) \cap K(F) = (M(F) \cap K) \cdot (N(F) \cap K)$ .

*Proof.* We need only show the second equality, which can be rewritten as

$$P(F) \cap \mathcal{G}_{v_F}^\circ(\mathcal{O}_F) = (M(F) \cap \mathcal{G}_{v_F}^\circ(\mathcal{O}_F)) \cdot (N(F) \cap \mathcal{G}_{v_F}^\circ(\mathcal{O}_F)).$$

This follows from [BT2], 5.2.4 (taking the set denoted by  $\Omega$  there to be  $\{v_F\}$ ).  $\square$

**9.2. Construction of the Satake transform.** We will follow the approach taken in [HKP], which treated the case of  $F$ -split groups.

Recall that  $\mathcal{H}_K := C_c(K(F) \backslash G(F) / K(F))$ , the spherical Hecke algebra of  $K(F)$ -bi-invariant compactly-supported functions on  $G(F)$ . The convolution is defined using the Haar measure on  $G(F)$  which gives  $K(F)$  volume 1.

Set  $R := \mathbb{C}[M(F)/M(F)_1]$ . Since  $M(F)_1$  is the unique parahoric subgroup of  $M(F)$ , this is just the Iwahori-Hecke algebra for  $M(F)$ . Let

$$\mathbf{M} := C_c(M(F)_1 N(F) \backslash G(F) / K(F)),$$

where the subscript ‘‘c’’ means we consider functions supported on finitely many double cosets. Then  $\mathbf{M}$  carries an obvious right convolution action under  $\mathcal{H}_K$ . It also carries a left action by  $R$  given by normalized convolutions:

$$r \cdot \phi(m) := \int_{M(F)} \delta_P^{1/2}(m_1) r(m_1) \phi(m_1^{-1}m) dm_1.$$

Here  $dm_1$  is the Haar measure on  $M(F)$  giving  $M(F)_1$  volume 1, and  $\delta_P$  is the modular function on  $P(F)$  given by the normalized absolute value of the determinant of the adjoint action on  $\text{Lie}(N(F))$ . For  $m \in M(F)$  we have

$$\delta_P(m) := |\det(\text{Ad}(m) ; \text{Lie}(N(F)))|_F.$$

The actions of  $R$  and  $\mathcal{H}_K$  on  $\mathbf{M}$  commute, so that  $\mathbf{M}$  is an  $(R, \mathcal{H}_K)$ -bimodule.

**Lemma 9.2.1.** *The  $R$ -module  $\mathbf{M}$  is free of rank 1, with canonical generator*

$$v_1 := \text{char}(M(F)_1 N(F) K(F)).$$

*Proof.* This follows directly from Corollary 9.1.2.  $\square$

Given  $f \in \mathcal{H}_K$ , let  $f^\vee \in R$  denote the unique element satisfying the identity

$$(9.2.1) \quad v_1 f = f^\vee v_1.$$

It is obvious that

$$\begin{aligned} \mathcal{H}_K &\rightarrow R \\ f &\mapsto f^\vee \end{aligned}$$

is a  $\mathbb{C}$ -algebra homomorphism.

Evaluating both sides of (9.2.1) on  $m \in M(F)$  and using the usual  $G = MNK$  integration formula (see [Car]), we get the familiar expression

$$(9.2.2) \quad f^\vee(m) = \delta_P^{-1/2}(m) \int_{N(F)} f(nm) dn = \delta_P^{1/2}(m) \int_{N(F)} f(mn) dn,$$

where  $dn$  gives  $N(F) \cap K(F)$  measure 1.

10. THE SATAKE TRANSFORM IS AN ISOMORPHISM

**10.1. Weyl group invariance.** The first step is to prove that  $f^\vee$  belongs to the subring  $R^{W(G,A)}$  of  $W(G,A)$ -invariants in  $R$ . Once this is proved, the functoriality of the Kottwitz homomorphism

$$\kappa_M : M(F)/M(F)_1 \xrightarrow{\sim} X^*(Z(\widehat{M}))_I^\sigma$$

shows that  $f^\vee \in \mathbb{C}[X^*(Z(\widehat{M}))_I^\sigma]^{W(G,A)}$ , as well.

The argument is virtually the same as Cartier’s [Car]. Define a function on  $m \in M(F)$  by

$$D(m) = |\det(\text{Ad}(m) - 1; \text{Lie } G(F)/\text{Lie } M(F))|^{1/2}.$$

Then exactly as in loc. cit. one can prove the formula

$$(10.1.1) \quad f^\vee(m) = D(m) \int_{G/A} f(gmg^{-1}) \frac{dg}{da}$$

on the Zariski-dense subset of elements  $m \in M(F)$  which are regular semi-simple as elements in  $G$ . Here  $dg$  (resp.  $da$ ) is the Haar measure on  $G(F)$  (resp.  $A(F)$ ) which gives  $K$  (resp.  $K \cap A(F)$ ) volume 1. By Lemma 3.0.1 (I), every element  $w \in W(G,A)$  can be represented by an  $x \in N_G(A) \cap K$ . Clearly,  $D(m) = D(xmx^{-1})$ . Since the measure on  $G/A$  is invariant under conjugation by  $x$ , we see as in loc. cit. that the integral in (10.1.1) is also invariant under  $m \mapsto xmx^{-1}$ . Thus (10.1.1) is similarly invariant, as desired.

*Remark 10.1.1.* As in the case of  $\mathcal{H}_{\widetilde{K}}$ , equation (10.1.1) also shows that  $f^\vee$  is independent of the choice of  $F$ -rational parabolic subgroup  $P$  which contains  $M$  as a Levi factor.

**10.2. Upper triangularity.** The second step is to show that with respect to natural  $\mathbb{C}$ -bases of  $\mathcal{H}_K$  and  $R^{W(G,A)}$ , the map  $f \mapsto f^\vee$  is “invertible upper triangular”, hence is an isomorphism of algebras.

The set  $\widetilde{W}_K^\sigma \backslash \widetilde{W}^\sigma / \widetilde{W}_K^\sigma \cong W(G,A) \backslash \Omega_M^\sigma$  provides a natural  $\mathbb{C}$ -basis for  $\mathcal{H}_K$  and for  $R^{W(G,A)}$ . Recall that  $\widetilde{W}$  has a natural structure of a *quasi-Coxeter group*

$$\widetilde{W} = W_{\text{aff}} \rtimes \Omega$$

(cf. [HR], Lemma 14). We extend the Bruhat order  $\leq$  and the length function  $\ell$  from  $W_{\text{aff}}$  to  $\widetilde{W}$  in the usual way (cf. loc. cit.). Given  $x \in \widetilde{W}$ , denote by  $\tilde{x} \in \widetilde{W}$  the unique minimal element in  $\widetilde{W}_K x \widetilde{W}_K$ . (Note that  $\widetilde{W}_K$  is finite and that the usual theory of such minimal elements for Coxeter groups goes over to handle quasi-Coxeter groups.)

By [HR], Remark 9, we may regard  $\widetilde{W}_K^\sigma \backslash \widetilde{W}^\sigma / \widetilde{W}_K^\sigma$  as a subset (the  $\sigma$ -invariant elements) in  $\widetilde{W}_K \backslash \widetilde{W} / \widetilde{W}_K$ . For  $y, y' \in W(G,A) \backslash \Omega_M^\sigma$ , resp.,  $x, x' \in \widetilde{W}_K^\sigma \backslash \widetilde{W}^\sigma / \widetilde{W}_K^\sigma$ , we define the partial order  $\preceq$  by requiring

$$\begin{aligned} y \preceq y' &\Leftrightarrow \tilde{y} \leq \tilde{y}', \text{ resp.} \\ x \preceq x' &\Leftrightarrow \tilde{x} \leq \tilde{x}'. \end{aligned}$$

The set  $W(G,A) \backslash \Omega_M^\sigma$  is countable and every element  $y$  has only finitely many predecessors with respect to the partial order  $\preceq$ . Therefore, there is a total ordering  $y_1, y_2, \dots$  on this set which is compatible with  $\preceq$ , meaning that  $y_i \preceq y_j$  only if

$i \leq j$ . Similar remarks apply to the partially ordered set  $\widetilde{W}_K^\sigma \backslash \widetilde{W}^\sigma / \widetilde{W}_K^\sigma$ , and we get an analogous total ordering  $x_1, x_2, \dots$  for it.

We claim that the matrix for  $f \mapsto f^\vee$  in terms of the bases  $\{y_i\}_1^\infty$  and  $\{x_i\}_1^\infty$  is upper triangular and invertible. The upper triangularity is the content of the next lemma.

**Lemma 10.2.1.** *Suppose  $x \in \widetilde{W}^\sigma$  and  $y \in \Omega_M^\sigma$  and that*

$$(10.2.1) \quad N(F)yK(F) \cap K(F)xK(F) \neq \emptyset.$$

*Then  $\tilde{y} \leq \tilde{x}$ .*

*Proof.* Let  $I$  denote the Iwahori subgroup of  $G(L)$  associated to the  $\sigma$ -stable alcove  $\mathfrak{a}$ , as defined earlier. We shall need two BN-pair relations. The first is the relation

$$(10.2.2) \quad K(L) = I(L)\widetilde{W}_K I(L).$$

This follows easily using [HR], Prop. 8. The second is the relation

$$(10.2.3) \quad I(L)wI(L)w'I(L) \subseteq \prod_{w'' \leq w'} I(L)ww''I(L).$$

This relation per se does not appear in the literature, but it follows easily from the BN-pair relations established in [BT2], 5.2.12 (cf. [HR], paragraph following Lemma 17).

Using (10.2.2) and (10.2.3) we see that (10.2.1) implies that

$$(10.2.4) \quad N(L)yI(L) \cap I(L)x'I(L) \neq \emptyset$$

for some  $x' \in \widetilde{W}_K x \widetilde{W}_K$ . Write

$$(10.2.5) \quad ny = ix'i'$$

for  $n \in N(L)$ , and  $i, i' \in I(L)$ . Choose a cocharacter  $\lambda \in X_*(A)$  such that  $\varpi^\lambda n \varpi^{-\lambda} \in I(L)$ . Then multiplying (10.2.5) by  $\varpi^\lambda$  we see that

$$I(L)\varpi^\lambda y I(L) \subseteq I(L)\varpi^\lambda I(L)x'I(L).$$

Using (10.2.3) again we deduce that

$$I(L)\varpi^\lambda y I(L) = I(L)\varpi^\lambda x'' I(L)$$

and hence  $y = x''$  for some  $x'' \in \widetilde{W}$  with  $x'' \leq x'$ . Thus  $\tilde{y} \leq \tilde{x}$ . A standard argument then shows that  $\tilde{y} \leq \tilde{x}$ , which is what we wanted to prove.  $\square$

Finally, the invertibility follows from the obvious fact that

$$N(F)xK(F) \cap K(F)xK(F) \neq \emptyset.$$

This completes the proof that  $f \mapsto f^\vee$  is an isomorphism.  $\square$

## 11. THE STRUCTURE OF $\Lambda_M$

It is clear that  $\Lambda_M = X^*(Z(\widehat{M}))_I^\sigma$  is a finitely-generated abelian group. In this section we make it more concrete in various situations.

**11.1. General results.** As before, in this subsection  $T$  denotes the centralizer in  $G$  of the torus  $S$ . Recall that we can assume  $S$  is defined over  $F$ , and so  $T$  is also defined over  $F$ . Recall also that  $T_{\text{sc}}^M$  denotes the pull-back of  $T$  via  $M_{\text{sc}} \rightarrow M$ .

**Lemma 11.1.1.** *There is an embedding  $X_*(T)_I^\sigma \hookrightarrow \Lambda_M$  whose cokernel is isomorphic to the finite abelian group  $\ker[X_*(T_{\text{sc}}^M)_\Gamma \rightarrow X_*(T)_\Gamma]$ .*

*Proof.* Use the long exact sequence for  $H^i(\langle \sigma \rangle, -)$  associated to the short exact sequence

$$0 \longrightarrow X_*(T_{\text{sc}}^M)_I \longrightarrow X_*(T)_I \longrightarrow X^*(Z(\widehat{M}))_I \longrightarrow 0.$$

(For a discussion of this short exact sequence, see [HR], proof of Prop. 13.) Note that  $X_*(T_{\text{sc}}^M)_I^\sigma \subset W_{M,\text{aff}}^\sigma = 1$  (cf. Lemma 7.1.1). Also,  $X_*(T_{\text{sc}}^M)_\Gamma$  is finite because  $M_{\text{sc}}$  is anisotropic over  $F$ . The lemma follows easily using these remarks.  $\square$

**Corollary 11.1.2.** (a) *If  $G$  is quasi-split over  $F$ , then  $\Lambda_M = X_*(T)_I^\sigma$ .*

(b) *If  $G$  is split over  $L$ , then  $\Lambda_M$  fits into the exact sequence*

$$1 \rightarrow X_*(A) \rightarrow \Lambda_M \rightarrow \ker[X_*(T_{\text{sc}}^M)_\sigma \rightarrow X_*(T)_\sigma] \rightarrow 0.$$

(c) *If  $G$  is unramified over  $F$ , then  $\Lambda_M = X_*(A)$ .*

*Proof.* Part (a). Since  $G$  is quasi-split over  $F$ , we have  $M = T$ , and the desired formula follows directly from the definition of  $\Lambda_M$ .

Part (b) follows immediately from Lemma 11.1.1.

Part (c) follows as a special case of either (a) or (b). Part (c) was known previously (cf. [Bo], 9.5).  $\square$

*Remark 11.1.3.* If  $G$  is semi-simple and anisotropic, then  $\Lambda_M$  is finite. There are examples, namely  $G = D^\times/F^\times$  for  $D$  a central simple division algebra over  $F$  with  $\dim_F(D) > 1$ , where  $\Lambda_M \neq 0$ .

At the opposite extreme, let  $E/F$  denote a finite totally ramified extension. Consider the “diagonal” embedding  $\mathbb{G}_m \hookrightarrow \mathbb{R}_{E/F}\mathbb{G}_m$  and set  $G = (\mathbb{R}_{E/F}\mathbb{G}_m)/\mathbb{G}_m$ . Then  $\Lambda_G$  is torsion, and non-zero if  $E \neq F$ .

The next proposition tells us how to measure the difference between the subgroups  $K$  and  $\widetilde{K}$  of  $G(F)$  attached to a special vertex  $v_F$ . This will complete the proof of Theorem 1.0.1. For an abelian group  $H$  let  $H_{\text{tor}}$  denote its torsion subgroup.

**Proposition 11.1.4.** *There is a set-theoretic inclusion  $\Omega_{M,\text{tor}}^\sigma \subset \widetilde{K}$  which induces an isomorphism of groups*

$$\Lambda_{M,\text{tor}} \xrightarrow{\sim} \widetilde{K}/K.$$

*Proof.* Clearly,  $\Omega_{M,\text{tor}}^\sigma$  lies in  $M(F)^1$  hence in  $G(F)^1$ . Also, every element of  $M(F)^1$  acts trivially on the apartment  $\mathcal{A}_L^\sigma$ , and in particular, fixes  $\mathfrak{a}_\sigma^\sigma$ . This shows that  $\Omega_{M,\text{tor}}^\sigma \subset \text{Fix}^{G(F)}(v_F) \cap G(F)^1 = \widetilde{K}$  (cf. Lemma 8.0.1).

We claim the induced homomorphism  $\Omega_{M,\text{tor}}^\sigma \rightarrow \widetilde{K}/K$  is an isomorphism. It is injective because

$$\Omega_M \cap K = \Omega_M \cap M(F) \cap K = \Omega_M \cap M(F)_1 = \{1\}$$

(cf. Lemma 4.2.1).

Let us prove surjectivity. Any coset in  $\widetilde{K}/K$  can be represented by an element  $x \in \Omega_M^\sigma$ . We need to show this element is torsion. Let  $r$  be such that  $x^r \in K$ . But then  $x^r \in \Omega_M^\sigma \cap K = \{1\}$  (see above), and we are done.  $\square$

**Corollary 11.1.5.** *If  $M_L$  is  $L$ -split group and  $M_{\text{der}} = M_{\text{sc}}$ , then  $\Lambda_M$  is torsion-free, and for every special vertex  $v_F$ , we have  $\widetilde{K}_{v_F} = K_{v_F}$ .*

*Proof.* We have

$$(11.1.1) \quad X^*(Z(\widehat{M}))_I = X^*(Z(\widehat{M}))$$

and the latter is torsion free since  $M_{\text{der}} = M_{\text{sc}}$  is equivalent to  $Z(\widehat{M})$  being connected.  $\square$

*Remark 11.1.6.* The hypotheses on  $M$  hold if  $G_{\text{der}} = G_{\text{sc}}$  and  $G_L$  is an  $L$ -split group.

**Corollary 11.1.7.** *If  $G = G_{\text{sc}}$ , then  $\widetilde{K} = K$  and  $\Lambda_M$  is torsion-free.*

*Proof.* Observe that since  $Z(\widehat{G}) = 1$  we have  $G(F)_1 = G(F)^1 = G(F)$ . Then use (8.0.1) and (8.0.2).  $\square$

Of course, this corollary was already known (cf. [BT2], 4.6.32).

**11.2. Passing to inner forms.** It is of interest to describe  $\Lambda_M$  explicitly in terms of an appropriate maximal torus  $\widehat{T}$  in  $\widehat{G}$ . For quasi-split groups this has been done in Corollary 11.1.2, (a), which proves that  $\Lambda_M = X^*(\widehat{T})_I^\sigma = X^*(\widehat{T}^I)^\sigma$ . Here we study the effect of passing to an inner form of a quasi-split group.

Thus, we fix a connected reductive group  $G^*$  which is quasi-split over  $F$ . Recall that an inner form of  $G^*$  is a pair  $(G, \Psi)$  consisting of a connected reductive  $F$ -group  $G$  and a  $\Gamma$ -stable  $G_{\text{ad}}^*(F^s)$ -orbit  $\Psi$  of  $F^s$ -isomorphisms  $\psi : G \rightarrow G^*$ . The set of isomorphism classes of inner forms of  $G^*$  corresponds bijectively to the set  $H^1(F, G_{\text{ad}}^*)$ , by the rule which sends  $(G, \Psi)$  to the 1-cocycle  $\tau \mapsto \psi \circ \tau(\psi)^{-1}$  for any  $\psi \in \Psi$  (cf. [Ko97], 5.2).

Now assume  $(G, \Psi)$  is an inner form of  $G^*$ . Denote the action of  $\tau \in \Gamma$  on  $G(F^s)$  (resp.  $G^*(F^s)$ ) by  $\tau$  (resp.  $\tau^*$ ).

Let  $A$  be a maximal  $F$ -split torus in  $G$ , and let  $S$  denote a maximal  $F^{\text{un}}$ -split torus in  $G$  which is defined over  $F$  and contains  $A$ . Such a torus  $S$  exists by [BT2], 5.1.12, noting that any  $F$ -torus which is split over  $L$  is already split over  $F^{\text{un}}$ . Let  $T = C_G(S)$  and  $M = C_G(A)$ . Then  $T$  is a maximal torus of  $G$ , since the group  $G_{F^{\text{un}}}$  is quasi-split. Let  $A^*, S^*, T^*$  have the corresponding meaning for the group  $G^*$ , and assume that  $T^*$  is contained in an  $F$ -rational Borel subgroup  $B^* = T^*U^*$  of  $G^*$ . Of course  $T^* = C_{G^*}(A^*)$  since  $G^*$  is quasi-split over  $F$ .

Let  $P = MN$  be an  $F$ -rational parabolic subgroup of  $G$  having Levi factor  $M$  and unipotent radical  $N$ . Let  $P^*$  be the unique standard  $F$ -rational parabolic subgroup of  $G^*$  which is  $G^*(F^s)$ -conjugate to  $\psi(P)$  for all  $\psi \in \Psi$  (cf. [Bo], section 3). Let  $M^*$  denote the unique Levi factor of  $P^*$  which contains  $T^*$ . Let  $\Psi_M$  denote the set of  $\psi \in \Psi$  such that  $\psi(P) = P^*$  and  $\psi(M) = M^*$ . Then  $\Psi_M$  is a non-empty  $\Gamma$ -stable  $M_{\text{ad}}^*(F^s)$ -orbit of  $F^s$ -isomorphisms  $M \rightarrow M^*$ ; hence  $M$  is an inner form of the  $F$ -quasi-split group  $M^*$ .

It is clear that  $G_{F^{\text{un}}}$  and  $G_{F^{\text{un}}}^*$  are isomorphic, since they are inner forms of each other and are both quasi-split (cf. [Tits], 1.10.3). In fact, it is easy to see that any inner twisting  $G_{F^{\text{un}}} \xrightarrow{\sim} G_{F^{\text{un}}}^*$  over  $F^{\text{un}}$  is  $G^*(F^s)$ -conjugate to an *isomorphism* of



$F^{\text{un}}$ -groups. For this a key fact is that the image  $T_{\text{ad}}^*$  of  $T^*$  in  $G_{\text{ad}, F^{\text{un}}}^*$  is an induced  $F^{\text{un}}$ -torus. The same remarks obviously apply to  $M_{F^{\text{un}}}$  and  $M_{F^{\text{un}}}^*$ . Hence we may choose  $\psi_0 \in \Psi_M$  such that  $\psi_0 : M \rightarrow M^*$  is an  $F^{\text{un}}$ -isomorphism and  $\psi_0(S) = S^*$  (and thus also  $\psi_0(T) = T^*$ ). Since  $\psi_0$  restricted to  $A$  is defined over  $F$ , we see that  $\psi_0(A)$  is an  $F$ -split subtorus of  $T^*$  and hence  $\psi_0(A) \subseteq A^*$ .

Let  $\tilde{\sigma}$  denote any lift in  $\Gamma$  of the Frobenius element  $\sigma \in \text{Gal}(F^{\text{un}}/F)$ . We may write

$$\psi_0 \circ \tilde{\sigma}(\psi_0)^{-1} = \psi_0 \circ \sigma(\psi_0)^{-1} = \text{Int}(m_\sigma^*)$$

for an element  $m_\sigma^* \in N_{M^*}(S^*)(F^s)$  whose image in  $M_{\text{ad}}^*(F^s)$  is well defined. As operators on  $X_*(T^*) = X^*(\widehat{T}^*)$ , we may write

$$(11.2.1) \quad \psi_0 \circ \sigma(\psi_0)^{-1} = w_\sigma^*$$

for a well-defined element  $w_\sigma^* \in W(M^*, S^*)(F^{\text{un}})$ . Denote by  $w_\sigma$  the preimage under the isomorphism  $\psi_0 : W(M, S)(F^{\text{un}}) \xrightarrow{\sim} W(M^*, S^*)(F^{\text{un}})$  of  $w_\sigma^*$ . Then (11.2.1) translates into the equality

$$(11.2.2) \quad \sigma \circ \psi_0^{-1} \circ (\sigma^*)^{-1} \circ \psi_0 = w_\sigma$$

of operators on  $X_*(T) = X^*(\widehat{T})$ . In defining  $w_\sigma \in W(M, S)$ , we fixed the objects  $A$  and  $S$  (needed to specify the ambient group  $W(M, S)$ ) and along the way we also chose several additional objects:  $P, A^*, S^*, B^*$ , and an element  $\psi_0 \in \Psi_M$  such that  $\psi_0(S) = S^*$  and  $\psi_0 : M \rightarrow M^*$  is  $F^{\text{un}}$ -rational. It is straightforward to check that the element  $w_\sigma \in W(M, S)$  is independent of all of these additional choices.

**11.3. Inner forms of split groups.** In this subsection we assume  $G^*$  is  $F$ -split. Then  $A^* = S^* = T^*$ , and  $G_{F^{\text{un}}}^*$  and  $M_{F^{\text{un}}}^*$  are split groups. In particular, the relative Weyl group  $W(M^*, S^*)$  coincides with the absolute Weyl group  $W(M^*, T^*)$ . Using  $\psi_0$  as above, we may regard  $w_\sigma$  as an element of  $W(M, S) = W(M, T)^I = W(\widehat{M}, \widehat{T})^I$ .

For the next lemma, we need to recall the notion of cuspidal elements of Weyl groups. Let  $(W, S)$  be any Coxeter group with a finite set  $S$  of simple reflections. We say  $w \in W$  is *cuspidal* if every conjugate of  $w$  is elliptic, that is, every conjugate  $w'$  has the property that any reduced expression for  $w'$  contains every element of  $S$ . Note that the identity element of  $W$  is cuspidal if and only if  $S = \emptyset$ , in which case  $W$  itself is trivial.

- Lemma 11.3.1.** (a) *The element  $w_\sigma$  is a cuspidal element of the absolute Weyl group  $W(M, T)$  of  $M$ .*  
 (b) *The group  $M$  is of type A and the element  $w_\sigma$  is a Coxeter element of  $W(M, T)$ .*  
 (c) *We have the equality  $Z(\widehat{M}) = \widehat{T}^{w_\sigma}$ .*

*Proof.* Part (a). We may assume  $M \neq T$  and hence  $W(M, T)$  is not trivial. Suppose the assertion is false. Then there is a notion of simple positive root for  $M, T$  and a corresponding Coxeter group structure on  $W(M, T)$ , for which  $w_\sigma$  is not an elliptic element. Let  $s_i$  denote a simple reflection in  $W(M, T)$  which does not appear in a reduced expression for  $w_\sigma$ . Then the corresponding fundamental coweight  $\lambda_i \in X_*(T/Z(M))$  for  $M_{\text{ad}}$  is fixed by  $w_\sigma$ . It is also fixed by  $\psi_0^{-1} \circ (\sigma^*)^{-1} \circ \psi_0$ . Thus by (11.2.2)  $\lambda_i$  is fixed by  $\sigma$ , and  $\lambda_i(\mathbb{G}_m)$  is an  $F$ -split torus in  $M_{\text{ad}}$ . This contradicts the fact that  $M_{\text{ad}}$  is anisotropic over  $F$ .

Part (b). Since every anisotropic  $F$ -group is type A (cf. Kneser [Kn] and Bruhat-Tits [BT3], 4.3), the group  $M$  is type A. For type A groups, every cuspidal element in the Weyl group is Coxeter, as may be seen using cycle decompositions of permutations. Thus, the cuspidal element  $w_\sigma$  is a Coxeter element of  $W(M, T)$ .

Part (c). It is enough to prove the following statement: if  $\mathcal{G}$  is a type A connected reductive complex group with maximal torus  $\mathcal{T}$ , and if  $w \in W(\mathcal{G}, \mathcal{T})$  is a Coxeter element, then  $Z(\mathcal{G}) = \mathcal{T}^w$ . First, if  $\mathcal{G} = \mathrm{PGL}_n$ , a simple computation shows that  $\mathcal{T}^w = 1 = Z(\mathcal{G})$ . Since  $\mathcal{G}_{\mathrm{ad}}$  is a product of projective linear groups and  $w$  corresponds to a product of Coxeter elements, this also handles the case of adjoint groups. In the general case, note that an element  $t \in \mathcal{T}^w$  maps to  $(\mathcal{T}_{\mathrm{ad}})^w = 1$  in  $\mathcal{G}_{\mathrm{ad}}$ , hence  $t \in \ker(\mathcal{G} \rightarrow \mathcal{G}_{\mathrm{ad}}) = Z(\mathcal{G})$ .  $\square$

**Corollary 11.3.2.** *If  $G$  is an inner form of an  $F$ -split group, then*

$$\Lambda_M = X^*(Z(\widehat{M})) = X^*(\widehat{T}^\sigma) = X_*(T)_\sigma.$$

*Proof.* The element  $\sigma^*$  acts trivially on  $Z(\widehat{M}) \hookrightarrow \widehat{T}^*$ , since  $T^*$  is  $F$ -split. Moreover,  $w_\sigma \in W(M, T)$  acts trivially on  $X^*(Z(\widehat{M}))$ . Then using (11.2.2) it follows that  $\sigma$  acts trivially on  $X^*(Z(\widehat{M}))_I = X^*(Z(\widehat{M}))$ . This proves the first equality.

The second equality follows similarly using Lemma 11.3.1, (c), and the third equality is apparent.  $\square$

## 12. THE TRANSFER HOMOMORPHISM

Now we return to the conventions and notation of subsection 11.2. Let  $\mathcal{A}_L^S$  (resp.  $\mathcal{A}_L^{S^*}$ ) denote the apartment of  $\mathcal{B}(G(L))$  (resp.  $\mathcal{B}(G^*(L))$ ) corresponding to  $S$  (resp.  $S^*$ ). The twisting  $\psi_0$  gives an isomorphism  $X_*(S)_\mathbb{R} \rightarrow X_*(S^*)_\mathbb{R}$  of the real vector spaces underlying these apartments. Let  $K$  (resp.  $K^*$ ) denote a special maximal parahoric subgroup of  $G(F)$  (resp.  $G^*(F)$ ) corresponding to a special vertex in  $(\mathcal{A}_L^S)^\sigma$  (resp.  $(\mathcal{A}_L^{S^*})^{\sigma^*}$ ). Then our goal is to define a canonical algebra homomorphism

$$t : \mathcal{H}_{K^*}(G^*) \rightarrow \mathcal{H}_K(G).$$

We expect  $t$  will play a role in the study of Shimura varieties with parahoric level structure and in some related problems in  $p$ -adic harmonic analysis. These issues will be addressed on another occasion.

### 12.1. Relating the relative Weyl groups for $G^*$ and $G$ .

**Proposition 12.1.1.** *Any twist  $\psi_0 \in \Psi_M$  induces a map*

$$W(G, A) \rightarrow W(G^*, A^*)/W(M^*, A^*).$$

*Proof.* For  $w \in W(G, A)$ , choose a lift  $n \in N_G(S)^\sigma$  (cf. Lemma 5.0.1). Write

$$\sigma \circ \psi_0^{-1} \circ (\sigma^*)^{-1} \circ \psi_0 = \mathrm{Int}(m_\sigma)$$

for an element  $m_\sigma \in N_M(S)(F^s)$ . Set  $m_* = \psi_0(\sigma^{-1}(m_\sigma)) \in N_{M^*}(S^*)(F^s)$ . Using  $\sigma(n) = n$  and the fact that  $\psi_0(n)$  normalizes  $M^*$ , we obtain

$$\begin{aligned} (\sigma^*)^{-1}(\psi_0(n)) &= m_* \psi_0(n) m_*^{-1} \\ &= \psi_0(n) \cdot (\psi_0(n))^{-1} m_* \psi_0(n) m_*^{-1} \\ &\in \psi_0(n) N_{M^*}(S^*). \end{aligned}$$

Thus  $n \mapsto \psi_0(n)$  induces a well-defined map

$$W(G, A) \rightarrow \left( W(G^*, S^*)/W(M^*, S^*) \right)^{\sigma^*}.$$

The natural map  $W(G^*, S^*)^{\sigma^*} \rightarrow \left( W(G^*, S^*)/W(M^*, S^*) \right)^{\sigma^*}$  is surjective. Indeed, the choice of an  $F$ -rational Borel subgroup of  $G^*$  containing  $T^*$  gives us a notion of length on  $W(G^*, S^*)$  which is preserved by  $\sigma^*$ , so that the minimal-length representatives of  $\sigma^*$ -fixed cosets in  $W(G^*, S^*)/W(M^*, S^*)$  are fixed by  $\sigma^*$ . It follows that

$$W(G^*, S^*)^{\sigma^*}/W(M^*, S^*)^{\sigma^*} = \left( W(G^*, S^*)/W(M^*, S^*) \right)^{\sigma^*}.$$

Thus, we have a well-defined map

$$W(G, A) \rightarrow W(G^*, S^*)^{\sigma^*}/W(M^*, S^*)^{\sigma^*} = W(G^*, A^*)/W(M^*, A^*)$$

(cf. Remark 6.1.3). □

**12.2. Definition of  $t : \mathcal{H}_{K^*}(G^*) \rightarrow \mathcal{H}_K(G)$ .** The isomorphism

$$\widehat{\psi}_0 : Z(\widehat{M}^*) \xrightarrow{\sim} Z(\widehat{M})$$

is Galois-equivariant. Combined with the canonical inclusion  $Z(\widehat{M}^*) \hookrightarrow \widehat{T}^*$  we see that  $\widehat{\psi}_0$  induces a homomorphism

$$(12.2.1) \quad \psi_0 : X^*(\widehat{T}^*)_I^{\sigma^*} \rightarrow X^*(Z(\widehat{M}))_I^{\sigma^*}.$$

Since  $W(M^*, A^*)$  induces the trivial action on  $Z(\widehat{M}^*)$ , it follows using Proposition 12.1.1 that (12.2.1) is equivariant with respect to the map  $W(G, A) \rightarrow W(G^*, A^*)/W(M^*, A^*)$ , in an obvious sense. We thus get an algebra homomorphism

$$(12.2.2) \quad \psi_0 : \mathbb{C}[X^*(\widehat{T}^*)_I^{\sigma^*}]^{W(G^*, A^*)} \rightarrow \mathbb{C}[X^*(Z(\widehat{M}))_I^{\sigma^*}]^{W(G, A)}.$$

Since  $\Psi_M$  is a torsor for  $M_{\text{ad}}^*$ , one can check that this homomorphism is independent of the choice of  $\psi_0$  in  $\Psi_M$ . In fact, it depends only on the choice of  $A$  and  $A^*$ . Therefore, it makes sense to denote it by  $t_{A^*, A}$  in what follows. It is easy to check that this homomorphism is surjective when  $G^*$  is split over  $F$ .

**Definition 12.2.1.** Fix  $A$  and  $A^*$  as above. Define  $t : \mathcal{H}_{K^*}(G^*) \rightarrow \mathcal{H}_K(G)$  to be the unique homomorphism making the following diagram commute

$$\begin{array}{ccc} \mathcal{H}_{K^*}(G^*) & \xrightarrow{t} & \mathcal{H}_K(G) \\ \wr \downarrow & & \wr \downarrow \\ \mathbb{C}[X^*(\widehat{T}^*)_I^{\sigma^*}]^{W(G^*, A^*)} & \xrightarrow{t_{A^*, A}} & \mathbb{C}[X^*(Z(\widehat{M}))_I^{\sigma^*}]^{W(G, A)}, \end{array}$$

where the vertical arrows are the Satake isomorphisms.

Obviously,  $t$  depends on  $K$  and  $K^*$ . It is easy to see that  $t$  is independent of all other choices used in its construction. Also, if  $G^*$  is split over  $F$ ,  $t$  is surjective.

**12.3. Compatibilities with constant term homomorphisms.** Let  $A, A^*, K,$  and  $K^*$  be fixed as above. Let  $H$  be a semi-standard  $F$ -Levi subgroup of  $G$ ; this means that  $H = C_G(A_H)$  for some subtorus  $A_H \subseteq A$ . Let  $H^*$  be a semi-standard  $F$ -Levi subgroup of  $G^*$ , so that  $H^* = C_{G^*}(A_{H^*}^*)$  for a subtorus  $A_{H^*}^* \subseteq A^*$ . We have  $M \subseteq H$  and  $T^* \subseteq H^*$ . Let us suppose that some inner twist  $G \rightarrow G^*$  restricts to give an inner twist  $H \rightarrow H^*$ .

For example, for any  $\psi_0 \in \Psi_M$  as above, we could take  $A_H$  to be any subtorus of  $A$  and set  $A_{H^*}^* = \psi_0(A_H)$  (recalling that  $\psi_0(A) \subseteq A^*$ ).

Choose any  $F$ -rational parabolic subgroup  $P_H = HN_H$  of  $G$  with unipotent radical  $N_H$  which contains  $H$  as a Levi factor. Recall the constant term map  $c_H^G : \mathcal{H}_K(G) \rightarrow \mathcal{H}_{H \cap K}(H)$ , which is defined by

$$(12.3.1) \quad c_H^G(f)(h) = \delta_{P_H}^{1/2}(h) \int_{N_H(F)} f(hn) \, dn,$$

for  $h \in H(F)$ , where the Haar measure  $dn$  on  $N_H(F)$  gives  $N_H(F) \cap K$  measure 1. We have a commutative diagram

$$(12.3.2) \quad \begin{array}{ccc} \mathcal{H}_K(G) & \xrightarrow{\sim} & \mathbb{C}[\Lambda_M]^{W(G,A)} \\ c_H^G \downarrow & & \downarrow \\ \mathcal{H}_{H \cap K}(H) & \xrightarrow{\sim} & \mathbb{C}[\Lambda_M]^{W(H,A)}, \end{array}$$

where the horizontal arrows are the Satake isomorphisms, and the right vertical arrow is the inclusion homomorphism. It follows that  $c_H^G$  is an injective algebra homomorphism which is independent of the choice of  $F$ -rational parabolic subgroup  $P_H \subseteq G$  which contains  $H$  as a Levi factor.

The following proposition is proved using (12.3.2) and the definitions.

**Proposition 12.3.1.** *The following diagram commutes:*

$$\begin{array}{ccc} \mathcal{H}_{K^*}(G^*) & \xrightarrow{t} & \mathcal{H}_K(G) \\ c_{H^*}^{G^*} \downarrow & & \downarrow c_M^G \\ \mathcal{H}_{H^* \cap K^*}(H^*) & \xrightarrow{t} & \mathcal{H}_{H \cap K}(H). \end{array}$$

□

Taking  $H = M$ , the diagram shows that in order to compute  $t$ , it is enough to compute it in the case where  $G_{\text{ad}}$  is anisotropic. In that case, if  $f \in \mathcal{H}_{K^*}(G^*)$ , the function  $t(f)$  is given by summing  $f$  over the fibers of the Kottwitz homomorphism  $k_{G^*}(F)$ .

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