

## WHEN DOES THE ZERO-ONE LAW HOLD?

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### 1. INTRODUCTION

In 1960 Paul Erdős and Alfred Rényi [ER] began the subject of random graphs. The 1985 book of Béla Bollobás [B] provides the standard reference for this field. In modern terminology the random graph  $G(n, p)$  is a graph on vertex set  $[n] = \{1, \dots, n\}$  where each pair  $i, j$  of vertices are adjacent with independent probability  $p$ . More accurately,  $G(n, p)$  is a probability space over the space of graphs on vertex set  $[n]$ . For any property  $A$  of graphs there is a probability, denoted  $\Pr[G(n, p) \models A]$ , that  $G(n, p)$  satisfies  $A$ .

In their very title, "On the *evolution* of random graphs," Erdős and Rényi envisioned a dynamic process,  $G(n, p)$  changing character as  $p$  moved from zero to one. They discovered (as did their many successors) that for many natural properties  $A$   $\Pr[G(n, p) \models A]$  was usually near zero or near one and made the jump from near zero to near one (or back again) in a very narrow range. The placement of this critical range of  $p$  depended on  $n$ . For example, let  $A$  be the property of containing a triangle. There are  $\binom{n}{3} \sim n^3/6$  potential triangles, each is a triangle in  $G(n, p)$  with probability  $p^3$ , and so the expected number of triangles in  $G(n, p)$  is asymptotically  $n^3 p^3/6$ . This suggests the critical range  $p = \Theta(1/n)$ . Indeed, Erdős and Rényi proved that if  $p = p(n) \ll 1/n$  then  $\lim_{n \rightarrow \infty} \Pr[G(n, p) \models A] = 0$ , while if  $p = p(n) \gg 1/n$  then  $\lim_{n \rightarrow \infty} \Pr[G(n, p) \models A] = 1$ . (Notation:  $f(n) \ll g(n)$  means  $\lim_{n \rightarrow \infty} f(n)/g(n) = 0$  while  $f(n) \gg g(n)$  means  $\lim_{n \rightarrow \infty} f(n)/g(n) = +\infty$ .) They called  $p(n) = 1/n$  a *threshold function* for this property  $A$ . As other examples, connectivity has threshold function  $(\log n)/n$ , containing a clique on four points has threshold function  $n^{-2/3}$ , containing an edge has (easily!) threshold function  $n^{-2}$ , and every vertex lying in a triangle has threshold function  $(\log n)^{1/3} n^{-2/3}$ . It was the observation that threshold functions seemed to be of the form  $(\log n)^\alpha n^{-\beta}$  with  $\alpha, \beta$  rational that motivated our current line of research.

What can we say about the possible threshold functions of properties  $A$ ? Not much if we place no restrictions on  $A$ . For example, the property that the number of edges is even shows no threshold function behavior. If we restrict

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$A$  to be monotone, Bollobás and Andrew Thomason [BT] have general results. Throughout this paper we restrict ourselves to the properties  $A$  expressible in the first order theory of graphs, as described in §2. This restriction is somewhat artificial to graph theorists (connectivity, for example, is not a first order property) though natural to logicians.

Ron Fagin [F2] and, independently, Glebskii, Kogan, Liogonkii, and Talanov [GKLT] proved that for  $p = 1/2$  and any first order  $A$

$$\lim_{n \rightarrow \infty} \Pr[G(n, p) \models A] = 0 \text{ or } 1.$$

In  $G(n, 1/2)$  all graphs have equal probability. (We always count labelled graphs, not isomorphism types.) These authors used enumerative rather than probabilistic language: for any first order  $A$  the proportion of graphs on  $[n]$  satisfying  $A$  approaches either zero or one. We shall say that a function  $p = p(n)$  satisfies the Zero-One Law if for all statements  $A$  of the first order theory of graphs

$$\lim_{n \rightarrow \infty} \Pr[G(n, p) \models A] = 0 \text{ or } 1.$$

In this paper we give a nearly complete characterization of those  $p = p(n)$ . Roughly speaking, these  $p = p(n)$  lie in the cracks between the threshold functions. At the threshold function  $\Pr[G(n, p) \models A]$  is moving from zero to one. For example, when  $A$  is the property of containing a triangle and  $p = c/n$  one can show  $\lim_{n \rightarrow \infty} \Pr[G(n, p) \models A] = 1 - e^{-c^3/6}$  so that  $p = c/n$  does not satisfy the Zero-One Law. The  $p = p(n)$  satisfying the Zero-One Law are the dull  $p(n)$  where “nothing happens” as opposed to the interesting  $p(n)$ , which are the threshold functions.

Saharon Shelah and the second author [SS] showed that if  $0 < \alpha < 1$  and  $\alpha$  is irrational then  $p = n^{-\alpha}$  satisfies the Zero-One Law. This current work may be regarded as a sequel to [SS], though much of the argument is independent.

In their 1960 paper Erdős and Rényi [ER] completely analyzed the threshold function for containing a fixed graph  $H$ . When  $H$  has  $v$  vertices and  $e$  edges the expected number of copies of  $H$  in  $G(n, p)$  is  $\Theta(n^v p^e)$ , which suggests  $p(n) = n^{-v/e}$  to be the threshold function. Erdős and Rényi showed this was indeed the case provided  $H$  had no subgraph  $H'$  with  $v'$  vertices,  $e'$  edges, and  $e'/v' > e/v$ . (This is a natural condition since the threshold function for containing  $H$  must be at least as large as the threshold function for containing any subgraph  $H'$ .) For each integer  $k \geq 1$ ,  $n^{-(k+1)/k}$  is the threshold function for having a path of length  $k$ . A triangle shows, as previously discussed,  $n^{-1}$  to be a threshold function. For any rational  $\alpha = a/b$  with  $0 < \alpha < 1$ ,  $n^{-\alpha}$  is the threshold function for some particular graph. Let  $S$  consist of  $1$ ,  $(k+1)/k$  for  $k = 1, 2, \dots$  and all rationals  $\alpha \in (0, 1)$ . For each  $\alpha \in S$  there is a graph  $H_\alpha$  so that  $n^{-\alpha}$  is the threshold function for the existence of a copy of  $H_\alpha$  in  $G$ . Furthermore, when  $p = cn^{-\alpha}$  the probability of  $G(n, p)$  containing a copy of  $H_\alpha$  approaches a limit strictly between zero and one. For  $p = p(n)$  to satisfy the Zero-One Law we must have  $p \ll n^{-\alpha}$  or  $p \gg n^{-\alpha}$  for all  $\alpha \in S$ .

In [SS] it is shown that if  $p \ll n^{-2}$  or  $n^{-(k+1)/k} \ll p \ll n^{-(k+2)/(k+1)}$  for some  $k = 1, 2, \dots$  then  $p = p(n)$  does satisfy the Zero-One Law. Call  $p = p(n)$  very sparse if it does not satisfy  $p > n^{-1+o(1)}$ , i.e., if there is an  $\varepsilon > 0$  so that  $p(n) < n^{-1-\varepsilon}$  for infinitely many  $n$ . Suppose such  $p = p(n)$  satisfies the Zero-One Law. Take  $k > 1/\varepsilon$ . If  $p(n) > n^{-(k+1)/k}$  for infinitely many  $n$  then, considering the property of containment of a path of length  $k$ ,  $p(n)$  would not satisfy the Zero-One Law. Hence  $p(n) \leq n^{-(k+1)/k}$  for all sufficiently large  $n$  so that  $p(n) \ll n^{-(k+2)/(k+1)}$ . For  $1 \leq i \leq k$  we must have either  $p(n) \ll n^{-(i+1)/i}$  or  $p(n) \gg n^{-(i+1)/i}$ . Hence  $p(n)$  must fit "between the cracks": either  $p(n) \ll n^{-2}$  or  $n^{-(i+1)/i} \ll p(n) \ll n^{-(i+2)/(i+1)}$  for some  $1 \leq i \leq k$ . Thus the conditions of [SS] completely characterize those very sparse  $p = p(n)$  that satisfy the Zero-One Law.

Henceforth we assume  $p > n^{-1+o(1)}$ .

For such  $p$  to satisfy the Zero-One Law we must have

$$p = n^{-\alpha+o(1)}$$

for some  $\alpha$ . For otherwise we would have  $p = n^{-\beta+o(1)}$  on one subsequence and  $p = n^{-\gamma+o(1)}$  on another with  $1 \geq \beta > \gamma \geq 0$ . There would be a rational  $\alpha$  strictly between  $\beta$  and  $\gamma$  and then the sentence  $G(n, p) \supset H_\alpha$  would have probabilities approaching zero and one on the respective subsequences. When  $\alpha$  is irrational it is shown in [SS] that any  $p = n^{-\alpha+o(1)}$  does satisfy the Zero-One Law. The situation with  $\alpha = 1$  will be treated in §6. When  $\alpha = 0$  the classic results of [F2, GKLT] give that if  $p > n^{-\varepsilon}$  for all positive  $\varepsilon$  and  $1 - p > n^{-\varepsilon}$  for all positive  $\varepsilon$  then the Zero-One Law is satisfied. For  $p$  so close to 1 that the second condition is not satisfied we reduce to  $p = o(1)$  by noting, interchanging adjacency with nonadjacency, that  $p$  satisfies the Zero-One Law if and only if  $1 - p$  does.

This leaves us with the central object of this paper:  $p = n^{-\alpha+o(1)}$  where  $\alpha$  is a rational number between zero and one. As  $p = n^{-\alpha}$  is itself a threshold function, we split the possible  $p$  into two categories:

$$p \gg n^{-\alpha} \quad \text{and} \quad p = n^{-\alpha+o(1)}$$

and

$$p \ll n^{-\alpha} \quad \text{and} \quad p = n^{-\alpha+o(1)}.$$

Suppose  $\alpha = 1/7$  and consider  $p \gg n^{-1/7}$ . The property that every seven vertices have a common neighbor has threshold function  $(\log n)^{1/7} n^{-1/7}$ . We show (Theorem 2) that if  $p \gg (\log n)^{1/7} n^{-1/7}$  but still  $p = n^{-1/7+o(1)}$ , then  $p = p(n)$  satisfies the Zero-One Law. Thus, for example,  $n^{-1/7} \sqrt{\log n}$  cannot be the threshold function of any first order property. More precisely, let  $A_r$  be the property that every seven vertices have at least  $r$  common neighbors. If  $\neg A_r$  then there exist  $x_1, \dots, x_7$  and  $y_1, \dots, y_s$  with  $s \leq r$  with all  $y_j$  adjacent to all  $x_i$  and no other  $z$  adjacent to all  $x_i$ . We bound  $\Pr[\neg A_r]$  by

the expected number of such configurations,

$$\Pr[\neg A_r] \leq \sum_{s=0}^r \binom{n}{7} \binom{n}{s} p^{7s} (1-p^7)^{n-7-s}.$$

Some calculation ( $s = r$  being the main term) gives that if

$$p = [7(\log n + \omega \log \log n)/n]^{1/7}$$

and  $\omega = \omega(n) \rightarrow \infty$  then  $\Pr[\neg A_r] \rightarrow 0$  for all  $r$ . We prove in §2 that these  $A_r$  give the “final threshold functions” with  $p = n^{-1/7+o(1)}$ . That is, if  $p$  is this large but still  $p = n^{-1/7+o(1)}$  then  $p$  satisfies the Zero-One Law. Actually we state our result for any rational  $\alpha = a/b \in (0, 1)$ .

To do this we define in §3 an explicit axiom system  $T$ . In §4 we show that all of the axioms  $A$  of  $T$  satisfy

$$\lim_{n \rightarrow \infty} \Pr[G(n, p) \models A] = 1$$

for such  $p$ . This requires some technically difficult probability results on random graphs. In §3 we show that  $T$  is complete. Here there is no probability; this is a completeness result in logic. Of possible independent interest we have defined a countable family of complete theories  $T = T^\alpha$ , one for each rational  $\alpha \in (0, 1)$ . Indeed  $T^\alpha$ , though we do not do so here, may also be defined for any irrational  $\alpha \in (0, 1)$ . These theories are distinct. For let  $0 < \beta < \gamma < 1$  (rational or irrational). Fix  $\alpha \in (\beta, \gamma)$ ,  $\alpha$  rational, and let  $A$  be a property with threshold function  $n^{-\alpha}$ . Then  $A$  is a theorem of  $T^\beta$ , as  $n^{-\beta} \gg n^{-\alpha}$ , while  $\neg A$  is a theorem of  $T^\gamma$ , as  $n^{-\gamma} \ll n^{-\alpha}$ .

In [SS] we showed that if  $n^{-1/7} < p < (\log n)^{1/7-\varepsilon} n^{-1/7}$  for  $\varepsilon > 0$  fixed then  $p = p(n)$  does not satisfy the Zero-One Law. When  $\alpha = a/b$  this holds when  $n^{-\alpha} < p < (\log n)^{1/b-\varepsilon} n^{-\alpha}$ . This leaves a small gap in our characterization of  $p \gg n^{-\alpha}$ ,  $p = n^{-\alpha+o(1)}$ , which satisfy the Zero-One Law.

In the other direction suppose, again for  $\alpha = 1/7$ , that  $p \ll n^{-1/7}$  and  $p = n^{-1/7+o(1)}$ . We parameterize  $p = n^{-1/7-1/\kappa(n)}$  so that  $\kappa(n) \rightarrow \infty$  and the slower  $\kappa(n)$  grows the smaller  $p = p(n)$  is. We show in §5 that even if  $\kappa(n)$  has the growth rate of the inverse Ackermann function,  $p = p(n)$  will not satisfy the Zero-One Law. We further show that no recursive function  $p = p(n)$  of this type satisfies the Zero-One Law. For example,  $n^{-1/7} \log^{-10} n$  and  $n^{-1/7} e^{-\sqrt{\log n}}$  do not satisfy the Zero-One Law. Yet, by a fairly simple diagonal argument (Theorem 4) we show that there are  $p = p(n)$  of this type satisfying the Zero-One Law. This argues that a complete characterization of  $p = p(n)$  in this range satisfying the Zero-One Law is not possible.

In [SS] it is shown how to represent fragments of arithmetic in  $G(n, p)$  for  $p$  near  $n^{-1/7}$ . In particular, the following result is given there.

**Theorem 1.** *There is a first order  $B$  such that for any  $p = (q/n)^{1/7}$  with*

$$n^{-1/\log \log \log \log n} < q(n) < \frac{\log n}{\log \log \log \log n},$$

$\Pr[G(n, p) \models B]$  *does not approach a limit in  $n$ .*

The choice of  $\alpha = 1/7$  and of  $\log \log \log \log n$  were somewhat arbitrary. Let  $f(n)$  be any slow growing function approaching infinity definable in fragments of arithmetic, e.g., the inverse Ackermann function. Let  $\alpha = a/b \in (0, 1)$ , expressed in lowest terms. Then there is a first order  $B$  so that for any  $p = q^{1/b} n^{-a/b}$  with

$$n^{-1/f(n)} < q < (\log n)/f(n),$$

again  $\Pr[G(n, p) \models B]$  does not approach a limit in  $n$ . Except for the small gap near  $q = \log n$  the recursive  $p = n^{-\alpha+o(1)}$  that satisfy the Zero-One Law are those satisfying the growth condition of Theorem 2.

We say  $A$  hold a.s. (almost surely) if  $\Pr[G(n, p) \models A] \rightarrow 1$ .

## 2. PASSING $n^{-\alpha}$ -PRELIMINARIES

This part of the paper is devoted to the proof of the following result.

**Theorem 2.** *Let  $a, b, a < b$  be relatively prime natural numbers and*

$$(*) \quad p(n) = ((b - a + 1)(\log n + \omega \log \log n)n^{-a})^{1/b},$$

where  $\omega = \omega(n)$  is a function that tends to infinity slowly enough to have  $p(n) = n^{-a/b+o(1)}$ .

*Then  $p(n)$  satisfies the Zero-One Law.*

Since the proof of Theorem 2 will follow ideas presented in [SS] and [S1], we shall try also, whenever possible, to employ notation from these papers. Henceforth we shall assume  $a, b, a < b$  to be fixed, although arbitrary, relatively prime natural numbers and  $\omega(n)$  will denote a function such that  $\omega(n) \rightarrow \infty$ , but for  $p(n)$  defined by (\*), we have  $p(n) = n^{-a/b+o(1)}$ .

Here and below we deal exclusively with the first order theory of graphs. It contains a countable number of variables  $x, y, z, \dots$ , brackets, two binary predicates equality  $=$  and adjacency  $\sim$ , negation  $\neg$ , conjugacy  $\wedge$ , and existential qualifier  $\exists$ . Occasionally we shall also use other Boolean connectives  $\vee, \Rightarrow, \Leftrightarrow$ , and universal quantifier  $\forall$  all of which, however, can be defined using  $\neg, \wedge$ , and  $\exists$ . Variable arguments in the first order graph theory denote always vertices, not subsets, and all formulae are of finite length. Thus, we may state the fact that a graph contains an isolated edge

$$\exists x \exists y \forall z : x \sim y \wedge x \not\sim z \wedge y \not\sim z,$$

but we cannot express the existence of cut edges in a graph.

For a graph  $G$ ,  $|G|$  and  $e(G)$  respectively denotes the number of vertices and edges in  $G$ . Note that we shall use the same letter to denote a graph and its

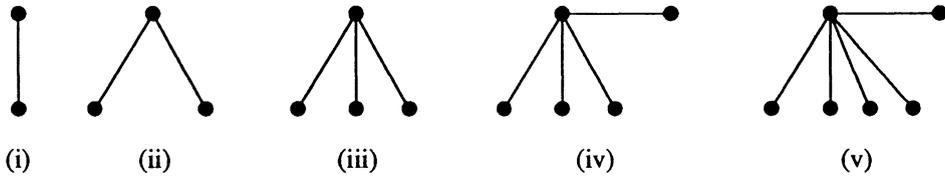


FIGURE 1

set of vertices. A *rooted graph* is a pair  $(R, H)$ , where  $H$  is a graph and  $R$  is a subset of  $H$ , vertices of which we shall call roots. Typically, we shall identify the set of vertices of  $(R, H)$  with  $[h] = \{1, 2, \dots, h\}$ , where  $R = [r]$ , and say that  $(R, H)$  is of *type*  $(v, e)$  when  $v = h - r = |H| - |R|$  and  $e$  denotes the number of edges of  $H$  with at least one end outside  $R$ . For a rooted graph  $(R, H)$  with a vertex set  $[h]$  an  $(R, H)$  *extension of sequence*  $(x_1, x_2, \dots, x_r)$  of vertices of a graph  $G$  is a set  $\{x_1, x_2, \dots, x_r, y_1, y_2, \dots, y_{h-r}\}$  for which there exists a bijection

$$\sigma : [h] \rightarrow \{x_1, x_2, \dots, x_r, y_1, y_2, \dots, y_{h-r}\}$$

such that  $\sigma(i) = x_i$  for  $i = 1, 2, \dots, r$  and for every  $\{i, j\}$ , where  $1 \leq i < j \leq h$  and  $j > r$ ,  $\{\sigma(i), \sigma(j)\}$  is an edge of  $G$  if  $\{i, j\}$  is an edge of  $(R, H)$ . Let  $\text{Ext}(R, H)$  denote the first order property that every  $(x_1, \dots, x_r)$  has an  $(R, H)$  extension. More precisely, for any particular  $(R, H)$  the property  $\text{Ext}(R, H)$  is expressible in the first order language.

In the five examples of Figure 1,  $H$  is the graph pictured and  $R$  is the set of vertices at the bottom level. In (i)  $\text{Ext}(R, H)$  is the first order property that for all  $x_1$  there exists  $y_1$  adjacent to  $x_1$ , i.e., that no vertex is isolated. In (ii)  $\text{Ext}(R, H)$  is that every two vertices have a common neighbor and in (iii) that every three vertices have a common neighbor.

We split all rooted graphs into two classes. A rooted graph  $(R, H)$  of type  $(v, e)$  is *sparse* if  $bv \geq ae$  and *dense* otherwise. Moreover, a rooted graph  $(R, H)$  is *safe* if  $(R, H')$  is sparse for every subgraph  $H'$  of  $H$  (including  $H' = H$ ) and an  $(R, H)$  is *rigid* if  $(S, H)$  is dense for each  $S$  such that  $R \subseteq S \subseteq H$  (including  $R = S$ ). Note that safe implies sparse and rigid implies dense.

**Examples.** Let  $a = 1, b = 2$ . Then (i) is safe, (ii) is safe (barely), (iii) is rigid, (iv) sparse but not safe, and (v) dense but not rigid. Let  $p = p(n)$  satisfy the condition of Theorem 2. For a given  $x_1, \dots, x_r \in G(n, p)$  the expected number of  $(R, H)$  extensions is  $(n - r)_v p^e \sim n^v p^e$  and this suggests that the threshold function for  $\text{Ext}(R, H)$  is near  $n^{-v/e}$ . Let  $p = p(n)$  satisfy the condition of Theorem 2. Then  $\text{Ext}(R, H)$  holds a.s. if and only if  $(R, H)$  is safe. The if part of this statement (and more) is shown in Lemma 5. For the only if part (which we do not actually use) suppose  $(R, H)$  is not safe and let  $(R, H')$  be dense. The expected number of  $(R, H')$  extensions of randomly

chosen  $x_1, \dots, x_r \in G(n, p)$  is then  $o(1)$  so that almost surely there is no  $(R, H')$ , hence no  $(R, H)$ , extension.

In [SS] a number of simple facts about safe and rigid graphs are shown. We shall use two of them.

**Fact 1.** *If both  $(R, S)$  and  $(S, H)$  are rigid then so is  $(R, H)$ .*

*Proof.* For every  $U$  such that  $R \subseteq U \subseteq H$ , rooted graph  $(R, U \cap S)$  is dense since  $(R, S)$  is rigid and  $(S, U \cap (H - S))$  is dense since  $(S, H)$  is rigid. Thus  $(R, U)$  is dense.  $\square$

**Fact 2.** *If  $(R, H)$  is dense then  $(R, H')$  is rigid for some  $H', R \subseteq H' \subseteq H$ .*

*Proof.* Choose minimal  $H'$  such that  $R \subseteq H' \subseteq H$  and  $(H', H)$  is sparse (when such  $H'$  does not exist set  $H' = H$ ). If  $(R, H')$  is not rigid then for some  $U, R \subseteq U \subseteq H', (R, U)$  is dense and so  $(U, H)$  is sparse contradicting minimality of  $H'$ .  $\square$

Now we define a notion crucial for our argument. For  $t \geq 0$  and sequence  $(x_1, x_2, \dots, x_r)$  of vertices of graph  $G$  the  $t$ -closure of  $(x_1, x_2, \dots, x_r)$  in  $G$ , denoted by  $cl_t(x_1, x_2, \dots, x_r)$ , is a union of all  $(R, H)$  extensions of  $(x_1, x_2, \dots, x_r)$  over all rooted rigid graphs  $(R, H)$  with  $|H| - |R| \leq t$ . When no such extension is possible or  $t = 0$  then  $cl_t(x_1, x_2, \dots, x_r) = \{x_1, x_2, \dots, x_r\}$ . Note that for any fixed  $t$  the formula  $y \in cl_t(x_1, x_2, \dots, x_r)$  is a first order predicate as we may list the potential  $(R, H)$  extensions.

**Example.** With  $a/b = 1/2$ ,  $cl_1(x_1, x_2, x_3)$  consists of  $x_1, x_2, x_3$  plus all common neighbors  $y$ . In  $G(n, p)$  with  $p = n^{-1/2+o(1)}$  most  $x_1, x_2, x_3$  have no common neighbors but some will. Roughly  $cl_t(x_1, \dots, x_r)$  gives those  $y$  that are “special” with respect to  $x_1, \dots, x_r$ .

Finally, we say that  $\{x_1, x_2, \dots, x_r, y_1, y_2, \dots, y_{h-r}\}$  is a  $t$ -generic  $(R, H)$  extension of  $(x_1, x_2, \dots, x_r)$  if it is an  $(R, H)$  extension,

$$y_i \notin cl_t(x_1, x_2, \dots, x_r) \quad \text{for } i = 1, 2, \dots, h - r$$

and

$$\begin{aligned} cl_t(x_1, x_2, \dots, x_r, y_1, y_2, \dots, y_{h-r}) \\ = cl_t(x_1, x_2, \dots, x_r) \cup \{y_1, y_2, \dots, y_{h-r}\}. \end{aligned}$$

Again note that for any fixed  $t$  this is a first order predicate in the variables  $x_1, x_2, \dots, x_r, y_1, y_2, \dots, y_{h-r}$ .

**Example.** With  $a/b = 1/2$  and example (ii), a 1-generic  $(R, H)$  extension of  $(x_1, x_2)$  is by a common neighbor  $y$  such that  $x_1, x_2, y$  have no common neighbors. With  $p = (2(\log n + \omega \log \log n)n^{-1})^{1/2}$ ,  $p = n^{-1/2+o(1)}$  almost surely every  $x_1, x_2$  have such a  $y$ . This may not be unexpected as for such  $p$  every  $x_1, x_2$  have many common neighbors  $y$  and few  $x_1, x_2, y$  have common neighbors  $z$ . The proof (Lemma 5) is quite technical.

3. THE AXIOM SYSTEM—DEFINITION AND THE PROOF OF COMPLETENESS

In this section we define an axiom system  $T$  and show that it is complete in the first order theory of graphs. The definition of  $T$  is motivated by consideration of those  $A$  that hold a.s. for  $p = p(n)$  satisfying the conditions of Theorem 2. The actual definition of  $T$  and proof of completeness, however, involve no probability whatsoever.

Let us first define two kinds of statements. For each graph  $H$  for which  $b|H| < ae(H)$  the *nonexistence axiom*  $A(H)$  says

“there does not exist a copy of  $H$ ,”

whereas for  $t \geq 0$  and safe rooted graph  $(R, H)$  the *generic extension axiom*  $A(t, R, H)$  is defined as a statement:

“for every sequence  $\{x_1, x_2, \dots, x_r\}$  there exists a  $t$ -generic  $(R, H)$  extension of  $(x_1, x_2, \dots, x_r)$ .”

Note that both  $A(H)$  and  $A(t, R, H)$  are statements of first order theory of graphs.

Now define  $T$  to be a system containing nonexistence axioms  $A(H)$  for all graphs  $H$  with  $b|H| < ae(H)$  and generic extension axioms  $A(t, R, H)$  for all  $t$  and safe rooted graphs  $(R, H)$ . Following customary usage  $\vdash_T A$  means that  $A$  is a theorem of the theory  $T$ .

Let us start with the simple fact that, in  $T$ , all  $t$ -closures are bounded.

**Fact 3.** *For each  $r, t$  there exists  $N = N(r, t)$  such that*

$$\vdash_T |\text{cl}_t(x_1, x_2, \dots, x_r)| \leq N.$$

*Proof.* Since every rigid extension of type  $(v, e)$  satisfies  $bv < ae$  and there are only finitely many types of extensions of size smaller than  $t$ , there exists  $\varepsilon > 0$  such that for every such extension  $bv - ae > \varepsilon$  holds. Choose  $K$  such that  $r - K\varepsilon < 0$  and set  $N = r + tK$ . If there were a  $t$ -closure of size larger than  $N$  it could be broken into  $r$  initial points and then at least  $K$  extensions of types  $(v_i, e_i)$  with all  $bv_i - ae_i < -\varepsilon$ ; so in total it would contain  $v = r + \sum_i v_i$  vertices and  $e = \sum_i e_i$  edges but

$$bv - ae \leq r - \varepsilon K < 0,$$

which would violate some of nonexistence axioms. This is provable in  $T$  since there are less than  $2^{rN^2}$  possible extensions of  $N$  points and one can prove by examining them one by one that none of them is a  $t$ -closure of  $(x_1, x_2, \dots, x_r)$ .  $\square$

**Example.** With  $a/b = 1/2$ ,

$$\vdash_T |\text{cl}_1(x_1, x_2, x_3)| \leq 7.$$

Since the existence of  $x_1, x_2, x_3$  and  $w_1, \dots, w_7$  with all  $x_i$  adjacent to all  $w_j$  would violate the nonexistence axiom with  $H = K_{3,7}$ , the complete bipartite graph with  $|H| = 10$ ,  $e(H) = 21$ .

**Fact 4.** For every  $r$ ,  $t'$ , and  $t''$  there exists  $t = t(r, t', t'')$  such that

$$\vdash_T \text{cl}_{t'}(\text{cl}_{t''}(x_1, x_2, \dots, x_r)) \subseteq \text{cl}_t(x_1, x_2, \dots, x_r).$$

*Proof.* It follows immediately from Facts 1 and 3 after setting  $t = N(N(t'', r), t')$ .  $\square$

The next fact is crucial. It essentially states that for large  $t$  the knowledge of  $\text{cl}_t(x_1, \dots, x_r)$  determines the set of possible  $\text{cl}_{t'}(x_1, \dots, x_r, y)$ ,  $t'$  small.

**Fact 5.** For every  $r$ ,  $t'$ , and  $H'$ , a possible value of  $\text{cl}_{t'}(x_1, x_2, \dots, x_r, y)$ , there is a  $t$  such that for every possible value  $H$  of  $\text{cl}_t(x_1, x_2, \dots, x_r)$ , either

$$\vdash_T \text{cl}_t(x_1, x_2, \dots, x_r) \cong H \Rightarrow \exists_y \text{cl}_{t'}(x_1, x_2, \dots, x_r, y) \cong H'$$

or

$$\vdash_T \text{cl}_t(x_1, x_2, \dots, x_r) \cong H \Rightarrow \neg \exists_y \text{cl}_{t'}(x_1, x_2, \dots, x_r, y) \cong H'.$$

*Proof.* Assume first that  $y \in \text{cl}_{|H'|}(x_1, x_2, \dots, x_r)$  in  $H'$ . Then from Fact 4, for  $t$  large enough

$$\vdash_T \text{cl}_{t'}(\text{cl}_{|H'|}(x_1, x_2, \dots, x_r)) \subseteq \text{cl}_t(x_1, x_2, \dots, x_r).$$

Now, since  $y \in \text{cl}_{|H'|}(x_1, x_2, \dots, x_r) \subseteq \text{cl}_t(x_1, x_2, \dots, x_r)$ , one can examine all possible structures of  $\text{cl}_t(x_1, x_2, \dots, x_r)$  (due to Fact 3 there are finitely many of them) checking if  $\text{cl}_{t'}(x_1, x_2, \dots, x_r, y) \cong H'$  for some  $y \in \text{cl}_{|H'|}(x_1, x_2, \dots, x_r)$ .

Now suppose that  $y \notin \text{cl}_{|H'|}(x_1, x_2, \dots, x_r)$  and set  $H$  to be the  $|H'|$ -closure of  $(x_1, x_2, \dots, x_r)$  in  $H'$ . Note that rooted graph  $(H, H')$  is safe. Indeed, otherwise for a minimal rigid rooted graph  $(H, H'')$ , which would exist due to Fact 2, we would have  $H'' \subseteq \text{cl}_{|H''|}(x_1, x_2, \dots, x_r)$  contradicting the choice of  $H$ . Set  $t' = t$ . Then from generic extension axiom  $A(t, H, H')$  we get

$$\vdash_T \exists_y \text{cl}_{t'}(x_1, x_2, \dots, x_r, y) \cong H' \Leftrightarrow \text{cl}_t(x_1, x_2, \dots, x_r) \cong H. \quad \square$$

**Fact 6.** For every formula  $P(x_1, x_2, \dots, x_r)$  there is a  $T$  so that for each possible  $H' = \text{cl}_t(x_1, x_2, \dots, x_r)$  either

$$\vdash_T \text{cl}_t(x_1, x_2, \dots, x_r) \cong H' \Rightarrow P$$

or

$$\vdash \text{cl}_t(x_1, x_2, \dots, x_r) \cong H' \Rightarrow \neg P.$$

*Proof.* The proof goes by the induction on the structure of  $P$ . For elementary formulae  $x = y$  and  $x \sim y$  take  $t = 0$ . If it holds for  $P$  then it is valid for  $\neg P$  with the same  $t$ . If it holds for  $P$  and  $Q$  with  $t_P$  and  $t_Q$  then it is true for both of them with  $t = \max(t_P, t_Q)$  and so it holds for  $P \wedge Q$  with this  $t$ . Finally suppose that  $P$  is of the form

$$P : \exists_y Q(x_1, x_2, \dots, x_r, y).$$

Then by induction it holds for  $Q$  for some  $t'$  so the assertion follows from Fact 5.  $\square$

Now comes the main result of this section.

**Lemma 1.**  *$T$  is a complete set of axioms of the first order theory of graphs.*

*Proof.* Sentences  $P$  are formulae with no free variables, so  $r = 0$ . Due to Fact 6, for some  $t$ ,  $\text{cl}_t(\emptyset)$  determines  $P$ . Nonexistence axioms say however that  $\text{cl}_t(\emptyset) = \emptyset$  so either  $P$  or  $\neg P$  is a theorem of  $T$ .  $\square$

#### 4. THE AXIOMS OF $T$ HOLD ALMOST SURELY

Due to Lemma 1 it is enough to show that all axioms of  $T$  hold almost surely for  $p(n)$  defined by (\*). For nonexistence axioms the answer is given by the well-known result of Erdős and Rényi.

**Lemma 2** [ER]. *If  $p = o(n^{-e(H)/|H|})$  then a.s.  $G(n, p)$  contains no copies of  $H$ .*

Consequently, for  $p(n)$  defined by (\*) then the probability that for  $G(n, p)$  nonexistence axiom  $A(H)$  holds tends to 1 as  $n \rightarrow \infty$ . The proof that an analogous result holds also for all generic extensions axioms needs a bit more work. Fortunately, the following lemma from [SS] verifies this for a large class of rooted subgraphs  $(R, H)$ .

**Lemma 3** [SS]. *Let  $(R, H)$  be a rooted subgraph such that all  $(R, H')$  for which  $H'$  is a subgraph of  $H$  are of type  $(v, e)$  where  $bv < ae$ . Then when  $p = n^{-b/a+o(1)}$ , for every  $t$  a.s.  $A(t, R, H)$  holds in  $G(n, p)$ .*

**Example.**  $a/b = 1/2$ , example (i),  $t = 10$ . Every  $x$  has a neighbor  $y$  giving a 10-generic extension. This holds with “lots of room” as there are actually  $n^{1/2+o(1)}$  neighbors  $y$  and almost all of them give a 10-generic extension.

Let us call a safe rooted graph  $(R, H)$  *critical* if it is of type  $(v, e)$  where  $bv = ae$ . We shall start with the following simple observation.

**Fact 7.** *Let  $(R, H)$  be critical,  $t \geq 0$  and  $p(n)$  be defined by (\*). Then there exist a positive constant  $\varepsilon = \varepsilon(t)$  and a natural number  $N = N(t, R, H)$  such that a.s. for each sequence  $(x_1, x_2, \dots, x_r)$  of vertices of  $G(n, p)$  one of the following possibilities holds: (i)  $(x_1, x_2, \dots, x_r)$  has no  $(R, H)$  extensions; (ii) there exists a  $t$ -generic  $(R, H)$  extension of  $(x_1, x_2, \dots, x_r)$ ; (iii)  $(x_1, x_2, \dots, x_r)$  is contained in a graph  $G$  for which*

- (a)  $|G| < N$ ,
- (b)  $b(|G| - r) < ae(G) - \varepsilon$ , and
- (c)  $(x_1, x_2, \dots, x_r)$  has no  $(R, H)$  extensions  $\{y_1, y_2, \dots, y_{h-r}\}$  such that  $y \notin G$  for every  $j = 1, 2, \dots, v$ .

*Proof.* Let  $\varepsilon$  be such that  $v_i' b - ae_i' \leq -\varepsilon$  for all types  $(v_i, e_i)$  of rigid rooted graphs  $(S, H')$  with  $|H'| \leq 2t + v$  and  $N = 2t(br/\varepsilon + 1) + r$ . We shall show that for such  $\varepsilon$  and  $N$  the assertion of Fact 7 remains valid.

Let  $(x_1, x_2, \dots, x_r)$  be a sequence of vertices of  $G(n, p)$  with at least one  $(R, H)$  extensions but with none that are  $t$ -generic. Take any  $(R, H)$  extension of  $(x_1, x_2, \dots, x_r)$ , say  $H_1^s$ . Since  $H_1^s$  is not  $t$ -generic for some rigid graph  $(S_1, H_1)$  there exists  $(S_1, H_1)$  extension  $H_1^r$  of some sequence terms of which are taken from  $\{x_1, x_2, \dots, x_r\} \cup H_1^s$ . Note that graph  $G_1$  spanned by the set  $\{x_1, x_2, \dots, x_r\} \cup H_1^s \cup H_1^r$  has at most  $r + 2t$  vertices and  $b|G_1| - ae(G) \leq br - \varepsilon$ . Now suppose that there exists another  $(R, H)$  extension  $H_2^s$  of  $(x_1, x_2, \dots, x_r)$ , disjoint with both  $H_1^s$  and  $H_2^r$ . Then, since  $H_2^s$  is not  $t$ -generic, for some rigid graph  $(S_2, H_2)$  graph  $G(n, p)$  contains  $(S_2, H_2)$  extension  $H_2^r$  of some sequence from  $\{x_1, x_2, \dots, x_r\} \cup H_1^s$ . Since  $(S_2, H_2)$  is rigid,  $H_2^r$  is a rigid extension of  $\{x_1, x_2, \dots, x_r\} \cup H_1^s \cup H_1^r \cup H_2^s$ . (In the extremal case,  $H_2^r$  is a subset of  $\{x_1, x_2, \dots, x_r\} \cup H_1^s \cup H_1^r \cup H_2^s$  but with some additional edges.) Hence, for a graph  $G_2 = \{x_1, x_2, \dots, x_r\} \cup H_1^s \cup H_1^r \cup H_2^s \cup H_2^r$  we have  $|G_2| \leq 4t + r$  and  $b|G_2| - ae(G_2) \leq br - 2\varepsilon$ .

Continue this procedure as long as possible. Clearly it must stop after at most  $N$  steps since otherwise we would get a graph  $G_N$  for which  $b|G_N| < ae(G_N)$ , which is impossible due to Lemma 2.  $\square$

We went to show each  $A(t, R, H)$  holds a.s. It now suffices to show that a.s. no  $(x_1, \dots, x_r)$  satisfies (i) or (ii).

Let us call safe rooted graph  $(R, H)$  *balanced* if there are no critical rooted subgraphs  $(R, H')$  such that  $R \subset H' \subset H$ . The following result follows from a correlation inequality as stated in [S2] (see also [BS] and [JLR]).

**Lemma 4.** *Let  $(R, H)$  be a balanced critical rooted graph of type  $(v, e)$  and  $p(n)$  be given by  $(*)$ . Then there exists a positive constant  $\varepsilon = \varepsilon(a, b, (R, H)) > 0$  such that the probability that a given sequence  $(x_1, x_2, \dots, x_r)$  of vertices of  $G(n, p)$  has no  $(R, H)$  extension is less than  $\exp(-n^v p^e (1 - n^{-\varepsilon}))$  provided  $n$  is large enough.*

Let us note the following consequence of Lemma 4.

**Fact 8.** *Let  $(R, H)$ ,  $p(n)$  be such as in Lemma 4. Then a.s. each sequence  $(x_1, x_2, \dots, x_r)$  of vertices of  $G(n, p)$  has at least  $\omega/3b$  pairwise disjoint  $(R, H)$  extensions, where two extensions are pairwise disjoint if an intersection of their set of vertices is the set  $\{x_1, x_2, \dots, x_r\}$  of the roots.*

*Proof.* Suppose that  $|H| - |R| = h - r = ma$  and let  $X$  denote the number of sequences  $(x_1, x_2, \dots, x_r)$  of vertices of  $G(n, p)$  for which there exists less than  $N = \omega/3b$  pairwise disjoint  $(R, H)$  extensions. Then the expectation of  $X$  can be bounded from above by

$$\begin{aligned} EX &\leq n^r \sum_{i=0}^{N-1} \left[ \binom{n}{ma 2^{h^2} p^{mb}} \right]^i e^{-n^{ma} p^{bm} (1 - n^{-\varepsilon})} \\ &\leq n^r \left[ 2^{h^2} n^{am} p^{bm} \right]^N e^{-n^{am} p^{bm} (1 - n^{-\varepsilon})}. \end{aligned}$$

Now let  $m = 1$ . One can easily see that since  $(R, H)$  is balanced, the number of roots of  $(R, H)$  cannot be larger than  $b - a + 1$ . Thus

$$EX \leq n^{b-a+1} \log^{2N} n n^{-(b-a+1)} \log^{-\omega/b} \rightarrow 0.$$

If  $m > 1$  we may estimate  $EX$  even more crudely,

$$EX \leq n^{b-a+1} \log^{2Nm} n \exp(-\log^2 n) \rightarrow 0. \quad \square$$

**Lemma 5.** *Let  $t \geq 0$ ,  $(R, H)$  be a safe rooted graph and  $p(n)$  be defined by (\*). Then a.s. generic extension axiom  $A(t, R, H)$  holds for  $G(n, p)$ .*

*Proof.* For each fixed  $t$  we shall show Lemma 5 using induction with respect to  $|H| - |R|$ .

When  $|H| - |R| < a$  then  $(R, H)$  and each  $(R, H')$ , where  $H' \subset H$  are of the type  $(v', e')$ , where  $bv' < ae'$  and the assertion follows from Lemma 3. Thus suppose that the assertion holds for all safe rooted graphs  $(R', H')$  with  $|H'| - |R'| \leq s - 1$ . We shall show that it remains valid also for all safe  $(R, H)$  for which  $|H| - |R| = s$ .

Let us consider two cases:

Case 1.  $(R, H)$  is not balanced, i.e., for some  $H', R \subset H' \subset H$ ,  $(R, H')$  is critical.

Then both  $(R, H')$  and  $(H', H)$  are safe, so from the inductional assumption a.s. there is a  $t$ -generic  $(R, H')$  extension  $\{x_1, x_2, \dots, x_r, y_1, y_2, \dots, y_{h-r}\}$  of each sequence  $(x_1, x_2, \dots, x_r)$ , and, again from the inductional step, each  $(x_1, x_2, \dots, x_r, y_1, y_2, \dots, y_{h-r})$  has a  $t$ -generic  $(H', H)$  extension.

Case 2.  $(R, H)$  is balanced.

If  $(R, H)$  is not critical the assertion follows from Lemma 3, whereas for critical  $(R, H)$  it follows from Facts 7 and 8.  $\square$

*Proof of Theorem 2.* It is an immediate consequence of Lemmas 1, 2, and 5.  $\square$

*Remark.* It is not hard to see that Theorem 2 is in a way best possible. Namely, for each  $a, b, a < b$ , there exists a safe rooted graph  $(R, H)$  such that  $|R| = b - a + 1, |H| = a$  (in the case when  $a = 1, b = 7$  it is just a vertex adjacent to seven roots) such that for every constant  $C$  and  $p(n) = ((b - a + 1)(\log n + C \log \log n)n^{-a})^{1/b}$  there is a function  $p'(n) > p(n)$  such that  $p'(n) = n^{-a/b+o(1)}$  and the probability that some sequence  $\{x_1, \dots, x_{b-a+1}\}$  has exactly  $\lceil bc \rceil$  vertex disjoint  $(R, H)$  extensions in  $G(n, p)$  tends to some constant  $D = D(C)$  as  $n \rightarrow \infty$ , where  $0 < D < 1$ . Thus Theorem 2 gives the minimal condition so that if  $p = n^{-a/b+o(1)}$  and  $p$  is at least that large then  $p$  must satisfy the Zero-One Law. The gap in the characterization is that there may be smaller  $p$  also satisfying the Zero-One Law.

### 5. APPROACHING $n^{-\alpha}$

Here we ask: what  $p = p(n)$  with  $p = n^{-\alpha+o(1)}$  and  $p \ll n^{-\alpha}$  satisfy the Zero-One Law? We shall show that the restrictions on such  $p$  are very severe.

We shall also show that there are  $p$  with that property. While we consider only the exponent  $-1/7$ , the results may be extended to any rational exponent  $\alpha \in (0, 1)$ . We write

$$p(n) = n^{-1/7-1/\kappa(n)}$$

and assume  $\kappa(n) \rightarrow \infty$ . We make heavy use of the results of [SS, §3]. In particular, we may assume

$$\kappa(n) < \log \log \log \log \log(n),$$

as otherwise we know  $p$  does not satisfy the Zero-One Law. Let  $N(x_1, \dots, x_7)$  denote the set of neighbors of  $x_1, \dots, x_7$ . Let  $l = l(n) = \lfloor \kappa(n) \rfloor$ . The following hold a.s. in  $G(n, p)$ .

- For every  $0 \leq i \leq l-4$  there exist  $x_1, \dots, x_7$  with precisely  $i$  neighbors.
- $l-4 \leq \max |N(x_1, \dots, x_7)| \leq l+4$ .
- For every set  $S$  of size at most  $10l$  and every 6-graph  $\mathcal{H}$  on  $S$  with at most  $l/10$  hyperedges there is a  $w \notin S$  so that for all  $v_1, \dots, v_6 \in S$ ,

$$\{v_1, \dots, v_6\} \in \mathcal{H} \Leftrightarrow N(v_1, \dots, v_6, w) \neq \emptyset.$$

Here we have employed hypergraph terminology: a 6-graph  $\mathcal{H}$  on  $S$  is a family of subsets of  $S$ , all of size six, and a hyperedge is an element of  $\mathcal{H}$ . A 2-graph is a family of subsets, all of size two, which corresponds to the usual notion of graph. Extending and limiting the third property: for every 2-graph  $H$  on  $S$  of size at most  $5l$  with at most  $50l$  edges there exist  $v_3, v_4, v_5, v_6, w_1, \dots, w_{500}$  so that for all  $v_1, v_2 \in S$ ,

$$\{v_1, v_2\} \in H \Leftrightarrow \bigvee_{i=1}^{500} N(v_1, \dots, v_6, w_i) \neq \emptyset.$$

In the first order language let  $\text{BIGGER}(S, S')$  be that for some  $v_3, v_4, v_5, v_6$  and some  $w_1, \dots, w_{500}$  the  $H$  defined on  $S \cup S'$  gives an injection from  $S - S'$  to  $S' - S$ . In  $G(n, p)$  a.s. for every  $S, S'$  with  $|S \cup S'| \leq 5l$   $\text{BIGGER}(S, S')$  has the same truth value as the (not first order) statement  $|S'| \leq |S|$ . We write  $\text{MAX}(x_1, \dots, x_7)$  if for all  $x'_1, \dots, x'_7$

$$\text{BIGGER}(N(x_1, \dots, x_7), N(x'_1, \dots, x'_7)).$$

As a.s. all  $|N(x_1, \dots, x_7)| < 2l$ , a.s. for every  $x_1, \dots, x_7$   $\text{MAX}(x_1, \dots, x_7)$  has the same truth value as the (not first order) statement that  $x_1, \dots, x_7$  have the maximum number of common neighbors over all sets of seven vertices. We may say  $S$  has size  $i \pmod{10}$ , that there is a graph  $H$  on  $S$  that is the union of 10-cliques plus  $i$  more points. For  $0 \leq i < 10$  let  $A_i$  be the sentence that there exist  $x_1, \dots, x_7$  for which  $\text{MAX}(x_1, \dots, x_7)$  and so that  $N(x_1, \dots, x_7)$  has size that is  $i \pmod{10}$ . Then  $A_0 \vee \dots \vee A_9$  holds a.s. so if  $p$  satisfies the Zero-One Law precisely one  $A_i$  holds a.s. This implies there must be a  $k'(n)$  with  $l-4 \leq k'(n) \leq l+4$  so that

$$\max |N(x_1, \dots, x_7)| = k'(n)$$

a.s. Now set

$$k(n) = \lfloor (k'(n))^{1/3} \rfloor.$$

We may say that a set  $S = N(x_1, \dots, x_7)$  has size  $k(n)$ : it has maximal size so that there exist  $S_1, S_2$  of the same size, all disjoint, and an injection from  $S \times S_1 \times S_2$  into a set  $T$  of size  $k'(n)$ . Now  $k^3(n) \leq k'(n) \leq l + 4 \leq 50l$ . Any 3-graph  $H$  on  $S$  has less than  $50l$  hyperedges so there exist  $v_4, v_5, v_6, w_1, \dots, w_{500}$  so that for all  $v_1, v_2, v_3 \in S$ ,

$$\{v_1, v_2, v_3\} \in H \Leftrightarrow \bigvee_{i=1}^{500} N(v_1, v_2, v_3, v_4, v_5, v_6, w_i) \neq \emptyset.$$

Now let  $K$  denote the set of values  $k(n)$ . A function  $p = p(n)$  satisfying the Zero-One Law will determine the set  $K$ , up to the finite segment. Now let  $\mathcal{T}$  be any second order sentence with quantification over unary, binary and ternary predicates as well as normal first order quantification. Set  $S = \text{Spec}(\mathcal{T})$ , i.e., the set of  $m$  for which there is a model of  $\mathcal{T}$  containing exactly  $m$  elements.

**Fact 9.** *If  $p = p(n)$  satisfies the Zero-One Law then for any such  $S$  either  $K \cap S$  or  $K \cap \bar{S}$  must be finite.*

*Proof.* Say  $\mathcal{T}$  has ternary predicates  $R_1, R_2, \dots$ . In the theory of graphs make a sentence  $A$  that there exist  $x_1, \dots, x_7$  so that  $S = N(x_1, \dots, x_7)$  has size  $k(n)$  and on  $S$  we have a model of  $\mathcal{T}$ . We do this by replacing each second order quantified ternary  $\exists R_i$  by  $\exists v_4, v_5, v_6, w_1, \dots, w_{500}$  and replacing  $R_i(v_1, v_2, v_3)$  by  $\bigvee_{i=1}^{500} N(v_1, v_2, v_3, v_4, v_5, v_6, w_i) \neq \emptyset$ . Then a.s.  $A$  holds if and only if  $k(n) \in S$ . (Strictly speaking these ternary relations would be symmetric and hold for three unequal arguments. The somewhat technical modification to handle quantification over all ternary relations is discussed in [SS]. Binary and unary relations are handled similarly. Of course, the symbols used for  $v_4, \dots, w_{500}$  must be different in each replacement.) With  $p = p(n)$  satisfying the Zero-One Law we must have  $k(n) \in S$  being either true for all sufficiently large  $n$  or false for all sufficiently large  $n$  and as  $k(n) \rightarrow \infty$  this implies Fact 9.  $\square$

This fact gives a great strengthening of the results of [SS]. For example, let  $\beta < \epsilon_0$  and let  $f_\beta$  denote the  $\beta$ th function in the transfinite Ackermann hierarchy.

**Fact 10.** *If  $\kappa(n) > f_\beta^{-1}(n)$  for all sufficiently large  $n$  then  $p = p(n)$  does not satisfy the Zero-One Law.*

*Proof.* As  $k(n) \sim \kappa(n)^{1/3}$  this would imply  $k(n) > f_{\beta+1}^{-1}(n)$ . For  $k \in K$  let  $k^+$  denote the next element of  $K$  in ascending order. Say  $k = k(n)$ . Then  $k[f_{\beta+1}(n)] > k$  and

$$k^+ \leq k[f_{\beta+1}(n)] \leq f_{\beta+1}(n) \leq f_{\beta+1}(f_{\beta+1}(k)) \leq f_{\beta+2}(k).$$

But with ternary predicates we may simulate arithmetic and the set  $S$  of those  $k$  with  $f_{\beta+2}^{-1}(k)$  even is a spectrum. Since in  $K$ ,  $k^+$  is so “near”  $k$  it cannot “jump over” the interval  $[f_{\beta+2}(s), f_{\beta+2}(s+1))$  and so both  $S \cap K$  and  $\bar{S} \cap K$  would be infinite.  $\square$

We are indebted to A. Blass (Ann Arbor) and M. Sipser (Cambridge) for the next result, whose proof employs the “delayed diagonalization” technique.

**Fact 11.** *No recursive infinite set  $K$  has the property of Fact 9 that either  $K \cap S$  or  $K \cap \bar{S}$  is finite for every  $S = \text{Spec}(\mathcal{F})$ .*

*Proof.* Classic results of Fagin [F1] give that every set  $S$  of those  $n$  accepted by a polynomial time algorithm (and even much more) are of this form. We suppose a recursive  $K$  exists and derive a contradiction by considering the following (linear time!) algorithm for a set  $S$ . Given  $n$  set the “clock” to  $n$  and check the integers  $1, 2, 3, \dots$  for membership in  $K$ . Let  $x$  be the largest integer for which  $x \in K$  has been determined when the clock runs out. If no such  $x$  has been found then accept  $n \in S$ . Now recursively check if  $x \in S$ . If  $x \in S$  then say  $n \notin S$ . If  $x \notin S$  then say  $n \in S$ .

Suppose  $K \cap \bar{S}$  is finite so there exists  $a_0 \in K$  so that all  $x \geq a_0$  with  $x \in K$  have  $x \in S$ . Let  $n_0$  be such that with the clock set at  $n \geq n_0$  the condition  $a_0 \in K$  is checked. Let  $n > n_0$  with  $n \in K$ . With input  $n$ , the final  $x$  for which  $x \in K$  is determined has  $x \geq a_0$ , hence  $x \in S$ . Hence  $n \notin S$ , a contradiction. If  $K \cap S$  is finite a similar contradiction is reached.  $\square$

**Theorem 3.** *There is no recursive function  $p = p(n)$  with  $p < n^{-1/7}$  and  $p = n^{-1/7+o(1)}$  satisfying the Zero-One Law.*

*Proof.* If  $p(n)$  were recursive then  $K$  would be recursive, contradicting Facts 9, 11.  $\square$

Our next result provides a sharp contrast.

**Theorem 4.** *There exists a function  $p = p(n)$  with  $p < n^{-1/7}$  and  $p = n^{-1/7+o(1)}$  satisfying the Zero-One Law.*

*Proof.* Order the sentences in the first order theory of graphs  $A_1, A_2, \dots$ . Set

$$\alpha_i = \frac{1}{7} + \frac{\sqrt{2}}{i}$$

or any sequence of irrational numbers decreasing to  $1/7$ . Set  $E_0 = \{\alpha_1, \alpha_2, \dots\}$ . By induction on  $i$  we define  $a_1, \dots, a_i$  and sets  $E_0 \supset E_1 \supset \dots \supset E_i$ , all infinite. With  $E_{i-1}$  having been defined split  $a \in E_{i-1}$  into two classes according to whether  $A_i$  or  $\neg A_i$  holds a.s. with  $p = n^{-a}$ . As all  $a$  are irrational this gives a strict dichotomy. Let  $E_i$  be the infinite class, or either class if both are infinite. (This step is nonrecursive. Even for  $i = 1$  there is no decision procedure that determines if  $A$  holds a.s. with  $p = n^{-a}$  for infinitely many  $a \in E_0$ .) For notational convenience let  $B_i$  denote either  $A_i$  or  $\neg A_i$ , whichever gave the class  $E_i$ . Select  $a_i \in E_i$ ,  $a_i < a_{i-1}$ , arbitrarily. Note that

as the  $E_i$  are a descending sequence we have that for all  $i \leq j$  that  $B_i$  holds a.s. in  $G(n, n^{-a_j})$ . For each  $j$  we may therefore pick an  $n_j$  so that for  $n \geq n_j$

$$\Pr[G(n, n^{-a_j}) \models B_i] \geq 1 - 1/j, \quad 1 \leq i \leq j.$$

Replacing  $n_j$  by  $\max(n_1, \dots, n_j)$  we can further assure  $n_1 \leq n_2 \leq \dots$ . Now we define  $p = p(n)$  by letting  $p(n)$  be arbitrary for  $n < n_1$  and setting

$$p(n) = n^{-a_j}, \quad n_j \leq n < n_{j+1}.$$

As  $a_j \rightarrow 1/7$ ,  $p(n) = n^{-1/7+o(1)}$ . As all  $a_j > 1/7$ ,  $p(n) < n^{-1/7}$ . For each  $i$ , we have that for each  $j \geq i$ ,  $\Pr[B_i] \geq 1 - 1/j$  for  $n_j \leq n$  and so  $B_i$  holds a.s. and therefore  $A_i$  holds with probability approaching either zero or one.  $\square$

6.  $p = n^{-1+o(1)}$

In this section we assume  $p = n^{-1+o(1)}$  throughout and we characterize those  $p$  that satisfy the Zero-One Law. In [SS] it is shown that if

$$p \ll n^{-1},$$

$$n^{-1} \ll p \ll n^{-1}(\log n),$$

or

$$n^{-1}(\log n) \ll p,$$

then  $p$  does satisfy the Zero-One Law. When  $p = c/n$  the probability that  $G = G(n, p)$  is trianglefree approaches  $e^{-c^3/6}$ . Hence for  $p$  to satisfy the Zero-One Law we must have  $p \ll n^{-1}$  or  $p \gg n^{-1}$ . When  $p = \log n/n + c/n$  the probability that  $G = G(n, p)$  has no isolated points is  $e^{-e^{-c}}$ . (This is better known as the threshold function for connectivity.) However, in the range  $p = \Theta(\log n/n)$  the threshold functions are “tighter” and there is still room for  $p$  to satisfy the Zero-One Law. The crucial sentences (for which we gratefully acknowledge the assistance of N. Pippenger) are the following, defined for  $k \geq 1, s \geq 0$ :

- $A_{k,s}$ : There exist  $x_1, \dots, x_k$  forming a path of length  $k - 1$ ,  $x_1$  only adjacent to  $x_2$ ,  $x_i$  only adjacent to  $x_{i-1}, x_{i+1}$  for  $1 < i < k$ , and  $x_k$  adjacent only to  $x_{k-1}$  and precisely  $s$  other vertices  $y_1, \dots, y_s$ .

The special case  $k = 1$  simplifies to

- $A_{1,s}$ : There is a vertex of degree precisely  $s$ .

Set

$$p = p_{k,s}(n) = \frac{\log n}{kn} + \frac{(k + s - 1) \log \log n}{kn} + \frac{c}{kn}.$$

There are  $\sim n^{k+s}/s!$  potential  $x_1, \dots, x_k, y_1, \dots, y_s$  and each satisfies the condition with probability  $\sim p^{k+s-1}(1-p)^{kn}$ . With this  $p$  the expected number of such sets is then  $e^{-c}/s!$  and

$$\Pr[A_{k,s}] \rightarrow 1 - e^{-e^{-c}/s!}.$$

For notational convenience write  $p(n) <^* q(n)$  if  $n(q(n) - p(n)) \rightarrow \infty$  and  $p(n) >^* q(n)$  if  $n(q(n) - p(n)) \rightarrow -\infty$ .

**Theorem 5.**  $p = n^{-1+o(1)}$  satisfies the Zero-One Law if and only if

$$p \ll n^{-1} \quad \text{or} \quad p \gg n^{-1}$$

and for all  $k \geq 1, s \geq 0$

$$p <^* p_{k,s} \quad \text{or} \quad p >^* p_{k,s}.$$

The other cases having been handled in [SS] we may assume  $p = \Theta(\log n/n)$ . In [SS] it has been noticed that for every  $m$  a.s. there do not exist  $m$  vertices with  $m + 1$  (or more) edges. For every  $m \geq 3, r$  there a.s. do exist (at least)  $r$  cycles of size precisely  $m$ . For every  $m, s$  a.s. every  $m$  vertices that have  $m$  edges have each vertex of degree (at least)  $s$ . Thus countable models of  $G(n, p)$  would consist only of trees and unicyclic components. The unicyclic components are determined: for each  $m \geq 3$  there will be countably many components with a single cycle of length  $m$  and all degrees infinite. The distinctions come in the tree components.

Suppose  $p <^* p_{k+1,0}$ . For all  $m, r$  a.s. there do not exist  $m$  vertices joined in a tree containing  $k + 1$  vertices of degree at most  $r$ . In the countable models no tree can contain  $k + 1$  (or more) vertices of finite degree.

Suppose  $p >^* p_{k-1,s}$  for all  $s$ . Fix an arbitrary tree  $T$  with any  $k - 1$  specified vertices  $v_1, \dots, v_{k-1}$  and with any specified integers  $d_1, \dots, d_{k-1}$  with each  $d_i$  at least the degree of its respective  $v_i$  in  $T$ . Then a.s. there will be contained in  $G(n, p)$  an induced copy of  $T$  with the vertex corresponding to  $v_i$  having degree precisely  $d_i$ . In the countable models there will be trees having  $k - 1$  (or less) vertices of finite degree forming all possible finite subtrees.

When  $p >^* p_{k-1,s}$  for all  $s$ , and  $p <^* p_{k+1,0}$  the countable models of  $G(n, p)$  are distinguished by the trees containing precisely  $k$  vertices of finite degree. Let  $T$  be a finite tree on, say,  $m$  vertices with distinguished vertices  $y_1, \dots, y_k$ . Suppose further that the  $y_i$  include all the leaves of  $T$ . Let  $l_1, \dots, l_k$  denote the degrees of  $y_1, \dots, y_k$  respectively in  $T$ . Let  $d_1, \dots, d_k \geq 0$ . Let  $A$  be the event that  $G(n, p)$  contains an induced copy of  $T$  and that  $y_i$  has degree precisely  $l_i + d_i$ . For this  $A$  we set  $s = m + d_1 + \dots + d_k - k \geq 0$  and

$$p_A = \frac{\log n}{kn} + \frac{(k + s - 1) \log \log n}{kn}.$$

Then if  $p <^* p_A, \neg A$  holds a.s. while if  $p >^* p_A$  then  $A$  holds a.s.

Suppose  $p_{k,s-1} <^* p <^* p_{k,s}$ . Then the countable model of  $G(n, p)$  is determined: those tree components with precisely  $k$  points of finite degree exist if and only if the points and their degrees match the criteria above. Conversely, for each such  $T, y_1, \dots, y_k, d_1, \dots, d_k$  meeting the criteria there will be countably many such components.

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