

## CAYLEY GROUPS

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## 1. INTRODUCTION

The exponential map is a fundamental instrument of Lie theory that yields local linearization of various problems involving Lie groups and their actions; see [Bou1]. Let  $L$  be a real Lie group with Lie algebra  $\mathfrak{l}$ . As the differential at 0 of the exponential  $\exp: \mathfrak{l} \rightarrow L$  is bijective,  $\exp$  yields a diffeomorphism of an open neighborhood of 0 in  $\mathfrak{l}$  onto an open neighborhood  $U$  of the identity element  $e$  in  $L$ . The inverse diffeomorphism  $\lambda$  (logarithm) is equivariant with respect to the action of  $L$  on  $\mathfrak{l}$  via the adjoint representation  $\mathrm{Ad}_L: L \rightarrow \mathrm{Aut} \mathfrak{l}$  and on  $L$  by conjugation, i.e.,  $\lambda(gug^{-1}) = \mathrm{Ad}_L g(\lambda(u))$  if  $g \in L$ ,  $u \in U$  and  $gug^{-1} \in U$ . This shows that the conjugating action of  $L$  on its underlying manifold is linearizable in a neighborhood of  $e$ .

In this paper we study what happens if  $L$  is replaced with a connected linear algebraic group  $G$  over an algebraically closed field  $k$ : what is a natural algebraic counterpart of  $\lambda$  for such  $G$  and for which  $G$  does it exist?

In what follows we assume that  $\mathrm{char} k = 0$  (in fact in many places this assumption is either redundant or can be bypassed by modifying the relevant proof).

**1.1. The classical Cayley map.** Let  $\mathfrak{g}$  be the Lie algebra of  $G$ . One way to look at the problem is to replace the Hausdorff topology in the Lie group setting by the étale topology, i.e., to define the algebraic counterpart of  $\lambda$  as a  $G$ -equivariant morphism  $G \rightarrow \mathfrak{g}$  étale at  $e$ . Then, at least for reductive groups, there is no existence problem: such morphisms always exist; see the Corollary to Lemma 10.3 below. Properties of some of them have been studied by KOSTANT and MICHOR in [KM]; see Example 10.4 below. Note also that a  $G$ -equivariant dominant morphism  $G \rightarrow \mathfrak{g}$  exists for every linear algebraic group  $G$ ; see Theorem 10.2 below.

In the present paper we look at the problem differently. Our point of view stems from a discovery made by CAYLEY in 1846, [Ca]; cf. [Weyl], [Pos]. It suggests that the most direct approach, i.e., replacing the Hausdorff topology by the Zariski topology, leads to something really interesting. Namely, let  $G$  be the special orthogonal group,

$$G = \mathbf{SO}_n := \{X \in \mathrm{Mat}_{n \times n} \mid X^T X = I_n\},$$

where  $I_n$  is the identity  $n \times n$ -matrix. Then

$$\mathfrak{g} = \mathfrak{o}_n := \{Y \in \mathrm{Mat}_{n \times n} \mid Y^T = -Y\},$$

and the adjoint representation  $\mathrm{Ad}_G: G \rightarrow \mathrm{Aut} \mathfrak{g}$  is given by

$$(1.1) \quad \mathrm{Ad}_G g(Y) = gYg^{-1}, \quad g \in G, Y \in \mathfrak{g}.$$

CAYLEY discovered that there exists a birational isomorphism

$$(1.2) \quad \lambda: G \xrightarrow{\sim} \mathfrak{g}$$

equivariant with respect to the conjugating and adjoint actions of  $G$  on the underlying varieties of  $G$  and  $\mathfrak{g}$ , respectively, i.e., such that

$$(1.3) \quad \lambda(gXg^{-1}) = \text{Ad}_G g(\lambda(X))$$

if  $g$  and  $X \in G$  and both sides of (1.3) are defined. His proof is given by the explicit formula defining such  $\lambda$ :

$$(1.4) \quad \lambda: X \mapsto (I_n - X)(I_n + X)^{-1}$$

(one immediately deduces from (1.4) that  $Y \mapsto (I_n - Y)(I_n + Y)^{-1}$  is the inverse of  $\lambda$ , and from (1.1) that (1.3) holds).

**1.2. Basic definitions, main problem, and examples.** Inspired by this example, we introduce the following definition for an arbitrary connected linear algebraic group  $G$ .

**Definition 1.5.** A *Cayley map* for  $G$  is a birational isomorphism (1.2) satisfying (1.3). A group  $G$  is called a *Cayley group* if it admits a Cayley map. If  $G$  is defined over a subfield  $K$  of  $k$ , then a Cayley map defined over  $K$  is called a *Cayley  $K$ -map*. If  $G$  admits a Cayley  $K$ -map,  $G$  is called a *Cayley  $K$ -group*.

Our starting point was a question, posed in 1975 to the second-named author by LUNA, [Lun3]. Using Definition 1.5, it can be reformulated as follows:

**Question 1.6.** For what  $n$  is the special linear group  $\mathbf{SL}_n$  a Cayley group?

It is easy to show (see Example 1.16 below) that  $\mathbf{SL}_2$  is a Cayley group. POPOV in [Pop2] has proved that, contrary to what was expected ([Lun1, Remarque, p. 14]),  $\mathbf{SL}_3$  is a Cayley group as well.

More generally, given Definition 1.5, it is natural to pose the following problem:

**Problem 1.7.** Which connected linear algebraic groups are Cayley groups?

Before stating our main results, we will discuss several examples. Set

$$\mu_d := \{a \in \mathbf{G}_m \mid a^d = 1\}.$$

This is a cyclic subgroup of order  $d$  of the multiplicative group  $\mathbf{G}_m$ . Below we use the same notation  $\mu_d$  for the central cyclic subgroup  $\{aI_n \mid a \in \mu_d\}$  of  $\mathbf{GL}_n$ .

**Example 1.8.** If  $G_1, \dots, G_n$  are Cayley, then  $G := G_1 \times \dots \times G_n$  is Cayley (the converse is false; see Subsection 4.4). Indeed, if  $\mathfrak{g}_i$  is the Lie algebra of  $G_i$  and  $\lambda_i: G_i \xrightarrow{\sim} \mathfrak{g}_i$  a Cayley map, then  $\mathfrak{g} = \mathfrak{g}_1 \oplus \dots \oplus \mathfrak{g}_n$  and  $\lambda_1 \times \dots \times \lambda_n: G \xrightarrow{\sim} \mathfrak{g}$  is a Cayley map.  $\square$

**Example 1.9.** Consider a finite-dimensional associative algebra  $A$  over  $k$  with identity element 1. Let  $\mathcal{L}_A$  be the Lie algebra whose underlying vector space is that of  $A$  and whose Lie bracket is given by

$$(1.10) \quad [X_1, X_2] := X_1X_2 - X_2X_1.$$

The group

$$G := A^*$$

of invertible elements of  $A$  is a connected linear algebraic group whose underlying variety is an open subset of that of  $A$ . This implies that  $\mathfrak{g}$  is naturally identified with  $\mathcal{L}_A$ , and the adjoint action is given by formula (1.1). Hence the natural embedding  $\lambda : A^* \hookrightarrow \mathcal{L}_A, X \mapsto X$ , is a Cayley map. Therefore  $G$  is a Cayley group.

Taking  $A = \text{Mat}_{n \times n}$ , we obtain that  $G := \mathbf{GL}_n$  is Cayley for every  $n \geq 1$ .  $\square$

**Example 1.11.** Maintain the notation of Example 1.9. For any element  $a \in A$ , denote by  $\text{tr } a$  the trace of the operator  $L_a$  of left multiplication of  $A$  by  $a$ . Since the algebra  $A$  is associative,  $a \mapsto L_a$  is a homomorphism of  $A$  to the algebra of linear operators on the underlying vector space of  $A$ . From this and (1.10), we deduce that  $k \cdot 1$  is an ideal of  $\mathcal{L}_A$ , the map

$$\tau : \mathcal{L}_A \rightarrow k \cdot 1, \quad a \mapsto \text{tr } a \cdot 1,$$

is a surjective homomorphism of Lie algebras, and

$$(1.12) \quad \mathcal{L}_A = \text{Ker } \tau \oplus k \cdot 1.$$

The subgroup  $k^* \cdot 1$  of  $A^*$  is normal; set

$$(1.13) \quad G := A^*/k^* \cdot 1.$$

As the Lie algebras of  $A^*$  and  $k^* \cdot 1$  are, respectively,  $\mathcal{L}_A$  and  $k \cdot 1$ , it follows from (1.12) that one can identify  $\mathfrak{g}$  with  $\text{Ker } \tau$ . Let  $A^* \rightarrow G, a \mapsto [a]$ , be the natural projection. Then the formula

$$(1.14) \quad [a] \mapsto \frac{\text{tr } 1}{\text{tr } a} a - 1$$

defines a rational map  $\lambda : G \dashrightarrow \mathfrak{g} = \text{Ker } \tau$ . Since  $\text{tr } xax^{-1} = \text{tr } a$  for any  $a \in A, x \in A^*$ , it follows from (1.14) that (1.3) holds. On the other hand, (1.14) clearly implies that

$$(1.15) \quad a \mapsto [a + 1]$$

is the inverse of  $\lambda$ . Thus  $G$  is a Cayley group.

If  $A$  is defined over a subfield  $K$  of  $k$ , then the group  $G$  and birational isomorphisms (1.14), (1.15) are defined over  $K$  as well. Hence  $G$  is a Cayley  $K$ -group.

For  $A = \text{Mat}_{n \times n}$  this shows that  $\mathbf{PGL}_n$  is a Cayley group for every  $n \geq 1$ . Note that in this case,  $\frac{\text{tr } 1}{\text{tr } a} = \frac{n}{\text{Tr } a}$ , where  $\text{Tr } a$  is the trace of matrix  $a$ . Let  $K$  be a subfield of  $k$ . Since every inner  $K$ -form  $G$  of  $\mathbf{PGL}_n$  is given by (1.13) for  $A = D \otimes_K k$ , where  $D$  is an  $n^2$ -dimensional central simple algebra over  $K$  and the  $K$ -structure of  $A$  is defined by  $D$ , cf. [Kn], all inner  $K$ -forms of  $\mathbf{PGL}_n$  are Cayley  $K$ -groups.

Setting  $A = \bigoplus_{i=1}^s \text{Mat}_{n_i \times n_i}$ , we conclude that  $\prod_{i=1}^s \mathbf{GL}_{n_i}/k^* I_{n_1+\dots+n_s}$  is a Cayley group. Here  $\prod_{i=1}^s \mathbf{GL}_{n_i}$  is block-diagonally embedded in  $\mathbf{GL}_{n_1+\dots+n_s}$ .  $\square$

**Example 1.16.** The following construction was noticed by WEIL in [Weil, p. 599]. Namely, maintain the notation of Example 1.9 (WEIL assumed that  $A$  is semisimple, but his construction, presented below, does not use this assumption). Let  $\iota$  be an involution (i.e., an involutory  $k$ -antiautomorphism) of the algebra  $A$ . Set

$$(1.17) \quad G := \{a \in A^* \mid a^\iota a = 1\}^\circ$$

(as usual,  $S^\circ$  denotes the identity component of an algebraic group  $S$ ). The Lie algebra of  $G$  is the subalgebra of odd elements of  $\mathcal{L}_A$  for  $\iota$ ,

$$\mathfrak{g} = \{a \in \mathcal{L}_A \mid a^\iota = -a\}.$$

The formula

$$(1.18) \quad a \mapsto (1 - a)(1 + a)^{-1}$$

defines an equivariant rational map  $\lambda: G \dashrightarrow \mathfrak{g}$ , and the formula

$$(1.19) \quad b \mapsto (1 - b)(1 + b)^{-1}$$

defines its inverse,  $\lambda^{-1}: \mathfrak{g} \dashrightarrow G$ . Thus  $\lambda$  is a Cayley map and  $G$  is a Cayley group.

If  $A$  and  $\iota$  are defined over a subfield  $K$  of  $k$ , then the group  $G$  and birational isomorphisms (1.18), (1.19) are defined over  $K$  as well. Hence  $G$  is a Cayley  $K$ -group.

For  $A = \text{Mat}_{n \times n}$  and the involution  $X \mapsto X^T$ , this turns into the classical Cayley construction for  $G = \mathbf{SO}_n$ , proving that this group is Cayley for every  $n \geq 1$ . In particular, the following groups are Cayley:  $\mathbf{G}_m \simeq \mathbf{SO}_2$  (see Examples 1.9 and 1.20),  $\mathbf{PGL}_2 \simeq \mathbf{SL}_2/\mu_2 \simeq \mathbf{SO}_3$  (see Example 1.11),  $(\mathbf{SL}_2 \times \mathbf{SL}_2)/\mu_2 \simeq \mathbf{SO}_4$  (here  $\mathbf{SL}_2 \times \mathbf{SL}_2$  is block-diagonally embedded in  $\mathbf{SL}_4$ ),  $\mathbf{Sp}_4/\mu_2 \simeq \mathbf{SO}_5$ , and  $\mathbf{SL}_4/\mu_2 \simeq \mathbf{SO}_6$ .

For  $A = \text{Mat}_{2n \times 2n}$  and the involution  $X \mapsto J_{2n}^{-1}X^T J_{2n}$ , where  $J_{2n} := \begin{bmatrix} 0 & I_n \\ -I_n & 0 \end{bmatrix}$ , we have

$$G = \mathbf{Sp}_{2n} := \{X \in \text{Mat}_{2n \times 2n} \mid X^T J_{2n} X = J_{2n}\},$$

$$\mathfrak{g} = \mathfrak{sp}_{2n} := \{Y \in \text{Mat}_{2n \times 2n} \mid Y^T J_{2n} = -J_{2n} Y\},$$

so the construction shows that (1.4) is a Cayley map for  $\mathbf{Sp}_{2n}$ ; cf. [Pos, Examples 6, 7]. Thus  $\mathbf{Sp}_{2n}$  is Cayley for every  $n \geq 1$ . In particular,  $\mathbf{SL}_2 \simeq \mathbf{Spin}_3 \simeq \mathbf{Sp}_2$ ,  $\mathbf{Spin}_4 \simeq \mathbf{SL}_2 \times \mathbf{SL}_2$ , and  $\mathbf{Spin}_5 \simeq \mathbf{Sp}_4$  are Cayley. Below we shall prove that  $\mathbf{Spin}_n$  is not Cayley for  $n \geq 6$ .

Let  $K$  be a subfield of  $k$ . Since every  $K$ -form  $G$  of  $\mathbf{SO}_n$  or  $\mathbf{Sp}_{2n}$  is given by (1.17) for some algebra  $A$  and its involution  $\iota$ , both defined over  $K$ , see [Weil], [Kn], all  $K$ -forms of  $\mathbf{SO}_n$  and  $\mathbf{Sp}_{2n}$  are Cayley  $K$ -groups.  $\square$

**Example 1.20.** Every connected commutative linear algebraic group  $G$  is Cayley. In fact, in this case, condition (1.3) is vacuous, so the existence of (1.2) is equivalent to the property that the underlying variety of  $G$  is rational. CHEVALLEY in [Ch1] proved that over an algebraically closed field of characteristic zero this property holds for any connected linear algebraic group (not necessarily commutative). In particular, the algebraic torus  $\mathbf{G}_m^d$ , where

$$\mathbf{G}_m^d := \underbrace{\mathbf{G}_m \times \dots \times \mathbf{G}_m}_d \text{ if } d \geq 1, \quad \mathbf{G}_m^0 = e,$$

is a Cayley group for every  $d \geq 0$  (as  $\mathbf{G}_m = \mathbf{GL}_1$ , this also follows from Examples 1.8 and 1.9).

**Example 1.21.** Every unipotent linear algebraic group  $G$  is Cayley ( $G$  is automatically connected because  $\text{char } k = 0$ ). Indeed, we may assume without loss of generality that  $G \subset \mathbf{GL}_n$ , so that elements of  $G$  are unipotent  $n \times n$ -matrices, elements of  $\mathfrak{g}$  are nilpotent  $n \times n$ -matrices, and  $\text{Ad}_G$  is given by (1.1). So we have  $(I_n - X)^n = Y^n = 0$  for any  $X \in G, Y \in \mathfrak{g}$ . Hence the exponential map is given by

$$\exp : \mathfrak{g} \longrightarrow G, \quad Y \mapsto \sum_{i=0}^{n-1} \frac{1}{i!} Y^i.$$

Therefore  $\exp$  is a  $G$ -equivariant morphism of algebraic varieties. Moreover, it is an isomorphism since the formula

$$(1.22) \quad \lambda := \ln: G \longrightarrow \mathfrak{g}, \quad X \mapsto -\sum_{i=1}^{n-1} \frac{1}{i}(I_n - X)^i,$$

defines its inverse.

More generally, by the Corollary of Proposition 4.2 below, every connected solvable linear algebraic group is Cayley.  $\square$

**1.3. Notational conventions.** In order to formulate our main results, we need some notation and definitions.

For any algebraic torus  $T$ , we denote by  $\widehat{T}$  its character group,

$$\widehat{T} := \text{Hom}_{\text{alg}}(T, \mathbf{G}_m),$$

written additively. It is a lattice (i.e., a free abelian group of finite rank).

Let  $T$  be a maximal torus of  $G$  and let

$$(1.23) \quad \begin{cases} N = N_{G,T} := \{g \in G \mid gTg^{-1} = T\}, \\ C = C_{G,T} := \{g \in G \mid gtg^{-1} = t \text{ for all } t \in T\}, \\ W = W_G = W_{G,T} := N/C \end{cases}$$

be, respectively, its normalizer, centralizer (which is the Cartan subgroup of  $G$ ), and the Weyl group. The group  $C$  is the identity component of  $N$ , and if  $G$  is reductive, then  $C = T$ ; see [Bor, 12.1, 13.17]. The finite group  $W$  naturally acts by automorphisms of  $\widehat{T}$ . Since all maximal tori in  $G$  are conjugate,  $W$  and the  $W$ -lattice  $\widehat{T}$  do not depend, up to isomorphism, on the choice of  $T$ .

**Definition 1.24.** The  $W$ -lattice  $\widehat{T}$  is called the *character lattice* of  $G$  and is denoted by  $\mathcal{X}_G$ .

*Remark 1.25.* The reader should be careful about this terminology: the elements of the character lattice of  $G$  are the characters of  $T$ , not of  $G$ .

**Definition 1.26.** A group  $G$  is called *stably Cayley* if  $G \times \mathbf{G}_m^d$  is Cayley for some  $d \geq 0$ . If  $G$  is defined over a subfield  $K$  of  $k$  and  $G \times \mathbf{G}_m^d$  is a Cayley  $K$ -group for some  $d \geq 0$ , then  $G$  is called a *stably Cayley  $K$ -group*.

It is easy to see that  $G$  is stably Cayley if and only if  $G \times A$  is Cayley for some connected abelian algebraic group  $A$ . In what follows we will sometimes use Definition 1.26 in this form.

**1.4. Main results.** Now we are ready to state our main results. In what follows we shall denote the generic torus of  $G$  by  $\mathbf{T}_G$ . (For the definition of  $\mathbf{T}_G$ , see [Vos], [CK] or Definition 3.7 in Subsection 3.2.)

**Theorem 1.27.** *Let  $G$  be a connected reductive algebraic group. Then the following implications hold:*

$$\mathcal{X}_G \text{ is sign-permutation} \xrightarrow{(a)} G \text{ is Cayley} \xrightarrow{(b)} \mathbf{T}_G \text{ is rational} \xrightarrow{(c)} \mathbf{T}_G \text{ is stably rational} \xleftrightarrow{(d)} \mathcal{X}_G \text{ is quasi-permutation} \xleftrightarrow{(e)} G \text{ is stably Cayley}.$$

*Moreover, the implications (a) and (b) cannot be reversed. In particular, not every stably Cayley group is Cayley.*

For the definitions of sign-permutation and quasi-permutation lattices, see Subsection 2.2. Note that it is a long-standing open question whether or not every stably rational torus is rational; see [Vos, p. 52]. In particular, we do not know whether or not implication (c) can be reversed. We also remark that (d) is well known; see, e.g., [Vos, Theorem 4.7.2].

A proof of Theorem 1.27 will be given in Subsection 3.3. In Section 4 we will partially reduce Problem 1.7 to the case where  $G$  is a simple group.

We will then use Theorem 1.27 to translate results about stable rationality of generic tori into statements about the existence (and, more often, the non-existence) of Cayley maps for various simple algebraic groups (i.e., groups having no proper connected normal subgroups). In particular, LEMIRE and LORENZ in [LL] and CORTELLA and KUNYAVSKIĬ in [CK] have recently proved that the character lattice of  $\mathbf{SL}_n$  is quasi-permutation if and only if  $n \leq 3$ . (This result had been previously conjectured and proved for prime  $n$  by LE BRUYN in [LB1], [LB2].) Theorem 1.27 now tells us that  $\mathbf{SL}_n$  is not stably Cayley (and thus not Cayley) for any  $n \geq 4$ . On the other hand, Example 1.16 shows that  $\mathbf{SL}_2$  is Cayley, and POPOV in [Pop2] has proved that  $\mathbf{SL}_3$  is Cayley as well (an outline of the arguments from [Pop2] is reproduced in the Appendix; see also an explicit construction in Section 9). This settles Luna's original Question 1.6 about  $\mathbf{SL}_n$ .

In a similar manner, we proceed to classify the connected simple groups  $G$  with quasi-permutation character lattices  $\mathcal{X}_G$ . For simply connected and adjoint groups this was done by CORTELLA and KUNYAVSKIĬ in [CK]. In Sections 6 and 8 we extend their results to all other connected simple groups. Combining this classification with Theorem 1.27, we obtain the following result.

**Theorem 1.28.** *Let  $G$  be a connected simple algebraic group. Then the following conditions are equivalent:*

- (a)  $G$  is stably Cayley;
- (b)  $G$  is one of the following groups:

$$(1.29) \quad \mathbf{SL}_n \text{ for } n \leq 3, \quad \mathbf{SO}_n \text{ for } n \neq 2, 4, \quad \mathbf{Sp}_{2n}, \quad \mathbf{PGL}_n, \quad \mathbf{G}_2.$$

*Remark 1.30.* The groups  $\mathbf{SO}_2$  and  $\mathbf{SO}_4$  are stably Cayley (and even Cayley; see Example 1.16) but they are excluded because they are not simple. Note also that, due to exceptional isomorphisms, some groups are listed twice in (1.29). (For example,  $\mathbf{Sp}_2 \simeq \mathbf{SL}_2$ .)

It is now natural to ask which of the stably Cayley simple groups listed in Theorem 1.28(b) are in fact Cayley. Here is the answer:

**Theorem 1.31.** *Let  $G$  be a connected simple algebraic group.*

- (a) *The following conditions are equivalent:*
  - (i)  $G$  is Cayley;
  - (ii)  $G$  is one of the following groups:

$$(1.32) \quad \mathbf{SL}_n \text{ for } n \leq 3, \quad \mathbf{SO}_n \text{ for } n \neq 2, 4, \quad \mathbf{Sp}_{2n}, \quad \mathbf{PGL}_n.$$

- (b) *The group  $\mathbf{G}_2$  is not Cayley but the group  $\mathbf{G}_2 \times \mathbf{G}_m^2$  is Cayley.*

The first assertion of part (b) is based on the recent work of ISKOVSKIKH [Isk4]. The groups  $\mathbf{SO}_n$ ,  $\mathbf{Sp}_{2n}$ , and  $\mathbf{PGL}_n$  were shown to be Cayley in Examples 1.16 and 1.11. The groups  $\mathbf{SL}_3$  and  $\mathbf{G}_2$  will be discussed in Section 9.

*Remark 1.33.* Question 1.6 was inspired by LUNA’s interest in the existence (for reductive  $G$ ) of “algebraic linearization” of the conjugating action in a Zariski neighborhood of the identity element  $e \in G$ , i.e., in the existence of  $G$ -isomorphic neighborhoods of  $e$  and  $0$  in  $G$  and  $\mathfrak{g}$ , respectively; cf. [Lun1]. In our terminology this is equivalent to the existence of a Cayley map (1.2) such that  $\lambda$  and  $\lambda^{-1}$  are defined at  $e$  and  $0$ , respectively, and  $\lambda(e) = 0$ . Not all Cayley maps have this property. However, note that our proof of Theorem 1.31 (in combination with [Lun1, p.13, Proposition]) shows that each of the simple groups listed in (1.32) admits a Cayley map with this property (and so does any direct product of these groups); see Examples 1.8, 1.9, 1.11, 1.16, 1.20, and 1.21, Subsections 9.1 and 9.2, and the Appendix.

Let  $K$  be a subfield of  $k$ . It follows from Theorems 1.28 and 1.31 and Examples 1.11 and 1.16 that classifying simple Cayley (respectively, stably Cayley)  $K$ -groups is reduced to classifying outer  $K$ -forms of  $\mathbf{PGL}_n$  for  $n \geq 3$  and  $K$ -forms of  $\mathbf{SL}_3$  (respectively, outer  $K$ -forms of  $\mathbf{PGL}_n$  for  $n \geq 3$  and  $K$ -forms of  $\mathbf{SL}_3$  and  $\mathbf{G}_2$ ) that are Cayley (respectively, stably Cayley)  $K$ -groups. Note that not all of these  $K$ -forms are Cayley (respectively, stably Cayley)  $K$ -groups. Indeed, Definitions 1.5 and 1.26 imply the following special property of Cayley (respectively, stably Cayley)  $K$ -groups: their underlying varieties are rational (respectively, stably rational) over  $K$ . For some of the specified  $K$ -forms this property does not hold:

**Example 1.34.** BERHUY, MONSURRÒ, and TIGNOL in [BMT] have shown that for every  $n \equiv 0 \pmod{4}$ , the group  $\mathbf{PGL}_n$  has a  $K$ -form  $G$  of outer type whose underlying variety is not stably rational over  $K$ . Hence  $G$  is not a stably Cayley  $K$ -group.  $\square$

*Remark 1.35.* The underlying varieties of all outer  $K$ -forms of  $\mathbf{PGL}_n$  with odd  $n$  are rational over  $K$ ; see [VK]. Note also that the underlying variety of any  $K$ -form of a linear algebraic group of rank at most 2 is rational over  $K$ ; e.g., see [Me, p. 189] and [Vos, 4.1, 4.9].

**1.5. Application to Cremona groups.** The Cremona group  $\mathrm{Cr}_d$ , i.e., the group of birational automorphisms of the affine space  $\mathbb{A}^d$ , is a classical object in algebraic geometry; see [Isk2] and the references therein. Classifying the subgroups of  $\mathrm{Cr}_d$  up to conjugacy is an important research direction originating in the works of BERTINI, ENRIQUES, FANO, and WIMAN. Most of the currently known results on Cremona groups relate to  $\mathrm{Cr}_2$  and  $\mathrm{Cr}_3$  (the case  $d = 1$  is trivial because  $\mathrm{Cr}_1 = \mathbf{PGL}_2$ ). For  $d \geq 4$  the groups  $\mathrm{Cr}_d$  are poorly understood, and any results that shed light on their structure are prized by the experts.

Our results provide some information about subgroups of  $\mathrm{Cr}_d$  by means of the following simple construction. Consider an action of an algebraic group  $G$  on a rational variety  $X$  of dimension  $d$ . Let  $G_0$  be the kernel of this action. Any birational isomorphism between  $X$  and  $\mathbb{A}^d$  gives rise to an embedding  $\iota_X: G/G_0 \hookrightarrow \mathrm{Cr}_d$ . A different birational isomorphism between  $X$  and  $\mathbb{A}^d$  gives rise to a conjugate embedding, so  $\iota_X$  is uniquely determined by  $X$  (as a  $G$ -variety) up to conjugacy in  $\mathrm{Cr}_d$ . If  $Y$  is another rational variety on which  $G$  acts, then the embeddings  $\iota_X$  and  $\iota_Y$  are conjugate if and only if  $X$  and  $Y$  are birationally isomorphic as  $G$ -varieties.



Now consider a special case of this construction, where  $G$  is a connected linear algebraic group,  $X$  is the underlying variety of  $G$  (with the conjugating  $G$ -action),  $Y = \mathfrak{g}$  (with the adjoint  $G$ -action), and the kernel  $G_0$  (for both actions) is the center of  $G$ ; see [Bor, 3.15]. Definition 1.5 can now be rephrased as follows: a connected algebraic group  $G$  is Cayley if and only if the embeddings  $\iota_G$  and  $\iota_{\mathfrak{g}}: G/G_0 = \text{Ad}_G G \hookrightarrow \text{Cr}_{\dim G}$  are conjugate in  $\text{Cr}_{\dim G}$ . In this paper we show that many connected algebraic groups are not Cayley; each non-Cayley group  $G$  gives rise to a pair of non-conjugate embeddings of the form  $\iota_G, \iota_{\mathfrak{g}}: \text{Ad}_G G \hookrightarrow \text{Cr}_{\dim G}$ .

Definition 1.26 can be interpreted in a similar manner. For every  $d \geq 1$  consider the embedding  $\text{Cr}_d \hookrightarrow \text{Cr}_{d+1}$  given by writing  $\mathbb{A}^{d+1}$  as  $\mathbb{A}^d \times \mathbb{A}^1$  and sending an element  $g \in \text{Cr}_d$  to  $g \times \text{id}_{\mathbb{A}^1} \in \text{Cr}_{d+1}$ . Denote the direct limit for the tower of groups  $\text{Cr}_1 \hookrightarrow \text{Cr}_2 \hookrightarrow \dots$  obtained in this way by  $\text{Cr}_{\infty}$ . Suppose  $G$  is a group acting on rational varieties  $X$  and  $Y$  (possibly of different dimensions) with the same kernel  $G_0$ . Then it is easy to see that the embeddings  $\iota_X: G/G_0 \hookrightarrow \text{Cr}_{\dim X}$  and  $\iota_Y: G/G_0 \hookrightarrow \text{Cr}_{\dim Y}$  are conjugate in  $\text{Cr}_{\infty}$  (or equivalently, in  $\text{Cr}_m$  for some  $m \geq \max\{\dim X, \dim Y\}$ ) if and only if  $X$  and  $Y$  are stably isomorphic as  $G$ -varieties.

If  $V_1$  and  $V_2$  are vector spaces with faithful linear  $G$ -actions, then  $\iota_{V_1}$  and  $\iota_{V_2}$  are conjugate in  $\text{Cr}_{\infty}$  by the “no-name lemma”; cf. Subsection 2.4. We call an embedding  $G \hookrightarrow \text{Cr}_d$  *stably linearizable* if it is conjugate, in  $\text{Cr}_{\infty}$ , to  $\iota_V$  for some faithful linear  $G$ -action on a vector space  $V$ . Definition 1.26 and the “no-name lemma” now tell us that the following conditions are equivalent: (a)  $G$  is stably Cayley, (b) the embeddings  $\iota_G$  and  $\iota_{\mathfrak{g}}: \text{Ad}_G G \hookrightarrow \text{Cr}_{\dim G}$  are conjugate in  $\text{Cr}_{\infty}$ , and (c)  $\iota_G$  is stably linearizable. Once again, the results of this paper (and, in particular, Theorem 1.28) can be used to produce many examples of pairs of embeddings of the form  $\text{Ad}_G G \hookrightarrow \text{Cr}_{\dim G}$  that are not conjugate in  $\text{Cr}_{\infty}$ .

Now suppose that  $\Gamma$  is a finite group and  $L$  and  $M$  are faithful  $\Gamma$ -lattices; see Subsection 2.2. Then  $\Gamma$  acts on their dual tori, which we will denote by  $X$  and  $Y$ . It now follows from Lemma 2.5 that the embeddings  $\iota_X: \Gamma \hookrightarrow \text{Cr}_{\text{rank } L}$  and  $\iota_Y: \Gamma \hookrightarrow \text{Cr}_{\text{rank } M}$  are conjugate in  $\text{Cr}_{\infty}$  if and only if  $L$  and  $M$  are equivalent in the sense of Definition 2.2. Taking  $M$  to be a faithful permutation lattice, we conclude that the embedding  $\iota_X: \Gamma \hookrightarrow \text{Cr}_{\text{rank } X}$  is stably linearizable if and only if  $L$  is quasi-permutation (cf. Definition 2.4 and the Corollary to Lemma 2.5).

In the special case where  $L = \mathcal{X}_G$  is the character lattice of the algebraic group  $G$ ,  $\Gamma = W_G$  is the Weyl group, and  $X = T$  is a maximal torus with Lie algebra  $\mathfrak{t}$ , we see that the following conditions are equivalent: (a)  $G$  is stably Cayley, (b)  $\mathcal{X}_G$  is quasi-permutation, (c) the embeddings  $\iota_{\mathfrak{t}}$  and  $\iota_T: W \hookrightarrow \text{Cr}_{\dim T}$  are conjugate in  $\text{Cr}_{\infty}$ , and (d)  $\iota_T$  is stably linearizable. (Note that (a) and (b) are equivalent by Theorem 1.27, and (c) and (d) are equivalent because the  $W$ -action on  $\mathfrak{t}$  is linear.) Consequently, every reductive non-Cayley group  $G$  gives rise to a pair of embeddings  $\iota_T, \iota_{\mathfrak{t}}: W \hookrightarrow \text{Cr}_{\text{rank } G}$  which are not conjugate in  $\text{Cr}_{\infty}$ .

**Example 1.36.** Let  $G$  be a simple group of type  $A_{n-1}$  which is not stably Cayley, i.e.,  $G = \mathbf{SL}_n/\mu_d$ , where  $d|n$ ,  $d < n$ ,  $n \geq 4$ , and  $(n, d) \neq (4, 2)$ . Then the embeddings  $\iota_T$  and  $\iota_{\mathfrak{t}}: S_n \hookrightarrow \text{Cr}_{n-1}$  are not conjugate in  $\text{Cr}_{\infty}$ .

Assume further that  $n \neq 6$ . Then by Hölder’s theorem (see [Hol]),  $S_n$  has no outer automorphisms. Thus the images  $\iota_T(S_n)$  and  $\iota_{\mathfrak{t}}(S_n)$  are isomorphic finite subgroups of  $\text{Cr}_{n-1}$  which are not conjugate in  $\text{Cr}_{\infty}$ . □

## 2. PRELIMINARIES

In this section we collect certain preliminary facts for subsequent use. Some of them are known and some are new. Throughout this section  $\Gamma$  will denote a group; starting from Subsection 2.2, it is assumed to be finite.

**2.1.  $\Gamma$ -fields and  $\Gamma$ -varieties.** In what follows we will use the following terminology. A  $\Gamma$ -field is a field  $K$  together with an action of  $\Gamma$  by automorphisms of  $K$ . Let  $K_1$  and  $K_2$  be  $\Gamma$ -fields containing a common  $\Gamma$ -subfield  $K_0$ . We say that  $K_1$  and  $K_2$  are *isomorphic as  $\Gamma$ -fields* (or  *$\Gamma$ -isomorphic*) *over  $K_0$*  if there is a  $\Gamma$ -equivariant field isomorphism  $K_1 \rightarrow K_2$  which is the identity on  $K_0$ . We say that  $K_1$  and  $K_2$  are *stably isomorphic as  $\Gamma$ -fields* (or *stably  $\Gamma$ -isomorphic*) *over  $K_0$*  if, for suitable  $n$  and  $m$ ,  $K_1(x_1, \dots, x_n)$  and  $K_2(y_1, \dots, y_m)$  are isomorphic as  $\Gamma$ -fields over  $K_0$ . Here,  $x_1, \dots, x_n$  and  $y_1, \dots, y_m$  are algebraically independent variables over  $K_1$  and  $K_2$ , respectively; these variables are assumed to be fixed by the  $\Gamma$ -action.

If  $\Gamma$  is an algebraic group, a  $\Gamma$ -variety is an algebraic variety  $X$  endowed with an algebraic (morphic) action of  $\Gamma$ . A  $\Gamma$ -equivariant morphism (respectively, rational map) of  $\Gamma$ -varieties is a  $\Gamma$ -morphism (respectively, *rational  $\Gamma$ -map*). If  $X_1$  and  $X_2$  are irreducible  $\Gamma$ -varieties, then  $k(X_1)$  and  $k(X_2)$  are  $\Gamma$ -fields with respect to the natural actions of  $\Gamma$ . These fields are stably  $\Gamma$ -isomorphic over  $k$  if and only if there is a birational  $\Gamma$ -isomorphism  $X_1 \times \mathbb{A}^r \dashrightarrow X_2 \times \mathbb{A}^s$  for some  $r$  and  $s$ , where  $\Gamma$  acts on  $X_1 \times \mathbb{A}^r$  and  $X_2 \times \mathbb{A}^s$  via the first factors. In this case,  $X_1$  and  $X_2$  are called *stably birationally  $\Gamma$ -isomorphic*.

**2.2.  $\Gamma$ -lattices.** From now on we assume that  $\Gamma$  is a finite group.

A *lattice*  $L$  of rank  $r$  is a free abelian group of rank  $r$ . A  $\Gamma$ -lattice is a lattice equipped with an action of  $\Gamma$  by automorphisms. It is called *faithful* (respectively, *trivial*) if the homomorphism  $\Gamma \rightarrow \text{Aut}_{\mathbb{Z}} L$  defining the action is injective (respectively, trivial). If  $H$  is a subgroup of  $\Gamma$ , then  $L$  considered as an  $H$ -lattice is denoted by  $L|_H$ .

Given a group  $H$  and a ring  $R$ , we denote by  $R[H]$  the group ring of  $H$  over  $R$ . If  $K$  is a field and  $L$  is a  $\Gamma$ -lattice, we denote by  $K(L)$  the fraction field of  $K[L]$ ; both  $K[L]$  and  $K(L)$  inherit a  $\Gamma$ -action from  $L$ . We usually think of these objects multiplicatively, i.e., we consider the set of symbols  $\{x^a\}_{a \in L}$  as a basis of the  $K$ -vector space  $K[L]$ , and the multiplication being defined by  $x^a x^b = x^{a+b}$ . So  $\sigma \cdot x^a = x^{\sigma \cdot a}$  for any  $\sigma \in \Gamma$ . If  $a_1, \dots, a_r$  is a basis of  $L$  and  $x_i := x^{a_i}$ , then  $K[L] = K[x_1, x_1^{-1}, \dots, x_r, x_r^{-1}]$  and  $K(L) = K(x_1, \dots, x_r)$ . Note that any group isomorphism  $L \rightarrow \widehat{\mathbf{G}}_m^r$  induces the  $K$ -isomorphisms of algebras  $K[L] \rightarrow K[\mathbf{G}_m^r]$  and fields  $K(L) \rightarrow K(\mathbf{G}_m^r)$ , and therefore it induces a  $K$ -defined algebraic action of  $\Gamma$  on the torus  $\mathbf{G}_m^r$  by its automorphisms. Any such action is obtained in this way.

An important example is  $L = \mathcal{X}_G$ , the character lattice of a connected algebraic group  $G$ , and  $\Gamma = W$ , the Weyl group of  $G$ . In this case,  $k(\mathcal{X}_G)$  is the field of rational functions on a maximal torus of  $G$ .

**Definition 2.1.** A  $\Gamma$ -lattice  $L$  is called *permutation* (respectively, *sign-permutation*) if it has a basis  $\varepsilon_1, \dots, \varepsilon_r$  such that the set  $\{\varepsilon_1, \dots, \varepsilon_r\}$  (respectively,  $\{\varepsilon_1, -\varepsilon_1, \dots, \varepsilon_r, -\varepsilon_r\}$ ) is  $\Gamma$ -stable.

If  $X$  is a finite set endowed with an action of  $\Gamma$ , we denote by  $\mathbb{Z}[X]$  the free abelian group generated by  $X$  and endowed with the natural action of  $\Gamma$ . Permutation

lattices may be, alternatively, defined as those of the form  $\mathbb{Z}[X]$ . Since  $X$  is the union of  $\Gamma$ -orbits, any permutation lattice is isomorphic to some  $\bigoplus_{i=1}^s \mathbb{Z}[\Gamma/\Gamma_i]$ , where each  $\Gamma_i$  is a subgroup of  $\Gamma$ .

**Definition 2.2** ([C–TS1]). Two  $\Gamma$ -lattices  $M$  and  $N$  are called *equivalent*, written  $M \sim N$ , if they become  $\Gamma$ -isomorphic after extending by permutation lattices, i.e., if there are exact sequences of  $\Gamma$ -lattices

$$(2.3) \quad 0 \longrightarrow M \longrightarrow E \longrightarrow P \longrightarrow 0 \quad \text{and} \quad 0 \longrightarrow N \longrightarrow E \longrightarrow Q \longrightarrow 0$$

where  $P$  and  $Q$  are permutation lattices.

For a direct proof that this does indeed define an equivalence relation and for further background see [C–TS1, Lemma 8] or [Sw].

**Definition 2.4.** A  $\Gamma$ -lattice  $L$  is called *quasi-permutation* if  $L \sim 0$  under this equivalence relation, i.e.,  $L$  becomes permutation after extending by a permutation lattice. In other words,  $L$  is quasi-permutation if and only if there is an exact sequence of  $\Gamma$ -lattices

$$0 \longrightarrow L \longrightarrow P \longrightarrow Q \longrightarrow 0,$$

where  $P$  and  $Q$  are permutation lattices.

**Lemma 2.5.** *Let  $M$  and  $N$  be faithful  $\Gamma$ -lattices and let  $K$  be a field. Then the following properties are equivalent:*

- (i)  $K(M)$  and  $K(N)$  are stably isomorphic as  $\Gamma$ -fields over  $K$ ;
- (ii)  $M \sim N$ .

*Proof.* See [LL, Proposition 1.4]; this assertion is also implicit in [Sw], [C–TS1], and [Vos, 4.7]. □

Lemma 2.5 and Definition 2.4 immediately imply the following.

**Corollary.** *Let  $L$  be a faithful  $\Gamma$ -lattice and let  $K$  be a field. Then the following properties are equivalent:*

- (i)  $K(L)$  is stably isomorphic to  $K(P)$  (as a  $\Gamma$ -field over  $K$ ) for some faithful permutation  $\Gamma$ -lattice  $P$ ;
- (ii)  $L$  is quasi-permutation.

**2.3. Stable equivalence and flasque resolutions.** In addition to the equivalence relation  $\sim$  on  $\Gamma$ -lattices, we will also consider a stronger equivalence relation  $\approx$  of stable equivalence. Two  $\Gamma$ -lattices  $L_1$  and  $L_2$  are called *stably equivalent* if  $L_1 \oplus P_1 \simeq L_2 \oplus P_2$  for suitable permutation  $\Gamma$ -lattices  $P_1$  and  $P_2$ .

A  $\Gamma$ -lattice  $L$  is called *flasque* if  $H^{-1}(S, L) = 0$  for all subgroups  $S$  of  $\Gamma$ . Every  $\Gamma$ -lattice  $L$  has a *flasque resolution*

$$(2.6) \quad 0 \longrightarrow L \longrightarrow P \longrightarrow Q \longrightarrow 0$$

with  $P$  a permutation  $\Gamma$ -lattice and  $Q$  a flasque  $\Gamma$ -lattice. Moreover,  $Q$  is determined by  $L$  up to stable equivalence: If  $0 \rightarrow L \rightarrow P' \rightarrow Q' \rightarrow 0$  is another flasque resolution of  $L$ , then  $Q \approx Q'$ . Following [C–TS1], we will denote the stable equivalence class of  $Q$  in the flasque resolution (2.6) by

$$\rho(L).$$

Note that by [C–TS1, Lemme 8], for  $\Gamma$ -lattices  $M, N$ ,

$$(2.7) \quad M \sim N \iff \rho(M) = \rho(N).$$

Dually, every  $\Gamma$ -lattice  $L$  has a *coflasque resolution*

$$(2.8) \quad 0 \longrightarrow R \longrightarrow P \longrightarrow L \longrightarrow 0$$

with  $P$  a permutation  $\Gamma$ -lattice and  $R$  a *coflasque*  $\Gamma$ -lattice; that is,  $H^1(S, R) = 0$  holds for all subgroups  $S$  of  $\Gamma$ . Similarly,  $R$  is determined by  $L$  up to stable equivalence. Note that the dual of a flasque resolution for  $L$  is a coflasque resolution for  $L^*$  since the finite abelian group  $H^1(S, L)$  is dual to  $H^{-1}(S, L^*)$ . For details, see [C-TS1, Lemme 5]. Note that since  $H^{\pm 1}$  is trivial for permutation modules,  $H^{\pm 1}(\Gamma, L)$  depends only on the stable equivalence class  $[L]$  of  $L$  and therefore is denoted by  $H^{\pm 1}(\Gamma, [L])$ .

Following COLLIOT-THÉLÈNE and SANSUC, [C-TS1, C-TS2], we define

$$\text{III}^i(\Gamma, M) = \bigcap_{a \in \Gamma} \text{Ker}(\text{Res}_{\langle a \rangle}^\Gamma: H^i(\Gamma, M) \longrightarrow H^i(\langle a \rangle, M))$$

for any  $\mathbb{Z}[\Gamma]$ -module  $M$ . Of particular interest to us will be the case where  $M$  is a  $\Gamma$ -lattice  $L$  and  $i = 1$  or  $2$ .

The following lemma is extracted from [C-TS2, pp. 199–202]. For a proof, see also [LL, Lemma 4.2].

**Lemma 2.9.** (a) *For any exact sequence of  $\mathbb{Z}[\Gamma]$ -modules*

$$0 \longrightarrow M \longrightarrow P \longrightarrow N \longrightarrow 0$$

*with  $P$  a permutation projective  $\Gamma$ -lattice,  $\text{III}^2(\Gamma, M) \simeq \text{III}^1(\Gamma, N)$ .*

(b)  $H^1(\Gamma, \rho(L)) \simeq \text{III}^2(\Gamma, L)$  for any  $\Gamma$ -lattice  $L$ .

(c) *If  $L$  is equivalent to a direct summand of a quasi-permutation  $\Gamma$ -lattice, then  $\text{III}^2(S, L) = 0$  holds for all subgroups  $S$  of  $\Gamma$ .*

In particular,  $\text{III}^2(\Gamma, \cdot)$  is constant on  $\sim$ -classes.

The following technical proposition will help us show that certain  $\Gamma$ -lattices are equivalent.

**Proposition 2.10.** *Let  $X$  and  $Y$  be  $\Gamma$ -lattices satisfying the exact sequence*

$$0 \longrightarrow X \longrightarrow Y \longrightarrow \mathbb{Z}/d\mathbb{Z} \longrightarrow 0$$

*where  $\Gamma$  acts trivially on  $\mathbb{Z}/d\mathbb{Z}$ .*

(a) *If  $(d, |\Gamma|) = 1$ , then  $X \oplus \mathbb{Z} \simeq Y \oplus \mathbb{Z}$  so that  $X \approx Y$  and  $X^* \approx Y^*$ .*

(b) *If the fixed point sequence*

$$0 \longrightarrow X^S \longrightarrow Y^S \longrightarrow \mathbb{Z}/d\mathbb{Z} \longrightarrow 0$$

*is exact for all subgroups  $S$  of  $\Gamma$ , then  $X^* \sim Y^*$  as  $\Gamma$ -lattices.*

*Proof.* (a) This follows directly from Roiter’s form of Schanuel’s Lemma [CR, 31.8] applied to the sequence of the proposition and

$$0 \longrightarrow \mathbb{Z} \xrightarrow{\times d} \mathbb{Z} \longrightarrow \mathbb{Z}/d\mathbb{Z} \longrightarrow 0.$$

(b) We claim that any coflasque resolution

$$0 \longrightarrow C_1 \longrightarrow P \longrightarrow X \longrightarrow 0$$

for  $X$  can be extended to a coflasque resolution

$$0 \longrightarrow C_2 \longrightarrow P \oplus Q \longrightarrow Y \longrightarrow 0$$

for  $Y$  so that the following diagram commutes and has exact rows and columns:

$$(2.11) \quad \begin{array}{ccccccc} & & 0 & & 0 & & 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & C_1 & \longrightarrow & P & \longrightarrow & X \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & C_2 & \longrightarrow & P \oplus Q & \longrightarrow & Y \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & U & \longrightarrow & Q & \longrightarrow & \mathbb{Z}/d\mathbb{Z} \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ & & 0 & & 0 & & 0 \end{array}$$

Here  $C_1, C_2$  are  $\Gamma$ -coflasque and  $P, Q$  are  $\Gamma$ -permutation. Indeed, as is described in [C–TS1, Lemme 3], given a surjective homomorphism  $\pi_0$  from a permutation  $\Gamma$ -lattice  $P_0$  to a given  $\Gamma$ -lattice  $X$ , we form a coflasque resolution of  $X$  by defining a new permutation  $\Gamma$ -lattice  $P$  containing  $P_0$  as a  $\Gamma$ -sublattice and a new surjective homomorphism  $\pi : P \rightarrow X$  which extends  $\pi_0$  and such that  $\text{Ker } \pi$  is coflasque. Explicitly, take  $P = P_0 \oplus \bigoplus_S \mathbb{Z}[\Gamma/S] \otimes X^S$  where the sum is taken over all subgroups  $S$  of  $\Gamma$  for which  $\pi : P^S \rightarrow X^S$  is not a surjection and such that  $\Gamma$  acts on  $\mathbb{Z}[\Gamma/S] \otimes X^S$  via the first factor. Then we take  $\pi : P \rightarrow X$  to be the unique  $\Gamma$ -map such that  $\pi|_{P_0} = \pi_0$  and such that for each  $S$ ,  $\pi(gS \otimes x) = x$  for  $g \in \Gamma$  and  $x \in X^S$ . Then  $\pi : P \rightarrow X$  is a surjective  $\Gamma$ -map which maps  $P^S$  surjectively onto  $X^S$  for all subgroups  $S$  of  $\Gamma$  so that  $H^1(S, \text{Ker } \pi) = 0$  as required. To obtain a compatible coflasque resolution for  $Y$ , extend the surjection from the permutation lattice  $P$  onto  $X$  to a surjection from the permutation lattice  $P \oplus Q_0$  onto  $Y$  and then adjust this surjection  $P \oplus Q_0 \rightarrow Y$  to one with a coflasque kernel  $P \oplus Q \rightarrow Y$  as above. Then the top two rows are exact and commutative. The bottom row is obtained via the Snake Lemma.

Let  $S$  be a subgroup of  $\Gamma$ . Taking  $S$ -fixed points in (2.11), we obtain

$$\begin{array}{ccccccc} & & 0 & & 0 & & 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & C_1^S & \longrightarrow & P^S & \longrightarrow & X^S \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & C_2^S & \longrightarrow & P^S \oplus Q^S & \longrightarrow & Y^S \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & U^S & \longrightarrow & Q^S & \longrightarrow & \mathbb{Z}/d\mathbb{Z} \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ & & 0 & & 0 & & 0 \end{array}$$

Since  $C_1, C_2$  are coflasque, we find that the first two rows and columns are exact. By hypothesis, the third column is exact. Then a diagram chase shows that the bottom row is exact. But then this means that  $U$  is coflasque since

$$0 \longrightarrow U^S \longrightarrow Q^S \longrightarrow \mathbb{Z}/d\mathbb{Z} \longrightarrow H^1(S, U) \longrightarrow H^1(S, Q) = 0$$

is exact. Applying [LL, Lemma 1.1] to

$$0 \longrightarrow U \longrightarrow Q \longrightarrow \mathbb{Z}/d\mathbb{Z} \longrightarrow 0,$$

we find that  $U$  is also quasi-permutation as it satisfies

$$0 \longrightarrow U \longrightarrow Q \oplus \mathbb{Z} \longrightarrow \mathbb{Z} \longrightarrow 0.$$

So as  $U$  is coflasque, this sequence splits and  $U$  is in fact stably permutation with  $U \oplus \mathbb{Z} \simeq Q \oplus \mathbb{Z}$ . Combining this isomorphism with the sequence from the first column of the first commutative diagram gives us an exact sequence

$$0 \longrightarrow C_1 \longrightarrow C_2 \oplus \mathbb{Z} \longrightarrow Q \oplus \mathbb{Z} \longrightarrow 0.$$

Since  $C_1$  is coflasque and  $Q \oplus \mathbb{Z}$  is permutation, this new sequence splits so that  $C_1 \oplus Q \oplus \mathbb{Z} \simeq C_2 \oplus \mathbb{Z}$ . Since

$$0 \longrightarrow X^* \longrightarrow P \longrightarrow C_1^* \longrightarrow 0, \quad 0 \longrightarrow Y^* \longrightarrow P \oplus Q \longrightarrow C_2^* \longrightarrow 0$$

are flasque resolutions of  $X^*$  and  $Y^*$ , this implies  $\rho(X^*) = \rho(Y^*)$  (i.e., that the corresponding flasque lattices are stably equivalent). By [C-TS1, Lemme 8], we conclude that  $X^* \sim Y^*$ .  $\square$

**2.4. Speiser’s Lemma.** Let  $\pi : Y \rightarrow X$  be an algebraic vector bundle. We call it an algebraic *vector  $\Gamma$ -bundle* if  $\Gamma$  acts on  $X$  and  $Y$ , the morphism  $\pi$  is  $\Gamma$ -equivariant, and  $g : \pi^{-1}(x) \rightarrow \pi^{-1}(g(x))$  is a linear map for every  $x \in X$  and  $g \in \Gamma$ .

The first of the following related rationality results is an immediate consequence of the classical Speiser Lemma; the others follow from the first. In a broader context, when  $\Gamma$  is any algebraic group, results of this type appear in the literature under the names of “no-name method” ([Do]) and “no-name lemma” (see [C-T]).

**Lemma 2.12.** (a) *Suppose  $E$  is a  $\Gamma$ -field and  $K$  is a  $\Gamma$ -subfield of  $E$  such that  $\Gamma$  acts on  $K$  faithfully,  $E = K(x_1, \dots, x_m)$ , and  $Kx_1 + \dots + Kx_m$  is  $\Gamma$ -stable. Then  $E = K(t_1, \dots, t_m)$ , where  $t_1, \dots, t_m$  are  $\Gamma$ -invariant elements of  $Kx_1 + \dots + Kx_m$ .*

(b) *Let  $\pi : Y \rightarrow X$  be an algebraic vector  $\Gamma$ -bundle. Suppose that  $X$  is irreducible and the action of  $\Gamma$  on  $X$  is faithful. Then  $\pi$  is birationally  $\Gamma$ -trivial; i.e., there exists a birational  $\Gamma$ -isomorphism  $\varphi : Y \xrightarrow{\sim} X \times k^m$ , where  $\Gamma$  acts on  $X \times k^m$  via the first factor, such that the diagram*

$$\begin{array}{ccc} Y & \overset{\varphi}{\dashrightarrow} & X \times k^m \\ \pi \searrow & & \swarrow \pi_1 \\ & X & \end{array}$$

*is commutative ( $\pi_1$  denotes projection to the first factor).*

(c) *Let  $V_1$  and  $V_2$  be finite-dimensional vector spaces over  $k$  endowed with faithful linear actions of  $\Gamma$ . Then  $V_1$  and  $V_2$  are stably  $\Gamma$ -isomorphic.*

(d) *Suppose  $L$  is a field and*

$$0 \longrightarrow S \xrightarrow{\iota} N \xrightarrow{\tau} P \longrightarrow 0$$

*is an exact sequence of  $\Gamma$ -lattices, where  $S$  is faithful and  $P$  is permutation. Then the  $\Gamma$ -field  $L(N)$  is  $\Gamma$ -isomorphic over  $L$  to the  $\Gamma$ -field  $L(S)(t_1, \dots, t_r)$ , where the elements  $t_1, \dots, t_r$  are  $\Gamma$ -invariant and algebraically independent over  $L(S)$ .*

*Proof.* Part (a) follows from Speiser’s Lemma, [Spe]; cf. [HK, Theorem 1] or [Sh, Appendix 3].

(b) Recall that, by definition, algebraic bundles are locally trivial in the étale topology, but algebraic vector bundles are automatically locally trivial in the Zariski topology; see [Se]. This implies that after replacing  $X$  by a  $\Gamma$ -stable dense open subset  $U$  and  $Y$  by  $\pi^{-1}(U)$ , we may assume that  $Y = X \times k^m$  (but we do not claim that  $\Gamma$  acts via the first factor!) and  $\pi$  is the projection to the first factor.

Using the projections  $Y \rightarrow X$  and  $Y \rightarrow k^m$ , we shall view  $k(X)$  and  $k(k^m)$  as subfields of  $k(Y)$ . Put  $E := k(Y)$ ,  $K := k(X)$  and let  $x_1, \dots, x_m$  be the standard coordinate functions on  $k^m$ . If  $g \in \Gamma$  and  $b \in X$ , then the definition of  $\Gamma$ -bundle implies that  $g(x_i)|_{\pi^{-1}(b)} \in k x_1|_{\pi^{-1}(b)} + \dots + k x_m|_{\pi^{-1}(b)}$ . In turn, this implies that the assumptions of (a) hold. Part (b) now follows from part (a).

(c) Applying part (b) to the projections  $V_1 \leftarrow V_1 \times V_2 \rightarrow V_2$ , we see that both  $V_1$  and  $V_2$  are stably  $\Gamma$ -isomorphic to  $V_1 \times V_2$ .

(d) Identify  $S$  with  $\iota(S)$ ; then  $K := L(S)$  is a  $\Gamma$ -subfield of  $E := L(N)$ . Put  $x_1 = 1 \in E$  and choose  $x_2, \dots, x_m \in N \subset E$  such that  $\tau(x_2), \dots, \tau(x_m)$  is a basis of  $P$  permuted by  $\Gamma$ . The elements  $x_2, \dots, x_m$  are algebraically independent over  $K$ . If  $g \in \Gamma$ , then for every  $i$  there is a  $j$  such that  $a_{ij} := g(x_i) - x_j \in \text{Ker } \tau = S \subset K$ ; so  $g(x_i) = a_{ij}x_1 + x_j$ . This shows that the assumptions of (a) hold. The claim (with  $r = m - 1$ ) now follows from part (a).  $\square$

**2.5. Homogeneous fiber spaces.** Let  $H$  be an algebraic group and let  $S$  be a closed subgroup of  $H$ . Consider an algebraic variety  $X$  endowed with an algebraic (morphic) action of  $S$  and the algebraic action of  $S$  on  $H \times X$  defined by

$$(2.13) \quad s(h, x) = (hs^{-1}, s(x)), \quad s \in S, (h, x) \in H \times X.$$

Assume that there exists a geometric quotient, [MFK], [PV, 4.2],

$$(2.14) \quad H \times X \longrightarrow (H \times X)/S.$$

This is always the case if every finite subset of  $X$  is contained in an affine open subset of  $X$  (note that this property holds if the variety  $X$  is quasi-projective) ([Se, 3.2]; cf. [PV, 4.8]). The variety  $(H \times X)/S$ , called a *homogeneous fiber space over  $H/S$  with fiber  $X$* , is denoted by  $H \times^S X$ . If  $H$  is connected and  $X$  is irreducible, then  $H \times^S X$  is irreducible. We denote by  $[h, x]$  the image of a point  $(h, x) \in H \times X$  under the morphism (2.14).

The group  $H$  acts on  $H \times X$  by left translations of the first factor. As this action commutes with the  $S$ -action (2.13), the universal property of geometric quotients implies that the corresponding  $H$ -action on  $H \times^S X$ ,

$$h'[h, x] = [h'h, x], \quad h', h \in H, x \in X,$$

is algebraic. It also implies that since the composition of the projection  $H \times X \rightarrow H$  with the canonical morphism  $H \rightarrow H/S$  is constant on  $S$ -orbits of the action (2.13), this composition induces a morphism

$$(2.15) \quad \pi = \pi_{H,S,X} : H \times^S X \longrightarrow H/S, \quad [h, x] \mapsto hS.$$

This morphism is  $H$ -equivariant and its fiber over the point  $o \in H/S$  corresponding to  $S$  is  $S$ -stable and  $S$ -isomorphic to  $X$ ; in what follows we identify  $X$  with this fiber. Since  $H$  acts transitively on  $H/S$  and  $\pi$  is  $H$ -equivariant, the  $H$ -orbit of any point of  $H \times^S X$  intersects  $X$ . If  $Z$  is an open (respectively, closed)  $H$ -stable subset of  $X$  and  $\iota : Z \hookrightarrow X$  is the identity embedding, then  $H \times^S Z \rightarrow H \times^S X$ ,  $[h, z] \mapsto [h, \iota(z)]$ , is the embedding of algebraic varieties whose image is an  $H$ -stable closed (respectively, open) subset of  $H \times^S X$ . Every  $H$ -stable closed (respectively, open) subset of  $H \times^S X$  is obtained in this way.

If the action of  $S$  on  $X$  is trivial, then  $H \times^S X = H/S \times X$  and  $\pi$  is the projection to the first factor.

The morphism  $\pi$  is a locally trivial fibration in the étale topology; i.e., each point of  $H/S$  has an open neighborhood  $U$  such that the pull back of  $\pi^{-1}(U) \xrightarrow{\pi} U$  over

a suitable étale covering  $\tilde{U} \rightarrow U$  is isomorphic to the trivial fibration  $\tilde{U} \times X \rightarrow \tilde{U}$ ,  $(y, x) \mapsto x$ ; see [Se, §2], [PV, 4.8]. If  $X$  is a  $k$ -vector space and the action of  $S$  on  $X$  is linear, then (2.15) is an algebraic vector  $H$ -bundle, so  $\pi$  is locally trivial in the Zariski topology; i.e.,  $\pi^{-1}(U) \xrightarrow{\pi} U$  is isomorphic to  $U \times X \rightarrow U$ ,  $(u, x) \mapsto x$ , for a suitable  $U$  (see [Se]).

If  $\psi$  is a (not necessarily  $H$ -equivariant) morphism (respectively, rational map) of  $H \times^S X$  to  $H \times^S Y$  such that

$$(2.16) \quad \pi_{H,S,X} = \pi_{H,S,Y} \circ \psi,$$

then we say that  $\psi$  is a morphism (respectively, rational map) *over*  $H/S$ .

**Lemma 2.17.** (a) *If  $\psi : H \times^S X \rightarrow H \times^S Y$  is an  $H$ -morphism over  $H/S$ , then  $\psi|_X$  is an  $S$ -morphism  $X \rightarrow Y$ . The map  $\psi \mapsto \psi|_X$  is a bijection between  $H$ -morphisms  $H \times^S X \rightarrow H \times^S Y$  over  $H/S$  and  $S$ -morphisms  $X \rightarrow Y$ . Moreover,  $\psi$  is dominant (respectively, an isomorphism) if and only if  $\psi|_X$  is dominant (respectively, an isomorphism).*

(b) *Let  $H$  be connected and let  $X$  and  $Y$  be irreducible. Then the statements in (a) hold with “morphism” and “isomorphism” replaced by, respectively, “rational map” and “birational isomorphism”.*

*Proof.* (a) Since  $X = \pi_{H,S,X}^{-1}(o)$ ,  $Y = \pi_{H,S,Y}^{-1}(o)$ , the first statement follows from (2.16). As every  $H$ -orbit in  $H \times^S X$  intersects  $X$  and  $\psi$  is  $H$ -equivariant,  $\psi$  is uniquely determined by  $\psi|_X$ . If  $\varphi : X \rightarrow Y$  is an  $S$ -morphism, then  $H \times X \rightarrow H \times Y$ ,  $(h, x) \mapsto (h, \varphi(x))$ , is a morphism commuting with the actions of  $S$  (defined for  $H \times X$  by (2.13) and analogously for  $H \times Y$ ) and  $H$ . By the universal property of geometric quotients, the  $H$ -map  $\psi : H \times^S X \rightarrow H \times^S Y$ ,  $[h, x] \mapsto [h, \varphi(x)]$ , is a morphism over  $H/S$ . We have  $\psi|_X = \varphi$ . The same argument proves the last statement.

(b) Since  $\psi$  is  $H$ -equivariant, its indeterminacy locus is  $H$ -stable. As every  $H$ -orbit in  $H \times^S X$  intersects  $X$ , this locus cannot contain  $X$ . Consequently,  $\psi|_X : X \dashrightarrow H \times^S Y$  is a well-defined rational  $S$ -map. In view of (2.16), its image lies in  $Y$ . Now (b) follows from (a) because rational maps are the equivalence classes of morphisms of dense open subsets, and all  $H$ -stable open subsets in  $H \times^S X$  are of the form  $H \times^S Z$  where  $Z$  is an  $S$ -stable open subset of  $X$ . □

### 3. CAYLEY MAPS, GENERIC TORI, AND LATTICES

**3.1. Restricting Cayley maps to Cartan subgroups.** Let  $G$  be a connected linear algebraic group and let  $T$  be its maximal torus. Consider the Cartan subgroup  $C$ , its normalizer  $N$ , and the Weyl group  $W$  defined by (1.23). Let  $\mathfrak{g}$ ,  $\mathfrak{t}$ , and  $\mathfrak{c}$  be the Lie algebras of  $G$ ,  $T$ , and  $C$ , respectively.

Since  $C$  is the identity component of  $N$  and the Cartan subgroups of  $G$  are all conjugate to each other, [Bor, 12.1], assigning to a point of  $G/N$  the identity component of its  $G$ -stabilizer (respectively, the Lie algebra of this  $G$ -stabilizer) yields a bijection between  $G/N$  and the set of all Cartan subgroups in  $G$  (respectively, all Cartan subalgebras in  $\mathfrak{g}$ ). So  $G/N$  can be considered as the *variety of all Cartan subgroups in  $G$*  (respectively, the *variety of all Cartan subalgebras in  $\mathfrak{g}$* ).

Moreover the Cartan subgroups in  $G$  (respectively, the Cartan subalgebras in  $\mathfrak{g}$ ) parametrized in this way by the points of  $G/N$  naturally “merge” to form a homogeneous fiber space over  $G/N$  with fiber  $C$  (respectively,  $\mathfrak{c}$ ). More precisely,



consider the homogeneous fiber space  $G \times^N C$  over  $G/N$  defined by the conjugating action of  $N$  on  $C$  (respectively, the homogeneous fiber space  $G \times^N \mathfrak{c}$  over  $G/N$  defined by the adjoint action of  $N$  on  $\mathfrak{c}$ ). Then for any  $g \in G$ , the map  $\pi_{G,N,C}^{-1}(g(o)) \rightarrow gCg^{-1}$ ,  $[g, c] \mapsto gcg^{-1}$  (respectively, the map  $\pi_{G,N,\mathfrak{c}}^{-1}(g(o)) \rightarrow \text{Ad}_G g(\mathfrak{c})$ ,  $[g, x] \mapsto \text{Ad}_G g(x)$ ), is a well-defined isomorphism (we use the notation of Subsection 2.5 for  $H = G$ ,  $S = N$ ).

Consider the conjugating and adjoint actions, respectively, of  $G$  on  $G$  and  $\mathfrak{g}$ . Then the definition of homogeneous fiber space implies that

$$(3.1) \quad \gamma_C : G \times^N C \longrightarrow G, [g, c] \mapsto gcg^{-1}, \quad \gamma_{\mathfrak{c}} : G \times^N \mathfrak{c} \longrightarrow \mathfrak{g}, [g, x] \mapsto \text{Ad}_G g(x),$$

are well-defined  $G$ -equivariant maps, and the universal property of geometric factor implies that they are morphisms.

**Lemma 3.2.** (a) *The morphisms  $\gamma_C$  and  $\gamma_{\mathfrak{c}}$  in (3.1) are birational  $G$ -isomorphisms.*

(b) *Any rational  $G$ -maps  $G \times^N C \dashrightarrow G \times^N \mathfrak{c}$  and  $G \times^N \mathfrak{c} \dashrightarrow G \times^N C$  are rational maps over  $G/N$ .*

*Proof.* (a) Since the Cartan subgroups of  $G$  are all conjugate and every element of a dense open set  $U$  in  $G$  belongs to a unique Cartan subgroup, [Bor, §12], every fiber  $\gamma_C^{-1}(u)$ , where  $u \in U$ , is a single point. As  $\text{char } k = 0$ , this means that  $\gamma_C$  is a birational isomorphism. For  $\gamma_{\mathfrak{c}}$  the arguments are analogous because  $\mathfrak{c}$  is a Cartan subalgebra in  $\mathfrak{g}$ , Cartan subalgebras in  $\mathfrak{g}$  are all  $\text{Ad}_G$ -conjugate and a general element of  $\mathfrak{g}$  is contained in a unique Cartan subalgebra, [Bou3, Ch. VII].

(b) Since a general element of  $T$  (respectively,  $\mathfrak{t}$ ) is regular,  $C$  (respectively,  $\mathfrak{c}$ ) is the unique Cartan subgroup (respectively, subalgebra) containing  $T$  (respectively,  $\mathfrak{t}$ ), [Bor, §13]; see [Bou3, Ch. VII]. This implies that  $C$  and  $\mathfrak{c}$  are the fixed point sets of the actions of  $T$  on  $G \times^N C$  and  $G \times^N \mathfrak{c}$ , respectively. Since the maps under consideration are  $G$ -equivariant, this immediately implies the claim.  $\square$

*Remark 3.3.* The group varieties of  $C$  and  $\mathfrak{c}$  are the “standard relative sections” of, respectively,  $G$  and  $\mathfrak{g}$  induced by the rational  $G$ -map  $\pi_{G,N,C} \circ \gamma_C^{-1} : G \dashrightarrow G/N$  and  $\pi_{G,N,\mathfrak{c}} \circ \gamma_{\mathfrak{c}}^{-1} : \mathfrak{g} \dashrightarrow G/N$ ; in particular, this yields the following isomorphisms of invariant fields:

$$(3.4) \quad k(G)^G \xrightarrow{\cong} k(C)^N, f \mapsto f|_C, \quad k(\mathfrak{g})^G \xrightarrow{\cong} k(\mathfrak{c})^N, f \mapsto f|_{\mathfrak{c}};$$

see [Pop3, Definition (1.7.6) and Theorem (1.7.5)].

**Lemma 3.5.** (a)  *$G$  is Cayley if and only if  $C$  and  $\mathfrak{c}$  are birationally  $N$ -isomorphic.*

(b)  *$G$  is stably Cayley if and only if  $C$  and  $\mathfrak{c}$  are stably birationally  $N$ -isomorphic.*

*Proof.* (a) By Lemma 2.17, the existence of a birational  $N$ -isomorphism  $\varphi : C \xrightarrow{\cong} \mathfrak{c}$  implies the existence of a birational  $G$ -isomorphism  $\psi : G \times^N C \xrightarrow{\cong} G \times^N \mathfrak{c}$ . Then Lemma 3.2 shows that  $\gamma_{\mathfrak{c}} \circ \psi \circ \gamma_C^{-1} : G \xrightarrow{\cong} \mathfrak{g}$  is a Cayley map.

Conversely, let  $\lambda : G \xrightarrow{\cong} \mathfrak{g}$  be a Cayley map. Then  $\psi := \gamma_{\mathfrak{c}}^{-1} \circ \lambda \circ \gamma_C : G \times^N C \xrightarrow{\cong} G \times^N \mathfrak{c}$  is a birational  $G$ -isomorphism. By Lemma 3.2,  $\psi$  is a rational map over  $G/N$ . Hence, by Lemma 2.17,  $\psi|_C : C \xrightarrow{\cong} \mathfrak{c}$  is a birational  $N$ -isomorphism.

(b) If  $C$  and  $\mathfrak{c}$  are stably birationally  $N$ -isomorphic, it follows from the rationality of the underlying variety of any linear algebraic torus that for some natural  $d$  there exists a birational  $N$ -isomorphism

$$(3.6) \quad C \times \mathbf{G}_m^d \xrightarrow{\cong} \mathfrak{c} \oplus k^d,$$

where  $k^d$  is the Lie algebra of  $\mathbf{G}_m^d$  and  $N$  acts on  $C \times \mathbf{G}_m^d$  and  $\mathfrak{c} \oplus k^d$  via  $C$  and  $\mathfrak{c}$ , respectively. Clearly  $C \times \mathbf{G}_m^d$  is the Cartan subgroup of  $G \times \mathbf{G}_m^d$  with normalizer  $N \times \mathbf{G}_m^d$  and Lie algebra  $\mathfrak{c} \oplus k^d$ , and the birational isomorphism (3.6) is  $N \times \mathbf{G}_m^d$ -equivariant. Now (a) implies that  $G \times \mathbf{G}_m^d$  is Cayley and hence  $G$  is stably Cayley.

Conversely, assume that  $G \times \mathbf{G}_m^d$  is Cayley for some  $d$ . Then the above arguments and (a) show that there exists a birational  $N$ -isomorphism (3.6). Since the group varieties of  $\mathbf{G}_m^d$  and  $k^d$  are rational, this means that  $C$  and  $\mathfrak{c}$  are stably birationally  $N$ -isomorphic.  $\square$

For reductive groups, Lemma 3.5 translates into the statement resulting also from [Lun1, p. 13, Proposition]:

**Corollary.** *Let  $G$  be a connected reductive linear algebraic group.*

- (a)  *$G$  is Cayley if and only if  $T$  and  $\mathfrak{t}$  are birationally  $W$ -isomorphic.*
- (b)  *$G$  is stably Cayley if and only if  $T$  and  $\mathfrak{t}$  are stably birationally  $W$ -isomorphic.*

*Proof.* Since  $G$  is reductive,  $C = T$  and  $\mathfrak{c} = \mathfrak{t}$ . As  $T$  is commutative, this implies that the actions of  $N$  on  $T$  and  $\mathfrak{t}$  descend to the actions of  $W$ . The claim now follows from Lemma 3.5.  $\square$

**3.2. Generic tori.** We now recall the definition of generic tori in a form suitable for our purposes; see [Vos, 4.1] or [CK, p. 772]. We maintain the notation of Subsections 2.5 and 3.1.

Assume that  $G$  is a connected reductive linear algebraic group; then  $C = T$  and  $\mathfrak{c} = \mathfrak{t}$ . According to the discussion in the previous subsection,  $G/N$  may be interpreted in two ways: first, as the *variety of all maximal tori in  $G$*  and, second, as the *variety of all maximal tori in  $\mathfrak{g}$* . The maximal torus in  $G$  (respectively, in  $\mathfrak{g}$ ) assigned to a point  $g(o) \in G/N$  is  $gTg^{-1}$  (respectively,  $\text{Ad}_G g(\mathfrak{t})$ ); it is naturally identified with the fiber over  $g(o)$  of the morphism  $\pi_{G,N,T} : G \times^N T \rightarrow G/N$  (respectively,  $\pi_{G,N,\mathfrak{t}} : G \times^N \mathfrak{t} \rightarrow G/N$ ).

**Definition 3.7.** The triples

$$\mathbf{T}_G := (G \times^N T, \pi_{G,N,T}, G/N) \quad \text{and} \quad \mathbf{t}_{\mathfrak{g}} := (G \times^N \mathfrak{t}, \pi_{G,N,\mathfrak{t}}, G/N)$$

are called, respectively, the *generic torus of  $G$*  and the *generic torus of  $\mathfrak{g}$* .

We identify the field  $k(G/N)$  with its image in  $k(G \times^N T)$  under the embedding  $\pi_{G,N,T}^*$ .

**Definition 3.8.** The generic torus  $\mathbf{T}_G$  is called *rational* if  $k(G \times^N T)$  is a purely transcendental extension of  $k(G/N)$ . If  $\mathbf{T}_{G \times \mathbf{G}_m^d}$  is rational for some  $d$ , then  $\mathbf{T}_G$  is called *stably rational*.

Equivalently,  $\mathbf{T}_G$  is called rational if there exists a birational isomorphism

$$(3.9) \quad G \times^N T \xrightarrow{\sim} G/N \times \mathbb{A}^r$$

over  $G/N$  (then  $r = \dim T$ ). The arguments used in the proof of Lemma 3.5(b) show that stable rationality of  $\mathbf{T}_G$  is equivalent to the property that there exists a purely transcendental field extension  $E$  of  $k(G \times^N T)$  such that  $E$  is a purely transcendental extension of  $k(G/N)$ . There are groups  $G$  such that the generic torus  $\mathbf{T}_G$  is not stably rational (and hence not rational), [Vos], [CK].

Of course, for the generic torus  $\mathfrak{t}_{\mathfrak{g}}$  in  $\mathfrak{g}$ , one could also introduce the notions analogous to that in Definition 3.8. However in the Lie algebra context the rationality problem of generic tori is quite easy: since  $\pi_{G,N,\mathfrak{t}} : G \times^N \mathfrak{t} \rightarrow G/N$  is a vector bundle, it is locally trivial in the Zariski topology, and hence  $\mathfrak{t}_{\mathfrak{g}}$  is always rational; i.e., there exists a birational isomorphism

$$(3.10) \quad G \times^N \mathfrak{t} \xrightarrow{\sim} G/N \times \mathbb{A}^r$$

over  $G/N$ .

**3.3. Proof of Theorem 1.27.** *Implication (a):* By the Corollary of Lemma 3.5, it is enough to construct a  $W$ -equivariant birational isomorphism  $\varphi: T \xrightarrow{\sim} \mathfrak{t}$ .

Using the sign-permutation basis of  $\widehat{T}$ , we can  $W$ -equivariantly identify the maximal torus  $T$  with  $\mathbf{G}_m^r$ , where  $r$  is the rank of  $G$  and every  $w \in W$  acts on  $\mathbf{G}_m^r$  by

$$(3.11) \quad (t_1, \dots, t_r) \mapsto (t_{\sigma(1)}^{\varepsilon_1}, \dots, t_{\sigma(r)}^{\varepsilon_r}),$$

for some  $\sigma \in S_r$  and some  $\varepsilon_1, \dots, \varepsilon_r \in \{\pm 1\}$  (depending on  $w$ ). The Lie algebra  $\mathfrak{t}$  is the tangent space to  $\mathbf{G}_m^r$  at  $e = (1, \dots, 1)$ ; it follows from (3.11) that we can identify it with  $k^r$  where  $w$  acts by

$$(3.12) \quad (x_1, \dots, x_r) \mapsto (\varepsilon_1 x_{\sigma(1)}, \dots, \varepsilon_r x_{\sigma(r)}).$$

From (3.11) and (3.12) we easily deduce that the formula

$$(t_1, \dots, t_r) \mapsto ((1 - t_1)(1 + t_1)^{-1}, \dots, (1 - t_r)(1 + t_r)^{-1})$$

defines a desired birational  $W$ -isomorphism  $\varphi: T \xrightarrow{\sim} \mathfrak{t}$ . This completes the proof of implication (a).

To see that implication (a) cannot be reversed, consider the group  $G := \mathbf{SL}_3$ . First note that this group is Cayley; see Proposition 9.1. On the other hand,  $W \simeq S_3$  and since the character lattice  $\mathcal{X}_G$  has rank 2, it cannot be sign-permutation. Indeed, if it were, then  $S_3$  would embed into  $(\mathbb{Z}/2\mathbb{Z})^2 \rtimes S_2$ , which is impossible.

*Implication (b):* By the Corollary of Lemma 3.5, there is a birational  $N$ -isomorphism  $T \xrightarrow{\sim} \mathfrak{t}$ . By Lemma 2.17, this implies that there is a birational  $G$ -isomorphism  $G \times^N T \xrightarrow{\sim} G \times^N \mathfrak{t}$  over  $G/N$ . Its composition with the birational isomorphism (3.10) is a birational isomorphism (3.9) over  $G/N$ . Hence  $\mathbf{T}_G$  is rational.

To see that implication (b) cannot be reversed, consider the exceptional group  $\mathbf{G}_2$ . The generic torus of  $\mathbf{G}_2$  is rational; see [Vos, 4.9]. On the other hand,  $\mathbf{G}_2$  is not a Cayley group; see Proposition 9.10.

*Implication (c):* This is obvious from the definition.

*Equivalence (d):* This is well known; see, e.g., [Vos, Theorem 4.7.2].

*Equivalence (e):* Let  $V$  be any finite-dimensional faithful permutation  $W$ -module over  $k$  (for instance, the one determined by the regular representation of  $W$ ). Then clearly  $k(V) = k(P)$  for some permutation  $W$ -lattice  $P$ . Since the action of  $W$  on  $\mathfrak{t}$  is faithful, [Bor], we deduce from Lemma 2.12(c) that  $k(\mathfrak{t})$  and  $k(P)$  are stably  $W$ -isomorphic over  $k$ . Therefore, since  $k(T) = k(\widehat{T})$ , applying the Corollary of Lemma 3.5 implies that  $G$  is stably Cayley if and only if  $k(\widehat{T})$  and  $k(P)$  are stably  $W$ -isomorphic over  $k$ . On the other hand, the latter property holds if and only if the  $W$ -lattice  $\widehat{T}$  is quasi-permutation; see the Corollary of Lemma 2.5, whence the claim.  $\square$

**Example 3.13.** The character lattice  $\mathbb{Z}A_{n-1}$  of  $\mathbf{PGL}_n$  is defined by the exact sequence

$$0 \longrightarrow \mathbb{Z}A_{n-1} \longrightarrow \mathbb{Z}[S_n/S_{n-1}] \xrightarrow{\epsilon} \mathbb{Z} \longrightarrow 0,$$

where  $\epsilon$  is the augmentation map and the Weyl group  $W = S_n$  acts trivially on  $\mathbb{Z}$  and naturally on  $\mathbb{Z}[S_n/S_{n-1}]$ ; see Subsection 6.1. Thus  $\mathbb{Z}A_{n-1}$  is quasi-permutation. By Theorem 1.27, we conclude that  $\mathbf{PGL}_n$  is stably Cayley. We know that in fact  $\mathbf{PGL}_n$  is even Cayley; see Example 1.11. Note though that  $\mathbb{Z}A_{n-1}$  is not sign-permutation if  $n > 2$ . Indeed, from the sequence above, we can show that  $H^1(S_n, \mathbb{Z}A_{n-1}) \simeq \mathbb{Z}/n\mathbb{Z}$ , whereas by [LL, Lemma 4.4], a sign-permutation  $\Gamma$ -lattice  $L$  would have  $H^1(\Gamma, L) \cong (\mathbb{Z}/2\mathbb{Z})^d$  for some  $d \geq 0$ .  $\square$

#### 4. REDUCTION THEOREMS

The purpose of this section is to show that to a certain extent classifying arbitrary Cayley groups is reduced to classifying simple ones.

As before, let  $G$  be a connected linear algebraic group. Denote by  $R$  and  $R_u$ , respectively, the radical and the unipotent radical of  $G$ . Recall that a *Levi subgroup* of  $G$  is a connected subgroup  $L$ , necessarily reductive, such that  $G = L \ltimes R_u$ ; since  $\text{char } k = 0$ , Levi subgroups exist and are conjugate, [Bor, 11.22].

In this section we will address the following questions:

- (a) If a Levi subgroup of  $G$  is (stably) Cayley, is  $G$  (stably) Cayley?
- (b) Let  $G$  be reductive. If  $G/R$  is (stably) Cayley, is  $G$  (stably) Cayley?
- (c) Let  $G$  be reductive and let  $H_1, \dots, H_n$  be a complete list of its connected normal simple subgroups. What is the relation between the (stably) Cayley property of  $G$  and that of  $H_1, \dots, H_n$ ?

**4.1. Unipotent normal subgroups.** We will need a generalization of Example 1.21. Let  $U$  be a normal unipotent subgroup of  $G$ . Denote by  $\mathfrak{u}$  the Lie algebra of  $U$ . The group  $G$  acts on  $U$  by conjugation and on  $\mathfrak{u}$  by  $\text{Ad}_G|_{\mathfrak{u}}$ .

**Lemma 4.1.** *There exists a  $G$ -isomorphism of  $G$ -varieties  $U \rightarrow \mathfrak{u}$ .*

*Proof.* We may assume without loss of generality that  $G \subset \mathbf{GL}_n$ . Since  $\text{Ad}_G$  is given by (1.1), it follows from (1.22) that  $\ln: U \rightarrow \mathfrak{u}$  is a  $G$ -morphism. By Example 1.21, it is an isomorphism, whence the claim.  $\square$

**4.2. The Levi decomposition.**

**Proposition 4.2.** *Let  $L$  be a Levi subgroup of  $G$ .*

- (a) *If  $L$  is Cayley, then so is  $G$ .*
- (b)  *$G$  is stably Cayley if and only if  $L$  is stably Cayley.*

*Proof.* Let  $T$  be a maximal torus of  $L$ . It is a maximal torus of  $G$  as well, [Bor, 11.20]. Using the notation of (1.23) and Subsection 3.1, we have  $C = T \times U$  where  $U$  is a unipotent group, [Bor, 12.1]. Let  $\mathfrak{u}$  be the Lie algebra of  $U$  and let  $d = \dim U$ . As  $T$  and  $U$  are, respectively, the semisimple and unipotent parts of the nilpotent group  $C$ , they are stable under the conjugating action of  $N$ , and  $C$ , as an  $N$ -variety, is the product of the  $N$ -varieties  $T$  and  $U$ . Consequently,  $\mathfrak{t}$  and  $\mathfrak{u}$  are stable under the adjoint action of  $N$ , and  $\mathfrak{c}$ , as an  $N$ -variety, is the product of  $N$ -varieties  $\mathfrak{t}$  and  $\mathfrak{u}$ . By Lemma 4.1, there exists an isomorphism of  $N$ -varieties

$$(4.3) \quad \tau: U \longrightarrow \mathfrak{u}.$$

(a) Assume that  $L$  is Cayley. Then by the Corollary of Lemma 3.5, there is a birational  $W_{L,T}$ -isomorphism  $\varphi: T \xrightarrow{\sim} \mathfrak{t}$ . Since the action of  $W_{L,T}$  on  $T$  (respectively,  $\mathfrak{t}$ ) is faithful,  $W_{L,T}$  can be considered as a transformation group of  $T$  (respectively,  $\mathfrak{t}$ ). By [Bor, 11.20], it coincides with the transformation group  $\{T \rightarrow T, t \mapsto ntn^{-1} \mid n \in N\}$  (respectively,  $\{\mathfrak{t} \rightarrow \mathfrak{t}, x \mapsto \text{Ad}_G n(x) \mid n \in N\}$ ). Therefore the map  $\varphi$  is  $N$ -equivariant. Hence

$$\varphi \times \tau: C = T \times U \dashrightarrow \mathfrak{t} \oplus \mathfrak{u} = \mathfrak{c}$$

is a birational  $N$ -isomorphism. Lemma 3.5 now implies that  $G$  is Cayley.

(b) Since  $L \times \mathbf{G}_m^d$  is the Levi subgroup of  $G \times \mathbf{G}_m^d$ , it follows from (a) that if  $L$  is stably Cayley, then  $G$  is stably Cayley.

To prove the converse, it suffices to show that if  $G$  is Cayley, then  $L$  is stably Cayley. In turn, Lemma 3.5 and its Corollary reduce this to proving that if there exists a birational  $N$ -isomorphism

$$\alpha: C = T \times U \dashrightarrow \mathfrak{t} \times \mathfrak{u} = \mathfrak{c},$$

then  $T$  and  $\mathfrak{t}$  are stably birationally  $W_{L,T}$ -isomorphic. We shall prove this last statement.

Since  $T$  is the identity component of  $N_{L,T} = N \cap L$  and  $T$  acts trivially on  $C$  and  $\mathfrak{c}$ , the actions of  $N_{L,T}$  on  $C, \mathfrak{c}, T, \mathfrak{t}, U,$  and  $\mathfrak{u}$  descend to actions of  $W_{L,T} = N_{L,T}/T$ . Moreover,  $C$  (respectively,  $\mathfrak{c}$ ), as a  $W_{L,T}$ -variety, is the product of  $W_{L,T}$ -varieties  $T$  and  $U$  (respectively,  $\mathfrak{t}$  and  $\mathfrak{u}$ ), and  $\alpha$  is a birational  $W_{L,T}$ -isomorphism.

Since  $W_{L,T}$  acts linearly on  $\mathfrak{u}$ , Lemma 2.12(b) implies that there are birational  $W_{L,T}$ -isomorphisms

$$\beta: T \times \mathbb{A}^d \dashrightarrow T \times \mathfrak{u} \quad \text{and} \quad \gamma: \mathfrak{t} \times \mathfrak{u} \dashrightarrow \mathfrak{t} \times \mathbb{A}^d,$$

where  $W_{L,T}$  acts on  $T \times \mathbb{A}^d$  and  $\mathfrak{t} \times \mathbb{A}^d$  via the first factors. Considering the composition of the following birational  $W_{L,T}$ -isomorphisms

$$T \times \mathbb{A}^d \xrightarrow{\beta} T \times \mathfrak{u} \xrightarrow{\text{id} \times \tau^{-1}} T \times U \xrightarrow{\alpha} \mathfrak{t} \times \mathfrak{u} \xrightarrow{\gamma} \mathfrak{t} \times \mathbb{A}^d,$$

we now see that  $T$  and  $\mathfrak{t}$  are indeed stably birationally  $W_{L,T}$ -isomorphic.  $\square$

*Remark 4.4.* The converse to Proposition 4.2(a) fails for  $G := \mathbf{G}_2 \times \mathbf{G}_a^2$ . Indeed, the first factor is the Levi subgroup of  $G$ . By Proposition 9.10, it is not Cayley. Consider the group  $H := \mathbf{G}_2 \times \mathbf{G}_m^2$ . Both  $G$  and  $H$  have the same Lie algebra  $\mathfrak{g}$ . By Proposition 9.11,  $H$  is Cayley; let  $\lambda: H \dashrightarrow \mathfrak{g}$  be a Cayley map. Fix a birational isomorphism of group varieties  $\delta: \mathbf{G}_a^2 \dashrightarrow \mathbf{G}_m^2$ . Since the second factors of  $G$  and  $H$  lie in the kernels of conjugating and adjoint actions,  $\lambda \circ (\text{id} \times \delta): G \dashrightarrow \mathfrak{g}$  is a Cayley map. Thus  $G$  is Cayley.

**Corollary.** *Every connected solvable linear algebraic group  $G$  is Cayley.*

*Proof.* A Levi subgroup  $L$  of  $G$  is a torus, [Bor, 10.6]. By Example 1.20,  $L$  is Cayley. Hence by Proposition 4.2(a),  $G$  is Cayley as well.  $\square$

### 4.3. From reductive to semisimple.

**Proposition 4.5.** *Let  $G$  be a connected reductive group and let  $Z$  be a connected closed central subgroup of  $G$ .*

(a) *If  $G/Z$  is Cayley, then so is  $G$ .*

(b)  $G$  is stably Cayley if and only if  $G/Z$  is stably Cayley.

*Proof.* Since  $G$  is reductive,  $R$  is a torus and the identity component of the center of  $G$ ; see [Bor, 11.21]. Thus  $Z$  is a subtorus of  $R$ . Let  $T$  be a maximal torus of  $G$ . We have  $R \subset T$  (see [Bor, 11.11]),  $T/Z$  is a maximal torus of  $G/Z$ , and the natural epimorphism  $G \rightarrow G/Z$  identifies  $W$  with  $W_{G/Z, T/Z}$  (we use the notation of (1.23) and Subsection 3.1); see [Bor, 11.20]. Since  $Z$  is central, it is pointwise fixed with respect to the action of  $W$ . Thus we have the following exact sequence of  $W$ -homomorphisms of tori:

$$e \longrightarrow Z \longrightarrow T \longrightarrow T/Z \longrightarrow e$$

which in turn yields the exact sequence of  $W$ -lattices of character groups

$$0 \longrightarrow \widehat{T/Z} \longrightarrow \widehat{T} \longrightarrow \widehat{Z} \longrightarrow 0.$$

Note that  $W$  acts trivially on  $\widehat{Z}$ . In particular,  $\widehat{Z}$  is a permutation  $W$ -lattice, and the last exact sequence tells us that the character lattices  $\widehat{T}$  and  $\widehat{T/Z}$  are equivalent; see Definition 2.2. Thus if one of them is quasi-permutation, then so is the other. Part (b) now follows from Theorem 1.27.

Since the  $W$ -fields  $k(T)$  and  $k(T/Z)$  are  $W$ -isomorphic to  $k(\widehat{T})$  and  $k(\widehat{T/Z})$ , respectively, we deduce from Lemma 2.12(d) that  $T$  is birationally  $W$ -isomorphic to  $T/Z \times \mathbb{A}^m$ , where  $W$  acts on  $T/Z \times \mathbb{A}^m$  via the first factor and  $m = \dim Z$ .

On the other hand, let  $\mathfrak{f}$  and  $\mathfrak{z}$  be the Lie algebras of  $T/Z$  and  $Z$ , respectively. Then, since the Lie algebras  $\mathfrak{t}$  and  $\mathfrak{f} \oplus \mathfrak{z}$  are  $W$ -equivariantly isomorphic and  $W$  acts on  $\mathfrak{z}$  trivially, we see that  $\mathfrak{t}$ , as a  $W$ -variety, is isomorphic to  $\mathfrak{f} \times \mathbb{A}^m$ , where  $W$  acts on  $\mathfrak{f} \times \mathbb{A}^m$  via the first factor.

Now to prove part (a), assume that  $G/Z$  is Cayley. Then by the Corollary of Lemma 3.5, there is a birational  $W$ -isomorphism  $\varphi : T/Z \xrightarrow{\sim} \mathfrak{f}$ . This gives a birational  $W$ -isomorphism  $T/Z \times \mathbb{A}^m \xrightarrow{\varphi \times \text{id}} \mathfrak{f} \times \mathbb{A}^m$ . Applying the Corollary of Lemma 3.5 once again, we conclude that  $G$  is Cayley. This completes the proof of part (a).  $\square$

Setting  $Z = R$ , we obtain

**Corollary.** *Let  $G$  be a connected reductive group and  $G_{\text{ss}} := G/R$ .*

- (a) *If  $G_{\text{ss}}$  is Cayley, then so is  $G$ .*
- (b)  *$G$  is stably Cayley if and only if  $G_{\text{ss}}$  is stably Cayley.*  $\square$

*Remark 4.6.* The converse to part (a) of the Corollary fails for  $G = \mathbf{G}_2 \times \mathbf{G}_m^2$ . Indeed,  $G$  is Cayley by Proposition 9.11 and  $G/R \simeq \mathbf{G}_2$  is not Cayley by Proposition 9.10.

**4.4. From semisimple to simple.** Let  $G_1, \dots, G_n$  be connected linear algebraic groups and let  $\mathfrak{g}_i$  be the Lie algebra of  $G_i$ . If each  $G_i$  is Cayley, then so is  $G_1 \times \dots \times G_n$ ; see Example 1.8. The converse fails for  $n = 2$ ,  $G_1 = \mathbf{G}_2$ ,  $G_2 = \mathbf{G}_m^2$ ; see Propositions 9.10 and 9.11.

**Lemma 4.7.**  *$G_1 \times \dots \times G_n$  is stably Cayley if and only if each  $G_i$  is stably Cayley.*

*Proof.* The “if” direction follows from Definition 1.26 and Example 1.8. To prove the converse, we use the fact that the underlying variety of each  $G_i$  is rational over  $k$ ; see [Ch1]. This implies that the underlying variety of  $G_1 \times \dots \times G_n$ , as

a  $G_i$ -variety, is birationally isomorphic to  $G_i \times \mathbf{G}_m^{d_i}$  with the conjugating action via the first factor and  $d_i = \sum_{j \neq i} \dim G_j$ . The “only if” direction now follows from Definition 1.26 and the fact that the underlying variety of the Lie algebra of  $G_1 \times \dots \times G_n$ , as a  $G_i$ -variety, is isomorphic to  $\mathfrak{g}_i \oplus k^{d_i}$  with the adjoint action via the first summand.  $\square$

As usual, given subgroups  $X$  and  $Y$  of  $G$ , we denote by  $(X, Y)$  the subgroup generated by the commutators  $xyx^{-1}y^{-1}$  with  $x \in X, y \in Y$ .

**Proposition 4.8.** *Assume  $G$  is a connected reductive group and let  $H_1, \dots, H_m$  be the connected closed normal subgroups of  $G$  such that*

- (i)  $(H_i, H_j) = e$  for all  $i \neq j$ ,
- (ii)  $G = H_1 \dots H_m$ .

*Let  $\tilde{H}_i$  be the subgroup of  $G$  generated by all  $H_j$ 's with  $j \neq i$ . If  $G$  is stably Cayley, then each  $G/\tilde{H}_i \simeq H_i/(H_i \cap \tilde{H}_i)$  is stably Cayley.*

*Proof.* Since  $H_1, \dots, H_m$  are connected, each  $\tilde{H}_i$  is connected; see [Bor, 2.2]. Since  $G$  is reductive, all  $H_i$  and  $\tilde{H}_i$  are reductive.

It follows from (i) and (ii) that

$$H_1 \times \dots \times H_m \rightarrow G, \quad (h_1, \dots, h_m) \mapsto h_1 \dots h_m,$$

is an epimorphism of algebraic groups. Let  $T_i$  be a maximal torus of  $H_i$ . Then  $T_1 \times \dots \times T_m$  is a maximal torus of  $H_1 \times \dots \times H_m$ . Therefore its image  $T := T_1 \dots T_m$  under the above epimorphism is a maximal torus of  $G$ ; see [Bor, 11.14]. The same argument shows that the group  $S_i$  of  $T$  generated by all  $T_j$ 's with  $j \neq i$  is a maximal torus of  $\tilde{H}_i$ .

It follows from (i) that  $S_i$  is pointwise fixed under the conjugating action of  $N_i := N_{H_i, T_i}$  on  $T$ . This action clearly descends to an action of  $W_i := W_{H_i, T_i} = N_i/T_i$ . Since  $H_i$  is connected reductive, any maximal torus of  $H_i$  coincides with its centralizer in  $H_i$ ; see [Bor, 13.17]. Consequently,  $T \cap H_i = T_i$  and  $W_i$ , considered as a transformation group of  $T$ , is the image of  $N_i$  under the natural projection  $N \rightarrow N/T = W$ . The natural epimorphism  $\pi_i : H_i \rightarrow H_i/(H_i \cap \tilde{H}_i)$  identifies  $W_i$  with  $W_{H_i/(H_i \cap \tilde{H}_i), \pi_i(T_i)}$ , so that the isomorphism  $T_i/(T_i \cap \tilde{H}_i) \rightarrow \pi_i(T_i)$  induced by  $\pi_i$  is  $W_i$ -equivariant; cf., e.g., [Bor, 11.20, 11.11].

The same argument applied to  $\tilde{H}_i$  and  $S_i$  instead of  $H_i$  and  $T_i$  shows that  $T \cap \tilde{H}_i = S_i$ ,

$$T_i \cap \tilde{H}_i = T_i \cap S_i,$$

and that a maximal torus of  $H_i/(H_i \cap \tilde{H}_i)$  is  $W_i$ -isomorphic to  $T_i/(T_i \cap S_i)$ . Now observe that  $T_i/(T_i \cap S_i)$  is  $W_i$ -isomorphic to  $T/S_i$  because  $T = T_i S_i$ . Therefore there is an exact sequence of  $W_i$ -homomorphisms of tori

$$e \longrightarrow S_i \longrightarrow T \longrightarrow T_i/(T_i \cap S_i) \longrightarrow e.$$

Passing to the character groups, we deduce from it the following exact sequence of  $W_i$ -lattices:

$$0 \longrightarrow T_i/\widehat{(T_i \cap S_i)} \longrightarrow \widehat{T} \longrightarrow \widehat{S_i} \longrightarrow 0.$$

As the action of  $W_i$  on  $S_i$  is trivial,  $\widehat{S_i}$  is a trivial and, in particular, a permutation  $W_i$ -lattice. Hence the above exact sequence shows that  $T_i/\widehat{(T_i \cap S_i)}$  and  $\widehat{T}$  are equivalent  $W_i$ -lattices.

Assume now that  $G$  is stably Cayley. Then Theorem 1.27 implies that  $\widehat{T}$  is quasi-permutation as a  $W$ -lattice, and hence as a  $W_i$ -lattice because  $W_i$  is a subgroup of  $W$ . Therefore the equivalent  $W_i$ -lattice  $T_i/(\widehat{S_i \cap T_i})$  is quasi-permutation as well. Since the latter is the character lattice of  $H_i/(H_i \cap \widetilde{H}_i)$ , Theorem 1.27 implies that  $H_i/(H_i \cap \widetilde{H}_i)$  is stably Cayley.  $\square$

**Corollary.** *Let  $G$  be a connected semisimple group. Let  $H_1, \dots, H_m$  be the minimal elements among its connected closed normal subgroups. Define  $\widetilde{H}_i$  as in Proposition 4.8. If  $G$  is stably Cayley, then each  $H_i/(H_i \cap \widetilde{H}_i)$  is stably Cayley.*

*Proof.* By [Bor, 14.10], the assumptions of Proposition 4.8 hold.  $\square$

*Remark 4.9.* In Proposition 4.8, if  $G$  is stably Cayley,  $H_i$  is not necessarily stably Cayley. For example, take  $G = \mathbf{GL}_n$ ,  $m = 2$ ,  $H_1 = \mathbf{G}_m$  diagonally embedded in  $\mathbf{GL}_n$  and  $H_2 = \mathbf{SL}_n$ . Then  $G$  is Cayley by Example 1.9, and  $H_2$  is not stably Cayley for  $n > 3$  by Theorem 1.28.

### 5. PROOF OF THEOREM 1.28: AN OVERVIEW

In this section we outline a strategy for proving Theorem 1.28; the technical parts of the proof will be carried out in Sections 6–8.

By Theorem 1.27, it will suffice to determine which connected simple groups have a stably rational generic torus (or, equivalently, a quasi-permutation character lattice). CORTELLA and KUNYAVSKIĬ in [CK, Theorem 0.1] have classified all simply connected and all adjoint connected simple groups that have a quasi-permutation character lattice. These are precisely  $\mathbf{SO}_{2n+1}$ ,  $\mathbf{Sp}_{2n}$ ,  $\mathbf{PGL}_n$ ,  $\mathbf{SL}_3$ , and  $\mathbf{G}_2$ . Therefore in order to complete the proof of Theorem 1.28, we need to determine which intermediate (i.e., neither simply connected nor adjoint) connected simple groups have a quasi-permutation character lattice.

Recall that intermediate connected simple groups exist only for types  $A_n$  and  $D_n$ . Connected simple groups of type  $A_{n-1}$  are precisely the groups  $\mathbf{SL}_n/\mu_d$ , where  $d$  is a divisor of  $n$ . Among them, intermediate groups are those with  $1 < d < n$ . In Section 7 we will prove the following.

**Proposition 5.1.** *Let  $d$  be a divisor of  $n$ , where  $1 < d < n$  and  $(n, d) \neq (4, 2)$ . Then the character lattice of the group  $\mathbf{SL}_n/\mu_d$  is not quasi-permutation.*

As we saw in Example 1.16, the group  $\mathbf{SL}_4/\mu_2$  is Cayley; in particular, by Theorem 1.27, its character lattice is quasi-permutation.

The intermediate connected simple groups of type  $D_n$  are  $\mathbf{SO}_{2n}$  for any  $n \geq 3$  and the half-spinor groups  $\mathbf{Spin}_{2n}^{1/2}$  for even  $n \geq 4$ . The latter are defined as follows. Consider the spinor group  $\mathbf{Spin}_{2n}$  for even  $n \geq 4$ . Its center is isomorphic to  $\mu_2 \times \mu_2$ , see [Ch2], [KMRT, §25], and consequently contains precisely three subgroups of order 2. One of them is the kernel of the vector representation, so the quotient of  $\mathbf{Spin}_{2n}$  modulo it is  $\mathbf{SO}_{2n}$ . Two others are the kernels of the half-spinor representations of  $\mathbf{Spin}_{2n}$ . They are mapped to each other by an outer automorphism of  $\mathbf{Spin}_{2n}$ , so the images of the half-spin representations are isomorphic to the same group; that is  $\mathbf{Spin}_{2n}^{1/2}$ .

By Example 1.16, the groups  $\mathbf{SO}_{2n}$  are Cayley. If  $n = 4$ , the group of outer automorphisms of  $\mathbf{Spin}_{2n}$  is isomorphic to  $S_3$  (for  $n > 4$ , it is isomorphic to  $S_2$ ) and acts transitively on the set of all subgroups of order 2 of the center of  $\mathbf{Spin}_{2n}$ .



Therefore  $\mathbf{Spin}_8^{1/2} \simeq \mathbf{SO}_8$ , whence it is Cayley. Thus we only need to consider the half-spin groups  $\mathbf{Spin}_{2n}^{1/2}$  for even  $n > 4$ . In Section 8 we will prove the following.

**Proposition 5.2.** *The character lattice of the half-spinor group  $\mathbf{Spin}_{2n}^{1/2}$  for even  $n > 4$  is not quasi-permutation.*

Thus in order to complete the proof of Theorem 1.28, we need to prove Propositions 5.1 and 5.2. This will be done in the next three sections.

6. THE GROUPS  $\mathbf{SL}_n/\mu_d$  AND THEIR CHARACTER LATTICES

6.1. **Lattices  $Q_n(d)$ .** For any divisor  $d$  of  $n$ , the Weyl group  $W$  of the group  $G = \mathbf{SL}_n/\mu_d$  is isomorphic to the permutation group  $S_n$  of the set of integers  $\{1, \dots, n\}$ . The character lattice  $\mathcal{X}_G$  is described as follows.

Let  $\varepsilon_1, \dots, \varepsilon_n$  be the standard basis for the permutation  $S_n$ -lattice  $\mathbb{Z}[S_n/S_{n-1}]$  on which  $\sigma \in S_n$  acts via

$$(6.1) \quad \sigma(\varepsilon_i) = \varepsilon_{\sigma(i)} \quad \text{for all } i = 1, \dots, n.$$

We naturally embed  $\mathbb{Z}[S_n/S_{n-1}]$  into the  $\mathbb{Q}$ -vector space  $\mathbb{Z}[S_n/S_{n-1}] \otimes_{\mathbb{Z}} \mathbb{Q}$  endowed with the Euclidean structure such that  $\varepsilon_1, \dots, \varepsilon_n$  is the orthonormal basis and we naturally extend the action of  $S_n$  to this space.

The root system of type  $A_{n-1}$  is the subset

$$A_{n-1} := \{\varepsilon_i - \varepsilon_j \mid 1 \leq i \neq j \leq n\}$$

of  $\mathbb{Z}[S_n/S_{n-1}] \otimes_{\mathbb{Z}} \mathbb{Q}$ . The Weyl group  $W(A_{n-1})$  of  $A_{n-1}$  is  $S_n$  acting by (6.1), and the standard base of  $A_{n-1}$  is  $\alpha_1, \dots, \alpha_{n-1}$ , where

$$(6.2) \quad \alpha_i = \varepsilon_i - \varepsilon_{i+1}, \quad i = 1, \dots, n-1;$$

see [Bou2]. The kernel of augmentation map

$$\mathbb{Z}[S_n/S_{n-1}] \xrightarrow{\epsilon} \mathbb{Z}, \quad \sum_{i=1}^n a_i \varepsilon_i \mapsto \sum_{i=1}^n a_i,$$

is the root  $S_n$ -lattice  $\mathbb{Z}A_{n-1}$  of  $A_{n-1}$ ,

$$(6.3) \quad \mathbb{Z}A_{n-1} := \mathbb{Z}\alpha_1 \oplus \dots \oplus \mathbb{Z}\alpha_{n-1} = \{\sum_{i=1}^n a_i \varepsilon_i \mid \sum_{i=1}^n a_i = 0\}.$$

The character lattice of  $\mathbf{SL}_n/\mu_d$  is isomorphic to the following  $S_n$ -lattice:

$$(6.4) \quad Q_n(d) := \mathbb{Z}A_{n-1} + \mathbb{Z}d\varpi_1, \quad \text{where } \varpi_1 = \varepsilon_1 - \frac{1}{n} \sum_{i=1}^n \varepsilon_i.$$

The vector  $\varpi_1$  is the first fundamental dominant weight of the root system  $A_{n-1}$  with respect to the base  $\alpha_1, \dots, \alpha_{n-1}$ .

Observe that the character lattice of  $\mathbf{SL}_n/\mu_n = \mathbf{PGL}_n$  is the root  $S_n$ -lattice  $Q_n(n) = \mathbb{Z}A_{n-1}$ , the character lattice of  $\mathbf{SL}_n/\mu_1 = \mathbf{SL}_n$  is the weight  $S_n$ -lattice  $\Lambda_n$  of type  $A_{n-1}$ , and that the following sequences of homomorphisms of  $S_n$ -lattices are exact:

$$(6.5) \quad 0 \longrightarrow \mathbb{Z}A_{n-1} \longrightarrow Q_n(n/d) \longrightarrow \mathbb{Z}/d\mathbb{Z} \longrightarrow 0,$$

$$(6.6) \quad 0 \longrightarrow Q_n(d) \longrightarrow \Lambda_n \longrightarrow \mathbb{Z}/d\mathbb{Z} \longrightarrow 0.$$

Here  $\mathbb{Z}/d\mathbb{Z}$  denotes the cyclic group of order  $d$  with trivial  $S_d$ -action. Note that

$$(6.7) \quad Q_n(d)^* \simeq Q_n(n/d).$$

In this section we will prove a number of preliminary results about the lattices  $Q_n(d)$ . In the next section we will use these results to prove Proposition 5.1.

**6.2. Properties of  $Q_n(d)$ .** We begin by recalling a simple lemma which computes the cohomology  $H^1(\Gamma, \mathbb{Z}A_{n-1})$  for all subgroups  $\Gamma$  of  $S_n$ . The first part is extracted from [LL, Lemma 4.3].

**Lemma 6.8.** *For any subgroup  $\Gamma$  of  $S_n$ , we have*

$$H^1(\Gamma, \mathbb{Z}A_{n-1}) \simeq \mathbb{Z} / \sum_{\mathcal{O}} |\mathcal{O}| \mathbb{Z},$$

where  $\mathcal{O}$  runs over the orbits of  $\Gamma$  in  $\{1, \dots, n\}$ . More explicitly, the connecting homomorphism of the cohomology sequence induced by the augmentation sequence

$$(6.9) \quad 0 \longrightarrow \mathbb{Z}A_{n-1} \longrightarrow \mathbb{Z}[S_n/S_{n-1}] \xrightarrow{\epsilon} \mathbb{Z} \longrightarrow 0$$

is given by

$$\mathbb{Z} = \mathbb{Z}[S_n/S_{n-1}]/\mathbb{Z}A_{n-1} \xrightarrow{\partial} H^1(\Gamma, \mathbb{Z}A_{n-1}), \quad m\varepsilon_1 + \mathbb{Z}A_{n-1} \mapsto [\sigma \mapsto m(\varepsilon_{\sigma(1)} - \varepsilon_1)],$$

where the image is the class of the given 1-cocycle from  $\Gamma$  to  $\mathbb{Z}A_{n-1}$ .

*Proof.* From the cohomology sequence that is associated with (6.9), one obtains the exact sequence  $\mathbb{Z}[S_n/S_{n-1}]^\Gamma \xrightarrow{\epsilon} \mathbb{Z} \xrightarrow{\partial} H^1(\Gamma, \mathbb{Z}A_{n-1}) \rightarrow 0$  which implies the asserted description of  $H^1(\Gamma, \mathbb{Z}A_{n-1})$ . The calculation of the connecting homomorphism  $\partial$  follows directly from the identification of  $\mathbb{Z}$  with  $\mathbb{Z}[S_n/S_{n-1}]/\mathbb{Z}A_{n-1}$  and an application of the Snake Lemma.  $\square$

**Lemma 6.10.** *For any subgroup  $\Gamma$  of  $S_n$ , the exact sequence (6.5) induces the following connecting homomorphism in cohomology:*

$$\mathbb{Z}/d\mathbb{Z} = Q_n(n/d)/\mathbb{Z}A_{n-1} \xrightarrow{\partial} H^1(\Gamma, \mathbb{Z}A_{n-1}), \quad m + d\mathbb{Z} \mapsto \frac{mn}{d} + \sum_{\mathcal{O}} |\mathcal{O}| \mathbb{Z},$$

where the sum on the right runs over the orbits  $\mathcal{O}$  of  $\Gamma$  in  $\{1, \dots, n\}$ . In particular, if  $|H^1(\Gamma, \mathbb{Z}A_{n-1})|$  divides  $n/d$ , then  $\partial$  is the zero map.

*Proof.* Since  $Q_n(n/d)$  has  $\mathbb{Z}$ -basis  $\frac{n}{d}\varpi_1, \varepsilon_1 - \varepsilon_2, \dots, \varepsilon_{n-2} - \varepsilon_{n-1}$  where  $\varpi_1$  is given by (6.4), we conclude that  $Q_n(n/d)/\mathbb{Z}A_{n-1}$  is generated by  $\frac{n}{d}\varpi_1 + \mathbb{Z}A_{n-1}$ . Using the Snake Lemma, one sees that the connecting homomorphism

$$\mathbb{Z}/d\mathbb{Z} = Q_n(n/d)/\mathbb{Z}A_{n-1} \xrightarrow{\partial} H^1(\Gamma, \mathbb{Z}A_{n-1})$$

sends  $\frac{n}{d}\varpi_1 + \mathbb{Z}A_{n-1}$  to the class of the 1-cocycle  $[\sigma \mapsto \frac{n}{d}(\varepsilon_{\sigma(1)} - \varepsilon_1)]$  in  $H^1(\Gamma, \mathbb{Z}A_{n-1})$ . An application of Lemma 6.8 and the identification  $\mathbb{Z}/d\mathbb{Z} = Q_n(n/d)/\mathbb{Z}A_{n-1}$  completes the proof of the first statement. The second statement follows directly from the first.  $\square$

**Lemma 6.11.** *Let  $\Gamma$  be a subgroup of  $S_n$  which fixes at least one integer  $i \in \{1, \dots, n\}$ . Then  $H^1(\Gamma, Q_n(d)) = 0$ .*

*Proof.* Note that in this case,  $\{\varepsilon_t - \varepsilon_i \mid t \neq i\}$  is a permutation basis for  $\mathbb{Z}A_{n-1}$  so that both  $\mathbb{Z}A_{n-1}$  and  $\Lambda_n = (\mathbb{Z}A_{n-1})^*$  are permutation  $\Gamma$ -lattices. This implies that  $H^1(\Gamma, \mathbb{Z}A_{n-1}) = 0 = H^1(\Gamma, \Lambda_n)$ . Observe that  $\nu_i = \varepsilon_i - \frac{1}{n} \sum_{t=1}^n \varepsilon_t \in \Lambda_n^\Gamma$  and that  $\nu_i + Q_n(d) = \varpi_1 + Q_n(d)$  since  $\nu_i - \varpi_1 = \varepsilon_i - \varepsilon_1 \in \mathbb{Z}A_{n-1} \subseteq Q_n(d)$ . Then applying cohomology to the exact sequence (6.6), we obtain

$$\Lambda_n^\Gamma \longrightarrow \mathbb{Z}/d\mathbb{Z} \longrightarrow H^1(\Gamma, Q_n(d)) \longrightarrow H^1(\Gamma, \Lambda_n) = 0.$$

Since  $\Lambda_n/Q_n(d) = \mathbb{Z}/d\mathbb{Z}$  is generated by  $\varpi_1 + Q_n(d)$ , the above argument shows that the map  $\Lambda_n^\Gamma \rightarrow \mathbb{Z}/d\mathbb{Z}$  is surjective so that  $H^1(\Gamma, Q_n(d)) = 0$ , as required.  $\square$

For a sequence of integers  $1 \leq i_1 < \dots < i_r \leq n$ , set

$$S_{\{i_1, \dots, i_r\}} := \{\sigma \in S_n \mid \sigma(j) = j \text{ for every } j \notin \{i_1, \dots, i_r\}\}.$$

This is a subgroup of  $S_n$ ; in particular,  $S_{\{1, \dots, n\}} = S_n$ . The map

$$\iota_{\{i_1, \dots, i_r\}} : S_r \longrightarrow S_{\{i_1, \dots, i_r\}}, \quad \iota_{\{i_1, \dots, i_r\}}(\sigma)(i_s) = i_{\sigma(s)} \quad \text{for all } \sigma \text{ and } s,$$

is an isomorphism. In the sequel, the subgroup  $S_{\{1, \dots, m\}} \times S_{\{m+1, \dots, 2m\}}$  of  $S_{2m}$  is denoted simply by  $S_m \times S_m$ . For a sequence of integers

$$1 \leq i_1 < \dots < i_r < j_1 < \dots < j_r < \dots < l_1 < \dots < l_r \leq n,$$

the image of the embedding

$$S_r \longrightarrow S_n, \quad \sigma \mapsto \iota_{\{i_1, \dots, i_r\}}(\sigma) \iota_{\{j_1, \dots, j_r\}}(\sigma) \dots \iota_{\{l_1, \dots, l_r\}}(\sigma),$$

is called the *copy of  $S_r$  diagonally embedded in  $S_{\{i_1, \dots, i_r, j_1, \dots, j_r, \dots, l_1, \dots, l_r\}}$* .

**Lemma 6.12.** *Let  $n = td$ . Then the following properties hold:*

(a) *Let  $X_d$  be the copy of  $S_d$  diagonally embedded in  $S_n$ . Then*

$$\mathbb{Z}A_{n-1}|_{X_d} \simeq \mathbb{Z}A_{d-1} \oplus \mathbb{Z}[S_d/S_{d-1}]^{t-1}.$$

(b) *Let  $Y_d := S_{\{1, \dots, d\}} \times \tilde{X}_d$  where  $\tilde{X}_d$  is the copy of  $S_d$  diagonally embedded in  $S_{\{d+1, \dots, n\}}$ . Then*

$$\mathbb{Z}A_{n-1}|_{Y_d} \simeq \mathbb{Z}A_{2d-1}|_{S_d \times S_d} \oplus \mathbb{Z}[(S_d \times S_d)/(S_d \times S_{d-1})]^{t-2}.$$

*Proof.* For the first statement, note that

$$\mathcal{B}_1 = \{\varepsilon_1 - \varepsilon_2, \dots, \varepsilon_{d-1} - \varepsilon_d\} \cup \{\varepsilon_i - \varepsilon_{d+i} \mid i = 1, \dots, (t-1)d\}$$

is a basis for  $\mathbb{Z}A_{n-1}$ , since  $\mathcal{B}_0 = \{\alpha_i = \varepsilon_i - \varepsilon_{i+1} \mid i = 1, \dots, n-1\}$  is a basis for  $\mathbb{Z}A_{n-1}$ , and the equations

$$\varepsilon_i - \varepsilon_{d+i} = \sum_{k=i}^{d+i-1} \alpha_k$$

for  $i = 1, \dots, (t-1)d$  show that the change of coordinates matrix relating  $\mathcal{B}_1$  to  $\mathcal{B}_0$  is upper triangular with coefficients in  $\mathbb{Z}$  and diagonal entries 1. But then

$$\begin{aligned} \mathbb{Z}A_{n-1}|_{X_d} &= \bigoplus_{i=1}^{d-1} \mathbb{Z}(\varepsilon_i - \varepsilon_{i+1}) \oplus \bigoplus_{r=1}^{t-1} \left( \bigoplus_{i=(r-1)d+1}^{rd} \mathbb{Z}(\varepsilon_i - \varepsilon_{d+i}) \right) \\ &\simeq \mathbb{Z}A_{d-1} \oplus \mathbb{Z}[S_d/S_{d-1}]^{t-1}. \end{aligned}$$

For the second statement, similarly note that

$$\{\varepsilon_1 - \varepsilon_2, \dots, \varepsilon_{2d-1} - \varepsilon_{2d}\} \cup \{\varepsilon_i - \varepsilon_{d+i} \mid i = d+1, \dots, (t-1)d\}$$

is a basis for  $\mathbb{Z}A_{n-1}$  so that

$$\begin{aligned} \mathbb{Z}A_{n-1}|_{Y_d} &= \bigoplus_{i=1}^{2d-1} \mathbb{Z}(\varepsilon_i - \varepsilon_{i+1}) \oplus \bigoplus_{r=2}^{t-1} \left( \bigoplus_{i=(r-1)d+1}^{rd} \mathbb{Z}(\varepsilon_i - \varepsilon_{d+i}) \right) \\ &\simeq \mathbb{Z}A_{2d-1}|_{S_d \times S_d} \oplus \mathbb{Z}[(S_d \times S_d)/(S_d \times S_{d-1})]^{t-2}. \end{aligned} \quad \square$$

7. STABLY CAYLEY GROUPS OF TYPE  $A_n$

**7.1. Restricting  $Q_n(d)$  to some subgroups.** In this section we will prove Proposition 5.1. We will first show that  $Q_n(d)$  restricted to certain appropriate subgroups of  $S_n$  is equivalent in each case to a smaller more manageable sublattice. We will then show that the smaller lattices are not quasi-permutation.

**Proposition 7.1.** *Suppose  $d|n$  and let  $p$  be a prime divisor of  $n/d$ . Let  $X_p$  be the copy of  $S_p$  diagonally embedded in  $S_n$ , and let  $Y_p = S_{\{1, \dots, p\}} \times \tilde{X}_p$ , where  $\tilde{X}_p$  is the copy of  $S_p$  diagonally embedded in  $S_{\{p+1, \dots, n\}}$ . Then the following equivalencies hold:*

- (a)  $Q_n(d)|_{X_p} \sim \Lambda_p$ .
- (b)  $Q_n(d)|_{Y_p} \sim \Lambda_{2p}|_{S_p \times S_p}$ .

*Proof.* Recall that we have the exact sequence (6.5). The definition of  $p$  implies that  $n = lp$  for a positive integer  $l$ . By Lemma 6.12,

$$\begin{aligned} \mathbb{Z}A_{n-1}|_{X_p} &\simeq \mathbb{Z}A_{p-1} \oplus \mathbb{Z}[S_p/S_{p-1}]^{l-1}, \\ \mathbb{Z}A_{n-1}|_{Y_p} &\simeq \mathbb{Z}A_{2p-1}|_{S_p \times S_p} \oplus \mathbb{Z}[(S_p \times S_p)/(S_p \times S_{p-1})]^{l-2}. \end{aligned}$$

Using this and Lemma 6.8, we see that  $H^1(\Gamma, \mathbb{Z}A_{n-1}) = H^1(\Gamma, \mathbb{Z}A_{p-1}) = 0$  or  $\mathbb{Z}/p\mathbb{Z}$  for all subgroups  $\Gamma$  of  $X_p$  and that  $H^1(\Gamma, \mathbb{Z}A_{n-1}) = H^1(\Gamma, \mathbb{Z}A_{2p-1}) = 0$  or  $\mathbb{Z}/p\mathbb{Z}$  for all subgroups  $\Gamma$  of  $Y_p$ . Then Lemma 6.10 and the fact that  $p$  divides  $n/d$  show that the connecting homomorphism  $\mathbb{Z}/d\mathbb{Z} \rightarrow H^1(\Gamma, \mathbb{Z}A_{n-1})$  is zero for all subgroups  $\Gamma$  of  $X_p$  or of  $Y_p$ . But then the sequence (6.5) restricted to  $X_p$  or  $Y_p$  satisfies the conditions of Proposition 2.10(b). This means that

$$\begin{aligned} Q_n(d)|_{X_p} &= Q_n(n/d)^*|_{X_p} \sim (\mathbb{Z}A_{n-1})^*|_{X_p} \sim (\mathbb{Z}A_{p-1})^* = \Lambda_p, \\ Q_n(d)|_{Y_p} &= Q_n(n/d)^*|_{Y_p} \sim (\mathbb{Z}A_{n-1})^*|_{Y_p} \sim (\mathbb{Z}A_{2p-1})^*|_{S_p \times S_p} = \Lambda_{2p}|_{S_p \times S_p}. \quad \square \end{aligned}$$

**7.2. Lattices  $\Lambda_p$  and  $\Lambda_{2p}$ .** The following lemma is essentially a rephrasing of a result proved by BESSENRODT and LE BRUYN in [BLB]:

**Lemma 7.2.** *Let  $p > 3$  be prime. Then  $\Lambda_p$  is not a quasi-permutation  $S_p$ -lattice.*

*Proof.* Tensoring the augmentation sequence for  $\mathbb{Z}[S_n/S_{n-1}]$  with  $\mathbb{Z}A_{n-1}$ , we obtain the exact sequence

$$(7.3) \quad 0 \longrightarrow (\mathbb{Z}A_{n-1})^{\otimes 2} \longrightarrow \mathbb{Z}A_{n-1} \otimes \mathbb{Z}[S_n/S_{n-1}] \xrightarrow{\tau} \mathbb{Z}A_{n-1} \longrightarrow 0.$$

We have

$$\mathbb{Z}A_{n-1} \otimes \mathbb{Z}[S_n/S_{n-1}] \simeq \mathbb{Z}[S_n/S_{n-2}].$$

One can show that  $\{(\varepsilon_i - \varepsilon_j) \otimes \varepsilon_j \mid i \neq j\}$  is the set of elements of a permutation basis for  $\mathbb{Z}A_{n-1} \otimes \mathbb{Z}[S_n/S_{n-1}]$ . The map  $\tau$  then sends  $(\varepsilon_i - \varepsilon_j) \otimes \varepsilon_j$  to  $\varepsilon_i - \varepsilon_j$ .

For  $p$  prime, BESSENRODT and LE BRUYN in [BLB] show that

$$0 \longrightarrow (\mathbb{Z}A_{p-1})^{\otimes 2} \longrightarrow \mathbb{Z}[S_p/S_{p-2}] \longrightarrow \mathbb{Z}A_{p-1} \longrightarrow 0$$

is a coflasque resolution of  $\mathbb{Z}A_{p-1}$  as an  $S_p$ -lattice. They also show that  $(\mathbb{Z}A_{p-1})^{\otimes 2}$  is permutation projective as an  $S_p$ -lattice but is only  $S_p$ -stably permutation if  $p = 2, 3$ . By duality, the stable equivalence class of  $((\mathbb{Z}A_{p-1})^{\otimes 2})^*$  is  $\rho(\Lambda_p)$ ; see Subsection 2.3). The statements above then imply that  $\Lambda_p$  is not a quasi-permutation  $S_p$ -lattice for any  $p > 3$ . □

**Proposition 7.4.** *Let  $p$  be a prime and let*

$$\Gamma := \langle (1, \dots, p), (p+1, \dots, 2p) \rangle \leq S_p \times S_p \leq S_{2p}.$$

*Then the following hold.*

- (a)  $\text{III}^2(\Gamma, \Lambda_{2p}) = 0$ . *In particular, a lattice in the stable equivalence class  $\rho(\Lambda_{2p})$  is coflasque as a  $\Gamma$ -lattice.*
- (b) *If  $p$  is odd,  $\Lambda_{2p}$  is not quasi-permutation as a  $\Gamma$ -lattice and hence is not quasi-permutation as an  $S_p \times S_p$ -lattice.*

*Proof.* (a) The second statement follows from the first. Note that any proper subgroup of  $\Gamma$  is cyclic, so that by the claim  $\text{III}^2(S, \Lambda_{2p}) = 0$  for all subgroups  $S$  of  $\Gamma$ . Then if

$$0 \longrightarrow \Lambda_{2p} \longrightarrow Q \longrightarrow M \longrightarrow 0$$

is a flasque resolution of  $\Lambda_{2p}$  considered as an  $S$ -lattice, then  $H^1(S, M) = \text{III}^2(S, \Lambda_{2p}) = 0$  by Lemma 2.9.

To prove the first statement, we need to first compute  $H^1(\Gamma, \Lambda_{2p})$  and  $H^2(\Gamma, \Lambda_{2p})$ . We have  $H^1(\Gamma, \Lambda_{2p}) = H^{-1}(\Gamma, \mathbb{Z}\mathbf{A}_{2p-1})$  by duality. Then

$$H^{-1}(\Gamma, \mathbb{Z}\mathbf{A}_{2p-1}) = \text{Ker}_{\mathbb{Z}\mathbf{A}_{2p-1}}(N_\Gamma)/I_\Gamma\mathbb{Z}\mathbf{A}_{2p-1},$$

where  $N_\Gamma$  is the endomorphism  $l \mapsto \sum_{a \in \Gamma} al$ , and  $I_\Gamma$  is the augmentation ideal of  $\mathbb{Z}[\Gamma]$  ([Br]). We need to compute  $N_\Gamma$  on a basis for  $\mathbb{Z}\mathbf{A}_{2p-1}$ : we have  $N_\Gamma(\varepsilon_i - \varepsilon_{i+1}) = 0$  for  $i = 1, \dots, p-1, p+1, \dots, 2p-1$ , and  $N_\Gamma(\varepsilon_p - \varepsilon_{p+1}) = p(\varepsilon_1 + \dots + \varepsilon_p - \varepsilon_{p+1} - \dots - \varepsilon_{2p})$ . Then

$$\text{Ker } N_\Gamma = \text{Span}\{\varepsilon_1 - \varepsilon_2, \dots, \varepsilon_{p-1} - \varepsilon_p, \varepsilon_{p+1} - \varepsilon_{p+2}, \dots, \varepsilon_{2p-1} - \varepsilon_{2p}\}.$$

But  $I_\Gamma\mathbb{Z}\mathbf{A}_{2p-1} = \text{Ker } N_\Gamma$  as  $((1, \dots, p) - \text{id})(\varepsilon_{p+1} - \varepsilon_i) = \varepsilon_i - \varepsilon_{i+1}$ ,  $i = 1, \dots, p-1$ ,  $((p+1, \dots, 2p) - \text{id})(\varepsilon_1 - \varepsilon_i) = \varepsilon_i - \varepsilon_{i+1}$ ,  $i = p+1, \dots, 2p-1$ . This shows that  $H^1(\Gamma, \Lambda_{2p}) = H^{-1}(\Gamma, \mathbb{Z}\mathbf{A}_{2p-1}) = 0$ .

To determine  $H^2(\Gamma, \Lambda_{2p})$ , we use the restriction of the sequence

$$0 \longrightarrow \mathbb{Z} \longrightarrow \mathbb{Z}[\mathbf{S}_{2p}/\mathbf{S}_{2p-1}] \longrightarrow \Lambda_{2p} \longrightarrow 0$$

to  $\Gamma$ . Let

(7.5)

$$C_1 = \langle (1, \dots, p) \rangle, \quad C_2 = \langle (p+1, \dots, 2p) \rangle \quad \text{and} \quad P_1 = \mathbb{Z}[\Gamma/C_2], \quad P_2 = \mathbb{Z}[\Gamma/C_1].$$

Then we have the following exact sequence of  $\Gamma$ -lattices:

$$0 \longrightarrow \mathbb{Z} \longrightarrow P_1 \oplus P_2 \longrightarrow \Lambda_{2p} \longrightarrow 0.$$

Taking cohomology of this sequence, we get

$$\begin{aligned} 0 = H^1(\Gamma, \Lambda_{2p}) &\longrightarrow H^2(\Gamma, \mathbb{Z}) \longrightarrow H^2(\Gamma, P_1) \oplus H^2(\Gamma, P_2) \\ &\longrightarrow H^2(\Gamma, \Lambda_{2p}) \longrightarrow H^3(\Gamma, \mathbb{Z}) \longrightarrow H^3(\Gamma, P_1 \oplus P_2). \end{aligned}$$

But by Shapiro's Lemma, we have  $H^2(\Gamma, P_i) = H^2(\mathbb{Z}/p\mathbb{Z}, \mathbb{Z}) = \mathbb{Z}/p\mathbb{Z}$  and  $H^3(\Gamma, P_i) = H^3(\mathbb{Z}/p\mathbb{Z}, \mathbb{Z}) = 0$  for  $i = 1, 2$ . Also, by the Künneth formula, [Weib, p. 166],

$$\begin{aligned} H^n(\Gamma, \mathbb{Z}) &= \bigoplus_{i+j=n} H^i(\mathbb{Z}/p\mathbb{Z}, \mathbb{Z}) \otimes H^j(\mathbb{Z}/p\mathbb{Z}, \mathbb{Z}) \\ &\quad \oplus \bigoplus_{i+j=n+1} \text{Tor}_{\mathbb{Z}}^1(H^i(\mathbb{Z}/p\mathbb{Z}, \mathbb{Z}), H^j(\mathbb{Z}/p\mathbb{Z}, \mathbb{Z})), \end{aligned}$$

so that, in particular,  $H^3(\Gamma, \mathbb{Z}) = \mathbb{Z}/p\mathbb{Z}$  and  $H^2(\Gamma, \mathbb{Z}) = (\mathbb{Z}/p\mathbb{Z})^2$ . This all yields an exact sequence

$$0 \longrightarrow (\mathbb{Z}/p\mathbb{Z})^2 \longrightarrow (\mathbb{Z}/p\mathbb{Z})^2 \longrightarrow H^2(\Gamma, \Lambda_{2p}) \longrightarrow \mathbb{Z}/p\mathbb{Z} \longrightarrow 0,$$

and so  $H^2(\Gamma, \Lambda_{2p}) = \mathbb{Z}/p\mathbb{Z}$ .

To show that  $\text{III}^2(\Gamma, \Lambda_{2p}) = 0$ , it would suffice to find a cyclic subgroup  $C$  of  $\Gamma$  for which  $\text{Res}_C^\Gamma : H^2(\Gamma, \Lambda_{2p}) \rightarrow H^2(C, \Lambda_{2p})$  is injective.

Take  $C = C_1$ . Since  $H^1(\Gamma, \Lambda_{2p}) = 0$ , we have that the sequence

$$0 \longrightarrow H^2(\Gamma/C, \Lambda_{2p}^C) \xrightarrow{\text{Inf}} H^2(\Gamma, \Lambda_{2p}) \xrightarrow{\text{Res}} H^2(C, \Lambda_{2p})$$

is exact. So it suffices to show that  $H^2(\Gamma/C, \Lambda_{2p}^C) = 0$ .

The fundamental dominant weights for  $\Lambda_{2p}$  are

$$\varpi_t = \sum_{i=1}^t \varepsilon_i - \frac{t}{2p} \sum_{i=1}^{2p} \varepsilon_i, \quad t = 1, \dots, 2p - 1.$$

Let  $\nu_i = \varepsilon_i - \frac{1}{2p} \sum_{i=1}^{2p} \varepsilon_i$ ,  $i = 1, \dots, 2p$ . Note that

$$\nu_1 = \varpi_1, \quad \nu_t = \varpi_t - \varpi_{t-1}, \quad t = 2, \dots, 2p - 1, \quad \nu_{2p} = -\varpi_{2p-1}.$$

This shows that  $\nu_1, \dots, \nu_p, \varpi_{p+1}, \dots, \varpi_{2p-1}$  is another basis for  $\Lambda_{2p}$  and that

$$\Lambda_{2p}|_C = \bigoplus_{i=1}^p \mathbb{Z}\nu_i \oplus \bigoplus_{i=p+1}^{2p-1} \mathbb{Z}\varpi_i \simeq \mathbb{Z}[C] \oplus \mathbb{Z}^{p-1}.$$

This shows that

$$\Lambda_{2p}^C = \mathbb{Z}(\sum_{i=1}^p \nu_i) \oplus \bigoplus_{i=p+1}^{2p-1} \mathbb{Z}\varpi_i = \bigoplus_{i=p}^{2p-1} \mathbb{Z}\varpi_i = \bigoplus_{i=p+1}^{2p} \mathbb{Z}\nu_i.$$

But  $\Gamma/C$  permutes  $\nu_{p+1}, \dots, \nu_{2p}$  cyclically so that  $\Lambda_{2p}^C \simeq \mathbb{Z}[\Gamma/C]$ . This implies that  $H^2(\Gamma/C, \Lambda_{2p}^C) = 0$  as required.

(b) To prove that  $\Lambda_{2p}$  is not  $\Gamma$ -quasi-permutation, we will construct a coflasque  $\Gamma$ -resolution of  $\mathbb{Z}\mathbf{A}_{2p-1}$ . By duality, this will give us a flasque resolution of  $\Lambda_{2p}$ . We will then show that the lattice in the stable equivalence class  $\rho(\Lambda_{2p})$  is not permutation projective as a  $\Gamma$ -lattice.

As  $\alpha_1, \dots, \alpha_{p-1}$  and  $\alpha_{p+1}, \dots, \alpha_{2p-1}$  are the standard bases of the root subsystems of type  $\mathbf{A}_{p-1}$ , we denote the  $\Gamma$ -sublattice of  $\mathbb{Z}\mathbf{A}_{2p-1}$  generated by them simply by  $\mathbb{Z}\mathbf{A}_{p-1} \oplus \mathbb{Z}\mathbf{A}_{p-1}$ . Let  $\iota$  be its natural embedding into  $\mathbb{Z}\mathbf{A}_{2p-1}$ . It is easily seen that  $\alpha_p + \mathbb{Z}\mathbf{A}_{p-1} \oplus \mathbb{Z}\mathbf{A}_{p-1}$  is  $\Gamma$ -stable. This implies that there is an exact sequence of  $\Gamma$ -lattices

$$0 \longrightarrow \mathbb{Z}\mathbf{A}_{p-1} \oplus \mathbb{Z}\mathbf{A}_{p-1} \xrightarrow{\iota} \mathbb{Z}\mathbf{A}_{2p-1} \longrightarrow \mathbb{Z} \longrightarrow 0.$$

A coflasque resolution of the  $\Gamma$ -lattice  $\mathbb{Z}\mathbf{A}_{p-1} \oplus \mathbb{Z}\mathbf{A}_{p-1}$  is given by

$$0 \longrightarrow \mathbb{Z}^2 \longrightarrow P_1 \oplus P_2 \longrightarrow \mathbb{Z}\mathbf{A}_{p-1} \oplus \mathbb{Z}\mathbf{A}_{p-1} \longrightarrow 0$$

where  $P_1$  and  $P_2$  are defined by (7.5) and the generator of the  $\Gamma$ -lattice  $P_1$  (respectively,  $P_2$ ) is sent to  $\alpha_1$  (respectively,  $\alpha_{p+1}$ ).

We now extend  $\iota$  to a coflasque resolution of the  $\Gamma$ -lattice  $\mathbb{Z}\mathbf{A}_{2p-1}$ . Let

$$P_1 \oplus P_2 \oplus \mathbb{Z}[\Gamma] \oplus \mathbb{Z} \xrightarrow{\pi} \mathbb{Z}\mathbf{A}_{2p-1}$$

be a map of  $\Gamma$ -lattices where  $\pi_{P_1 \oplus P_2} = \iota$ ,  $\pi$  sends  $1 \in \mathbb{Z}[\Gamma]$  to  $\alpha_p$ , and  $\pi$  sends the  $1 \in \mathbb{Z}$  to  $\sum_{i=1}^p \varepsilon_i - \sum_{i=p+1}^{2p} \varepsilon_i = 2\varpi_p$ . It is easily verified that  $\pi$  is surjective (in fact  $\pi|_{\mathbb{Z}[\Gamma]}$  is surjective).

Let  $L = \text{Ker } \pi$ . To check that  $L$  is coflasque and hence that

$$0 \longrightarrow L \longrightarrow P_1 \oplus P_2 \oplus \mathbb{Z}[\Gamma] \oplus \mathbb{Z} \xrightarrow{\pi} \mathbb{Z}\mathbf{A}_{2p-1} \longrightarrow 0$$

is a coflasque resolution of  $\mathbb{Z}A_{2p-1}$ , we need only verify that for  $R := P_1 \oplus P_2 \oplus \mathbb{Z}[\Gamma] \oplus \mathbb{Z}$ , we have  $\pi(R^K) = (\mathbb{Z}A_{2p-1})^K$  for all subgroups  $K$  of  $\Gamma$ .

For  $K = \Gamma$  or a cyclic subgroup generated by a disjoint product of two  $p$ -cycles,  $(\mathbb{Z}A_{2p-1})^K = \mathbb{Z}2\varpi_p$  so that  $\pi(\mathbb{Z}^K) = \pi(\mathbb{Z}) = (\mathbb{Z}A_{2p-1})^K$  and so  $\pi(R^K) = (\mathbb{Z}A_{2p-1})^K$ .

The only other subgroups are  $C_1$  and  $C_2$ . As the arguments are similar, we just consider  $C_1$ : the lattice  $(\mathbb{Z}A_{2p-1})^{C_1}$  has basis  $2\varpi_p, \alpha_{p+1}, \dots, \alpha_{2p-1}$ , and we have  $\pi(\mathbb{Z}) = \mathbb{Z}2\varpi_2$  and  $\pi(P_2^{C_1}) = \pi(P_2) = \bigoplus_{i=p+1}^{2p-1} \mathbb{Z}\alpha_i$ . This shows that

$$0 \longrightarrow L \longrightarrow P_1 \oplus P_2 \oplus \mathbb{Z}[\Gamma] \oplus \mathbb{Z} \xrightarrow{\pi} \mathbb{Z}A_{2p-1} \longrightarrow 0$$

is a coflasque resolution. Dualizing, we obtain a flasque resolution for  $\Lambda_{2p}$ :

$$0 \longrightarrow \Lambda_{2p} \longrightarrow P_1 \oplus P_2 \oplus \mathbb{Z}[\Gamma] \oplus \mathbb{Z} \longrightarrow L^* \longrightarrow 0.$$

We have  $H^1(\Gamma, L^*) = \text{III}^2(\Gamma, \Lambda_{2p}) = 0$ . This shows that  $L$  is flasque and coflasque as a  $\Gamma$ -lattice.

We have the following commutative diagram with exact rows and columns:

$$(7.6) \quad \begin{array}{ccccccccc} & & 0 & & 0 & & 0 & & \\ & & \downarrow & & \downarrow & & \downarrow & & \\ 0 & \longrightarrow & \mathbb{Z}^2 & \longrightarrow & P_1 \oplus P_2 & \xrightarrow{\iota} & \mathbb{Z}A_{p-1} \oplus \mathbb{Z}A_{p-1} & \longrightarrow & 0 \\ & & \downarrow & & \downarrow & & \downarrow & & \\ 0 & \longrightarrow & L & \longrightarrow & P_1 \oplus P_2 \oplus \mathbb{Z}[\Gamma] \oplus \mathbb{Z} & \xrightarrow{\pi} & \mathbb{Z}A_{2p-1} & \longrightarrow & 0 \\ & & \downarrow & & \downarrow & & \downarrow & & \\ 0 & \longrightarrow & U(p) & \longrightarrow & \mathbb{Z}[\Gamma] \oplus \mathbb{Z} & \xrightarrow{\theta} & \mathbb{Z} & \longrightarrow & 0 \\ & & \downarrow & & \downarrow & & \downarrow & & \\ & & 0 & & 0 & & 0 & & \end{array}$$

where  $U(p)$  is the kernel of the induced map  $\theta$ . Now  $2\varpi_p = \sum_{i=1}^{p-1} i(\alpha_i + \alpha_{2p-i}) + p\alpha_p$ . So  $\theta$  sends  $1 \in \mathbb{Z}[\Gamma]$  to  $\overline{\alpha_p}$  and sends  $1 \in \mathbb{Z}$  to  $p\overline{\alpha_p}$ . This shows that

$$\{(h-1, 0) \mid h \in \Gamma\} \cup \{(-p, 1)\}$$

is a  $\mathbb{Z}$ -basis for  $U(p)$ . Note that  $U(p)$  also satisfies

$$0 \longrightarrow U(p) \longrightarrow \mathbb{Z}[\Gamma] \longrightarrow \mathbb{Z}/p\mathbb{Z},$$

so that  $\mathbb{Q}U(p) \simeq \mathbb{Q}[\Gamma]$ .

From the above diagram, we then see that  $\mathbb{Q}L \simeq \mathbb{Q}[\Gamma] \oplus \mathbb{Q}^2$ . By [CW, Lemmas 2 and 3], to determine whether or not  $L$  is permutation projective is equivalent to checking whether  $\mathbb{F}_p L$  is a permutation module for  $\mathbb{F}_p[\Gamma]$ .

Tensoring the diagram (7.6) with  $\mathbb{F}_p$  leaves it exact so we have the following commutative diagram with exact rows and columns:

$$\begin{array}{ccccccc}
 & & 0 & & 0 & & 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 0 & \longrightarrow & \mathbb{F}_p^2 & \longrightarrow & \mathbb{F}_p P_1 \oplus \mathbb{F}_p P_2 & \xrightarrow{\text{id} \otimes \iota} & \mathbb{F}_p A_{p-1} \oplus \mathbb{F}_p A_{p-1} \longrightarrow 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 0 & \longrightarrow & \mathbb{F}_p L & \longrightarrow & \mathbb{F}_p P_1 \oplus \mathbb{F}_p P_2 \oplus \mathbb{F}_p[\Gamma] \oplus \mathbb{F}_p & \xrightarrow{\text{id} \otimes \pi} & \mathbb{F}_p A_{2p-1} \longrightarrow 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 0 & \longrightarrow & \mathbb{F}_p U(p) & \longrightarrow & \mathbb{F}_p[\Gamma] \oplus \mathbb{F}_p & \xrightarrow{\text{id} \otimes \theta} & \mathbb{F}_p \longrightarrow 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 & & 0 & & 0 & & 0
 \end{array}$$

Suppose that  $\mathbb{F}_p L$  is permutation. Then since  $L$  is coflasque, the sequence

$$0 \longrightarrow L^\Gamma \xrightarrow{p} L^\Gamma \longrightarrow (L/pL)^\Gamma \longrightarrow 0$$

is exact so that  $(\mathbb{F}_p L)^\Gamma = L^\Gamma/pL^\Gamma$ . Since  $\mathbb{Q}[L] \simeq \mathbb{Q}[\Gamma] \oplus \mathbb{Q}^2$ ,  $\text{rank } L^\Gamma = 3$ . But then  $\dim_{\mathbb{F}_p} (\mathbb{F}_p L)^\Gamma = 3$ . This means that  $\mathbb{F}_p L$  must then have three transitive components. Since  $\text{rank } L = p^2 + 2$  and  $p > 2$ , this means that  $\mathbb{F}_p L = \mathbb{F}_p[\Gamma] \oplus \mathbb{F}_p^2$ .

Looking at the  $\mathbb{Z}$ -basis for  $U(p)$  given above, it is clear that  $\mathbb{F}_p U(p) \simeq \mathbb{F}_p \oplus \mathbb{F}_p I_\Gamma$  where  $\mathbb{F}_p I_\Gamma$  is the augmentation ideal of  $\mathbb{F}_p[\Gamma]$ . Then the left column of the last commutative diagram implies that we have a surjective map  $\mathbb{F}_p[\Gamma] \oplus \mathbb{F}_p^2 \rightarrow \mathbb{F}_p \oplus \mathbb{F}_p I_\Gamma$ . Since  $(\mathbb{F}_p I_\Gamma)^\Gamma = 0$ , this would imply that we have a surjective map  $\mathbb{F}_p[\Gamma] \rightarrow \mathbb{F}_p I_\Gamma$  or equivalently that  $\mathbb{F}_p I_\Gamma$  is a cyclic  $\mathbb{F}_p[\Gamma]$ -module. But since  $\Gamma$  is a finite  $p$ -group,  $\mathbb{F}_p[\Gamma]$  is a local ring with unique maximal ideal  $\mathbb{F}_p I_\Gamma$  by [Car, Corollary 1.4]. Then Nakayama’s Lemma implies that  $\mathbb{F}_p I_\Gamma$  is a cyclic  $\mathbb{F}_p[\Gamma]$ -module if and only if  $\mathbb{F}_p I_\Gamma / (\mathbb{F}_p I_\Gamma)^2$  is generated by one element over  $\mathbb{F}_p$ . Since  $\Gamma \simeq \mathbb{Z}/p\mathbb{Z} \times \mathbb{Z}/p\mathbb{Z}$ , we may use the Künneth formula to show that

$$\mathbb{F}_p I_\Gamma / \mathbb{F}_p I_\Gamma^2 = H_1(\Gamma, \mathbb{F}_p) \cong H_1(\mathbb{Z}/p\mathbb{Z}, \mathbb{F}_p)^2 \cong \mathbb{F}_p^2.$$

Alternatively, for any  $p$ -group  $H$ , we may show that

$$\mathbb{F}_p I_H / \mathbb{F}_p I_H^2 \longrightarrow H/H^p[H, H], \quad \overline{h-1} \mapsto \bar{h}$$

is a group isomorphism so that, in our case, we again have

$$\mathbb{F}_p I_\Gamma / \mathbb{F}_p I_\Gamma^2 \cong \mathbb{F}_p^2.$$

Then the above discussion shows that  $\mathbb{F}_p I_\Gamma$  is not a cyclic  $\mathbb{F}_p[\Gamma]$  module so that there is no surjective map from  $\mathbb{F}_p[\Gamma]$  to  $\mathbb{F}_p I_\Gamma$ . This implies that  $\mathbb{F}_p L$  is not permutation and hence  $L$  is not permutation projective as a  $\mathbb{Z}[\Gamma]$ -module. This implies in turn that  $\Lambda_{2p}$  is not quasi-permutation as a  $\Gamma$ -lattice or as an  $S_p \times S_p$ -lattice.  $\square$

*Remark 7.7.* Note that this argument fails for  $p = 2$ . Indeed, we showed that  $\text{rank } L = p^2 + 2$  and if  $\mathbb{F}_p L$  were permutation, it would have three transitive components. For  $p > 2$ , we used these facts to conclude that  $\mathbb{F}_p L = \mathbb{F}_p[\Gamma] \oplus \mathbb{F}_p^2$ . For  $p = 2$ , this is not so; here  $\mathbb{F}_2 L$  may have three permutation components, each of rank 2. Indeed, if  $\Gamma = \langle g, h \rangle \simeq \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$ , then one can define a surjective  $\mathbb{F}_2[\Gamma]$ -homomorphism

$$\mathbb{F}_2[\Gamma/\langle g \rangle] \oplus \mathbb{F}_2[\Gamma/\langle h \rangle] \oplus \mathbb{F}_2[\Gamma/\langle gh \rangle] \rightarrow \mathbb{F}_2 I_\Gamma \oplus \mathbb{F}_2$$



by sending the generator of the first component to  $(1 + g, 0)$ , the generator of the second component to  $(1 + h, 0)$ , and that of the third component to  $(0, 1)$ .

In fact, by Proposition 7.1, we see that  $Q_4(2)|_\Gamma \sim \Lambda_4|_\Gamma$ . Since  $Q_4(2)$  is the character lattice of the Cayley group  $\mathbf{SL}_4/\mu_2 \simeq \mathbf{SO}_6$ , by Theorem 1.27 it must be quasi-permutation as an  $S_4$ -lattice and hence as a  $\Gamma$ -lattice. Alternatively, one could show directly that  $Q_4(2)$  is a sign-permutation  $S_4$ -lattice and hence it is quasi-permutation.

**7.3. Completion of the proof of Proposition 5.1.** It now suffices to prove the following proposition to complete the proof of Proposition 5.1:

**Proposition 7.8.** *Suppose  $n/d$  is divisible by a prime  $p$ .*

- (a) *If  $p > 2$ , then the  $S_n$ -lattice  $Q_n(d)$  is not quasi-permutation.*
- (b) *If  $n > p^2$ , then the  $S_n$ -lattice  $Q_n(d)$  is not quasi-permutation.*

Indeed, by part (a), the  $S_n$ -lattice  $Q_n(d)$  is not quasi-permutation if the prime factorization of  $n/d$  includes a prime larger than 2. On the other hand, if  $n/d = 2^k$ , then, by part (b), the  $S_n$ -lattice  $Q_n(d)$  is not quasi-permutation, for any  $(n, d) \neq (4, 2)$ , and Proposition 5.1 follows.

*Proof.* (a) Proposition 7.1 shows that  $Q_n(d)|_{Y_p}$  is equivalent to  $\Lambda_{2p}|_{S_p \times S_p}$  which is not quasi-permutation by Proposition 7.4. Thus  $Q_n(d)$  is not quasi-permutation as a  $Y_p$ -lattice and hence as an  $S_n$ -lattice as well.

(b) We have  $n = tp$  with  $t > p$ . Following the proof of Proposition 4.1(i) in [LL], we define a subgroup  $\Gamma \simeq \mathbb{Z}/p\mathbb{Z} \times \mathbb{Z}/p\mathbb{Z}$  of  $S_n$  as follows. Arrange the numbers from 1 to  $n$  into a rectangular table with  $p$  columns and  $t$  rows, so that the first row is  $1, \dots, p$ , the second row is  $p + 1, \dots, 2p$ , etc. Let  $\sigma_i$  be the  $p$ -cycle that cyclically permutes the  $i$ th row and leaves elements of all other rows fixed. Note that  $\sigma_1, \dots, \sigma_t$  are commuting  $p$ -cycles; explicitly

$$\sigma_i = ((i - 1)p + 1, (i - 1)p + 2, \dots, ip).$$

We now set  $\Gamma := \langle \alpha, \beta \rangle$ , where

$$\alpha := \prod_{i=1}^{t-1} \sigma_i \quad \text{and} \quad \beta := \prod_{i=1}^{p-1} \sigma_i^{-i} \cdot \prod_{i=p+1}^t \sigma_i.$$

The subgroup  $\Gamma$  has orbits  $\mathcal{O}_i = \{(i - 1)p + 1, (i - 1)p + 2, \dots, ip\}$ ,  $i = 1, \dots, t$ , all of length  $p$  and every cyclic subgroup  $C$  of  $\Gamma$  has fixed points. This means that by Lemma 6.8

$$H^1(\Gamma, \mathbb{Z}A_{n-1}) \simeq \mathbb{Z}/p\mathbb{Z} \quad \text{but} \quad H^1(C, \mathbb{Z}A_{n-1}) = 0.$$

Also by Lemma 6.11, we find that

$$H^1(C, Q_n(n/d)) = 0.$$

Then Lemma 6.10 and the fact that  $p$  divides  $n/d$  show that  $\mathbb{Z}/d\mathbb{Z} \xrightarrow{\partial} H^1(\Gamma, \mathbb{Z}A_{n-1})$  is the zero map. The following commutative diagram

$$\begin{array}{ccccc} \mathbb{Z}/d\mathbb{Z} & \xrightarrow{0} & H^1(\Gamma, \mathbb{Z}A_{n-1}) & \longrightarrow & H^1(\Gamma, Q_n(n/d)) \\ \downarrow \text{Res} & & \downarrow \text{Res} & & \downarrow \text{Res} \\ \prod_{a \in \Gamma} \mathbb{Z}/d\mathbb{Z} & \xrightarrow{0} & \prod_{a \in \Gamma} H^1(\langle a \rangle, \mathbb{Z}A_{n-1}) = 0 & \longrightarrow & \prod_{a \in \Gamma} H^1(\langle a \rangle, Q_n(n/d)) = 0 \end{array}$$

shows that

$$\mathbb{Z}/p\mathbb{Z} \simeq \text{III}^1(\Gamma, \mathbb{Z}A_{n-1}) \leq \text{III}^1(\Gamma, Q_n(n/d)).$$

Now if  $M$  were a flasque lattice with  $\rho(Q_n(d)) =$  the stable equivalence class of  $M$ , then  $M^*$  is a coflasque lattice satisfying

$$0 \longrightarrow M^* \longrightarrow P \longrightarrow Q_n(n/d) \longrightarrow 0,$$

so that by Lemma 2.9(a),  $\text{III}^2(\Gamma, M^*) \simeq \text{III}^1(\Gamma, Q_n(n/d)) \neq 0$ . Lemma 2.9(c) now shows that  $M^*$  cannot be a direct summand of a quasi-permutation lattice and hence is not stably permutation. This implies that  $M$  cannot be stably permutation and so  $Q_n(d)$  cannot be quasi-permutation.  $\square$

8. STABLY CAYLEY GROUPS OF TYPE  $D_n$

8.1. **Root system of type  $D_n$ .** Let  $\varepsilon_1, \dots, \varepsilon_n$  be the same as in Subsection 6.1. The root system of type  $D_n$  is the set

$$D_n = \{\pm\varepsilon_i \pm \varepsilon_j \mid 1 \leq i < j \leq n\}.$$

It has a base  $\alpha_1, \dots, \alpha_n$ , where  $\alpha_1, \dots, \alpha_{n-1}$  are given by (6.2) and  $\alpha_n = \varepsilon_{n-1} + \varepsilon_n$ . The fundamental dominant weights of  $D_n$  with respect to this base are  $\varpi_i = \varepsilon_1 + \dots + \varepsilon_i$  for  $i = 1, \dots, n - 2$ ,

$$\varpi_{n-1} = \frac{1}{2} \sum_{i=1}^{n-1} \varepsilon_i - \frac{1}{2} \varepsilon_n \quad \text{and} \quad \varpi_n = \frac{1}{2} \sum_{i=1}^{n-1} \varepsilon_i + \frac{1}{2} \varepsilon_n.$$

The Weyl group  $W(D_n)$  of  $D_n$  is  $(\mathbb{Z}/2\mathbb{Z})^{n-1} \times S_n$ , where  $(\mathbb{Z}/2\mathbb{Z})^{n-1}$  consists of all even numbers of sign changes on  $\{\varepsilon_1, \dots, \varepsilon_n\}$  and  $S_n$  acts via (6.1). The root and weight  $W(D_n)$ -lattices of  $D_n$  are, respectively,  $\mathbb{Z}D_n$  and  $\Lambda(D_n) := \mathbb{Z}\varpi_1 \oplus \dots \oplus \mathbb{Z}\varpi_n$ .

8.2. **Lattices  $Y_{2m}$  and  $Z_{2m}$ .** As we explained in Section 5, we are interested in the case where  $n$  is even,  $n = 2m$ ,  $m > 2$ . There are precisely the following three lattices between  $\Lambda(D_{2m})$  and  $\mathbb{Z}D_{2m}$ :

$$(8.1) \quad X_{2m} := \mathbb{Z}D_{2m} + \mathbb{Z}\varpi_1, \quad Y_{2m} := \mathbb{Z}D_{2m} + \mathbb{Z}\varpi_{2m-1}, \quad \text{and} \quad Z_{2m} := \mathbb{Z}D_{2m} + \mathbb{Z}\varpi_{2m}.$$

The character lattice of  $\mathbf{Spin}_{4m}^{1/2}$  (see Section 5) is isomorphic to either of the lattices  $Y_{2m}$  and  $Z_{2m}$  while  $X_{2m}$  is isomorphic to the character lattice of  $\mathbf{SO}_{4m}$ . Note that  $\varepsilon_1, \dots, \varepsilon_n$  is the sign-permutation basis for  $X_{2m}$ ; this is consistent with the fact that  $\mathbf{SO}_{4m}$  is Cayley; see Theorem 1.27(a). Also note that

$$\left\{ \frac{1}{2}(\varepsilon_1 + \varepsilon_2 + \varepsilon_3 - \varepsilon_4), \frac{1}{2}(\varepsilon_1 + \varepsilon_2 - \varepsilon_3 + \varepsilon_4), \frac{1}{2}(\varepsilon_1 - \varepsilon_2 + \varepsilon_3 + \varepsilon_4), \frac{1}{2}(-\varepsilon_1 + \varepsilon_2 + \varepsilon_3 + \varepsilon_4) \right\}$$

is the sign-permutation basis for  $Y_4$ , and

$$\left\{ \frac{1}{2}(\varepsilon_1 + \varepsilon_2 + \varepsilon_3 + \varepsilon_4), \frac{1}{2}(\varepsilon_1 + \varepsilon_2 - \varepsilon_3 - \varepsilon_4), \frac{1}{2}(\varepsilon_1 - \varepsilon_2 + \varepsilon_3 - \varepsilon_4), \frac{1}{2}(-\varepsilon_1 + \varepsilon_2 + \varepsilon_3 - \varepsilon_4) \right\}$$

is that for  $Z_4$ ; this is consistent with the fact that  $\mathbf{Spin}_8^{1/2}$  is Cayley (see Section 5).

Our goal is to prove Proposition 5.2. In view of the aforesaid, this is equivalent to proving the following.

**Proposition 8.2.** *The  $W(D_{2m})$ -lattices  $Y_{2m}$  and  $Z_{2m}$  are not quasi-permutation for any  $m > 2$ .*

*Proof.* For the subgroup  $S_{2m}$  of  $W(D_{2m})$  acting by (6.1), we consider the  $S_{2m}$ -lattices  $Y_{2m}|_{S_{2m}}$  and  $Z_{2m}|_{S_{2m}}$  and compare them to the  $S_{2m}$ -lattice  $Q_{2m}(m)$  defined by (6.4) and (6.3),

$$(8.3) \quad Q_{2m}(m) = \mathbb{Z}\alpha_1 + \dots + \mathbb{Z}\alpha_{2m-1} + \mathbb{Z}\beta, \quad \text{where} \quad \beta := m\varepsilon_1 - \frac{1}{2} \sum_{i=1}^{2m} \varepsilon_i,$$

that is isomorphic to the character lattice of  $\mathbf{SL}_{2m}/\mu_m$ ; see Subsection 6.1.

First we observe that

$$\alpha_1, \dots, \alpha_{2m-2}, \gamma, \varepsilon_{2m-2} + \varepsilon_{2m-1}, \quad \text{where } \gamma := \frac{1}{2} \sum_{i=1}^m \varepsilon_i - \frac{1}{2} \sum_{i=m+1}^{2m} \varepsilon_i,$$

is a basis for  $Y_{2m}$  if  $m$  is odd and for  $Z_{2m}$  if  $m$  is even. Since  $\alpha_1, \dots, \alpha_{2m-2}, \varepsilon_{2m-2} + \varepsilon_{2m-1}$  is a basis for  $\mathbb{Z}D_{2m-1}$ , (8.1) implies that proving this claim is equivalent to proving the equality

$$(8.4) \quad \mathbb{Z}D_{2m-1} + \mathbb{Z}\gamma = \begin{cases} \mathbb{Z}D_{2m} + \mathbb{Z}\varpi_{2m-1} & \text{if } m \text{ is odd,} \\ \mathbb{Z}D_{2m} + \mathbb{Z}\varpi_{2m} & \text{if } m \text{ is even.} \end{cases}$$

Note that

$$\begin{aligned} \varpi_{2m-1} - \gamma &= \sum_{i=m+1}^{2m-1} \varepsilon_i \in \mathbb{Z}D_{2m-1} & \text{if } m \text{ is odd,} \\ \varpi_{2m} + \gamma &= \sum_{i=1}^m \varepsilon_i \in \mathbb{Z}D_{2m-1} & \text{if } m \text{ is even.} \end{aligned}$$

Therefore proving (8.4) is equivalent to proving the inclusion

$$\mathbb{Z}D_{2m} \subseteq \mathbb{Z}D_{2m-1} + \mathbb{Z}\gamma,$$

which in turn is equivalent to proving the inclusions

$$\varepsilon_{2m-1} \pm \varepsilon_{2m} \in \mathbb{Z}D_{2m-1} + \mathbb{Z}\gamma.$$

Finally, the last inclusions indeed hold as we have

$$\begin{aligned} 2\gamma + (\varepsilon_{2m-1} + \varepsilon_{2m}) &= \sum_{i=1}^m \varepsilon_i - \sum_{i=m+1}^{2m-2} \varepsilon_i \in \mathbb{Z}D_{2m-1}, \\ 2\gamma - (\varepsilon_{2m-1} - \varepsilon_{2m}) &= \sum_{i=1}^{m-1} (\varepsilon_i - \varepsilon_{m+i}) + (\varepsilon_m - \varepsilon_{2m-1}) \in \mathbb{Z}D_{2m-1}. \end{aligned}$$

Thus the claim is proved.

Furthermore, the easily checked equalities

$$\begin{aligned} \beta &= \gamma + \sum_{i=1}^{m-1} (m-i)\alpha_i, \\ \alpha_{2m-1} &= 2\gamma - \sum_{i=1}^m i\alpha_i - \sum_{i=1}^{m-2} (m-i)\alpha_{m+i}, \end{aligned}$$

and (8.3) imply that  $\alpha_1, \dots, \alpha_{2m-2}, \gamma$  is a  $\mathbb{Z}$ -basis for  $Q_{2m}(m)$ .

We thus obtain the following exact sequences of  $S_{2m}$ -lattices:

$$0 \longrightarrow Q_{2m}(m) \longrightarrow Y_{2m}|_{S_{2m}} \longrightarrow \mathbb{Z} \longrightarrow 0$$

if  $m$  is odd and

$$0 \longrightarrow Q_{2m}(m) \longrightarrow Z_{2m}|_{S_{2m}} \longrightarrow \mathbb{Z} \longrightarrow 0$$

if  $m$  is even. Here the  $S_{2m}$ -lattice  $\mathbb{Z}$  is generated by  $\varepsilon_{2m-2} + \varepsilon_{2m-1}$  modulo  $Q_{2m}(m)$ . We claim that the  $S_{2m}$ -action on this lattice is trivial. Indeed, on the one hand, the alternating subgroup of  $S_{2m}$  has to act on this lattice trivially because it has no non-trivial one-dimensional representations. On the other hand, as  $m > 2$ , the transposition  $(1, 2)$  acts trivially on  $\varepsilon_{2m-2} + \varepsilon_{2m-1}$ . Since the alternating subgroup and the transposition  $(1, 2)$  generate  $S_{2m}$ , this proves the claim.

The above exact sequences thus tell us that  $Y_{2m}|_{S_{2m}} \sim Q_{2m}(m)$  if  $m$  is odd and  $Z_{2m}|_{S_{2m}} \sim Q_{2m}(m)$  if  $m$  is even. By Proposition 5.1, the  $S_{2m}$ -lattice  $Q_{2m}(m)$  is not quasi-permutation for any  $m > 2$ . Thus for  $m > 2$ , the  $W(D_{2m})$ -lattice  $Y_{2m}$  is not quasi-permutation if  $m$  is odd, and the  $W(D_{2m})$ -lattice  $Z_{2m}$  is not quasi-permutation if  $m$  is even, as their restrictions to  $S_{2m}$  are not quasi-permutation. Since  $Y_{2m} \simeq Z_{2m}$  as  $W(D_{2m})$ -lattices, this completes the proof.  $\square$

9. WHICH STABLY CAYLEY GROUPS ARE CAYLEY?

In this section we will prove Theorem 1.31. The groups  $G = \mathbf{SO}_n, \mathbf{Sp}_{2n},$  and  $\mathbf{PGL}_n$  are shown to be Cayley in Examples 1.16 and 1.11. It thus remains to consider  $\mathbf{SL}_3$  and  $\mathbf{G}_2$ .

9.1. The group  $\mathbf{SL}_3$ .

**Proposition 9.1.** *The group  $\mathbf{SL}_3$  is Cayley.*

The proof below is based on analysis of the explicit formulas in [Vos, 4.9] and the geometric ideas of the proof of Proposition 9.1 given in [Pop2]. We present it in a form that will also help us prove that  $\mathbf{G}_2 \times \mathbf{G}_m^2$  is Cayley; see Proposition 9.11 below. On the other hand, the spirit of the arguments in [Pop2] is close to that in [Isk4]. Since [Isk4] is the main ingredient we will use in showing that  $\mathbf{G}_2$  is not Cayley, see Lemma 9.9 and Proposition 9.10 below, we will give an outline of the proof of Proposition 9.1 from [Pop2] in the Appendix.

*Proof.* The Weyl group  $W$  of  $\mathbf{SL}_3$  is  $S_3$ . Consider the following subalgebra  $D$  of  $\text{Mat}_{3 \times 3}$ :

$$(9.2) \quad D := \{\text{diag}(a_1, a_2, a_3) \in \text{Mat}_{3 \times 3} \mid a_i \in k\}$$

and the action of  $S_3$  on  $D$  given by

$$(9.3) \quad \sigma(\text{diag}(a_1, a_2, a_3)) := \text{diag}(a_{\sigma(1)}, a_{\sigma(2)}, a_{\sigma(3)}) \quad \text{where } \sigma \in S_3.$$

The  $S_3$ -stable subvarieties

$$(9.4) \quad T = \{X \in D \mid \det X = 1\} \quad \text{and} \quad \mathfrak{t} = \{Y \in D \mid \text{tr} Y = 0\}$$

are, respectively, the maximal torus of  $\mathbf{SL}_3$  and its Lie algebra, considered as  $W$ -varieties. By the Corollary of Lemma 3.5, it suffices to show that  $T$  and  $\mathfrak{t}$  are birationally  $S_3$ -isomorphic.

Let  $D \setminus \{0\} \rightarrow \mathbb{P}(D), X \mapsto [X]$ , be the natural projection. Denote by  $\mathbb{P}_{S_3\text{-natural}}^2$  and  $\mathbb{P}_{S_3\text{-twisted}}^2$  the projective plane  $\mathbb{P}(D)$  endowed, respectively, with the natural and “twisted” rational actions of  $S_3$  given by

$$\sigma([X]) := [\sigma(X)] \quad \text{and} \quad \sigma([X]) := [\sigma(X)^{\text{sign } \sigma}], \quad \text{where } \sigma \in S_3, X \in D.$$

Let  $\pi : \mathbf{SL}_3 \rightarrow \mathbf{PGL}_3$  be the natural projection. Since  $d_e \pi$  is an isomorphism between the Lie algebras of  $\mathbf{SL}_3$  and  $\mathbf{PGL}_3$  and since  $\mathbf{PGL}_3$  is a Cayley group, see Example 1.11, the Corollary of Lemma 3.5 tells us that  $\mathfrak{t}$  is birationally  $S_3$ -isomorphic to the maximal torus  $\pi(T)$  of  $\mathbf{PGL}_3$ . In turn, we have the following birational  $S_3$ -isomorphisms of  $S_3$ -varieties:

$$\begin{aligned} \pi(T) &\xrightarrow{\sim} \mathbb{P}_{S_3\text{-natural}}^2, & \pi(X) &\mapsto [X], \\ \mathbb{P}_{S_3\text{-twisted}}^2 &\xrightarrow{\sim} T, & [\text{diag}(a_1, a_2, a_3)] &\mapsto \text{diag}(a_2/a_3, a_3/a_1, a_1/a_2). \end{aligned}$$

Thus we only need to show that  $\mathbb{P}_{S_3\text{-natural}}^2$  and  $\mathbb{P}_{S_3\text{-twisted}}^2$  are birationally  $S_3$ -isomorphic. We shall establish this in three steps.

*Step 1.* Consider the action of  $S_3$  on  $\mathfrak{t} \times \mathfrak{t}$  given by

$$(9.5) \quad \sigma(Y, Z) := \begin{cases} (\sigma(Y), \sigma(Z)) & \text{if } \sigma \text{ is even,} \\ (\sigma(Z), \sigma(Y)) & \text{if } \sigma \text{ is odd,} \end{cases} \quad \text{where } \sigma \in S_3, Y, Z \in \mathfrak{t}.$$

It determines the action of  $S_3$  on the surface  $\mathbb{P}(\mathfrak{t}) \times \mathbb{P}(\mathfrak{t})$ . Denote resulting  $S_3$ -surface by  $(\mathbb{P}(\mathfrak{t}) \times \mathbb{P}(\mathfrak{t}))_{S_3\text{-twisted}}$ .

We claim that the  $S_3$ -varieties  $\mathbb{P}_{S_3\text{-twisted}}^2$  and  $(\mathbb{P}(\mathfrak{t}) \times \mathbb{P}(\mathfrak{t}))_{S_3\text{-twisted}}$  are birationally  $S_3$ -isomorphic. Indeed, it is immediately seen that the rational map

$$\varphi : \mathbb{P}_{S_3\text{-twisted}}^2 \dashrightarrow (\mathbb{P}(\mathfrak{t}) \times \mathbb{P}(\mathfrak{t}))_{S_3\text{-twisted}}, \quad [X] \mapsto \left( \left[ X - \frac{\text{tr}(X)}{3} I_3 \right], \left[ X^{-1} - \frac{\text{tr}(X^{-1})}{3} I_3 \right] \right),$$

is  $S_3$ -equivariant and we shall now construct a rational map inverse to  $\varphi$ . Note that for  $Y, Z \in \mathfrak{t}$  in general position,  $Y, Z, I_3$  form a basis of the vector space  $D$ . Thus there are unique  $\alpha, \beta, \gamma \in k$  such that

$$\alpha Z + \beta Y + \gamma I = -YZ.$$

Note that  $\alpha, \beta$ , and  $\gamma$  are, in fact, bihomogeneous rational functions of  $Y$  and  $Z$  of bidegree  $(1, 0)$ ,  $(0, 1)$ , and  $(1, 1)$ , respectively. We now consider the map

$$\psi : (\mathbb{P}(\mathfrak{t}) \times \mathbb{P}(\mathfrak{t}))_{S_3\text{-twisted}} \dashrightarrow \mathbb{P}_{S_3\text{-twisted}}^2, \quad ([Y], [Z]) \mapsto [Y + \alpha I_3].$$

To compute  $\psi \circ \varphi$ , note that if  $Y = X - \frac{\text{tr}(X)}{3} I_3$  and  $Z = X^{-1} - \frac{\text{tr}(X^{-1})}{3} I_3$ , then expanding

$$I_3 = \left( Y + \frac{\text{tr}(X)}{3} I_3 \right) \left( Z + \frac{\text{tr}(X^{-1})}{3} I_3 \right),$$

we see that  $\alpha = \frac{\text{tr}(X)}{3}$  and thus  $\psi([Y], [Z]) = [X]$ . Thus  $\psi \circ \varphi = \text{id}$ , and hence  $\varphi$  is a birational  $S_3$ -isomorphism.

*Step 2.* We now consider the linear action of  $S_3$  on  $\mathfrak{t} \otimes \mathfrak{t}$  determined by the action (9.5) and the corresponding action of  $S_3$  on  $\mathbb{P}(\mathfrak{t} \otimes \mathfrak{t})$ . Then the Segre embedding

$$(\mathbb{P}(\mathfrak{t}) \times \mathbb{P}(\mathfrak{t}))_{S_3\text{-twisted}} \hookrightarrow \mathbb{P}(\mathfrak{t} \otimes \mathfrak{t})$$

is  $S_3$ -equivariant. Its image is a quadric  $Q$  in  $\mathbb{P}(\mathfrak{t} \otimes \mathfrak{t})$  described as follows. Choose a basis  $D_1 := \text{diag}(1, \zeta, \zeta^2)$ ,  $D_2 := \text{diag}(1, \zeta^2, \zeta)$  of  $\mathfrak{t}$ , where  $\zeta$  is a primitive cube root of unity. Set  $D_{ij} = D_i \otimes D_j$ . Then

$$(9.6) \quad Q = \{ (\alpha_{11} : \alpha_{12} : \alpha_{21} : \alpha_{22}) \mid \alpha_{11}\alpha_{22} = \alpha_{12}\alpha_{21} \},$$

where  $(\alpha_{11} : \alpha_{12} : \alpha_{21} : \alpha_{22})$  is the point of  $\mathbb{P}(\mathfrak{t} \otimes \mathfrak{t})$  corresponding to  $\alpha_{11}D_{11} + \alpha_{12}D_{12} + \alpha_{21}D_{21} + \alpha_{22}D_{22} \in \mathfrak{t} \otimes \mathfrak{t}$ .

*Step 3.* Decomposing  $\mathfrak{t} \otimes \mathfrak{t}$  as a sum of  $S_3$ -submodules, we obtain

$$(9.7) \quad \mathfrak{t} \otimes \mathfrak{t} = V_1 \oplus V_2 \oplus V_3,$$

where  $V_1 = kD_{11} + kD_{22}$  is a simple 2-dimensional submodule and  $V_2 = kD_{12}$ ,  $V_3 = kD_{21}$  are trivial 1-dimensional submodules. Since the  $S_3$ -fixed point  $(0 : 0 : 1 : 0) \in \mathbb{P}(\mathfrak{t} \otimes \mathfrak{t})$  corresponding to  $V_3$  lies on  $Q$ , the stereographic projection  $Q \dashrightarrow \mathbb{P}(V_1 \oplus V_2)$  from this point is a birational  $S_3$ -isomorphism.

Finally, the  $S_3$ -module  $D$  is isomorphic to  $V_1 \oplus V_2$ . Hence  $\mathbb{P}(V_1 \oplus V_2)$  and  $\mathbb{P}_{S_3\text{-natural}}^2$  are  $S_3$ -isomorphic.

To sum up, we have established the existence of the following birational  $S_3$ -isomorphisms:

$$\mathbb{P}_{S_3\text{-twisted}}^2 \xrightarrow{\text{Step 1}} (\mathbb{P}(\mathfrak{t}) \times \mathbb{P}(\mathfrak{t}))_{S_3\text{-twisted}} \xrightarrow{\text{Step 2}} Q \xrightarrow{\text{Step 3}} \mathbb{P}_{S_3\text{-natural}}^2.$$

This completes the proof of Proposition 9.1. □

**9.2. The group  $G_2$ .** The Weyl group of  $G_2$  is the dihedral group  $S_3 \times S_2$  of order 12. The maximal torus of  $G_2$  and its Lie algebra are  $S_3 \times S_2$ -isomorphic, respectively, to  $T$  and  $\mathfrak{t}$  given by (9.4), where the action of the first factor of  $S_3 \times S_2$  is defined, as in the case of  $SL_3$ , by (9.3), and that of the non-trivial element  $\theta$  of the second factor by

$$(9.8) \quad \theta(X) := X^{-1} \text{ for } X \in T \quad \text{and} \quad \theta(Y) := -Y \text{ for } Y \in \mathfrak{t}.$$

We begin with the following surprising recent result due to ISKOVSKIKH, [Isk4].

**Lemma 9.9.** *The  $S_3 \times S_2$ -varieties  $T$  and  $\mathfrak{t}$  are not birationally  $S_3 \times S_2$ -isomorphic.*

*Proof outline.* Since  $T$  and  $\mathfrak{t}$  are rational surfaces, the theory of rational  $G$ -surfaces, due to MANIN [Ma1] and ISKOVSKIKH [Isk1], [Isk3], can be applied; this is precisely what is done in [Isk4] (see also [Isk5]). Minimal rational  $S_3 \times S_2$ -surfaces are known, and any equivariant birational isomorphism between two such surfaces can be written as a composition of so-called “elementary links”, which are completely enumerated in [Isk3]. The argument in [Isk4] and [Isk5] amounts to constructing suitable minimal models for  $T$  and  $\mathfrak{t}$  and explicitly checking that it is impossible to get from one to the other by a sequence of elementary links.  $\square$

**Proposition 9.10.**  *$G_2$  is not a Cayley group.*

*Proof.* By the Corollary of Lemma 3.5, this follows from Lemma 9.9.  $\square$

The following result illustrates how delicate the matter is.

**Proposition 9.11.**  *$G_2 \times G_m^2$  is a Cayley group.*

*Proof.* By the Corollary of Lemma 3.5, it suffices to show that  $T \times \mathbb{A}^2$  and  $\mathfrak{t} \times \mathbb{A}^2$  are birationally  $S_3 \times S_2$ -isomorphic, where in both cases  $S_3 \times S_2$  acts via the first factor. We shall define a birational  $S_3 \times S_2$ -isomorphism between them in three steps.

*Step 1.* Let  $(\mathfrak{t} \times \mathfrak{t})_{S_3 \times S_2\text{-twisted}}$  be the variety  $\mathfrak{t} \times \mathfrak{t}$  endowed with the following  $S_3 \times S_2$ -action:

$$(9.12) \quad (\sigma, \varepsilon)(Y, Z) := \begin{cases} \text{sign}(\sigma)(\sigma(Y), \sigma(Z)) & \text{if } \text{sign}(\sigma) = \text{sign}(\varepsilon), \\ \text{sign}(\sigma)(\sigma(Z), \sigma(Y)) & \text{otherwise,} \end{cases}$$

for any  $(\sigma, \varepsilon) \in S_3 \times S_2$  and  $Y, Z \in \mathfrak{t}$ . This action descends to  $\mathbb{P}(\mathfrak{t}) \times \mathbb{P}(\mathfrak{t})$ ; denote the resulting  $S_3 \times S_2$ -variety by  $(\mathbb{P}(\mathfrak{t}) \times \mathbb{P}(\mathfrak{t}))_{S_3 \times S_2\text{-twisted}}$ . We claim that  $(\mathfrak{t} \times \mathfrak{t})_{S_3 \times S_2\text{-twisted}}$  is birationally isomorphic to  $(\mathbb{P}(\mathfrak{t}) \times \mathbb{P}(\mathfrak{t}))_{S_3 \times S_2\text{-twisted}} \times \mathbb{A}^2$  as an  $S_3 \times S_2$ -variety. Here  $S_3 \times S_2$  acts trivially on  $\mathbb{A}^2$ .

To prove the claim, let  $\mathfrak{t}'$  be  $\mathfrak{t}$  blown up at the origin. The  $S_3 \times S_2$ -action (9.12) on  $\mathfrak{t} \times \mathfrak{t}$  lifts to  $\mathfrak{t}' \times \mathfrak{t}'$ ; we shall denote the resulting  $S_3 \times S_2$ -variety by  $(\mathfrak{t}' \times \mathfrak{t}')_{S_3 \times S_2\text{-twisted}}$ . The natural projection  $\mathfrak{t} \dashrightarrow \mathbb{P}(\mathfrak{t})$  (which is only a rational map, not defined at the origin) lifts to a regular map  $\mathfrak{t}' \rightarrow \mathbb{P}(\mathfrak{t})$ . Moreover, the natural projection

$$(\mathfrak{t}' \times \mathfrak{t}')_{S_3 \times S_2\text{-twisted}} \longrightarrow (\mathbb{P}(\mathfrak{t}) \times \mathbb{P}(\mathfrak{t}))_{S_3 \times S_2\text{-twisted}}$$

is an algebraic vector  $S_3 \times S_2$ -bundle of rank 2. Since  $S_3 \times S_2$  acts on  $(\mathbb{P}(\mathfrak{t}) \times \mathbb{P}(\mathfrak{t}))_{S_3 \times S_2\text{-twisted}}$  faithfully, Lemma 2.12(b) shows that  $(\mathfrak{t}' \times \mathfrak{t}')_{S_3 \times S_2\text{-twisted}}$  is birationally isomorphic, as an  $S_3 \times S_2$ -variety, to  $(\mathbb{P}(\mathfrak{t}) \times \mathbb{P}(\mathfrak{t}))_{S_3 \times S_2\text{-twisted}} \times \mathbb{A}^2$  (where  $S_3 \times S_2$  acts via the first factor, as above). Since  $(\mathfrak{t} \times \mathfrak{t})_{S_3 \times S_2\text{-twisted}}$  and  $(\mathfrak{t}' \times \mathfrak{t}')_{S_3 \times S_2\text{-twisted}}$  are birationally  $S_3 \times S_2$ -isomorphic, this proves the claim.

*Step 2.* Let  $\mathbb{P}_{\mathbb{S}_3 \times \mathbb{S}_2\text{-twisted}}^2$  be the projective plane  $\mathbb{P}(D)$  endowed with the action of  $\mathbb{S}_3 \times \mathbb{S}_2$  given by

$$(\sigma, \varepsilon)([X]) := [\sigma(X)^{\text{sign } \sigma \text{ sign } \varepsilon}], \quad \text{where } (\sigma, \varepsilon) \in \mathbb{S}_3 \times \mathbb{S}_2, X \in D.$$

Then the rational maps

$$\mathbb{P}_{\mathbb{S}_3 \times \mathbb{S}_2\text{-twisted}}^2 \dashrightarrow T, \quad [\text{diag}(a_1, a_2, a_3)] \mapsto \text{diag}(a_2/a_3, a_3/a_1, a_1/a_2),$$

and

$$\begin{aligned} \mathbb{P}_{\mathbb{S}_3 \times \mathbb{S}_2\text{-twisted}}^2 &\dashrightarrow (\mathbb{P}(\mathfrak{t}) \times \mathbb{P}(\mathfrak{t}))_{\mathbb{S}_3 \times \mathbb{S}_2\text{-twisted}}, \\ [X] &\mapsto \left( \left[ X - \frac{\text{tr}(X)}{3} I_3 \right], \left[ X^{-1} - \frac{\text{tr}(X^{-1})}{3} I_3 \right] \right), \end{aligned}$$

are birational  $\mathbb{S}_3 \times \mathbb{S}_2$ -isomorphisms—the arguments are similar to those in the proof of Proposition 9.1.

*Step 3.* The definition of  $(\mathfrak{t} \times \mathfrak{t})_{\mathbb{S}_3 \times \mathbb{S}_2\text{-twisted}}$  in Step 1 shows that the map

$$(\mathfrak{t} \times \mathfrak{t})_{\mathbb{S}_3 \times \mathbb{S}_2\text{-twisted}} \longrightarrow \mathfrak{t}, \quad (t_1, t_2) \mapsto t_1 - t_2,$$

is  $\mathbb{S}_3 \times \mathbb{S}_2$ -equivariant. Hence, this map may be viewed as an algebraic  $\mathbb{S}_3 \times \mathbb{S}_2$ -vector bundle of rank 2. Since  $\mathbb{S}_3 \times \mathbb{S}_2$  acts on  $\mathfrak{t}$  faithfully, applying Lemma 2.12(b) once again, we conclude that  $(\mathfrak{t} \times \mathfrak{t})_{\mathbb{S}_3 \times \mathbb{S}_2\text{-twisted}}$  is birationally  $\mathbb{S}_3 \times \mathbb{S}_2$ -isomorphic to  $\mathfrak{t} \times \mathbb{A}^2$ , where  $\mathbb{S}_3 \times \mathbb{S}_2$  acts via the first factor.

To sum up, we have established the existence of the following birational  $\mathbb{S}_3 \times \mathbb{S}_2$ -isomorphisms:

$$T \times \mathbb{A}^2 \xrightarrow{\sim^{\text{Step 2}}} (\mathbb{P}(\mathfrak{t}) \times \mathbb{P}(\mathfrak{t}))_{\mathbb{S}_3 \times \mathbb{S}_2\text{-twisted}} \times \mathbb{A}^2 \xrightarrow{\sim^{\text{Step 1}}} (\mathfrak{t} \times \mathfrak{t})_{\mathbb{S}_3 \times \mathbb{S}_2\text{-twisted}} \xrightarrow{\sim^{\text{Step 3}}} \mathfrak{t} \times \mathbb{A}^2.$$

This completes the proof of Proposition 9.11. □

*Remark 9.13.* We do not know whether or not  $\mathbf{G}_2 \times \mathbf{G}_m$  is a Cayley group.

## 10. GENERALIZATION

The notions of Cayley map and Cayley group naturally lead to generalizations which will be considered in this section.

**10.1. Generalized Cayley maps.** Let  $G$  be a connected linear algebraic group and let  $\mathfrak{g}$  be its Lie algebra. We consider  $G$  and  $\mathfrak{g}$  as  $G$ -varieties with respect to the conjugating and adjoint actions, respectively, and denote by  $\text{Rat}_G(G, \mathfrak{g})$  the set of all rational  $G$ -maps  $G \dashrightarrow \mathfrak{g}$  endowed with the natural structure of a vector space over  $k(G)^G$ . Set  $\text{Mor}_G(G, \mathfrak{g}) := \{\varphi \in \text{Rat}_G(G, \mathfrak{g}) \mid \varphi \text{ is a morphism}\}$ .

**Definition 10.1.** An element  $\varphi \in \text{Rat}_G(G, \mathfrak{g})$  (respectively,  $\varphi \in \text{Mor}_G(G, \mathfrak{g})$ ) is called a *generalized Cayley map* (respectively, *generalized Cayley morphism*) of  $G$  if  $\varphi$  is a dominant map.

We are now ready to state the main result of this subsection.

**Theorem 10.2.** *Every connected linear algebraic group admits a generalized Cayley morphism.*

Our proof of Theorem 10.2 will proceed in three steps. First we will construct a generalized Cayley morphism for every reductive group (Corollary to Lemma 10.3), then a generalized Cayley map for an arbitrary linear algebraic group (Proposition 10.5), and then a generalized Cayley morphism for an arbitrary linear algebraic group.

Our construction in the case of reductive groups relies on the following known fact; see [Lun2, Lemme III.1] and cf. [PV, 6.3].

**Lemma 10.3.** *Assume that the group  $G$  is reductive. Let  $X$  be an affine algebraic variety endowed with an algebraic action of  $G$  and let  $x \in X$  be a non-singular fixed point of  $G$ . Let  $T_x$  be the tangent space of  $X$  at  $x$  endowed with the natural action of  $G$ . Then there is a  $G$ -morphism  $\varepsilon : X \rightarrow T_x$  étale at  $x$  (hence dominant) and such that  $\varepsilon(x) = 0$ .*

*Proof.* We may assume without loss of generality that  $X$  is a  $G$ -stable subvariety of a finite-dimensional algebraic  $G$ -module  $V$ ; see [PV, Theorem 1.5]. Since  $x$  is a fixed point of  $G$ , we can replace  $X$  by its image under the parallel translation  $v \mapsto v - x$  and assume that  $x = 0$ . The tangent space  $T_x$  is identified with a submodule of  $V$ . Since  $G$  is reductive, the  $G$ -module  $V$  is semisimple. Hence  $V = T_x \oplus M$  for some submodule  $M$ . Now we can take  $\varepsilon = \pi|_X$ , where  $\pi : V \rightarrow T_x$  is the projection parallel to  $M$ . □

Taking  $X = G$  with the conjugating action and  $x = e$ , we obtain the following.

**Corollary.** *Assume that  $G$  is reductive. Then there is a generalized Cayley morphism  $\varphi$  of  $G$  étale at  $e$  and such that  $\varphi(e) = 0$ .*

The following special case of this construction was considered by KOSTANT and MICHOR, [KM].

**Example 10.4.** Assume that  $G$  is reductive. Consider an algebraic homomorphism  $\nu : G \rightarrow \mathbf{GL}(S)$ , where  $S$  is a finite-dimensional vector space over  $k$ . Then the  $k$ -vector space  $V := \text{End}(S)$  has a natural  $G$ -module structure defined by  $g(h) := \nu(g)h\nu(g)^{-1}$  for every  $g \in G$  and  $h \in V$ . If  $\nu$  is injective, identify  $G$  with the image of  $\iota \circ \nu$ , where  $\iota : \mathbf{GL}(S) \hookrightarrow V$  is the natural embedding. Then  $G$  is a  $G$ -stable subvariety of  $V$  and the restriction to  $\mathfrak{g} = T_e$  of the  $G$ -invariant inner product  $(x, y) \mapsto \text{tr } xy$  on  $V$  is non-degenerate. This yields the  $G$ -module decomposition  $V = \mathfrak{g} \oplus \mathfrak{g}^\perp$ , where  $\mathfrak{g}^\perp$  is the orthogonal complement to  $\mathfrak{g}$  with respect to  $(\ , \ )$ . The restriction to  $G$  of the projection  $V \rightarrow \mathfrak{g}$  parallel to  $\mathfrak{g}^\perp$  is a generalized Cayley morphism  $\varphi : G \rightarrow \mathfrak{g}$  étale at  $e$  such that  $\varphi(e) = 0$ . □

**Proposition 10.5.** *Every connected linear algebraic group  $G$  admits a generalized Cayley map.*

*Proof.* We use the notation of Proposition 4.2 and its proof. The group  $W_{L,T}$  is finite, hence reductive, and  $e \in T$  is its fixed point. Therefore Lemma 10.3 implies that there is a dominant  $W_{L,T}$ -morphism  $\varepsilon : T \rightarrow \mathfrak{t}$ . The arguments in the proof of part (a) of Proposition 4.2 show that  $\varepsilon$  is  $N$ -equivariant. Consider an  $N$ -isomorphism (4.3). Then

$$\varepsilon \times \tau : C = T \times U \longrightarrow \mathfrak{t} \oplus \mathfrak{u} = \mathfrak{c}$$

is a dominant  $N$ -morphism. Hence by Lemma 2.17, there is a dominant  $G$ -morphism

$$\theta : G \times^N C \longrightarrow G \times^N \mathfrak{c}$$



such that  $\theta|_G = \varepsilon \times \tau$ . Now, since, by Lemma 3.2, the  $G$ -morphisms  $\gamma_G$  and  $\gamma_\varepsilon$  given by (3.1) are birational  $G$ -isomorphisms,  $\gamma_\varepsilon \circ \theta \circ \gamma_G^{-1} \in \text{Rat}_G(G, \mathfrak{g})$  is a generalized Cayley map.  $\square$

Our next task is to deduce Theorem 10.2 from Proposition 10.5. Our argument will rely on the following simple lemma.

**Lemma 10.6.** *Every semi-invariant for the conjugating action of  $G$  on itself is, in fact, an invariant.*

*Proof.* Suppose  $t \in k[G]$  is a semi-invariant. That is, there exists an algebraic character  $\chi: G \rightarrow \mathbf{G}_m$  such that  $t(ghg^{-1}) = \chi(g)t(h)$  for every  $g, h \in G$ . We may assume  $t$  is not identically zero. Setting  $g = h$  in the above formula, we obtain

$$t(g) = \chi(g)t(g) \text{ for every } g \in G.$$

Since  $G$  is connected and  $t$  is not identically zero, this implies that  $\chi(g) = 1$  for every  $g \in G$ , i.e.,  $t \in k[G]^G$ .  $\square$

Theorem 10.2 is now an immediate consequence of Proposition 10.5 and Proposition 10.7 below.

**Proposition 10.7.** *Let  $\varphi \in \text{Rat}_G(G, \mathfrak{g})$ . Then there is  $f \in k[G]^G$  such that*

- (i)  $\{g \in G \mid f(g) = 0\}$  is the indeterminacy locus of  $\varphi$ ,
- (ii)  $f\varphi \in \text{Mor}_G(G, \mathfrak{g})$ .

Moreover, if  $\varphi$  is a generalized Cayley map of  $G$ , then (ii) may be replaced by

- (ii)'  $f\varphi$  is a generalized Cayley morphism  $G \rightarrow \mathfrak{g}$ .

*Proof.* We may assume that  $\varphi$  is not a morphism. Then the indeterminacy locus of  $\varphi$  is an unmixed closed subset  $X$  of  $G$  of codimension 1. Since, by [Pop1, Theorem 6], the Picard group of the underlying variety of  $G$  is finite, this implies that there is  $t \in k[G]$  such that  $\{g \in G \mid t(g) = 0\} = X$ . As  $\varphi$  is  $G$ -equivariant,  $X$  is  $G$ -stable. Hence, by [PV, Theorem 3.1],  $t$  is a semi-invariant of  $G$  and therefore  $t \in k[G]^G$  by Lemma 10.6. Consequently the function  $f = t^m$  satisfies (i) and (ii) for a sufficiently large positive integer  $m$ . The second assertion of the proposition follows from Lemma 10.8 below.  $\square$

**Lemma 10.8.** *Let  $\psi: X \dashrightarrow V$  be a dominant rational map, where  $X$  is an irreducible algebraic variety,  $V$  a vector space over  $k$ , and  $\dim X = \dim V$ . Then for every non-zero function  $t \in k(X)$ , at least one of the maps  $\alpha := t\psi$  and  $\beta := t^2\psi$  is dominant.*

*Proof.* Put  $h_i := \psi^*(x_i) \in k(X)$ , where  $x_1, \dots, x_n$  are the coordinate functions on  $V$  with respect to some basis. Then  $K := \psi^*(k(V)) = k(h_1, \dots, h_n)$ ,  $K_1 := \alpha^*(k(\overline{\alpha(X)})) = k(th_1, \dots, th_n)$  and  $K_2 := \beta^*(k(\overline{\beta(X)})) = k(t^2h_1, \dots, t^2h_n)$ , where the bar denotes the closure in  $V$ . All three fields contain the subfield  $K_0 := k(\dots, h_i/h_j, \dots)$ . We have  $\text{trdeg}_k K = n$ . Therefore  $\text{trdeg}_k K_0 = n - 1$ .

Assume the contrary: neither  $t\psi$  nor  $t^2\psi$  is dominant. Then  $\text{trdeg}_k K_1 = \text{trdeg}_k K_2 = n - 1$ . Since  $K_1 = K_0(th_i)$  and  $K_2 = K_0(t^2h_i)$  for any  $i$ , this implies that both  $th_i$  and  $t^2h_i$  are algebraic over  $K_0$ . Hence  $h_i = (th_i)^2/t^2h_i$  is algebraic over  $K_0$ . Thus  $K$  is algebraic over  $K_0$ . Hence  $\text{trdeg}_k K = \text{trdeg}_k K_0 = n - 1$ , a contradiction.  $\square$

**10.2. The Cayley degree.** Note that every generalized Cayley map  $\varphi : G \dashrightarrow \mathfrak{g}$  has finite degree, i.e.,  $\deg \varphi := [k(G) : \varphi^*(k(\mathfrak{g}))] < \infty$ . By Definition 1.5, Cayley maps are exactly generalized Cayley maps of degree 1. This naturally leads to the following definition of a “measure of non-Cayleyness” of  $G$ .

**Definition 10.9.** The *Cayley degree* of  $G$  is the number  $\text{Cay}(G) := \min_{\varphi} \deg \varphi$ , where  $\varphi$  runs through all generalized Cayley maps of  $G$ .

Clearly  $G$  is a Cayley group if and only if  $\text{Cay}(G) = 1$ . Theorem 1.31 may thus be interpreted as a classification of connected simple algebraic groups of Cayley degree 1 and, consequently, as a first step towards the solution of the following general problem:

**Problem 10.10.** *Find the Cayley degrees of connected simple algebraic groups.*

For example, composing the natural projection  $\mathbf{Spin}_n \rightarrow \mathbf{SO}_n$  with the classical Cayley map  $\mathbf{SO}_n \xrightarrow{\cong} \mathfrak{so}_n$  yields a generalized Cayley map  $\mathbf{Spin}_n \rightarrow \mathbf{SO}_n \dashrightarrow \mathfrak{so}_n = \mathfrak{spin}_n$  of degree 2. Combining this with Theorem 1.28, we conclude that

$$\text{Cay}(\mathbf{Spin}_n) = \begin{cases} 2 & \text{for } n \geq 6, \\ 1 & \text{for } n \leq 5. \end{cases}$$

Other examples can be found in [LPR, Section 10]. Note that Definition 10.9 and Problem 10.10 have natural analogues in the case where  $G$  is defined over a subfield  $K$  of  $k$  (here we consider only generalized Cayley maps  $\varphi$  defined over  $K$ ).

APPENDIX. ALTERNATIVE PROOF OF PROPOSITION 9.1: AN OUTLINE

*Step 1.* Consider  $D$ , see (9.2), as an open subset of  $\mathbb{P}^3$  given by  $x_0 \neq 0$ , and extend the  $S_3$ -action (9.3) up to  $\mathbb{P}^3$  by

$$\sigma(a_0 : a_1 : a_2 : a_3) = (a_0 : a_{\sigma(1)} : a_{\sigma(2)} : a_{\sigma(3)}), \quad \text{where } \sigma \in S_3.$$

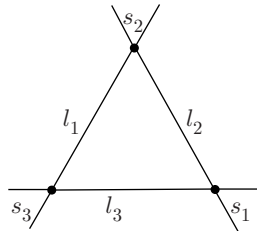
The closure  $X$  of  $T$  in  $\mathbb{P}^3$ , see (9.4), is the rational cubic surface given by  $x_1x_2x_3 - x_0^3 = 0$ . It has exactly three fixed points

$$a_i := (1 : \varepsilon^i : \varepsilon^i : \varepsilon^i), \quad i = 1, 2, 3, \quad \varepsilon^3 = 1, \quad \varepsilon \neq 1,$$

and three singular (double) points

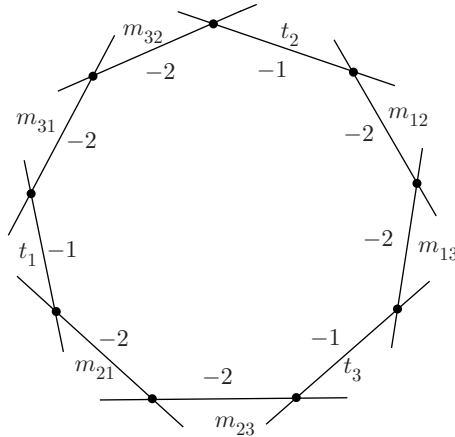
$$s_1 = (0 : 1 : 0 : 0), \quad s_2 = (0 : 0 : 1 : 0), \quad s_3 = (0 : 0 : 0 : 1).$$

The hyperplane section of  $X$  given by  $x_0 = 0$  is  $H := l_1 + l_2 + l_3$ , where  $l_i$  is the line given by  $x_0 = x_i = 0$ .



Since  $H$  is  $S_3$ -invariant, the  $S_3$ -action on  $X$  lifts to the surface  $\tilde{X}$  obtained from  $X$  by the simultaneous blowing up  $\mu : \tilde{X} \rightarrow X$  of  $s_1, s_2, s_3$ . The surface  $\tilde{X}$  is smooth and  $T$  is its open  $S_3$ -stable subset.

*Step 2.* We have  $\mu^*(H) = \sum_i t_i + \sum_{ij} m_{ij}$  where  $t_i$  is the proper inverse image of  $l_i$  and  $\mu^{-1}(s_i) = m_{ij} \cup m_{ir}$ ,  $\{i, j, r\} = \{1, 2, 3\}$ . The curves  $t_i, m_{ij}$  are isomorphic to  $\mathbb{P}^1$  and form a 9-gon as shown on the figure below. Their intersections are transversal and the self-intersection indices are  $(t_i, t_i) = -1, (m_{ij}, m_{ij}) = -2$ .



Computing the canonical classes gives  $K_X = -H$  and  $K_{\tilde{X}} = \mu^*(-H)$ . Hence

$$(A1) \quad (K_{\tilde{X}}, K_{\tilde{X}}) = (-H, -H) = \deg X = 3.$$

*Step 3.* By the Castelnuovo criterion, the curves  $t_i$  are exceptional, so they can be simultaneously blown down:  $\nu: \tilde{X} \rightarrow Y$ . The surface  $Y$  is smooth, and the  $S_3$ -invariance of  $t_1 + t_2 + t_3$  implies that the action of  $S_3$  on  $\tilde{X}$  descends to  $Y$ . We can consider  $T$  as an open  $S_3$ -stable subset of  $Y$ .

It follows from (A1) that

$$(A2) \quad (K_Y, K_Y) = 6,$$

and  $\text{Pic } T = 0$  implies that  $(\text{Pic } Y)^{S_3}$  is generated by

$$P := \nu_*(\sum_{ij} m_{ij}).$$

Hence  $K_Y = nP$  for some non-zero integer  $n$ . Rationality of  $Y$  implies  $n < 0$ . If  $C$  is a positive divisor on  $Y$ , then  $\sum_{\sigma \in S_3} \sigma(C) = cP$  for some positive integer  $c$ . Using (A2), we then obtain

$$\begin{aligned} (-K_Y, C) &= (-K_Y, \sum_{\sigma \in S_3} \sigma(C))/6 \\ &= -cn(P, P)/6 = -c(K_Y, K_Y)/6n = -c/n > 0. \end{aligned}$$

By the Nakai–Moishezon criterion, this implies that  $-K_Y$  is ample, i.e.,  $Y$  is a Del Pezzo surface. From (A2) it then follows (see, e.g., [Ma2, § 24]) that  $|-K_Y|$  defines an embedding of  $Y$  into  $\mathbb{P}^6$  equivariant with respect to a certain action of  $S_3$  on  $\mathbb{P}^6$ . We keep the notation  $Y$  for its image.

*Step 4.* Consider on  $Y$  the linear system  $|R|$  of all hyperplane sections in  $\mathbb{P}^6$  containing the fixed point  $a_1 \in T \subseteq Y$  and which is singular at  $a_1$ . These are precisely sections by hyperplanes tangent to  $Y$  at  $a_1$ , so

$$(A3) \quad \dim |R| = 4.$$

The system  $|R|$  is an  $S_3$ -stable subsystem of  $|-K_Y|$ . By Bertini’s theorem, its general element  $R$  is an irreducible curve. We have

$$(A4) \quad p_a(R) = 1 + (R, (R + K_Y))/2 = 1 + (R, (R - R))/2 = 1.$$

On the other hand,  $p_a(R) = g + \sum_x \delta_x$ , where  $g$  is the geometric genus of the normalization of  $R$ , the sum is taken over all singular points  $x$  of  $R$ , and  $\delta_x > 0$ . This and (A4) imply that  $R$  is a rational curve whose singular locus is the double point  $a_1$ .

The system  $|R|$  has no fixed components. Indeed, if  $F$  were such a component, then  $\dim H^0(Y, \mathcal{O}(F)) = 1$  and, by the Riemann–Roch theorem,

$$(A5) \quad \dim H^0(Y, \mathcal{O}(K_Y - F)) \geq ((F, F) - (F, K_Y))/2.$$

Let  $-K_Y = F + E$ . Since  $F > 0$  and  $E > 0$ , the left-hand side of (A5) is zero, whence  $0 \geq (F, F) + (F, E)/2$ . Since  $(F, E) \geq 0$ , this yields  $0 \geq (F, F)$ . But  $F = mP$  for some non-zero integer  $m$ . Therefore

$$0 \geq (F, F) = m^2(P, P) = 6m^2/n^2 > 0,$$

a contradiction.

From (A3) we deduce that  $a_1$  is a unique base point of  $|R|$ .

*Step 5.* Let  $\gamma: \tilde{Y} \rightarrow Y$  be the blowing up of  $a_1$ . The action of  $S_3$  lifts to  $\tilde{Y}$ . The proper inverse image  $|\tilde{R}|$  of  $|R|$  is a 4-dimensional  $S_3$ -stable linear system on  $\tilde{Y}$ . It has no base points and separates points of an open subset of  $\tilde{Y}$ . Hence  $|\tilde{R}|$  defines an  $S_3$ -equivariant morphism  $\tilde{Y} \rightarrow \mathbb{P}^3$  with respect to a certain  $S_3$ -action on  $\mathbb{P}^3$ . Let  $Z$  be its image. This morphism then yields an  $S_3$ -equivariant birational isomorphism  $\psi: \tilde{Y} \rightarrow Z$ .

Let  $l = \gamma^{-1}(a_1)$  and let  $\tilde{R}$  be the proper inverse image of  $R$ . Then  $(l, l) = -1$  and, since  $a_1$  is a double point of  $R$ , we have  $\gamma^*(R) = \tilde{R} + 2l$  and  $(l, \tilde{R}) = 2$ . This yields

$$6 = (R, R) = (\tilde{R}, \tilde{R}) + 4(l, \tilde{R}) + 4(l, l) = (\tilde{R}, \tilde{R}) + 4,$$

so  $(\tilde{R}, \tilde{R}) = 2$ . Since  $\deg Z = (\tilde{R}, \tilde{R})$ , this means that  $Z$  is an  $S_3$ -stable quadric in  $\mathbb{P}^3$ .

*Step 6.* Since the point  $a'_2 := \psi \circ \gamma^{-1}(a_2) \in Z$  is fixed by  $S_3$ , it follows from the complete reducibility of representations of reductive groups that there is an  $S_3$ -stable plane  $L \simeq \mathbb{P}^2$  in  $\mathbb{P}^3$  not passing through  $a'_2$ . Consider the stereographic projection  $\pi: Z \dashrightarrow L$  from  $a'_2$ ; it is birational and  $S_3$ -equivariant. The map  $\pi$  is defined at  $\psi \circ \gamma^{-1}(a_3)$  and  $a'_3 := \pi \circ \psi \circ \gamma^{-1}(a_3) \in L$  is a fixed point of  $S_3$ . Using the complete reducibility argument again, we conclude that there is an  $S_3$ -stable line  $l \subset L$  such that  $a'_3 \in L \setminus l$ . Thus we obtain a faithful linear action of  $S_3$  on  $\mathbb{A}^2 \simeq L \setminus l$ . But there is a unique 2-dimensional faithful linear representation of  $S_3$ , namely that on  $\mathfrak{t}$  given by (9.3), (9.4).

In summary, we have constructed the following chain of birational equivariant maps of  $S_3$ -varieties:

$$\mathfrak{t} \hookrightarrow L \xleftarrow{\pi} Z \xleftarrow{\psi} \tilde{Y} \xrightarrow{\gamma} Y \xleftarrow{\nu} \tilde{X} \xrightarrow{\mu} X \hookrightarrow T.$$

This shows that  $T$  and  $\mathfrak{t}$  are birationally isomorphic as  $S_3$ -varieties, thus completing the proof of Proposition 9.1. □

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