

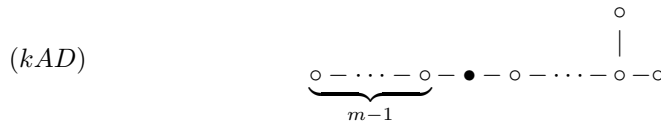
**ERRATA TO  
 “CLASSIFICATION OF THREE-DIMENSIONAL FLIPS”**

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This paper makes two corrections to [KM92].

*Remark 1.* The statement [KM92, (2.2.3)] is false as it stands. (2.2.3) comes out of two sources: one from (2.13.4) and the other from (2.13.10). The latter (2.13.10) is correct and proves that  $m \geq 5$  and the index-two point is of type  $cA/2$ . However, the former (2.13.4) proves only a weaker assertion that  $m \geq 3$  (not  $m \geq 5$ ), the index-two point is of type  $cA/2$ ,  $cAx/2$ , or  $cD/2$  (not just of type  $cA/2$ ) and with axial multiplicity  $k \geq 2$  (because the index-one cover is not smooth). Since  $f : X \supset C \rightarrow Y \ni Q$  is divisorial in the case (2.13.4) [KM92, Prop. (9.3)], the following revision (2.2.3)<sub>rev</sub> should replace (2.2.3).

(2.2.3)<sub>rev</sub> Case for exceptional  $IA + IA$ : The two  $IA$  points are an ordinary point of odd index  $m$  ( $m \geq 5$  if  $f$  is isolated (that is, a flipping contraction);  $m \geq 3$  if divisorial) and an index-two point (of type  $cA/2$  if  $f$  is isolated; of type  $cA/2$ ,  $cAx/2$  or  $cD/2$  if divisorial) and with axial multiplicity  $k$  with  $2k + m \geq 7$ , and we have  $(K_X \cdot C) = -1/(2m)$ .  $(E_Y, Q)$  is  $D_{2k+m}$ ,  $\text{Sing} E_X$  is  $A_{m-1} + D_{2k}$  ( $A_{m-1} + A_1 + A_1$  if  $k = 1$ ) and  $\Delta(E_X \supset C)$  is



*Remark 2.* [KM92, Lemma (2.12.9)] holds under an extra assumption that  $f|_{X \setminus C} : X \setminus C \rightarrow Y \setminus \{Q\}$  is an isomorphism since it is used in the second line of the proof. The following Lemma 3 can be used as a substitute for [KM92, Lemma (2.12.9)]. The arguments in [KM92, (2.12)] work after this modification.

**Lemma 3.** *Let  $f : X \rightarrow (Y, Q)$  be a projective bimeromorphic morphism of irreducible normal 3-folds such that  $R^1 f_* \mathcal{O}_X = 0$  and  $C = f^{-1}(Q)_{\text{red}}$  is 1-dimensional. Let  $I \subset \mathcal{O}_X$  be a sheaf of ideals such that  $\text{Supp } \mathcal{O}_X/I = C$ . For each  $n > 0$ , let  $I^{(n)}$  be the sheaf of ideals such that  $I^n \subset I^{(n)} \subset \mathcal{O}_X$  and  $I^{(n)}/I^n$  is the largest subsheaf of  $\mathcal{O}_X/I^n$  with 0-dimensional support. Then  $\chi(\mathcal{O}_X/I^{(n)}) \geq O(n^3)$  as  $n$  grows.*

*Proof.* Since  $f$  is bimeromorphic, let  $E \subset X$  be an effective Cartier divisor with very ample  $\mathcal{O}(-E)$ . We note that  $E \supset C$  because  $E \cdot C_i < 0$  for each irreducible

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component  $C_i$  of  $C$ . Since  $f^{-1}(Q)$  is 1-dimensional, we can choose a hyperplane section  $\bar{D} \ni Q$  of  $Y \ni Q$  such that  $D \cap E$  is a curve, where  $D = f^*(\bar{D})$ .

For each subspace  $Z \subset X$ , let  $I_Z \subset \mathcal{O}_X$  denote the sheaf of ideals such that  $\mathcal{O}_X/I_Z = \mathcal{O}_Z$ . Let  $F \subset \mathcal{O}_X$  be any coherent subsheaf such that  $\text{Supp } \mathcal{O}_X/F$  is a curve containing  $C$ . We consider a primary decomposition  $F = \bigcap Q_i$  and set

$$F' = \bigcap \{Q_j | \sqrt{Q_j} = I_{C_k} \text{ for some } k\},$$

which does not depend on the choice of  $Q_i$ 's. We note  $I^{(n)} = (I^n)'$ .

We set  $J = (I_D + I_E)'$  and first prove the lemma for the case  $I = J$ .

By the normality of  $X$ ,  $J$  is generated by a regular sequence outside a finite set. Hence the natural homomorphism

$$a_1 : (\mathcal{O}/J)(-D) \oplus (\mathcal{O}/J)(-E) \rightarrow J/J^{(2)}$$

is injective and  $\text{Supp Coker}(a_1)$  is at most 0-dimensional, and the induced

$$a_n = S^n(a_1) : \bigoplus_{k=0}^n (\mathcal{O}/J)(-kD - (n-k)E) \rightarrow J^{(n)}/J^{(n+1)}$$

has similar properties:  $\text{Ker}(a_n) = 0$ ,  $\dim \text{Supp Coker}(a_n) \leq 0$ . By  $(-E \cdot C_i) > 0$  and  $(D \cdot C_i) = 0$ , we have

$$\chi(J^{(n)}/J^{(n+1)}) \geq \sum_{k=0}^n (\chi(\mathcal{O}/J) + (n-k)) \geq n \cdot \chi(\mathcal{O}/J) + n(n+1)/2,$$

and the lemma holds for the case  $I = J$ .

Let  $a$  be a natural number such that  $I^{(a)} \subset J$ . We note

$$\chi(\mathcal{O}_X/I^{(n)}) = \chi(\mathcal{O}_X/J^{(\lfloor n/a \rfloor)}) + \chi(J^{(\lfloor n/a \rfloor)}/I^{(n)}),$$

by  $I^{(n)} \subset I^{(a\lfloor n/a \rfloor)} \subset J^{(\lfloor n/a \rfloor)}$ . Thus it remains to prove  $\chi(J^{(\lfloor n/a \rfloor)}/I^{(n)}) \geq 0$ . Since the cokernel of  $S^{\lfloor n/a \rfloor}(\mathcal{O}(-D) \oplus \mathcal{O}(-E)) \rightarrow J^{(\lfloor n/a \rfloor)}/I^{(n)}$  is supported on a finite set and  $\mathcal{O}(-D) \oplus \mathcal{O}(-E)$  is generated by global sections, we have  $H^1(X, J^{(\lfloor n/a \rfloor)}/I^{(n)}) = 0$  by the following well-known lemma, and we are done.  $\square$

**Lemma 4.** *Let  $f : X \rightarrow (Y, Q)$  be a proper morphism to a germ such that  $R^1 f_* \mathcal{O}_X = 0$  and  $C = f^{-1}(Q)_{\text{red}}$  is 1-dimensional. Let  $F, G$  be coherent sheaves on  $X$  with a homomorphism  $a : F \rightarrow G$  such that  $F$  is generated by global sections and  $\text{Supp Coker}(a)$  is finite over  $Y$ . Then  $R^1 f_* G = 0$ .*

*Proof.* Since  $C$  is 1-dimensional,  $R^2 f_* \mathcal{H} = 0$  for each coherent sheaf  $\mathcal{H}$  on  $X$ . Since  $F$  is globally generated, there exist an integer  $n > 0$  and a surjection  $b : \mathcal{O}_X^{\oplus n} \rightarrow F$ . By  $R^2 f_* \text{Ker } b = 0$ , we have  $R^1 f_* F = 0$ . By  $R^2 f_* \text{Ker } a = 0$ , we have  $R^1 f_* \text{Im } a = 0$ . Since  $R^1 f_* \text{Coker } a = 0$  by the assumption, we have  $R^1 f_* G = 0$ .  $\square$

## REFERENCES

- [KM92] Kollár, J. and Mori, S., Classification of three-dimensional flips, *J. Amer. Math. Soc.*, **5** (1992), 533–703. MR1149195 (93i:14015)

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