

COMPUTING $\psi(x)$

MARC DELÉGLISE AND JOËL RIVAT

ABSTRACT. Let Λ denote the *Von Mangoldt* function and $\psi(x) = \sum_{n \leq x} \Lambda(n)$.

We describe an elementary method for computing isolated values of $\psi(x)$. The complexity of the algorithm is $O(x^{2/3}(\log \log x)^{1/3})$ time and $O(x^{1/3}(\log \log x)^{2/3})$ space. A table of values of $\psi(x)$ for x up to 10^{15} is included, and some times of computation are given.

1. INTRODUCTION

One of the oldest problems in mathematics is to compute the exact number of primes $\leq x$, denoted by $\pi(x)$. This can be achieved by at least three completely different methods:

- any method (like the sieve of *Eratosthenes*) which finds all primes $\leq x$ and therefore cannot be achieved with less than about $\frac{x}{\log x}$ operations (by the Chebychev Theorem).
- the *Meissel-Lehmer* combinatorial method, which uses sieve identities, computes $\pi(x)$ in $O(\frac{x^{2/3}}{\log^2 x})$ time and $O(x^{1/3} \log^3 x \log \log x)$ space using the improvements of *Lagarias*, *Miller* and *Odlyzko* [5] and *Deléglise-Rivat* [2].
- the *Lagarias-Odlyzko* analytic method [6], based on numerical integration of certain integral transforms of the *Riemann* ζ -function, for computing $\pi(x)$ using $O_\varepsilon(x^{1/2+\varepsilon})$ time and $O_\varepsilon(x^{1/4+\varepsilon})$ space for each $\varepsilon > 0$.

The Von Mangoldt function $\Lambda(n)$ is defined by $\Lambda(n) = \ln p$ if $n = p^\alpha$ with p a prime number and α an integer ≥ 1 , and $\Lambda(n) = 0$ otherwise.

The Prime Number Theorem ($\pi(x) \sim \frac{x}{\log x}$) is well known to be equivalent to $\psi(x) \sim x$. Moreover $\Lambda(n)$ satisfies combinatorial identities based on *Dirichlet* convolutions. Therefore people usually try to replace the characteristic function of the primes by $\Lambda(n)$ when possible. Most proofs of the Prime Number Theorem involve $\Lambda(n)$. Taking advantage of the structure of $\Lambda(n)$, we can efficiently compute $\psi(x)$ in a much simpler manner than $\pi(x)$.

We note that the *Lagarias-Odlyzko* [6] analytic method could also be adapted for computing $\psi(x)$ in $O_\varepsilon(x^{1/2+\varepsilon})$ time. To our knowledge, nobody has tried to compute $\pi(x)$ or $\psi(x)$ using their method yet.

Received by the editor January 23, 1997.

1991 *Mathematics Subject Classification*. Primary 11Y70, 11N56.

2. VAUGHAN’S COMBINATORIAL IDENTITY

It is a classical method (Hoheisel [4], Vinogradov [8]) to transform a sum of the form $\sum_n \Lambda(n)f(n)$ into a few multiple sums

$$\sum_{n_1, \dots, n_k} a_1(n_1) \cdots a_k(n_k) f(n_1 \cdots n_k),$$

where n_1, \dots, n_k satisfies multiplicative conditions.

Vaughan has given an elegant formulation of the method in [7], which was enhanced by Heath-Brown [3].

Consider the combinatorial identity $-\frac{\zeta'}{\zeta} = F - \zeta'G - \zeta FG + (\frac{1}{\zeta} - G)(-\zeta' - \zeta F)$, where $G(s) = \sum_{n \leq u} \frac{\mu(n)}{n^s}$ and $F(s) = \sum_{n \leq u} \frac{\Lambda(n)}{n^s}$.

On picking out the coefficient of n^{-s} on each side we obtain

$$\sum_{n \leq x} \Lambda(n)f(n) = S_1(x, u) + S_2(x, u) - S_3(x, u) - S_4(x, u),$$

with

$$\begin{aligned} S_1(x, u) &= \sum_{n \leq u} \Lambda(n)f(n), \\ S_2(x, u) &= \sum_{\substack{m \leq u \\ mn \leq x}} \mu(m) \ln n f(mn), \\ S_3(x, u) &= \sum_{\substack{l \leq u \\ m \leq u \\ lmn \leq x}} \mu(l)\Lambda(m)f(lmn), \\ S_4(x, u) &= \sum_{\substack{u < m \leq x \\ u < n \leq x \\ mn \leq x}} \Lambda(m) \sum_{\substack{d | n \\ d \leq u}} \mu(d) f(mn). \end{aligned}$$

3. COMPUTING $\psi(x)$

We apply the Vaughan identity with $f(n) = 1$ for all n .

In order to compute $S_1(x, u)$, $S_2(x, u)$, $S_3(x, u)$, $S_4(x, u)$, suppose that we have a tabulation of

- $\mu(m)$ for $1 \leq m \leq u$,
- $\Lambda(n)$ for $1 \leq n \leq u$.

$S_1(x, u)$ can be easily computed in $O(u)$ time.

For computing $S_2(x, u)$ we simply write

$$S_2(x, u) = \sum_{m \leq u} \mu(m) \sum_{n \leq x/m} \ln n,$$

which can be computed in $O(u)$ time, using the Euler-MacLaurin method.

The computation of $S_3(x, u)$ is also elementary, using the formula

$$S_3(x, u) = \sum_{l \leq u} \sum_{m \leq u} \mu(l)\Lambda(m) \left\lfloor \frac{x}{lm} \right\rfloor,$$

which can be computed in $O(u^2)$ time.

It remains to compute $S_4(x, u)$. We have

$$S_4(x, u) = \sum_{l \leq u} \mu(l) \sum_{\frac{x}{l} < m \leq \frac{x}{ul}} \left(\psi\left(\frac{x}{lm}\right) - \psi(u) \right).$$

We remark that if $m > \sqrt{x/l}$ we will have $\frac{x}{lm} \leq \sqrt{x/l}$ and the expression $\psi\left(\frac{x}{lm}\right)$ will remain constant for several consecutive values of m . More precisely, for any fixed $l \leq u$ and $k \leq \sqrt{x/l}$, let us denote by $N(x, u, l, k)$ the number of m 's such that $\sqrt{x/l} < m \leq \frac{x}{ul}$ and $\lfloor \frac{x}{lm} \rfloor = k$. We then have

$$\begin{aligned} S_4(x, u) &= \sum_{l \leq u} \mu(l) \sum_{\frac{x}{l} < m \leq \sqrt{x/l}} \left(\psi\left(\frac{x}{lm}\right) - \psi(u) \right) \\ &\quad + \sum_{l \leq u} \mu(l) \sum_{k \leq \sqrt{x/l}} (\psi(k) - \psi(u)) N(x, u, l, k). \end{aligned}$$

For any fixed $l \leq u$ and $k \leq \sqrt{x/l}$ the computation of $N(x, u, l, k)$ can be done in $O(1)$ time.

Hence the computation of $S_4(x, u)$ needs $O(\sum_{l \leq u} \sqrt{x/l}) = O(\sqrt{xu})$ time, provided we have a tabulation of $\psi(t)$ for $t \leq \frac{x}{u}$.

Conclusion: the time complexity of the method is

$$O\left(\frac{x}{u} \log \log x + u^2 + \sqrt{xu}\right).$$

Choosing $u = x^{1/3}(\log \log x)^{2/3}$ we obtain the expected algorithm in $O(x^{2/3}(\log \log x)^{1/3})$ time.

For the space complexity, we work by blocks of size $O(u)$ during the computation of $S_4(x, u)$. This can be done without changing the time complexity (see [1] for more details).

The computations were done using a HP 730 workstation using HP C++ and HP 128 bits emulating floating point arithmetic. A 128 bit log function was missing and has therefore been implemented.

The precision of all computations was 33 decimal digits and the results are presented in Table 1 and Table 2 with 21 decimal digits. That means that even for the computation of $\psi(10^{15})$ which needed about 10^{10} operations, we have removed 12 digits to ensure a safe result.

Using emulated arithmetic instead of hardware arithmetic was a severe inconvenience in terms of speed (we lose a factor of 10), if we compare with the computation of $M(10^{15})$ in [1] (115674 seconds).

The computation of $\pi(10^{15})$ in [2] running in $O\left(\frac{x^{2/3}}{\log^2 x}\right)$ time is much faster (4179 seconds), thanks to the $\log^2 x$ factor, but the method is much more sophisticated.

TABLE 1. Values of $\psi(x)$ for $10^6 \leq x \leq 10^{10}$

x	$\psi(x)$	Time (s)
1e + 06	999586.597495632922033	1.9
2e + 06	2000115.04620704883194	2.7
3e + 06	2999999.97999224824973	3.3
4e + 06	3999490.85679656995798	3.8
5e + 06	5000971.14022810153042	4.4
6e + 06	5999649.57769000335617	4.9
7e + 06	7000575.18641502034942	5.2
8e + 06	8000121.73320157678229	5.8
9e + 06	9000850.24888020485237	6.2
1e + 07	9998539.40334597536635	6.6
2e + 07	20000600.0251592610472	9.9
3e + 07	30000704.2820934588192	12.8
4e + 07	40001480.2149926336305	15.2
5e + 07	50001207.3445023684082	17.4
6e + 07	59999308.9772123490642	19.6
7e + 07	70000783.2023729056695	21.4
8e + 07	79997966.4586902581049	23.1
9e + 07	89995860.2769185707641	25.0
1e + 08	99998242.7966267823416	27.0
2e + 08	199997027.504552593271	42.0
3e + 08	299999378.662858843880	54.6
4e + 08	400002778.057726641750	65.2
5e + 08	500006989.938817115113	75.0
6e + 08	600001708.590910478782	85.9
7e + 08	700004314.549532205866	94.7
8e + 08	799998546.590393988537	103
9e + 08	899984812.936571262951	111
1e + 09	1000001595.99042758043	119
2e + 09	1999987159.49785559537	188
3e + 09	2999993292.11099204139	243
4e + 09	4000010994.99711695725	301
5e + 09	4999978986.63843391783	345
6e + 09	6000009612.90884384952	387
7e + 09	7000003157.58512856840	433
8e + 09	7999982212.86641692741	470
9e + 09	8999991956.06404171841	513
1e + 10	10000042119.8334736147	542

TABLE 2. Values of $\psi(x)$ for $10^{10} \leq x \leq 10^{15}$

x	$\psi(x)$	Time (s)
1e + 10	10000042119.8334736147	542
2e + 10	19999966102.3907942572	862
3e + 10	29999948420.7708689779	1131
4e + 10	40000011887.3168320418	1369
5e + 10	49999955855.4610665034	1590
6e + 10	60000021580.8714738616	1793
7e + 10	70000038604.9247522381	1994
8e + 10	80000005722.4617696008	2173
9e + 10	89999948906.7797648192	2347
1e + 11	100000058456.430302189	2527
2e + 11	200000148773.856006802	3990
3e + 11	299999977708.641374443	5249
4e + 11	399999741196.670035169	6344
5e + 11	499999820953.584593629	7362
6e + 11	600000033739.152232002	8332
7e + 11	699999845411.761649322	9202
8e + 11	800000037979.274743740	10015
9e + 11	899999777231.876070005	10831
1e + 12	1000000040136.76545665	11698
2e + 12	2000000182627.33596499	18519
3e + 12	2999999566058.80822946	24237
4e + 12	4000000386475.41118430	29205
5e + 12	5000000327315.75362324	34042
6e + 12	5999999744293.47085658	38252
7e + 12	6999999601425.70691002	42600
8e + 12	8000000713529.43266003	46258
9e + 12	8999999446379.56396960	50290
1e + 13	10000000171997.1232250	53848
2e + 13	19999999625767.6651778	85328
3e + 13	30000001040718.2137042	111172
4e + 13	39999999893274.9689501	135183
5e + 13	49999999652324.2650673	156036
6e + 13	59999998082525.3515850	177031
7e + 13	70000002724370.2485641	195814
8e + 13	79999999149546.6793392	213221
9e + 13	89999999033193.6246454	233010
1e + 14	100000000618647.548001	248385
2e + 14	199999997677127.254625	394900
3e + 14	300000004090602.822282	514659
4e + 14	400000002371843.685660	627765
5e + 14	499999996459514.248704	726220
6e + 14	600000008190785.239956	818700
7e + 14	699999998433148.184857	904060
8e + 14	799999993059175.785429	988647
9e + 14	899999991484841.192344	1074297
1e + 15	999999997476930.507683	1153859

REFERENCES

- [1] M. DELÉGLISE AND J. RIVAT, *Computing the summation of the Möbius function*, Experimental Mathematics, 5 (1996), pp. 291–295. CMP 97:09
- [2] ———, *Computing $\pi(x)$: The Meissel, Lehmer, Lagarias, Miller, Odlyzko method*, Mathematics of Computation, 65 (1996), pp. 235–245. MR **96d**:11139
- [3] D. R. HEATH-BROWN, *Prime numbers in short intervals and a generalized Vaughan identity*, Can.J.Math., 34 (1982), pp. 1365–1377. MR **84g**:10075
- [4] G. HOHEISEL, *Primzahlprobleme in der Analysis*, Sitz. Preuss. Akad. Wiss., 33 (1930), pp. 3–11.
- [5] J. LAGARIAS, V. MILLER, AND A. ODLYZKO, *Computing $\pi(x)$: The Meissel Lehmer Method*, Mathematics of Computation, 44 (1985), pp. 537–560. MR **86h**:11111
- [6] J. LAGARIAS AND A. ODLYZKO, *Computing $\pi(x)$: An Analytic Method*, Journal of Algorithms, 8 (1987), pp. 173–191. MR **88k**:11095
- [7] R. C. VAUGHAN, *An elementary method in prime number theory*, Acta Arithmetica, 37 (1980), pp. 111–115. MR **82c**:10055
- [8] I. M. VINOGRADOV, *The method of trigonometrical sums in the theory of numbers, translated from the Russian, revised and annotated by K.F. Roth and A. Davenport*, Interscience, London, 1954. MR 15,941b

INSTITUT GIRARD DESARGUES, UPRES-A 5028 MATHÉMATIQUES, UNIVERSITÉ LYON I, 69622
VILLEURBANNE CEDEX, FRANCE

E-mail address: `deleglis@desargues.univ-lyon1.fr`

E-mail address: `rivat@desargues.univ-lyon1.fr`