

DEDEKIND SUMS AND LAMBERT SERIES

L. CARLITZ

1. **Introduction.** Apostol [1] has proved a transformation formula for the function

$$G_p(x) = \sum_{m,n=1}^{\infty} n^{-p} x^{mn} \quad (|x| < 1),$$

where p is a fixed odd integer > 1 . In the formula occur the numbers

$$(1.1) \quad c_s(h, k) = \sum_{\mu \pmod k} \overline{B}_{p+1-s}\left(\frac{\mu}{k}\right) \overline{B}_s\left(\frac{h\mu}{k}\right) \quad (0 \leq s \leq p+1),$$

where $(h, k) = 1$, the summation is over a complete residue system $\pmod k$, and $\overline{B}_s(x)$ is the Bernoulli function. Put

$$(1.2) \quad f(h, k; \tau) = \sum_{s=0}^{p+1} \binom{p+1}{s} (k\tau - h)^{p-s} c_s(h, k);$$

then Apostol's formula can be put in the form [2, §2]

$$(1.3) \quad G_p(e^{2\pi i \tau}) = (k\tau - h)^{p-1} G_p(e^{2\pi i \tau'}) + \frac{(2\pi i)^p}{2(p+1)!} f(h, k; \tau),$$

where $\tau = (h'\tau + k') / (k\tau - h)$, $hh' + kk' + 1 = 0$. It is also shown in [2] that (1.3) implies the following transformation formula for $f(h, k; \tau)$:

$$(1.4) \quad f(h, k; \tau) = \tau^{p-1} f\left(-k, h; -\frac{1}{\tau}\right) + \frac{1}{\tau} (B + \tau B)^{p+1}.$$

The purpose of this note is to give an elementary proof of (1.4) depending on the representation of $c_s(h, k)$ by means of "Eulerian" numbers (see (2.3) below).

2. It is convenient to use, in place of (1.1), the fuller notation

$$(2.1) \quad c_{r,s}(h, k) = \sum_{\mu \pmod k} \overline{B}_r\left(\frac{\mu}{k}\right) \overline{B}_s\left(\frac{h\mu}{k}\right),$$

where now r, s are arbitrary non-negative integers; thus it is clear that $c_s(h, k) = c_{p+1-s,s}(h, k)$. If we define the Eulerian numbers $H_m(\alpha)$ by means of

Presented to the Society, February 27, 1954; received by the editors January 19, 1954.

$$(2.2) \quad \frac{1 - \alpha}{e^x - \alpha} = \sum_{m=0}^{\infty} H_m(\alpha) \frac{x^m}{m!},$$

then we have the representation [2, §6]

$$(2.3) \quad c_{r,s}(h, k) = \frac{B_r B_s}{k^{r+s-1}} + \frac{rs}{k^{r+s-1}} \sum_{\zeta \neq 1} \frac{H_{r-1}(\zeta^h)}{1 - \zeta^{-h}} \frac{H_{s-1}(\zeta^{-1})}{1 - \zeta},$$

where B_m denotes a Bernoulli number in the even suffix notation and the summation is over all k th roots of unity distinct from 1. The formula (2.3) is proved for $r \geq 1, s \geq 1$ but if we interpret the sum in the right member as 0 for $rs=0$, then it is easily verified that (2.3) is valid for all non-negative r, s . In the next place we have, using (2.2),

$$\begin{aligned} \sum_{r,s=0}^{\infty} k^r c_{r,s}(h, k) \frac{x^r y^s}{r! s!} &= \frac{x}{e^x - 1} \frac{y}{e^{y/k} - 1} \\ &+ xy \sum_{\zeta \neq 1} \frac{1}{(1 - \zeta^{-h})(1 - \zeta)} \sum_{r=0}^{\infty} H_r(\zeta^h) \frac{x^r}{r!} \sum_{s=0}^{\infty} H_s(\zeta^{-1}) \frac{y^s k^{-s}}{s!} \\ &= \frac{x}{e^x - 1} \frac{y}{e^{y/k} - 1} + xy \sum_{\zeta \neq 1} \frac{\zeta^h}{e^x - \zeta^h} \frac{\zeta^{-1}}{e^{y/k} - \zeta^{-1}} \end{aligned}$$

and therefore

$$(2.4) \quad \sum_{r,s=0}^{\infty} k^r c_{r,s}(h, k) \frac{x^r}{r!} \frac{y^s}{s!} = xy \sum_{\zeta} \frac{\zeta^h}{e^x - \zeta^h} \frac{\zeta^{-1}}{e^{y/k} - \zeta^{-1}},$$

where the summation is now over all k th roots of unity.

If we put

$$(2.5) \quad b_{r,s}(h, k) = \sum_{t=0}^s (-1)^{s-t} \binom{s}{t} h^{s-t} c_{r+s-t,t}(h, k)$$

or, what is the same thing,

$$(2.6) \quad c_{r,s}(h, k) = \sum_{t=0}^s \binom{s}{t} h^{s-t} b_{r+s-t,t}(h, k),$$

then a straight-forward computation yields

$$(2.7) \quad \sum_{r,s=0}^{\infty} k^r c_{r,s}(h, k) \frac{x^r}{r!} \frac{y^s}{s!} = \sum_{r,s=0}^{\infty} b_{r,s}(h, k) \frac{(kx + hy)^r}{r!} \frac{y^s}{s!}.$$

For brevity we put

$$(2.8) \quad kz = kx + hy$$

and

$$(2.9) \quad F(h, k; z, y) = \sum_{r,s=0}^{\infty} b_{r,s}(h, k) \frac{z^r}{r!} \frac{y^s}{s!}.$$

It follows from (2.4) and (2.7) that

$$(2.10) \quad F(h, k; kz, y) = xy \sum_{\zeta} \frac{\zeta^h}{e^x - \zeta^h} \frac{1}{e^{y/k}\zeta - 1}.$$

3. If η runs through the h th roots of unity, then

$$(3.1) \quad \frac{h}{x^h - 1} = \sum_{\eta} \frac{\eta}{x - \eta} = \sum_{\eta} \frac{1}{x\eta - 1}.$$

Thus

$$\frac{h\zeta^h}{e^x - \zeta^h} = \frac{h}{e^x\zeta^{-h} - 1} = \sum_{\eta} \frac{1}{e^{x/h}\eta\zeta^{-1} - 1} = \sum_{\eta} \frac{\zeta}{e^{x/h}\eta - \zeta}$$

and (2.10) becomes

$$(3.2) \quad F(h, k; kz, y) = \frac{xy}{h} \sum_{\eta, \zeta} \frac{\zeta}{e^{x/h}\eta - \zeta} \frac{1}{e^{y/k}\zeta - 1}.$$

But since

$$\frac{\zeta}{e^{x/h}\eta - \zeta} \frac{1}{e^{y/k}\zeta - 1} = \left(\frac{\eta e^{x/h}}{e^{x/h}\eta - \zeta} + \frac{1}{e^{y/k}\zeta - 1} \right) \frac{1}{e^{x/h+u/k}\eta - 1}$$

the right member of (3.2) is equal to

$$\frac{xy}{h} \sum_{\eta, \zeta} \frac{\eta e^{x/h}}{e^{x/h}\eta - \zeta} \frac{1}{e^{x/h+u/k}\eta - 1} + \frac{xy}{h} \sum_{\eta, \zeta} \frac{1}{e^{y/k}\zeta - 1} \frac{1}{e^{x/h+u/k}\eta - 1}.$$

Then using (2.8) and (3.1) we get

$$(3.3) \quad F(h, k; kz, y) = -\frac{kxy}{h} \sum_{\eta} \frac{1}{e^{-kx/h}\eta^{-k} - 1} \frac{1}{e^{x/h}\eta - 1} + \frac{kxy}{(e^y - 1)(e^x - 1)}.$$

Returning to (2.14), we replace h, k, x, y by $k, h, -kx/h, z$ respectively; we get

$$(3.4) \quad \sum_{r,s=0}^{\infty} c_{r,s}(k, h) \frac{(-kx)^r}{r!} \frac{z^s}{s!} = -\frac{kxz}{h} \sum_{\eta} \frac{1}{e^{-kx/h}\eta^{-k} - 1} \frac{1}{e^{z/h}\eta - 1}.$$

But by (2.7) the left-hand member of (3.4) is equal to

$$\sum_{r,s=0}^{\infty} b_{r,s}(k, h) \frac{(kz - kx)^r}{r!} \frac{z^s}{s!} = \sum_{r,s=0}^{\infty} b_{r,s}(k, h) \frac{(hy)^r}{r!} \frac{z^s}{s!}.$$

Comparison with (3.3) leads at once to

$$(3.5) \quad zF(h, k; kz, y) = yF(k, h; hy, z) + (kz - hy) \frac{z}{e^z - 1} \frac{y}{e^y - 1}.$$

4. We now compare coefficients of $z^r y^s$ in both members of (3.5). In view of (2.9) we get at once

$$(4.1) \quad r k^{r-1} b_{r-1,s}(h, k) = s h^{s-1} b_{s-1,r}(k, h) + r k B_{r-1} B_s - s h B_r B_{s-1}$$

for all $r, s \geq 0$. Incidentally this proves Theorem 1 of [2].

If we put

$$(4.2) \quad f_m(h, k; \tau) = \sum_{s=0}^m \binom{m}{s} (k\tau - h)^{m-1-s} c_{m-s,s}(h, k),$$

then it follows readily from (2.5) that

$$(4.3) \quad (k\tau - h) f_m(h, k; \tau) = \sum_{s=0}^m \binom{m}{s} (k\tau)^{m-s} b_{m-s,s}(h, k).$$

In (4.3) replace h, k, τ by $-k, h, -1/\tau$, respectively; then

$$(4.4) \quad \frac{k\tau - h}{\tau} f_m\left(-k, h; -\frac{1}{\tau}\right) = \sum_{s=0}^m \binom{m}{s} \left(-\frac{h}{\tau}\right)^{m-s} b_{m-s,s}(-k, h).$$

But it is clear from (2.1) that

$$c_{r,s}(-k, h) = (-1)^s c_{r,s}(k, h)$$

and therefore (2.5) implies

$$(4.5) \quad b_{r,s}(-k, h) = (-1)^s b_{r,s}(k, h).$$

Hence (4.4) becomes

$$(4.6) \quad \tau^{m-1} (k\tau - h) f_m\left(-k, h; -\frac{1}{\tau}\right) = (-1)^m \sum_{s=0}^m \binom{m}{s} \tau^s h^{m-s} b_{m-s,s}(k, h).$$

It follows that

$$\begin{aligned}
 & (k\tau - h) \left\{ f_m(h, k; \tau) - (-1)^m \tau^{m-2} f_m\left(-k, h; -\frac{1}{\tau}\right) \right\} \\
 &= \sum_{s=0}^m \binom{m}{s} \tau^{m-s} \left\{ k^{m-s} b_{m-s,s}(h, k) - \frac{s}{m-s+1} h^{s-1} b_{s-1, m-s+1}(k, h) \right\} \\
 &= \sum_{s=0}^m \binom{m}{s} \tau^{m-s} \left\{ kB_{m-s}B_s - \frac{s}{m-s+1} hB_{m-s+1}B_{s-1} \right\} \\
 &= k \sum_{s=0}^m \binom{m}{s} B_{m-s}B_s - h \sum_{s=1}^{m+1} \binom{m}{s-1} \tau^{m-s} B_{m-s+1}B_{s-1} \\
 &= \left(k - \frac{h}{\tau}\right) (B + \tau B)^m,
 \end{aligned}$$

and therefore

$$(4.7) \quad f_m(h, k; \tau) = (-1)^m \tau^{m-2} f_m\left(-k, h; -\frac{1}{\tau}\right) + \frac{1}{\tau} (B + \tau B)^m.$$

In particular for $m = p+1$, p odd, (4.7) reduces to (1.4).

We have therefore proved (1.4) and indeed the more general result (4.7) using only the representation (2.3) and familiar properties of the Bernoulli functions.

REFERENCES

1. T. M. Apostol, *Generalized Dedekind sums and transformation formulae of certain Lambert series*, Duke Math. J. vol. 17 (1950) pp. 147-157.
2. L. Carlitz, *Some theorems on generalized Dedekind sums*, Pacific Journal of Mathematics vol. 3 (1953) pp. 513-522.

DUKE UNIVERSITY