

# REMARKS ON PRIMITIVE IDEMPOTENTS IN COMPACT SEMIGROUPS WITH ZERO<sup>1</sup>

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We recall that a mob is a Hausdorff space together with a continuous associative multiplication. A nonempty subset  $A$  of a mob  $X$  is a submob if  $AA \subset A$ . This note consists of an amplification of results of Numakura dealing with primitive idempotents in a compact mob  $X$  with zero (see definitions below). We discuss the properties of certain "fundamental" sets determined by primitive idempotents, namely the sets  $XeX$ ,  $Xe$ ,  $eX$ , and  $eXe$ , where  $e$  is a primitive idempotent. These are, respectively, the smallest (two-sided, left, right, bi-) ideal containing  $e$ . Included in Theorem 1 is a characterization of a primitive idempotent in terms of its "fundamental" sets. There then follow some remarks on the structure of the smallest ideal containing the set of all primitive idempotents.

Finally, if  $e$  is a nonzero primitive idempotent of the compact connected mob  $X$  with zero, then the set of nilpotent elements of  $X$  is dense in each of the "fundamental" sets determined by  $e$ .

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We shall assume throughout most of this note that  $X$  is a compact mob with zero (0). For  $a \in X$  we denote by  $\Gamma(a)$  the closure of the set of positive powers of  $a$ , and by  $K(a)$  the minimal (closed) ideal of  $\Gamma(a)$ .  $K(a)$  is known to be a (topological) group and consists of the cluster points of the set of powers of  $a$  ([3; 5]; these results depend only on the compactness of  $\Gamma(a)$ ). Also  $\Gamma(a)$  contains exactly one idempotent,  $e$ , and if  $e=0$  then the powers of  $a$  converge to 0. An element  $a$  is termed nilpotent if its powers converge to 0, and we denote by  $N$  the set of all nilpotent elements of  $X$ . A subset  $A$  of  $X$  is termed *nil* if  $A \subset N$ . An idempotent  $e$  of  $X$  is *primitive* if  $g = g^2 \in eXe$  implies  $g=0$  or  $g=e$ . Recall that a subset  $A$  of  $X$  is a *bi-ideal* if (1)  $AA \subset A$  and (2)  $AXA \subset A$  [2; 3].

LEMMA 1. *Let  $e$  be an idempotent of the compact mob  $X$  and denote by  $\mathcal{M}(e)$  the collection of sets  $Xf_\alpha$ , where  $f_\alpha$  is an idempotent of  $XeX$ . Let  $\mathcal{M}(e)$  be partially ordered by inclusion; then  $Xe$  is a maximal member of  $\mathcal{M}(e)$ .*

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PROOF. Suppose  $f$  is an idempotent in  $XeX$  and  $Xe \subset Xf$ . Then there are elements  $a, b$  of  $X$  so that  $f = aeb$  and we may assume  $ae = a, eb = b$ . Now  $Xe \subset Xf$  implies  $e \in Xf, ef = e$ ; hence for each positive integer  $n, a^n e b^n = a^{n-1} e a e b b^{n-1} = a^{n-1} e f b^{n-1} = a^{n-1} e b^{n-1} = \dots = aeb = f$ . Hence there is an idempotent  $g \in \Gamma(a)$  and an element  $h \in \Gamma(b)$  so that  $f = geh$  [5]. We note  $ge = g$ , hence  $f = gf = (ge)f = g(ef) = ge = g$  and  $f = g = ge = fe$ . Hence  $f \in Xe$  and  $Xf \subset Xe$ , completing the proof.

We remark that the arguments used in the proof of the lemma are due to Rees [7] and Numakura [5].

COROLLARY. *Let  $e$  be an idempotent of the compact mob  $X$ ; then  $eXe$  is maximal among the sets  $fXf$  where  $f^2 = f \in XeX$ .*

PROOF. Suppose  $f \in XeX$  and  $eXe \subset fXf$ . Then  $ef = e = fe$  and  $e \in Xf$ ; hence  $Xe \subset Xf$  so  $Xe = Xf$  by the theorem. Hence  $f = fe = e$  and  $eXe = fXf$ .

LEMMA 2. *Let  $M$  be a left (right, two-sided, bi-) ideal of a mob  $X$  and suppose  $a \in M$  with  $\Gamma(a)$  compact; then  $\Gamma(a) \subset M$ .*

PROOF. We give the proof for a bi-ideal  $M$ ; the other proofs are similar. Since  $M$  is a mob, the set of powers of  $a$  is contained in  $M$ . Now  $aK(a)a \subset MXM \subset M$  and  $aK(a)a \subset K(a)$  since  $K(a)$  is an ideal in  $\Gamma(a)$ . Hence  $K(a) \cap M \neq \emptyset$ , so that  $K(a) \subset M$  since no group can properly contain a bi-ideal. It follows that  $\Gamma(a) \subset M$ .

LEMMA 3. *Let  $X$  be a mob with zero and suppose  $a \in X$  with  $\Gamma(a)$  locally compact; then  $a \notin N$  implies  $\Gamma(a) \cap N = \emptyset$ .*

PROOF. Suppose  $x \in \Gamma(a) \cap N$ ; then  $\{x^n\}$  converges to 0, so  $0 \in \Gamma(x) \subset \Gamma(a)$ . Hence  $\Gamma(a)$  has a minimal ideal and we have from [3] that  $\Gamma(a)$  is compact. Since  $\Gamma(a) \cap N \neq \emptyset$ , it follows that  $K(a) \cap N \neq \emptyset$ , hence  $K(a) = 0$  and  $a \in N$ , a contradiction.

THEOREM 1. *Let  $e$  be a nonzero idempotent of the compact mob  $X$  with zero; then these are equivalent:*

- (1)  $e$  is primitive.
- (2)  $(eXe) \setminus N$  is a group.
- (3)  $eXe$  is a minimal non-nil bi-ideal.
- (4)  $Xe$  is a minimal non-nil left ideal.
- (5)  $XeX$  is a minimal non-nil ideal.
- (6) each idempotent of  $XeX$  is primitive.

PROOF. (1) implies (2). We first show  $(eXe) \setminus N$  is a mob. Since  $e$  is assumed primitive,  $(eXe) \setminus N$  has a unit  $e$  and no other idempotents. Suppose  $a, b \in (eXe) \setminus N$  and  $ab \in N$ ; we claim  $Xa = Xb = Xe$ . Accord-

ing to [3] there is an idempotent  $f \in \Gamma(a)$  such that  $\bigcap_n Xa^n = Xf$ . Now  $a \notin N$  implies (Lemma 3)  $\Gamma(a) \cap N = \emptyset$ , hence  $e \in \Gamma(a)$  and  $e = f$ . Therefore  $\bigcap_n Xa^n = Xe$ , so  $Xa \supset Xe$ . Since  $a \in eXe \subset Xe$ ,  $Xa \subset Xe$  and the claim is established for  $a$ . Similar arguments establish the claim for  $b$ . Now using the claim and the fact that  $e$  is a unit for  $a$  and  $b$  one may verify that  $X(ab)^n = Xe$  for each positive integer  $n$ . Hence  $Xe = \bigcap_n X(ab)^n = Xf$  for some idempotent  $f$  in  $\Gamma(ab)$  (see [3]); but  $ab \in N$  implies  $f = 0$ ,  $Xe = 0$ , and  $e = 0$ , a contradiction. This shows  $(eXe) \setminus N$  is a mob. For  $y \in (eXe) \setminus N$  we conclude as above that  $e \in \Gamma(y)$ ; since  $e$  is a unit for  $y$  it follows that  $K(y) = \Gamma(y)$  is a group [3] contained in  $(eXe) \setminus N$  by Lemmas 3 and 2. Hence  $y$  has an inverse in  $(eXe) \setminus N$ , completing the proof of (2).

(2) implies (3). Let  $M$  be a non-nil bi-ideal of  $X$  contained in  $eXe$  and choose  $a \in M \setminus N$ ; then  $aXa \subset M \subset eXe$ . Let  $f$  be a nonzero idempotent in  $aXa$ ; then since  $(eXe) \setminus N$  is a group and  $f \notin N$ ,  $f = e \in M$ . Hence  $eXe \subset MXM \subset M$ .

(3) implies (4). Let  $P$  be a non-nil left ideal of  $X$  contained in  $Xe$  and choose  $a \in P \setminus N$ . Then there is a nonzero idempotent  $f \in \Gamma(a)$ , and  $Xf \subset P$ . Hence  $eXf \subset eP = ePe \subset eXe$ . Now since  $f \in Xe$ ,  $f = fe$  and  $(ef)(ef) = e(fe)f = eff = ef$  so that  $ef$  is idempotent. Note that  $ef \notin N$ , for otherwise  $ef = 0$  and  $f = (fe)f = f(ef) = 0$ . Therefore  $eXf$  is a non-nil bi-ideal and hence coincides with  $eXe$ . Since  $f \in P$ ,  $eXe = eXf \subset P$  and we conclude  $e \in P$ ,  $Xe \subset P$ .

(4) implies (5). Let  $M$  be a non-nil ideal of  $X$  contained in  $XeX$ , and let  $f$  be a nonzero idempotent in  $M$ . Then there are elements  $a, b$ , of  $X$  so that  $f = aeb$ . Let  $g = bae$ ; then  $g^2 = baebae = bfae$  and  $g^2 = g^2$ . Note that  $bf \neq 0$ , since otherwise  $f = aeb = aebf = 0$ . Also  $g^2bf = bfaebf = bf$ ; hence  $g^2 \neq 0$ , otherwise  $bf = 0$ . Now  $g^2 \in XfX$  and  $g^2 \in Xe$ , so by (4),  $Xe = Xg^2 \subset XfX$  and we conclude  $e \in XfX$ ,  $XeX \subset M$ .

(5) implies (6). Let  $f$  be a nonzero idempotent of  $XeX$  and suppose  $g$  is a nonzero idempotent with  $g \in fXf$  (hence  $gXg \subset fXf$ ). Since  $f, g \in XeX$  we have  $XgX = XfX = XeX$  and  $f \in XgX$ . It follows from the corollary to Lemma 1, then, that  $gXg = fXf$ , hence  $g = f$  and  $f$  is primitive.

(6) clearly implies (1), completing the proof of the theorem.

Several of the above implications have been demonstrated by Numakura [6].

**COROLLARY 1.** *Let  $e$  be a primitive idempotent of the compact mob  $X$  with zero. Then  $(Xe) \setminus N$  and  $(Xe) \cap N$  are submobs and  $(Xe) \setminus N$  is the disjoint union of the maximal (closed) groups  $(e_\alpha X e_\alpha) \setminus N$  where  $e_\alpha$  runs over the nonzero idempotents of  $Xe$ .*

PROOF. Suppose  $a, b \in (Xe) \setminus N$  and  $ab \in N$ . Since  $Xe$  is a minimal non-nil left ideal, we know that  $Xa = Xe = Xb$ . Then as in the proof of (1) implies (2) we conclude  $Xe = 0$ , a contradiction.

Suppose  $a, b \in (Xe) \cap N$  and  $ab \notin N$ . Then  $(ab)^2 \in Xab$  and  $(ab)^2 \notin N$ , otherwise  $ab \in N$  [5, Lemma 3]. Hence  $Xab = Xe$  by the theorem; since  $a \in Xe$ ,  $Xa \subset Xe$ . We have a right translate of  $Xa$  filling all of  $Xe$ , so according to [3, Corollary 2.2.1] there is an idempotent  $f$  in  $\Gamma(b)$  which is a right unit for  $Xe$ . However  $b \in N$  implies  $f = 0$  so that  $Xe = 0$ , a contradiction.

Finally, pick  $a \in (Xe) \setminus N$ ; by the theorem we have  $Xa = Xe$  and by Lemma 3 we have  $\Gamma(a) \cap N = \emptyset$ . Choose an idempotent  $f$  in  $\Gamma(a)$ ; then  $Xe = Xf$  so that  $f$  is a right unit for  $Xe$ . Hence  $\Gamma(a)$  is a group, showing that  $Xe \setminus N$  is the union of groups. For any nonzero idempotent  $e_\alpha \in Xe$ ,  $Xe_\alpha = Xe$  so that  $e_\alpha$  is primitive and  $(e_\alpha Xe_\alpha) \setminus N$  is a group. Now the maximal group [9] containing  $e_\alpha$  is contained in  $e_\alpha Xe_\alpha$ ; moreover, since any group which meets  $N$  must be zero, we conclude that  $(e_\alpha Xe_\alpha) \setminus N$  is a maximal group. This is closed by the compactness of  $X$ , completing the proof.

In [6] Numakura shows that if  $M$  is a minimal non-nil ideal, and if  $J$  is the largest ideal of  $X$  contained in  $N$ , then  $M - (J \cap M)$ , the difference semigroup in the sense of Rees [7], is completely simple (i.e. simple with each idempotent primitive). It follows that  $M \setminus N$  is the disjoint union of isomorphic groups, and  $M \setminus J = \cup [(Xe_\alpha) \setminus J]$  where  $e_\alpha$  runs over the nonzero idempotents in  $M$ . It would be of interest to know more of the multiplication in  $M \setminus J$ . Corollary 1 aims in this direction. If  $\tilde{E}$  represents the set of primitive idempotents of the compact mob  $X$  with zero, then  $(X\tilde{E}X) \setminus J = (X\tilde{E}) \setminus J$  and  $(X\tilde{E}X) \setminus N$  is the disjoint union of groups. (In this connection, see also [1].) At this writing it is not known whether or not  $\tilde{E}$  must be a closed set.

As shown in [6], if  $N$  is open then there exists a nonzero primitive idempotent. According to Corollary 1, the condition that  $N$  be open may be weakened as follows:

**COROLLARY 2.** *Let  $X$  be a compact mob with zero; then  $X$  contains a nonzero primitive idempotent if and only if there is a nonzero idempotent  $e$  with  $(eXe) \setminus N$  closed.*

PROOF. If  $f$  is a nonzero primitive idempotent of  $X$ , then  $(fXf) \setminus N$  is a maximal group and hence is closed. On the other hand, if  $(eXe) \setminus N$  is closed and  $e \neq 0$ , then since the set of nilpotent elements of  $eXe$  is  $(eXe) \cap N$ , we conclude from [6] that  $eXe$  contains a nonzero primitive idempotent. Hence so does  $X$ , completing the proof.

A five element example due to R. P. Rich [8] serves to illustrate these results; J. G. Wendel has given the following matrix representation of Rich's example:

$$0 = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}, \quad a = \begin{pmatrix} 0 & 0 \\ -1 & 0 \end{pmatrix}, \quad l = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix},$$

$$r = \begin{pmatrix} 0 & 0 \\ -1 & 1 \end{pmatrix}, \quad s = \begin{pmatrix} 1 & -1 \\ 0 & 0 \end{pmatrix}.$$

This can be modified to furnish a compact connected example, as follows. Let

$$X = \left\{ \begin{pmatrix} 0 & 0 \\ \delta & \omega \end{pmatrix} \right\} \cup \left\{ \begin{pmatrix} t & v \\ 0 & 0 \end{pmatrix} \right\},$$

where the entries are real numbers between  $-1$  and  $1$  inclusive. Here  $N$  is the totality of those matrices with main diagonal entries in the open interval  $(-1, 1)$ ;  $J$ , the largest ideal of  $X$  contained in  $N$ , is the totality of those matrices with every entry lying in the open interval  $(-1, 1)$ . It can be shown that  $X - J$  is completely simple. If  $e$  is one of the four idempotents

$$\begin{pmatrix} 1 & 1 \\ 0 & 0 \end{pmatrix}, \quad \begin{pmatrix} 1 & -1 \\ 0 & 0 \end{pmatrix}, \quad \begin{pmatrix} 0 & 0 \\ 1 & 1 \end{pmatrix}, \quad \begin{pmatrix} 0 & 0 \\ -1 & 1 \end{pmatrix},$$

then  $(Xe) \setminus J$  consists of two disjoint two-element groups. If  $e$  is any other nonzero idempotent, then  $(Xe) \setminus J$  consists of one two-element group and one two-element subset whose square lies in  $J$ .

**THEOREM 2.** *Let  $e$  be a primitive idempotent of the compact connected mob  $X$  with zero; then  $(eXe) \cap N$  is dense in  $eXe$  (hence  $(Xe) \cap N$  is dense in  $Xe$  and  $(X\tilde{E}) \cap N$  is dense in  $X\tilde{E}$ ).*

**PROOF.** We denote the compact mob  $eXe$  by  $Y$ , let  $N_1 = Y \cap N$ , and note that  $N_1$  is open in  $Y$  in view of Corollary 1 of Theorem 1. Denote by  $L$  the largest left ideal of  $Y$  contained in  $N_1$ ; since  $N_1$  is open in  $Y$ , so is  $L$  [4]. Since  $L^*$  (stars denote closure) is a left ideal of  $Y$  it follows from the connectedness of  $Y$  that  $L^* \cap (Y \setminus N) \neq \emptyset$ . Hence there is a nonzero idempotent in  $L^* \cap (Y \setminus N)$ , and this must be  $e$ . Therefore  $(eXe)e = eXe \subset L^* \subset N_1^*$  so that  $(eXe) \cap N$  is dense in  $eXe$ . The remainder of the theorem follows from Corollary 1 and the remarks which follow it.

In conclusion we remark that the results of this note can be extended as follows. Let  $M$  be an ideal of the mob  $X$ . We define an idem-

potent  $e$  to be  $M$ -primitive if the only idempotents in  $eXe$  either coincide with  $e$  or else belong to  $M$ . Then by replacing  $N$  by  $N_M \equiv \{a: \Gamma(a) \cap M \neq \emptyset\}$ , the results obtained here for primitive idempotents hold for  $M$ -primitive idempotents with obvious modifications in statements and proofs; here we need not assume the existence of a zero.

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