

## COMPLETENESS, FULL COMPLETENESS, AND $k$ SPACES

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The author in [2] defined full completeness for linear topological spaces as follows: if  $X$  is a real l.t.s. and if, for  $U \subset X$ ,  $U^0$  denotes the polar of  $U$  in the adjoint space  $X^*$  of continuous linear functionals on  $X$ , then  $X$  is said to be *fully complete* if a linear subspace  $L$  of  $X^*$  is weak\* closed whenever  $L \cap U^0$  is weak\* closed, for every neighborhood  $U$  of zero in  $X$ . It was shown in [2, Corollary 14.1 and Theorem 16] and, independently, by Pták in [6, p. 350 and p. 336] that full completeness is stronger in general than completeness, and that every complete metrisable l.t.s. is fully complete. In addition, the author in [2, Corollary 17.2] proved that an arbitrary cartesian product of reals (with the product topology) is fully complete, and in [6, p. 330] it was shown that full completeness is equivalent (for locally convex  $X$ ) to the following: every continuous linear function on  $X$  onto another locally convex l.t.s. which takes open sets into somewhere dense sets is already open (actually, this last property was Pták's main concern and was labeled  $B$ -completeness by him).

Our purpose here is to examine this concept in  $X = C(E)$ , where  $E$  is a completely regular  $T_1$  topological space and  $C(E)$  is the l.t.s. of real-valued continuous functions on  $E$ , with the compact-open topology. More precisely, we study the relations between completeness and full completeness for  $C(E)$  and certain related concepts in  $E$  for two particular classes of spaces  $E$ : pseudo-finite  $E$  and hemicompact  $E$ . By definition, the space  $E$  is *pseudo-finite* if every compact set of  $E$  is finite (the  $P$ -spaces recently considered in [4] furnish examples of pseudo-finite spaces, and as is pointed out there, many nondiscrete  $P$ -spaces exist), and  $E$  is *hemicompact* [see 1] if there exists a countable family  $\mathcal{K}$  of compact subsets of  $E$  whose union is  $E$  and such that each compact set is contained in some member of  $\mathcal{K}$ . Two final definitions needed are those of a  $k$  space (see [3]) and of the  $k$  extension of a topology. The  $k$  extension of the given topology of  $E$  is the strongest topology on  $E$  which agrees with the given topology on each compact set (we denote this derived topology henceforth by  $k$ ), and  $E$  is a  $k$  space if the topology  $k$  coincides with the original topology. Pták proved in [6, pp. 342–343] that  $E$  is a  $k$  space when  $C(E)$  is fully complete, and gave an example [6, p. 350] to show the

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converse need not be true. Implicit in his paper is the fact that  $E$  is normal when  $C(E)$  is fully complete.

Our first results below include a characterization of  $k$  spaces (for completely regular spaces) together with a brief discussion of pseudo-finite spaces and hemicompact spaces. It turns out that one of the conditions in this characterization is that  $E$  endowed with  $k$  be completely regular; in this case we say simply that  $k$  is *completely regular*. It is emphasized here that the original space  $E$  is assumed to be completely regular throughout this paper.

**LEMMA 1.** *The following two conditions together are necessary and sufficient that  $E$  be a  $k$  space: (1)  $C(E)$  is complete, and (2)  $k$  is completely regular.*

**PROOF.** We point out that the requirement that  $C(E)$  be complete is equivalent to the statement that every real-valued function on  $E$  whose restriction to each compact set  $K$  is continuous in the relative topology of  $K$  is already continuous.

*Necessity.* Since  $E$  is a  $k$  space and thus  $k$  coincides with the original topology, assumed to be completely regular, it is clear that  $k$  is completely regular. Further, it is known [5, p. 76] that every  $k$  space  $E$  has  $C(E)$  complete.

*Sufficiency.* Denote by  $A(E)$  the family of real-valued functions on  $E$  whose restrictions to each compact set are continuous and by  $T_A$  the weakest topology on  $E$  for which all the members of  $A(E)$  remain continuous. It is clear that each set open with respect to the original topology  $T$  is  $T_A$  open and that each  $T_A$  open set is  $k$  open. If  $C(E)$  is complete then  $A(E) = C(E)$ ; hence  $T$  and  $T_A$  coincide, since  $T$  is completely regular. If  $k$  is completely regular then  $k$  and  $T_A$  coincide; but then  $E$  is a  $k$  space.

**LEMMA 2.** *If  $E$  is either pseudo-finite or hemicompact then the topology  $k$  is completely regular.*

**PROOF.** Lemma 3 below together with the fact that every discrete space is completely regular make clear the statement for  $E$  pseudo-finite.

The author in [2, Theorem 12] proved that if  $X$  is an l.t.s., if  $T$  denotes the strongest topology for  $X^*$  which agrees with the weak\* topology on each  $U^0$  ( $U$  a neighborhood of zero in  $X$ ), and if  $t$  denotes the topology for  $X^*$  of uniform convergence on totally bounded sets of  $X$ , then  $X$  metrisable implies  $T$  and  $t$  are the same. Now, let  $M$  be the image of  $E$  under the evaluation map  $e$ , where, for  $x \in E$ ,  $e_x(f) = f(x)$ , all  $f \in C(E)$ . Then  $M \subset X^*$ , where  $X = C(E)$ , and it is easily

shown that  $e$  is a homeomorphism between  $E$  and  $M$  when  $E$  is given the topology  $k$  and  $M$  is given its relative  $T$  topology. By our initial remarks, since  $E$  hemicompact implies  $X$  is metrisable,  $T$  and  $t$  coincide; in particular they coincide on  $M$ . But then  $k$  is completely regular, since  $t$  is completely regular. This concludes the proof.

The permanence properties of pseudo-finite spaces are easily established. For example, every subspace of a pseudo-finite space is pseudo-finite, every space which is a pairwise disjoint union of open and closed pseudo-finite subspaces is pseudo-finite, every compact pseudo-finite space is finite, and every finite product of pseudo-finite spaces is pseudo-finite (an infinite product need not be). In addition, we have

**LEMMA 3.** *The following conditions are equivalent for  $E$ : (1)  $E$  is pseudo-finite, (2) the compact-open and point-open topologies for  $C(E)$  coincide, and (3)  $k$  coincides with the discrete topology.*

**PROOF.** (1) implies (2) is clear, since each compact set is finite when  $E$  is pseudo-finite. To see that (2) implies (1), let  $M$  be compact. Then,  $M^0 = [f: f \in C(E) \text{ and } |f(t)| \leq 1, \text{ all } t \in M]$  contains a neighborhood of zero in  $C(E)$ ; hence, by (2), there exists  $N$  finite in  $E$  such that  $N^0 \subset M^0$ . But then  $(M^0)_0 \subset (N^0)_0$ , where for  $A$  in  $C(E)$ ,  $A_0 = [t: t \in E \text{ and } |f(t)| \leq 1, \text{ all } f \in A]$ . However,  $E$  completely regular implies (since  $M$  and  $N$  are closed) that  $(M^0)_0 = M$ ,  $(N^0)_0 = N$ ; thus  $M \subset N$ ,  $M$  is finite, and  $E$  is pseudo-finite. Now assume (1) and let  $F \subset E$  be any set. If  $M$  is compact, then  $F \cap M$  is either void or finite (since  $M$  is finite), and thus  $F \cap M$  is closed. Therefore, by definition of  $k$ ,  $F$  is  $k$  closed; i.e., every set is  $k$  closed and  $k$  is the discrete topology. Conversely, if  $k$  is the discrete topology of  $E$ , then every compact set in  $E$  is finite, since this is true of the discrete topology and since the topology  $k$  and the original topology have the same compact sets.

**THEOREM 1.** *For  $E$  a pseudo-finite space the following conditions are equivalent: (1)  $E$  is discrete, (2)  $E$  is locally compact, (3)  $C(E)$  is complete, (4)  $C(E) = R^E$ , where  $R^E$  is the cartesian product of  $|E|$  copies of the reals, with the product topology, (5)  $E$  is a  $k$  space, and (6)  $C(E)$  is fully complete.*

**PROOF.** The implication (1)  $\cdot \rightarrow$  (2) is clear, since every discrete space is locally compact, and (2)  $\cdot \rightarrow$  (3) follows from the known fact that  $C(E)$  is complete when  $E$  is locally compact. If (3) holds, then every real function on  $E$  is continuous, since every function has its restriction to each finite set continuous; i.e.,  $C(E) = R^E$  (as sets). To complete (3)  $\cdot \rightarrow$  (4), note that the product topology on  $R^E$  is the

compact-open topology when  $E$  is pseudo-finite. The implication (4)  $\cdot$   $\rightarrow$   $\cdot$  (6) follows from the remark of the first paragraph of this paper, and (6)  $\cdot$   $\rightarrow$   $\cdot$  (5) was shown by Pták in [6, pp. 342–343] for arbitrary completely regular  $E$ , and can be derived directly here by making use of completeness and Lemmas 2 and 1. Finally, (5)  $\cdot$   $\rightarrow$   $\cdot$  (1) follows from Lemma 3. This completes the proof.

**THEOREM 2.** *For  $E$  a hemicompact space the following conditions are equivalent: (1)  $C(E)$  is complete, (2)  $E$  is a  $k$  space, and (3)  $C(E)$  is fully complete.*

**PROOF.** The implication (1)  $\cdot$   $\rightarrow$   $\cdot$  (2) follows from Lemmas 1 and 2, and (2)  $\cdot$   $\rightarrow$   $\cdot$  (3)  $\cdot$   $\rightarrow$   $\cdot$  (1) have already been indicated in the remarks preceding this theorem (the fact that  $C(E)$  is metrisable here is needed in (2)  $\cdot$   $\rightarrow$   $\cdot$  (3)).

Many questions remain unanswered concerning the relations between completeness and full completeness for  $C(E)$  and the topology  $k$  in  $E$ . Whether the conclusion of Theorem 2 holds for spaces other than hemicompact or pseudo-finite  $E$  we do not know. As we pointed out in paragraph 2,  $C(E)$  fully complete implies  $E$  is normal, and this fact together with the fact that both hemicompact  $E$  and discrete  $E$  are paracompact suggests the possibility that  $E$  is paracompact when  $C(E)$  is fully complete. It would be interesting to find necessary and sufficient conditions on  $E$  that  $C(E)$  be fully complete. Finally, the conclusion of Lemma 2 holds also for locally compact  $E$  and for spaces  $E$  satisfying the first axiom of countability, since these spaces are known to be  $k$  spaces [3].

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